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*Integrated Bioprocessing for the pH-Dependent  
Production of 4- Levulinate in Pseudomonas putida KT2440*

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1 **Integrated Bioprocessing for the pH-Dependent Production of 4-**  
2 **Valerolactone from Levulinate in *Pseudomonas putida* KT2440**

3  
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## 24 **Abstract**

25 Enzymes are powerful biocatalysts capable of performing specific chemical  
26 transformations under mild conditions. Yet as catalysts they remain subject to the laws  
27 of thermodynamics, namely that they cannot catalyze chemical reactions beyond  
28 equilibrium. Here we report the phenomenon and application of using extracytosolic  
29 enzymes and medium conditions such as pH to catalyze metabolic pathways beyond their  
30 intracellular catalytic limitations. This methodology, termed “integrated bioprocessing”  
31 because it integrates intracellular and extracytosolic catalysis, was applied to a  
32 lactonization reaction in *Pseudomonas putida* for the economical and high-titer  
33 biosynthesis of 4-valerolactone from the inexpensive and renewable source levulinic  
34 acid. Mutant paraoxonase I (PON1) was expressed in *P. putida*, shown to export from  
35 the cytosol in *Escherichia coli* and *P. putida* using an N-terminal sequence, and  
36 demonstrated to catalyze the extracytosolic and pH-dependent lactonization of 4-  
37 hydroxyvalerate to 4-valerolactone. With this production system, the titer of 4-  
38 valerolactone was enhanced substantially in acidic media using extracytosolically-  
39 expressed lactonase vs. an intracellular lactonase: from  $<0.2 \text{ g L}^{-1}$  to  $2.1 \pm 0.4 \text{ g L}^{-1}$  at the  
40 shake flask scale. Based on these results, the production of 4-hydroxyvalerate and 4-  
41 valerolactone was examined in a 2 L bioreactor, and titers of  $27.1 \text{ g L}^{-1}$  and  $8.2 \text{ g L}^{-1}$  for  
42 the two respective compounds were achieved. These results illustrate the utility of  
43 integrated bioprocessing as a strategy for enabling production from novel metabolic  
44 pathways and enhancing product titers.

45

## 46 **Introduction**

47 In nature, metabolism is not restricted to the cytosol. Metabolic activity can occur in the  
48 periplasm, on cell surfaces, or even extracellularly in the environment. When  
49 extracytosolic enzyme expression occurs, it is often to convey an advantage to the cell  
50 that is not possible with the enzyme in the cytosol. For instance, *E. coli* alkaline  
51 phosphatase is expressed in the periplasm to detoxify compounds before they can enter  
52 the cell and to allow the enzyme better access to the extracellular environment for  
53 scavenging phosphate (7). *Penicillium decumbens* secretes cellulases presumably to  
54 break down extracellular substrates into a form amenable to uptake by the cell for further  
55 metabolism (18). Each of these enzymes exists as a component of larger metabolic  
56 pathways (phosphate and cellulosic material metabolism) and each has evolved for non-  
57 cytosolic expression to facilitate the physiological goals of their respective pathways.

58

59 In microbial biotechnology, the objective is typically not physiological but commercial in  
60 nature: to increase the titer of a small-molecule metabolic product. Though the goal has  
61 changed, the lessons we can learn from natural systems remain. The cytoplasm is not  
62 always the best choice for enzyme expression because cytoplasmic conditions are not  
63 necessarily optimal for enzyme productivity. One such system is the intramolecular  
64 lactonization of hydroxyacids such as 4-hydroxyvalerate (4HV) to lactones such as 4-  
65 valerolactone (4VL). This reaction, catalyzed in this work by the G3C9 variant of human  
66 paraoxonase I (PON1, (1)), is known to be highly pH-dependent. Because lactonization  
67 is acid-catalyzed and because hydroxyacids and lactones exist in pH-dependent  
68 equilibrium with each other, control of the pH at which lactonization occurs is critical to

69 achieving high titers of lactones (19). The cytoplasmic pH, typically about 7.5, is too  
70 high to achieve good titers of lactones at equilibrium (20). This limitation in lactone titer  
71 is thermodynamic in nature, meaning that overexpressing the lactonase or most other  
72 traditional metabolic engineering techniques aimed at increasing flux towards the product  
73 would be largely ineffective at improving lactone production. However, having the  
74 lactonase perform catalysis outside the cytosol, where the pH can be lowered, would alter  
75 the equilibrium in favor of lactone production, thereby increasing product titer.

76

77 To implement such a system, we used extracytosolically-expressed PON1 to catalyze the  
78 lactonization reaction in acidic media (pH ~ 6). Recently, we found that *Pseudomonas*  
79 *putida* was capable of producing high titers of 4HV from the renewable carbon source  
80 levulinic acid (15). This process is achieved through coenzyme-A carriers and the  
81 secretion of 4HV into the extracellular medium was enhanced by the expression of an  
82 intracellular thioesterase. Thus, *P. putida* can serve as an intracellular source of 4HV.  
83 This 4HV can then be lactonized by extracytosolically-expressed PON1 in acidic media  
84 to yield 4VL (Figure 1). This general strategy is termed “integrated bioprocessing” for  
85 its integration of cytosolic and extracytosolic biocatalysis to enhance production.

86

87 4VL has been reported to be an ideal compound for use as a fuel and in the production of  
88 carbon-based chemicals (9). It has also seen extensive use as a component of block-  
89 copolymers for drug delivery (2,6), as a precursor for acrylic compounds (13), and can  
90 be used as a precursor for the production of “bio-nylon” polymers (10). Current  
91 synthetic methods for 4VL also utilize levulinate as the starting material but require

92 supercritical solvents (14) or carbon dioxide (3) with hydrogen gas under harsh  
93 conditions (~10-40 MPa and ~200°C) and ruthenium-based catalysts. In contrast, our  
94 biological method of production is done under mild conditions without the need for  
95 harsh solvents, hydrogen, rare metal catalysts, or supercritical fluids. This integrated  
96 bioprocessing system to produce 4VL from levulinate (Figure 1) was compared to an  
97 entirely intracellular 4VL production pathway using cytosolically-expressed PON1 to  
98 demonstrate the effectiveness of integrated bioprocessing to improve product titers at  
99 different pH values. The system was tested in a 2.0 L bioreactor to further increase  
100 product titers as well as take advantage of automated pH control. To our knowledge,  
101 this work represents the first report of 4VL synthesis in a biological system.

102

## 103 **Materials and Methods**

### 104 **Chemicals**

105 All chemicals were purchased at the highest grade or purity available unless otherwise  
106 indicated. LB broth, glucose, isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG), and  
107 antibiotics were purchased from Becton Dickinson and Company (Sparks, MD),  
108 Mallinckrodt (Hazelwood, MO), Teknova (Hollister, CA), and Calbiochem (San Diego,  
109 CA), respectively. The sources for other chemicals are described in the relevant methods  
110 below.

