A Computer-Aided Design Methodology for Low Power Sequential Logic Circuits

by

José Carlos Alves Pereira Monteiro


Submitted to the Department of Electrical Engineering and Computer Science in partial fulfillment of the requirements for the degree of Doctor of Philosophy at the MASSACHUSETTS INSTITUTE OF TECHNOLOGY May 1996

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Abstract

Rapid increases in chip complexity, increasingly faster clocks, and the proliferation of portable devices have combined to make power dissipation an important design parameter. The power consumption of a digital system determines its heat dissipation as well as battery life. For some systems, power has become the most critical design constraint.

In this thesis we develop a methodology for low power design. We first present techniques for estimating the average power dissipation of a logic circuit. At the logic level, power dissipation is directly related to switching activity. We describe a symbolic simulation method to accurately and efficiently compute the switching activity in logic circuits. This method is extended to handle sequential logic circuits, namely by modeling correlation in time and by calculating the probabilities of present state lines.

In the second part of this thesis we develop methods for the reduction of switching activity in logic circuits. We present a retiming method for low power. Registers are re-positioned such that the overall glitching in the circuit is minimized. We then propose a powerful optimization method that is based on selectively precomputing the output logic values of a circuit one clock cycle before they are required, and using the precomputed values to reduce internal switching activity in the succeeding clock cycle. Finally we describe a scheduling method that maximizes the inactivity period of the modules in a circuit.

Keywords— low power design, power estimation, symbolic simulation, precomputation, retiming, power management, scheduling.

Thesis Supervisor: Srinivas Devadas
Title: Associate Professor of Electrical Engineering and Computer Science
To my parents
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◊ ◊ ◊

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José was a recipient of the Fulbright Scholarship (September 1992). He received the 1995 Best Paper Award in the IEEE Transactions on VLSI Systems for his work on power estimation of sequential circuits. José is a member of the Institute of Electrical and Electronics Engineers (IEEE).

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Chapter 1

Introduction

Digital integrated circuits are ubiquitous in systems that require computation. During the years of their inception, the use of integrated circuits was confined to traditional electronic systems such as computers, high-fidelity sound systems, and communication systems. Today not only do computer and communication systems play an increasingly important role, but also the use of integrated systems is much more widespread, from controllers used in washing machines to the automobile industry. As a result, digital circuits are becoming more application specific.

The shrinking of device sizes due to the improvement of fabrication technology has increased dramatically the number of transistors available for use in a single chip. Functions that were performed by several chips can now be done within a single chip, reducing the physical size of the electronic component of the system. The larger capacity of the chips is also being used to extend the functionality of the systems. The overall consequence is a substantial increase in complexity of the integrated circuits.

In order to handle the ever increasing complexity, computer-aided design tools have been developed. These tools have to be general enough to produce good solutions for the broad range of applications for which integrated circuits are being designed.

The first generation of computer-aided design tools dealt with automatically generating the layout masks from the description of the circuit at the logic level. Then
logic synthesis tools were introduced to obtain optimized logic circuits from some input/output specification. More recently, tools that can do system-level optimization given a Register-Transfer Level (RTL) description have been proposed. The trend towards moving the circuit specification to higher level descriptions continues with research being conducted at the behavioral synthesis level. At this level, the circuit description is akin to an algorithmic description and the synthesis tool decides which registers and functional units to use and assigns each operation to one of these units on a given clock cycle.

A complete synthesis system is presented in Figure 1-1. Each synthesis tool translates a description of the circuit into an optimized description at a lower level. At every description level, area, timing and power dissipation estimates can be obtained and used to drive the synthesis tool such that the design's constraints are met. If at some level any of these constraints is violated, the designer needs to go back one or more description levels and redo the synthesis with different parameters, perhaps relaxing some constraint.

As shown in Figure 1-1, the logic synthesis process is usually split into two different phases. First logic optimization is performed on a Boolean description of the circuit. Technology mapping is then performed on this optimized circuit – this consists of translating the generic Boolean description to logic gates existing in the chosen library. This library is specific to the fabrication process that is going to be used and has precise layout, area and timing information for each gate. Design estimates at this level are therefore more precise than at higher levels.

Also shown in Figure 1-1 is the automatic test generator module [ABF90]. At the logic level, this tool generates a set of input patterns that attempts to identify possible circuit malfunctions after fabrication. These input patterns can then be used to test the functionality of the fabricated chips and should be small in number to minimize the test time. Although automatic test generation is seemingly independent from the synthesis process, testability-aware synthesis algorithms can dramatically improve the performance of the test generator.
Figure 1-1  A complete synthesis system.
INTRODUCTION

1.1 Power as a Design Constraint

Traditionally the constraints in the design of an integrated circuit have been timing and area [BHMSV84, BRSVW87, BHJ+87, ADN91]. When designing a circuit, there is usually a performance goal which translates to a maximum duration that any logic signal can take to stabilize after the inputs have stabilized. The second concern is that the circuit should take up as little area as possible since die area has a direct correspondence to cost. Further, this is not a linear relationship as the larger the circuit the more probable it is that there is a fabrication process error in a circuit, lowering circuit yield [Wal87, Chapter 2].

However, the importance of low-power dissipative digital circuits is rapidly increasing. For many consumer electronic applications low average power dissipation is desirable and for certain special applications low power dissipation is of critical importance. For personal communication applications like hand-held mobile telephones, low power dissipation may be the tightest constraint in the design. The battery lifetime may be the decisive factor in the success of the product.

More generally, with the increasing scale of integration and faster clock frequencies, we believe that power dissipation will assume greater importance, especially in multi-chip modules where heat dissipation is one of the biggest problems. Even today, power dissipation is already a significant problem for some circuits. General purpose processors such as the Intel Pentium™ and DEC Alpha™ consume 16W and 30W, respectively. Higher temperatures can affect the circuit’s reliability and reduce the lifetime of the system [Chr94]. In order to dissipate the heat that is generated, special packaging and cooling systems have to be used, leading to higher costs.

Optimization for low power can be applied at many different levels of the design hierarchy. The average power dissipation of a circuit, like its area or speed, may be significantly improved by changing the architecture of the circuit [CSB92]. Algorithmic and architectural transformations can trade-off throughput, circuit area, and power dissipation. Furthermore, scaling technology parameters such as supply and threshold
voltages can substantially reduce power dissipation. But once these architectural or technological improvements have been made, it is the switching of the logic that will ultimately determine its power dissipation.

The focus of this thesis is a methodology for the optimization of digital circuits for low power at the logic level. The techniques developed are independent of the power reduction techniques applied at higher levels and can be used after system-level decisions are made and high-level transformations applied.

To effectively optimize designs for low power, however, accurate power estimation methods must be developed and used. Power dissipation is generally considered to be more difficult to compute than the estimation of other circuit parameters, like area and delay. The main reason for this difficulty is that power dissipation is dependent on the activity of the circuit. Therefore, in the first part of this thesis we focus on the power estimation problem.

1.2 Organization of this Thesis

This thesis is organized in two main parts. The first part addresses the problem of estimating the average power dissipation of a circuit given its description at the logic level. We start by describing in Chapter 2 the issues involved in computing the power dissipation of digital circuit. We show that power is directly related to the switching activity of the signals in the circuit. We provide a critique of existing power estimation techniques, namely by pointing out how each technique addresses the issues previously mentioned.

Chapter 3 presents our approach to power estimation for combinational logic circuits. We discuss the merits and drawbacks of our approach and provide comparisons with previous methods.

The power estimation techniques mentioned in Chapters 2 and 3 target combinational circuits. In general, digital integrated circuits are sequential, i.e., they contain memory elements. Chapter 4 describes the technique we have developed that extends the method
of Chapter 3 to the sequential circuit case. However, this technique is general enough to be used with any other combinational power estimation method.

One other factor that needs to be taken into account in accurate power estimation is the temporal correlation of primary inputs. Also in Chapter 4, we show how to model this correlation and obtain an accurate power estimation by making use of a sequential power estimator.

The second part of the thesis is devoted to optimization methods for low power. Chapter 5 presents a survey of the most significant techniques that have been proposed thus far to reduce the power consumption of digital circuits at the logic level. The next three chapters present original work on sequential logic optimization for low power.

Chapter 6 describes a retiming technique for low power. The main observation is that the switching activity at the output of a register can be significantly less than that at the register’s input. Any glitching in the input signal is filtered by the register. The technique we propose repositions the registers in the logic circuit such that the overall switching activity in the circuit is minimized.

In Chapter 7, a power management optimization technique is presented. The logic values at the output of a circuit are selectively precomputed one clock cycle before they are required, and these precomputed values are used to reduce internal switching activity in the succeeding clock cycle. For a large number of circuits, significant power reductions can be achieved by this data-dependent circuit power down.

We present another power management optimization technique in Chapter 8. Given a behavioral description of the system, we propose a scheduling algorithm that maximizes the potential for power management in the resulting circuit.

Finally, Chapter 9 concludes the thesis with a retrospective examination of what has been achieved in this thesis, and provides directions for future research.
Chapter 2

Power Estimation

For power to be used as a design parameter, tools are needed that can efficiently estimate the power consumption of a given design. As in most engineering problems we have tradeoffs, in this case between the accuracy and run-time of the tool.

Accurate power values can be obtained from circuit-level simulators such as SPICE [Qua89]. In practice, these simulators cannot be used in circuits with more than a few thousand transistors, so their applicability in logic design is very limited – they are essentially used to characterize simple logic cells.

A good compromise between accuracy and complexity is switch-level simulation. Simulation of entire chips can be done within reasonable amounts of CPU time [Tja89, SH89]. This property makes switch-level simulators very important power diagnosis tools. After layout and before fabrication these tools can be used to identify hot spots in the design, i.e., areas in the circuit where current densities or temperature may exceed the safety limits during normal operation.

At the logic level, a more simplified power dissipation model is used, leading to a faster power estimation process. Although detailed circuit behavior is not modeled, the estimation values can still be reasonably accurate. Obtaining fast power estimates is critical in order to allow a designer to compare different designs. Further, for the purpose of directing a designer or a synthesis tool for low power design, rather than
an absolute measure of how much power a particular circuit consumes, an accurate relative power measure between two designs will suffice.

This observation is carried out further to justify power estimation schemes at higher abstraction levels. In [LR94] a power estimation technique at the register-transfer (RT) level is presented. Power coefficients are computed beforehand for datapath modules (such as adders, multipliers, etc) and stored in the module library database. The circuit described at the RT level is simulated for some input vectors and power values are calculated from the circuit activity and the module coefficients. In [Naj95] and [MMP95a] the focus is to derive implementation-independent measures of the signal activity in the circuit. Although with any of these techniques a very crude power figure is obtained, it may be sufficiently accurate in relative terms to allow the comparison between different circuit architectures.

In this thesis we focus on power estimation and optimization at the logic level. This level is perhaps where the best accuracy versus run-time tradeoff is reached. We first describe the power dissipation model that we use at the logic level in Section 2.1. We then present in Section 2.2 a survey of the most significant power estimation techniques at the logic level that have been previously proposed. Both simulation-based (Section 2.2.1) and probabilistic (Section 2.2.3) techniques are reviewed and the issues involved in each technique are discussed.

2.1 Power Dissipation Model

The sources of power dissipation in CMOS devices are summarized by the following expression [WE94, p. 236]:

\[ P = \frac{1}{2} \cdot C \cdot V_{DD}^2 \cdot f \cdot N + Q_{SC} \cdot V_{DD} \cdot f \cdot N + I_{leak} \cdot V_{DD} \]  (2.1)

where \( P \) denotes the total power, \( V_{DD} \) is the supply voltage, and \( f \) is the frequency of operation.

The first term in Equation 2.1 corresponds to the power involved in charging and discharging circuit nodes. \( C \) represents the node capacitances and \( N \) is the switching
activity, i.e., the number of gate output transitions per clock cycle (also known as \textit{transition density} \cite{Naj93}). $\frac{1}{2} \cdot C \cdot V_{DD}^2$ is the energy involved in charging or discharging a circuit node with capacitance $C$ and $f \cdot N$ is the average number of times per second that the nodes switches.

The second term in Equation 2.1 represents the power dissipation due to current flowing directly from the supply to ground during the (hopefully small) period that the pull-up and pull-down networks of the CMOS gate are both conducting when the output switches. This current is often called \textit{short-circuit current}. The factor $Q_{SC}$ represents the quantity of charge carried by the short-circuit current per transition.

The third term in Equation 2.1 is related to the static power dissipation due to leakage current $I_{\text{leak}}$. The transistor source and drain diffusions in a MOS device form parasitic diodes with bulk regions. Reverse bias currents in these diodes dissipate power. Subthreshold transistor currents also dissipate power. $I_{\text{leak}}$ accounts for both these small currents.

These three factors for power dissipation are often referred to as \textit{switching activity power}, \textit{short-circuit power} and \textit{leakage current power} respectively.

It has been shown \cite{CSB92} that during normal operation of well designed CMOS circuits the switching activity power accounts for over 90% of the total power dissipation. Thus power optimization techniques at different levels of abstraction target minimal switching activity power. The model for power dissipation for a gate $i$ in a logic circuit is simplified to:

$$P_i = \frac{1}{2} \cdot C_i \cdot V_{DD}^2 \cdot f \cdot N_i$$

The supply voltage $V_{DD}$ and the clock frequency $f$ are defined prior to logic design. The capacitive load $C_i$ that the gate is driving can be extracted from the circuit. This capacitance includes the source-drain capacitance of the gate itself, the input capacitances of the fanout gates and, if available, the wiring capacitance. Therefore the problem of logic level power estimation reduces to computing an accurate estimate of the average number of transitions $N_i$ for each gate in the circuit. In the remainder
of this chapter we present a review and critique of techniques for the computation of switching activity in logic circuits.

2.2 Switching Activity Estimation

The techniques we present in this section target average switching activity estimation. This is typically the value used to guide optimization methods for low power.

Some work has been done on identifying and computing conditions which lead to maximum power dissipation. In [DKW92] a technique is presented that implicitly determines the two input vector sequence that leads to maximum power dissipation in a combinational circuit. More recently, in [MPB+95] a method for computing the multiple vector cycle in a sequential circuit that dissipates maximum average power is described.

2.2.1 Simulation-Based Techniques

A straightforward approach to obtain an average transition count at every gate in the circuit is to use a logic or timing simulator and simulate the circuit for a sufficiently large number of randomly generated input vectors. The main advantage of this approach is that existing logic simulators can be used directly and issues such as glitching and internal signal correlation are automatically taken into account by the logic simulator.

The most important aspect of simulation-based switching activity estimation is deciding how many input vectors to simulate in order to achieve a given accuracy level. A basic assumption is that under random inputs the power consumed by a circuit over a period of time $T$ has a Normal distribution. Given a user-specified allowed percentage error $\epsilon$ and confidence level $\alpha$, the approach described in [BNYT93] uses the Central Limit Theorem [Pap91, pp. 214-221] to compute the number of input vectors with which to simulate the circuit with. With $\alpha \times 100\%$ confidence, $|\bar{p} - P| < \text{erf}^{-1}(\frac{\alpha}{2}) \times s/\sqrt{N}$, where $\bar{p}$ and $s$ are the measured average and standard deviation of the power, $P$ is the true average power dissipation, $N$ the number of
2.2 SWITCHING ACTIVITY ESTIMATION

input vectors and erf\(^{-1}\left(\frac{P}{2}\right)\) is the inverse error function [Pap91, p. 49] obtained from the Normal distribution. Since we require \(\frac{|E-P|}{\bar{p}} < \epsilon\), it follows that

\[
N \geq \left(\frac{\text{erf}^{-1}\left(\frac{\bar{p}}{2}\right) \times s}{\epsilon \times \bar{p}}\right)^2
\]

(2.3)

For a typical logic circuit and reasonable error and confidence levels, the numbers of vectors needed is usually small, making this approach very efficient.

A limitation of the technique presented in [BNYT93] is that it only guarantees accuracy for the average switching activity over all the gates. The switching activity values for individual gates (\(N_i\) in Equation 2.2) may have large errors and these values are important for many optimization techniques.

This method is augmented in [XN94] by allowing the user to specify the percentage error and confidence level for the switching activity of individual gates. Equation 2.3 is used for each node in the circuit, where instead of power, the average and standard deviation of the number of transitions in the node is the relevant parameter. The number of input vectors \(N\) is obtained as the minimum \(N\) that verifies Equation 2.3 for all the nodes.

The problem now is that gates which have a low switching probability, low-density nodes, may require a very large number of input vectors in order for the estimation to be within the percentage error specified by the user. The authors solve this problem by being less restrictive for these gates: an absolute error bound is used instead of the percentage error. The impact of possible larger errors for low-density nodes is minimized by the fact that these gates have the least effect on power dissipation and circuit reliability.

Other methods [HK95] try to compute a tighter bound on the number of input vectors to simulate. Instead of relying on normal distribution properties, the authors assume that the number of transitions at the output of a gate has a multinomial distribution. However, this method has to make a number of empirical approximations in order to obtain the number of input vectors.
Simulation-based techniques can be very efficient for loose accuracy bounds. Increasing the accuracy may require a prohibitively high number of simulation vectors. Using simulation-based methods in a synthesis scenario, where a circuit is being incrementally modified and power estimates have to be obtained repeatedly for subsets of nodes in the circuit, can be quite inefficient.

2.2.2 Issues in Probabilistic Estimation Techniques

Given some statistical information of the inputs, probabilistic methods propagate this information through the logic circuit obtaining statistics about the switching activity at each node in the circuit. Only one pass through the circuit is needed making these methods potentially very efficient. However, modeling issues like correlation between signals can make these methods computationally expensive.

Temporal Correlation: Static vs. Transition Probabilities

The static probability of a logic signal \( x \) is the probability of \( x \) being 0 or 1 at any instant (we will represent this, respectively, as \( \text{prob}(\overline{x}) \) and \( \text{prob}(x) \)). Transition probabilities are the probability of \( x \) making a 0 to 1 or 1 to 0 transition, staying at 0 or staying at 1 between two time instants. We will represent these probabilities as \( \text{prob}^{01}(x) \), \( \text{prob}^{10}(x) \), \( \text{prob}^{00}(x) \) and \( \text{prob}^{11}(x) \), respectively. Note that we always have \( \text{prob}^{01}(x) = \text{prob}^{10}(x) \).

The probability that signal \( x \) makes a transition is \( \text{prob}^{01}(x) + \text{prob}^{10}(x) \). Relating to Equation 2.2, \( N_x = \text{prob}^{01}(x) + \text{prob}^{10}(x) \).

Static probabilities can always be derived from transition probabilities:

\[
\begin{align*}
\text{prob}(x) &= \text{prob}^{11}(x) + \text{prob}^{01}(x) \\
\text{prob}(\overline{x}) &= \text{prob}^{00}(x) + \text{prob}^{10}(x)
\end{align*}
\]  

(2.4)

Derivation in the other direction is only possible if we are given the correlation
coefficients between successive values of a signal. If we assume these values are independent then:

\[
\begin{align*}
prob^{11}(x) &= prob(x) \times prob(x) \\
prob^{10}(x) &= prob(x) \times prob(\overline{x}) \\
prob^{01}(x) &= prob(\overline{x}) \times prob(x) \\
prob^{00}(x) &= prob(\overline{x}) \times prob(\overline{x})
\end{align*}
\] (2.5)

In the case of dynamic precharged circuits, exemplified in Figure 2-1(a), the switching activity is uniquely determined by the applied input vector. If both \(x\) and \(y\) are 0, then \(z\) stays at 0 and there is no switching activity. If one or both of \(x\) and \(y\) are 1, then \(z\) goes to 1 during the evaluation phase and back to 0 during precharging. Therefore, the switching activity at \(z\) will be twice the static probability of \(z\) being 1. \((N_z = 2 \times prob(z)).\)

On the other hand, the switching activity in static CMOS circuits is a function of a two input vector sequence. For instance, consider the circuit shown in Figure 2-1(b). In order to determine if the output \(f\) switches we need to know what value it assumed for the first input vector and to what value it evaluated after the second input vector.
Using static probabilities one can compute the probability that \( f \) evaluates to 1 for the first \((prob_1(f))\) and second \((prob_2(f))\) input vectors. Then:

\[
N_f = \text{prob}_1(f) \times \text{prob}_2(\overline{f}) + \text{prob}_1(\overline{f}) \times \text{prob}_2(f)
\]

\[
= \text{prob}(f) \times (1 - \text{prob}(f)) + (1 - \text{prob}(f)) \times \text{prob}(f)
\]

\[
= 2 \times \text{prob}(f) \times (1 - \text{prob}(f))
\]

since \( \text{prob}_1(f) = \text{prob}_2(f) = \text{prob}(f) \) and \( \text{prob}(\overline{f}) = 1 - \text{prob}(f) \).

By using static probabilities in the previous expression we ignored any correlation between the two vectors in the input sequence. In general ignoring this type of correlation, called temporal correlation, is not a valid assumption. Probabilistic estimation methods work with transition probabilities at the inputs, thus introducing the necessary correlation between input vectors. Transition probabilities are propagated and computed for all the nodes in the circuit.

Spatial Correlation

Another type of signal correlation in logic circuits is spatial correlation. The probability of two or more signals being 1 may not be independent. Spatial correlation of input signals, even if known, can be difficult to specify, so most probabilistic techniques assume the inputs to be spatially independent. In Section 4.5 we propose a method that takes into account input signal correlation for user-specified input sequences.

Even if spatial independence is assumed for input signals, logic circuits with reconvergent fanout introduce spatial correlation between internal signals. Consider the circuit depicted in Figure 2-2. Assuming that inputs \( a, b \) and \( c \) are uncorrelated, the static probability at \( I \) is \( \text{prob}(I) = \text{prob}(a)\text{prob}(b) \) and at \( J \) is \( \text{prob}(J) = \text{prob}(b)\text{prob}(c) \). However, \( \text{prob}(f) \neq \text{prob}(I) + \text{prob}(J) - \text{prob}(I)\text{prob}(J) \) because \( I \) and \( J \) are correlated \((b=0 \Rightarrow I=J=0)\).

To compute accurate signal probabilities, we need to take into account this internal spatial correlation. One solution to this problem is to write the Boolean function as
2.2 SWITCHING ACTIVITY ESTIMATION

Figure 2-2 Spatial correlation between internal signals.

Figure 2-3 Computing static probabilities using BDDs.

A disjoint sum-of-products expression, where each product-term has a null intersection with any other. For the previous example, we write $f$ as:

$$f = (a \land b) \lor (b \land c)$$
$$= (a \land b) \lor (\overline{a} \land b \land c)$$

Then $\text{prob}(f) = \text{prob}(a)\text{prob}(b) + \text{prob}(\overline{a})\text{prob}(b)\text{prob}(c)$.

A more efficient approach is to use Binary Decision Diagrams (BDDs) [Bry86]. The static probabilities can be computed in time linear in the size of the BDD by traversing the BDD from leaves to root, since the BDD implements a disjoint cover with sharing. The BDD for the previous example is illustrated in Figure 2-3.
Glitching

Yet another issue is spurious transitions (or glitching) at the output of a gate due to different input path delays. These may cause the gate to switch more than once during a clock cycle, as exemplified in Figure 2-4. Studies have shown that glitching cannot be ignored as it can be a significant fraction of the total switching activity [SDGK92, FB95].

2.2.3 Probabilistic Techniques

There has been a great deal of work in the area of probabilistic power estimation in the past few years. We describe representative techniques in this section. These techniques focus on static CMOS circuits since computing transition probabilities is more complex than computing static probabilities. Static probabilities can be obtained from the transition probabilities by Equation 2.4.

Early methods to approximate signal probability targeted testability applications [PM75, Gol79, KT89, EFD+92]. These methods are not directly applicable to the power estimation problem.

The first approach that was concerned with switching activity for power dissipation was presented in [Cir87]. Static probabilities of the input signals are propagated through the logic gates in the circuit. In this straightforward approach, a zero delay model is assumed, thus glitching is not computed. Since static probabilities are used no temporal signal correlation is taken into account. Further, spatial correlation is also ignored as signals at the input of each gate are assumed to be independent.
2.2 SWITCHING ACTIVITY ESTIMATION

In [Naj93], a technique is presented that propagates transition densities \( D(x) \) through the circuit. The author shows that the transition density at the output \( f \) of a logic gate with \( n \) uncorrelated inputs \( x_i \) can be computed as

\[
D(f) = \sum_{i=1}^{n} \text{prob} \left( \frac{\partial f}{\partial x_i} \right) D(x_i). \tag{2.6}
\]

\( \frac{\partial f}{\partial x_i} \) are the combinations for which the value of \( f \) depends on the value of \( x_i \) and is given by

\[
\frac{\partial f}{\partial x_i} = f_{x_i} \oplus f_{\overline{x_i}}, \tag{2.7}
\]

where \( \oplus \) stands for the exclusive-or operator and \( f_{x_i} \) and \( f_{\overline{x_i}} \) are the cofactors of \( f \) with respect to \( x_i \) and \( \overline{x_i} \), respectively (the cofactors can be obtained simply by setting \( x_i \) to a 1 or 0 in \( f \)).

That is, the switching activity at the output is the sum of the switching activity of each input weighted by the probability that a transition at this input is propagated to the output.

Implicit to this technique is also a zero delay model. An attempt to take glitching into account is suggested by decoupling delays from the logic gate and computing transition densities at each different time point where inputs may switch.

A major shortcoming of this method is the assumption of spatial independence of the input signals to each gate. [Kap94] extends the work of [Naj93] by partially solving this spatial correlation problem. The logic circuit is partitioned in order to compute accurate transition densities at some nodes in the circuit. For each partition, spatial correlation is taken into account by using BDDs.

A similar technique, introduced in [NBYH90], uses the notion of transition waveform. A transition waveform, illustrated in Figure 2-5, represents an average of all possible signal waveforms at a given node. The example of Figure 2-5 shows that there are no transitions between instants 0 and \( t_1 \) and that during this interval half of the possible waveforms are at 1. At instant \( t_1 \) a fraction of 0.2 of the waveforms make a 0 to 1 transition, leaving a quarter of the waveforms at 1 (which implies that a fraction of 0.45 of the waveforms make a 1 to 0 transition). A transition waveform
basically has all the information about static and transition probabilities of signals and how these probabilities change in time. Their main advantage is to allow an efficient computation of glitching. Transition waveforms are propagated through the logic circuit in much the same way as transition densities.

Again, transition waveform techniques are not able to handle spatial correlations. Another method based on transition waveforms is proposed in [TPD93a] where correlation coefficients between internal signals are computed beforehand and then used when propagating the transition waveforms. These coefficients are computed for pairs of signals (from their logic AND) and are based on steady state conditions. This way some spatial correlation is taken into account.

Recent work [Che95] generalizes the Parker-McCluskey method [PM75] (a probabilistic technique for testability applications) to handle transition probabilities by using four-valued variables rather than Boolean variables. The Parker-McCluskey method generates a polynomial that represents the probability that the gate output is a 1, as a function of the static probabilities of the primary inputs. It follows basic rules for propagating polynomials through logic gates. The method proposed in [Che95] can be used to obtain exact (in the sense that temporal and spatial correlation are accurately modeled) switching activities for the zero delay model, but no generalization to handle gate delays was made.
2.3 SUMMARY

The Boolean Approximation Method [UMMG95] uses Taylor series expansions to efficiently compute signal probabilities and switching activities. This method is also restricted to the zero delay model. Given two functions $A$ and $B$, the value computed for $\text{prob}(A \land B)$ by this method may be in error by as much as 50%, if $A$ and $B$ share more than one input and only the first term in the Taylor series is used. Using higher order Taylor series terms results in much greater complexity.

In Chapter 3, we propose a switching activity estimation technique that follows a different approach and which can effectively handle all the issues mentioned in Section 2.2.2.

2.3 Summary

Power estimation issues and techniques at the logic level have been reviewed. We focus on the logic level as we believe it to be the abstraction level where the best compromise between accuracy and run-time is obtained.

The model used at this abstraction level is such that the power dissipated at the output of a gate is directly proportional to the switching probability of the node. Therefore the problem of power estimation reduces to one of signal probability evaluation.

There are two main approaches for computing the switching activity in a logic circuit: simulation-based and probabilistic techniques. In both the tradeoff is accuracy vs. run-time. In simulation-based methods, the higher the accuracy requested by the user (translated in terms of lower allowed error $\epsilon$ and/or higher confidence level $\alpha$) the more input vectors that have to be simulated. In probabilistic methods, we have methods such as the transition density propagation method [Naj93] that are very fast but ignore some important issues like spatial correlation, to methods such as the extension to Parker-McCluskey [Che95] that model correlation but are much slower and limited in the size of circuits that can be handled.
Chapter 3

A Power Estimation Method for Combinational Circuits

In this chapter we describe a technique for the power estimation of logic circuits. This technique is based on symbolic simulation and was first presented in [GDKW92]. It improves upon the state-of-the-art in several ways. We use a variable delay model for combinational logic in our symbolic simulation method, which correctly computes the Boolean conditions that cause glitching (multiple transitions at a gate) in the circuit. In some cases, glitching may account for a significant percentage of the switching activity [SDGK92, FB95]. For each gate in the circuit, symbolic simulation produces a set of Boolean functions that represent the conditions for switching at different time points. Given input switching rates, we can use exact or approximate methods to compute the probability of each gate switching at any particular time point. We then sum these probabilities over all the gates to obtain the expected switching activity in the entire circuit over all the time points corresponding to a clock cycle. Our method takes into account correlation caused at internal gates in the circuit due to reconvergence of input signals (reconvergent fanout).

We describe the symbolic simulation algorithm in Section 3.1. In Sections 3.2 and 3.3 we show how the symbolic simulation can be used to handle transmission gates
and inertial delays, respectively. We present power estimation results for some circuits in Section 3.4.

