Dynamic Optimization of IA-32 Applications Under DynamoRIO

by

Timothy Garnett

Submitted to the Department of Electrical Engineering and Computer Science in Partial Fulfillment of the Requirements for the Degree of Master of Engineering in Computer Science

at the

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Signature of Author.................................................................
Department of Electrical Engineering and Computer Science
May 21, 2003

Certified by.................................................................
Saman Amarasinghe
Associate Professor of Computer Science
Thesis Supervisor

Accepted by.................................................................
Arthur C. Smith
Chairman, Department Committee on Graduate Theses
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Abstract

The ability of compilers to optimize programs statically is diminishing. The advent and increased use of shared libraries, dynamic class loading, and runtime binding means that the compiler has, even with difficult to accurately obtain profiling data, less and less knowledge of the runtime state of the program. Dynamic optimization systems attempt to fill this gap by providing the ability to optimize the application at runtime when more of the system's state is known and very accurate profiling data can be obtained. This thesis presents two uses of the DynamoRIO runtime introspection and modification system to optimize applications at runtime. The first is a series of optimizations for interpreters running under DynamoRIO's logical program counter extension. The optimizations include extensions to DynamoRIO to allow the interpreter writer add a few annotations to the source code of his interpreter that enable large amounts of optimization. For interpreters instrumented as such, improvements in performance of 20 to 60 percent were obtained. The second use is a proof of concept for accelerating the performance of IA-32 applications running on the Itanium processor by dynamically translating hot trace paths into Itanium IA-64 assembly through DynamoRIO while allowing the less frequently executed potions of the application to run in Itanium's native IA-32 hardware emulation. This transformation yields speedups of almost 50 percent on small loop intensive benchmarks.

Thesis Supervisor: Saman Amarasinghe
Title: Associate Professor
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This thesis would not have been possible without the help of many individuals. Saman Amarasinghe's guidance and advice as my thesis supervisor was of crucial help in the thesis project, as was the herculean effort put in by Derek Bruening in developing and optimizing DynamoRIO into a fast, stable, and usable dynamic introspection and modification system. I would also like to acknowledge Greg Sullivan, Derek Bruening and Iris Baron for their work in creating version of DynamoRIO that supports logical pc based interpreters and Greg Sullivan for modifying Ocaml to work with the logical pc version of DynamoRIO. They put in huge amount of effort getting the infrastructure in place to make this thesis possible. Thanks also to all of DynamoRIO group for serving as sounding board for my ideas and suggesting improvements.
Biographical Note

The author was born in the city of Soldotna, Alaska USA on September 26th 1980. He attended secondary education at Soldotna High School in the aforementioned city from 1994 to 1998 when he graduated Valedictorian. He then attended the Massachusetts Institute of Technology (MIT) from 1998 to 2003 in pursuit of a Bachelor's of Science and Master of Engineering in Electrical Engineering and Computer Science, this thesis forming his last requirement for graduation. Through MIT's undergraduate research opportunities program the author spent the summer and fall of 2000 working with the µAMPS group at MIT's Microsystem Technologies Laboratory where he co-authored a paper with Manish Bhardwaj and Anantha P. Chandrakasan titled "Upper Bounds on the Lifetime of Sensor Networks" published in the proceedings of the IEEE International Conference on Communications, Pages 785 - 790, 2001. Through the same program during the summer and fall of 2001, he worked with MIT Starlogo¹ group on their Starlogo educational software project. Starting January of 2002 the author began work on the with COMMIT group in MIT's Laboratory for Computer Science on the DynamoRIO project. He was co-author with Derek Bruening and Saman Amarasinghe for a paper titled “An Infrastructure for Adaptive Dynamic Optimization” published in the Proceedings of the 1st International Symposium on Code Generation and Optimization, San Francisco, March, 2003. He was also a co-author of a paper titled “Dynamic Native Optimizations of Interpreters” to be published in ACM SIGPLAN 2003 Workshop on Interpreters, Virtual Machines and Emulators (IVME 03).

