Modeling Electrocardiograms Using Interacting Markov Chains*

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Abstract

In this paper we develop a methodology for the statistical modeling of cardiac behavior and electrocardiograms (ECG's) that emphasizes (a) the physiological event/detailed waveform hierarchy; and (b) the importance of control and timing in describing the interactions among the several anatomical subunits of the heart. This methodology has been motivated by a desire to develop improved algorithms for statistical rhythm analysis. Specifically, to develop algorithms that capture cardiac behavior in a more fundamental way but that stop short of complete accuracy in order to highlight decompositions that can be exploited to simplify statistical inference based on these models. Our models consist of interacting finite-state processes, where a very few of the transition probabilities for each process can take on a small number of different values depending upon the states of neighboring processes. Each finite-state process is constructed from a very small set of elementary structural elements. We illustrate our methodology by describing models for three cardiac rhythms and include simulation results for one of these, namely the rhythm known as Wenckeback.

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1. Introduction

In this paper we describe a methodology for the statistical modeling of cardiac activity and electrocardiograms (ECG's). Our primary purpose in developing this methodology is to provide a basis for the design of automatic, statistical algorithms for rhythm analysis of ECG's, that is, the analysis of the sequential behavior of both atrial and ventricular events as observed in the ECG (see our companion paper [6]).

Modeling of ECG's is certainly not a new endeavor (for example, see [29],[7],[20],[15],[4]) nor is the development of statistical ECG models for the express purpose of designing signal analysis algorithms. However, the modeling methodology we describe here differs in a number of important ways from any earlier work. Roughly speaking, what we have tried to do, on the one hand, is to overcome the limitations of existing signal processing models by capturing cardiac physiology in a far more fundamental way. On the other hand, we have stopped far short of the detail found in physiologically-accurate models and rather have aimed both to keep only enough detail to allow successful signal processing and to highlight several critical features found in physiological models that allow the development of computationally feasible algorithms.

In particular, as we briefly describe in the next section, the behavior of the heart is characterized by the occurrence of a small number of events corresponding to contraction or relaxation of different major parts of the heart, and each of these events leads to the appearance of a particular waveform in the ECG. While very accurate descriptions of the ECG require breaking the ECG down further to account for the behavior of very small units of heart muscle, one can generally think of describing the ECG in hierarchical fashion—an upper level describing discrete cardiac events and a lower level describing the impact these events have on the ECG. As we discuss in Section 2, previously developed rhythm analysis methods typically make use of this decomposition implicitly—i.e. only the event level description is modeled, and it is assumed that a wave detection preprocessor has been applied to the ECG to provide event-level inputs to the signal processing algorithms. Our approach differs from these in two important ways. In the
first place, we explicitly model the two-level hierarchy, thereby allowing an integrated approach to wave detection and rhythm tracking. This provides one with a fundamental way in which to feed rhythm information back to the wave detection process. Secondly, and more importantly, our event-level descriptions of cardiac behavior are far more detailed and accurate than those used previously. In particular, the heart consists of several distinct subunits which interact relatively infrequently but strongly. Furthermore, the coordinated action of the heart (or any particular anomaly) can be explained in terms of control and timing. Specifically, the contraction of one part of the heart initiates the contraction of a neighboring portion (and thereby controls its behavior) if that portion of the heart is ready to contract (i.e. if the timing is right). As we describe, these observations plus a detailed examination of the mechanisms that characterize different cardiac rhythms have led us to develop a methodology for constructing spatially distributed models of cardiac behavior, emphasizing control and timing, and using a very small number of building blocks.

This paper is organized as follows: in the next section we provide a brief introduction to cardiac anatomy and physiology, review previous cardiac modeling investigations, and present an introduction to our modeling methodology. Sections 3 and 4 describe the general mathematical structure of the upper and lower levels, respectively, of our models, and in Section 5 we describe in detail the several building blocks used to construct our upper level models. In Section 6 we present three examples of rhythm models using our methodology, including the complete details and some simulations for one of these, namely the rhythm known as Wenckebach (Section 6.3).

2. Cardiac Anatomy, Physiology, and Modeling

In this section we summarize the physiological basis of our models, review some of the literature on ECG modeling, and present an overview of our model. The heart pumps blood by coordinated muscular contraction. The coordination is achieved by waves of depolarization that propagate in the cell membranes of a conduction system embedded in the muscular and structural
elements of the heart and in the muscle cells themselves. The voltage fluctuations measured at
the surface of the chest due to these depolarization waves are the ECG. After a depolarization
wave passes through a patch of membrane the patch must be repolarized before it is able to sup-
port a second wave. The period of time during which it is unable to support a depolarization
wave is called the refractory period. Many parts of the heart depolarize periodically without ex-
ternal stimulation and are called autorhythmic.

A normal heart beat is initiated by an autorhythmic depolarization of the sino-atrial (SA)
node. The depolarization propagates into the atria, causing the P wave in the ECG (see Figure 1)
and causing the atria to contract and pump their contents into the ventricles. The depolarization
wave then propagates through the atrio-ventricular (AV) node which is the only electrical con-
nection in the normal heart between the atria and ventricles and which delays the wave by 70–80
msec thereby allowing the blood pumped out of the atria to fill the ventricles before the ventricles
contract. Finally, the depolarization wave propagates through the specialized ventricular con-
traction system and ventricular muscle causing the major R and minor Q and S waves in the ECG
and causing the ventricles to pump their contents into the lungs and systemic body. Because the
ventricles have a greater mass of muscle than the atria, the R wave is greater in amplitude than
the P wave. Finally, the ventricular muscle repolarizes causing the T wave in the ECG. (The
ECG wave caused by the repolarization of the atria is masked by the much larger R wave.)