3.1 Symbolic Simulation

We build a symbolic network from the symbolic simulation of the original logic circuit over a two-input vector sequence. The symbolic network is a logic circuit which has the Boolean conditions for all values that each gate in the original network may assume at different time instants given this input vector pair.

If a zero delay model is used, each gate in the circuit can only assume two different values, one corresponding to each input vector. For this simple case, the symbolic network corresponds to two copies of the original network, one copy evaluated with the first input vector and the other copy with the second. Then exclusive-or (XOR) gates are added between each pair of nodes that correspond to the same node in the original circuit. The output of an XOR evaluating to a 1 indicates that for this input vector pair the corresponding node in the original circuit makes one transition (it evaluates to a different value for each of the two input vectors).

To illustrate this process, consider the circuit of Figure 3-1. The symbolic network for a zero delay model is shown in Figure 3-2. The inputs \( a(0) \) and \( b(0) \) correspond to the first input vector and \( a(t) \) and \( b(t) \) to the second. If the output \( e_c \) evaluates to 1, then signal \( c \) in the original circuit (cf. Figure 3-1) will make a transition for the applied vector pair. Similarly for outputs \( e_a, e_b \) and \( e_d \).

In the case of unit or general delay models, the gate output nodes of a multilevel network can have multiple transitions in response to a two-vector input sequence.

Figure 3-1 Example circuit for symbolic simulation.
3.1 SYMBOLIC SIMULATION

Figure 3-2 Symbolic network for a zero delay model.

Figure 3-3 Symbolic network for a unit delay model.
Figure 3-3(a) shows the possible transitions that the output of each gate in the circuit of Figure 3-1 can make under a unit delay model.

The symbolic simulator is able to simulate circuits with arbitrary gate transport delays. The symbolic network will have nodes corresponding to all intermediate values that each gate in the original circuit may assume. The XOR gates will be connected to nodes corresponding to consecutive time instants and relating to the same node in the original circuit.

The symbolic network for a unit delay model for the circuit of Figure 3-1 is presented in Figure 3-3(b). Nodes $c(0)$ and $d(0)$ are the initial values of nodes $c$ and $d$ respectively. At instant 1, node $c$ will have the value $c(t+1)$ and $d$ the value $d(t+1)$. $e_{c,1} = c(0) \oplus c(t+1)$ evaluates to 1 only if node $c$ makes a transition at instant 1. Similarly for node $d$ at instant 1. At instant 2, node $d$ will assume the value $d(t+2)$. Again $e_{d,2} = d(t+1) \oplus d(t+2)$ gives the condition for $d$ to switch at instant 2. The total switching at the output of gate $d$ will be the sum of $e_{d,1}$ and $e_{d,2}$.

The pseudo-code for the symbolic simulation algorithm is presented in Figure 3-4. The simulator processes one gate at a time, moving from the primary inputs to the primary outputs of the circuit. For each gate $g_i$, an ordered list of the possible transition times of its inputs is first obtained. Then, possible transitions at the output of the gate are derived, taking into account transport delays from each input to the gate output. The processing done is similar to the “time-wheel” in a timing simulator.

Once the symbolic network of a circuit is computed, we use the static probabilities of the inputs to obtain the static probabilities of the output of the XORs evaluating to 1. This probability is the same as the switching probability of the nodes in the original circuit.

This method models glitching accurately and if BDDs are used to compute the static probabilities, exact spatial correlation is implicitly taken into account. Temporal correlation of the inputs can be handled during the BDD traversal by using the probabilities of pairs of corresponding inputs, e.g., $(a(0), a(t))$, which are the transition probabilities.
3.1 SYMBOLIC SIMULATION

1. Gates = TopologicalSort(Network);
2. for each $g_i$ in Gates {
3. 
4. if $g_i$ is a primary input then {
5. 
6. } else {
7. 
8. $\Delta =$ delay of $g_i$;
9. TimePoints = NIL(LIST);
10. for each input $g_j$ of $g_i$ ($g_{i_1}, \ldots, g_{i_m}$) {
11. for each time point $(k, f_j(k))$ of $g_j$ {
12. TimePoints = InsertInOrder(TimePoints, $(k, f_j(k))$);  
13. }
14. }
15. /* $\tilde{g}_i$ is the Boolean function of gate $g_i$ with respect to its immediate inputs */
16. $f_i(0) = \tilde{g}_i(f_{i_1}(0), \ldots, f_{i_m}(0))$;
17. $l = 0$;
18. for each new time point $k$ in TimePoints {
19. 
20. $f_i(k + \Delta) = \tilde{g}_i(f_{i_1}(k), \ldots, f_{i_m}(k))$;
21. $e_{i,k+\Delta} = f_i(l) \oplus f_i(k + \Delta)$;
22. $l = k + \Delta$;
23. }
24. }
25. }

Figure 3-4 Pseudo-code for the symbolic simulation algorithm.
In some cases, the BDDs for the generated functions may be too large. The signal probability calculation can be done by a process of random logic simulation. A large number of randomly generated vectors are simulated on the symbolic network till the signal probability value converges to within 0.1%. Levelized/event-driven simulation methods that simulate 32 vectors at a time can be used in an efficient probability evaluation scheme. The probabilities thus obtained are statistical approximations.

### 3.2 Transparent Latches

We describe how symbolic simulation handles combinational circuits with embedded transparent latches or transmission gates.

Transmission gates have an input, an output, and a control line, as depicted in Figure 3-5. When the control line is high, the output is identical to the input. When the control line is low, however, the output is given by value stored in the previous
3.2 TRANSPARENT LATCHES

It is this feature of having memory that makes transmission gates different from normal combinational gates like an AND gate. In mathematical terms, if $a$ is the input, $b$ the control, and $x$ the output, then at any time instant $t$, the output of a transmission gate is given as

$$x(t) = b(t) \land a(t) \lor \overline{b(t)} \land x(t - 1)$$ \hspace{1cm} (3.1)

where $t - 1$ refers to the previous time instant.

From the switching activity estimation viewpoint, the symbolic simulation approach handles transmission gates (or transparent latches) in a straightforward manner. Since $x(t - 1)$ is computed before $x(t)$ in the simulation, we create functions corresponding to the different $x(t)$'s and use them in simulating the fanout gates. We use the symbolic input $b(t)$ during symbolic simulation of $x(t)$. As the symbolic simulation proceeds, the known equations for the time points for each input are used and the logic equations corresponding to the various transitions at the output of the latch are computed. As a result, in a single pass from inputs to outputs, switching activity estimation can be carried out for an acyclic circuit.

If the initial value $x(-1)$ (the value of $x$ before the first input vector is applied) is known it is replaced by the appropriate 0 or 1 value during symbolic simulation. If the initial value $x(-1)$ is not known, it can be replaced by a Boolean variable with a signal probability of 0.5.

To illustrate the symbolic simulation process of a transmission gate, consider the simple circuit depicted in Figure 3-7. The symbolic network for this circuit assuming
a zero delay model is shown in Figure 3-8 (to simplify the picture, the XOR’s for the primary inputs are not shown). The difference between this symbolic network and the one for a combinational circuit is that we have a logic signal corresponding to a previous time instant \(x(0)\) feeding a gate that generates the same signal for the next time instant \(x(t)\).

### 3.3 Modeling Inertial Delay

Logic gates require energy to switch state. The energy in an input signal to a gate is a function of its amplitude and duration. If its duration is too small, the signal will not force the gate to switch. The minimum duration for which an input change must persist in order for the gate to switch states is called the **inertial delay** of an element and is denoted by \(\Delta\) (cf. [BF76, p. 187]).

Inertial delay is usually modeled at the inputs to gates. However, for our purposes it is more convenient to model it at the gate output. We will assign an integer \(\Delta_i \geq 0\) to each gate \(i\). \(\Delta_i\) is obtained from process and device parameters like propagation delay. We then require that any pair of output transitions at \(i\) be separated by at least a duration \(\Delta_i\).
3.4 POWER ESTIMATION RESULTS

The symbolic simulation proceeds as described in the previous sections to compute $f_i(t), \ldots, f_i(t + l)$. If we have $\Delta_i > 0$, then if there is a transition between time $t$ and $t + 1$ we cannot have a transition between $t + 1$ and $t + \Delta_i$. Therefore, if we have three different time points, $f_i(t_1), f_i(t_2)$ and $f_i(t_3)$, within $\Delta_i$ from $t_1$ we make sure there are no transitions by making $f_i(t_2) = f_i(t_1)$ when $f_i(t_1) = f_i(t_3)$. We create

$$f_i'(t_2) = f_i(t_2) \land (f_i(t_1) \lor f_i(t_3)) \lor (f_i(t_1) \land f_i(t_3))$$

for every three time points within $\Delta_i$. We compute $f_i'(t_3)$ using $f_i'(t_2)$ and $f_i(t_4)$ and so on.

The $f_i'(t)$ functions are used as the inputs to the XOR gates to compute the switching activities. Also, we use the $f_i'(t)$ functions for the next logic level, thus any transitions eliminated at the output of a gate are not propagated to its transitive fanout.

3.4 Power Estimation Results

Throughout this section, we will be measuring the average power dissipation of the circuit by using Equation 2.2 summed over all the gates in the circuit. The $N_i$ values are computed for the gates in the circuit under different delay models. Since the circuits are technology-mapped circuits, the load capacitance values of the gates are known. A clock frequency of 20MHz and supply voltage of 5V have been assumed. The power estimates are given in micro-Watt.

The statistics of the examples used are shown in Table 3.1. All of the examples except the last two belong to the ISCAS-89 Sequential Benchmark set. Example add16 is a 16-bit adder and max16 is a 16-bit maximum function.

All the circuits considered are technology-mapped static CMOS circuits. For all the circuits, we assumed uniform static (0.5) and transition (0.25) probabilities for the primary inputs. Note, however, that user-provided non-uniform probabilities could just as easily been used.

We focus on estimating switching activity and power dissipation in the combinational logic of the given circuits. In Table 3.2, the effects of various delay models on the
A POWER ESTIMATION METHOD FOR COMBINATIONAL CIRCUITS

### Table 3.1 Statistics of examples.

<table>
<thead>
<tr>
<th>CIRCUIT</th>
<th>INPUTS</th>
<th>OUTPUTS</th>
<th>LATCHES</th>
<th>GATES</th>
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<tr>
<td>s27</td>
<td>4</td>
<td>1</td>
<td>3</td>
<td>10</td>
</tr>
<tr>
<td>s298</td>
<td>3</td>
<td>6</td>
<td>14</td>
<td>119</td>
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<tr>
<td>s349</td>
<td>9</td>
<td>11</td>
<td>15</td>
<td>150</td>
</tr>
<tr>
<td>s386</td>
<td>7</td>
<td>7</td>
<td>6</td>
<td>159</td>
</tr>
<tr>
<td>s420</td>
<td>19</td>
<td>2</td>
<td>16</td>
<td>196</td>
</tr>
<tr>
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<tr>
<td>s838</td>
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<td>2</td>
<td>32</td>
<td>390</td>
</tr>
<tr>
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<td>14</td>
<td>14</td>
<td>18</td>
<td>508</td>
</tr>
<tr>
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<td>33</td>
<td>16</td>
<td>16</td>
<td>154</td>
</tr>
</tbody>
</table>

### Table 3.2 Power estimation for combinational logic.

<table>
<thead>
<tr>
<th>CIRCUIT</th>
<th>ZERO DELAY POWER</th>
<th>UNIT DELAY POWER</th>
<th>VARIABLE DELAY POWER</th>
<th>CPU TIME</th>
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<tr>
<td></td>
<td>POWER</td>
<td>POWER</td>
<td>POWER</td>
<td>BDD</td>
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<td>------------------</td>
<td>----------------------</td>
<td>----------</td>
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<td>1741</td>
<td>10.4</td>
</tr>
<tr>
<td>max16</td>
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<td>713</td>
<td>713</td>
<td>4.2</td>
</tr>
</tbody>
</table>

|         | LOGIC            |                  |                      | 1.6      |
3.5 SUMMARY

power estimate are illustrated. In the zero delay model, all gates have zero delay and therefore they switch instantaneously. In the unit delay model, all gates have one unit delay. Using the zero delay model ignores glitches in the circuit, and therefore power dissipation due to glitches is not taken into account. The unit delay model takes into account glitches, but a constant delay value is assumed for all gates. The variable delay model uses different delays for different gates, thus is the most realistic model.

Only the times required to obtain the power estimate for the variable delay model are shown in the last column. The variable delay computations are the most complex and therefore power estimation under this model takes the most time. The CPU times correspond to a DEC 3000/900 with 256Mb of memory, and are in seconds. The signal probability calculation was done using two different methods. The column BDD corresponds to exact signal probability evaluation of the output of the XOR gates of Section 3.1 using ordered Binary Decision Diagrams.

Using random logic simulation to evaluate signal probabilities required substantially less CPU time for the large examples as shown in the column LOGIC. Random logic simulation was carried out until the signal probability of each XOR output converged to within 0.1%. This required the simulation of between 1000-50,000 vectors for the different examples. The power measures obtained using the two methods BDD and LOGIC are identical.

3.5 Summary

We presented an algorithm for probabilistically estimating the switching activity in combinational logic circuits. Results indicate that this algorithm is applicable to circuits of moderate size. The most desirable feature of our algorithm is that correlation between internal signals is implicitly taken into account under a variable delay model. Additionally, glitching at any node in the circuit is accurately modeled. Given the delay model chosen, our BDD-based method of estimating switching activity is exact.
Further, we have extended the symbolic simulation algorithm to model transparent latches and inertial delays.

In order to perform exact signal probability evaluation, we use ordered Binary Decision Diagrams. Ordered BDDs cannot be built for large multipliers ($\geq 16$ bits) and for very large circuits. Approximate techniques have to be used in these cases. Our experience with random logic simulation for signal probability evaluation has been favorable.

The symbolic simulation package has been implemented within SIS [SSM+92], the synthesis environment from the CAD group at the University of California at Berkeley, and is now part of their standard distribution.

Correlation between primary inputs exists when a given combinational circuit is embedded in a larger sequential circuit. The techniques described have to be augmented to handle sequential circuits and primary input correlation. These issues are dealt with in the next chapter.
Chapter 4

Power Estimation for Sequential Circuits

The power estimation methods described in the previous chapters apply to combinational logic blocks. In this chapter we describe techniques that target issues particular to sequential circuits.

While for combinational circuits the current input vector defines the values of every node in the circuit, in sequential circuits we have memory elements that make the logic functions depend on the previous state of the circuit. As a consequence, there exists a high degree of correlation between the logic values for consecutive clock cycles. In Section 4.1 we present methods to handle pipelined circuits and in Sections 4.2 and 4.3 methods for Finite State Machines (FSMs).

A different kind of sequential correlation is caused by specific input vector sequences. In this case not only do we have significant correlation between clock cycles (temporal correlation), but also correlation between the input signals for a given clock cycle (spatial correlation).

We propose a technique to effectively compute the switching activity of a logic circuit under a user-specified input sequence in Section 4.5.
4.1 Pipelines

Many sequential circuits, such as pipelines, can be acyclic. They correspond to blocks of combinational logic separated by flip-flops. An example of a 2-stage pipeline, an acyclic sequential circuit, is given in Figure 4-1. PI corresponds to the primary inputs to the circuit, PO the primary outputs, and PB and PC the present state lines that are inputs to blocks B and C, respectively.

It is possible to estimate the power dissipated by acyclic circuits that are k-pipelines, i.e., those that have exactly k flip-flops on each path from primary inputs to primary outputs, without making any assumptions about the probabilities of the present state lines. This is because such circuits are k-definite [Koh78, p. 513], their state and outputs are a function of primary inputs that occurred at most k clock cycles ago.

Consider the circuit of Figure 4-2. The symbolic simulation equations corresponding to the switching activities of the logic gates in blocks A, B and C are assumed to have been computed using the method described in Chapter 3. The symbolic simulation equations for block A receive inputs from PI(0) and PI(t), since block A receives inputs from PI alone. The symbolic simulation equations for block B receive inputs from PB(0) and PB(t). To model the relationship between PB and PI, we generate PB(0) from PI(0) and the PB(t) from PI(t). Similarly, the symbolic simulation equations for block C receive inputs from the PC(0) and PC(t) and to model the relationship between PC and PI we generate PC(0) from PI(0) and the PC(t) from PI(t).

In the general case, the symbolic simulation equations corresponding to a combi-
4.1 PIPELINES

Figure 4-2 Taking \( k \) levels of correlation into account.
national logic block in stage \( l \) of the pipeline will receive inputs from the cascade of the \( l - 1 \) previous stages, with inputs \( PI(0) \) and \( PI(t) \). For a correctly designed pipeline, this models the inputs this logic block will observe \( l \) clock cycles later.

The decomposition of Figure 4-2 implies that the gate output switching activity can be determined given only the vector pair \( (PI(0), PI(t)) \) for the primary inputs. Therefore, to compute gate output transition probabilities, we only require the transition probabilities for the primary inputs. The use of the logic in the previous pipeline stages generates Boolean equations which model the relationship between the state of the circuit and the previously applied input vectors.

4.2 Finite State Machines: Exact Method

In general, sequential circuits are cyclic. A generic sequential circuit is shown in Figure 4-3. Power estimation for these circuits is significantly more complicated.

We still have the issue of correlation between consecutive clock cycles: the present state lines for the next clock cycle are completely determined by the primary inputs and present state lines of the previous clock cycle. Since a new primary input vector is applied always at the beginning of each clock cycle, correlation between consecutive clock cycles is equivalent to correlation between two input vectors to the combinational logic block.

Further, the probability of the present state lines depends on the probability of the
4.2 FINITE STATE MACHINES: EXACT METHOD

Figure 4-4 Example state transition graph.

A circuit being in any of its possible states. Given a circuit with $K$ flip-flops, there are $2^K$ possible states. The probability of the circuit being in each state is, in general, not uniform.

As an example, consider a sequential circuit with the State Transition Graph of Figure 4-4 and implemented as in Figure 4-3. Assuming that the circuit was in state R at time 0, and that at each clock cycle random inputs are applied, at time $\infty$ (i.e., steady state) the probabilities of the circuit being in state R, A, B, C are $\frac{1}{5}$, $\frac{1}{3}$, $\frac{1}{4}$ and $\frac{1}{4}$, respectively. These state probabilities have to be taken into account during switching activity estimation of the combinational logic part of the circuit.

4.2.1 Modeling Temporal Correlation

To model the correlation between the two input vectors corresponding to consecutive clock cycles, we append the next state logic block to the symbolic network generated for the combinational logic block using the techniques described in Chapter 3. The next state logic block is the part of the combinational logic block that computes the next state lines. This augmentation is summarized in Figure 4-5.

The symbolic network has two sets of inputs, namely $PI(0)$ and $PI(t)$ for the
primary inputs and \( PS(0) \) and \( PS(t) \) for the present state lines. However, given \( PI(0) \) and \( PS(0) \), \( PS(t) \) is uniquely determined by the functionality of the combinational logic. This is accomplished by the introduction of the next state logic as shown in Figure 4-5.

The configuration of Figure 4-5 implies that the gate output switching activity can be determined given the vector pair \( (PI(0), PI(t)) \) for the primary inputs, but only \( PS(0) \) for the state lines. Therefore, to compute gate output transition probabilities, we require the transition probabilities for the primary input lines, and the static probabilities for the present state lines.

This configuration was originally proposed in [GDKW92].

### 4.2.2 State Probability Computation

The static probabilities for the present state lines marked \( PS(0) \) in Figure 4-5 are spatially correlated. We therefore require knowledge of the present state probabilities as opposed to present state line probabilities in order to exactly calculate the switching activity in the sequential circuit. The state probabilities are dependent on the connectivity of the State Transition Graph (STG) of the circuit.

For each state \( s_i \), \( 1 \leq i \leq K \), in the STG, we associate a variable \( prob(s_i) \)
4.2 FINITE STATE MACHINES: EXACT METHOD

corresponding to the steady-state probability of the circuit being in state $s_i$ at $t = \infty$.

For each edge $e$ in the STG, we have $e.Current$ signifying the state that the edge fans out from, $e.Next$ signifying the state that the edge fans in to, and $e.Input$ signifying the primary input combination corresponding to the edge. Given static probabilities for the primary inputs$^1$ to the circuit, we can compute $\text{prob}(e.Input)$, the probability of the combination $e.Input$ occurring. We can compute the probability of traversing edge $e$, $\text{prob}(e)$, using

$$\text{prob}(e) = \text{prob}(e.Current) \times \text{prob}(e.Input).$$  \tag{4.1}$$

For each state $s_i$ we can write an equation representing the probability that the machine enters state $s_i$

$$\text{prob}(s_i) = \sum_{e: e.Next = s_i} \text{prob}(e).$$  \tag{4.2}$$

Given $K$ states, we obtain $K$ equations out of which any one equation can be derived from the remaining $K - 1$ equations. We have a final equation

$$\sum_{i=1}^{K} \text{prob}(s_i) = 1.$$  \tag{4.3}$$

This linear set of $K$ equations can be solved to obtain the different $\text{prob}(s_i)$'s.

This system of equations is known as the Chapman-Kolmogorov equations for a discrete-time discrete-transition Markov process. Indeed, if the Markov process satisfies the conditions that it has a finite number of states, its essential states form a single-chain and it contains no periodic-states, then the above system of equations will have a unique solution [Pap91, pp. 635-654].

For example, for the STG of Figure 4-4 we will obtain the following equations, assuming a probability of 0.5 for the primary input being a 1,

$$\text{prob}(R) = 0.5 \times \text{prob}(A).$$
$$\text{prob}(A) = 0.5 \times \text{prob}(R) + 0.5 \times \text{prob}(B) + 0.5 \times \text{prob}(C).$$
$$\text{prob}(B) = 0.5 \times \text{prob}(R) + 0.5 \times \text{prob}(A).$$

$^1$Static probabilities can be computed from specified transition probabilities as given by Equation 2.4.
The final equation is

\[ \text{prob}(R) + \text{prob}(A) + \text{prob}(B) + \text{prob}(C) = 1. \]

Solving this linear system of equations results in the state probabilities, \( \text{prob}(R) = \frac{1}{6}, \)
\( \text{prob}(A) = \frac{1}{3}, \text{prob}(B) = \frac{1}{4} \) and \( \text{prob}(C) = \frac{1}{4}. \)

### 4.2.3 Power Estimation given State Probabilities

Each state corresponds to some binary code. Thus the probabilities computed from the Chapman-Kolmogorov equations are probabilities for each combination of present state lines. The signal probability calculation procedure (described in Section 2.2.2) for the augmented symbolic network of Figure 4-5 has to appropriately weight these combinations according to the given probabilities.

In the case of the BDD-based method, we can still compute signal probabilities taking into account state probabilities with a linear-time traversal. We constrain the ordering of the BDD variables such that all present state lines are necessarily on top. We cannot multiply the probabilities of individual present state lines as we traverse the BDD. We have to save the combination of present state lines encountered during the traversal of each path. At the end of each path, we examine which present state codes are included in the combination of present state lines, add the probabilities computed for these states and multiply this value with the probability obtained from the primary inputs.

For instance, the BDD corresponding to the Boolean function that generates the least significant state line (\(ps_1\)) for the FSM of Figure 4-4 is represented in Figure 4-6.

Starting at the left-most \([1]\), the traversal process will first see the primary input \(I\), then \(\bar{p} \bar{s}_{\bar{1}}\) and finish with \(\bar{p} \bar{s}_{\bar{2}}\). Therefore the only present state included in this combination of present state lines is 00. The probability corresponding to this term is \( \text{prob}(I) \times \text{prob}(00) \).

\(ps_2\) is the only variable in the path for the right-most \([1]\). The present states included in \(ps_2\) are 10 and 11, thus the probability for this term is \( \text{prob}(10) + \text{prob}(11) \).
4.3 FINITE STATE MACHINES: APPROXIMATE METHOD

Then, the probability of \( p_{s_1} \) computed using present state probabilities is given by

\[
\begin{align*}
\text{prob}(p_{s_1}) &= \text{prob}(I) \times \text{prob}(00) + \text{prob}(10) + \text{prob}(11) \\
&= \text{prob}(I) \times \text{prob}(R) + \text{prob}(B) + \text{prob}(C).
\end{align*}
\]

Figure 4-6 BDD for \( p_{s_1} = p_{s_2} \lor (I \land \overline{p_{s_1}}) \).

4.3 Finite State Machines: Approximate Method

The Chapman-Kolmogorov system of equations (Equations 4.2 and 4.3) requires the explicit enumeration of all the states in the circuit and this can be very costly. If we have \( N \) registers in the circuit the number of possible states is \( K = 2^N \). Therefore the exact method is only applicable to small sized circuits, typically with no more than \( N = 20 \) registers. However, in [HMPS94] the authors report solving the Chapman-Kolmogorov system of equations for some large Finite State Machines using Algebraic Decision Diagrams [BFG+93].

We propose an approximate method that computes the probabilities of the state lines directly [TMP+95]. This way we need only compute \( N \) values instead of \( 2^N \). The approximation error comes from the fact that we ignore the correlation between the state lines.
Recently a simulation-based technique to compute state line probabilities has been presented [NGH95]. $N$ logic simulations of the sequential circuit are done starting at some initial state $S_0$ and the value of each state line is checked at time $k$. $N$ is determined from the confidence level $\alpha$ and allowed percentage error $\epsilon$. $k$ is the number of cycles the circuit has to go through in order to be considered in steady state. In steady state, the probabilities of the state lines are independent from the initial state, thus $N$ parallel simulations are done starting from some other state $S_1$. $k$ is determined as the time at which the line probabilities obtained from starting at state $S_0$ and from $S_1$ are within $\epsilon$.

### 4.3.1 Basis for the Approximation

Consider a machine with two registers whose states are 00, 01, 10 and 11 and have state probabilities $\text{prob}(00) = \frac{1}{6}$, $\text{prob}(01) = \frac{1}{3}$, $\text{prob}(10) = \frac{1}{4}$ and $\text{prob}(11) = \frac{1}{4}$. We can calculate the present state line probabilities as shown below, where $ps_1$ and $ps_2$ are the first and second present state lines respectively.

\[
\begin{align*}
\text{prob}(ps_1 = 0) &= \text{prob}(00) + \text{prob}(10) = \frac{1}{6} + \frac{1}{4} = \frac{5}{12} \\
\text{prob}(ps_1 = 1) &= \text{prob}(01) + \text{prob}(11) = \frac{1}{3} + \frac{1}{4} = \frac{7}{12} \\
\text{prob}(ps_2 = 0) &= \text{prob}(00) + \text{prob}(01) = \frac{1}{6} + \frac{1}{3} = \frac{1}{2} \\
\text{prob}(ps_2 = 1) &= \text{prob}(10) + \text{prob}(11) = \frac{1}{4} + \frac{1}{4} = \frac{1}{2}
\end{align*}
\] (4.4)

Because $ps_1$ and $ps_2$ are correlated, $\text{prob}(ps_1 = 0) \times \text{prob}(ps_2 = 0) = \frac{5}{24}$ is not equal to $\text{prob}(00) = \frac{1}{6}$.

We carried out the following experiment on 52 sequential circuit benchmark examples for which the exact state probabilities could be calculated. These benchmarks included finite state machine controllers, datapaths as well as pipelines. First, the power dissipation of the circuit was calculated using the exact state probabilities as described in Section 4.2.2. Next, given the exact state probabilities, the line probabilities were determined as exemplified in Equation 4.4. Using the topology of Figure 4-5 and the computed present state line probabilities for the $PS$ lines, approximate power estimates
were calculated for each circuit. The average error (caused by ignoring the correlation between the present state lines) in the power dissipation measures obtained using the line probability approximation over all the circuits was only 2.8%. The maximum error for any one example was 7.3%. Assuming uniform line probabilities of 0.5 as in [GDKW92] results in significant errors of over 40% for some examples.

The above experiment leads us to conclude that if accurate line probabilities can be determined then using line probabilities rather than state probabilities is a viable alternative.

4.3.2 Computing Present State Line Probabilities

The approximation framework is based on solving a non-linear system of equations to compute the state line probabilities. This system of equations is given by the combinational logic implementing the next state function of the sequential circuit. The non-linear formulation was developed independently in [MDL94] and [TPD94] and were combined in [TMP+95].

Consider the set of functions below corresponding to the next state lines.

\[ n_{s1} = f_1(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN}) \]
\[ n_{s2} = f_2(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN}) \]
\[ \vdots \]
\[ n_{SN} = f_N(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN}) \]

We can write:

\[ \text{prob}(n_{s1}) = \text{prob}(f_1(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN})) \]
\[ \text{prob}(n_{s2}) = \text{prob}(f_2(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN})) \]
\[ \vdots \]
\[ \text{prob}(n_{SN}) = \text{prob}(f_N(i_1, i_2, \ldots, i_M, p_{s1}, p_{s2}, \ldots, p_{SN})) \]

where \( \text{prob}(n_{si}) \) corresponds to the probability that \( n_{si} \) is a 1, and \( \text{prob}(f_i(i_1, \ldots, i_M, p_{s1}, \ldots, p_{SN})) \) corresponds to the probability that \( f_i(i_1, \ldots, i_M, p_{s1}, \ldots, p_{SN}) \) is a 1, which is of course dependent on the \( \text{prob}(p_{sj}) \) and the \( \text{prob}(i_k) \).
We are interested in the steady state probabilities of the present and next state lines implying that:

\[ \text{prob}(p_{si}) = \text{prob}(n_{si}) = p_i \quad 1 \leq i \leq N \]

The set of equations given the values of \( \text{prob}(i_k) \) becomes:

\[
\begin{align*}
p_1 &= g_1(p_1, p_2, \cdots, p_N) \\
p_2 &= g_2(p_1, p_2, \cdots, p_N) \\
& \quad \vdots \\
p_N &= g_N(p_1, p_2, \cdots, p_N)
\end{align*}
\]

where the \( g_i \)'s are non-linear functions of the \( p_i \)'s. We will denote the above equations as \( P = G(P) \).