¹http://el.www.media.mit.edu/groups/el/Projects/starlogo/
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Chapter 1

Introduction

The ability of compilers to optimize programs statically is diminishing. The advent and increased use of shared libraries, dynamic class loading, and runtime binding complicates the ability of the compiler to analyse and optimize programs. Even good profiling information can be insufficient when an application's behavior changes dynamically. For good performance many versions of the compiled application may be needed be to take performance implications of subtle architectural differences between compatible processors (even processors in the same family) into account. Vendors are loathe to ship multiple versions of the same product, or even one highly optimized version for fear of debugging problems. Dynamic optimizations systems attempt to fill this gap by providing the ability to optimize the application at runtime when more of the system's state is known and very accurate profiling data can be obtained. This moves the option of optimization to the end user who can tailor it more to his system, without relying on the vendor.

This thesis presents two uses of the DynamoRIO runtime introspection and modification system to optimize applications at runtime. The first is a series of optimizations for interpreters running under DynamoRIO's logical program counter extension. The optimizations include extensions to DynamoRIO to allow the interpreter writer add a few annotations to the source code of his interpreter that enable large amounts
of optimization. The second use is a proof of concept for accelerating the performance of IA-32 applications running on the Itanium processor by dynamically translating hot trace paths into Itanium IA-64 assembly through DynamoRIO. Both uses of DynamoRIO show significant speedups on applications relative to running natively.

Interpreters form a broad class of applications with significant amounts of information (often including some representation of the program being interpreted) available to a runtime system that isn't available to a static compiler. The use of interpreters is becoming more and more widespread too, with proliferation of domain specific, scripted, dynamic, and virtual machine targeted languages. Many such languages lack a good native compiler and the barriers to writing one are high. A compiler is an incredibly difficult piece of software to write, it is specific to a particular architecture and language and requires a deep understanding of the underlying architecture. For dynamic languages it is especially difficult. Thus, there are many languages without compilers, or, at best, with compilers that only target a select few architectures. An simple interpreter for a language, however, is often easy and straightforward to write. This makes custom dynamic languages incredibly useful as an abstraction tool. Like a custom library a domain specific language can hide many of the unnecessary complexities of a given task, and indeed the design of a new language is a lot like the design of a new library.

Unfortunately, there is a large overhead involved when interpreting a language and this greatly affects performance. This lack of performance is often a major barrier to the wider acceptance and use of custom languages. The overhead is often finally resolved with the development of a compiler for the language, a just in time compiler (JIT) that compiles the application on the fly for highly dynamic or portable languages, or the migration to a new language.

The work that is involved for writing a compiler or JIT is enormous, easily and order of magnitude more difficult than an interpreter, and must be repeated for each architecture on which it is desired to run. As a partial alternative to writing a compiler or JIT for a language, one can remove some of the interpretation overhead through the use of a runtime optimization system such as DynamoRIO. The DynamoRIO runtime introspection and modification system has a logical program counter extension that allows
an interpreter writer to, with minimal annotation of his interpreter, achieve a large speedup running his interpreter under DynamoRIO relative to running it natively. A 20 to 60 percent reduction in the runtime of interpreted programs is shown (chp. 6) on two sample interpreters. The first contribution of this thesis are the optimizations that go into achieving this boost in performance and the interpreter annotations interface necessary to enable them. The end goal of such research is to make dynamic interpreted languages feasible for a wider variety of problems by reducing the overhead of the interpreting system without sacrificing the ease of development of the interpreter.

The second contribution is extending the DynamoRIO runtime introspection and modification system to dynamically accelerate IA-32 applications running on Itanium processors by translating hot traces of the application into Itanium's native IA-64. The IA-32 program is initially executed in the Itanium's native IA-32 hardware emulation mode under DynamoRIO, as it runs frequently executed traces are translated into Itanium's native IA-64 and linked together while the remainder of the program continues to run in IA-32 mode. As a proof of concept, performance gains of almost 50 percent are shown for some simple example cases.