Though the preceding description of cardiac functioning is simplified, we believe that not
much additional complexity is required in order to model a wide variety of cardiac rhythms.
Specifically, there are a small number of general mechanisms present in a physiologically normal
heart which, when combined with three broad categories of physiological abnormalities, lead to a
wide variety of arrhythmias.

The first normal mechanism concerns autorhythmicity. The frequency of autorhythmic
depolarization varies with anatomic location and is greater in more proximal† structures. This

†Structure A is proximal to structure B if it occurs before structure B in the normal depolarization sequence. The
reverse of proximal is distal.
association between location and frequency is called the gradient of autorhythmicity. When an autorhythmic structure is depolarized by an external source, the biological clock counting down to the next spontaneously generated depolarization is reinitialized. The time interval between the reinitialization and the next spontaneous depolarization may simply be the usual autorhythmic period, in which case the process is called resetting, or it may be somewhat longer, in which case the process is called stunning. In light of the gradient of autorhythmicity and the reset/stun phenomena, the SA node is generally able to win the competition with more distal autorhythmic centers for control of the normal heart.

What we have just described is the unidirectional propagation of the depolarization wave through the heart in the normal direction, called the antegrade direction. Another normal mechanism in the cardiac conduction system is that it is also capable of propagation in the reverse, or retrograde, direction. This is obviously important when depolarizations are initiated in distal structures.

Let us now turn to the three categories of physiological abnormalities: decreased conduction capabilities, increased or decreased rates of autorythmicity, and abnormal electrical pathways connecting various portions of the heart (typically in the atrioventricular conduction pathway). Decreased conduction can occur in several forms, for example, total block of all depolarization waves, block of all depolarization waves coming from a particular direction, decreased propagation velocity, or increased refractory time. Increased (decreased) autorhythmicity refers to an increased (decreased) rate of autorhythmic depolarizations. Abnormal electrical pathways include several different structures that bypass all or part of the AV node and therefore have marked effects on cardiac timing. This small number of abnormalities, and the normal mechanisms described previously, are commonly used to explain essentially all classes of cardiac rhythms.

As we indicated in Section 1, modeling of ECG's is not a new topic. Numerous researchers have developed extremely detailed electromagnetic models of the heart, either without particular attention paid to the time evolution of the sources of the electromagnetic activity (see,
for example, [10],[16],[21],[30],[35]) or with time evolution as an important consideration (e.g. [17],[33],[18],[3],[25],[23],[36],[27],[28]). These modeling efforts had, as their objective, developing detailed and physiologically accurate models of cardiac electrical activity and not developing models that could provide a useful basis for ECG signal processing. In particular, these models generally are deterministic in nature or are only slightly removed from determinism (e.g. by allowing an initial, stochastic choice of parameters). Furthermore, the level of detail included not only is far greater than is needed for signal processing purposes but also involves a far larger number of degrees of freedom than one could hope to identify using the very small number of measurement traces taken in a typical ECG. On the other hand, there are features in some of these efforts that we also include in our methodology. In particular, some of these models do employ hierarchical descriptions of cardiac timing and the actual electromagnetic effects, and they all generally treat the heart as an interconnection of (typically very large numbers of) sub-models that interact infrequently but strongly.

Models that have been developed for signal processing purposes can be divided into two broad categories depending upon whether they model the sample-by-sample behavior of the ECG or just the sequential arrivals of the waves appearing in the ECG. Many authors (e.g. [32],[26],[19]) have used sample-by-sample models of individual ECG beats, while others ([1],[13],[22]) have considered sample-by-sample models of complete rhythms. However, none of these models describe the cardiac rhythms in the detail with which this paper is concerned.

Now let us briefly turn to event-based models. It is important to realize that the sequential index for such models is very different from that for sample-by-sample models. In a sample-by-sample model a data point \( y(k) \) represents an ECG measurement at the \( k^{th} \) time instant. In an event-based model a data point represents the time interval between the \( k^{th} \) occurrence of one type of wave and the next occurrence of that or another specified type of wave. In most of these models only the intervals between successive R waves (corresponding to ventricular contractions) are considered. In one set of models, these R-R intervals are quantized into several classes. In most cases only 3 classes—short, regular, or long—are considered, and various rhythms are
described either by use of Markov chains ([8],[9],[31],[24],[34]) or deterministic finite automata ([14]) to model the evolution of R-R interval patterns. In another set of papers ([11],[12]) interval lengths are not quantized, and an extensive set of vector Markov models are developed to describe the evolution of event interval patterns (see [2] for an independent, though less comprehensive, development). In the first part of this work ([11]) only R-R intervals are considered, while P-R intervals are also considered in the later paper.