In general the Boolean function \( f_i \) can be written as a list of minterms over the \( i_k \) and \( p_{sj} \) and the corresponding \( g_i \) function can be easily derived. For example, given

\[
f_1 = i_1 \land p_{s_1} \land \overline{p_{s_2}} \lor i_1 \land \overline{p_{s_1}} \land p_{s_2}
\]

and \( \text{prob}(i_1) = 0.5 \), we have

\[
g_1 = 0.5 \cdot (p_1 \cdot (1 - p_2) + (1 - p_1) \cdot p_2)
\]

We can solve the equation set \( P = G(P) \) to obtain the present state line probabilities. The uniqueness or the existence of the solution is not guaranteed for an arbitrary system of non-linear equations. However, since in our application we have a correspondence between the non-linear system of equations and the State Transition Graph of the sequential circuit there will exist at least one solution to the non-linear system.

Obtaining a solution for the given non-linear system of equations requires the use of iterative techniques such as the Picard-Peano or Newton-Raphson methods.

### 4.3.3 Picard-Peano Method

The Picard-Peano method can be used to find a fixed point of a system of equations of the form \( P = G(P) \), such as Equation 4.5. We start with an initial guess \( P^0 \),
and iteratively compute $P^{k+1} = G(P^k)$ until convergence is reached. Convergence is
deemed to be achieved if $P^{k+1} - P^k$ is sufficiently small.

We apply the methods described in Section 2.2.2 to compute $g_i(p_1, p_2, \ldots, p_N)$,
the static probability that $f_i(i_1, i_2, \ldots, i_M, p_{s_1}, p_{s_2}, \ldots, p_{s_N})$ evaluates to 1 for
given $p_j = \text{prob}(p_{s_j})$'s and $\text{prob}(i_k)$'s.

The use of the Picard-Peano method to solve the system of Equation 4.5 was first
proposed in [TPD94]. The convergence proof given in [TPD94, Theorem 3.3] and
in [TMP+95, Theorem 7.2] is valid only for the single variable case. We present more
general convergence conditions.

**Theorem 4.1** [OR70, p. 120] If $G$ is contractive in a closed set $D_0$, i.e.,
$||G(A) - G(B)|| < ||A - B||$, $\forall A, B \in D_0$, and $G(D_0) \subseteq D_0$ then the Picard-Peano
iteration method converges at least linearly to a unique solution $P^*$.

**Theorem 4.2** If we have

$$\sum_{k=1}^{N} \left| \frac{\partial g_i}{\partial p_k} \right| < 1, \ \forall i$$

then $G$ is contractive on the domain $[0, 1]^N$.

**Proof** — First note that $0 \leq g_i \leq 1, \forall i$, therefore $G(D_0) \subseteq D_0$, where $D_0 = [0, 1]^N$.

Using the $\infty$-norm,

$$||G(A) - G(B)||_\infty < ||A - B||_\infty \iff \max_i |g_i(A) - g_i(B)| < \max_j |A_j - B_j|.$$ 

Let $h(t) : \mathbb{R} \to \mathbb{R}^N$ such that $h(t) = At + (1 - t)B$, i.e., as $t$ goes from 0 to 1,
h(t) maps to the line segment connecting points $A$ and $B$.

Let us define $F(t) = g_i(h(t))$. Then, using the Mean Value theorem [OR70, p. 68]

$$g_i(A) - g_i(B) = F(1) - F(0) = \frac{dF}{dt}(\xi)$$

for some $\xi \in [0, 1]$, where

$$\frac{dF}{dt} = \nabla F \cdot \frac{dh}{dt}.$$
Since $\frac{dh}{dt} = A - B$,

$$g_i(A) - g_i(B) = \sum_{k=1}^{N} \frac{\partial g_i}{\partial p_k}(A_k - B_k).$$

Then for every $i$,

$$\frac{|g_i(A) - g_i(B)|}{\max_j |A_j - B_j|} = \frac{|\sum_{k=1}^{N} \frac{\partial g_i}{\partial p_k}(A_k - B_k)|}{\max_j |A_j - B_j|} \leq \sum_{k=1}^{N} \left| \frac{\partial g_i}{\partial p_k} \cdot \frac{(A_k - B_k)}{\max_j |A_j - B_j|} \right| \leq \sum_{k=1}^{N} \left| \frac{\partial g_i}{\partial p_k} \right|$$

Therefore

$$\sum_{k=1}^{N} \left| \frac{\partial g_i}{\partial p_k} \right| < 1 \Rightarrow |g_i(A) - g_i(B)| < \max_j |A_j - B_j|$$

\textbf{Theorem 4.3} If each $g_i$ is a function of only $p_j$'s with $j \leq i$ (i.e., the Jacobian of $G$ is lower triangular), and each next state line is a nontrivial logic function of at least two present state lines, then the Picard-Peano iteration method converges at least linearly to a unique solution $P^*$ on the domain $(0, 1)$.

\textit{Proof} — Choose any $p_j$. In order to perform the evaluation of $\frac{\partial g_i}{\partial p_j}$ we cofactor $f_i$ with respect to $p_{s_j}$.

$$f_i = p_{s_j} \land f_{i \; p_{s_j}} \lor \bar{p}_{s_j} \land f_{i \; \bar{p}_{s_j}}$$

$f_{i \; p_{s_j}}$ and $f_{i \; \bar{p}_{s_j}}$ are the cofactors of $f_i$ with respect to $p_{s_j}$, and are Boolean functions independent of $p_{s_j}$. We can write:

$$g_i = p_j \cdot \text{prob}(f_{i \; p_{s_j}}) + (1 - p_j) \cdot \text{prob}(f_{i \; \bar{p}_{s_j}})$$

Differentiating with respect to $p_j$ gives:

$$\frac{\partial g_i}{\partial p_j} = \text{prob}(f_{i \; p_{s_j}}) - \text{prob}(f_{i \; \bar{p}_{s_j}}) \quad (4.8)$$
Since we are considering the domain \((0, 1)\), which is not inclusive of 0 and 1, and the \(n_s_i\)'s are nontrivial Boolean functions of at least two present state lines for every \(i\), this partial differential is strictly less than one, because we are guaranteed that \(\text{prob}(f_i, p_{s_j}) > 0\) and \(\text{prob}(f_i, \overline{p_{s_j}}) > 0\).

Since \(p_1 = g_1(p_1)\) and \(\left| \frac{g_1}{p_1} \right| < 1\), \(g_1\) is contractive and Theorem 4.1 can be used for the single variable case to guarantee that the Picard-Peano iterations on \(g_1\) will converge at least linearly to the unique solution \(p_1^*\).

We can now substitute \(p_1^*\) in \(g_2\), making \(g_2\) a function of a single variable \(p_2\). The observations of the previous paragraph apply for \(g_2\), thus we obtain \(p_2^*\).

We repeat this process for the remaining \(g_i\)'s to compute \(P^* = (p_1^*, p_2^*, \ldots, p_N^*)\).

We have a somewhat restrictive condition for Theorem 4.2 which is probably not met for a generic logic circuit. However, the conditions for Theorem 4.3 are met for many datapath circuits, where the least significant bit is only a function of the least significant bit of the input and a carry is generated which is only a function of lower order bits.

We should stress that Theorems 4.2 and 4.3 are sufficient, and not necessary, conditions for convergence. In practice, we have observed that for most of the circuits, even those not in the conditions of these theorems, Picard-Peano rapidly converges to a solution.

### 4.3.4 Newton-Raphson Method

The Newton-Raphson method can be used to solve a non-linear system of equations of the form \(Y(P) = 0\). We rewrite the system of Equation 4.5 to be in the form

\[
\begin{align*}
y_1 &= p_1 - g_1(p_1, p_2, \ldots, p_N) = 0 \\
y_2 &= p_2 - g_2(p_1, p_2, \ldots, p_N) = 0 \\
&\vdots \\
y_N &= p_N - g_N(p_1, p_2, \ldots, p_N) = 0.
\end{align*}
\]
The advantage of the Newton-Raphson method is that it is more robust in terms of convergence requirements and its rate of convergence is quadratic instead of linear. However, each iteration is more computationally expensive than the Picard-Peano method. The use of the Newton-Raphson method to solve the system of equations above was first proposed in [MDL94].

Given \( Y(P) = 0 \) and a column matrix corresponding to an initial guess \( P^0 \), we can write the \( k \)th Newton iteration as the linear system shown below

\[
J(P^k) \times P^{k+1} = J(P^k) \times P^k - Y(P^k), \tag{4.10}
\]

where \( J \) is the \( N \times N \) Jacobian matrix of the system of equations \( Y \). Each entry in \( J \) corresponds to a \( \frac{\partial y_i}{\partial y_j} \) evaluated at \( P^k \). The \( P^{k+1} \) corresponds to the variables in the linearized system and after solving the system \( P^{k+1} \) is used as the next guess. Convergence is deemed to be achieved if each entry in \( Y(P^k) \) is sufficiently small.

Again, the methods of Section 2.2.2 are used to evaluate

\[
g_i(p_1, p_2, \cdots, p_N) = \text{prob}(f_i(i_1, i_2, \cdots, i_M, p_{s1}, p_{s2}, \cdots, p_{SN})),
\]

for given \( p_j = \text{prob}(p_{sj}) \) and \( \text{prob}(i_k) \). The \( Y(P^k) \) of Equation 4.10 can easily be evaluated using the \( p_j^k \) values and Equation 4.9.

We need to also evaluate \( J(P^k) \). As mentioned earlier, each entry of \( J \) corresponds to \( \frac{\partial y_i}{\partial y_j} \) evaluated at \( P^k \). If \( i \neq j \), then \( \frac{\partial y_i}{\partial y_j} \) equals \( -\frac{\partial g_i}{\partial p_j} \), and \( \frac{\partial y_i}{\partial y_j} \) equals \( 1 - \frac{\partial g_i}{\partial p_j} \).

In order to perform the evaluation of \( \frac{\partial y_i}{\partial y_j} \), we use the result obtained in Theorem 4.3 (Equation 4.8)

\[
\frac{\partial y_i}{\partial p_j} = \text{prob}(f_i psj) - \text{prob}(f_i \overline{psj}) \tag{4.11}
\]

We can evaluate \( \text{prob}(f_i psj) \) and \( \text{prob}(f_i \overline{psj}) \) for a given \( P^k \) again using the methods of Section 2.2.2.

As an example, consider the function given in Equation 4.6:

\[
f_1 = i_1 \land p_{s1} \land \overline{p_{s2}} \lor i_1 \land \overline{p_{s1}} \land p_{s2}
\]

\[
\frac{\partial g_1}{\partial p_{i_1}} = \text{prob}(i_1 \land \overline{p_{s2}}) - \text{prob}(i_1 \land p_{s2})
\]
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\[ \begin{align*}
  &= 0.5 \cdot (1 - p_2) - 0.5 \cdot p_2 \\
  &= 0.5 - p_2
\end{align*} \]

which is exactly what we would have obtained had we differentiated Equation 4.7 with respect to \( p_1 \).

**Theorem 4.4** [OR70, p. 412] *The Newton iterates:*

\[ p_{k+1} = p_k - J(p_k)^{-1}Y(p_k), \quad k = 0, 1, \ldots \]

are well-defined and converge to a solution \( P^* \) of \( Y(P) = 0 \) in the domain \( D_0 \), if the following conditions are satisfied:

1. \( Y \) is \( F \)-differentiable.
2. \( \|J(A) - J(B)\| \leq \gamma \|A - B\|, \quad \forall A, B \in D_0 \)
3. There exists \( P^0 \in D_0 \) such that \( \|J(P^0)^{-1}\| \leq \beta, \|J(P^0)^{-1}Y(P^0)\| \leq \eta \) and \( \alpha = \frac{1}{2} \beta \gamma \eta < 1 \).

Condition 1 of the theorem is satisfied in our application because the \( y_i \) functions are continuous and differentiable.

To show that Condition 2 is satisfied, we need to prove that the parameter \( \gamma \) is finite for all \( A, B \) in the domain. In our case the domain is defined by \( 0 \leq a_i, b_i \leq 1 \) for \( 1 \leq i \leq N \), where \( N \) is the dimension of \( Y \).

**Theorem 4.5** If \( Y \) is given by Equation 4.9, then Condition 2 of Theorem 4.4 is satisfied for \( \gamma = 2N \).

*Proof* — We will use the 1-norm to show that

\[ \|J(A) - J(B)\|_1 \leq \gamma \|A - B\|_1, \quad \forall A, B \in D_0 \]

is satisfied for \( \gamma = 2N \).
Let \( h(t) : \mathbb{R} \rightarrow \mathbb{R}^N \) such that \( h(t) = At + (1 - t)B \), i.e., as \( t \) goes from 0 to 1, \( h(t) \) maps to the line segment connecting points \( A \) and \( B \).

Recall that each entry in matrix \( J \) is given by \( \frac{\partial y_i}{\partial p_j} \). Let us define \( F'(t) = \frac{\partial y_i}{\partial p_j}(h(t)) \).

Again using the Mean Value theorem [OR70, p. 68]

\[
\frac{\partial y_i}{\partial p_j}(A) - \frac{\partial y_i}{\partial p_j}(B) = F(1) - F(0) = \frac{dF}{dt}(\xi)
\]

for some \( \xi \in [0,1] \), where

\[
\frac{dF}{dt} = \nabla F \cdot \frac{d}{dt} h = \sum_{k=1}^{N} \frac{\partial^2 y_i}{\partial p_j \partial p_k} \frac{dh_k}{dt}
\]

In order to perform the evaluation of \( \frac{\partial y_i}{\partial p_j} \) we use Equation 4.11:

\[
\frac{\partial y_i}{\partial p_j} = \text{prob}(f_i | \overline{p_k}) - \text{prob}(f_i | p_k)
\]

Differentiating with respect to \( p_k \) we have:

\[
\frac{\partial^2 y_i}{\partial p_j \partial p_k} = \text{prob}(f_i | \overline{p_k}) - \text{prob}(f_i | \overline{p_k}) - \text{prob}(f_i | p_k) + \text{prob}(f_i | p_k)
\]

Given that the probabilities are between 0 and 1, we have:

\[
\left| \frac{\partial^2 y_i}{\partial p_j \partial p_k} \right| \leq 2
\]

Then

\[
\left| \frac{\partial y_i}{\partial p_j}(A) - \frac{\partial y_i}{\partial p_j}(B) \right| = \left| \sum_{k=1}^{N} \frac{\partial^2 y_i}{\partial p_j \partial p_k} \frac{dh_k}{dt} \right|
\]

\[
\leq \sum_{k=1}^{N} \left| \frac{\partial^2 y_i}{\partial p_j \partial p_k} \frac{dh_k}{dt} \right|
\]

\[
\leq 2 \sum_{k=1}^{N} \left| \frac{dh_k}{dt} \right|
\]

On the other hand, \( \frac{dh}{dt} = A - B \). From the definition of 1-norm,

\[
||A - B||_1 = \sum_{k=1}^{N} |a_k - b_k| = \sum_{k=1}^{N} \left| \frac{dh_k}{dt} \right|
\]
therefore
\[ \left| \frac{\partial y_i}{\partial p_j}(A) - \frac{\partial y_i}{\partial p_j}(B) \right| \leq 2 \cdot ||A - B||_1 \]

The 1-norm of a matrix \( M \) is defined as
\[ ||M||_1 = \max_{i \neq 0} \frac{||M \cdot \hat{\alpha}||_1}{||\hat{\alpha}||_1} = \max_k \sum_{i=1}^N |m_{ik}|. \]

Since each entry in the matrix \( J(A) - J(B) \) is bounded by \( 2 \cdot ||A - B||_1 \),
\[ ||J(A) - J(B)||_1 = \max_k \sum_{i=1}^N \left| \frac{\partial y_i}{\partial p_k}(A) - \frac{\partial y_i}{\partial p_k}(B) \right| \leq \max_k \sum_{i=1}^N |2 \cdot ||A - B||_1| = 2 \cdot N \cdot ||A - B||_1. \]

Therefore, \( \gamma = 2N. \)

If we are in the conditions of Theorem 4.3, we can show some results about the norm of the inverse of the Jacobian.

**Theorem 4.6** If
\[ \sum_{k=1}^N \left| \frac{\partial g_i}{\partial p_k} \right| < 1, \forall i \]
then \( ||J(P_0)^{-1}|| \) is finite, for all \( P_0 \in D_0. \)

**Proof** — The entries of row \( i \) in \( J \) are \( \frac{\partial y_i}{\partial p_i} = 1 - \frac{\partial g_i}{\partial p_i} \) and \( \frac{\partial y_i}{\partial p_j} = -\frac{\partial g_i}{\partial p_j}, \forall j \neq i. \) If \( \sum_{j=1}^N \left| \frac{\partial g_i}{\partial p_j} \right| < 1, \) then \( J(P_0) \) is diagonally dominant and thus is invertible [OR70, p. 48]. This means that \( ||J(P_0)|| \neq 0, \) therefore \( ||J^{-1}(P_0)|| \) is bounded by some positive \( \beta. \)

Condition 3 in Theorem 4.4 is a constraint on the initial guess for the Newton iteration, and this initial guess can be picked appropriately, provided \( \gamma \) is finite. Essentially, we have to choose \( P_0 \) such that \( \beta \eta \leq \frac{1}{N}. \)

Note that if the condition for Theorem 4.6 is met, not only do we have a bound for \( \beta \) of Theorem 4.4, but also a bound for \( \eta. \) Since \( y_i \leq 1, ||Y(P_0)||_1 \leq N. \) Then
\[ ||J(P_0)^{-1}Y(P_0)||_1 = ||J(P_0)^{-1}||_1 \cdot ||Y(P_0)||_1 \leq N \cdot ||J(P_0)^{-1}||_1 \leq N \cdot \beta = \eta \]
4.3.5 Improving Accuracy using m-Expanded Networks

The above formulation does not capture the correlation between the state line probabilities. While the state line probabilities obtained using the above method will result in switching activity estimates that are close to the exact method, it is worthwhile to explore ways to increasing the accuracy.

In this section we describe a method models the correlation between m-tuples of present state lines. The method is pictorially illustrated in Figure 4-7 for m = 2.

The number of equations in the case of m = 2 is \( \frac{3N}{2} \). We have:

\[
\begin{align*}
ns_{i,i+1}[11] &= ns_i \land ns_{i+1} = f_i \land f_{i+1} \\
nm{10} &= ns_i \land \overline{ns}_{i+1} = f_i \land \overline{f}_{i+1} \\
nm{01} &= \overline{ns}_i \land ns_{i+1} = \overline{f}_i \land f_{i+1}
\end{align*}
\]

We have to solve for \( \text{prob}(ns_{i,i+1}[11]) \), \( \text{prob}(ns_{i,i+1}[10]) \), and \( \text{prob}(ns_{i,i+1}[01]) \) (rather than \( \text{prob}(ns_i) \) and \( \text{prob}(ns_{i+1}) \) as in the case of \( m = 1 \)). We use:

\[
\begin{align*}
\text{prob}(ps_i \land ps_{i+1}) &= \text{prob}(ns_{i,i+1}[11]) \\
\text{prob}(ps_i \land \overline{ps}_{i+1}) &= \text{prob}(ns_{i,i+1}[10]) \\
\text{prob}(\overline{ps}_i \land ps_{i+1}) &= \text{prob}(ns_{i,i+1}[01])
\end{align*}
\]

in the evaluation of the \( \text{prob}(f_i) \)'s.

For \( m = 3 \) the number of equations is \( \frac{7N}{3} \). For general \( m \), the number of com-
4.3 FINITE STATE MACHINES: APPROXIMATE METHOD

Combinations we have for each $m$-tuple is $2^m - 1$ and the number of $m$-tuples is $N/m$, therefore the total number of equations in the non-linear system is

$$\frac{(2^m - 1)N}{m}$$

$m = 1$ corresponds to the approximate method presented in the previous sections. When $m = N$, the number of equations will become $2^N - 1$ and the method degenerates to the Chapman-Kolmogorov method.

The choice of the $m$-tuples of present and next state lines is made by grouping next state lines that have the maximal amount of shared logic into each $m$-tuple. Note that the accuracy of line probability estimation will depend on the choice of the $m$-tuples.

The signal probability evaluation during the iterations to solve the non-linear system of equations has to use the probability for each combination of each $m$-tuple. This is done in the same way as for the exact power estimation method of Section 4.2.3 where state probabilities are required.

To estimate switching activity given $m$-tuple present state line probabilities, the topology of Figure 4-5 is used as before. Again the signal probability has to be computed using the probabilities of the $m$-tuples.

4.3.6 Improving Accuracy using $k$-Unrolled Networks

The topology of Figure 4-5 was proposed as a means of taking into account the correlation between the applied input vector pair when computing the transition probabilities. This method takes one cycle of correlation into account. It is possible to take multiple cycles of correlation into account by prepending the symbolic simulation equations with the $k$-unrolled network. This is illustrated in Figure 4-8. Instead of connecting the next state logic network to the symbolic simulation equations, we unroll the next state logic network $k$ times and connect the next state lines of the $k^{th}$ stage of the unrolled network, the next state lines of the $k - 1^{th}$ stage, and the primary inputs of the $k - 1^{th}$ stage to the symbolic simulation equations.
Each next state logic level introduces correlation between present state lines $PS^j$, thus making the switching activity computed by the symbolic network be closer to the exact method. In the limiting case, when $k \to \infty$, the $k$-unrolled network will compute the exact switching activity, independently of the value used for $PS^0$.

Recall that the error is introduced by ignoring the correlation between the present state lines. For the exact method of Section 4.2 where state probabilities are used, one stage of the next state logic suffices to obtain the exact switching activity.

4.3.7 Redundant State Lines

In this section we make some observations on how the approximate methods behave in the presence of redundant state lines. Let us consider a case study. The STG of Figure 4-9(a) describes a system with two outputs, the first output $O1$ is 1 when the input $I$ is 0 at even clock cycles and the second output $O2$ is 1 when the input $I$ is 1 at odd clock cycles. A minimum logic implementation of this circuit is depicted in Figure 4-9(b).

Assume that for some reason the designer prefers to choose the implementation of Figure 4-10(a). This circuit has the same input/output behavior as the circuit of Figure 4-9(b), but we have a redundant present state line.
4.3 FINITE STATE MACHINES: APPROXIMATE METHOD

Figure 4-9  Example circuit: (a) State transition graph; (b) Logic circuit.

Figure 4-10  (a) Circuit with a redundant state line; (b) 1-unrolled symbolic network.
First note that the exact method will compute the same probabilities for the states as the STG for the circuit stays the same.

For the approximate method, we get the equations:

\[ ns_1 = \overline{p_s_1} \]
\[ ns_2 = \overline{p_s_1} \]

which rewritten in terms of probabilities become

\[ p_1 = 1 - p_1 \]
\[ p_2 = 1 - p_1 \]

The conditions for Theorem 4.2 are not verified since \( \frac{\partial g_1}{\partial p_1} = \frac{\partial g_2}{\partial p_1} = -1 \). Also Theorem 4.3 is not applicable as \( ns_1 \) and \( ns_2 \) are a function of a single present state line. In fact, if we start with \( p_1 \neq 0.5 \), the Picard-Peano method will oscillate. However, this is not related to the redundant present state line, the oscillation problem remains the same for the implementation of Figure 4-9(b).

The Jacobian \( J \) for this system of equations is

\[
J = \begin{bmatrix}
2 & 0 \\
1 & 1
\end{bmatrix}
\]

so \( J^{-1} \) is well defined and the Newton-Raphson will converge to the right solution.

The symbolic simulation network with one stage of the next state logic \( (k = 1) \) is shown in Figure 4-10(b) (the next state logic block is just an inverter). If we use the approximate power estimation method on this network, we will be introducing a large error because the correlation between the present state lines is very large. Although using Newton-Raphson we will obtain the same probabilities for the two state lines \( PS_1(0) \) and \( PS_2(0) \), the symbolic network does not have enough information to indicate that these lines are actually the same. Still, the next state logic introduces this information for \( PS_1(t) \) and \( PS_2(t) \).

By unrolling the circuit one more time, as shown in Figure 4-11, we introduce the necessary correlation between \( PS_1(0) \) and \( PS_2(0) \). Thus with \( k = 2 \) we can achieve
the exact solution with the approximate method. However, it is not true that for the general case $k = 2$ suffices.

Similarly, if we use the $m$-expand method with $m = 2$ the exact solution will be obtained.

4.4 Results on Sequential Power Estimation Techniques

In this section we present experimental results that illustrate the following points:

- Purely combinational logic estimates result in significant inaccuracies.

- Assuming uniform probabilities for the present state line probabilities and state probabilities as in [GDKW92] can result in significant inaccuracies in power estimates.

- Exact and explicit computation of state probabilities is possible for controller type circuits. However, it is not viable for datapath circuits.

- For acyclic datapath circuits, the method described in Section 4.1 produces exact results and is very efficient since no state or line probabilities need to be computed.
Table 4.1 Comparison of sequential power estimation methods for pipelined circuits.

- Computing the present state line probabilities using the techniques presented in the previous sections results in 1) accurate switching activity estimates for all internal nodes in the network implementing the sequential machine; 2) accurate, robust and computationally efficient power estimate for the sequential machine.

In Table 4.1, results are presented for pipelined datapath circuits. We present results for a 4- and a 8-bit multipliers and carry-look-ahead and carry-bypass adders, for different number of pipeline stages. For each circuit, we give the number of gates in the combinational logic block (GATES) and the total number of flip-flops (FF) for each number of pipeline stages (ST).

In the table, UNIFORM PROB corresponds to the sequential estimation method assuming uniform (0.5) probabilities for the present state lines. The column LINE PROB corresponds to the approximate technique of Section 4.3 and using the Newton-Raphson method to solve the non-linear system of Equation 4.9. These equations correspond to 1-unrolled or 1-expanded networks. PIPELINE corresponds to the power estimation method for acyclic circuits of Section 4.1. Finally, STATE PROB corresponds to the exact state probability calculation method of Section 4.2.
4.4 RESULTS ON SEQUENTIAL POWER ESTIMATION TECHNIQUES

For the power estimates, we used the symbolic simulation method described in Chapter 3. A zero delay model was assumed, however any other delay model could have been used instead. Under ERR we give the percentage difference relative to the exact method, in this case the PIPELINE method. The CPU times in the table correspond to seconds on a SUN SPARC-2. These are the time required to estimate combinational switching activity using BDD-based symbolic simulation plus the time required for the calculation of state/line probabilities.

The first observation is that we are only able to run the exact state probability calculation method STATE PROB for mult4. For the other circuits, the corresponding STG is very large. The method of Section 4.1 (PIPELINE) exactly computes the average switching activity for a pipelined circuit, taking into account the correlation between the flip-flops. It requires much less CPU time since no state probabilities have to be computed.

For the remaining circuits, assuming a uniform probability of the present state lines (UNIFORM PROB) can yield very large errors. We can see that if the approximate method of Section 4.3 is used (LINE PROB), the power estimates are very close to that obtained by the exact method (PIPELINE).

Table 4.3 presents results for several cyclic circuits, the statistics for which are given in Table 4.3. In the table, UNIFORM PROB, LINE PROB and STATE PROB correspond to the same methods as in Table 4.1. COMBINATIONAL corresponds to the purely combinational estimation method of Chapter 3, i.e., no next state logic block is appended to the symbolic network thus there is no correlation between the two input vectors.

The first set of circuits corresponds to finite state machine controllers. These circuits typically have the characteristic that the state probabilities are highly non-uniform. Restricting oneself to combinational power dissipation (COMBINATIONAL) or assuming uniform state probabilities (UNIFORM PROB) results in significant errors. However, the line probability method of Section 4.3 produces highly accurate estimates when compared to exact state probability calculation.
Table 4.2  Statistics for cyclic circuits.

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<tr>
<th>CIRCUIT</th>
<th>GATES</th>
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### 4.4 RESULTS ON SEQUENTIAL POWER ESTIMATION TECHNIQUES

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>COMBINATIONAL</th>
<th>UNIFORM PROB.</th>
<th>LINE PROB.</th>
<th>STATE PROB.</th>
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Table 4.3 Comparison of sequential power estimation methods for cyclic circuits.
The second set of circuits corresponds to datapath circuits, such as counters and accumulators. The exact state probability evaluation method requires huge amounts of CPU time for even the medium-sized circuits, and cannot be applied to the large circuits. For all the circuits that the exact method is viable for, our LINE PROB method produces identical estimates. The UNIFORM PROB method does better for the datapath circuits – in the case of counters for instance, it can be shown that the state probabilities are all uniform, and therefore the UNIFORM PROB method will produce the right estimates. Of course, this assumption is not always valid.

The third set of circuits corresponds to mixed datapath/control circuits from the ISCAS-89 benchmark set. Exact state probability evaluation is not possible for these circuits.
### RESULTS ON SEQUENTIAL POWER ESTIMATION TECHNIQUES

Table 4.5 Absolute errors in switching activity averaged over all circuit lines.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>COMBINATIONAL ERROR</th>
<th>UNIFORM PROB. ERROR</th>
<th>LINE PROB. ERROR</th>
</tr>
</thead>
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<tr>
<td>cse</td>
<td>0.402</td>
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<td>0.003</td>
</tr>
<tr>
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<td>sand</td>
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<td>0</td>
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</table>

In Table 4.4, present state line probability estimates for the benchmark circuits are presented. The error value provided in each column shows the absolute error (absolute value of the difference between exact and approximate value) of the signal probability values averaged over all present state lines in the circuit. The exact values were calculated by the method described in Section 4.2. It is evident from these results that the error for the approximate method of Section 4.3 averaged over all benchmark circuits is well below 0.05.