111

### 112 **Strains and Plasmids**

113 *Escherichia coli* DH10B was used for all *E. coli* studies and molecular cloning in this  
114 work and was purchased from Invitrogen (Carlsbad, CA). *Pseudomonas putida* KT2440  
115 was obtained from the American Type Culture Collection (ATCC #47054). pRK415  
116 (Tet<sup>R</sup>) was used to express the thioesterase II (*tesB*) gene from *E. coli* MG1655, while a  
117 gentamycin-resistant variant of the plasmid pMMB206, called pMMB206G, was used for  
118 the expression of PON1. pRK415 was a generous gift from Prof. Keith Poole while  
119 pMMB206 was obtained from ATCC (ATCC # 37808). pMMB206G was produced  
120 from pMMB206 by the introduction of a gentamycin resistance cassette excised with  
121 *XmnI* from the plasmid pBSL141 (ATCC #87146) at an *XmnI* restriction site in  
122 pMMB206. All molecular biology manipulations were performed using standard cloning  
123 protocols (16).

124

125 The *tesB* gene was cloned by PCR into pRK415. Primers used were purchased from  
126 Sigma-Genosys (St. Louis, MO, USA) and were as follows (restriction sites used for  
127 cloning are underlined): EcoRI-*tesB*-FP (5'-  
128 GAATTCTACTGGAGAGTTATATGAGTCAGG-3') and Sall-*tesB*-RP (5'-  
129 GTCGACTTAATTGTGATTACGCATC-3'). HotStar HiFidelity DNA polymerase was  
130 purchased from Qiagen (Valencia, CA, USA) and used according to the manufacturer's  
131 instructions. The G3C9 PON1 gene derived from *Homo sapiens* (human) PON1 (1) was  
132 similarly cloned by PCR into pMMB206G using the primers PstI-PON1-FP (5'-  
133 GACACTGCAGATGGCTAAACTGACAGCG-3') and XbaI-PON1-RP (5'-  
134 CATATCTAGATTACAGCTCACAGTAAAGAGCTTTG-3'). Truncated PON1  
135 (tPON1) was made by eliminating the second through the 23<sup>rd</sup> amino acids from the N-  
136 terminus of PON1. tPON1 was amplified from pMMB206G-PON1 by PCR using PstI-  
137 tPON1-FP (5'-GACACTGCAGATGTCTTCTTTCCAAACACGAT-3') and XbaI-  
138 PON1-RP and inserted into pMMB206G to make pMMB206G-tPON1.  
139  
140 Alkaline phosphatase (PhoA) protein fusion vectors were constructed by first cloning  
141 *phoA* by PCR from DH10B *E. coli* genomic DNA into pRK415 using the primers XbaI-  
142 *phoA*-FP (5'-GACATCTAGAATGAAACAAAGCACTATTGCAC-3') and KpnI-*phoA*-  
143 RP (5'-GACAGGTACCTTATTTTCAGCCCCAGAGC-3') to make pRK415-*phoA*.  
144 Truncated *phoA* (tPhoA) was cloned in a similar manner into pRK415 using the primers  
145 XbaI-t*phoA*-FP (5'-GACATCTAGACGGACACCAGAAATGCCT-3') and KpnI-*phoA*-  
146 RP). pRK415-PON1-t*phoA* and pRK415-tPON1-t*phoA* vectors were made by digesting



147 pMMB206G-PON1 and pMMB206G-tPON1 with *Pst*I and *Xba*I and ligating into a  
148 similarly digested pRK415-tphoA.

149

## 150 **Culture Conditions**

151 For shake-flask scale 4VL production experiments, recombinant *P. putida* harboring the  
152 plasmids pRK415-tesB and pMMB206G-PON1 or pMMB206G-tPON1 was cultured in  
153 50 mL LB in a 250 mL shake flask at 32°C. Gentamycin (20 mg L<sup>-1</sup>) and tetracycline (10  
154 mg L<sup>-1</sup>) were added to provide selective pressure for plasmid maintenance. Cultures were  
155 inoculated to an initial optical density at 600 nm (OD<sub>600</sub>) of 0.05, and 50 µL of 1 M IPTG  
156 was added at the beginning of the culture for induction of gene expression. 200 µL of a 5  
157 mg L<sup>-1</sup> aqueous solution of bromothymol blue was added to the cultures as an indicator of  
158 culture pH. 1 mL of 20% glucose was added at approximately t = 0, 24, 48, and 72  
159 hours. Similarly 2.0 M levulinate was supplied to the cultures as follows: 0.875 mL at t =  
160 0 hours, 2.5 mL at t = 24 hours, and 3.75 mL at t = 48 and 72 hours. At t = 96 hours, the  
161 pH of the cultures was adjusted to the desired value using 6 N HCl. Additional 6 N HCl  
162 was added as needed to maintain the desired pH values. Cultures were then incubated at  
163 32°C at the desired pH for an additional 96 hours to allow for 4VL production.

164

165 Bioreactor experiments were performed in a 2.0 L Biostat B bioreactor from Sartorius  
166 AG (Goettingen, Germany) equipped with two six-blade disk impellers. The bioreactor  
167 was inoculated with 50 mL of LB containing late-exponential phase recombinant *P.*  
168 *putida* harboring the plasmids pRK415-tesB and pMMB206G-PON1. The working  
169 volume of the bioreactor was 1.0 L, and it was operated at 32°C. Air (0.5-1.0 vvm) was

170 sparged into the bioreactor and the stirrer speed was varied between 300 and 850 rpm to  
171 maintain dissolved O<sub>2</sub> levels between 10% and 40% of air saturation. The pH of the  
172 reactor was set to 7.0 and was controlled with the automatic addition of 28% ammonium  
173 hydroxide and 4.0 M phosphoric acid. The medium in the reactor consisted of Terrific  
174 Broth (TB; 12 g L<sup>-1</sup> tryptone, 24 g L<sup>-1</sup> yeast extract, 9.4 g L<sup>-1</sup> potassium hydrogen  
175 phosphate and 2.2 g L<sup>-1</sup> potassium dihydrogen phosphate) with 1.0 mM IPTG, 2.0%  
176 glucose, 20 mg L<sup>-1</sup> gentamycin, 10 mg L<sup>-1</sup> tetracycline, 4 mM magnesium sulfate, 0.2  
177 mM calcium chloride, and 0.1 mg/L ferric ammonium citrate. After 22 hours of initial  
178 growth, levulinic acid was continuously fed to the reactor from a 400 g L<sup>-1</sup> feedstock to  
179 maintain the concentration of levulinate between 2 and 20 g L<sup>-1</sup>. The concentrations of  
180 levulinate, 4HV, and 4VL in the bioreactor were monitored by HPLC, while cell density  
181 was monitored by measuring optical density at OD<sub>600</sub> and converted into g DCW L<sup>-1</sup>  
182 using a conversion factor of 0.42 g DCW OD<sub>600</sub><sup>-1</sup> L<sup>-1</sup> (15). After 67 hours, the pH of the  
183 culture was adjusted to 6.0 and levulinate feeding was discontinued. The culture was  
184 continued at 32°C for an additional 50 hours to allow for 4VL production.