From the perspective of the approach taken in this paper, these event-oriented models do highlight the timing information, which is of primary importance in tracking or identifying cardiac rhythms. However, the use of purely event-based models has some fundamental limitations. In Section 1 we mentioned one of these, namely the implicit assumption that wave detection has already been performed in a preprocessor. As we indicated, one might expect superior performance in an integrated algorithm in which rhythm tracking information assists wave detection. Only in ([12]) does one find an (ad hoc) use of feedback from tracker to wave detector. While the absence of a fundamental way in which to effect this feedback is a limitation, it is not the most serious one. A more basic problem with event-based models is the limited way in which one must model preprocessor errors. Specifically, this framework allows one to model the error in measuring the interval between two events, but it cannot accommodate the possibility that one of these events is missed altogether by the preprocessor. While this is not a serious problem for the large-amplitude QRS complex, it is a problem for the much smaller P-wave. The difficulty here is with the sequential event-related index, which also creates another even more serious problem. In particular, in many cardiac rhythms, such as those involving some type of AV node abnormality that on occasion causes a ventricular contraction to be dropped, there are a variable number of P waves between successive R-waves. For rhythms such as these, the use of an event-oriented time index breaks down, or at best leads to models with a tenuous connection to actual cardiac behavior.

From the preceding discussion, the hierarchical nature of the ECG is apparent—an upper level describing events and a lower level describing the waveforms resulting from events. Also
apparent is the spatially-distributed nature of the ECG and the role of control and timing in the interactions between the spatially-distributed components. Here, by control, we mean one portion of the heart triggering activity in another portion and, by timing, we mean the fact that the effect of this triggering may depend upon the state of the receiving portion. Finally, though we have not emphasized it in the previous discussion, there is a temporal decomposition. Specifically, the spatial decomposition of the heart into subunits which interact strongly but at infrequent intervals compared to the time scale at which each subunit evolves.

In our approach to cardiac modeling we highlight the occurrence of cardiac events, as has been done in previous signal processing models. However we have, at the same time, avoided the difficulties described previously by basing our models far more closely on cardiac physiology and anatomy. The key to accomplishing this in an effective way is to rely heavily upon and to highlight the spatial, temporal, and hierarchical aspects of cardiac phenomenology that we have just described.

Figure 2 presents a three-submodel example of the type of model we consider. The square boxes at the upper level of the hierarchy comprise the discrete-state physiological model, which captures the sequential evolution of high level events in the heart. The mathematical structure of these models is described in Section 3. Each submodel represents a functional anatomic structure (e.g. the atria, the ventricles, etc.). The directed solid lines indicate the initiator and recipient of control inputs, which we call interactions. For example, the transmission of a depolarization wave from atria to AV node might be modeled via an interaction in which the present state of a submodel representing the atria causes a transition in the AV-nodal submodel, representing the initiation of the AV-nodal depolarization.

The triangular objects in Figure 2 are parts of the electromagnetic model which models the actual observed waveforms. Each submodel corresponds to the generation of the ECG contribution from a particular anatomic structure of the heart (e.g. P waves from the atria). The dashed lines indicate the control of the electromagnetic level by the physiological level of a single submodel. These inputs are used to initiate the generation of waveforms in the observed
ECG. For example, the occurrence of a particular transition in the physiological portion of an atrial submodel might initiate the generation of a P wave in the corresponding electromagnetic submodel. The mathematical structure of the electromagnetic level is described in Section 4. Note that the electromagnetic level does not affect the physiological level and that there are no interactions among electromagnetic submodels.

Finally it is very important to note that often it is the interactions between the normal and abnormal parts of the heart that are of critical importance. That is, many of the changes in an arrhythmic ECG are due to how an abnormal substructure affects a normal part of the heart, rather than to a direct change in the ECG caused by the depolarization of the abnormal substructure. For example, the existence of a faster electrical connection between atria and ventricles leads to marked changes in the timing of the P and QRS waves and possibly to abnormal QRS complexes, even though the atria and ventricles are perfectly normal.

In our models of arrhythmias, we take the same approach. That is, we begin with a normal rhythm model which is transformed into an arrhythmia model by altering the appropriate submodel. The contribution of the altered submodel and its interactions with the unaltered submodels create the arrhythmic ECG. In order for the interactions to occur, we often must generalize the normal submodels. The alterations are to include properties which were left out initially because, in the normal rhythm, the represented unnecessary detail.

3. The Upper Hierarchical Level

In this section we discuss the upper hierarchical level, which we call the physiological model. This level is concerned with discrete events, and we have chosen to use Markov chains to describe their evolution. Because of the importance of spatial decompositions, we have also chosen a highly structured class of chains described in the following.

The state space of our Markov chains is the cross product of a set of spaces corresponding to the "states" of subprocesses which comprise the overall chain. Each subprocess corresponds to one of the anatomic subunits of the heart. Furthermore, there is a direct correspondence be-
between each state of a subprocess and a physical state of the corresponding anatomic subunit. We call each subprocess a **submodel**.

Let $x_n$ be the state of the overall Markov chain which consists of a set of $N$ subprocesses denoted $x^i_n$, $i = 0, \ldots, N - 1$. A key feature of our models is that the transition density, $p(x_{n+1}|x_n)$, has a great deal of structure. Specifically:

1. Given $x_n$, the transitions of each of the component subprocesses are independent. That is,
   \[
   p(x_{n+1}|x_n) = p(x^i_{n+1}, i = 0, \ldots, N - 1|x_n) = \prod_{i=0}^{N-1} p(x^i_{n+1}|x_n).
   \]

2. For each subprocess there are far fewer values of $p(x^i_{n+1}|x_n) = p(x^i_{n+1}|x^j_n, j = 0, \ldots, N - 1)$ than there are values of $\{x^j_n, j \neq i\}$. That is, we assume that $p(x^i_{n+1}|x_n) = p(x^i_{n+1}|x^i_n, h^i_n)$ where $h^i_n = h^i(x^j_n, j \neq i)$ denotes the net interaction of all other subprocesses with the $i$th subprocess. Typically the number of possible values of $h^i_n$ is quite small. In fact, in our examples $h^i_n$ takes on at most two or three values and only one or two transition probabilities of the $i$th chain are affected by the value of $h^i_n$. Thus, the subprocesses are "almost" independent, but, as we will see, these interactions can have an extremely important effect on the evolution of the subprocesses.