We present the switching activity errors for the benchmark circuits in Table 4.5. Again, the error value provided in each column represents the absolute error averaged over all internal nodes in the circuit. It can be seen that this error is quite small. These two tables demonstrate that the approximate procedure provided in Section 4.3
Table 4.6 Comparison of Picard-Peano and Newton-Raphson.

leads to very accurate estimates for both the present state line probabilities and for the switching activity values for all circuit lines.

Next, we present results comparing the Picard-Peano and Newton-Raphson methods to solve the non-linear equations of Section 4.3. These results are summarized in Table 4.6. The number of iterations required for the Picard-Peano and Newton-Raphson methods are given in Table 4.6 under the appropriate columns, as are the CPU times per iteration and the total CPU time. Newton-Raphson typically takes fewer iterations, but each iteration requires the evaluation of the Jacobian and is more expensive than
the Picard iteration. The results obtained by the two methods are identical, since the convergence criterion used was the same.

The convergence criterion allowed a maximum error of 1% in the line probabilities. In this case, the Picard-Peano method outperforms the Newton-Raphson method for virtually all the examples. If the convergence criterion is tightened, e.g., to allow for a maximum error of 0.01%, the Picard-Peano method requires substantially more iterations than the Newton-Raphson and in several examples, the Newton-Raphson method outperforms the Picard-Peano method. However, since the error due to ignoring correlation (cf. Section 4.3) is more than 1% it does not make sense to tighten the convergence criterion beyond a 1% allowed error.

In some examples the Picard-Peano method may exhibit oscillatory behavior, and will not converge. In these cases, the strategy we adopt is to use Picard-Peano for several iterations, and if oscillation is detected, the Newton-Raphson method is applied.

In Table 4.7, we present results that indicate the improvement in accuracy in power estimation when \( k \)-unrolled or \( m \)-expanded networks are used. Results are presented for the finite state machine circuits of Table 4.3 for \( k = 1, 2, 3 \) and \( m = 1, 2, 4 \) (the initial error for \( \text{dk16} \) and \( \text{zreg} \) benchmarks is 0, thus there is no need to improve

Table 4.7 Results of power estimation using \( k \)-unrolled and \( m \)-expanded networks.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>INITIAL ERROR</th>
<th>( k )-UNROLLED ERROR</th>
<th>( m )-EXPANDED ERROR</th>
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<tr>
<td></td>
<td></td>
<td>( k = 2 )</td>
<td>( k = 3 )</td>
</tr>
<tr>
<td></td>
<td></td>
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<td>ERR CPU</td>
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<tr>
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<td>0.20 16</td>
<td>0.20 29</td>
</tr>
<tr>
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<td>0.02 44</td>
<td>0.04 53</td>
</tr>
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<td>0.85 2</td>
<td>0.30 3</td>
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<tr>
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<td>1.72 45</td>
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<tr>
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<td>0.61 64</td>
<td>0.29 109</td>
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<tr>
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<td>0.41 113</td>
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<td>0.12 597</td>
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<td></td>
<td></td>
<td>( m = 2 )</td>
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<td></td>
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<td>ERR CPU</td>
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<td>tbk</td>
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<td>58 0.12</td>
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Table 4.8 Percentage error in switching activity estimates averaged over all nodes in the circuit.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>k-UNROLLED ERROR</th>
<th>m-EXPANDED ERROR</th>
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<td>4.48</td>
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</table>

The accuracy by using larger values of $k$ and $m$). The percentage differences in power from the exact power estimate are given. If $k \to \infty$ the error will reduce to 0%, however, increasing $k$ when $k$ is small is not guaranteed to reduce the error (e.g., consider styr). The $m$-expansion-based method behaves more predictably for this set of examples, however, no guarantees can be made regarding the improvement in accuracy on increasing $m$, except that when $m$ is set to the number of flip-flops in the machine, the method produces the Chapman-Kolmogorov equations, and therefore the exact state probabilities are obtained. The CPU times for power estimation are in seconds on a SUN SPARC-2. These times can be compared with those listed in Table 4.3 under the LINE PROB column as those times correspond to $k = 1$ and $m = 1$.

During the synthesis process, we often want to know the switching activity of individual nodes instead of a single power consumption figure. Table 4.8 presents the percentage error for individual node’s switching activity from the exact values as a function of $k$ and $m$, averaged over all the nodes in the circuit. It is seen that the accuracy of switching activity estimates consistently increases with the value of $k$ and $m$. For example, the error in switching activity estimates for styr decreases from 13% to 6.3% when $k$ increases from 2 to 3. The power estimates, however, do not
necessarily improve by increasing $k$ or $m$. This phenomenon can be explained as follows. The total power estimate is obtained by summing power consumptions of all nodes in the circuit. The individual power estimates may be under- or over-estimated, yet when they are added together, the overall error may become small due to error cancellation. Increasing $k$ improves the accuracy of power estimates for individual nodes, but does not necessarily improve the accuracy of power estimate for the circuit due to the unpredictability of the error cancellation during the summing step.

4.5 Modeling Correlation of Input Sequences

One of the limitations of the approaches of the previous sections is that the input sequences to the sequential circuit are assumed to be uncorrelated. In reality, the inputs come from other sequential circuits, or are application programs. A high degree of correlation could exist in the applied input sequence. This correlation could be temporal, i.e., consecutive vectors could bear some relationship, or could be spatial, i.e., bits within a vector could bear some relationship.

Recently a technique was proposed in [MMP95b] that tries to introduce some degree of information about correlation between inputs. This estimation method allows the user to specify pairwise correlation of inputs as static (SC) and transition (TC) correlation coefficients. These are defined as:

$$SC_{ij}^{xy} = \frac{\text{prob}(x_i = i \land y_j = j)}{\text{prob}(x_i = i) \text{prob}(y_j = j)}$$

$$TC_{ij,kl}^{xy} = \frac{\text{prob}(x_{i-k} = i \land y_{j-1} = j)}{\text{prob}(x_{i-k}) \text{prob}(y_{j-1})}$$

These coefficients are then propagated through the logic circuit and similar coefficients for internal signals are obtained. This results in efficient estimation schemes, however, correlation between triplets of signals is ignored; in many circuits multiple (> 2) signals reconverging at gates close to the output are strongly correlated.

In this section, we describe an approach to estimate the average power dissipation in sequential logic circuits under user-specified input sequences or programs [MD95]. Both temporal and spatially correlated sequences can be modeled using a finite state
machine, termed an Input-Modeling Finite State Machine (IMFSM). Power estimation can be carried out using the sequential circuit power estimation methods of Section 4.3 on a cascade circuit consisting of the IMFSM and the original sequential circuit.

This technique is applicable to estimating the switching activity, and therefore power dissipation, of processors running application programs. We do not, however, model the power dissipated in external memory (e.g., DRAM, SRAM), or caches. Our approach is useful in the architectural and logical design of programmable controllers and processors, because it enables the accurate evaluation of power dissipated in a controller or processor, when specific application programs are run.

Recent work in power analysis of embedded software [TMW94] uses a different approach to estimate the power dissipated by a processor when a given program is run on the processor. An instruction-level energy model has been developed, and validated on the 486DX2. The advantages of this approach are that it is efficient and quite accurate and can take into account the power dissipated in the entire system, i.e., processor + memory + interconnect. A disadvantage is that each different architecture or different instruction set requires a significant amount of empirical analysis on implemented hardware to determine the base cost of individual instructions.

4.5.1 Completely- and Incompletely-Specified Input Sequences

Assume that we are given a sequential circuit $M$. We first consider the problem of estimating the average power dissipation in $M$ upon the application of a periodic completely-specified input sequence $C$. An easy way of doing this is to perform timing simulation on the circuit for the particular vectors, and measure the activities at each gate. This, however, will become very time-consuming for incompletely-specified vector sequences.

Given the input sequence $C = \{c_1, c_2, \ldots, c_N\}$, we specify the State Transition Graph (STG) of an autonomous Input-Modeling Finite State Machine (IMFSM), call it $A$, as follows. $A$ has $N$ states, $s_1$ through $s_N$. For $1 \leq i < N$ we have a transition from $s_i$ to $s_{i+1}$. Additionally we have a transition from $s_N$ to $s_1$. $A$ is a Moore machine,
and the output associated with each state $s_i$ is the corresponding completely-specified vector $c_i$. An example of a four-vector sequence with each vector completely-specified over three bits is given in Figure 4-12(a), and the STG of the derived IMFSM is shown in Figure 4-12(b).

A logic-level implementation of $A$ can be obtained by arbitrarily assigning distinct codes to the states $s_i$, $1 \leq i \leq N$, using $\lceil \log_2 N \rceil$ bits\(^2\). The encoding does not affect the power estimation step as we will ignore any switching activity or power dissipation in $A$.

In order to estimate the average power dissipated in $M$ upon the application of a given completely-specified input sequence $C$, the power estimation methods of Section 4.3 are applied to the cascade $A \rightarrow M$ depicted in Figure 4-13. Since the cascade $A \rightarrow M$ does not have any external inputs, no assumptions regarding input probabilities need to be made.

Let us now consider the problem of estimating the average power dissipation in $M$ upon the application of a periodic incompletely-specified input sequence $I$. By

\(^2\lceil x \rceil\) is the smallest integer greater or equal to $x$.\)
incompletely-specified we mean that the unspecified inputs can take on either the 0 or 1 value with known probability.

As an example, consider the incompletely-specified sequence

\[ 11- \]
\[ -1- \]
\[ -01 \]
\[ -11 \]

Completely-specified sequences contained in this sequence and that can possibly be applied to \( M \) are

\[
\begin{array}{cccccc}
110 & 111 & 110 & 110 \\
010 & 111 & 110 & 111 \\
001 & 101 & 101 & 001 \\
011 & 111 & 111 & 011 \\
\end{array}
\]

among many others.

We are given the input sequence \( D = \{d_1, d_2, \ldots, d_N\} \), over inputs \( I_1, I_2, \ldots, I_M \). We will assume that the \(-\) entries for any \( I_j \) are uncorrelated. The \(-\) entries for each \( I_j \) have a user-specified probability of being a 1 denoted by \( \text{prob}(I_j) \).

We specify the STG of the IMFSM, call it \( B \), as follows. \( B \) has \( N \) states, \( s_1 \) through \( s_N \), \( M \) primary inputs \( I_1, I_2, \ldots, I_M \), and \( M \) primary outputs \( o_1, o_2, \ldots, o_M \). For \( 1 \leq i < N \) we have a transition from \( s_i \) to \( s_{i+1} \) regardless of the values of the \( I_j \)'s. We also have a transition from \( s_N \) to \( s_1 \) regardless of the values of the \( I_j \)'s. However, \( B \) is
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Figure 4-14 Example of Mealy IMFSM for a four-vector sequence.

A Mealy machine, and the output associated with each transition $s_i \rightarrow s_{i+1}$ is a logical function dependent on the corresponding $d_i$. An example of the incompletely-specified four-vector sequence used above is reproduced in Figure 4-14(a), and the STG of the derived IMFSM is shown in Figure 4-14(b). Since $d_1 = 11-$, we have $o_1 = 1$, $o_2 = 1$ and $o_3 = I_3$ for the transition from $s_1$. Similarly for the other transitions.

As before, a logic-level implementation of $B$ can be obtained by arbitrarily assigning distinct codes to the states $s_i$, $1 \leq i \leq N$, using $\lceil \log_2 N \rceil$ bits. The encoding does not affect the power estimation step.

In order to estimate the average power dissipated in $M$ upon the application of a given incompletely-specified input sequence $C$, the strategies of Section 4.3 are applied to the cascade $B \rightarrow M$. The given static or transition probabilities $\text{prob}(I_j)$ of the primary inputs $I_1, I_2, \ldots, I_M$ to $B$ are used to estimate the power. Note that the probabilities for all inputs to $M$ are automatically derived.
### 4.5.2 Assembly Programs

In many applications, a processor receives a set of instructions as an input. An important problem is to estimate the power dissipated in the processor when it runs a given application program or a set of application programs. In this section, we describe ways of modeling an input assembly program as a IMFSM so conventional sequential estimation methods can be used.

For this purpose we will focus on a simple instruction set for a RISC processor $\alpha_0$, which is a subset of the instruction set for the DEC Alpha™ microprocessor. Table 4.9 gives a description of the $\alpha_0$ instruction set.

Given an arbitrary $\alpha_0$ program, we will derive a logic-level IMFSM $B$ which is
cascaded with the processor as illustrated in Figure 4-13 to estimate average power consumption when the program runs on the processor. Our model for the processor is illustrated in Figure 4-15. The processor is a sequential circuit consisting of a register file, arithmetic units, and control logic. It receives as input an instruction stream and reads and writes an external memory.

A key assumption that we make is that data values loaded from memory are random and uncorrelated. Therefore, the effect of a sequence of stores to, and loads from the same location in memory is not modeled. If we did not make this assumption then we would have to deal with the entire state space of the memory — a very difficult task. Note that in this approach we are also not concerned with the power dissipated in the external memory.

We will now describe how to generate a IMFSM given an arbitrary program comprised of a sequence of assembly instructions. Let the program $P$ be a sequence of instructions $P = \{r_1, r_2, \ldots, r_N\}$. The STG of the Moore IMFSM $Q$ has $N$ states. For each of the different classes of instructions in Table 4.9 we show how to derive the STG of $Q$.

- **Operate:** If $r_i$ is an Operate instruction (e.g., `add`, `cmp`) we assign $r_i$ as the output of state $s_i$. $s_i$ makes an unconditional transition to $s_{i+1}$. 

---

**Figure 4-15** Processor model.
init:   and r0, r0, 0
ld r1, r0, 0x1000
ld r2, r0, 0x1010
add r3, r0, 0
add r4, r0, 0

loop:   cmplt r4, r1
        br done
        add r3, r3, r2
        add r4, r4, 1
        br loop

done:   st r3, r0, 0x2000
        br init

(a)

Figure 4-16  Example of Mealy IMFSM for an assembly program.
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- **Branch**: If $r_i$ is a Branch instruction, we determine the branch target instruction, call it $r_j$. State $s_i$ makes a transition to state $s_j$ if variable $v_i = 1$, and a transition to state $s_{i+1}$ if $v_i = 0$. The probability of $v_i$ being a 1 will be determined by preprocessing the program $P$ as described later in the section. The output associated with $s_i$ is $r_i$.

- **Memory**: If $r_i$ is a Memory instruction, the output associated with $s_i$ is $r_i$. On a load instruction (ld), $R_a$ is loaded with a random value from memory. The inputs to the processor from memory will have certain probabilities associated with 0 or 1 values. Since we are treating the data memory as an external memory, a store instruction (st) is essentially a null operation.

We now elaborate on the probabilities of the branch variables ($v_i$'s). Branch prediction is a problem that has received some attention [PH90, pp. 103-109]. The probabilities of the branch variable $v_i = 1$ corresponds to the probability that a branch is taken on the execution of instruction $r_i$, and this probability can be determined, at least approximately, by preprocessing the program $P$.

For example, if we have a constant iteration loop with $N$ iterations, the probability of staying in the loop is computed as $\frac{N}{N+1}$ and the probability of exiting the loop as $\frac{1}{N+1}$. If comparisons between data operands are used to determine branch conditions, the probability of the comparison evaluating to a 1 assuming random data operands can be calculated. For example, the probability that $a > b$ is 0.5, and the probability that $a + b > c$ is 0.75.

Additionally, we can run the program $P$ with several different inputs, and obtain the information regarding the relative frequency with which each conditional branch is being taken versus not being taken. This relative frequency is easily converted into the probabilities for the $v_i$'s.

As before once the STG of the IMFSM has been derived and encoded, estimation can be carried out using the topology of Figure 4-13. An example assembly program for the processor $\alpha_0$ is given in Figure 4-16(a) and the STG of its corresponding
IMFSM is shown in Figure 4-16(b). The average power dissipation of the processor when executing the program is computed using the estimation method of Section 4.3.

4.5.3 Experimental Results

In this section we present some experimental results obtained using the methods of Sections 4.5.1 and 4.5.2.

We compute the power dissipation of the cascade circuit consisting of the IMFSM driving the sequential circuit or processor (cf. Figure 4-13) using the techniques of Section 4.3. However, the method described in this section is not tied to a particular sequential power estimation strategy. Any strategy used has to be able to:

1. model the correlation between applied vector pairs due to the next state logic as shown in Figure 4-5, and

2. use present state probabilities or approximate using line probabilities.

In Table 4.10 we present power estimation results on sequential circuits of three different types, small machines synthesized from State Transition Graph descriptions, larger controller circuits, and a small processor similar to the α₀. We give the number of gates and flip-flops in the circuit under GATES and FF respectively.

For each given sequential circuit or processor, assuming uniform primary input probabilities, we compute the power dissipation using the techniques of Section 4.3. The power estimation values assuming a clock frequency of 20MHz, a supply voltage of 5V and a unit delay model are given in the column UNIFORM-PROB, together with the CPU time in seconds required for the computation on a DEC-AXP 3000/500.

For the first type of circuits (for which we have a STG available) we built a transfer input sequence, i.e., an input sequence that will traverse all states in the STG. Additionally, for all sequential circuits we generated a random input sequence. Given these input sequences we construct an IMFSM using the methods of Section 4.5.1. The corresponding power values and CPU time are given in columns IMFSM-TRANS-SEQ
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tab
<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>GATE</th>
<th>FF</th>
<th>UNIFORM-PROB</th>
<th>IMFSM-RAND-SEQ</th>
<th>IMFSM-TRANS-SEQ</th>
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<td>POWER DIFF CPU</td>
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Table 4.10 Comparison of power dissipation under uniform input assumption and IMFSM computation.

and IMFSM-RAND-SEQ respectively. Similarly, we use the techniques of Section 4.5.2 to obtain an IMFSM for 2 different input programs for the \( \alpha_0 \) processor.

In Table 4.11 we give percentage errors of the present line probabilities. For each sequential circuit and each random/transfer input sequence we compute the static probabilities of the present state lines and compare them with the static probabilities obtained by assuming uniform primary input probabilities. Under MIN/MAX columns we give the percentage error of the state line with minimum/maximum static probability error. Under AVG we give the average error over all present state lines.

As we can see from Table 4.10, the CPU time required to compute the power for the cascaded circuit is not much larger than that for only the original circuit. However, the power estimation error for the first set of circuits can be as high as 44%, implying that the uniform probability assumption is unrealistic. Obtaining more accurate line
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<td>0.0</td>
<td>8.3</td>
</tr>
<tr>
<td>s298</td>
<td>0.0</td>
<td>4.9</td>
</tr>
<tr>
<td>s444</td>
<td>0.0</td>
<td>1.6</td>
</tr>
<tr>
<td>s526</td>
<td>0.0</td>
<td>2.9</td>
</tr>
<tr>
<td>s713</td>
<td>0.0</td>
<td>3.3</td>
</tr>
<tr>
<td>s1196</td>
<td>0.0</td>
<td>5.7</td>
</tr>
<tr>
<td>α0-prog1</td>
<td>N/A</td>
<td>2.3</td>
</tr>
<tr>
<td>α0-prog2</td>
<td>N/A</td>
<td>0.2</td>
</tr>
</tbody>
</table>

Table 4.11 Present state line probability errors.
4.6 SUMMARY

probabilities allows the final combinational power estimation to be more accurate. Once accurate present state line probabilities have been computed a variety of methods can be applied to estimate the power dissipated in the logic.

For the processor example, huge errors occur. The first program is a simple program which does not cause any activity in the majority of the registers and in a large fraction of the combinational logic in the processor. The difference between the average power dissipated when this program is run, and when random inputs are assumed is therefore very high. The second program is more complex, and it causes greater activity and greater power dissipation. Note that for the input programs to the processors we have assumed a random distribution for data values.

4.6 Summary

Average power dissipation estimation for sequential circuits is a difficult problem both from a standpoint of computational complexity, and from a standpoint of modeling the correlation due to feedback and correlation in input sequences.

We presented a framework for sequential power estimation in this section. In this framework, state probabilities can be computed using the Chapman-Kolmogorov equations (Section 4.2), and present state line probabilities can be computed by solving a system of non-linear equations (Section 4.3). The results presented in Section 4.4 show that the latter is significantly more efficient for medium to large circuits, and does not sacrifice accuracy. For acyclic circuits, the computation of switching activity can be done exactly and more efficiently without calculating state or state line probabilities (Section 4.1).

This framework for sequential power estimation has been implemented within SIS [SSM+92], the synthesis environment from the CAD group at the University of California at Berkeley, and is now part of their standard distribution.

This framework of power estimation for sequential circuits can be used with any power estimation technique for combinational circuits that can handle transition
Figure 4-17 Generation of transition probabilities: (a) pipeline; (b) cyclic circuit.
probabilities at the inputs. In the case of pipelines, the exact transition probabilities for the inputs to the combinational logic block of each pipeline stage are generated as shown in Figure 4-17(a). For cyclic circuits, we first use the method of Section 4.3 to compute the present state line probabilities, and then use the circuit of Figure 4-17(b) to generate the transition probabilities for the state lines.

We showed how user-specified sequences and programs can be modeled using a finite state machine, termed an Input-Modeling Finite State Machines or IMFSM (Section 4.5). Power estimation can be carried out using existing sequential circuit power estimation methods on a cascade circuit consisting of the IMFSM and the original sequential circuit.

Given input sequences or programs, we need to keep the IMFSM description reasonably compact, in order to manage the computational complexity of estimation. This implies that we need to make certain assumptions, the primary one being that data values are assumed to be uncorrelated. This assumption can be relaxed by using empirical data for particular applications such as voice and video, and we are currently looking at methods to derive this information automatically.
POWER ESTIMATION FOR SEQUENTIAL CIRCUITS
Chapter 5

Optimization Techniques for Low Power Circuits

Now that we have developed tools which can efficiently estimate the average power dissipation of combinational and sequential logic circuits, we have a means of comparing different implementations of the same system, and therefore a way to direct logic synthesis tools for low power optimization.

In this chapter we present a review of previously proposed techniques for the optimization for low power of circuits described at the logic level. Recall that at this abstraction level, the model for average power dissipation is given by Equation 2.2, which we reproduce here:

\[
P_i = \frac{1}{2} \cdot C_i \cdot V_{DD}^2 \cdot f \cdot N_i
\]  

(5.1)

All optimization techniques described in this thesis assume that the clock frequency \( f \) and power supply voltage \( V_{DD} \) have been defined previously. Reducing the clock frequency is an obvious way to reduce power dissipation. However, many designers are not willing to accept the associated performance penalty. In fact, the figure of merit used by many designers is Mops/mW, million of operations per milli-Watt, and this stays constant for different values of \( f \).
Even better is to reduce the supply voltage, given the quadratic relationship with power. However, reducing the supply voltage increases significantly the signal propagation delays, decreasing the maximum operating frequency and thus again reducing the system's performance. In [CSB92] the authors show that the power-delay product still reduces if we lower the supply voltage. The loss in performance can be recovered with the use of parallel processing, i.e., hardware duplication, which in turn translates higher capacitances \( C \). Taking all these factors into account, it is possible to reach an optimum voltage level for a particular design style [CSB92].

Given optimal \( f \) and \( V_{DD} \), the problem of optimizing a circuit for low power is to minimize

\[
\sum_i C_i \cdot N_i
\]

over all the gates in the logic circuit. This expression is often called the *switched capacitance*. Therefore we can attempt reducing the global switching activity of the circuit, reducing the global circuit capacitance or redistributing the switching in the circuit such that the switching activity of signals driving large capacitances is reduced, perhaps at the expense of increasing the switching activity of some signal driving a smaller capacitance.

In Section 5.1 we describe an important optimization method for low power: transistor sizing. While strictly this is not a gate level optimization technique, its importance has led to the incorporation of transistor sizing into logic synthesis systems. Section 5.2 is dedicated to techniques that work on restructuring the combinational logic circuit and in Section 5.3 we focus on techniques that make use of properties particular to sequential circuits.

### 5.1 Power Optimization by Transistor Sizing

Power dissipation is directly related to the capacitance being switched (cf. Equation 5.1). Low power designs should therefore use minimum sized transistors. However, there is a performance penalty in using minimum sized devices. The problem of *transistor*
sizing is computing the sizes of the transistors in the circuit that minimizes power dissipation while still meeting the delay constraints specified for the design.

Transistor sizing for minimum area is a well established problem [SRVK93]. There is a subtle difference between this problem and sizing for low power. If the critical delay of the circuit exceeds the design specifications and thus some transistors need to be resized, methods for minimum area will focus on minimizing the total enlargement of the transistors. On the other hand, methods for low power will first resize those transistors driven by signals with lower switching activity.

A technique for transistor resizing targeting minimum power is described in [TA94]. Initially minimum sized devices are used. Each path whose delay exceeds the maximum allowed is examined separately. Transistors in the logic gates of these paths are resized such that the delay constraint is met. Signal transition probabilities are used to measure the power penalty of each resizing. The option with least power penalty is selected. A similar method is presented in [BHMS94]. This method is able to take false paths into account when computing the critical path of the circuit.

In [BOI95] the authors note that the short-circuit currents are proportional to the transistor sizing. Thus the cost function used in [BOI95] also minimizes short-circuit power.

These methods work on local optimizations. A global solution for the transistor sizing problem for low power is proposed in [BJ94]. The problem is modeled as:

\[
\tau_g = \tau_{\text{intr}} + k \frac{C_{\text{wire}} + \sum_{i \in \text{fanout}(g)} S_i C_{\text{in},i}}{S_g} \tag{5.3}
\]

\[
T_g = \tau_g + \max_{i \in \text{fanin}(g)} T_i \tag{5.4}
\]

\[
P_g = N_g \left( C_{\text{wire}} + \sum_{i \in \text{fanout}(g)} S_i C_{\text{in},i} \right) \tag{5.5}
\]

where \(S_g, N_g, P_g\) and \(\tau_g\) are respectively the sizing factor, switching activity, power dissipation and delay of gate \(g\). \(\tau_{\text{intr}}\) and \(k\) are constants representing respectively the intrinsic delay of the gate and ratio between delay and the capacitive load the date is driving. \(T_g\) is the worst case propagation delay from an input to the output of \(g\). \(C\) denotes load capacitances.
The solution to the optimization problem is achieved using Linear Programming (LP) [Sch87]. A piecewise linear approximation is obtained for Equation 5.3. The constraints for the LP problem are:

\[ \tau_g \geq k_{1,1} - k_{1,2} S_g + k_{1,3} \sum_i S_i C_{in,i} \]
\[ \vdots \]
\[ \tau_g \geq k_{n,1} - k_{n,2} S_g + k_{n,3} \sum_i S_i C_{in,i} \]  
(From Equation 5.3)

\[ S_{min} \leq S_g \leq S_{max} \]

\[ T_g \geq T_i + \tau_g, \quad \forall i \in \text{fanin}(g) \]  
(From Equation 5.4)

\[ T_{max} \geq T_g \]

and the objective function to minimize is:

\[ P = \sum_{\text{overall gates } g} P_g \]

where \( k_{i,j} \) are constants computed such that we get a best fit for the linearized model.

As devices shrink in size, the delay and power associated with interconnect grow in relative importance. In [CK94] the authors propose that wiresizing should be considered together with transistor sizing. Wider lines present less resistance but have higher capacitance. A better global solution in terms of power can be achieved if both transistor and wire sizes are considered simultaneously.

5.2 Combinational Logic Level Optimization

In this section we review techniques that work on restructuring combinational logic circuits to obtain a less power consuming circuit. The techniques we present in this section focus on reducing the switched capacitance within traditional design styles.

A different design style targeting specifically low power dissipation is proposed in [LMSSV95]. It is based on Shannon circuits where for each computation a single input-output path is active, thus minimizing switching activity. Techniques are presented
5.2 COMBINATIONAL LOGIC LEVEL OPTIMIZATION

5.2.1 Path Balancing

Spurious transitions account for a significant fraction of the switching activity power in typical combinational logic circuits [SDGK92, FB95]. In order to reduce spurious switching activity, the delay of paths that converge at each gate in the circuit should be roughly equal. Solutions to this problem, known as path balancing, have been proposed in the context of wave-pipelining [KBC93]. One technique involves restructuring the logic circuit, as illustrated in Figure 5-1. Additionally, by selectively inserting unit-delay buffers to the inputs of gates in a circuit, the delays of all paths in the circuit can be made equal (Figure 5-2). This addition will not increase the critical delay of the circuit, and will effectively eliminate spurious transitions. However, the addition of buffers increases capacitance which may offset the reduction in switching activity.
Figure 5-3 SDCs and ODCs in a multilevel circuit.

5.2.2 Don’t-care Optimization

Multilevel circuits are optimized by repeated two-level minimization with appropriate don’t-care sets. Consider the circuit of Figure 5-3. The structure of the logic circuit may imply some combinations over nodes A, B and C never occur. These combinations form the Controllability or Satisfiability Don't-Care Set (SDC) of F. Similarly, there may be some input combinations for which the value of F is not used in the computation of the outputs of the circuit. The set of these combinations is called the Observability Don't-Care Set (ODC) [DGK94, pp. 178-179].

Traditionally don't-care sets have been used for area minimization [BBH+88]. Recently techniques have been proposed (e.g., [SDGK92, IP94]) for the use of don't-cares to reduce the switching activity at the output of a logic gate. The transition probability of the output \( f \) of a static CMOS gate is given by \( 2 \text{prob}(f)(1 - \text{prob}(f)) \) (ignoring temporal correlation). The maximum for this function occurs when \( \text{prob}(f) = 0.5 \). The authors of [SDGK92] suggest including minterms in the don’t-care set in the ON-set of the function if \( \text{prob}(f) > 0.5 \) or in the OFF-set if \( \text{prob}(f) < 0.5 \). In [IP94] this method is extended to take into account the effect that the optimization of a gate has in the switching probability of its transitive fanout.
5.2 COMBINATIONAL LOGIC LEVEL OPTIMIZATION

5.2.3 Logic Factorization

A primary means of technology-independent optimization (i.e., before technology mapping) is the factoring of logical expressions. For example, the expression \((a \land c) \lor (a \land d) \lor (b \land c) \lor (b \land d)\) can be factored into \((a \lor b) \land (c \lor d)\), reducing transistor count considerably. Common subexpressions can be found across multiple functions and reused. Kernel extraction is a commonly used algorithm to perform multilevel logic optimization for area [BRSVW87]. In this algorithm, the kernels of given expressions are generated and those kernels that maximally reduce the literal count are selected.