The Intel Itanium family of processors are a relatively new offering from Intel geared towards the server market. They operate natively on the Intel IA-64 instruction set, but also have hardware emulation support for the IA-32 instruction set (also referred to as x86) for backwards binary compatibility with previous Intel offerings. Unfortunately the adoption of Itanium processor has been slow, partly because of the difficulty in migrating IA-32 applications to Itanium environments. While the Itanium processor can execute unmodified IA-32 compiled applications, it does at a tiny fraction of the speed of modern IA-32 processors such as AMD's Athlon and Intel's own Pentium IV. Given the huge differences between the IA-32 and IA-64 ISAs this is not too surprising (even taking into account the hardware resources Itanium processors devote to emulating/translating IA-32 instructions). The ability of the Itanium chip to execute all IA-32 instructions means that only the most frequently executed code needs to be translated and that any hard to translate oddities in the IA-32 instruction set need not be translated making efficient translation more feasible at runtime. Since most processor
intensive IA-32 applications are, in practice, unusable on Itanium processors, many potential users of Itanium with IA-32 applications have avoided buying Itanium processors. Thus, IA-32 performance on Itanium processors remains a major barrier to the wider adoption of Itanium processors and one that is feasible to address with a runtime optimization system.

This thesis breaks down into three main sections: background and explanation of the core DynamoRIO system, using DynamoRIO to improve the performance of interpreters, and using DynamoRIO as a dynamic translator for Itanium processors. Chapters one, two, and, three give an introduction and overview of the thesis, present related work and explain the core DynamoRIO system. Chapters four, five, and six then cover the optimization of interpreters. Chapter seven covers DynamoRIO on Itanium. And, finally, chapter eight concludes.
Chapter 2

Related Work

The problem of running one language on top of another has long been an active area of research. Interpreters, JITs, emulators are all part of the broad spectrum of ways people have approached this problem. A lot of work has been done on dynamic translation as a method for speeding up the emulation of a non-native instruction set [5] [9] [12] [13] [19] [21]. Since the Itanium processor family was developed jointly by Intel and Hewlett-Packard, there was concern that Itanium be able to run binaries compiled to Hewlett-Packard's PA-RISC ISA to enable a smooth migration path. This concern was addressed through software dynamic translation of PA-RISC binaries into Itanium's native IA-64 ISA via the Aries system. Because PA-RISC maps rather cleanly over to IA-64, very little overhead is involved in the actual translation and applications running this way on an Itanium processor achieve nearly the performance they would get on a similarly clocked PA-RISC chip.

Transmeta's [15] code morphing technology is a similar product that runs IA-32 applications on Transmeta's natively VLIW Crusoe processor by dynamically translating and optimizing the applications binary. Code morphing technology has the advantage of having having hardware specially designed to ease the dynamic translation of IA-32 programs. IBM's Daisy [12] is another effort to target VLIW from existing instruction sets, including IA-32. Like code morphing it also requires a degree of
assistance from the underlying VLIW hardware. A major way these efforts differ from the
Itanium work in this thesis is in their choice of architecture and their ability to give up on
a particularly difficult translation, since the Itanium hardware can (albeit inefficiently)
execute the original IA-32 code if necessary. Thus, completeness of translation in the
system proposed here is not as much of an issue. This allows us to focus on only
translating the code that executes most frequently and justifies the spending of extra cycles
optimizing that translation.