We now consider a very simple model for normal rhythm in order to fix these ideas about interacting subprocesses. This model has two submodels (Figure 3(a)), corresponding to a division of the heart into two anatomic substructures: the SA-atrial (SA/A) substructure, composed of the SA node and atria, and the AV-ventricular (AV/V) substructure, composed of the AV junction and ventricles. As in the normal heart, the SA/A submodel originates interactions with the AV/V submodel, corresponding to a super-ventricular depolarization originating in the SA node and propagating through the AV junction in the antegrade direction. For simplicity, the reverse (retrograde) conduction is not modeled.
In the SA/A submodel (Figure 3(b)), the state transition from 0 to 1 represents the firing of the SA node and the atrial depolarization. The time required for the state to travel from state 1 to state 0 models the random time between successive depolarizations of the autorhythmic SA node. Finally, by assuming that the atrial conduction velocity is infinite (an oversimplification for the purpose of illustration only), state 1 also represents the excitation of the AV node by the atrial depolarization.

That state 1 (in the SA/A submodel) represents the excitation of the AV node is reflected in the differing probabilities assigned in the AV/V submodel (Figure 3(c)) depending on whether the SA/A-submodel state is or is not in state 1. AV/V-submodel state 0 represents the fully repolarized state of the AV node and ventricles. If the AV/V submodel is in that state and the SA/A submodel moves into state 1, then the AV/V-submodel state transitions into state 1 with probability 1. This transition models the excitation of the AV node by the atrial depolarization. If the AV/V substructure is not receptive to a depolarization (i.e. is refractory), then the submodel state will not be in state 0 and the change in the probabilities due to the SA/A-submodel state occupying state 1 will have no effect on the evolution of the AV/V subprocess. The time required in the AV/V submodel for the state to travel through states 1 and 2 represents the (deterministic) AV-junctional delay time. The transition from state 2 to state 3 represents the initiation of ventricular depolarization. Finally, the time required for the state to travel through states 3, 4, and 5 represents the (random) AV-junctional and ventricular repolarization time. After repolarization the state traps in state 0 awaiting another excitation from the SA/A submodel.

4. The Lower Hierarchical Level

We now discuss the lower level in our hierarchy, which we call the electromagnetic model. The spatial decomposition that was imposed on the upper hierarchical level is also imposed on the lower hierarchical level since each individual waveform in the ECG that is modeled by the electromagnetic level is due to a single anatomic subunit.
Certain transitions between states in each physiological submodel correspond to the initiation of waves, so these transitions are used to drive the corresponding electromagnetic submodel. The output of each of the electromagnetic submodels is a linear superposition of signals with shifted origins. The unshifted signals are called signatures. The origin is the time at which the initiating transition in the corresponding physiological submodel occurs. Each signature is a shift-invariant finite-durational deterministic function with additive white zero-mean Gaussian noise (signature noise), where the additive noise is independent from one occurrence of the signature to the next and represents beat-to-beat variations. Finally, the outputs of the individual electromagnetic submodels are linearly superposed and the result is observed in additional exogenous, white observation noise.

Let $S_{ijk}^i$ be the signature from the $i^{th}$ electromagnetic submodel when the $i^{th}$ physiological submodel makes a transition from $j$ to $k$. Let $v$ be the white Gaussian observation noise. The observation $y$ is then

$$y(t) = \sum_i \sum_n S_{x_{n-1}, x_n(t-n)}^i + v(t)$$

Consider again the example of the previous section. The state transition from 0 to 1 in the S/A submodel represents the firing of the SA node and the atrial depolarization. Thus, as indicated in the diagram, the electromagnetic-model response to this transition is the P wave of the ECG. The electromagnetic-model response to the other state transitions, e.g. from 2 to 3, is identically zero and hence is not indicated.

In the AV/V submodel, the state transition from 2 to 3 represents the initiation of the ventricular depolarization. Hence the electromagnetic-model response to the corresponding transition is the QRS complex and the T wave. Here, we are modeling the QRS complex and T wave as deterministically coupled waveforms—the ST interval duration is not random. Note that

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\[ \text{This equation represents an abuse of notation. Specifically, each occurrence of a particular signature includes noise independent of the noise in other occurrences. Thus the various occurrences of } S_{jk}^i \text{ are not identical (although the deterministic mean is the same).} \]
a more complex model of the same type could allow a random coupling. The electromagnetic-model response to the other state transitions is identically zero.

Several aspects of the electromagnetic model merit comment.

(1) Note that some anatomic subunits do not cause waves in the ECG (e.g. the AV node) and therefore the corresponding electromagnetic submodel does not exist. Similarly, most transitions model the timing between wave and interaction initiations and therefore have no effect on the corresponding electromagnetic submodel. Thus very few transitions actually contribute to the ECG.