185

### 186 **Lactonase Assays**

187 Whole-cell and lysate samples were tested for lactonase activity in 1X M9 salts (16) with  
188 the nitrogen source (ammonium chloride) removed and exchanged for an equal molarity  
189 of sodium chloride to prevent the growth of whole-cell samples. Unless otherwise noted,  
190 this medium was supplemented with 0.1 M CaCl<sub>2</sub> to supply a divalent ion to PON1 (19),  
191 50 mM 4-hydroxyvalerate and 40 mM 2-(N-morpholino)ethanesulfonic acid (MES)  
192 buffer. The pH of this medium was adjusted with 10 N NaOH or 6 N HCl to a desired

193 value in the range of 5.0-7.0. When testing whole-cells, cells were centrifuged at 2,500 x  
194 g for 5 minutes, their original medium removed, and the cells were resuspended in 0.9%  
195 (w/v) sterile sodium chloride to an OD<sub>600</sub> of 25. This suspension was then used to supply  
196 cells to whole-cell lactonase assay experiments to an OD<sub>600</sub> of 0.5. For the analysis of  
197 cell lysates (prepared by repeated freezing and thawing of lysozyme-treated cells), 1  
198 mg of total protein (bovine serum albumin equivalent as assayed by the Bradford method  
199 (4)) was added to the lactonase assay mixture. All samples were then incubated with  
200 shaking at 37°C and samples were periodically withdrawn for HPLC analysis to  
201 determine the amount of 4VL produced.

202

### 203 **Alkaline Phosphatase Assays**

204 Alkaline phosphatase (PhoA) activity was qualitatively assessed on agar plates using  
205 bromo-4-chloro-3-indolyl phosphate (XP) purchased from Amresco (Solon, OH) as an  
206 indicator. Recombinant *E. coli* or *P. putida* to be tested for PhoA activity were streaked  
207 onto LB plates supplemented with 10 mg L<sup>-1</sup> tetracycline, 1 mM IPTG, 100 mg L<sup>-1</sup> XP,  
208 and 75 mM phosphate (to suppress endogenous phosphatase expression). These plates  
209 were incubated at 37°C (*E. coli*) or 30°C (*P. putida*) for 24-48 hours and their color was  
210 assessed by eye. Blue colonies indicated active, periplasmic PhoA, while white colonies  
211 indicated that the PhoA construct was inactive.

212

213 PhoA activity was quantitatively assessed in cell lysates using *p*-nitrophenyl phosphate  
214 (PNP) purchased from Amresco (Solon, OH). The assay mixture consisted of 15 mM  
215 PNP and 2.0 mM MgSO<sub>4</sub> in 1.0 M Tris-HCl, pH 8.0. To this mixture crude protein lysate

216 (30  $\mu\text{g}$  of total protein bovine serum albumin equivalent as assayed by the Bradford  
217 method (4)) was added and the solution was briefly vortexed to mix. The liberation of *p*-  
218 nitrophenol was monitored by measuring the absorbance of the mixture at 405 nm at  
219 room temperature. One unit of PhoA activity is defined as 1  $\mu\text{mol}$  of *p*-nitrophenol  
220 liberated per minute at room temperature.

221

### 222 **HPLC Analysis**

223 All HPLC samples were prepared by taking 1 mL of culture broth, centrifuging for 5  
224 minutes at 16,000  $\times g$  to pellet cells, and taking the supernatant for analysis. HPLC  
225 analysis was performed on an Agilent 1100 Series instrument equipped with a Zorbax  
226 SB-Aq column (0.46 cm  $\times$  15 cm, 3.5  $\mu\text{m}$ ) purchased from Agilent Technologies (Santa  
227 Clara, CA). The column temperature was maintained at 65°C. Levulinic acid, 4HV, and  
228 4VL were detected on a refractive index detector and had retention times of  
229 approximately 3.43, 3.28 and 5.11 minutes respectively. The mobile phase was 25 mM  
230 ammonium formate in water (pH 2.0) and the flow rate through the column was 1.0 mL  
231  $\text{min}^{-1}$ . Levulinic acid and 4VL purchased from Alfa Aesar (Ward Hill, MA) were used as  
232 standards, while the 4HV standard was prepared by saponification of 4VL with 10 N  
233 sodium hydroxide at 4°C. HPLC data was used to calculate product yields (product  
234 produced divided by levulinate consumed), lactonization conversion (4VL concentration  
235 divided by the sum of 4HV and 4VL concentrations), and productivity (grams of product  
236 formed per hour per liter of culture volume).

237

## 238 **Results**

### 239 **Determination of PON1 Localization using PhoA Protein Fusions**

240 To demonstrate the integrated bioprocessing system for the production of 4VL, it was  
241 first necessary to establish the localization of the PON1 lactonase. We suspected that the  
242 G3C9 PON1 variant (1) might export from the cytosol using an N-terminal sequence  
243 (Figure 2). In particular, we identified key similarities between the first 23 amino acids  
244 of G3C9 PON1 and *E. coli* alkaline phosphatase, a protein known to export from the  
245 cytoplasm. First, the spacing between the two lysines in the N-termini of these two  
246 proteins was identical. These lysines are cationic at physiological pH and can associate  
247 with negatively-charged phospholipid heads in a cell membrane. Second, both N-termini  
248 have several hydrophobic residues between these two lysines, which would help anchor  
249 that part of the protein inside a cell membrane. Comparing the N-termini of native  
250 human PON1 with G3C9 PON1 shows that the spacing between the two cationic residues  
251 differs substantially between the two proteins. We suspected that this difference would  
252 allow G3C9 to export from the cytosol. Considering that G3C9 PON1 was evolved from  
253 human PON1 with the goal of functional expression in *E. coli* (1), this key difference in  
254 the N-terminal signal sequences of the two lactonases may be what allows G3C9 to be  
255 functional in *E. coli*.

256

257 To test G3C9 PON1 (hereinto referred to as PON1) for any ability to export from the  
258 cytosol, fusions of PON1 with *E. coli* alkaline phosphatase (PhoA), an enzyme only  
259 active in the periplasm (7), were constructed. PON1 fusions that successfully export  
260 from the cytoplasm enable PhoA activity. To construct the protein fusions, PON1 and a

261 truncated version of PON1 with residues 2-23 removed, tPON1, were fused to a truncated  
262 version of phoA (tPhoA) with residues 1-23 removed. The fusions were constructed as  
263 N-PON1-tPhoA-C and N-tPON1-tPhoA-C, with an *Xba*I restriction site used as the linker  
264 between PON1 or tPON1 and tPhoA. The stop codon of PON1 and tPON1 was removed  
265 to allow translation of the entire fusion. Additionally, PhoA and tPhoA were tested as  
266 controls. Cells to be tested were streaked on LB plates supplemented with phosphate (to  
267 suppress endogenous phosphatase expression), IPTG and the indicator bromo-4-chloro-3-  
268 indolyl phosphate (XP). Colony color was detected by eye: blue or bluish colonies  
269 indicated active, periplasmic PhoA, while completely white colonies indicated that the  
270 PhoA construct was inactive. The qualitative results of this assay are shown in Table 1  
271 for constructs in *E. coli* and *P. putida*, along with data from a quantitative PNP-based  
272 PhoA assay of *E. coli* construct lysates. These data show that in both organisms, PON1-  
273 tPhoA is exported from the cytosol while tPON1-tPhoA is not, indicating that PON1 is  
274 capable of export from the cytosol and that the first 23 N-terminal residues of PON1 are  
275 essential for this process. Interestingly, the PON1-tPhoA construct had approximately  
276 twice the activity of PhoA alone. This may be due to differences between the export  
277 signal sequences between PON1 and PhoA (Figure 2).

