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## **The Striatum: Where Skills and Habits Meet**

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Short Title: Striatum and learning habits and skills

**Abstract**

After over a century of work concentrating on the motor functions of the basal ganglia, new ideas have emerged suggesting that the basal ganglia also have major functions in relation to learning habits and acquiring novel motor skills. We review the evidence supporting the role of the striatum in optimizing behavior by refining action selection and shaping habits and skills as a modulator of motor repertoires. We challenge the notion that striatal learning processes are limited to the motor domain. The learning mechanisms supported by striatal circuitry generalize to other domains including cognitive skills and emotion-related patterns of action.

## *Introduction*

The nuclei and inter-connections of the basal ganglia are widely recognized for modulating motor behavior. Whether measured at the neuronal or regional level, the activities of neurons in the basal ganglia correlate with many movement parameters, particularly those that influence the vigor of an action such as force and velocity. Pathology within different basal ganglia circuits predictably leads to either hypokinetic or hyperkinetic movement disorders. In parallel, however, the basal ganglia, and especially the striatum, are now widely recognized as being engaged in activity related to learning. Interactions between the dopamine-containing neurons of the midbrain and their targets in the striatum are critical to this function. A fundamental question is how these two capacities (motor behavior and reinforcement-based learning) relate to each other and what role the striatum and other basal ganglia nuclei have in forming new behavioral repertoires. Here, we consider relevant physiological properties of the striatum by contrasting two common forms of adaptation found in all mammals: the acquisition of behavioral habits and physical skills.

Without resorting to technical definitions, we all have a clear intuition of what habits and skills are. Tying one's shoes after putting them on we consider a habit—part of a behavioral routine. The capacity to tie the laces properly is a skill. Habits and skills have many common features. Habits are consistent behaviors triggered by appropriate events (typically but not always external stimuli) occurring within particular contexts. Physical skills are changes in a physical repertoire: new combinations of movements lead to new capacities for goal-directed action. Both habits and skills can leverage reward-based learning, particularly during their initial acquisition. In either instance, after sufficient experience, the need for reward becomes lower and lower. With sufficient practice, both lead to 'automaticity' and a resilience against competing actions that might lead to unlearning.

### *The degrees of freedom problem and optimality*

When acquiring a new habit or skill, an organism is faced with an enormous space of possibilities to choose from. For habits, how does the organisms select from the many potential behaviors that it could perform? In the sections to follow, we review some of the evidence indicating that the striatum has a principal function in learning-related plasticity associated with selecting one set of actions from many, resulting in the acquisition of habitual behavior. Similarly for skills, the motor system is also faced with an enormous set of possible solutions. There is an analogous problem of understanding how the organism narrows a search to find an effective solution when acquiring new motor skills. Of the many possible challenges in skill learning, two are renowned: the degrees of freedom (DOF) problem (Bernstein 1967) and the problem of optimal control (Todorov & Jordan 2002).

How are behaviors or movements chosen so that the result is optimal? Optimality could be defined in a variety of ways, but there are two particularly relevant for habits and skills. First, optimality can be driven by the outcome of achieving a specific goal and receiving the reward. Monkeys, for example, will work with increased urgency to maximize the number of rewarded trials per hour. Second, optimality can also be determined by the particular ways that motor behaviors are combined so that a cost is minimized. For example, an animal can learn to find the shortest path to a reward, or find the most efficient combination of movements leading to a reward. This is at the heart of solving the DOF problem: a process that optimizes movement to a goal in terms of some metric such as the energetics of the movement.

Much evidence points to the cerebellum and its reliance of on-line feedback to shape ongoing activity from multiple cortical motor regions along with spinobulbar pattern generators so that the dynamics of movements are smoother, faster and more efficient (Gao et al. 2012; Takemura et al. 2001). Notably, this error-based cerebellar shaping of behavior occurs independently of any reward signal. Here we

propose that the basal ganglia, of which the striatum is a main input station, also have a profound effect on optimizing behavior by implementing reinforcement-based feedback to allow effective combination of sequential motor elements. Thus, whether we speak of habits or skills, we see the striatum as a sort of learning machine dedicated to achieving success in behavior. We view this learning capacity as not only adhering to the main challenges of motor control, but also as extending beyond these to influence cognitive and emotional control.