(2) The use of a Gaussian white noise to model both beat-to-beat morphology variations and observation noise is an obvious oversimplification. Incorporating more complex and realistic models is straightforward. For example, one could easily use a time series model for each waveform or, by adding states and transitions to a subprocess model, one could introduce additional signature-initiating transitions to model dramatically variant morphologies for any particular wave. The observation noise serves the role of modeling all noncardiac contributions to the observed signal, including motion artifact, electromyogram signals, and 60 Hz interference. Again it is straightforward to replace the white noise model by a more accurate correlated noise model. As all of these modifications add detail rather than new structure to our models, we have not included them here in order to present the essential elements of our modeling methodology. It is worth pointing out, however, that the level of realism needed in such models depends upon the use to which the models are to be put. If they are used as the basis for signal processing algorithms, model fidelity is only of indirect importance, as one generally seeks to find the simplest model that leads to a successful algorithm. For example, a white noise model was successfully used in the work of Gustafson, et al. ([11],[12]).

(3) The Markov chain cycle interval need not equal the signature sampling interval. Typically, the Markov chain cycle interval can be taken to be substantially larger
than the signature sampling interval reflecting the difference in time scale between interaction events (which determine the Markov chain cycle interval) and events internal to the anatomic submodels (which set the signature sampling interval). Because signatures can only be initiated at Markov chain cycles, unequal intervals appear to imply that signatures cannot be initiated at arbitrary signature samples. However, by using an augmentation technique as in (2), this problem can be overcome. For an example, see the Wenckebach model of Section 6.

(4) Given the sampling interval used, the transition probabilities of the submodels determine not only the sequencing of events but the overall rate at which events occur. Setting these parameters for a specific ECG is essentially a problem in parameter estimation for Markov chains, and a standard algorithm to perform this task would be needed in a complete signal processing system. Moreover, given that ECG behavior and in particular heart rate may be non-stationary, one may wish to view these transition probabilities as time-varying, or equivalently to incorporate an adaptive parameter estimation algorithm into the signal processing system. Such a system would, of course, also be needed to detect changes in rhythm such as the onset of tachycardia, much as in the work of Gustafson, et al. ([11],[12]).

5. The Microscopic Model—Structural Elements

In this section we describe the small number of elementary structural elements that are used in constructing our physiological models. Each of these elements is a piece of a Markov chain. There are two fundamental structural elements, which are essentially elapsed time clocks, out of which three other structural elements are constructed.

The first structural element is the delay line (DL) which is a part of a complete chain. When the state of a chain enters the first state of such an element, denoted i, it undergoes a random time delay and then arrives at the final state denoted o. The delay is called the transit time.
The pmf on the transit time is specified and unaffected by events in the other chains. In block diagrams we use the symbol shown in Figure 4 for a DL. Here the arrows at either end of the DL indicate transitions into the initial state i from other states in the overall submodel (possibly including o) and from the final state o to other states (possibly including i). No transitions exist to or from external states to states internal to the DL. The DL is used to model simple timing behavior in the coordinated operation of the heart. Two examples of DL’s are displayed in Figure 5. In the first of these there are no feedback transitions so that the transit time is bounded by the length of the DL. In the second example the presence of feedback transitions implies that there is in principle no upper bound on the transit time. In both examples the transit time pmf can be adjusted by varying the several transition probabilities.

The second structural element is the resettable delay line (RDL). This element is used to model both timing and the reset and stunning phenomena that can occur when a depolarization wave reaches an autorhythmic site. We often use the term delay line as a generic name for both DL’s and RDL’s. The differences between the RDL and the DL are that there are two different mechanisms for the state to exit an RDL, and an RDL has transition probabilities that are controlled, in a very simple and specific way, by interactions initiated by another subprocess in the overall Markov chain. The possible interactions impinging on a chain containing an RDL are divided into two classes denoted normal and abnormal. Within each class the transition probabilities in the RDL are constant. As long as the interaction is in the normal class, the RDL behaves as a DL, transiting from the initial state i toward the final state o. However, when the interaction is in the abnormal class, a second set of transition probabilities is used for the next transition. The second set of transition probabilities forces the state to leave the RDL and enter a state, external to the RDL, called the reset state and denoted by r.

In block diagrams we use the symbol shown in Figure 6 for an RDL. Here the dashed arrow and symbol c denote the effect of interactions from other submodels. The variable c takes on two values: R (for “reset”) if the current impinging interaction is in the abnormal class and \( \bar{R} \) (for “not reset”) otherwise. An example of an RDL is given in Figure 7.
The third structural element is the autorhythmic element which is capable of sustained cyclic behavior without external excitation. This element is constructed from DL(s) and/or RDL(s). The basic idea is to attach the input and output of a DL together, as in Figure 8. If a DL is used, this specifies the entire chain. If an RDL is used, it is also necessary to specify the identity of the reset state (e.g. see Section 6.2). The choice of DL versus RDL depends on the physiological process being modeled. Typically one or more transitions in the autorhythmic element will initiate ECG signatures (corresponding, for example, to the P wave resulting from the autorhythmic operation of the SA node).

The fourth element is the passive transmission line (PTL), constructed from a DL or an RDL. We illustrate the DL case in Figure 9. A PTL is a connection of a single state, called the resting state, to the initial state of a DL. The only transition out of the resting state is into the DL. The probability for this transition, denoted p, depends on the value of the current interaction impinging on the submodel containing the PTL. The possible values of this interaction are partitioned into two disjoint sets called the autonomous and nonautonomous sets. When the interaction is in the autonomous set, p = 0. That is, the resting state is a trapped state. In the other case (nonautonomous), p > 0. The PTL can be used to model a part of the heart, such as the AV node, that begins depolarization only when an external depolarization wave excites it. One or more transitions in the PTL may generate signatures in the ECG.