278

### 279 **Confirmation of Extracytosolic PON1 Expression using Lactonase Assays**

280 To provide additional verification of extracytosolic PON1 expression, whole-cells and  
281 lysates of *E. coli* expressing PON1 and tPON1 were assayed for lactonase activity at low  
282 pH (6.2) and high pH (7.2) (Figure 3). Based on the results of the PhoA fusion studies in  
283 the previous section, PON1 should be an extracytosolic lactonase while tPON1 should be

284 a cytosolic lactonase. Thus in whole-cells, PON1 should be exposed to the extracellular  
285 pH while tPON1 should be exposed to only the intracellular pH of approximately 7.5. In  
286 lysates, however, both PON1 and tPON1 should be exposed to the medium pH, as there  
287 is no cytosolic membrane to shield them. As the degree of PON1-catalyzed lactonization  
288 is known to be pH-dependent (19), PON1 exposed to lower pH values produces more  
289 4VL from 4HV. Thus lactone production can be used to estimate the pH that PON1 is  
290 exposed to and consequently to identify whether PON1 is localized intracellularly or  
291 extracytosolically. At an extracellular pH of 6.2, both whole-cells and lysates containing  
292 PON1 are highly active, while only the lysate from tPON1 cells is highly active at this  
293 pH (Figure 3a). Whole-cells expressing tPON1 are only minimally active, achieving only  
294 1.5-2.0% conversion of 4HV into 4VL. At an extracellular pH of 7.2, the results were  
295 essentially the same (Figure 3b), the only difference being that the more active samples  
296 were limited to 4% conversion by the higher pH. The tPON1 sample here again achieves  
297 approximately 1.5-2.0% conversion, presumably because the tPON1 in whole-cells is  
298 exposed to the same higher intracellular pH (7.5) irrespective of the medium pH value.  
299

### 300 **Effect of Medium pH on 4-Valerolactone Production**

301 To better understand how medium pH affects lactone production, we assayed whole *E.*  
302 *coli* cells expressing PON1 for their ability to convert 75 mM 4HV into 4VL at pH values  
303 of 5.9, 6.2, 6.4, 6.7 and 7.2. *E. coli* cells expressing tPON1 and no PON1 (empty plasmid  
304 control) were also tested at a pH of 6.2, and the conversion of 4HV into 4VL was  
305 monitored over time. Both the rate and amount of conversion were strongly dependent  
306 on the pH, with lower pH's allowing for the highest conversions but at lower production

307 rates (Figure 4). Higher pH values allowed for more rapid conversion but the conversion  
308 leveled out at a much lower level. This behavior is consistent with a pH-dependent  
309 equilibrium being established between 4HV and 4VL, a phenomenon that has previously  
310 been observed (19). The pH effect on lactone production is significant – a decrease of a  
311 single pH unit (from 7.2 to 6.2) creates a 7-fold improvement in lactone production  
312 (Figure 4). The extracytosolic version of PON1 produced 11-fold more 4VL than tPON1  
313 at a medium pH of 6.2.

314

### 315 **Production of 4-Valerolactone from Levulinate in Shake Flasks**

316 The above observations of PON1 localization outside of the cytosol and the lactonization  
317 reaction being strongly pH dependent were combined to create an integrated  
318 bioprocessing approach to producing 4VL (Figure 1). Recently, we found that *P. putida*  
319 is capable of producing high concentrations of 4HV from levulinate when *E. coli*  
320 thioesterase II (*tesB*) is expressed (15). Thus by supplying levulinate to recombinant *P.*  
321 *putida* expressing *tesB* and PON1, first 4HV and then 4VL is produced. Because low pH  
322 values can inhibit *P. putida* growth, 4VL production from levulinate was done in two  
323 phases. In the first phase, recombinant *P. putida* cells expressing *tesB* and either PON1  
324 or tPON1 were grown in LB medium supplemented with levulinate and the pH was  
325 unregulated. During this time  $10.9 \pm 1.3 \text{ g L}^{-1}$  and  $12.0 \pm 0.9 \text{ g L}^{-1}$  of 4HV were  
326 produced in the PON1 and tPON1 cultures respectively, and the pH of the cultures rose to  
327 approximately 8.0-8.5 (data not shown). No 4VL was detected during the first phase.  
328 After 96 hours, the cultures were split into two halves and the pH of the medium in each  
329 half was adjusted downward to either 6.3 or 7.3. During this second phase, lactone



330 production was monitored for an additional 96 hours. While all cultures had similarly  
331 high concentrations of 4HV at the beginning of the second phase, the 4VL titer was  
332 significantly enhanced only by extracytosolic PON1 at pH 6.3 (Figure 5). The  
333 enhancement of 4VL titer was 11-fold for PON1 at pH 6.3 vs. 7.3 ( $2.1 \pm 0.4 \text{ g L}^{-1} \text{ 4VL}$   
334 vs.  $0.19 \pm 0.02 \text{ g L}^{-1}$ ) and 13-fold for PON1 vs. tPON1 at pH 6.3 ( $2.1 \pm 0.4 \text{ g L}^{-1} \text{ 4VL}$  vs.  
335  $0.15 \pm 0.02 \text{ g L}^{-1}$ ).

336

### 337 **Bioreactor-Scale Production of 4-Valerolactone**

338 Production of 4VL was examined in a 2.0 L fed-batch reactor to take advantage of  
339 automated pH control as well as to further improve 4VL titer. 1.0 L of TB medium was  
340 inoculated with *P. putida* KT2440 expressing *tesB* and PON1 and the production of 4HV  
341 and 4VL was monitored over time (Figure 6). Levulinate feeding to the reactor did not  
342 begin until the cells were in stationary phase (22 hours after inoculation). Levulinate  
343 concentrations were maintained between 2 and 20  $\text{g L}^{-1}$  throughout the experiment to  
344 allow for 4HV production without inhibiting cellular metabolism with excessive  
345 levulinate. The pH was maintained at 7.0 during the 4HV accumulation phase. After 67  
346 hours of cultivation the pH was shifted to 6.0 to allow for 4VL production and levulinate  
347 feeding was stopped.