When targeting power dissipation, the cost function is not literal count but switching activity. Even though transistor count may be reduced by factorization, the total switched capacitance may increase. Consider the example shown in Figure 5-4 and assume that \(a\) has a low probability \(\text{prob}(a) = 0.1\) and \(b\) and \(c\) have each \(\text{prob}(b) = \text{prob}(c) = 0.5\). The total switched capacitance in the circuit of Figure 5-4(a) is
\[
2(2\text{prob}(a)(1 - \text{prob}(a)) + \text{prob}(b)(1 - \text{prob}(b)) + \text{prob}(c)(1 - \text{prob}(c)) + p_1(1 - p_1) + p_2(1 - p_2) + p_3(1 - p_3))C = 1.52C
\]
and in the circuit of Figure 5-4(b) is
\[
2(\text{prob}(a)(1 - \text{prob}(a)) + \text{prob}(b)(1 - \text{prob}(b)) + \text{prob}(c)(1 - \text{prob}(c)) + p_4(1 - p_4) + p_5(1 - p_5))C = 1.61C.
\]
Clearly factorization is not always desirable in terms of power. Further, kernels that lead to minimum literal count do not necessarily minimize the switched capacitance.

Modified kernel extraction methods that target power are described in [RP93, MBSV94, IP95, PN95]. The algorithms proposed compute the switching activity asso-
associated with the selection of each kernel. Kernel selection is based on the reduction of both area and switching activity.

### 5.2.4 Technology Mapping

Technology mapping is the process by which a logic circuit is implemented in terms of the logic elements available in a particular technology library. Associated with each logic element is an area and a delay cost. The traditional optimization problem is to find the implementation that meets some delay constraint and minimizes the total area cost. Techniques to efficiently find an optimal solution to this problem have been proposed [Keu87].

As long as the delay constraints are still met, the designer is usually willing to make some tradeoff between area and power dissipation. Consider the circuit of Figure 5-5. Mapping this circuit for minimum area using the technology library presented in

![Figure 5-5](image_url)  
**Figure 5-5** Circuit to be mapped, with switching activity information.

<table>
<thead>
<tr>
<th>GATE</th>
<th>AREA</th>
<th>INTRINSIC CAPACITANCE</th>
<th>INPUT LOAD CAPACITANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>INV</td>
<td>928</td>
<td>0.1029</td>
<td>0.0514</td>
</tr>
<tr>
<td>NAND2</td>
<td>1392</td>
<td>0.1421</td>
<td>0.0747</td>
</tr>
<tr>
<td>AOI22</td>
<td>2320</td>
<td>0.3410</td>
<td>0.1033</td>
</tr>
</tbody>
</table>

![Figure 5-6](image_url)  
**Figure 5-6** Information about the technology library.
5.2 COMBINATIONAL LOGIC LEVEL OPTIMIZATION

Area = $2320 + 928 = 3248$
Power = $0.179 \times (0.3410 + 0.0514) + 0.179 \times (0.1029) = 0.0887$

Figure 5-7 Mapping for minimum area.

Area = $1392 \times 3 = 4176$
Power = $0.109 \times (0.1421 + 0.0747) \times 2 + 0.179 \times (0.1421) = 0.0726$

Figure 5-8 Mapping for minimum power.
Figure 5-6 yields the circuit presented in Figure 5-7. The designer may prefer to give up some area in order to obtain the more power efficient design of Figure 5-8.

The graph covering formulation of [Keu87] has been extended to use switched capacitance as part of the cost function. The main strategy to minimize power dissipation is to hide nodes with high switching activity within complex logic elements as capacitances internal to gates are generally much smaller. Although using different models for delay and switching activity estimation, techniques such as those described in [TAM93, TPD93b, Lin93] all use this approach to minimize power dissipation during technology mapping.

Most technology libraries include the same logic element with different sizes (i.e., drive capability). Thus, in technology mapping for low power, the choice of the size of each logic element such that the delay constraints are met with minimum power consumption is made. This problem is the discrete counterpart of the transistor sizing problem of Section 5.1 and is addressed in [TA94, BCH+94, TMF94].

5.3 Sequential Optimization

We now focus on techniques for low power that are specific to synchronous sequential logic circuits. A characteristic of this type of circuits is that switching activity is easily controllable by deciding whether or not to load new values to registers. Further, at the output of registers we always have a clean transition, free from glitches.

5.3.1 State Encoding

State encoding is the process by which a unique binary code is assigned to each state in a Finite State Machine (FSM). Although this assignment does not influence the functionality of the FSM, it determines the complexity of the combinational logic block in the FSM implementation (cf. Figure 4-3).

State encoding for minimum area is a well-researched problem [ADN91, Chapter 5]. The optimum solution to this problem has been proven to be NP-hard. Heuristics that
work well assign codes with minimum Hamming distances to states that have edges connecting them in the State Transition Graph (STG). This potentially enables the existence of larger kernels or kernels that can be used a larger number of times.

Targeting low power dissipation, the heuristics go one step further: assign minimum Hamming distance codes to states that are connected by edges that have higher probability of being traversed. The probability that a given edge in the STG is traversed is given by the steady-state probability of the STG being in the start state of the edge times the static probability of the input combination associated with that edge (cf. Equation 4.1). Whenever this edge is exercised, only a small number of state lines (ideally one) will change, leading to reduced overall switching activity in the combinational logic block. This is the cost function used in the techniques proposed in [RP93, OK94, HHP+94].

In [TPCD94], the technique takes into account not only the power in the state lines but also in the combinational logic by using in the cost function the savings relative to cubes possible to obtain for a given state encoding.

5.3.2 Encoding in the Datapath

Encoding to reduce switching activity in datapath logic has also been the subject of attention. A method to minimize the switching on buses is proposed in [SB94]. Buses usually correspond to long interconnect lines and therefore have a very high capacitance. Thus any reduction in the switching activity of a bus may correspond to significant power savings. In [SB94], an extra line $E$ is added to the bus which indicates if the value being transferred is the true value or needs to be bitwise complemented upon receipt. Depending on the value transferred in the previous cycle, a decision is made to either transfer the true current value or the complemented current value, so as to minimize the number of transitions in the bus lines. For example, if the previous value transferred was 0000, and the current value is 1011, then the value 0100 is transferred, and the line $E$ is asserted to signify that the value 0100 has to be complemented at
the other end. The number of lines switching in the bus has been reduced from three to two. Other methods of bus coding are also proposed in [SB94].

Methods to implement arithmetic units other than in standard two's complement arithmetic are also being investigated. A method of one-hot residue coding to minimize switching activity of arithmetic logic is presented in [Chr95].

5.3.3 Gated Clocks

Large VLSI circuits such as processors contain register files, arithmetic units and control logic. The register file is typically not accessed in each clock cycle. Similarly, in an arbitrary sequential circuit, the values of particular registers need not be updated in every clock cycle. If simple conditions that determine the inaction of particular registers can be computed, then power reduction can be obtained by gating the clocks of these registers [Cha94] as illustrated in Figure 5-9. When these conditions are satisfied, the switching activity within the registers is reduced to negligible levels. Detection and shut down of unused hardware is done automatically in current generations of Pentium and
5.4 SUMMARY

PowerPC processors. The Fujitsu SPARClite™ processor provides software controls for shutting down hardware.

The same method can be applied to "turn off" or "power down" arithmetic units when these units are not in use in a particular clock cycle. For example, when a branch instruction is being executed by a CPU, a multiply unit may not be used. The input registers to the multiplier are maintained at their previous values, ensuring that switching activity power in the multiplier is zero for this clock cycle.

In [BM95] a gated clock scheme applicable to FSMs is proposed. The clock to the FSM is turned off when the FSM is in a state with a self loop waiting for some external condition to arrive. Techniques to transform locally a Mealy machine into a Moore machine are presented so that the opportunity for gating the clock is increased.

As a follow up of the precomputation method that is presented in Chapter 7, a technique called guarded evaluation [TAM95] achieves data-dependent power down at the sequential logic level. Instead of adding the precomputation logic to generate the clock disabling signal, this technique uses signals already existing in the circuit to prevent transitions from propagating. Disabling signals and subcircuits to be disabled are determined by using observability don't-care sets.

5.4 Summary

We have reviewed recently proposed optimization methods for low power that work at the transistor and logic levels.

To reduce the switched capacitance, transistors should be as small as possible. For most designs we cannot afford to use only minimum sized transistors since we need to meet some performance constraints. Transistor sizing for low power enlarges first those transistors driven by signals with lower switching activity.

Combinational techniques such as don't care optimization, logic factorization and technology mapping try to reduce the switching activity in the circuit or redistribute
the switching activity such that we have fewer transitions for signals driving large capacitive loads.

Other techniques that focus on reducing spurious transitions, such as path balancing are inherently limited as they do not address the zero-delay switching activity. However these techniques are independent improvements and can be used together with the other optimization techniques. The technique we describe in Chapter 6 focus on reducing spurious transitions by repositioning the registers in the circuit.

Shut down techniques applied to sequential circuits such as those described in Section 5.3.3 have a greater potential for reducing the overall switching activity in logic circuits. We developed two optimization techniques based on the observations of Section 5.3.3, which are presented in Chapters 7 and 8.
Chapter 6

Retiming for Low Power

The operation of retiming consists of repositioning the registers in a sequential circuit, while maintaining its external functional behavior. Retiming was first proposed in [LS83] as a technique to improve throughput by moving the registers in a circuit.

In this chapter, we explore the application of retiming techniques to modify the switching activity in internal signals of a circuit [MDG93] and demonstrate the impact of these techniques on average power dissipation. The use of retiming to minimize switching activity is based on the observation that the output of registers have significantly fewer transitions than the register inputs. In particular, no glitching is present.

Consider the circuit of Figure 6-1(a). If the average switching activity at the output of gate $g$ is $N_g$ and the load capacitance is $C_L$, then the power dissipated at the output of this gate is proportional to $N_g \cdot C_L$. Now consider the situation when a flip-flop $R$ is added to the output of $g$, as illustrated in Figure 6-1(b). The power dissipated by the circuit is now proportional to $N_g \cdot C_R + N_R \cdot C_L$, where $N_g$ is as before, $C_R$ is the capacitance seen at the input to the flip-flop, and $N_R$ is the average switching activity at the flip-flop output. The main observation here is that $N_R < N_g$, since the flip-flop output will make at most one transition at the beginning of the clock cycle. For example, the gate $g$ may glitch and make three transitions as shown in the figure, but the flip-flop output will make at most one transition when the clock is
asserted. This implies that is possible that $N_g \cdot C_R + N_R \cdot C_L$ is less than $N_g \cdot C_L$ if both $N_g$ and $C_L$ are high. Thus, the addition of flip-flops to a circuit may actually decrease power dissipation. Since adding flip-flops to a circuit is a common way to improve the performance of a circuit by pipelining it, it is worthwhile to exploit all the ramifications of this observation.

Next, consider the more complex scenario of altering the position of a flip-flop in a sequential circuit. Consider the circuit of Figure 6-2(a). The power dissipated by this circuit is proportional to $N_0 \cdot C_R + N_1 \cdot C_B + N_2 \cdot C_C$. Similarly, the power dissipated
6.1 REVIEW OF RETIMING

by the circuit of Figure 6-2(b) is proportional to $N_0 \cdot C_B + N'_1 \cdot C_R + N'_2 \cdot C_C$. One circuit may have significantly lower power dissipation than the other. Due to glitching, $N'_1$ may be greater than $N_1$ but by the same token $N'_2$ may be less than $N_2$. The capacitances of the logic blocks and the flip-flops along with the switching activities will determine which of the circuits is more desirable from a power standpoint. The circuits may also have differing performance.

We use the above observations in a heuristic retiming strategy that targets power dissipation as its primary cost function. We describe this technique in Section 6.2. We begin by making a brief review of retiming in Section 6.1. Experimental results are presented in Section 6.3.

6.1 Review of Retiming

6.1.1 Basic Concepts

For the formulation of the retiming problem, a sequential circuit is generally modeled as a directed acyclic graph $G(V, E, W)$, where: $V$ is the set of vertices, with one vertex for each primary input, each primary output and each gate in the circuit; $E$ is the set of edges, which represent the interconnections between the gates; $W$ is a set of weights associated with each edge in the graph and it represents the number of registers in the connection corresponding to each edge. Figures 6-3 and 6-4 show two different sequential circuits and their respective graphs.

A path between two vertices in the graph $v_1, v_2 \in V$, $v_1 \sim v_2$, is defined as the sequence of edges from $v_1$ to $v_2$. The weight of the path is the sum of the weights of the edges in the path. In the particular case of $k$-pipelines, the weight of any path from a primary input to a primary output is always $k$.

The retiming operation is defined at a vertex level. The retiming of vertex $v$, $r(v)$, is the number of registers to be moved from the fanout edges of vertex $v$ to its fanin
edges. The weight \( w'(e) \) after a retiming operation of an edge \( e \) from \( v_1 \) to \( v_2 \), \( v_1 \xrightarrow{e} v_2 \), is given by

\[
w'(e) = w(e) + r(v_2) - r(v_1)
\]  

(6.1)

It is shown in [LS83] that the input/output behavior of the circuit is preserved (legal retiming) if the retiming verifies the following conditions:

(i) \( r(v) = 0 \), if \( v \) is a primary input or primary output.
(ii) \( w(e) + r(v_2) - r(v_1) \geq 0 \), where \( e \) is an edge from vertex \( v_1 \) to vertex \( v_2 \), \( v_1 \xrightarrow{e} v_2 \).

Condition (i) implies that if the clock cycle in which inputs/outputs are to arrive/be available is to be maintained, then no registers should be borrowed from (or lent to) outside circuitry. Condition (ii) ensures that there are no edges in the graph with negative weights.

The circuit in Figure 6-4 is a retimed version of that in Figure 6-3. Vertices \( A \) and \( B \) were retimed by \( r(A) = r(B) = -1 \), the registers at the inputs of the corresponding gates in the circuit were moved to their outputs. It can be observed that the logic
6.1 REVIEW OF RETIMING

Figure 6-4 Retimed 2-bit adder: (a) Circuit; (b) Graph.

function performed by these circuits as seen from the outside is exactly the same. Although gates A and B are computed one clock cycle earlier in the second circuit, the outputs of the circuit are available in the same clock cycle as before.

6.1.2 Applications of Retiming

Retiming based algorithms have been used previously in logic design optimization, both targeting performance [LS83, Mic91, LP95] and area [MSBSV91].

In [LS83, Mic91, LP95], registers are redistributed so as to minimize the delay of the longest path, thus allowing the circuit to operate at higher clock speeds.

In [MSBSV91], retiming is used to allow optimization methods for combinational circuits to be applied across register boundaries. The circuit is retimed so that registers are moved to the border of the circuit, logic minimization methods are applied to the whole combinational logic block and lastly the registers are again redistributed in the circuit to maximize throughput.

In the next section we present an algorithm that applies retiming with a different cost function. We retime sequential circuits so as to minimize the power dissipated
in the circuit by minimizing its switching activity [MDG93]. This work has been
extended in [LP96]. In [LP96] each register is replaced by two level-sensitive latches,
each working on a different clock phase. The retiming is performed only on latches
clocked on one of the clock phases. Since the latches for the other clock phase stay
fixed, the state variables at the output of these latches remain the same. One of the
advantages of this is that the testability characteristics of the original edge-triggered
circuit and of the retimed level-sensitive circuit are the same.

6.2 Retiming for Low Power

Retiming algorithms that minimize clock periods [LS83, Mic91] rely on the fact that
delay varies linearly under retiming. The delay from $v_1$ to $v_2$ is the sum of the delays
in the path $v_1 \rightarrow v_2$. Unfortunately that is not so for switching activity.

The retiming of a single vertex can dramatically change the switching activity in
the circuit and it is very difficult to predict what this change will be. On the other
hand, re-estimating the switching activity after each retiming operation is not a viable
alternative as the estimation process is itself computationally very expensive.

The algorithm we propose for reducing power dissipation in a pipelined circuit
heuristically selects the set of gates which, by having a flip-flop placed at their
outputs, lead to the minimization of switching activity in the circuit. Gates are selected
based on the amount of glitching that is present at their outputs and on the probability
that this glitching will propagate through their transitive fanouts.

The number of registers in the final circuit can also have a high impact on the
power dissipation. As a second objective, we minimize the number of registers in
the circuit by performing retiming operations provided they maintain the registers
previously placed and do not increase the maximum delay path.
6.2 RETIMING FOR LOW POWER

6.2.1 Cost Function

We start by estimating the average switching activity in the combinational circuit (ignoring the flip-flops), both with zero delay ($N_{\text{zeroD}}$) and actual delay ($N_{\text{actD}}$). We compute the amount of glitching ($N_{\text{glitch}}$) at each gate by taking the difference of the expected number of transitions in these two cases ($N_{\text{glitch}} = N_{\text{actD}} - N_{\text{zeroD}}$).

We then evaluate the probability that a transition at each gate propagates through its transitive fanout. For each gate $g$ in the transitive fanout of $f$, as in Figure 6-5, we calculate the probability of having a transition at gate $g$ caused by a transition at gate $f$ (sensitivity of gate $g$ relative to gate $f$, $s_{g,f}$):

$$s_{g,f} = \text{prob}(g \uparrow | f \uparrow) = \frac{\text{prob}(f \uparrow \land g \uparrow)}{\text{prob}(f \uparrow)} \tag{6.2}$$

where $\text{prob}(f \uparrow)$ is the probability of a transition at the output of gate $f$,

$$\text{prob}(f \uparrow) = N_f = \text{prob}^0(f) + \text{prob}^1(f) = \text{prob}(\overline{f(0)} f(t)) + \text{prob}(f(0) \overline{f(t)}) \tag{6.3}$$

The value of $\text{prob}(f \uparrow \land g \uparrow)$ can be computed by calculating the primary input conditions under which a transition at $f$ triggers a transition at $g$:

$$\text{prob}(f \uparrow \land g \uparrow) = \text{prob}(f(0) \overline{f(t)} g(0) \overline{g(t)}) + \text{prob}(\overline{f(0)} f(t) g(0) \overline{g(t)}) \tag{6.4}$$

$$+ \text{prob}(f(0) \overline{f(t)} g(0) g(t)) + \text{prob}(\overline{f(0)} f(t) g(0) g(t))$$

Since $\text{prob}(f(0) g(0)) = \text{prob}(f(t) g(t)) = \text{prob}(f g)$, Equation 6.4 becomes:

$$\text{prob}(f \uparrow \land g \uparrow) = 2(p_1 p_4 + p_2 p_3) \tag{6.5}$$
where
\[ p_1 = \text{prob}(f g), \quad p_2 = \text{prob}(\bar{f} g), \quad p_3 = \text{prob}(f \bar{g}), \quad p_4 = \text{prob}(\bar{f} \bar{g}) \]

BDDs that represent all primary input conditions under which \( f \) and \( g \) make a transition can be constructed using the methods of Chapter 3. Computing the Boolean AND of these BDDs gives us the primary input conditions for \( f \uparrow \land g \uparrow \). The probability \( \text{prob}(f \uparrow \land g \uparrow) \) can be calculated using a bottom-up traversal of the BDD. Also, calculating signal transition probability at each gate (\( \text{prob}(f \downarrow) \)) can be calculated using the zero delay power estimation methods in Chapter 3.

Since the objective is to reduce power, we weight these sensitivities with the capacitive load of the corresponding gate. The measure of the amount of power dissipation that is reduced by placing a flip-flop at the output of a gate \( f \) is:

\[
\text{power}_\text{red}(f) = N_{\text{glitch}}(f) \times (C_f + \sum_{g \in \text{fanout}(f)} (s_{g,f} \times C_g))
\]  
(6.6)

The transitive fanout of a gate may contain a very large number of elements, so we restrict the number of levels in the transitive fanout that are taken into account. This not only reduces computation time, but also can increase the accuracy since glitching can be filtered out by the inertial delay of combinational logic. From empirical observations, we have concluded that computing the sensitivity of gates up to two levels down in the transitive fanout is sufficient.

One other factor that can significantly contribute to power dissipation is the number of flip-flops in the circuit. We try to minimize this number by giving higher weights to vertices with larger number of inputs \( (n_i(f)) \) and outputs \( (n_o(f)) \). A flip-flop placed at one of these vertices will be in a larger number of paths, reducing the total number of flip-flops needed. Therefore, the final cost function that we want to maximize is given by:

\[
\text{weight}(f) = \text{power}_\text{red}(f) \times (n_i(f) + n_o(f))
\]  
(6.7)
6.2 RETIMING FOR LOW POWER

6.2.2 Verifying a Given Clock Period

Although we aim at the circuit that dissipates the least possible power, we might also want to set a constraint on performance by specifying the desired clock cycle of the retimed circuit.

In the retiming algorithm we will be selecting vertices that should have a flip-flop placed at the output. We restrict the selection process to vertices that still allow the retimed circuit to be clocked with the given clock period. Since the algorithm works with pipelines (acyclic circuits), this is accomplished simply by discarding vertices that have a path longer than the desired clock period, both from any primary input or to any primary output.

6.2.3 Retiming Constraints

The objective is to select the vertices (from those in the conditions of the previous section) with the highest weights, as given by Equation 6.7. The retiming constraint is that the number of selected vertices that share any input-output path should not surpass a given value (which is the number of flip-flop stages in the pipeline). The set of vertices that verify this constraint and corresponding to the highest sum of weights is chosen.

We restrict our algorithm to place one stage of flip-flops at a time. The reason for this is that, if we allowed two stages, the algorithm could select a gate \( f \) and one of its immediate fanout gates \( g \) for a set. Choosing \( f \) will eliminate most of the glitching present at \( g \), possibly changing significantly the weight of \( g \). This new weight of \( g \) is very difficult to predict. Thus, for pipelines with more than one stage, we apply our algorithm iteratively.

Hence the goal is to find the set of vertices with no more than one vertex per input-output path and with the highest sum of weights. Our algorithm uses a binary tree search over all the vertices, keeping record of the best set so far. For large circuits, we limit the search to the most promising vertices.
First we check for pairwise compatibility. For each pair of vertices we check if there is one input-output path to which they both belong. This greatly simplifies the test at each level of the binary tree as we just verify if the vertex corresponding to this level is incompatible with any other vertex previously selected.

To exemplify this process, consider the circuit of Figure 6-6(a). We have represented in Figure 6-6(b) the binary tree for vertex selection. Right branches in the tree correspond to the vertex being selected having a flip-flop at its output and left branches to no flip-flop at the output of the vertex. Since vertex $x$ shares input-output paths with both vertices $y$ and $w$, selecting $x$ implies that none of the other two vertices can be selected. After building the binary tree, we are left with valid combinations of vertices. The one with the highest sum of cost functions is chosen.

### 6.2.4 Executing the Retiming

Initially we position the flip-flops at the primary inputs of the circuit. To place a flip-flop at the output of a gate in the selected set, we recursively perform backward retiming on the vertex, adding a flip-flop at its output and removing a flip-flop from each input. This operation is repeated for vertices that have negative flip-flops at
6.2 RETIMING FOR LOW POWER

their output due to previous retimings. Eventually we reach the primary inputs where flip-flops are present, thereby ending the recursion.

Once we have placed flip-flops at the output of all the gates in the set, there are typically some flip-flops that can still be moved without disturbing the flip-flops already placed. These are flip-flops on paths that do not contain any vertex in the selected set. For instance, consider the circuit depicted in Figure 6-7 which has been through the first phase of retiming, where the only vertex in the selected set was vertex $B$.

The first observation is that although vertex $B$ was retimed (and has a flip-flop at its output as was the objective), $A$ was not. Thus the flip-flops at the inputs $c0$, $v0$ and $w0$ were not removed. In this case it is obvious that it is preferable to retime vertex $A$ so that we reduce the number of flip-flops in the circuit (one at the output of $A$ instead of three at the inputs).

The second observation is that the flip-flops at inputs $v1$ and $w1$ were also not touched. Vertices $X$ and $Y$ can be retimed and this would reduce the levels of combinational logic in the circuit from two to one. Note that retiming $X$ and $Y$ will make $C$ and $D$ retimable, but we do not allow this operation since that would remove the flip-flop from the output of $B$.

Thus, in the last phase of the algorithm we go through the circuit, from primary
inputs to primary outputs, performing a backward retiming on retimable vertices so that:

(i) the delay does not increase over the desired clock period
(ii) the number of flip-flops is reduced
(iii) this retiming operation does not disturb the flip-flops placed at the output of the vertices in the selected set

6.3 Experimental Results

We present results obtained by using the retiming method of Section 6.2 that directly targets power dissipation. In Table 6.1 we present the delay, in nano-seconds, and power, in micro-Watt, dissipated by circuits retimed for minimum delay, and the delay and power dissipated by circuits retimed for minimum power with no timing constraints. Under FF we give the number of flip-flops in the pipelined circuit. The first four circuits are 16- and 32-bit adders (carry-look-ahead, ripple-carry and carry-bypass) and the last three are multipliers. These are all 1-pipeline circuits.

We were able to achieve significant reductions in power for some of the circuits by a judicious placement of registers using the strategies described in Section 6.2. However, the maximum delay of some of the retimed circuits for low power is close to the delay of the corresponding un-pipelined circuit. Retiming for low power disregarding timing might give poor results in terms of performance.

In Table 6.2 we present the results obtained for the same circuits but now adding the constraint of minimum delay. We give results for multi-stage pipelines. The latter was obtained by applying the algorithm of Section 6.2 first to the original circuit and then to each of the two combinational parts of the retimed circuit.

We first note that the power dissipated by the pipelined circuits obtained by retiming for low power disregarding timing (Table 6.1) or by retiming for low power with a minimum delay constraint (Table 6.2) are very close. Thus it is possible to achieve important gains in power dissipation without loss of performance. For example rpl1..16,
### 6.3 Experimental Results

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>RETIME-DELAY</th>
<th>RETIME-POWER</th>
</tr>
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<td>18</td>
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<td>22</td>
</tr>
<tr>
<td>cbp_32</td>
<td>74</td>
<td>42</td>
</tr>
<tr>
<td>mult4</td>
<td>14</td>
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<tr>
<td>mult8</td>
<td>46</td>
<td>11</td>
</tr>
</tbody>
</table>

**Table 6.1** Results of retiming for low power with no timing constraints.

<table>
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<tr>
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<th>RETIME-DELAY</th>
<th>RETIME-POWER</th>
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</thead>
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</table>

**Table 6.2** Results of retiming for low power with minimum delay constraint.
adding the delay constraint actually results in a slightly better power dissipation. This is due to the heuristic nature of the algorithms used.

Secondly observe that, even though we are using an iterative strategy for the 3-stage pipelined circuits, the gain in power is greater for these circuits. This means that even greater savings can be obtained if our algorithm is extended to build $k$-stage pipelines in one pass, by taking into account in the cost function of a vertex the reduction of glitching caused by the selection of another vertex that shares a common path.

### 6.4 Conclusions and Ongoing Work

We described an optimization technique for low power based on retiming that is applicable to pipelined circuits. We made use of the observation that the output of registers have significantly fewer transitions than the register inputs. In particular, no glitching is present. The registers in the circuit are repositioned such that the switched capacitance $\sum_i C_i N_i$ is minimized. The results presented in Section 6.3 show that up to 16% power savings can be obtained.

The retiming algorithm for low power presented is limited to 1-stage pipelines. $k$-stage pipelines can be handled by iteratively applying the algorithm to the combinational logic blocks obtained after each retiming. The reason behind this limitation is that if we consider two registers in the same path, the register that is first in that path changes the switching activity on all the vertices in its transitive fanout, thus invalidating any data we have to place the second register. Further, it is very expensive to recompute the new switching activity every time the first register is moved. The solution we would obtain from an algorithm that is able to handle $k$-pipelines would be better than what we currently achieve with our iterative approach.

For this same reason we are only considering acyclic sequential circuits. Predicting the switching activity after retiming a register in a cyclic circuit is a very difficult task. We are currently studying approximate schemes to efficiently perform this prediction.
and thus be able to handle both $k$-pipelines and cyclic sequential circuits, e.g., finite state machines.

The retiming method presented in this chapter targets the reduction of, and thus its power savings are limited by, the amount of power dissipation related to the glitching in the circuit. In the next chapters we present more powerful techniques in the sense that these techniques also reduce the power of the zero-delay switched capacitance.
Chapter 7

Precomputation

Power shut-down techniques, where entire modules in the circuit are "turned off" when not in use, can have a very high impact in reducing the power consumption of a circuit (cf. Section 5.3.3). We present a powerful logic optimization method that achieves data-dependent power down at the sequential or combinational logic levels.

This method is based on selectively precomputing the output logic values of the circuit one clock cycle before they are required, and using the precomputed values to reduce internal switching activity in the succeeding clock cycle.

The primary optimization step is the synthesis of the precomputation logic, which computes the output values for a subset of input conditions. If the output values can be precomputed, the original logic circuit can be "turned off" in the next clock cycle and will not have any switching activity. Since the savings in the power dissipation of the original circuit is offset by the power dissipated in the precomputation phase, the selection of the subset of input conditions for which the output is precomputed is critical. The precomputation logic adds to the circuit area and can also result in an increased clock period. Given a logic-level circuit, we present automatic methods of synthesizing the precomputation logic so as to achieve a maximal reduction in power dissipation.