The fifth element is the bidirectional refractory transmission line (BDRTL), shown in Figure 10, which is a complete submodel and is used to model structures capable of supporting conduction in either the antegrade or retrograde direction. All unlabeled transition probabilities in Figure 10 take on the value 1. The state 0 corresponds to the repolarized resting state of the anatomic substructure. The RDL labeled A (R) corresponds to antegrade (retrograde) conduction. In accordance with these designations, the BDRTL attempts to excite the submodel(s) corresponding to the adjacent distal (proximal) anatomic substructure(s) whenever the BDRTL state occupies state \( \sigma_A \) (\( \sigma_R \)), the final state of the antegrade (retrograde) conduction RDL. RDL's are used here in order to model the possible collision of two depolarization waves,
one in the antegrade and one in the retrograde direction. The relationship between the resting state 0 and the RDL's A and R is a simple generalization of the PTL structural element. The third delay line (F), a DL, corresponds to the refractory period. A nonresettable delay line is used because, at the level of physiological detail that we are modeling, the duration of the refractory period is independent of all external events.

The state transition probabilities \( p_{0,A} \), \( p_{0,R} \), and \( p_{0,F} \) and the RDL state transition probabilities (controlled exclusively through \( c_A \) and \( c_R \)) are the only probabilities that depend on the states of other submodels, that is, on the interactions impinging on the BDRTL. In the absence of external excitations, \( p_{0,A} = p_{0,R} = p_{0,F} = 0 \) and \( c_A = c_R = \overline{R} \). That is, under these conditions, if the process was in the resting state, it remains there until an excitation initiates depolarization. If the process was in the middle of a depolarization, the depolarization continues in a normal fashion. If the BDRTL is excited from the antegrade direction, but not simultaneously from the retrograde direction, then \( p_{0,A} = 1, p_{0,R} = p_{0,F} = 0, c_A = \overline{R}, \) and \( c_R = R \). In this case if the process was in the resting state, it immediately exits and begins an antegrade depolarization. If the process is in the middle of a retrograde depolarization, the depolarization is stopped by resetting the RDL. This models the collision of the two depolarization waves. After this point in time the process proceeds through the DL modeling the refractory period. For the reverse case (i.e. excited from the retrograde direction but not from the antegrade direction), the values are \( p_{0,R} = 1, p_{0,A} = p_{0,F} = 0, c_R = \overline{R}, \) and \( c_A = R \). Finally, if the BDRTL is simultaneously excited from both the antegrade and retrograde directions, the \( p_{0,F} = 1, p_{0,A} = p_{0,R} = 0, c_A = c_R = R \).

Depending on what anatomic substructure the BDRTL models, it may or may not contain transitions which generate a non-zero response in the electromagnetic model. If the BDRTL does contain such transitions, then there are three basic situations which we illustrate assuming that the BDRTL models the atria which can be excited by the SA node or by retrograde conduction from the AV node. The three situations in which signatures are generated correspond to

1. Antegrade conduction without a reset (e.g. a normally conducted P wave from the SA node through the atria).
(2) Retrograde conduction without a reset (e.g. a retrograde P wave from the AV node through the atria).

(3) Reset-antegrade or retrograde conduction, corresponding to collisions of two depolarization waves. Such an occurrence generates a so-called fusion depolarization (e.g. a fusion P wave due to joint SA-nodal and retrograde-AV-junction depolarizations).

Though it is not the only possibility, we have used the transition from the resting state to $i_A$ or $i_R$ to generate the nonreset antegrade and retrograde electromagnetic model responses. For simplicity we have assumed that a fusion depolarization is identical to the response form the earlier of the two depolarization waves. Modifications allowing for a different signature for fusion waves can be easily accommodated.

6. Examples of ECG Models

The small number of building blocks described in the preceding section can be used to construct models for any cardiac rhythm. In [5] we have shown how one can relatively easily write down models for cardiac arrhythmias involving re-entrant pathways (in which depolarization waves can in fact cycle through parts of the heart several times), abnormal atrial-ventricular conduction pathways (such as the so-called Wolff-Parkinson-White syndrome), and the presence of ectopic foci (see Section 6.2). In this section we illustrate our methodology by presenting models for three different cardiac conditions: normal rhythm, normal rhythm with ectopic focus PVC's, and a type of second degree AV block called Wenckebach. The first two models are specified at the level of the structural elements of the previous section, while the third is described in complete detail.

A simple, graphical notation is helpful in describing the models. Figure 11 illustrates a model made up of four submodels, denoted by the boxes labeled C0, ..., C3. The directed lines between boxes indicate the existence of an interaction in the indicated direction. Thus, for example, submodel C0 initiates an interaction with submodel C1. The number of values which the
interaction can take on is not specified. The wavy lines terminating in S0, ..., S3 indicate that the submodel of the originating box contains one or more transitions which initiate a signature whose name is the label at the end of the arrow.