*Reinforcement-based learning represents a core mechanism thought to underlie behavioral optimization by striatum-based circuits*

The behavioral literature on reinforcement learning shows that it is not the reward (or punishment) per se that reinforces (extinguishes) behaviors. Rather, it is the difference between the predicted value of future rewards (punishments) and their ultimate reward (punishment). Learning theory has formalized the process by which these reinforcement contingencies, referred to as reward prediction errors (RPEs), drive behavioral change (Sutton & Barto 1998). These lead to the notion that as an agent (actor) interacts with the environment, it develops state-specific behavioral policies. An influential idea is that these are instantiated in the brain according to algorithms such as those in temporal difference models. Through experience, eligibility traces are built up, and models of behavioral tasks can be optimized.

The reinforcement-related learning functions of the striatum are driven by evaluative circuits interconnecting the striatum both with the brainstem and with the neocortex and non-cortical regions of the forebrain, especially the thalamus. The gradual selection of particular behavioral repertoires can lead toward optimal behavioral control by means of reducing the degrees of freedom normally used in navigating through daily behavior. As we note below, this evidence is mainly derived by recording from multiple neurons in the striatum and interconnected

circuits, and in studies in which optogenetic methods are used to manipulate corticostriatal circuits.

Electrophysiological recordings in humans are rare, but it is possible to use functional magnetic resonance imaging (fMRI) in order to relate dopaminergic activity to metabolic signals recorded from the striatum. Results from a large number of fMRI studies suggest that the human ventral striatum changes its activity in relation to many different kinds of rewards, ranging from juice rewards to abstract social or esthetic qualities. In effect, evidence from these fMRI studies suggests that the ventral striatum is involved in learning by trial-and-error irrespective of the specific nature of the rewards (Daniel & Pollmann 2014). In tasks involving decision-making and economic games, there is overwhelming evidence that the ventral striatum and the ventral tegmental area (VTA) (Diuk et al. 2013) form a key circuit for encoding for reward prediction error (for meta-analysis of 779 fMRI articles, see Garrison et al. 2013). Studies in experimental animals concur, but point to striking changes over the course of learning in signals related to correct or incorrect behavior (Atallah et al. 2014; K. Smith and A. Graybiel, unpubl.).

After classic work suggesting that reward was the main driver of the nigrostriatal system (Schultz 2002), studies began to show that non-rewarding, aversive drive also could be applied through this system. Experiments in rodents have suggested that GABAergic non-dopamine neurons in the nigral-ventral tegmental region are sensitive to aversive stimuli (Bevan et al. 1996; Brown et al. 2012). In macaque monkeys, divisions of the substantia nigra pars compacta region have been identified as having differential positive or negative reinforcement sensitivities (Matsumoto & Hikosaka 2009). Thus neurons in the midbrain dopamine-containing cell groups can exhibit responses corresponding to the positive and negative RPEs of computational models. Within the striatum, as well, many neurons have spike responses that are related more to rewarding versus risky or aversive contexts (Yamada et al. 2013; Yanike & Ferrera 2014). A new and interesting set of data

suggest that some striatal neurons perform an integration of cost and benefit that predicts natural behavioral learning (T. Desrochers et al., unpubl.).

Thus, the striatum is poised to be a hub for neuroplasticity, as it receives major inputs from aminergic fiber systems including the dopamine-containing nigral innervation and receives input from nearly every region of the neocortex. Not only nigrostriatal synapses, but also corticostriatal synapses are thought to be sites of neuroplasticity. Many neurons in the striatum fire in a given context as though encoding expectancy signals and priors—signals crucial for smooth behavioral performance with advance planning (Hikosaka et al. 1989). These signals are likely generated as a result of experience-dependent plasticity in the circuits that form the input-output networks of this large region of the basal ganglia. This includes cholinergic interneurons (Doig et al. 2014). It is likely that through these and other network activities, including processing through the thalamus and neocortex and their projections to the striatum, neurons in the striatum build up selective responses to particular environmental events and particular behavioral actions and contexts.