348

349 During the 4HV accumulation phase, the 4HV titer reached  $27.1 \text{ g L}^{-1}$  (Figure 6), which  
350 corresponded to a 26.2% yield from levulinate. The 4HV productivity of the reactor  
351 during this phase was  $0.81 \text{ g L}^{-1} \text{ hr}^{-1}$ . Prior to the pH shift, the titer of 4VL reached  $1.6 \text{ g}$   
352  $\text{L}^{-1}$ , corresponding to 6.5% lactonization of the 4HV produced. Significant 4VL

353 accumulation did not occur until after the pH was shifted downward from 7.0 to 6.0.  
354 After the pH shift, the titer of 4VL improved 5-fold to  $8.2 \text{ g L}^{-1}$  and the fraction of 4HV  
355 converted to 4VL increased to 33.1%.  
356

## 357 **Discussion**

358 The integrated bioprocessing scheme described here to produce 4VL was designed to  
359 allow for activity of the PON1 enzyme under more optimal conditions than the cytosolic  
360 environment would allow. The G3C9 variant of the human PON1 enzyme had been  
361 reported to be expressed in the cytosol of *E. coli* (1) while the native variant is known to  
362 associate with the lipid membrane (8). We first attempted to express G3C9 with protein  
363 tags designed to export the enzyme from the cytosol; however, these fusions did not show  
364 the expected pH dependence of activity (data not shown). We thus proceeded to  
365 determine whether the G3C9 variant was intra- or extra-cytosolic. First, qualitative  
366 screening of protein fusions of PON1 with truncated PhoA (tPhoA) was performed in *E.*  
367 *coli* and *P. putida* by streaking these cells on plates containing the chromogenic PhoA  
368 substrate XP. Second, lactonase assays were done with recombinant *E. coli* cells  
369 expressing PON1 and tPON1 to corroborate PON1 export, since lactone production is  
370 known to be highly pH-dependent (Figure 4; (19)). The results of these experiments  
371 (Table 1, Figure 3), taken together, strongly support the hypothesis that the G3C9 PON1  
372 variant is capable of export from the cytosol. The primary N-terminal sequence of G3C9  
373 PON1 contains a stretch of hydrophobic residues bracketed by appropriately-positioned  
374 lysine residues that are similar to the N-terminal sequence of *E. coli* PhoA and  
375 presumably direct PON1 to the cellular membrane (Figure 2). This primary sequence is  
376 characteristic of Sec-dependent protein transport (12), though additional studies would be  
377 needed to verify this.

378

379 Lactone production using PON1 is highly pH-dependent (19), however the intracellular  
380 pH is maintained at a relatively high and unfavorable level for lactone production –  
381 approximately 7.5 for *E. coli* (20). By employing extracytosolic PON1 in media with  
382 lower pH values (relative to the cytosolic pH), the titers of lactone produced can be  
383 increased. The pH effect on lactonization was found to be quite potent: a decrease in a  
384 single pH unit increased the equilibrium amount of lactone seven-fold (23.6% conversion  
385 at pH 6.2 versus 3.3% conversion at pH 7.2). Expressing PON1 extracytosolically  
386 conveyed a full order of magnitude difference in lactone titer (23.6% conversion at a pH  
387 of 6.2 versus 2.1% for the tPON1 sample at a pH of 6.2).

388

389 To complete the integrated bioprocessing lactone production system, the 4HV should be  
390 produced by the cell rather than supplied directly to the medium. This establishes that the  
391 increase in 4VL production by using an extracytosolic lactonase is due to the integrated  
392 bioprocessing effect rather than an artifact of substrate transport across the cytosolic  
393 membrane. Recently we found that recombinant *P. putida* expressing thioesterase II  
394 (*tesB*) from *E. coli* was capable of producing high titers of 4HV from levulinate (15).  
395 Thus the full integrated bioprocessing system, one which combines both cytosolic  
396 hydroxyacid production with extracytosolic lactonization (Figure 1), can be tested in  
397 recombinant *P. putida* expressing both *tesB* and PON1. Using this system, 4VL was  
398 produced directly from levulinic acid, and a 13-fold improvement in lactone titer was  
399 realized by employing an extracytosolic lactonase for the lactonization reaction versus an  
400 intracellular lactonase control (Figure 5). The benefits of using an extracytosolic  
401 lactonase over an intracellular one in this system disappear when the medium pH

402 approaches that of the cytosol ( $0.19 \pm 0.02 \text{ g L}^{-1}$  4VL with PON1 at pH 7.3 versus  $0.15 \pm$   
403  $0.02 \text{ g L}^{-1}$  for tPON1). To further increase 4VL titer and allow for automated pH control,  
404 the 4VL integrated bioprocessing system was tested at the bioreactor scale, and  $8.2 \text{ g L}^{-1}$   
405 of 4VL was produced (Figure 6). As expected, 4VL production in the bioreactor was  
406 highly dependent on medium pH, and a 5-fold improvement in equilibrium 4VL titer was  
407 observed when the pH of the medium was decreased to 6.0 from 7.0. While a significant  
408 amount of levulinate was left unreacted in both the shake flask and bioreactor  
409 experiments, we have found that the excess levulinate inhibits the catabolism of 4HV by  
410 *P. putida* (15). Identification and characterization of the enzymes responsible for the  
411 conversion of levulinate to 4HV could facilitate host engineering to address this  
412 limitation. The yield of 4VL could potentially be further improved by the removal of  
413 4VL from the culture, driving the equilibrium towards the additional lactonization of  
414 4HV.

415

416 Integrated bioprocessing is a viable strategy for enabling and improving product  
417 production in a broad array of biological systems. In general, integrated bioprocessing is  
418 applicable to any enzyme that performs sub-optimally under cytosolic conditions. Such  
419 enzymes would exhibit poor activity and consequently may become bottlenecks in  
420 production from desired metabolic pathways. By placing these enzymes outside of the  
421 cytosol, the conditions under which the enzymes operate can be easily manipulated by  
422 altering the properties of the culture medium.

423

424 The integrated bioprocessing approach employed here can be generalized to other  
425 enzymes who better function at lower or higher pH values than those found in the  
426 cytosol. Examples of such enzymes are *E. coli* glutamate decarboxylase, which has a pH  
427 optimum of about 4.5 (5), and *Pseudomonas pseudoalcaligenes* alkaline lipase, which has  
428 a pH optimum of 8-10 (11). By localizing such enzymes outside of the cytosol and  
429 manipulating the medium pH, the activity from these enzymes can be improved. Yet  
430 another opportunity to use integrated bioprocessing is to overcome substrate transport  
431 issues with the cell membrane. A classic example of this strategy is the use of  
432 extracellular cellulases in both natural (18) and engineered (17) systems to degrade  
433 cellulosic matter for cellular uptake and metabolism. This concept can be expanded, for  
434 instance, to include extracytosolic expression of oxygen-requiring enzymes (such as  
435 oxygenases) in a metabolic pathway to give them better access to molecular oxygen. By  
436 placing enzymes outside of the cytosolic membrane, where significant oxygen  
437 consumption takes place due to oxidative phosphorylation, these enzymes would be  
438 exposed to higher concentrations of oxygen.