6.1 Normal Rhythm

A block diagram of a model for a prototypical normally conducted rhythm and a listing of the intersubmodel interactions is given in Figure 12. The heart is divided into four anatomic substructures—SA node, atria, AV junction, and ventricles—each of which is modeled by a separate submodel. Qualitatively, the model behaves in the following manner. The SA-nodal submodel initiates a depolarization wave. This is the only way in which a depolarization can be initiated in this model. The depolarization then propagates antegrade through the atrial submodel, producing the P wave; the AV-junctional submodel, which makes a zero contribution to the ECG; and finally the ventricular submodel, producing a QRS-T complex. Because only antegrade conduction is included in the model, submodel 0 is not resettable and submodels 1 and 2, which would be BDRTL's if retrograde conduction were included, consist instead of DL’s.

6.2 Normal Rhythm with Ectopic Focus PVCs

There are numerous autorhythmic sites in the heart, and occasionally, even in a normal heart, one of these sites may successfully initiate a depolarization wave. Such a site is referred to as an **ectopic focus** or **pacemaker**. If this focus is located in the ventricles, what can result is the contraction of the ventricles a short time before the next normal depolarization would have occurred. Such a beat is called a **premature ventricular contraction (PVC)**.† Because of the anomalous location at which this depolarization starts, the resulting QRS waveform is generally quite different from a normal beat. Typically the PVC is a more spread-out waveform as the initiation of the contraction of one ventricle precedes that of the other by a noticeably larger time.

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† PVC's can also arise through a reentrant pathway mechanism. In this case there is typically a more regular relationship between the timing of the PVC and the previous, normal, QRS complex. It is certainly possible to model this mechanism using our methodology, but we do not do so here.
interval. Furthermore, when a PVC occurs, it is possible for the resulting depolarization wave to propagate in a retrograde direction, colliding with the normal SA-node-initiated wave or arriving at and resetting the SA node.

In order to develop the model for this arrhythmia, described in Figure 13, we have modified the normal-rhythm model in two ways. First, we have modified the part of the normal-rhythm model which corresponds to the part of the heart which exhibits the abnormal physiology. Therefore we have replaced the ventricular submodel by a new ventricular submodel and an ectopic ventricular pacemaker submodel. In the ventricular model the QRS and the PVC signatures both include their corresponding T-waves. That is, the signature includes the entire depolarization-repolarization cycle. Therefore the inverted T-wave typical of PVC’s is directly included here. Second, we have modified, as required, the remaining parts of the normal-rhythm model so that they can interact with the part modified in the first step. The primary purpose of these modifications is to allow retrograde conduction and resetting of the SA node.

6.3 Wenckebach

Wenckebach is characterized by a multibeat cycle, typically three or four beats long, in which the P waves are repeated at constant intervals but the P-R interval grows until, in the final beat of the cycle, the R wave is dropped. Then the cycle begins again with the P-R interval reset to its initial small value. The increase in the P-R interval from beat to beat is usually greatest at the beginning of the cycle.

Physiologically, the cause of Wenckebach is a defective AV node. Specifically, the AV node is such that it has a long relative refractory period. At the beginning of the multibeat Wenckebach cycle, the AV node is at rest. The first excitation occurs and is transmitted to the ventricles and the AV node enters its refractory period. Because the refractory period is prolonged, the second excitation from the atria reaches the AV node during its relative refractory period. The impulse is still able to excite the AV node (although propagation is at a reduced speed) and through it the ventricles, since the effective refractory period is past. However, the
early excitation of the AV node has two effects: the following P-R interval and the following refractory period are both prolonged. Thus the third excitation occurs even earlier in the relative refractory period. This lengthening of the P-R interval and refractory period continues until finally a depolarization wave attempts to excite the AV node during its effective refractory period and is not conducted at all. This leads to the dropped R wave and gives the AV node time to complete its refractory period before the next excitation.

We now describe the behavior of the AV-nodal (AV) submodel during a Wenckebach cycle (see Figures 14 and 15). Initially the AV node is at rest: \( x^1 = 0 \). When the AV submodel is excited, the state transitions into the \( AV_1 \) DL. The transit time for this DL is the AV-junctional delay. A transit time from the \( AV_1 \) DL is biased toward shorter values than a transit time from any of the other \( AV_i \) DL's. Therefore, as desired, this is the shortest possible AV-junctional delay. After the AV-junctional delay, the AV submodel attempts to excite the \( V \) submodel: \( x^1 = 1 \). Then the AV submodel enters the effective refractory period. Note that the effective refractory period contains a transit-time contribution only from the \( AR_4 \) DL and therefore the effective refractory period is short. Following the effective refractory period is the relative refractory period consisting of the total time spent in the \( RR_1 \), \( RR_2 \), and \( RR_3 \) DL's. If the next excitation of the AV submodel is sufficiently delayed, the AV submodel’s state will pass through the three RDL’s labeled \( RR_1 \), \( RR_2 \), and \( RR_3 \) and reenter the resting state (state 0). However, that is not what usually occurs. Rather, the refractory period duration is such that the next excitation of the AV submodel generally occurs during the relative refractory period. More specifically, because this first beat of the cycle had a short AV-junctional delay (using delay line \( AV_1 \)) and a short effective refractory period (avoiding delay lines \( AR_1 \), \( AR_2 \), and \( AR_3 \)), the next excitation of the AV submodel generally occurs while the AV submodel's state is in \( RR_3 \), the final RDL of the relative refractory period. Therefore, the excitation of the AV submodel forces the AV submodel’s state to transition into the \( AV_2 \) DL, leading to a somewhat longer AV-junctional delay than in the previous beat and subsequently to a somewhat longer effective refractory period (\( AR_3 \) and \( AR_4 \) DL’s).
At this stage of the cycle the state is typically in the RR2 DL when the following excitation occurs. Therefore, the state is reset into the AV3 DL. This leads to a still longer AV-junctional delay (AV3 DL) followed by a longer effective refractory period (AR2, AR3, and AR4 DL’s). This continues until the AV state is still in one of the effective-refractory-period DL’s when the following excitation occurs. This excitation has no effect on the AV submodel and consequently is not conducted to the ventricles and a beat is dropped. The state of the AV submodel continues through the effective-refractory-period DL’s, the relative-refractory-period RDL’s, and finally traps in the resting state (state 0) where it remains until the next excitation occurs, at which point the Wenckebach cycle is restarted.