*Habit learning: A model for studying behavioral plasticity influenced by striatal circuits*

Two main lines of evidence have linked the striatum and its associated neural circuits with the development of habitual behaviors. First, a long line of lesion studies in rodents has demonstrated that the striatum is necessary for habit formation (Balleine & Dickinson 1998; Belin et al. 2009; Yin & Knowlton 2006). Further, once acquired, habitual behaviors can be blocked or blunted by lesions of the striatum made after the habits are learned. These studies have shown that different districts within the striatum operate during habit formation. The ventral striatum is necessary for initial learning of motivated behaviors that could become habitual (Atallah et al. 2014). The dorsal striatum then becomes critical. First,



behaviors are driven largely by the anticipated outcome of the behavior itself; this process, according to rodent lesion studies, requires the dorsomedial striatum (DMS in rodents). But then, according to these lesion studies, as the behaviors are repeated and bring about a positive outcome, the DMS is no longer required but the dorsolateral parts of the striatum (DLS in rodents) is required for habitual performance.

A striking parallel to these behavioral findings on transitions occurring during habit learning has come from studies in which multiple simultaneous recordings have been made within the striatum on a daily basis as the acquisition of the habits occurs (Barnes et al. 2005; Jog et al. 1999; Smith & Graybiel 2013; Thorn et al. 2010). Remarkable plasticity is seen in these recordings. For example, in T-maze learning studies, in which rodents learn to navigate a maze according to cues given mid-run that instruct them about which side food reward will be given, recordings have been made in the DLS—the part of the striatum thought to be essential for post-learning habit performance—and in the DMS—the part of the striatum thought to be essential for initial goal-directed behavior during early acquisition—and in the ventromedial striatum—the region thought, along with the VTA, to be critical for initial acquisition. Striatal projection neuron ensembles in each of these regions develop different response patterns, but in all of the regions the ensembles reflect the entire behavioral time.

These behavioral and physiological findings are important in supporting the view that there is a critical transition period during habit formation. Before this transition, a given behavior being learned remains sensitive to outcome (usually tested as sensitivity to reward value). But after this period, the same behavior becomes independent of the reward value. This distinction, introduced formally by Dickinson and his colleagues (Dickinson 1985) with reward devaluation paradigms, has been influential in models of habit formation and the shifts between goal-dependent and semi-automatic performance characteristic of habits. As we note

below, this transition is marked by changes in the activity patterns of striatal neurons, and also of neurons in the prefrontal cortex.

Such transitions have not been tested directly in humans. However, brain imaging has suggested that after conditioning, activity in the dorsal striatum is sensitive to the relative value of an action choice compared to other actions rather than to the relative value of rewards per se (Li & Daw 2011). Evidence suggests that the capacity to undergo this transition is influenced by the human *FOXP2* gene, a gene implicated in speech and language function in humans (Schreiweis et al. 2014). This influence is particularly intriguing because mutants of *FOXP2* do not alter motor performance or skill acquisition as tested for example by rotorod.

A second major line of work implicating the striatum in habitual behaviors comes from work on the neural origins of addictive behaviors. Much evidence suggests that the midbrain dopamine system, particularly the VTA, is influential in the initial stages of generation of these behaviors, and that the striatal target of the VTA system, which largely lies in the ventral striatum, is essential for the neural changes leading to addiction. Remarkably, here too, with the progression of the addictive behavior, the dorsal striatum becomes more and more involved with time. Thus, across very different domains of what in common parlance that we call habits, there appear to be progressive stages for the ingraining of stereotyped action patterns into an individual's repertoire of behaviors, and a corresponding change in emphasis of striatal regions predominantly implicated (Graybiel 2008).

While not focusing in depth on addictive behavior, we emphasize that an important unresolved question is the degree to which addictions are extreme forms of habits, developed by trial-and-error learning and leading to distorted RPEs. In this context, addictions reveal fragility in this otherwise robust habit-learning mechanism, exposed by abnormal activation of the reward circuitry by exogenous chemicals including cocaine and other psychomotor stimulants, ethanol, and nicotine. Positron emission tomography (PET) studies in humans have shown that drugs can induce

rapid increases of striatal dopamine, but that once a person is addicted, these drug-induced dopamine increases (as well as their subjective effects on behavior) are blunted. By contrast, addicts experiencing craving to any one of a number of drugs can exhibit a significant dopamine increase in striatum in response to drug-conditioned cues (such as thoughts leading to craving) with response levels that can be greater than those to the drug themselves (Volkow et al. 2014a; Volkow et al. 2011; Volkow et al. 2014b). These cue-induced responses are particularly prominent in the dorsal striatum, including in the putamen, consistent with evidence that the dorsal striatum is heavily involved in ‘normal’ habit learning. The cue-responses likely reflect a profoundly distorted RPE.