439

440 However, integrated bioprocessing has several limitations, chief among them is the  
441 infeasibility of using enzymes that require expensive cofactors. Cofactors such as  
442 NAD(H), NADP(H), and ATP are cytosolic; enzymes requiring these molecules cannot  
443 function extracytosolically without them and therefore do not practically lend themselves  
444 to integrated bioprocessing. Also while integrated bioprocessing allows one to  
445 manipulate the reaction conditions for a given enzyme, one still cannot use conditions  
446 that would kill the cell expressing the enzyme or denature the enzyme itself. For instance

447 in this work, we could not obtain even larger amounts of 4VL by performing integrated  
448 bioprocessing with *P. putida* cells in pH 2 medium because neither the cells nor the  
449 enzyme would tolerate a pH value that low. While integrated bioprocessing allows one  
450 to “bend” the conditions under which biocatalysis occurs, one still cannot “break” the  
451 enzyme or its host cell.

452

453 Despite these exceptions, integrated bioprocessing remains a valuable option for  
454 enhancing the activity of enzymes that underperform in the cytosol. This methodology  
455 has been successfully applied to lactonization, a reaction that is difficult to perform in  
456 aqueous and cellular systems due to the significant rate of lactone hydrolysis that occurs  
457 at neutral pH. Through the use of integrated bioprocessing in this work, lactone titer  
458 increased by over an order of magnitude. Though in this work a pH difference across the  
459 cytosolic membrane was exploited to enhance product titer, other differences across this  
460 membrane such as oxygen concentration or redox state can be exploited as well. All one  
461 needs is a suboptimal cytosolic enzyme, an N-terminal signal sequence to export the  
462 enzyme, and a parameter (pH, dissolved oxygen, etc.) that can be manipulated in the  
463 medium to enhance the exported enzyme’s activity.

464

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472 Technology) for helpful discussions.  
473



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550 **Tables**

551 **Table 1: PhoA Assay results for PON1-PhoA protein fusions.** Qualitative results of a  
 552 XP plate-based assay for phoA activity for various protein constructs in *E. coli* and *P.*  
 553 *putida* are listed along with quantitative data from a PNP-based PhoA assay of *E. coli*  
 554 construct lysates. Protein fusions are written from N- to C-terminus (e.g. N-PON1-  
 555 tPhoA-C). A “t” in front of a protein name indicates that the protein has had its N-  
 556 terminal signal sequence removed. PNP assay data given as the averages and standard  
 557 deviations of three independent experiments.

558

559 **Table 1**

Construct	<i>E. coli</i> Colony Color on XP Plates	<i>P. putida</i> Colony Color on XP Plates	PNP PhoA Activity in <i>E. coli</i> (U/mg)
PhoA	Blue	Blue	1.79 ± 0.06
tPhoA	White	White	0.25 ± 0.05
PON1-tPhoA	Blue	Blue	3.88 ± 0.13
tPON1-tPhoA	White	White	0.26 ± 0.05

560

561

## 562 **Figure Captions**

563 **Figure 1:** Integrated bioprocessing system for the production of 4VL from levulinate.  
564 Production of 4HV from levulinate occurs intracellularly, while the lactonization reaction  
565 takes place extracytosolically in acidic media. The enzyme(s) responsible reaction  
566 step(s) from levulinate to 4-hydroxyvaleryl-CoA occur in *P. putida* and are currently not  
567 known.

568

569 **Figure 2:** Comparison of the first 25 N-terminal residues of native human PON1, the  
570 G3C9 variant of PON1 created by Aharoni and coworkers (2004), and *E. coli* PhoA (a  
571 protein known to export into the periplasm). Aliphatic residues (which bury within the  
572 membrane) are bolded while cationic residues (presumed to bind to the negatively-  
573 charged heads of phospholipids) are underlined. In this work it is hypothesized that the  
574 G3C9 variant of PON1 possesses an N-terminal signal sequence similar enough to  
575 bacterial signal sequences to allow PON1 export from the cytosol in both *E. coli* and *P.*  
576 *putida*.

577

578 **Figure 3:** Conversion of 4HV to 4VL by *E. coli* whole-cells and lysates with expressed  
579 PON1 or tPON1 versus time at a pH of 6.2 (A) and 7.2 (B). Solid squares and triangles  
580 represent whole-cell data while empty squares and triangles represent lysate data.  
581 Squares are data obtained using PON1 while triangles are data obtained using tPON1.  
582 The data points shown are the averages and standard deviations of three independent  
583 experiments.

584

585 **Figure 4:** Conversion of 4HV to 4VL at various pH values by whole-cell *E. coli*  
586 expressing PON1 or tPON1. The solid squares, gray-filled squares, empty squares, solid  
587 triangles, and empty triangles represent samples with pH values of 5.9, 6.2, 6.4, 6.7 and  
588 7.2 respectively for cells expressing PON1. The filled and empty circles represent  
589 lactonization by tPON1 and a no PON1 control, respectively, both at a pH value of 6.2.  
590 The data points shown are the averages and standard deviations of three independent  
591 experiments.

592

593 **Figure 5:** Production of 4VL from levulinate by recombinant *P. putida* expressing tesB  
594 and either PON1 (filled symbols) or tPON1 (open symbols) grown in shake flasks.  
595 Cultures were grown in LB medium supplemented with glucose and levulinate for 96  
596 hours prior to  $t = 0$ , during which time the pH was unregulated.  $10.9 \pm 1.3 \text{ g L}^{-1}$  and  $12.0$   
597  $\pm 0.9 \text{ g L}^{-1}$  of 4HV was produced in the PON1 and tPON1 cultures, respectively. At  $t = 0$   
598 hours the pH of the medium was adjusted to either 6.3 (squares) or 7.3 (circles). The data  
599 points shown are the averages and standard deviations of three independent experiments.

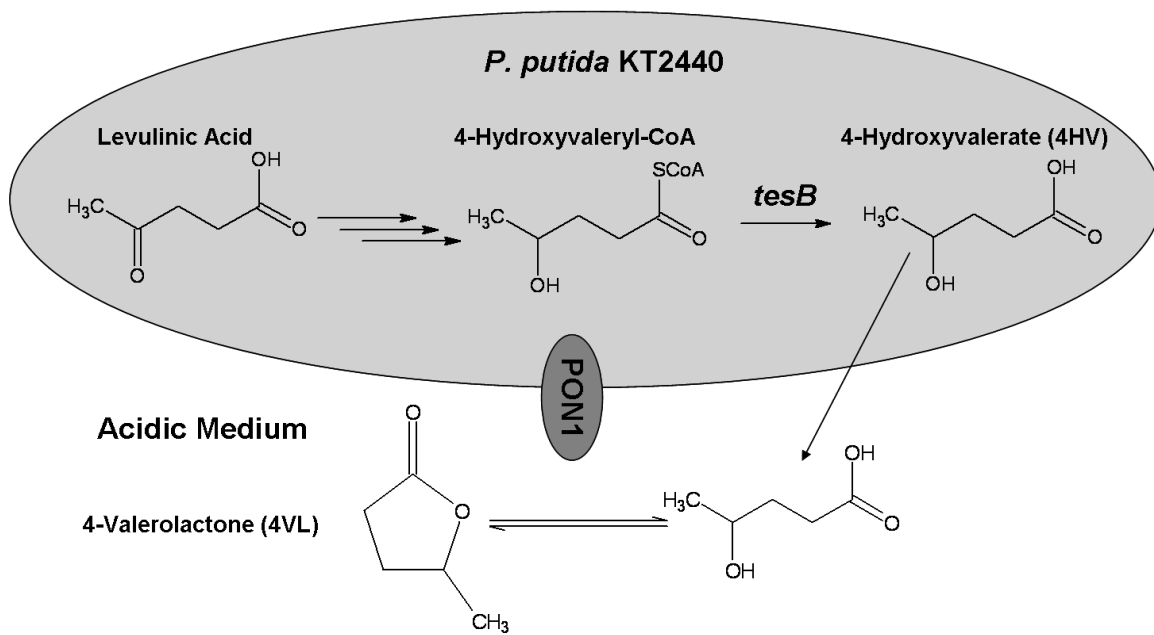
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601 **Figure 6:** Production of 4HV (gray-filled squares) and 4VL (black squares) from  
602 levulinate (empty squares) by recombinant *P. putida* expressing *tesB* and PON1 grown in  
603 a 2.0 L bioreactor. Cell density is indicated by cross-shaped symbols. Levulinate  
604 feeding began after 23 hours (first dashed line), and at  $t = 67$  hours the pH of the medium  
605 was set to 6.0 to allow for 4VL accumulation (second dashed line). Cell density is  
606 indicated by crosses.