We present results for two precomputation architectures for sequential circuits.
The first architecture is termed *Subset Input Disabling* architecture [AMD+94] and is described in Section 7.1. In this architecture the precomputation logic is determined from a subset of the primary inputs to the original circuit. For the second sequential precomputation architecture, the *Complete Input Disabling* architecture [MRDG95] of Section 7.2, the precomputation logic can be a function of all the input variables. The complete input disabling architecture can reduce power dissipation for a larger class of sequential circuits than the subset input disabling architecture, but the synthesis of the precomputation logic block is more complex.

We extend the precomputation approach to combinational circuits [MRDG95]. The reduction in switching activity is achieved by introducing transmission-gates or transparent latches in the circuit which can be disabled when the signal going through them is not necessary to determine the output values. This architecture is more flexible than any of the sequential architectures since we are not limited to precomputation over primary inputs. However, these degrees of freedom make the optimization step much harder. We present synthesis methods for precomputation of combinational circuits. Synthesis methods that target this combinational architecture as well as other variants have been independently developed in [TAM95].

For each of these precomputation architectures, we present experimental results that show that power savings up to 75 percent can be achieved.

### 7.1 Subset Input Disabling Precomputation

Consider the circuit of Figure 7-1. We have a combinational logic block $A$ that is bounded by registers $R_1$ and $R_2$. While $R_1$ and $R_2$ are shown as distinct set of registers in Figure 7-1 they could, in fact, be the same registers. We will first assume that block $A$ has a single output and that it implements the Boolean function $f$. 
### 7.1 Subset Input Disabling Precomputation Architecture

In Figure 7-2 we show the Subset Input Disabling precomputation architecture. The inputs to the block $A$ have been partitioned into two sets, corresponding to the registers $R_1$ and $R_2$. The output of the logic block $A$ feeds the register $R_3$. The two Boolean functions $g_1$ and $g_2$ are the predictor functions. We require:

$$ g_1 = 1 \Rightarrow f = 1 \quad (7.1) $$
$$ g_2 = 1 \Rightarrow f = 0 \quad (7.2) $$

$g_1$ and $g_2$ only depend on the subset of the inputs to $f$ going into $R_1$. If $g_1$ or $g_2$ evaluates to a 1 during clock cycle $t$, the load enable signal to the register $R_2$ is turned off. This implies that the outputs of $R_2$ during clock cycle $t + 1$ do not change. However, the outputs of register $R_1$ are updated and $g_1$ or $g_2$ evaluating to 1 indicate that the subset of inputs feeding $R_1$ are enough to compute $f$, hence the function $f$ will evaluate to the correct logical value.

A power reduction is achieved because only a subset of the inputs to block $A$ change implying reduced switching activity. Though, the area of the circuit has increased due to additional logic corresponding to $g_1$, $g_2$ and the NOR gate. The delay of the circuit between $R_1/R_2$ and $R_3$ is unchanged. However, $g_1$ and $g_2$ add to the delay of paths that originally ended at $R_1$ but now pass through $g_1$ or $g_2$ and the NOR gate before ending at the load enable signal of the register $R_2$. Therefore, we would like to apply this transformation on non-critical logic blocks or choose the input signals to the precomputation such that they are not in the critical path.
The choice of \( g_1 \) and \( g_2 \) is critical. We wish to include as many input conditions as we can in \( g_1 \) and \( g_2 \). In other words, we wish to maximize the probability of \( g_1 \) or \( g_2 \) evaluating to a 1. In the extreme case, this probability can be made unity if \( g_1 = f \) and \( g_2 = \overline{f} \). However, this would imply a duplication of the logic block \( A \) and no reduction in power with a twofold increase in area! To obtain reduction in power with marginal increases in circuit area and delay, \( g_1 \) and \( g_2 \) have to be significantly less complex than \( f \). One way of ensuring this is to make \( g_1 \) and \( g_2 \) depend on much fewer inputs than \( f \).

As mentioned before, the sequential precomputation architectures are not restricted to pipeline circuits. We present in Figure 7-3 an example of precomputation for a finite state machine using this subset input disabling precomputation architecture.

### 7.1.2 An Example

We give an example that illustrates the fact that substantial power gains can be achieved with marginal increases in circuit area and delay. The circuit we are considering is an \( n \)-bit comparator that compares two \( n \)-bit numbers \( C \) and \( D \) and computes the function
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

Figure 7-3 Subset input disabling precomputation architecture applied on a finite state machine.

Figure 7-4 A comparator example.
$C > D$. The optimized circuit with precomputation logic is shown in Figure 7-4. The precomputation logic is as follows.

\[ g_1 = C(n-1) \cdot \overline{D(n-1)} \]

\[ g_2 = \overline{C(n-1)} \cdot D(n-1) \]

Clearly, when $g_1 = 1$, $C$ is greater than $D$, and when $g_2 = 1$, $C$ is less than $D$. We have to implement

\[ g_1 + g_2 = C(n-1) \oplus D(n-1) \]

where $\oplus$ stands for the exclusive-or operator.

Assuming a uniform probability for the inputs, i.e., $C(i)$ and $D(i)$ have a 0.5 static probability of being a 0 or a 1, the probability that the XOR gate evaluates to a 1 is 0.5, regardless of $n$. For large $n$, we can neglect the power dissipation in the XOR gate, and therefore, we can achieve a power reduction of close to 50%. The reduction will depend upon the relative power dissipated by the vector pairs with $C(n-1) \oplus D(n-1) = 1$ and the vector pairs with $C(n-1) \oplus D(n-1) = 0$. If we add the inputs $C(n-2)$ and $D(n-2)$ to $g_1$ and $g_2$ it is possible to achieve a power reduction close to 75%.

### 7.1.3 Synthesis of Precomputation Logic

In this section, we describe exact and approximate methods to determine the functionality of the precomputation logic for the subset input disabling architecture, and then describe methods to efficiently implement the logic.

**Precomputation and Observability Don’t-Cares**

Assume that we have a logic function $f(X)$, with $X = \{x_1, \cdots, x_n\}$, corresponding to block $A$ of Figure 7-1. Given that the logic function implemented by block $A$ is $f$, then the *Observability Don’t-care Set* (cf. Section 5.2.2) for input $x_i$ is given by:

\[ ODC_i = f_{x_i} \cdot f_{\overline{x_i}} + \overline{f_{x_i}} \cdot \overline{f_{\overline{x_i}}} \]

(7.3)
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

where \( f_{x_i} \) and \( f_{\overline{x_i}} \) are the cofactors of \( f \) with respect to \( x_i \), and similarly for \( \overline{f} \).

If we determine that a given input combination is in \( ODC_i \) then we can disable the loading of \( x_i \) into the register since that means that we do not need the value of \( x_i \) in order to know what the value of \( f \) is. If we wish to disable the loading of registers \( x_m, x_{m+1}, \ldots, x_n \), we will have to implement the function

\[
g = \prod_{i=m}^{n} ODC_i
\]  
(7.4)

and use \( g \) as the load enable signal for the registers corresponding to \( x_m, x_{m+1}, \ldots, x_n \).

Precomputation Logic

Let us now consider the subset input disabling architecture of Figure 7-2. Assume that the inputs \( x_1, \ldots, x_m \), with \( m < n \) have been selected as the variables that \( g_1 \) and \( g_2 \) depend on. We have to find \( g_1 \) and \( g_2 \) such that they satisfy the constraints of Equations 7.1 and 7.2, respectively, and such that \( \text{prob}(g_1 + g_2) \) is maximum.

We can determine \( g_1 \) and \( g_2 \) using universal quantification on \( f \). The universal quantification of a function \( f \) with respect to a variable \( x_i \) is defined as:

\[
U_{x_i} f = f_{x_i} \cdot f_{\overline{x_i}}
\]  
(7.5)

This gives all the combinations over the inputs \( x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_n \), that result in \( f = 1 \) independently of the values of \( x_i \).

Given a subset of inputs \( S = \{x_1, \ldots, x_m\} \), let \( D = X - S \). We can define:

\[
U_D f = U_{x_{m+1}} \ldots U_{x_n} f
\]  
(7.6)

**Theorem 7.1** \( g_1 = U_D f \) satisfies Equation 7.1. Further, no function \( h(x_1, \ldots, x_m) \)
exists such that \( \text{prob}(h) > \text{prob}(g_1) \) and such that \( h = 1 \Rightarrow f = 1 \).

**Proof** — If for some input combination \( a_1, \ldots, a_m \) we have \( g_1(a_1, \ldots, a_m) = 1 \), then by construction for that combination of \( x_1, \ldots, x_m \) and all possible combinations of variables in \( x_{m+1}, \ldots, x_n, f(a_1, \ldots, a_m, x_{m+1}, \ldots, x_n) = 1 \).
We cannot add any minterm over \( x_1, \ldots, x_m \) to \( g_1 \) because for any minterm that is added, there will be some combination of \( x_{m+1}, \ldots, x_n \) for which \( f(x_1, \ldots, x_n) \) will evaluate to a 0. Therefore, we cannot find any function \( h \) that satisfies Equation 7.1 and such that \( \text{prob}(h) > \text{prob}(g_1) \).

Similarly, given a subset of inputs \( S \), we can obtain a maximal \( g_2 \) by:

\[
g_2 = U_D \overline{f} = U_{x_{m+1}} \ldots U_{x_n} \overline{f}
\]  

(7.7)

We can compute the functionality of the precomputation logic as \( g_1 + g_2 \).

Selecting a Subset of Inputs: Exact Method

Given a function \( f \) we wish to select the "best" subset of inputs \( S \) of cardinality \( k \). Given \( S \), we have \( D = X - S \) and we compute \( g_1 = U_D f \), \( g_2 = U_D \overline{f} \). In the sequel, we assume that the best set of inputs corresponds to the inputs which result in \( \text{prob}(g_1+g_2) \) being maximum for a given \( k \). We know that \( \text{prob}(g_1+g_2) = \text{prob}(g_1) + \text{prob}(g_2) \) since \( g_1 \) and \( g_2 \) cannot both be 1 for the same input vector. The above cost function ignores the power dissipated in the precomputation logic, but since the number of inputs to the precomputation logic is significantly smaller than the total number of inputs this is a good approximation.

We describe a branching algorithm that determines the optimal set of inputs maximizing the probability of the \( g_1 \) and \( g_2 \) functions. This algorithm is shown in pseudocode in Figure 7-5.

The procedure \texttt{SELECT\_INPUTS} receives as arguments the function \( f \) and the desired number of inputs \( k \) to the precomputation logic. \texttt{SELECT\_INPUTS} calls the recursive procedure \texttt{SELECT\_RECUR} with five arguments. The first two arguments correspond to the \( g_1 \) and \( g_2 \) functions, which are initially \( f \) and \( \overline{f} \). A variable is selected within the recursive procedure and the two functions are universally quantified with respect to the selected variable. The third argument \( D \) corresponds to the set of variables that \( g_1 \) and \( g_2 \) do \textit{not} depend on. The fourth argument \( Q \) corresponds to the set of "active" variables, which may still be selected or discarded. Finally, the argument
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

1. **SELECT_INPUTS( \( f, k \) )**:  
2. {  
3.     /* \( f \) = function to precompute */  
4.     /* \( k \) = # of inputs to precompute with */  
5.     BEST.PROB = 0 ;  
6.     SELECTED_SET = \( \phi \) ;  
7.     **SELECT_RECUR( \( f, \overline{f}, \phi, X, |X| - k \) )** ;  
8.     return( SELECTED_SET ) ;  
9. }  

10. **SELECT_RECUR( \( f_a, f_b, D, Q, l \) )**:  
11. {  
12.     if( \(|D| + |Q| < l\) )  
13.         return ;  
14.     pr = prob(\( f_a = 1 \) ) + prob(\( f_b = 1 \) ) ;  
15.     if( pr \( \leq \) BEST.IN.PROB )  
16.         return ;  
17.     else if( \(|D| == l\) ) {  
18.         BEST.IN.PROB = pr ;  
19.         SELECTED_SET = \( X - D \) ;  
20.         return ;  
21.     }  
22.     select next \( x_i \) \( \in \) \( Q \) ;  
23.     **SELECT_RECUR( \( U_{x_i}f_a, U_{x_i}f_b, D \cup x_i, Q - x_i, l \) )** ;  
24.     **SELECT_RECUR( \( f_a, f_b, D, Q - x_i, l \) )** ;  
25.     **SELECT_RECUR( \( f_a, f_b, D, Q - x_i, l \) )** ;  
26. }  

**Figure 7-5** Procedure to determine the optimal subset of inputs to the precomputation logic.
$l$ corresponds to the number of variables that have to be universally quantified in order to obtain $g_1$ and $g_2$ with $k$ or fewer inputs.

If the condition of line 13 ($|D| + |Q| < l$) is true then we have dropped too many variables in the earlier recursions and we will not be able to quantify with respect to enough input variables. The functions $g_1$ and $g_2$ will depend on too many variables ($> k$).

We calculate the probability of $g_1 + g_2$ (line 15). If this probability is less than the maximum probability we have encountered thus far, we can immediately return since the following invariant

$$\text{prob}(U_x, f) = \text{prob}(f_x, f_{\overline{x_i}}) \leq \text{prob}(f) \quad \forall x_i, f \quad (7.8)$$

is true because $f$ contains $U_x, f$. Therefore as we universally quantify variables from a given $f_a$ and $f_b$ function pair, the $pr$ quantity monotonically decreases.

We store the selected set corresponding to the maximum probability found.

**Selecting a Subset of Inputs: Approximate Method**

The worst-case running time of the exact method is exponential in the number of input variables and although we have a nice pruning condition, there are many examples for which we cannot apply this method. Thus we have also implemented an approximate algorithm that looks at each primary input individually and chooses the $k$ most promising inputs.

For each input we calculate:

$$p_i = \text{prob}(U_{x_i}, f) + \text{prob}(U_{x_i}, \overline{f}) \quad (7.9)$$

$p_i$ is the probability that we know the value of $f$ without knowing the value of $x_i$. If $p_i$ is high then most of the time we do not need $x_i$ to compute $f$. We select the $k$ inputs corresponding to smaller values of $p_i$. 
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

Implementing the Logic

The Boolean operations of OR and universal quantification required in the input selection procedure can be carried out efficiently using reduced, ordered Binary Decision Diagrams (ROBDDs) [Bry86]. We obtain a ROBDD for the \( g_1 + g_2 \) function. A ROBDD can be converted into a multiplexor-based network (see [ADK93]) or into a sum-of-products cover. The network or cover can then be optimized using standard combinational logic optimization methods that reduce area [BRSVW87] or those that target low power dissipation [SDGK92].

7.1.4 Multiple-Output Functions

In general, we have a multiple-output function \( f_1, \ldots, f_m \) that corresponds to the logic block \( A \) in Figure 7-1. All the procedures described thus far can be generalized to the multiple-output case.

The functions \( g_{1i} \) and \( g_{2i} \) are obtained using the equations below.

\[
\begin{align*}
g_{1i} &= U_D f_i \\
g_{2i} &= U_D \overline{f_i}
\end{align*}
\]  

(7.10) (7.11)

where \( D = X - S \) is given as before. The function \( g \) whose complement drives the load enable signal is obtained as:

\[
g = \prod_{i=1}^{m} (g_{1i} + g_{2i})
\]  

(7.12)

The function \( g \) corresponds to the set of input conditions where the variables in \( S \) control the values of all the \( f_i \)'s regardless of the values of variables in \( D = X - S \).

Selecting a Subset of Outputs: Exact Method

The probability that \( g \), as defined in Equation 7.12, is 1 may be very low since the number input combinations that allow precomputation of all outputs may be very small. We describe an algorithm, which given a multiple-output function, selects a
subset of outputs and a subset of inputs so as to maximize a given cost function that is dependent on the probability of the precomputation logic and the number of selected outputs. This algorithm is described in the pseudo-code of Figure 7-6.

The inputs to procedure SELECT_OUTPUTS are the multiple-output function $F$, and a number $k$ corresponding to the number of inputs to the precomputation logic.

The procedure SELECT_ORECUR receives as inputs two sets $G$ and $H$, which correspond to the current set of outputs that have been selected and the set of outputs which can be added to the selected set, respectively. Initially, $G = \emptyset$ and $H = F$. The cost of a particular selection of outputs, namely $G$, is given by $prG \times \text{gates}(F - H) / \text{totalgates}$, where $prG$ corresponds to the signal probability of the precomputation logic, $\text{gates}(F - H)$ corresponds to the number of gates in the logic corresponding to the outputs in $G$ and not shared by any output in $H$, and totalgates corresponds to the total number of gates in the network (across all outputs of $F$).

There are two pruning conditions that are checked for in SELECT_ORECUR. The first corresponds to assuming that all the outputs in $H$ can be added to $G$ without decreasing the probability of the precomputation logic. This is a valid condition because the quantity $proldG$ in each recursive call can only decrease with the addition of outputs to $G$. The second condition is that to be able to precompute $G$ we may need variables already discarded. Therefore $prG$ will always be 0 for lower recursion levels.

Logic Duplication

Since we are only precomputing a subset of outputs, we may incorrectly evaluate the outputs that we are not precomputing as we disable certain inputs during particular clock cycles. If an output that is not being precomputed depends on an input that is being disabled, then the output will be incorrect.

The support of $f$, denoted as $\text{support}(f)$, is the set of all variables $x_i$ that occur in $f$ as $x_i$ or $\overline{x_i}$. Once a set of outputs $G \subseteq F$ and a set of precomputation logic inputs $S \subseteq X$ have been selected, we need to duplicate the registers corresponding to $(\text{support}(G) - S) \cap \text{support}(F - G)$. The inputs that are being disabled are in
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

1. SELECT_OUTPUTS( $F = \{ f_1, \cdots, f_m \}, k$ ):

   \{
   /* $F$ = multiple-output function to precompute */
   /* $k$ = # of inputs to precompute with */
   BEST_OUT_COST = 0;
   SEL_Op_SET = $\phi$;
   SELECT_ORECUR( $\phi$, $F$, 1, $k$ )
   return( SEL_Op_SET );
   \}

10. SELECT_ORECUR( $G$, $H$, proldG, $k$ ):

   \{
   If = gates($G \cup H$)/total_gates \times proldG
   if( If \leq BEST_OUT_COST )
       return;
   if( $G \neq \phi$ )
       if( SELECT_INPUTS( $G$, $k$ ) == $\phi$ )
           return;
       prG = BEST_IN_PROB;
   cost = prG \times gates($F - H$)/total_gates
   if( cost > BEST_OUT_COST )
       BEST_OUT_COST = cost;
   SEL_Op_SET = $G$;
   \}

25. select next $f_i \in H$

26. SELECT_ORECUR( $G \cup f_i$, $H - f_i$, prG, $k$ )

27. SELECT_ORECUR( $G$, $H - f_i$, prG, $k$ )

28. \} 

Figure 7-6 Procedure to determine the optimal set of outputs.
Figure 7-7 Logic duplication in a multiple-output function.

support(G) – S. Logic in the F – G outputs that depends on the set of duplicated inputs has to be duplicated as well. It is precisely for this reason that we maximize $pr_G \times \text{gates}(F - H)$ rather than $pr_G \times \text{gates}(G)$ in the output-selection algorithm. This way we are maximizing the number of gates (logic corresponding to the outputs in G) that will not switch when precomputation is possible but not taking into account gates that are shared by the outputs in H, thus reducing the amount of duplication as much as possible.

An example of a multiple-output function where registers and logic need to be duplicated is shown in Figure 7-7.

The original network of Figure 7-7(a) has outputs $f_1$ and $f_2$ and inputs $x_1, \ldots, x_4$. The function $f_1$ depends on inputs $x_1$, $x_2$ and $x_3$ and the function $f_2$ depends on inputs $x_3$ and $x_4$. Hence, the two outputs are sharing the input $x_3$. Suppose that the output-selection procedure determines that $f_1$ is the best output to precompute and that inputs $x_1$ and $x_2$ are the best inputs to the precomputation logic. Therefore, just as in the case of a single-output function, the inputs $x_1$ and $x_2$ feed the input register, whereas $x_3$ feeds the register with the load-enable signal. However, since $f_2$ depends on $x_3$ and the register with the load-enable signal contains stale values in some clock cycles. We need to duplicate the register for $x_3$ and the logic from $x_3$ to $f_2$. 
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

Selecting a Subset of Outputs: Approximate Method

Again the exact algorithm for output selection is worst-case exponential in the number of inputs plus number of outputs, thus we need an approximate method to handle larger circuits. We designed an approximate algorithm which is presented in pseudo-code in Figure 7-8.

In this algorithm we first select the set of outputs that will be precomputed and then select the inputs that we are going to precompute those outputs with. When we are selecting the outputs we still do not know which inputs are going to be selected, thus we select those outputs that seem to be the most precomputable. Universally quantifying just one of the inputs, we start with one output and compute the same cost function as in the exact method, \( prG \times \text{gates}(F - H)/\text{total-gates} \). Then we add outputs that make the cost function increase. We repeat this process for each input. At the end we keep the set of outputs corresponding to the maximum cost.

Once we have a set of promising outputs to precompute we can use the approximate algorithm described in Section 7.1.3 to select the inputs. This algorithm runs in polynomial time in the number outputs times the number of inputs.

7.1.5 Examples of Precomputation Applied to some Datapath Modules

Some datapath modules are particularly well suited for the subset input disable precomputation architecture. An example of this are \( n \)-bit comparators, as the one depicted in Figure 7-4. We give examples of two other such circuits.

A MAX function can be implement as shown in Figure 7-9. The input registers are duplicated so that we can perform precomputation on the comparator just like in Figure 7-4, where some of the inputs of \( R_2 \) and \( R_3 \) are disabled. Further, the enable signal from the precomputation logic can be used to only enable either \( R_1 \) or \( R_4 \).

Another datapath module for which significant power savings can be achieved with this sequential precomputation architecture is a carry-select adder, shown in Figure 7-10. In order to reduce the time per operation, the addition of the most significant bits
1. SELECT_OUTPUTS_APPROX( $F = \{ f_1, \cdots, f_m \}, k$ ):

{ 

2.   BEST_OUT_COST = 0 ;
3.   foreach $x_i \in X$ { /* Output selection */
4.     foreach $f_j \in F$ {
5.         $g_j = U_{x_i} f_j + U_{x_i} \overline{f_j}$ ;
6.     }
7.   }
8.   foreach $f_j \in F$ {
9.       $G = \{ f_j \}$ ;
10.      $H = F - \{ f_j \}$ ;
11.      $prG = \text{prob}(g_j)$ ;
12.      \text{curr.cost} = prG \times \text{gates}(F - H)/\text{total.gates} ;
13.      /* Add any outputs that make the cost increase */
14.      $g = g_j$ ;
15.      foreach $f_j \in F$ {
16.         $H = H - \{ f_j \}$ ;
17.         $prG = \text{prob}(g \cdot g_j)$ ;
18.         \text{cost} = prG \times \text{gates}(F - H)/\text{total.gates} ;
19.         if( \text{cost} > \text{curr.cost} ) {
20.             \text{curr.cost} = \text{cost} ;
21.             $g = g \cdot g_j$ ;
22.             $G = G \cup \{ f_i \}$ ;
23.         } else
24.             $H = H \cup \{ f_i \}$ ;
25.     }
26.   }
27.   if( \text{curr.cost} > \text{BEST_OUT_COST} ) {
28.       \text{BEST_OUT_COST} = \text{curr.cost} ;
29.       SEL_OP_SET = G ;
30.   }
31. }
32.   foreach $x_i \in X$ { /* Input selection */
33.     $g = 1$ ;
34.     foreach $f_j \in \text{SEL_OP_SET}$
35.     $g = g \cdot (U_{x_i} f_j + U_{x_i} \overline{f_j})$ ;
36.     $p_i = \text{prob}(g)$ ;
37.   }
38.   select $k$ $x_i$'s, corresponding to smaller $p_i$'s
39. }

Figure 7-8 Procedure to determine a good subset of outputs.
7.1 Subset Input Disabling Precomputation

Figure 7-9 Precomputation applied to a maximum circuit.

Figure 7-10 Precomputation applied to a carry-select adder.
A(8 : 15) and B(8 : 15) is done in parallel for the two cases where there is a carry from the addition of A(0 : 7) and B(0 : 7) or there is no carry.

We can make

\[ g_1 = A(7) \cdot B(7) \]

be the latch enable for registers R3 and R4 as in this case we know there is going to be a carry. Similarly

\[ g_2 = \overline{A(7)} \cdot \overline{B(7)} \]

can be used as the latch enable for R5 and R6. Using this scheme, we will be eliminating all the switching activity in one of the adders of Figure 7-10 for half of the input combinations, corresponding to approximately 16% power savings.

### 7.1.6 Multiple Cycle Precomputation

#### Basic Strategy

It is possible to precompute output values that are not required in the succeeding clock cycle, but required 2 or more clock cycles later.
Consider the topology of Figure 7-11. If the outputs of register $R_3$ are not used except to compute $f$, then we can precompute the value of the function $f$ using a selected set of inputs, namely those corresponding to register $R_1$. If $f$ can be precomputed to a 1 or a 0 for a set of input conditions, then for these inputs we can turn off the load enable signal to $R_2$. This will reduce switching activity not only in logic block $A$, but also in logic block $B$, because there will be reduced switching activity at the outputs of $R_3$ in the clock cycle following the one where the outputs of $R_2$ do not change.

**Examples**

We present some examples illustrating multiple-cycle precomputation.

Consider the circuit of Figure 7-12. The function $f$ computes $(C + D) > (X + Y)$ in two clock cycles. Attempting to precompute $C + D$ or $X + Y$ using the methods
of the previous sections does not result in any savings because there are too many outputs to consider. However, 2-cycle precomputation can reduce switching activity by close to 12.5% if the functions below are used.

\[
\begin{align*}
  g_1 &= C(n-1) \cdot D(n-1) \cdot X(n-1) \cdot \overline{Y(n-1)} \\
  g_2 &= \overline{C(n-1)} \cdot D(n-1) \cdot X(n-1) \cdot Y(n-1)
\end{align*}
\]

where \( g_1 \) and \( g_2 \) satisfy the constraints of Equations 7.1 and 7.2, respectively. Since \( \text{prob}(g_1 + g_2) = \frac{2}{16} = 0.125 \), we can disable the loading of registers \( C(n-2 : 0) \), \( D(n-2 : 0) \), \( X(n-2 : 0) \), and \( Y(n-2 : 0) \) 12.5% of the time, which results in switching activity reduction. This percentage can be increased to over 45% by using \( C(n-2) \) through \( Y(n-2) \). We can additionally use single-cycle precomputation logic (as illustrated in Figure 7-4) to further reduce switching activity in the > comparator of Figure 7-12.

Next, consider the circuit of Figure 7-13. The multiple-output function \( f \) computes

**Figure 7-13** Adder-maximum circuit.
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

\( \text{MAX}(C + D, X + Y) \) in two clock cycles. We can use exactly the same \( g_1 \) and \( g_2 \) functions as those immediately above, but \( g_1 \) is used to disable the loading of registers \( X(n - 2:0) \) and \( Y(n - 2:0) \), and \( g_2 \) is used to disable the loading of \( C(n - 2:0) \) and \( D(n - 2:0) \). We exploit the fact that if we know that \( C + D > X + Y \), there is no need to compute \( X + Y \), and vice versa.

7.1.7 Experimental Results for the Subset Input Disabling Architecture

We first present results on datapath circuits such as carry-select adders, comparators, and interconnections of adders and comparators in Table 7.1. In all examples all the outputs of each circuit were precomputed. For each circuit, we give the number of literals (LITs), levels of logic (LEVS) and power (POWER) of the original circuit under ORIGINAL, the number of inputs (I), literals (LITs) and levels (LEVS) of the precomputation logic under PRECOMPUTE LOGIC, the final power (POWER) and the percent reduction in power (% RED) under OPTIMIZED. All power estimates are in micro-Watt and are computed using the techniques described in Chapter 4. A zero delay model, a clock frequency of 20MHz and a supply voltage of 5V were assumed. The rugged script of SIS [SSM+92] was used to optimize the precomputation logic.

Power dissipation decreases for almost all cases. For circuit comp16, a 16-bit parallel comparator, the power savings increase as more inputs are used in the precomputation logic, up to 60% when 8 inputs are used for precomputation. When 10 inputs are used, the savings go down to 58% as the size of the precomputation logic offsets the larger amount of time that we are disabling the other input registers.

Multiple-cycle precomputation results are given for circuits add.comp16 and add.max16, shown in Figures 7-12 and 7-13 respectively. For circuit add.comp16, for instance, the numbers 4/8 under the fifth column indicate that 4 inputs are used to precompute the adders in the first cycle and 8 inputs are used to precompute the comparator in the next cycle.

The number of levels of the precomputation logic is an indication of the performance penalty in using precomputation. The logic that is driving the input flip-flops to the
<table>
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Table 7.1 Power reductions for datapath circuits.
7.1 SUBSET INPUT DISABLING PRECOMPUTATION

Disabling precomputation

Precomputation logic calculated using the exact algorithm.

Table 7.2 Power reductions for random logic circuits.

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<td>1 54 5 715</td>
<td>1 1 0 0 557 22</td>
</tr>
<tr>
<td>plcle</td>
<td>19</td>
<td>9 71 7 692</td>
<td>3 9 3 1 486 30</td>
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<td>17 95 8 917</td>
<td>3 17 3 1 571 38</td>
</tr>
<tr>
<td>sao2*</td>
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<td>2 4 2 1 422 65</td>
</tr>
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<td>2 35 1 1 2134 65</td>
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<td>term1</td>
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<td>8 10 14 3 2133 41</td>
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<td>too_large</td>
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<td>unreg</td>
<td>36</td>
<td>16 144 2 1499</td>
<td>2 15 2 1 1234 18</td>
</tr>
</tbody>
</table>

*Precomputation logic calculated using the exact algorithm.

original circuit is increased in depth by the number of levels of the precomputation logic. In most cases, the increase in the number of levels is small.