Taken together, the findings from these two lines of work have sometimes been interpreted as suggesting that the striatum is ‘the seat of habitual behavior’—that the ‘habit’, or its neural representation, is stored within the striatum itself. Classic methods, however, did not allow adequate testing of this notion. An important detail of the anatomy of the striatum makes a definitive answer difficult to achieve. The projection neurons of the striatum, that is, the neurons that project to the pallidonigral output nuclei of the basal ganglia, also are the main striatal neurons receiving inputs to the striatum. Thus any procedure, genetic or otherwise, affects circuits, not just the striatum. We suggest that this is a critical distinction. It becomes impossible to say that habit representations are stored in the striatum, because the striatum is only a node in larger networks. Neurobiological experimental techniques such as optogenetics now open the possibility of testing these assumptions directly.

### *Time scales of learning and the formation of motor-motor associations*

Just as there are remarkable changes within striatal circuits occurring on multiple time scales during habit learning—of which we point out only some examples, during physical skill acquisition—there are analogous temporal shifts across

circuits. For example, as non-human primates learn a novel behavior such as a unique sequence of arm or finger movements, neural recordings typically show shifts in major activity from associative to sensorimotor districts of the striatum (Hikosaka et al. 1999; Miyachi et al. 2002; Miyachi et al. 1997), regions to which the DMS and DLS of rodents are thought to correspond (Graybiel 2008). Detailed modeling of reaction time behavior as the animal makes sequential reaches shows that they switch between two modes of control, consistent with the use of multiple motor control or prefrontal circuits to generate actions. In rodents, profound changes in spike activity patterns of neurons occur simultaneously in the associative and sensorimotor striatum, and as activity in the associative striatum declines, activity in the sensorimotor striatum becomes strong. These dynamics suggest that potentially competing circuits are organized to favor habit formation (Thorn et al. 2010). There is much evidence from classic rodent studies that different corticostriatal loops can compete with one another during the learning process and subsequent performance. This now has been found in humans. fMRI evidence shows that individuals who reduce activity in prefrontal regions sooner are those who acquire sequential skills more rapidly (D. Basset & S. Grafton unpubl.).

In human brain-imaging experiments (Doyon et al. 2009; Grol et al. 2006; Lehericy et al. 2005), a shift from anterior associative to sensorimotor striatum is also observed as people practice sequential finger movements. Initially there is a broad recruitment of prefrontal, premotor and sensorimotor cortex (along with the underlying corticostriatal target regions). With time, there is a progress reduction of activity in prefrontal regions and associative striatum. These different cortical regions could potentially acquire, represent or forget sequential information differently. For example, prefrontal regions that support working memory are invaluable for explicitly remembering a sequence of external cues that could guide movement. There are not yet sufficient studies of the striatum to relate all of these findings for the neocortex to selective changes in spike activities of different striatal regions, but we emphasize again that these dynamic changes occur across corticostriatal and other circuits.

A key advance in recent human brain imaging methods is the ability to map activity that corresponds to a specific sequence or skill using either machine learning or repetition suppression methods (Wiestler & Diedrichsen 2013; Wymbs & Grafton 2013). These show that the degree to which different cortical areas represent a specific skills depends in large part on the depth of training experience, not simply on time (Wymbs & Grafton 2013). Over a longer training horizon, there is less reliance on premotor areas to represent a sequence. Ultimately, a central feature of motor skill is the ability to guide actions without explicit memory or external stimuli through the creation of direct motor-motor associations. Not surprisingly, skill specific changes also emerge within motor cortex (Karni et al. 1995; Wymbs & Grafton 2013). Thus, across habits and skills, different sorts of automaticity are gained. There are compelling parallels between these recording studies in animals during habit learning and human imaging experiments of skill learning.