607

608 **Figures**

609 **Figure 1**

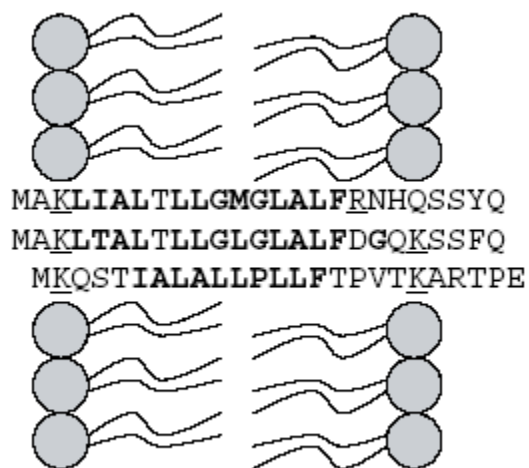


610



611 **Figure 2**

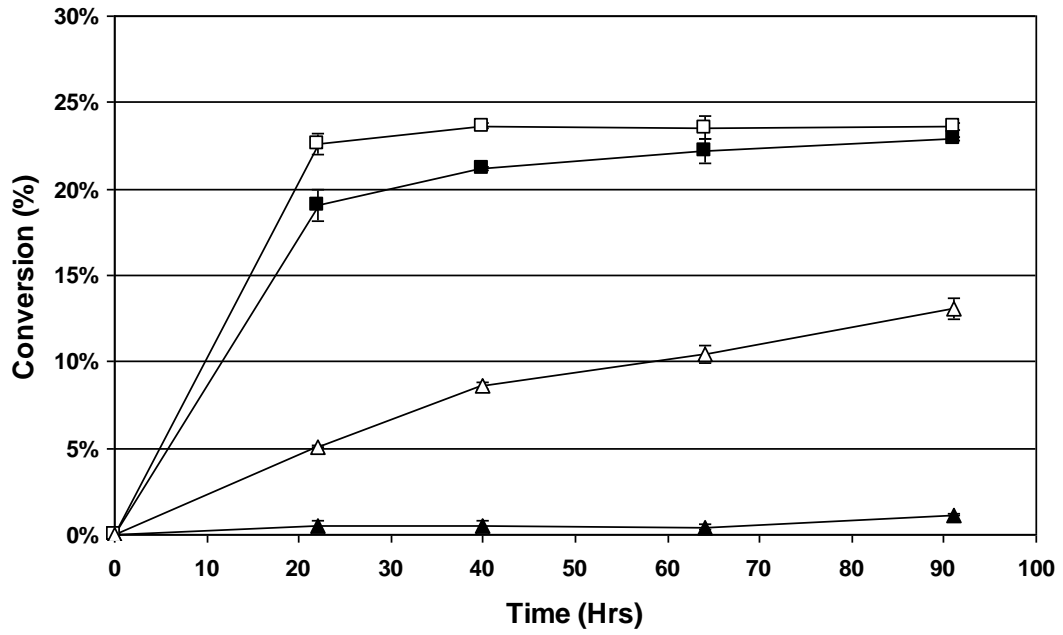
**Native PON1 (*H. Sapien*)**  
**G3C9 PON1 Variant**  
**PhoA (*E. coli*)**



612

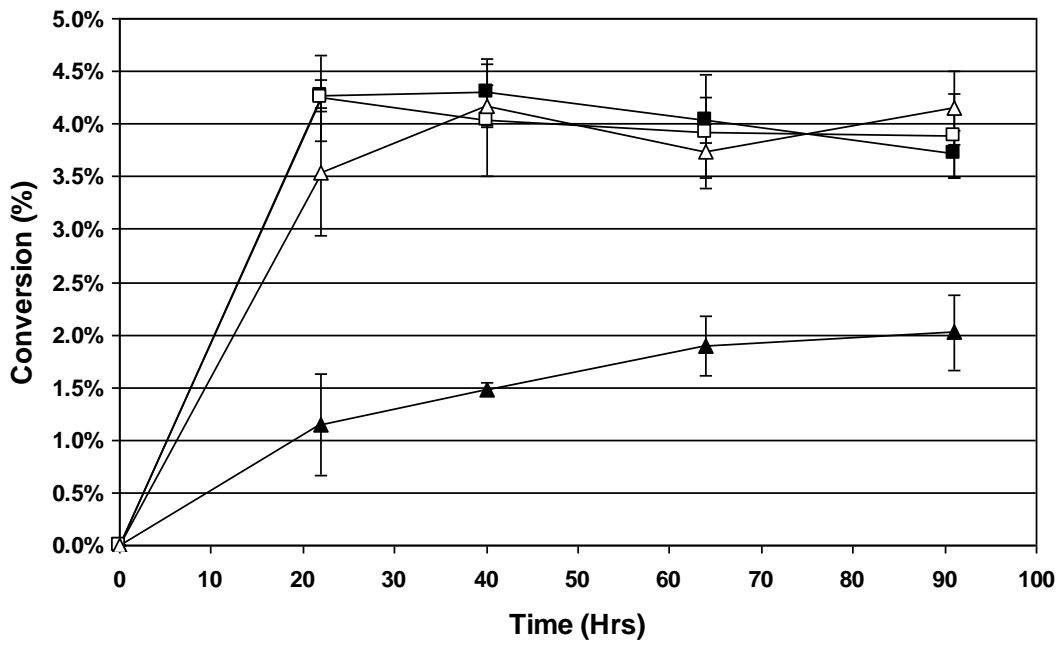
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614 **Figure 3a**