JIT technology also dynamically translates languages and ISA's, but once
again the translation is forced to be complete. Offline, optimizing, binary to binary
translators do exist [8][18], but they don't have access to the runtime profiling information
that a dynamic translator had. Some high languages seem to require a degree of dynamic
compilation for an efficient implementation [10][1][14] making runtime compilation or
interpretation the only feasible implementation route. Low overhead profiling is the key to
dynamic optimizations [2][11], since without profiling information about a program it
can't be optimized fully and if the profiling is too expensive (in cycles) then more
execution time is spend performing the optimization than is saved by having it.
DynamoRIO [7] has very low profiling overhead which is one of the reasons it is ideally
suited for these purposes.
Chapter 3

DynamoRIO

3.1 What is DynamoRIO?
DynamoRIO [7] is, from a user's standpoint, an IA32 instruction set interpreter implemented on an IA32 platform. Its purpose is to allow for the dynamic introspection, modification, and optimization of native executables at an individual instruction granularity. DynamoRIO is based on an IA32 port [6] of the original Dynamo project [4] and runs on unmodified native binaries with no special hardware or operating system support. It runs on both Windows and Linux and is reliable and scalable enough to run large desktop applications.

3.2 DynamoRIO Operation
Since one of DynamoRIO's stated purposes is dynamic optimization, it is important that the system have a very low overhead. Simple interpretation would allow DynamoRIO to achieve its functional requirement of full control over the running native executable, but the overhead of such a system, especially given the complexities of the IA32 instruction set, is enormous. To amortize the cost of interpreting the original program, DynamoRIO interprets by copying basic blocks of IA32 instructions from the original program into a fragment cache and executing them there. Each block in the cache has its ending control
flow instruction modified to save the machines state and return control to DynamoRIO which finds the next basic block to execute. Only code in the fragment cache is allowed to execute, never code in the original image of the binary. As the number of fragments increases, performance is improved by modifying fragment ending direct branches to jump directly to their targets in the fragment cache instead of back to DynamoRIO.

At this stage, indirect jumps are the major performance bottleneck. Indirect branches must go through a lookup function to translate the applications address into an address in the cache, a major source of overhead. Each translation of a program address to its cached basic block requires a transfer of control back to DynamoRIO and thus a save of the processors state. To overcome the high cost of these control switches, DynamoRIO adds a fast hash table lookup routine in the cache that translates the address target of the indirect jump into its corresponding cached fragment, returning control to DynamoRIO only if there is no cached block associated with that address. Further since even a fast hash table lookup is slow compared to a native indirect branch, DynamoRIO inlines a common target into a check before going to the hash table lookup, to avoid the lookup cost when the jump targets that location.

As a final optimization, certain interesting fragments, such as the targets of backwards taken branches (likely loop heads), are tagged with a counter that counts the number of times execution reaches that fragment. In DynamoRIO parlance these are

Figure 3.1: Diagram of DynamoRIO structure. Dark areas are cached application code. Gray areas are DynamoRIO code. Lines denote paths of control flow.
referred to as trace heads. When the trace heads counter exceeds a certain threshold a trace is created starting at the trace head and continuing through successive basic blocks until a backward jump is executed or another trace or trace head is reached. The targets of all exits from the trace then become trace heads themselves. This approach, called next executing tail (NEXT) tracing by the original Dynamo authors, minimizes profiling overhead and is statistically likely to pick good traces[3][4][6][11]. Experimentation by the original Dynamo authors [4][11] suggests that any more complicated profiling scheme to pick hot traces dynamically will have a very difficult time recovering its overhead from improved traces. Traces improve performance by improving code layout for caching effects and by picking good indirect branch inlining targets. An overview schematic of the system can be found in figure 3.1. Table 3.1 summarizes some rough numbers on how the various core parts of the system effect performance.

DynamoRIO traces are single entrance multiple exit traces. That is, control will only enter from the top, but may exit at many places. Since there is virtually no control flow internal to the trace, certain types of optimizations are easier to write. DynamoRIO also exports an interface to allow an outside library to modify traces and basic blocks before they are emitted into its cache allowing optimization and instrumentation of the program and an interface for an application to run itself under DynamoRIO.