Although the acquisition of physical skills is commonly attributed to on-line feedback-based error learning mediated by the cerebellum, allowing for powerful tuning of complex musculoskeletal dynamics, it is important to note that all of the associative and sensorimotor cortical areas that have been implicated in motor skill acquisition and performance project directly to the striatum as parts of corticostriatal circuits. As demonstrated by the rodent recording work, multiple corticostriatal loops, as judged by striatal projection neuron activity, are simultaneously active as reward-based learning occurs. In each of these loops, dopamine plays a central role. Dopamine receptor blockade in non-human primates impairs skill acquisition (Tremblay et al. 2009). Deficits of dopamine signaling stemming from Parkinson's disease or dopamine receptor blockade are both determinants of the rate of skill acquisition (Weickert et al. 2013). Genetically determined reductions of striatal dopamine function can also influence response to rewards and impact skill learning as well (Frank & Fossella 2011; Stice et al. 2012).

There is evidence from experiments in monkeys that well practiced behaviors do not require the pallidal output nuclei of the basal ganglia for their expression (Desmurget & Turner 2010). This finding does not necessarily hold for habits, but it accords well with the proposal that the basal ganglia, and here we emphasize the striatum, is critical in the acquisition of action repertoires. Reinforcement-related signals reaching non-striatal regions are very likely also important for influencing the formation of cortical or other connections that mediate motor-motor associations (where the commands for one movement directly trigger the next command). It is important to note that there are significant striatal output connections that do not include the classical pallidal output nuclei of the basal ganglia. These connections could be part of the habits-skill performance circuitry even when the classic pallidal pathways are not required.

*Bracketing: A readout that frames an action*

In the part of the rodent striatum thought to be necessary for habitual performance, according to lesion studies (i.e., DLS), a striking pattern of neuronal activity emerges. As the animals learn, the striatal activity at first marks the full run-time but later begins to bracket the entire run. Activity becomes more and more prominent at the beginning and end of the runs, or beginning and end of the action through the turns. Surprisingly, at the same time, activity during the rest of the run time declines and may even be below pre-run baseline levels. The kinematics of the runs are changing as the rats become more and more repetitive in their navigational routes, but the 'end' activity can occur even after the rats are no longer running, and thus cannot simply be attributed to velocity or acceleration signals. Similarly, the 'beginning' or 'start' activity can occur before the runs have begun.

Such beginning and end activity has been seen repeatedly in different experiments in rodents (Barnes et al. 2005; Jog et al. 1999; Smith & Graybiel 2013; Thorn et al. 2010), is long-lasting, and has also been found in lever pressing tasks (Jin & Costa

2010). Task-bracketing activities have also been recorded in the striatum (and prefrontal cortex) of macaque monkeys performing well learned motor skills, including oculomotor sequential saccade tasks (Fujii & Graybiel 2003) and sequential arm reaching tasks (J. Feingold et al., unpubl.; R. Turner, pers. comm.). Concurrent phasic episodes of oscillatory local field potential activity also occur in relationship to action boundaries (Howe et al. 2013). In patients with Parkinson's disease, LFP recordings within the subthalamic nucleus demonstrate anticipatory suppression of beta-band activity at sequence boundaries that is linked to better performance (Herrojo Ruiz et al. 2014). This feature is also seen in monkeys performing arm-reaching tasks (J. Feingold et al., unpubl.).

Remarkably, a nearly inverse pattern of spike activity has been shown to develop in the associative part of the striatum indicated by lesion studies as critical for goal-directed behavior (rodent DMS). The projection neuron ensembles gradually develop increased firing during the runs, especially around the decision period of the task. There is much less activity at the beginning and end of the runs. Moreover, this decision-period activity then subsides during late learning—the very time that the beginning-and-end activity in the DLS is especially strong (Thorn et al. 2010).

Finally, in the ventromedial striatum, ensembles in the aggregate also exhibit 'beginning-and-end' responses. Yet others fire throughout the runs, ramping up to the time of reward receipt (Atallah et al. 2014). These findings provide unequivocal evidence that striatal projection neurons have highly dynamic ensemble response patterns during habit learning. Especially notable is the fact that these patterns reflect entire behavioral sequences—from beginning to end—that initially are goal-directed but after long training can become nearly autonomous but for being triggered by a start cue.