Results on random logic circuits are presented in Table 7.2. The random logic circuits are taken from the MCNC combinational benchmark sets. In our experiments we assumed that the inputs to the circuits are outputs of flip-flops, and applied sequential precomputation. We give results for those examples where significant savings in power was obtained.

Again, the subset input disabling precomputation architecture was used and the input and output selection algorithms described in Sections 7.1.3 and 7.1.4 were used.
Due to the size of the circuits, on most examples the approximate algorithm was used. Circuits for which we were able to run the exact algorithm are marked with a *. The columns in this table have the same meaning as in Table 7.1, except for the second and third columns which show the number of inputs (I) and outputs (O) of each circuit, and the eighth column which shows the number of outputs that are being precomputed (O). It is noteworthy that in some cases, as much as 75% reduction in power dissipation is obtained.

The area penalty incurred is indicated by the number of literals in the precomputation logic and is 3% on the average. The extra delay incurred is proportional to the number of levels in the precomputation logic and is quite small in most cases.

7.2 Complete Input Disabling Precomputation

The precomputation architecture presented in the previous section suffers from the limitation that if a logic function is dependent on the values of several inputs for a large fraction of the applied input combinations, then no reduction in switching activity can be obtained since we cannot build the precomputation logic from any small subset of the primary inputs.

In this section we target a general precomputation architecture, termed Complete Input Disabling, for sequential logic circuits and show that it is significantly more powerful than the subset input disabling architecture previously described. The very power of this architecture makes the synthesis of precomputation logic a challenging problem. We present a method to automatically synthesize precomputation logic for this architecture.

7.2.1 Complete Input Disabling Precomputation Architecture

In Figure 7-14 the second precomputation architecture for sequential circuits is shown. We are again assuming that the original circuit is in the form of Figure 7-1. However, the complete input disabling architecture is also applicable to cyclic sequential circuits.
The functions $g_1$ and $g_2$ satisfy the conditions of Equations 7.1 and 7.2 as before. During clock cycle $t$ if either $g_1$ or $g_2$ evaluates to a 1, we set the load enable signal of the register $R_1$ to be 0. This means that in clock cycle $t + 1$ the inputs to the combinational logic block $A$ do not change implying zero switching activity. If $g_1$ evaluates to a 1 in clock cycle $t$, the input to register $R_2$ is a 1 in clock cycle $t + 1$, and if $g_2$ evaluates to a 1, then the input to register $R_2$ is a 0. Note that $g_1$ and $g_2$ cannot both be 1 during the same clock cycle due to the conditions imposed by Equations 7.1 and 7.2.

The important difference between this architecture and the subset input disabling architecture is that the precomputation logic can be a function of all input variables, allowing us to precompute any input combination. We have additional logic corresponding to the two flip-flops marked FF and the AND-OR gate shown in the figure. Also the delay between $R_1$ and $R_2$ has increased due to the addition of this gate.

Note that for all input combinations that are included in the precomputation logic (corresponding to $g_1 + g_2$) we are not going to use the output of $f$. Therefore we can simplify the combinational logic block $A$ by using these input combinations as an observability don't-care set for $f$. 

---

**Figure 7-14** Complete input disabling precomputation architecture.
7.2.2 An Example

A simple example that illustrates the effectiveness of the subset input disabling architecture is a $n$-bit comparator. The precomputed comparator is shown in Figure 7-4.

Now let us consider a modified comparator, as shown in Figure 7-15. It works just like a $n$-bit comparator except that if $C$ is equal to the all 0's bit-vector and $D$ is equal to the all 1's bit-vector the result should still be 1 and vice-versa, if $C$ is equal to the all 1's bit-vector and $D$ is equal to the all 0's bit-vector the result should still be 0. This circuit is not precomputable using the subset input disabling architecture because knowing that $C(n-1) = 0$ or $D(n-1) = 1$ is not enough information to infer the value of $f$. In fact, we need to know the values of all the inputs in order to determine $f$. Thus, although the input combination $C$ equal to the all 0's bit-vector and $D$ equal to the all 1's, and the input combination $C$ equal to the all 1's bit-vector and $D$ equal to the all 0's bit-vector have a very low probability of occurrence, they invalidate the use of the subset input disabling precomputation architecture.

Using the complete input disabling architecture, since we have access to all input variables for the precomputation logic, we can simply remove these input combinations.
7.2 COMPLETE INPUT DISABLING PRECOMPUTATION

Figure 7-16 Modified comparator under the complete input disabling architecture.

from $g_2$ and $g_1$, respectively. This is illustrated in Figure 7-16. This way we will still be precomputing all other input combinations in \( C(n-1) \oplus D(n-1) \), meaning that the fraction of the time that we will precompute the output value is still close to 50%.

7.2.3 Synthesis of Precomputation Logic

The key tradeoff in selecting the precomputation logic is that we want to include in it as many input combinations as possible but at the same time keep this logic simple. The subset input disabling precomputation architecture ensures that the precomputation logic is significantly less complex than the combinational logic block \( A \) in the original circuit by restricting the search space to identifying \( g_1 \) and \( g_2 \) such that they depend on a relatively small subset of the inputs to \( A \).

By making the precomputation logic depend on all inputs, the complete input disabling architecture allows for a greater flexibility but also makes the problem much
more complex. The algorithm to determine the precomputation logic that we present in this section extends the algorithm of Section 7.1.3 to exploit this greater flexibility.

We will be searching for the subset of inputs that, for a large fraction of the input combinations, are necessary to determine what the value of \( f \) is. We follow a strategy of keeping the precomputation logic simple by making the logic depend mostly on a small subset of inputs. The difference is that now we are not going to restrict ourselves to those input combinations for which this subset of inputs defines \( f \), we will allow for some input combinations that need inputs not in the selected set.

**Selecting a Subset of Inputs: Exhaustive Method**

Given a function \( f \) we are going to select the "best" subset of inputs \( S \) of cardinality \( k \) such that we minimize the number of times we need to know the value of the other inputs to evaluate \( f \). For each subset of size \( k \), we compute the cofactors of \( f \) with respect to all combinations of inputs in the subset. If the probability of a cofactor of \( f \) with respect to a cube \( c \) is close to 1 (or close to 0), it means that for the combination of input variables in \( c \) the value of \( f \) will be 1 (or 0) most of the time.

Let us consider \( f \) with inputs \( x_1, x_2, \ldots, x_n \) and assume that we have selected the subset \( x_1, x_2, \ldots, x_k \). If the probability of the cofactor of \( f \) with respect to \( x_1 x_2 \cdots x_k \) being all 1's is high (i.e., \( \text{prob}(f_{x_1 x_2 \cdots x_k}) \approx 1 \)), then over all combinations of \( x_{k+1}, \ldots, x_n \) there are only a few for which \( f \) is not 1. So we can include \( x_1 x_2 \cdots x_k \cdot f_{x_1 x_2 \cdots x_k} \) in \( g_1 \). Similarly if the probability of the \( f_{x_1 x_2 \cdots x_k} \) is low (i.e., \( \text{prob}(f_{x_1 x_2 \cdots x_k}) \approx 0 \)), then over all combinations of \( x_{k+1}, \ldots, x_n \) there are only a few for which \( f \) is not 0, so we include \( x_1 x_2 \cdots x_k \cdot f_{x_1 x_2 \cdots x_k} \) in \( g_2 \). Note that in the subset input disabling architecture we would only do this if \( f_{x_1 x_2 \cdots x_k} = 1 \) or \( f_{x_1 x_2 \cdots x_k} = 0 \).

Since there is no limit to the number of inputs that the precomputation logic is a function of, we need to monitor its size in order to ensure it does not get very large. In the sequel we describe a branching algorithm that selects the "best" subset of inputs. The pseudo-code is shown in Figure 7-17.

The procedure `SELECT_LOGIC` receives as arguments the function \( f \) and the
7.2 COMPLETE INPUT DISABLING PRECOMPUTATION 157

1.  SELECT_LOGIC( f, k):
2.  {
3.      BEST_IN_COST = 0 ;
4.      SELECTED_SET = \emptyset ;
5.      SELECT_RECUR( f, \emptyset, X, k ) ;
6.      return( SELECTED_SET ) ;
7.  }
8.  SELECT_RECUR( f, D, Q, k):
9.  {
10.     if( |D| + |Q| < k )
11.        return ;
12.     if( |D| == k ) {
13.        exact = approx = 0;
14.        g_e = g_a = 0;
15.        foreach combination c over all variables in D {
16.            if(prob(f_c) == 1 or prob(f_c) == 0) {
17.                exact = exact + 1;
18.                g_e = g_e + c;
19.                g_a = g_a + c;
20.                continue ;
21.            }
22.            if(prob(f_c) > 1 - \alpha) {
23.                approx = approx + 1;
24.                g_a = g_a + c.f_c;
25.            }
26.            if(prob(f_c) < \alpha) {
27.                approx = approx + 1;
28.                g_a = g_a + c.f_c;
29.            }
30.        }
31.        cost = (exact + \frac{size(g_a) \times approx}{size(g_a)})/2^{|D|} ;
32.        if( cost > BEST_IN_COST ) {
33.            BEST_IN_COST = cost ;
34.            SELECTED_SET = D ;
35.        }
36.        return ;
37.    }
38.    select next \( x_i \in Q \);
39.    SELECT_RECUR( f, D \cup x_i, Q - x_i, k ) ;
40.    SELECT_RECUR( f, D, Q - x_i, k ) ;
41.  }

Figure 7-17 Inputs selection for the complete input disabling architecture.
desired number of inputs \( k \) to select. \texttt{SELECT\_LOGIC} calls the recursive procedure \texttt{SELECT\_RECUR} with four arguments. The first is the function to precompute. The second argument \( D \) corresponds to the set of input variables currently selected. The third argument \( Q \) corresponds to the set of "active" variables, which may be selected or discarded. Finally, the argument \( k \) corresponds to the number of variables we want to select.

If \(|D| + |Q| < k\) it means that we have dropped too many variables in the earlier levels of recursion and we will not be able to select a subset of \( k \) input variables.

When \( k \) inputs have been selected, we compute the cofactors of \( f \) with respect to all combinations over the input variables currently in \( D \). We want to keep those cofactors that have a high probability of being 0 or 1. Our cost function is the fraction of exact cofactors found (exact meaning that the selected inputs determine the value of \( f \)) plus a factor \( \frac{\text{size}(g_e)}{\text{size}(g_a)} \) times the fraction of approximate cofactors found (with these cofactors we still need variables \textit{not} in \( D \) to be able to precompute \( f \)). The factor \( \frac{\text{size}(g_e)}{\text{size}(g_a)} \) tries to measure how much more complex the precomputation logic will be by selecting these approximate factors.

We can tune the value of \( \alpha \) thus controlling how many approximate cofactors we select. The more we select, the more input combinations will be in the precomputation logic therefore increasing the fraction of the time that we will be disabling the input registers. On the other hand, the logic will be more complex since we will need more input variables. Note that in the extreme case of \( \alpha = 0 \), the input selection will be the same as in subset input disabling architecture as all the selected input combinations depend only on the inputs that are in subset \( D \).

We store the selected set corresponding to the maximum value of the cost function.

\textbf{Selecting a Subset of Inputs: Approximate Method}

The previous method is very expensive as it is exponential in the number of primary inputs. The approximate method we propose to select the "best" subset of inputs is
the same as for the subset inputs disabling architecture. For every primary input \(x_i\), we compute:

\[
p_i = \text{prob}(U_{x_i}f) + \text{prob}(U_{x_i}\overline{f})
\]  

(7.13)

and select the \(k\) inputs corresponding to smaller values of \(p_i\).

The difference now is that given this subset of inputs \(D\), we compute the cofactors of \(f\) with respect to every combination \(c\) in \(D\). If \(\text{prob}(f_c) > 1 - \alpha\) we include \(c \cdot f_c\) in \(g_1\). If \(\text{prob}(f_c) < \alpha\) we include \(c \cdot \overline{f_c}\) in \(g_2\).

**Implementing the Logic**

The Boolean operations of or and cofactoring required in the input selection procedure can be carried out efficiently using ROBDDs. In the pseudo-code of Figure 7-17 we show how to obtain the \(g_1 + g_2\) function. We also need to compute \(g_1\) and \(g_2\) independently. We do this in exactly the same way, by including in \(g_1\) the cofactors corresponding to probabilities close to 1 and in \(g_2\) the cofactors corresponding to probabilities close to 0.

Again, given ROBDDs for \(g_1\) and \(g_2\), these can be converted into a multiplexor-based network or into a sum-of-products cover.

**7.2.4 Simplifying the Original Combinational Logic Block**

Whenever \(g_1\) or \(g_2\) evaluate to a 1, we will not be using the result produced by the original combinational logic block \(A\), since the value of \(f\) will be set by either \(g_1\) or \(g_2\). Therefore all input combinations in the precomputation logic are new don’t-care conditions for this circuit and we can use this information to simplify the logic in block \(A\), thus leading to a reduction in area and consequently to a further reduction in power dissipation.
7.2.5 Multiple-Output Functions

The extension of the previous algorithms for multiple-output functions is done in exactly the same way as for the subset input disabling architecture. We use the exact method of Figure 7-6 and the approximate method of Figure 7-8. When precomputing a subset of outputs, the problem of logic duplication of Section 7.1.4 remains the same for this architecture.

7.2.6 Experimental Results for the Complete Input Disabling Architecture

We present in Table 7.3 power saving results using sequential precomputation under the complete input disabling architecture. Again we are using circuits taken from the MCNC benchmark set and have assumed that the inputs to the circuits are outputs of flip-flops.

In the first columns of Table 7.3, under ORIGINAL, we present for each circuit the number of inputs (I), outputs (O), literals (LITS), the maximum delay in nanoseconds (DELAY), and power (POWER) of the original circuit. The remaining columns present
Table 7.4 Comparison of power reductions between complete and subset input disabling architectures.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>ORIGINAL POWER</th>
<th>SUBSET INPUT DISABLE</th>
<th>COMPLETE INPUT DISABLE</th>
</tr>
</thead>
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<td></td>
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<td>DELAY</td>
</tr>
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<td>40</td>
<td>11.0</td>
</tr>
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<td>1533</td>
<td>3</td>
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</tr>
<tr>
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<td>2988</td>
<td>8</td>
<td>4.0</td>
</tr>
<tr>
<td>apex2</td>
<td>1978</td>
<td>15</td>
<td>5.3</td>
</tr>
<tr>
<td>cm138</td>
<td>232</td>
<td>3</td>
<td>2.6</td>
</tr>
<tr>
<td>cm152</td>
<td>427</td>
<td>5</td>
<td>2.6</td>
</tr>
<tr>
<td>cm162</td>
<td>540</td>
<td>2</td>
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</tr>
<tr>
<td>cmb</td>
<td>653</td>
<td>13</td>
<td>3.8</td>
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<td>13</td>
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</tr>
<tr>
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<td>7003</td>
<td>16</td>
<td>5.6</td>
</tr>
<tr>
<td>mux</td>
<td>806</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>sao2</td>
<td>1001</td>
<td>2</td>
<td>1.4</td>
</tr>
</tbody>
</table>

results obtained with the complete input disabling architecture. Under PRECOMPUTE LOGIC we give the number of inputs in the selected set (I), number of precomputed outputs (O), literals (LITS) and delay (DELAY) of the precomputation logic. Under OPTIMIZED, we give the delay (DELAY) and power (POWER) of the optimized precomputed network, and the percent reduction (% RED) in power. All power estimates are in micro-Watt and are computed using the techniques described in Chapter 4. A zero delay model, a clock frequency of 20MHz and a supply voltage of 5V was assumed. The rugged script of SIS [SSM+92] was used to optimize the precomputation logic.

Note that the delay of the precomputation logic is added to the delay of the previous stage in sequential precomputation. The delay numbers in the third to last column correspond to the critical delay of the optimized circuit which includes the output AND-OR gate (cf. Figure 7-14). However, the use of don’t-care conditions to optimize the circuit once the precomputation logic has been determined can reduce the delay of the optimized circuit.

In Table 7.4 we compare the complete and subset input disabling precomputation architectures. The best results obtained by both methods for each of the examples is
given. The precomputation logic in the complete input disabling method is typically larger than in the subset input disabling method, however the first can achieve larger power reductions. The reason for this is twofold. First the probability of the precomputation logic can be higher for the complete input disabling architecture. Secondly, the original circuit is simplified due to the don’t-care conditions in the complete input disabling architecture.

7.3 Combinational Precomputation

The architectures described so far apply only to sequential circuits. We now describe precomputation for combinational circuits.

7.3.1 Combinational Logic Precomputation

Given a combinational circuit, any sub-circuit within the original circuit can be selected to be precomputed. Assume that we select a sub-circuit with $n$ inputs and $m$ outputs as shown in Figure 7-18. In an effort to reduce switching activity, the algorithm will “turn off” a subset of the $n$ inputs using the circuit shown in Figure 7-19. The figure shows $p$ inputs being “turned off”, where $1 \leq p < n$.

The term “turn off” means different things according to the type of circuit style that is being used. If the circuit is built using static logic gates, then “turn off” means prevent changes at the inputs from propagating through block $L$ to the sub-circuit (block $A$) thus reducing the switching activity of the sub-circuit. In this case block $L$
may be implemented using one of the transparent latches shown in Figure 3-6. If the circuit is built using dynamic logic, then "turn off" means prevent the outputs of block $L$ from evaluating high no matter the value of the inputs. This can be implemented simply by using 2-input AND gates where one of the inputs is the enable signal.

Blocks $g_1$ and $g_2$ determine when it is appropriate to turn off the selected inputs. The selected inputs may be "turned off" if the static value of all the outputs, $f_1$ through $f_m$, are independent of the selected inputs. To fulfill this requirement, outputs $g_1$ and $g_2$ are required to satisfy Equations 7.1 and 7.2. If either $g_1$ or $g_2$ is high, the inputs may be "turned off". If they are both low, then the selected inputs are needed to determine the outputs, and the circuit is allowed to work normally.

There are two interesting cases of combinational precomputation that have differing merits and demerits. We discuss these cases in the next two sections.
7.3.2 Precomputation at the Inputs

The sub-circuit considered in Figure 7-18 can be precomputed as shown in Figure 7-19. The algorithms presented in Section 7.1 for the subset input disabling architecture are directly applicable in this case. A subset of inputs $x_1, \ldots, x_p$ can be selected that achieves maximal power savings.

In order to ensure power savings the $x_1, \ldots, x_p$ inputs should be delayed such that new values arrive at the transparent latches after the new value of the enable signal arrives. Else, these new values may propagate through to block $A$ causing unnecessary transitions.

The complete input disabling architecture can also be used for combinational circuits. This is illustrated in Figure 7-20. The algorithms described in Section 7.2 can be applied directly to synthesize the precomputation logic.

7.3.3 Precomputation for Arbitrary Sub-Circuits in a Circuit

In the general case we wish to synthesize precomputation logic for arbitrary sub-circuits as illustrated in Figure 7-21.
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(a) Original circuit.

(b) Final circuit.

Figure 7-21 Combinational logic precomputation.
In this case algorithms are needed to accomplish several tasks. First, an algorithm must divide the circuit into sub-circuits. Then for each sub-circuit, algorithms must: a) select the subset of inputs to “turn off,” and b) given these inputs, produce the logic for $g$ in Figure 7-21, where $g = g_1 + g_2$. For each of these steps, the goal is to maximize the savings function

$$net \ savings = \sum_{all \ subcircuits} (savings(A) - cost(L) - cost(g))$$ (7.14)

We must divide the original circuit into sub-circuits so that Equation 7.14 is maximized. The original circuit can be divided into a set of maximum-sized, single-output sub-circuits. A maximum-sized, single-output sub-circuit is a single-output sub-circuit such that no set of nodes from the original circuit can be added to this sub-circuit without creating a multiple-output sub-circuit. An equivalent way of saying this is, the circuit can be divided into a minimum number of single-output sub-circuits. Such a set exists and is unique for any legal circuit. A linear-time algorithm for determining this set is given in Figure 7-22.

Next, note that there is no need to analyze any sub-circuit that is composed of only a part of one of these maximum-sized, single-output sub-circuits. If a part of a single-output sub-circuit including the output node is in some sub-circuit to be analyzed, then the rest of the nodes of the single-output sub-circuit can be added to the sub-circuit at no cost since the outputs remain the same. Adding these nodes can only result in more savings. Further, if a part of a single-output sub-circuit not including the output node is in some sub-circuit to be analyzed, then the rest of the nodes of the single-output sub-circuit can be added to the sub-circuit because the precomputability of the outputs can only become less restrictive. Therefore, even in the worst case, the disable logic can be left the same so that there is no additional cost yet additional savings are achieved because of the additional nodes.

Based upon this theory, an algorithm to synthesize precomputation logic would 1) create the set of maximum-sized, single-output sub-circuits, 2) try different combinations of these sub-circuits, and 3) determine the combinations that yield the best net
7.3 COMBINATIONAL PRECOMPUTATION

GET_SINGLE_OUTPUT_SUBCIRCUITS( circuit):

{ 
  arrange nodes of circuit in depth-first order outputs to inputs;
  foreach node in depth order ( node ) {
    if ( node is a primary output ) {
      subcircuit = create_new_subcircuit();
      mark node as part of subcircuit;
    } 
    else {
      check every fanout of node;
      if ( all fanouts are part of the same subcircuit )
        subcircuit = subcircuit of the fanouts;
      else
        subcircuit = create_new_subcircuit();
      mark node as part of subcircuit;
    } 
  }
}

Figure 7-22 Procedure to find the minimum set of single-output subcircuits.
savings. Given the maximum-sized single-output sub-circuits, we use the algorithms of Sections 7.1 or 7.2 to determine a subset of the sub-circuits and a selection of inputs to each sub-circuit that results in relatively simple precomputation logic and maximal power savings.

Note that in this strategy the waveforms that appear at the inputs to a latch can be arbitrary. The arrival time at the input should be later than the arrival time of the enable signal so that unnecessary transitions are not propagated through the latch. In the example shown in Figure 7-21, the worst-case delay of the g block plus the arrival time of inputs $x_4$ or $x_5$ should be less than the best-case delay of logic block A plus the arrival time of the inputs $x_1$, $x_2$, or $x_3$. The arrival time of an input is defined as the time at which the input settles to its steady state value [DGK94, p. 229]. If the delay constraint is not met, then it may be necessary to delay the $x_1$, $x_2$, and $x_3$ inputs with respect to the $x_4$ and $x_5$ inputs in order to get the switching activity reduction in logic block B.

### 7.3.4 Experimental Results for the Combinational Precomputation Architecture

In Table 7.5 we present results on combinational precomputation. The symbolic simulation method of Chapter 3 was used to obtain the power estimates of the combinational circuits with transparent latches. Again, a zero delay model, a clock frequency of 20MHz and a supply voltage of 5V was assumed.

The same circuits as for the complete input disabling architecture were selected to provide a comparison between the combinational and sequential architectures. The number of inputs (I), outputs (O), literal count (LITs) and delay (DELAY) of the precomputation logic are given under PRECOMPUTE LOGIC. The critical delay of the final precomputed network which includes additional delay introduced due to the transparent latches, the precomputation logic, and any delaying of inputs is given in
7.4 MULTIPLEXOR-BASED PRECOMPUTATION

The third to last column. As can be observed from Table 7.5, substantial reductions in power can be obtained with small increases in delay.

### Table 7.5 Power reductions using combinational precomputation.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>ORIGINAL</th>
<th>PRECOMPUTE LOGIC</th>
<th>OPTIMIZED</th>
</tr>
</thead>
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</tr>
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<tr>
<td>cm138</td>
<td>5.8</td>
<td>97</td>
<td>3</td>
</tr>
<tr>
<td>cm152</td>
<td>6.4</td>
<td>179</td>
<td>5</td>
</tr>
<tr>
<td>cm162</td>
<td>9.8</td>
<td>225</td>
<td>1</td>
</tr>
<tr>
<td>cmb</td>
<td>7.0</td>
<td>293</td>
<td>5</td>
</tr>
<tr>
<td>dalu</td>
<td>46.0</td>
<td>5312</td>
<td>5</td>
</tr>
<tr>
<td>mux</td>
<td>9.8</td>
<td>334</td>
<td>1</td>
</tr>
<tr>
<td>sao2</td>
<td>24.6</td>
<td>776</td>
<td>2</td>
</tr>
</tbody>
</table>

#### 7.4 Multiplexor-Based Precomputation

In this section, we describe an additional precomputation architecture. This *Multiplexor-Based* precomputation architecture is applicable to all logic circuits and does not require, for instance, that the inputs should be in the observability don’t-care set in order to be disabled, which was the case for all the previous architectures.

All logic functions can be written in terms of its Shannon expansion. For a function $f$ with inputs $X = \{x_1, \ldots, x_n\}$, we can write:

$$f = x_1 \cdot f_{x_1} + \overline{x_1} \cdot f_{\overline{x_1}}$$  \hspace{1cm} (7.15)

where $f_{x_1}$ and $f_{\overline{x_1}}$ are the cofactors of $f$ with respect to $x_1$ and $\overline{x_1}$.

Figure 7-23 shows an architecture based on Equation 7.15. We implement the functions $f_{x_1}$ and $f_{\overline{x_1}}$. Depending on the value of $x_1$, only one of the cofactors is computed while the other is disabled by setting the load-enable signal of its input.
Figure 7-23 Precomputation using the Shannon expansion.

register. The input $x_1$ drives the select line of a multiplexor which chooses the correct cofactor.

The main advantage of this architecture is that it applies to all logic functions. The input $x_1$ in the example was chosen for the purpose of illustration. In fact, any input $x_1, \ldots, x_n$ could have been selected. Unlike the architectures described earlier, we do not require that the inputs being disabled should be don’t-cares for the input conditions which we are precomputing. In other words, the inputs being disabled do not have to be in the observability don’t-care set. A disadvantage of this architecture is that we need to duplicate the registers for the inputs not being used to turn off part of the logic. On the other hand, no precomputation logic functions have been added to the circuit.

This precomputation architecture was the subject of one of the problem sets in the graduate course *Computer-Aided Design of Integrated Circuits* at MIT (course 6.373) in the Spring term of 1995. Students were asked to develop an algorithm to select the best primary input to use for the architecture of Figure 7-23. For half of the eight two-level benchmark circuits used, power savings of more than 40% were achieved.
7.5 Conclusions and Ongoing Work

We have presented new synthesis algorithms that can be used to optimize a given combinational or sequential logic circuit for low power dissipation by adding "precomputation logic" which reduces unnecessary transitions in large parts of the given circuit. The output response of a sequential circuit is precomputed one clock cycle before the output is required, and this knowledge is exploited to reduce power dissipation in the succeeding clock cycle. As opposed to power-down techniques applied at the system level, transition reduction is achieved on a per clock cycle basis.

Several different architectures that utilize precomputation logic were presented. Precomputation increases circuit area and can adversely impact circuit performance. In order to keep area and delay increases small, it is best to synthesize precomputation logic which depends on a small set of inputs.

Precomputation works best when there are a small number of complex functions corresponding to the logic block $A$ of Figures 7-2 and 7-14. If the logic block has a large number of outputs, then it may be worthwhile to selectively apply precomputation-based power optimization to a small number of complex outputs. This selective partitioning will entail a duplication of combinational logic and registers, and the savings in power is offset by this duplication.

Other precomputation architectures are being explored, including the architectures of Section 7.4, and those that rely on a history of previous input vectors. More work is required in the automation of a logic design methodology that exploits multiplexor-based, combinational and multiple-cycle precomputation.

In the next chapter we describe techniques to explore data-dependent power-down at the register-transfer and behavioral levels.
Chapter 8

Scheduling Techniques to Enable Power Management

The methods of Chapter 7 are limited by the predefined logical structure of the circuit. The technique we propose in this chapter works at a higher abstraction level where these constraints do not yet exist. We describe an algorithm at the behavioral level that schedules operations so as to minimize the amount of unused computation [MDAM96].

Behavioral synthesis comprises of the sequence of steps by means of which an algorithmic specification is translated into hardware. These steps involve breaking down the algorithm into primitive operations, and associating each operation with the time interval in which it will be executed (called operation scheduling) and the hardware functional block that will execute it (called hardware allocation). Clock period constraints, throughput constraints and hardware resource constraints make this a non-trivial optimization problem.

Decisions taken during behavioral synthesis have a far reaching impact on the power dissipation of the resulting hardware. For example, throughput-improvement by exploiting concurrency via transformations like pipelining and loop unrolling enables the hardware to be operated at lower clock frequencies, and thereby at lower voltages [CPRB92]. The lower supply voltage leads to a reduction in power dissipation.
Hardware allocation also has an effect on the switching activity and thereby on the power dissipation. This effect has been reported in [RJ94, DK95, MC95, CP95].

The technique we propose is centered around the observation that scheduling has a significant impact on the potential for power savings via power management, i.e., “turning off” blocks that are not being used by preventing transitions at the inputs from propagating through the block. Based on this observation, we present a scheduling algorithm that is power-management-aware, it generates a schedule that maximizes the potential for power management in the resulting hardware. The proposed algorithm operates under user specified combination of throughput, cycle-time and hardware resource constraints. Starting from a Silage [Hil85] description, our implementation of the algorithm generates VHDL [Per94] code for the controller as well as the datapath corresponding to the power-management-aware schedule. Validation of power reduction is done via the Synopsys power estimation tool [Syna].

8.1 Scheduling and the Ability for Power Management

In a typical design, the flow of data is determined at run time based on conditions derived from input values. As an example, say we need to compute $|a - b|$. One way to implement this is to do the comparison $a > b$ and if the result of this operation is true we compute $a - b$ otherwise we compute $b - a$. The Control Data Flow Graph (CDFG) for this simple example is shown in Figure 8-1. Assume that one control step is required for each of the three operations ($-$, $>$ and $MUX$).

The only precedence constraint for this example is that the multiplexor operation can only be scheduled after all other three operations. Existing scheduling algorithms use this flexibility to minimize the number of execution units needed and/or the number of control steps.