The actual Markov chains and signatures are shown in Figure 16. They were chosen based on a nominal heart rate of 60 beats per minute with a Markov chain cycle period of $\frac{1}{25}$ second and a signature sampling period of $\frac{1}{100}$ second. Note the multiple copies of the P wave signature with one, two, or three leading zeros. These were introduced so that P waves could begin at any signature sample rather than at only every fourth signature sample (i.e. at a Markov chain transition). Similar remarks apply to the V and T waves in the V submodel. Since we are most interested here in illustrating event timing, we have not included beat-to-beat morphology variations (i.e. the signature noise variances have been set to zero).

Table 1 gives a summary of a few successive Wenckebach periods and Figure 17 displays the corresponding simulated ECG. Note the lengthening P-R intervals followed by a dropped beat. Note also that the model is not deterministic. For example, sometimes the Wenckebach cycle is four beats long and sometimes it is five.

**Acknowledgement**

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Fig 2.
SA-atria
submodel
(submodel 0)

AV-ventricles
submodel
(submodel 1)

Fig 3(a)
If the state of the SA-atrial submodel $\in \{1, 2, 3, 4\}$:

Fig 3(b)(c)
if \( c = \bar{R} \)

if \( c = R \)

Fig 7
$r$ = resting state

Fig 9
Fig 10
SA node

Atria

AV junction

Ventricles

Fig 12(a)
Submodel 1: Atrial Substructure

Submodel 2: AV-junctional Substructure

Submodel 3: Ventricular Substructure

Fig 12(b)
Submodel 0: Submodel for the SA-nodal Substructure

This submodel is autonomous.

Submodel 1: Submodel for the Atrial Substructure

\[ p_{t,A}^1 = \begin{cases} 
1 & \text{if } x^0 \in \{0\} \\
0 & \text{otherwise} 
\end{cases} \]

Submodel 2: Submodel for the AV-junctional Substructure

\[ p_{t,A}^2 = \begin{cases} 
1 & \text{if } x^1 \in \{o^1\} \\
0 & \text{otherwise} 
\end{cases} \]

Submodel 3: Submodel for the Ventricular Substructure

\[ p^3 = \begin{cases} 
1 & \text{if } x^2 \in \{o^2\} \\
0 & \text{otherwise} 
\end{cases} \]

Fig 12(c)
SA node

Atria

AV junction

Ventricles

Ectopic Ventricular Pacemaker

Fig 13(a)
Submodel 1: Atrial Substructure

Submodel 2: AV-junctional Substructure

Submodel 3: Ventricular Substructure

Submodel 4: Ectopic-ventricular-pacemaker Substructure

Fig 13(b)
Submodel 1: Submodel for the Atrial Substructure

\[ p_{1,A}^{1} = \begin{cases} 
1 & \text{if } x^{0} \in \{o^{0}\} \text{ and } x^{2} \in \{o_{R}^{2}\} \\
0 & \text{otherwise}
\end{cases} \]

\[ p_{1,R}^{1} = \begin{cases} 
1 & \text{if } x^{0} \in \{o^{0}\} \text{ and } x^{2} \in \{o_{R}^{2}\} \\
0 & \text{otherwise}
\end{cases} \]

\[ p_{1,F}^{1} = \begin{cases} 
1 & \text{if } x^{0} \in \{o^{0}\} \text{ and } x^{2} \in \{o_{R}^{2}\} \\
0 & \text{otherwise}
\end{cases} \]

\[ c_{1}^{1} = \begin{cases} 
R & \text{if } x^{2} \in \{o_{R}^{2}\} \\
0 & \text{otherwise}
\end{cases} \]
SA-Atria: $x^0$

AV: $x^1$

Ventricles: $x^2$

\[ P_{AV} = \begin{cases} 1 & \text{if } x_0 = 0 \\ 0 & \text{otherwise} \end{cases} \]

\[ C_{AV} = \begin{cases} R & \text{if } x_0 = 0 \\ R & \text{otherwise} \end{cases} \]

\[ P_V = \begin{cases} 1 & \text{if } x^1 \in \{1, 2, 3, 4\} \\ 0 & \text{otherwise} \end{cases} \]
SA-Atrial: \( x^0 \)

AV: \( x^1 \)

Ventricular: \( x^2 \)

\( p^* \left\{ \begin{array}{ll} 1 & \text{if } x^0 \in \{0\} \\ 0 & \text{otherwise} \end{array} \right. \)

\( p^* \left\{ \begin{array}{ll} 1 & \text{if } x^1 \in \{1,2,3,4\} \\ 0 & \text{otherwise} \end{array} \right. \)

Fig 16 (a)
Fig 16 (b)
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Table 1