Protocols have now been employed in rodents to determine how fixed the striatal task-bracketing patterns are. Extinction protocols, in which rewards were either removed or rarely given after acquisition and prolonged over-training, nearly

abolishes the beginning-and-end pattern, but if the rewards are returned, the beginning-and-end pattern re-appears almost immediately. Thus, the form of action boundary representation in the sensorimotor striatum somehow can be suppressed, but cannot be erased, by removal of rewards. Applying after prolonged training the classic reward devaluation procedure of Dickinson (1985) in which the reward is maintained but is made unpalatable, hardly changes the striatal beginning-and-end pattern (Smith & Graybiel 2013). Thus the task-bracketing pattern in the DLS is extremely resistant to degradation—it takes wholesale removal of rewards to block it fully, and even then, the pattern is latent, but not gone, and is rapidly retrievable.

Although the function of this bracketing activity remains unknown, a strong case can be made from the studies on habit learning that it is tied to feedback about how successful a sequence of actions within the bracket has been in gaining a desired outcome. This function is at the heart of the trial-and-error learning that leads to the forming of habits composed of multiple sequential actions. The ‘end’ patterns found in these sequences could provide such outcome signals. These phasic end responses are themselves remarkably dynamic, changing or coming and going during the course of training (Atallah et al. 2014; Smith & Graybiel 2013). The mechanisms underlying these habit-related activity patterns are not understood, but evidence from the rodent experiments suggests that the activity of striatal interneurons is strongly modulated during habit learning. This result is important, because it indicates that intrastriatal networks undergo profound reorganizational changes. Thus the ensemble patterns such as task-bracketing cannot solely be attributed to the direct input connections of the projection neurons themselves: changes in local intrastriatal microcircuit occur as well. Below we suggest that the bracketing could be a neural sign of the chunking of behaviors that have proven successful enough to the organism to merit prolonged expression.

*From bracketing to chunks: Shaping the elements of action in relation to costs and benefits*



The bracketing activity surrounding a habit formed from multiple behaviors is defined by both 'start' and 'end' related changes of neuronal activity. While the 'end' activity could clearly be used to predict a subsequent reward, it is less obvious what the purpose of the 'start' activity is for. One possibility is that it serves as the opening of a bracketed behavioral unit. Interestingly, the beginning-and-end activities often are built and changed together, and sometimes appear in the responses of single striatal neurons. In monkeys it has been shown that experimentally triggered changes in the end activity can induce changes in the accompanying start activity.

A key idea in control theory is that optimal behavior is determined not only by the reward obtained, but also by the minimization of some cost function related to the set of actions needed to accomplish the action. If a behavior needs to be optimized over a particular time interval, then there needs to be an indication of when an action actually begins and ends. This estimate of a distinct time interval becomes increasingly important during transitions from habits to motor skills for which, there is a further refinement of behavior at the level of kinematics and limb dynamics based on optimal control principles. Ultimately, optimal control requires an estimate of the physical or neural cost of carrying out a particular action. Together, the 'start' and 'end' activities could contribute to this estimation by providing a reading frame for labeling a given action.

A direct investigation of optimization within a habitual sequence of eye movements was examined in oculomotor scanning patterns generated by naïve, untrained monkeys (Desrochers et al. 2010). In this study, monkeys who were never trained experimentally were placed in a booth with a computer screen in front of them, on which colored discs appeared. The monkeys naturally looked around the display and, without experimental training, tended to acquire particular favored scan patterns that gradually changed over months of experience. The bit-by-bit changes in the monkey's scanning resulted in a succession of favored spatiotemporal

chunking patterns of the untrained habitual saccade sequences, and these habitual scanning patterns eventually became optimal or nearly optimal, as judged by models of the task. Remarkably, nearly all of these adjustments in the scanning patterns took place long after maximum reward had been obtained. Analysis demonstrated that the behavior was driven by small trial-by-trial differences in the cost of the scans: the distance required. This has led to the conclusion that extremely fine-grain, trial-by-trial monitoring of least-cost can be a driver of habit learning as well as a driver of skill learning. These results suggest that the brain has a natural tendency to reduce cost and that this tendency, in addition to sensitivity to reward, can drive the character of habits. Recent electrophysiological recordings suggest that striatal projection neurons encode these outcome and cost signals in their 'end activity' (T. Desrochers et al., unpubl.).