If we are allowed two control steps to compute $|a - b|$, then necessarily the operations $a > b$, $a - b$ and $b - a$ have to be executed in the first control step (we
8.1 SCHEDULING AND THE ABILITY FOR POWER MANAGEMENT

Figure 8-1 Control Data Flow Graph for $|a - b|$.

Figure 8-2 Schedule for $|a - b|$ using two control steps.
need two subtractors) and the multiplexor in the second control step as indicated in Figure 8-2.

If instead we are allowed three control steps, we can get by with one subtractor and schedule operations $a - b$ and $b - a$ in different control steps, one in the first control step and the other in the second. Operation $a > b$ can be scheduled in any of these two control steps and the multiplexor will be in the third control step, as shown in Figure 8-3.

In either case, both $a - b$ and $b - a$ are computed although only the result of one of them is eventually used. This is obviously wasteful in terms of power consumption.

We propose a scheduling algorithm that attempts to assign operations involved in determining the data flow (in this case $a > b$) as early as possible in the initial control steps, thus indicating which computational units are needed to obtain the final result. Only those units that eventually get used are activated. The algorithm chooses a schedule only if the required throughput and hardware constraints are met. In other words, the algorithm explores any available slack to obtain a power manageable architecture.

For our example, and assuming we have available three control steps, our scheduling algorithm will assign $a > b$ to the first control step and $a - b$ and $b - a$ to the second. Depending on the result of $a > b$, only the inputs to one of $a - b$ and $b - a$ will be
8.2 Mutually Exclusive Operations

Two operations are said to be mutually exclusive if the result of only one of them will be used, whatever the input. The mutual exclusiveness of two identical operations can be exploited to schedule them in the same control step and to make them share the same resource. With this in mind, a few algorithms have been proposed in the past to identify mutual exclusiveness efficiently. For a review, see [JCG94].
As an example consider

```c
if(i == 0)
    f = a + b;
else
    f = c + d;
```

Obviously the two addition operations are mutually exclusive, therefore they can be scheduled to the same control step using a single adder. The source operands for the addition are determined from the result of the comparator.

Scheduling algorithms that can determine these kind of situations can generally achieve solutions which require less hardware. Further, no wasteful computation is done, so no power management is needed.

Our application of mutual exclusiveness for power management is more general in that we also exploit mutual exclusiveness of two operations that are not identical to each other. Even so, we can leverage off the previous work on algorithms for identification of mutually exclusive operations.

For example in

```c
if(i == 0) {
    f = a + b;
    g = a * b;
} else {
    f = c + d;
    g = 0;
}
```

the mutually exclusive detection mechanism will allow us to use only one adder, but it would not help for the multiplication. If we had:

```c
if(i == 0)
    f = a * b;
else
    f = c + d;
```

although the operations are mutually exclusive, they would both be scheduled if we do not use the scheduling algorithm for power management.
8.3 SCHEDULING ALGORITHM

8.3 Scheduling Algorithm

Given a behavioral description of the system, our objective is to schedule the operations such that operations whose result goes through some conditional branch (such as an *if* or *case* statement) are only activated if the condition for their use is met. We want to maximize the number of operations whose control signals (the signal that selects the usage of their result) are computed before they are scheduled. The pseudo-code for an algorithm that does such an optimization is shown in Figure 8-5. This algorithm was implemented within the HYPER framework [RCHP91]. HYPER routines were used for the parsing of the high-level description language (Silage [Hil85]), final scheduling and VHDL output generation.

In step 1, the behavioral description of the system is converted into a Control Data Flow Graph (CDFG), where each node corresponds to an operation. This process creates all precedence conditions among operations. Also the "As Soon As Possible" (ASAP) and "As Late As Possible" (ALAP) values for each node are computed. These
values indicate the earliest and latest control step a given node can be scheduled in. In other words, they represent the slack of a node for the specified throughput.

After this parsing, the conditionals in the system will correspond to multiplexor nodes. Our goal is to schedule nodes in the transitive fanin of the control input of each multiplexor before nodes in the transitive fanin of inputs 0 and 1, and do so for as many nodes as possible.

The algorithm looks at each multiplexor individually and starts with those multiplexors closer to the outputs (or farther from the inputs). The reason for this is that if we are able to do power management on a multiplexor closer to the outputs then we will be able to shut down a larger number of operations in the circuit.

For each multiplexor, the algorithm identifies which nodes are in the transitive fanin of each input (step 3). If a node is in the fanin cone of both the 0 and 1 inputs of the multiplexor then no power management is attempted since the operation is needed no matter what the result of the condition is. The same applies for nodes that fanout to other nodes besides the current multiplexor.

In step 4, new ASAP values are computed for the nodes in the 0,1-input fanin assuming they are scheduled after the last node in the control input fanin of this multiplexor. Similarly, in step 5 new values ALAP values are computed for the nodes in the control input fanin assuming they are scheduled before the first node on either the 0 or 1 input fanin.

If at any point any node is assigned an ASAP value greater than the value for ALAP then no scheduling is possible for this node, meaning that with the specified throughput value no power management is possible for the current multiplexor. In that case, the ASAP and ALAP values for the nodes are reverted (step 7).

Otherwise, the multiplexor is selected to be power managed and the current ASAP and ALAP values become the new values for the nodes. In any case, the algorithm now returns to step 3 with the next multiplexor.

After all multiplexors in the circuit have been processed and those which can be power managed selected, in step 10 new precedence edges are created between the
last node in the control input fanin and the top nodes in the 0,1-input fanin of each
of these multiplexors. With this new edges, we allow HYPER's original scheduling
algorithm to determine a complete schedule (step 11), targeting minimum hardware
resources for the desired throughput.

HYPER does scheduling and allocation simultaneously [PR89]. A tentative allocation
using a lower bound on the number of hardware modules is first obtained. Scheduling is
then performed starting with the most critical operations, i.e., operations that correspond
to resources that have high demand and short supply. If the scheduling process is
unsuccessful, the number of hardware modules for the most critical operations is
increased, a new allocation is obtained and scheduling is again attempted.

The final step is to map the scheduled CDFG into execution units (datapath) and
specify the finite state machine (controller) that generates the signals that control the
loading of registers and the flow of data through multiplexors. For the datapath we use
HYPER's algorithm directly. However for the controller we developed a new routine.
The controller is somewhat more complex since the loading of the input registers
to some of the execution units will depend on signals generated by some previous
computation. This process will be described in the example presented in the next
section.

8.4 Example: Dealer

To illustrate the algorithm presented in the previous section, we follow a complete
example step by step. Consider the circuit dealer described in the hardware descrip-
tion language Silage in Figure 8-6. Once the code is parsed, the CDFG generated by
HYPER is shown in Figure 8-7.

For this example, assume that we are allowed 6 control steps to process one input
sample. With this throughput requirement the ASAP and ALAP values for each node
in the CDFG are given in Table 8.1 in the two columns under the header INITIAL.
Figure 8-6 Silage description of the dealer circuit.

Figure 8-7 Control Data Flow Graph for the dealer circuit.
8.4 EXAMPLE: DEALER

<table>
<thead>
<tr>
<th>Node</th>
<th>Initial</th>
<th>After $MUX_3$</th>
<th>After $MUX_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ASAP</td>
<td>ALAP</td>
<td>ASAP</td>
</tr>
<tr>
<td>$+2$</td>
<td>1</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>$\geq$</td>
<td>2</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>$MUX_1$</td>
<td>3</td>
<td>5</td>
<td>3</td>
</tr>
<tr>
<td>$-$</td>
<td>1</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>$&lt;$</td>
<td>1</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>$MUX_2$</td>
<td>2</td>
<td>5</td>
<td>3</td>
</tr>
<tr>
<td>$==$</td>
<td>1</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>$MUX_3$</td>
<td>4</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>$+1$</td>
<td>1</td>
<td>6</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 8.1 Time frames for the nodes in the dealer circuit as power management is added.

8.4.1 Multiplexor Selection

There are three multiplexors that can be used for power management. The algorithm will start with $MUX_3$ since it is the one closer to the outputs (there is a potentially larger number of operations to shut down). In step 3 of the algorithm, the labeling of operations is:

<table>
<thead>
<tr>
<th>Input control</th>
<th>Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>$==,$</td>
<td>$MUX_1,$ $\geq,$ $MUX_2,$ $&lt;,$ $-$</td>
</tr>
</tbody>
</table>

Note that since operation $+2$ is in both the 0- and 1-input paths, it cannot be managed by the input condition to this multiplexor. However, as we will see later, the conjunction of the input condition of this multiplexor and of multiplexor $MUX_2$ will allow some power management for $+2$.

New values for ASAP are now computed for operations $MUX_1,$ $\geq,$ $MUX_2,$ $<$ and $-$ (step 4 of the algorithm). Since they depend on $==$ which has ASAP = 1 their ASAP values have to be at least 2. Similarly a new ALAP is assigned to $==$, one unit
less than the smallest ALAP of the operations it controls (step 5 of the algorithm). The new values for these nodes are in Table 8.1 under AFTER $MUX_3$.

All the nodes still verify $ALAP \geq ASAP$, i.e., the condition in step 5 is false, therefore these ASAP and ALAP values become definitive values for these nodes and $MUX_3$ is selected for power management.

We now work on the next multiplexor, which can either be $MUX_1$ or $MUX_2$ since they are at the same level. For this example it happens that no power management can be achieved for $MUX_1$. This is due to the fact that the only operation in the 0,1-input path is $+2$ which turns out to be also in the control-input path.

Thus we process $MUX_2$ and the operations are annotated as:

<table>
<thead>
<tr>
<th>Input</th>
<th>Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>control</td>
<td>$&lt;$</td>
</tr>
<tr>
<td>0</td>
<td>$+2$</td>
</tr>
<tr>
<td>1</td>
<td>$-$</td>
</tr>
</tbody>
</table>

As before, $-$ and $+2$ have their ASAP values updated to 3 (ASAP of $<$ plus 1) and $<$ has its ALAP updated to 3. The new (and final) ASAP and ALAP values for each node in the CDFG are presented in the last two columns of Table 8.1.

There is still a valid schedule for each node ($ALAP \geq ASAP$) so $MUX_2$ is also selected for power management. For $+2$ we have $ASAP = ALAP$, therefore this node has to be scheduled necessarily in control step 3. This also indicates that if we had a higher throughput (less number of available control steps) than we would have $ASAP > ALAP$ for $+2$ meaning that $MUX_2$ could not be power managed.

Notice that although the operation $+2$ could not be power managed by $MUX_3$ by itself, the conjunction of the conditions for $MUX_2$ and $MUX_3$ may still disable $+2$ appropriately. If $==$ evaluates to 0, then the result of $+2$ is always needed and it is computed since $MUX_3$ does not manage it. On the other hand, if both $==$ and $<$ evaluate to 1 then $+2$ is shut down.

As a final note, observe that the ASAP and ALAP values for $+1$ remain constant since this operation is never involved in any power management attempt.
At this point the algorithm has selected the multiplexors to do power management with. Control edges are created starting at the node driving the control input of each selected multiplexor to the top nodes of the fanin of the 0,1-inputs of the same multiplexor (step 10 of the algorithm). For our example, edges are created between node == and nodes < and − (this creates precedence conditions that ensure that also >=, MUX₁ and MUX₂ are scheduled after ==) and between node < and nodes − and +₂. The final CDFG for this example is shown in Figure 8-8.

The final scheduling is done by HYPER's scheduling routine.
8.4.2 Controller Generation

The circuit implementation consists of two parts, the datapath and the controller. The primary function of the controller is to generate the necessary signals to activate the operations in the required order. For our purposes, we use the datapath as constructed by HYPER, but we need to modify the controller such that operations are shut down whenever that is possible.

Returning to the dealer example, after scheduling without power management, the controller generated by HYPER is shown in Figure 8-9(a). Notice that all operations are executed leading to wasteful power dissipation.
We developed a new controller generator. For the conditions associated with each multiplexor that was selected for power management we create conditional branches. Depending on the result of the condition, only signals that control operations that are going to be used are actually activated.

After the scheduling of the CDFG generated by the power management algorithm (Figure 8-8), the controller that is generated by our routine is shown in Figure 8-9(b). As can be observed, the branches are controlled by the operations that make the selection of the inputs of the multiplexors. Only operations that feed into the selected input of the multiplexor are computed. Note that \( MUX_1 \) was not selected for power management, therefore there is no branching from the state where the condition for this multiplexor is computed (\( \geq \)).

8.5 Techniques to Improve Power Management

Tight constraints on throughput and hardware resources may leave very little slack for the ordering of operations thus restricting the effectiveness of our scheduling algorithm. We propose some techniques that can improve power management under tight constraints.

8.5.1 Multiplexor Reordering

The algorithm presented in Section 8.3 selects multiplexors for power management on an individual basis (cycle 2-9 in pseudo-code of Figure 8-5). The selection of a particular multiplexor may impede the selection of one or more other multiplexors, therefore the order in which the multiplexors are tested can play an important role on the number of total modules that can be shut down.

In our algorithm we test the multiplexors closer to the outputs first. It may happen that we have less savings from power managing the multiplexor that is closest to the outputs than the savings for another multiplexor and this multiplexor may not be selected because of the first being selected.
For instance, consider the circuit of Figure 8-10. Assume that the throughput constraint is such that \( MUX_1 \) can be power managed but not \( MUX_2 \). If the complexity of block \( X \) is similar to the complexity of blocks \( Y \) and \( Z \) together, then we will be saving 50% of the power, which is the maximum we can expect.

However, if \( X \) is very simple and \( Y \) and \( Z \) are each very complex, and further \( C1 \) makes \( MUX_1 \) select the input from \( MUX_2 \) most of the time, then both \( Y \) and \( Z \) are computed most of the time therefore the savings we get from shutting down \( X \) are very small.

Now assume that we reorder the multiplexors as shown in Figure 8-11, keeping the functionality the same. With this arrangement the scheduling algorithm will select \( MUX_2 \) for power management instead of \( MUX_1 \). Using the same conditions as in last paragraph, only one of \( Y \) or \( Z \), which are the complex blocks, is computed (though \( X \) is always computed, it is very simple). Therefore with this reordering of multiplexors we have been able to increase the amount of logic that is shut down.

We are currently working on a pre-processing algorithm which performs reordering of multiplexors trying to maximize the number of modules that can be shut down.
8.5.2 Pipelining

A common technique to increase the throughput of a design is to introduce pipeline stages. A two-stage pipeline means that two input samples are processed at any given time. The effective number of control steps needed to process one input sample is reduced by half.

For our purposes we can look at this through a different angle: adding control steps for pipelining increases the number of control steps and at the same time improves the throughput or leaves it unchanged. The addition of new control steps is very useful for power management since it creates the slack needed to schedule the control signals first.

The disadvantage of using pipelining is that the latency of the circuit increases. Also it may lead to some increase in the number of registers and execution units, increasing the area of the circuit.
Table 8.2 Circuit statistics.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>CRITICAL PATH</th>
<th>NUMBER OF OPERATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>dealer</td>
<td>4</td>
<td>3 3 2 1 0</td>
</tr>
<tr>
<td>gcd</td>
<td>5</td>
<td>6 2 0 1 0</td>
</tr>
<tr>
<td>vender</td>
<td>5</td>
<td>6 3 3 3 2</td>
</tr>
<tr>
<td>cordic</td>
<td>48</td>
<td>47 16 43 46 0</td>
</tr>
</tbody>
</table>

Table 8.3 Average number of operations executed using power management.

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>CONTROL STEPS</th>
<th>P.MAN. MUXS</th>
<th>AREA INCR.</th>
<th>NUMBER OF OPERATIONS</th>
<th>POWER RED.(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>MUX COMP ++ -- x</td>
<td></td>
</tr>
<tr>
<td>dealer</td>
<td>4</td>
<td>1</td>
<td>1.20</td>
<td>2.00 2.00 2.00 0.50 0.00</td>
<td>27.00</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>1</td>
<td>1.00</td>
<td>2.00 2.00 2.00 0.50 0.00</td>
<td>27.00</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>2</td>
<td>1.00</td>
<td>2.00 2.00 1.75 0.25 0.00</td>
<td>33.33</td>
</tr>
<tr>
<td>gcd</td>
<td>5</td>
<td>1</td>
<td>1.00</td>
<td>5.50 2.00 0.00 0.50 0.00</td>
<td>11.76</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>1</td>
<td>1.00</td>
<td>5.50 2.00 0.00 0.50 0.00</td>
<td>11.76</td>
</tr>
<tr>
<td></td>
<td>7</td>
<td>2</td>
<td>1.05</td>
<td>5.50 2.00 0.00 0.25 0.00</td>
<td>16.18</td>
</tr>
<tr>
<td>vender</td>
<td>5</td>
<td>4</td>
<td>1.04</td>
<td>4.50 2.50 1.50 1.00 1.00</td>
<td>41.67</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>4</td>
<td>1.00</td>
<td>4.50 2.50 1.50 1.00 1.00</td>
<td>41.67</td>
</tr>
<tr>
<td>cordic</td>
<td>48</td>
<td>38</td>
<td>1.00</td>
<td>47.00 16.00 24.00 27.00 0.00</td>
<td>30.16</td>
</tr>
<tr>
<td></td>
<td>52</td>
<td>46</td>
<td>1.17</td>
<td>47.00 16.00 22.00 23.00 0.00</td>
<td>34.92</td>
</tr>
</tbody>
</table>

8.6 Experimental Results

In this section we present results that compare the power dissipation of circuits with and without power management. In Table 8.2 we give some statistics about the circuits we present results for. The first of these circuits (dealer) corresponds to the example used in Section 8.4. Under CRITICAL PATH we give the minimum number of control steps needed to perform the operation. The remaining columns indicate the number of each different operations that make up each circuit.

All circuits were initially described in Silage [Hil85]. They were read into HYPER [RCHP91] and a scheduling with power management was obtained using the algorithm described in Section 8.3. Table 8.3 shows the results obtained.
8.6 EXPERIMENTAL RESULTS

<table>
<thead>
<tr>
<th>CIRCUIT NAME</th>
<th>CTL STP</th>
<th>AREA</th>
<th>POWER</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ORIG</td>
<td>NEW</td>
<td>INCR.</td>
</tr>
<tr>
<td>dealer</td>
<td>6</td>
<td>895</td>
<td>946</td>
</tr>
<tr>
<td>gcd</td>
<td>7</td>
<td>806</td>
<td>892</td>
</tr>
<tr>
<td>vender</td>
<td>6</td>
<td>2338</td>
<td>2283</td>
</tr>
</tbody>
</table>

**Table 8.4** Power estimation using Synopsys' DesignPower™.

The second column of Table 8.3 (CONTROL STEPS) indicates the number of control steps we allowed each computation to take and under P.MAN.MUXS is the number of multiplexors that were selected for power management given this number of control steps. Column AREA INCR. gives the area increase due to the extra execution units needed to perform the desired power management. As it can be observed, in most cases there is no area penalty or the increase is very small. The exceptions are the dealer circuit with 4 control steps which requires an extra multiplexor and an extra comparator, gcd with 7 control steps which needs an extra multiplexor and vender with 5 control steps needing an extra subtractor.

In the next columns we show the average number of times that each of the operations is executed in one computation. Here we have assumed that each multiplexor has equal probability of selecting any of its inputs.

The last column of Table 8.3 gives the estimated power savings achieved by using power management. To obtain this estimate, we computed the power consumption of each of the operations using timing simulation with random input vectors, thus obtaining a relative weight of the operations in terms of power (MUX: 1; COMP: 4; +: 3; −: 3; and ×: 20). An 8-bit datapath was assumed for all examples. Recall that without power management all the operations given in Table 8.3 are always executed. These power savings are relative only to power dissipated in the datapath. The real power savings will be slightly less since the controller for the power managed circuit is slightly more complex. As it can be observed, it is possible to achieve power savings above 40% in the datapath using this scheduling for power management.
To further validate our power savings estimations we used Synopsys power analysis tool, DesignPower™ [Syna]. The RT level circuits, described in VHDL, were synthesized to gate-level using Synopsys Design Compiler™ [Synb] and power estimates obtained with DesignPower™. The results are presented in Table 8.4. For the allowed number of control steps, we compare the area increase and the power savings of the design without (ORIG) and with (NEW) power management. These values agree with our predictions. Recall that the power reduction in Table 8.3 refers only to the datapath. Since the controller is more complex for the power managed circuit, the savings in Table 8.4 are slightly lower than in Table 8.3 as expected.

8.7 Conclusions and Ongoing Work

We have presented a scheduling algorithm which, for a given throughput, exploits the slack available to operations to obtain a schedule that enables the use of power management techniques. When possible, controlling signals are scheduled first thus indicating which operations to activate and which operations to shut down. This more constrained scheduling process may lead to a larger number of execution units required. The algorithm obtains a solution that maximizes the ability to do power management while still meeting user specified throughput and hardware resource constraints.

Tight throughput constraints may limit the amount of power management possible. We are currently working on techniques such as those described in Section 8.5 to increase the number of operations that can be power managed. We are also working on developing a global cost function to obtain a globally optimum multiplexor selection.

The results presented in Section 8.6 show that as much as 40% power reduction is possible for control-dominated circuits. We are currently running experiments on larger designs.
Chapter 9

Conclusion

Rapid increases in chip complexity, increasingly faster clocks, and the proliferation of portable devices have combined to make power dissipation an important design parameter. The power dissipated by a digital system determines its heat dissipation as well as battery life. For some designs, power has become the most constringent constraint. Power reduction methods have been proposed at all levels – from system to device.

In this thesis we focused on techniques at the logic level. At this abstraction level it is possible to use a simple but accurate model for power dissipation. The goal is to give the designer the ability to try different implementations of a design and compare them in terms of power consumption. For this purpose efficient power estimation tools are required.

9.1 Power Estimation

The first part of this thesis was concerned with the problem of estimating the power dissipation of a logic circuit. In Chapter 2 the generally accepted model for power dissipation for static CMOS circuits described at the logic level was presented. It was
shown that power dissipation is determined from the switching activity of the signals in the circuit, weighted by the capacitive load that each signal is driving.

Also in Chapter 2, we reviewed existing approaches for the switching activity estimation problem. These can be divided in two main categories: simulation-based and probabilistic techniques. The issues relative to each approach have been presented. Simulation-based techniques have the advantage that existing timing simulators can be used. The problem is then deciding how many input vectors are needed to obtain a desired accuracy level. For some circuits this may imply a long simulation run.

Probabilistic techniques can potentially be much more efficient, especially in the context of incremental modifications during synthesis. These approaches aim at propagating given primary input probabilities, static and/or transition, through the nodes in the circuit. Thus in one pass the switching activity at each node can be computed. However issues that are naturally handled in timing simulation arise for these probabilistic approaches, such as glitching and static and temporal correlation at primary inputs and internal nodes. The way a probabilistic approach deals with these problems determines its accuracy and run-time.

We have proposed in Chapter 3 a probabilistic method that can handle these issues exactly. This approach is based on symbolic simulation. A Boolean condition for each node in the circuit making a transition at each time point is computed. This approach is very efficient for circuits of small to moderate size (< 5000 gates). For larger circuits, the size of the symbolic network is too large for BDDs to be built.

In order to obtain accurate estimates for sequential circuits some other issues have to be taken into account. There exists a high degree of correlation between consecutive clock cycles. The values stored in the memory elements in the circuit at some clock cycle were generated in some previous clock cycle. Further, the probabilities at the output of these memory elements are determined by the functionality of the circuit and have to be calculated if accurate switching activity values are to be computed. Simulation-based techniques, though capable of taking the necessary correlation between
9.1 POWER ESTIMATION

clock cycles, have the problem of requiring a very large number of input vectors to be
simulated before we can assume that steady state at the state lines has been achieved.

In Chapter 4 we presented an elegant and efficient way of computing the proba-
bilities of state lines. This technique is applicable to circuits with an arbitrary number
of memory elements. It requires the solution of a non-linear system of equations that
can be solved using iterative methods. For the Picard-Peano method, we showed that,
although not very strong theoretical convergence proves can be made, in practice it
works well and is faster than Newton-Raphson. We proved that for Newton-Raphson
convergence conditions are met for most circuits. We presented results for circuits with
more than 1700 registers. Previous techniques computed state probabilities as opposed
to individual state lines and were restricted to less than 20 registers. We pay some
accuracy penalty by ignoring the correlation between the state lines, but the experi-
mental results show that the error introduced is less than 3% on average. Methods to
improve this accuracy at the expense of computation time have also been presented.

Another problem we have addressed, also in Chapter 4, is the power estimation of
a circuit given a particular input sequence. We described how a finite state machine can
be built to model the input sequence. The methods for power estimation of sequential
circuits can be applied to the cascade of this finite state machine and the original circuit.
Previous attempts to model the correlation of an input sequence involved computing
correlation coefficients, typically between every pair of input signals. Besides the
problem of having a large amount of information to specify to the power estimator,
the accuracy can be very low.

Future Work

The estimation of average switching activity and power dissipation in digital logic
circuits is recognized as an important problem and no completely satisfactory solution
has been developed. Hence a significant amount of research is being done on this
problem.

The exact method we presented in Chapter 3, though efficient for small circuits,
cannot be applied for large circuits and this an important limitation. It is generally accepted that approximation methods have to be used if circuits of significant size are to be handled.

Approximation schemes proposed for power estimation thus far lack some desirable properties. Most schemes are not based on an exact strategy, but based on heuristic rules that model correlation between internal signals in the circuit. While their runtime is typically polynomial, they are rarely parameterizable to improve accuracy at the expense of runtime, and are not calibrated against an exact strategy.

We are currently working on approximate method based on polynomial simulation that possesses these properties [MDG96]. This method is a generalization of the exact signal probability evaluation method due to Parker and McCluskey [PM75] and handles arbitrary transport delays. The method is parameterized by a single parameter $p$, which determines the speed-accuracy tradeoff. When $p = N$, the number of inputs to the circuit, the method will produce the exact switching activity under the transport delay model taking into account all internal correlation. Pruning conditions based on graph dominators allow this method to be used for fairly complex circuits.

### 9.2 Optimization Techniques for Low Power

Being a relatively new field and given its relevance for today's digital integrated circuits, optimization techniques for low power have been the subject of intense research in the last few years. The most representative work has been reviewed in Chapter 5.

At the logic level, power is directly related to the switched capacitance, i.e., switching activity of the signal weighted by the capacitance this signal is driving. We believe that in this thesis we have made a significant contribution in terms of innovative approaches for the reduction of overall switching activity in logic circuits.

We have proposed three different optimization methods. The first (Chapter 6) targets reduced glitching in the circuit by the use of retiming. The basic observation is that any glitching present at the input of a register is filtered by it. The registers are
repositioned such that the reduction in switched capacitance is maximized. Up to 16% power reductions were obtained. The applicability of this technique is limited to pipelined circuits. The reason is that the operation of retiming in a cyclic sequential circuit changes the switching activity in the circuit globally thus it is very difficult to predict what the consequences of a particular move are.

We have developed a more powerful optimization technique, termed precomputation, in Chapter 7. As stated above, the retiming technique is restricted to reducing the power dissipation due to glitching. Precomputation attempts the overall reduction of switching activity. A simple circuit is added to the original sequential circuit that tries to predict the circuit's outputs for the next clock cycle. When this is achieved, transitions at (all or part of) the inputs to the original circuit are prevented from propagating to the circuit by disabling the input registers. Significant power savings of up to 75% have been obtained and reported in the results section of Chapter 7.

The third optimization technique we developed follows the precomputation strategy of data-dependent "power-down". In precomputation the disabling of a module is dependent upon the structure of the logic circuit. The technique of Chapter 8 works at the behavioral abstraction level where this structure does not yet exist. This technique attempts to schedule the operations in an order such that controlling signals that decide the flow of data are computed first, thus indicating which operations are actually needed to compute the final result. The inputs to modules whose result would be discarded are disabled. Again we have presented results that show that more than 40% power savings can be achieved by this power-management-aware scheduling technique with little or no penalty in terms of hardware requirements. This technique works under user-specified performance constraints.

**Future Work**

Research on optimization techniques for low power is under intense investigation; new approaches at all levels of abstraction will surely be proposed in the next few years.

At the logic level, we are lacking some scheme that can predict efficiently how
the overall switching activity of a circuit is affected when some incremental change is done. This would be a very important method to guide re-synthesis tools for low power. Some work at this level has been proposed in [LN95].

A tool like the one described in the previous paragraph could allow us to extend the retiming algorithm of Chapter 6 to find a global optimum for a $k$-pipeline, with $k \geq 1$ (instead of the iterative approach proposed in Chapter 6). We would also like to handle cyclic sequential circuits such as finite state machines. For this purpose, some approximation has to be made regarding how the inputs to the registers (because of the feedback) change due to retiming.

In Chapter 7 we proposed a few precomputation architectures. We have presented comprehensive results for the complete and subset input disabling sequential architectures. As to the multiplexor-based sequential architecture, some issues have still to be solved like on how many inputs to base the Shannon decomposition on and how to decide on which inputs to use.

More importantly, we believe that the combinational precomputation has potential that we have not completely explored. Better algorithms should be developed that decide on the optimum set of subcircuits to precompute.

As mentioned before, techniques at higher level of abstraction can have a higher impact on the power consumption of a circuit. We gave one step in this direction with the method of Chapter 8. Still, this is a first cut approach. Improvements in the scheduling for low power can surely improve on the results of Chapter 8.

Tight performance constraints can leave very little room for the reordering of operations such that power management is possible. In these situations, precomputation can serve as an alternative. We would need to modify the technique of Chapter 8 to produce schedules such that the ability to precompute logic blocks in the circuit is maximized.

We believe that the work we have developed in this thesis gives an important contribution to understanding the impact that logic synthesis can have for low power
design at the gate level. This insight is fundamental for the development of synthesis tools for low power at higher abstraction levels.
Bibliography