615

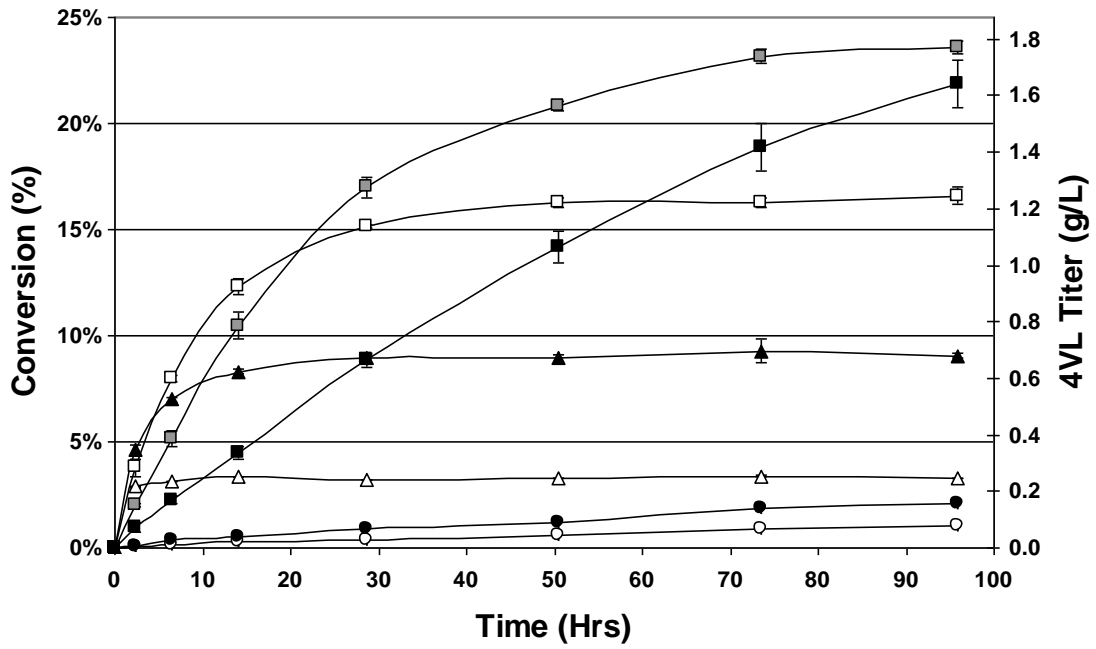
616 **Figure 3b**



617

618

619 **Figure 4**

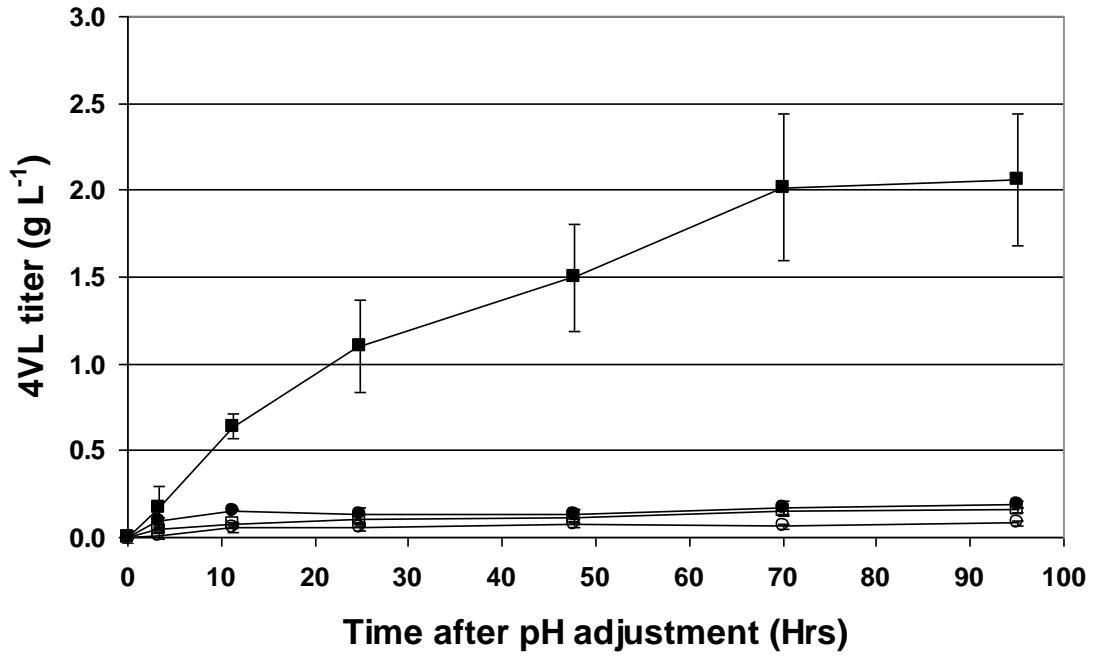


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622 **Figure 5**

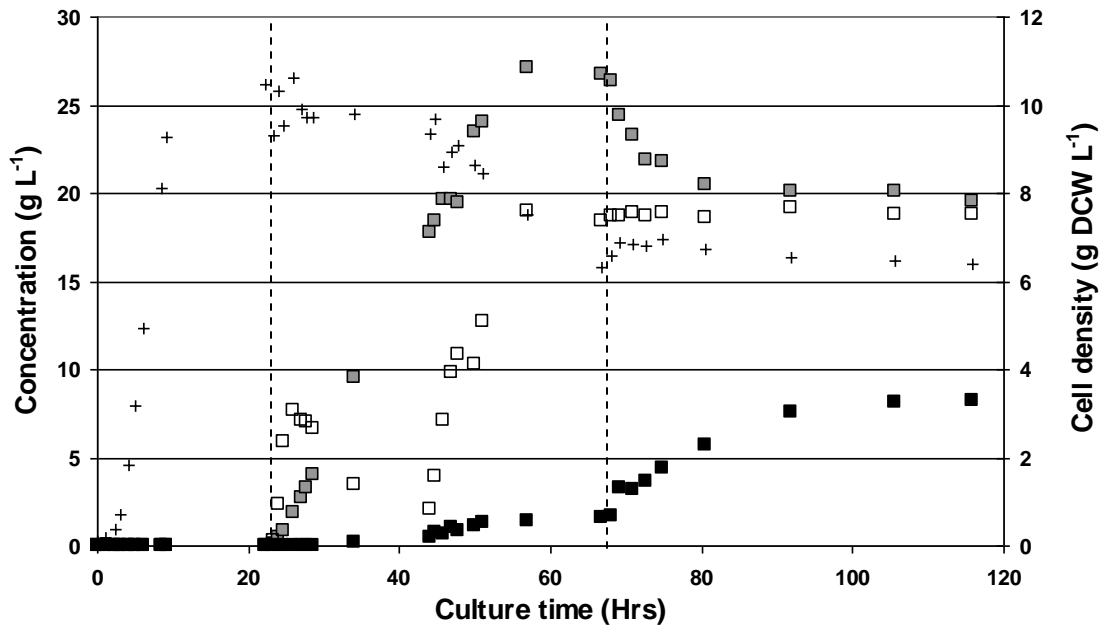
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624

625

626 **Figure 6**



627

628