A set of behaviors that are reliably combined and expressed as a habit can ultimately be viewed as a 'chunk', framed by the neuronal bracketing activity in the striatum. This notion of chunking, introduced by George Miller in reference to helping deal with memory load (Miller 1956), invokes, for the motor system, the binding together of multiple behaviors into a single behavioral unit.. There are different aspects to such packaging up of behaviors. First is a form of chunking (concatenation), bundling the individual elements into a whole. As we note below, there is reason to think that the striatum and its circuits could be critical to this function (Graybiel 2008). Alongside this is another well known phenomenon in cognitive science, wherein adjacent elements of a long sequential stimulus or behavior are temporally divided up (parsing), leading to detectable pauses between groups of adjacent elements. Chunking is often used strategically by humans to parse long strings stimuli into smaller sets to facilitate memory, as proposed by Miller by analogy to remembering sequences of numbers. For example, a U.S. phone number is strategically divided into a 3-3-4 pattern.

Pauses within a sequence of movements provide a useful way to identify chunks embedded within long sequences. New computational tools are emerging to identify

chunks for habits or motor skills based on concatenation, rather than parsing. Concatenation can be spotted by examining the covariation or timing of movements within a suspected chunk or by assessing the frequency with which errors are made at the boundary of adjacent chunks rather than within chunks (Acuna et al. 2014). Thus, it is becoming possible to assess the strength by which successive elements of a complex action are combined. Whether it is habits or skills, the animal is seeking to minimize some cost function over the time interval of the complete action. This development of smooth kinematics is universally observed as animals combine fragmented movements together. By concatenating muscle synergies or movements together in specific groups, it might be possible to improve efficiency. Interestingly, the learning of the kinematics can progress more rapidly than the progression of the behavior becoming a habit (Barnes et al. 2005; Jog et al. 1999; Smith & Graybiel 2013).

Based on the bracketing patterns that form in the striatum and elsewhere, and the behavioral changes that occur alongside them, it has been suggested that one function of the striatum (and hence of the basal ganglia) could be to facilitate such chunking as habits and routines form (Graybiel 1998; Graybiel 2008). The key property of this process is the selection of behaviors that are successful, either through optimizing reward or cost or their integral. This notion fits well with our suggestion that the striatum and associated circuits could be important for achieving optimality in the performance of both habits and skills. Marking action-sequence boundaries allow the sequences to be represented as units that could then be released more readily than un-concatenated chains of elements. Importantly, this function should serve cognitive as well as motor packaging-up of beneficial (or, in pathology, non-beneficial) behaviors (Graybiel 2008).

Evidence for a role of the striatum in chunking movements stems in part from pharmaceutical blockade of dopamine receptors in monkeys with the drug raclopride. This manipulation does not impact well learned sequences, but disrupts the formation of new chunks (Levesque et al. 2007). Chronic dopamine denervation

in patients with Parkinson's disease can also lead to an impairment of chunking for new sequences of movement (Tremblay et al. 2010). There is also emerging evidence from human neuroimaging that the strength of activity in the associative striatum varies on a trial-by-trial basis with the degree to which subjects put together elements of motor sequences into chunks—the concatenation aspect of chunking (Wymbs et al. 2012). Ultimately, if a string of behavioral elements is represented as a single unit, then there should be concomitant neuronal activity reflecting this. Not only is the representation of an action boundary present in the spiking of ensembles of striatal neurons, it is also observed in striatal neurons with maintained activity (Barnes et al. 2011; Hernandez et al. 2013; Howe et al. 2013; Kubota et al. 2009). Here, the action-related activity can be identified throughout the duration of the set of actions forming a chunk. This could represent a prolonged form of dopamine signaling, which in the ventromedial striatum can span the entire habitual behavior (Howe et al. 2013). Thus, the neuronal populations within rodent striatum associated with the bracketing and task-on activity could serve as neural signatures of the concatenation and parsing functions identified in human studies of chunking.

Collectively, these studies across multiple species and tasks suggest that striatal circuits can help to bring together advantageous behavioral segments into sequences that help to achieve behavioral goals. The result, in this view, is that the behaviors could be released readily as a complete 'set' when the appropriate context calls for this release. We now know that these beginning-and-end patterns can develop in cortical regions and elsewhere, suggesting that this process is a network property, strongly evidenced in parts of the striatum and corresponding corticostriatal loops. This idea finds strong resonance in observations in neurology, for example in the problems of Parkinson's patients in starting a sequential set of actions such as walking, and then in ending the sequence once underway.

*Where are habits 'stored'? Circuit dynamics are critical to habit learning and performance*

Causal evidence for circuit-level control of habits is just emerging. Lesion studies show that the medial prefrontal cortical region called infralimbic cortex (IL), in rodents, like the striatal DLS, is necessary for habits to be performed. New optogenetic studies have shown that the IL exerts on-line control of the performance of well-ingrained habits (Smith et al. 2012) and is necessary for their formation (Smith & Graybiel 2013). This work is critical to any account of the role of the striatum in habit formation, as it suggests a form of cortical control that can, on a moment-by-moment basis, determine whether a behavior is performed habitually or not. Strikingly, IL develops (in its upper layers) a strong task-bracketing pattern during habit learning, one similar to that in the DLS. But the cortical bracketing pattern, unlike the DLS bracketing pattern, is sensitive to reward devaluation: it is nearly lost. As IL does not project directly to the DLS, the results suggest that the on-line control is a circuit-level effect. This on-line control suggests that we need to re-think our ideas about the control of habits—both the learning of these behaviors and their expression. At the very least there are dual operators, cortical and subcortical, acting as habits become crystallized, and these act simultaneously with the cortical control being on-line (Smith & Graybiel 2013).

There is not yet comparable optogenetic evidence for the effects of perturbations within the striatum itself. This is key missing information. What can be said is that multiple circuits are simultaneously active as habits form, and that these circuits have differential sensitivities and patterns of connectivity. There is no evidence for habits being 'stored' in one site such as the striatum, though local networks within the striatum acquire new activity patterns during habit learning and could be local controllers. These considerations deflate controversies pitting different individual regions as being most important for habit learning.

*Chunks, the degrees of freedom problem, and optimal control*

We return to the key issues of the DOF problem and how to achieve optimal control. We suggest that a major advantage of habit formation is that this process allows many possible degrees of freedom to be essentially dropped from the animal's normal, habitual repertoire so long as the conditions surrounding the habit are not at odds with its performance. Change in these conditions could lead to a return to behavior typical of early acquisition—a kind of trial-and-error behavior akin to early language learning in children and to song learning in passerine birds. The degradation of the task-bracketing patterns with removal of positive outcomes fits with this reverse plasticity.

How does chunking relate to the problem of having unmanageable numbers of degrees of freedom and the need for optimality in motor control? In this instance, finding optimal solutions becomes increasingly difficult as the sequence of movements is lengthened. It is possible that by grouping fine-grained movement elements into chunks, the solutions for optimality become easier to compute. An alternative and intriguing possibility is that the pauses observed in complex movements are a result of optimal control. Preliminary evidence has been demonstrated in both humans and non-human primates as they perform 5 element sequential reaching tasks. For a given sequence different monkeys will converge on the same pattern of chunking. Kinematic analysis suggests the chunks lead to a more global pattern of movement efficiency than what is obtained otherwise (Ramkumar et al. 2014). However, these observations need to be tempered in light of the fact that in many situations, optimality is not essential. Instead, muscle synergies seem to be built on habits that are 'good enough' rather than optimal (de Rugy et al. 2012).

*Beyond actions: The utility of striatal circuits for emotional and cognitive habits and skills.*

As habitual actions become ingrained, the kinematics of the habitual actions, that is the physical skills enabling these habits, become standardized. But there is a further connotation of the term 'habit' to consider, one that invokes motivational processes that shape the expression of cognitive processes in particular contexts, irrespective of kinematics and physical skill. While these kinds of habits are not restricted to motor acts or sequences of motor actions, they are likely to also rely on corticostriatal circuits that utilize contextual information to shape behavior. Further, these habits of thought can be powerfully shaped by complex social cues (Graybiel 2008). For example, striatal neurons are able to distinguish reward predictions intended for the monkey undergoing neuronal recordings from those destined for another animal (Báez-Mendoza et al. 2013). Habits of thought, at least in humans, are probably as common as motor habits, and like motor habits, they are vulnerable to pathologic distortion. Our view is that such habits of mind can be created by cognitive pattern generators much as habits of action are generated (Graybiel 1997). Understanding these wider implications of learning repertoires of thought and action is an important goal for future work.

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