<table>
<thead>
<tr>
<th>System Type</th>
<th>Normalized Execution Time</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>VPR</td>
</tr>
<tr>
<td>Emulation</td>
<td>~300</td>
</tr>
<tr>
<td>+ Basic block cache</td>
<td>26</td>
</tr>
<tr>
<td>+ Link direct branches</td>
<td>3</td>
</tr>
<tr>
<td>+ Ling indirect branches</td>
<td>1.2</td>
</tr>
<tr>
<td>+ Traces</td>
<td>1.1</td>
</tr>
</tbody>
</table>

Table 3.1: Performance of base DynamoRIO as features are added. Measured with two SPEC2000 benchmarks: crafty and vpr. These were gathered from an old version of DynamoRIO, recent versions suffer less overhead at all levels.
Chapter 4

DynamoRIO for Interpreters

4.1 DynamoRIO and Interpreters

The use of interpreters is pervasive and becoming more common. For domain specific, scripting, dynamic, and virtual machine targeted languages the most straight forward runtime environment is an interpreter. For many such languages a compiler or JIT would be very difficult to write. Unfortunately, the performance difference between an interpreted and compiled or JITed program is often huge (easily and order of magnitude in many cases). This because interpretation implies the existence of a substantial amount of overhead. It would be nice if DynamoRIO could speed up interpreters by eliminating some of the interpretation overhead.

Most interpreters follow a common idiom of design. Typically some front end will parse the interpreted program into some simpler (byte code) format which is then interpreted through some sort of dispatch loop. While there are many tricks on can take to speed up such an implementation, as long as the interpreter is dealing with a representation of the original program and not instructions native to the architecture there is overhead. The typical read-switch-jump dispatch loop confounds DynamoRIO since DynamoRIO's tracing algorithm will only end up building one trace through the switch statement. Such a trace will exit early almost all the time (see table 4.3) because it will only be valid for a
particular byte code and since most interpreters do very little work for each byte code, there is a high number of indirect jumps (from the switch statement) relative to the execution time. Indirect jumps are a major performance drainer for DynamoRIO since they become hash table lookups in its runtime system. Threaded interpreters do little better. A threaded interpreter uses pointers to the native instructions that will evaluate the byte code within the byte code itself (read-jump instead of read-switch-loop). Such implementations are faster than their read-switch-loop counterparts, but still confound DynamoRIO since, once again, they often have a huge number of indirect jumps (at every byte code) relative to their execution time.

DynamoRIO often does a poor job of predicting these indirect jumps since they depend on the position of the interpreter in the high level program and not the location of the indirect jump instructions in the interpreter's binary. Thus DynamoRIO has trouble generating good traces for interpreters to offer as targets of optimization. The logical pc extension to DynamoRIO seeks to address the generation of poor traces by allowing the interpreter writer to pass some information about the high level position in the interpreted program to DynamoRIO at runtime. This allows DynamoRIO to build traces that reflect the high level program being interpreted. Such traces are much better targets for optimization.

4.2 The Logical Program Counter Extension to DynamoRIO

The goal of the logical program counter [20] extension to DynamoRIO is to allow DynamoRIO to build long frequently executed traces that usually run almost all the way through and allow for good prediction of indirect jumps. To do this DynamoRIO asks the interpreter writer to associate some sort logical program counter with the interpreter's location in the interpreted program, typically an index or pointer (or combination of pointers) into the byte code of the interpreted program. DynamoRIO also exports methods (see figure 4-1) to allow the program to keep DynamoRIO informed as to the value of the program counter at runtime.

The annotation necessary to the interpreter to keep DynamoRIO informed
about the logical program counter is minimal and straightforward, typically requiring
to changes in just a few places. An excerpt of the TinyVM interpreter that shows the
annotations applied in in Appendix 1. Often the change is largely search and replace on
adjustments to a variable that indexes into the interpreters representation of the interpreted
program, so the burden is even less than what a simple count of the number of lines of
code changed or added would suggest (table 4.1).

<table>
<thead>
<tr>
<th>Lines changed or added</th>
<th>Ocaml</th>
<th>TinyVM</th>
</tr>
</thead>
<tbody>
<tr>
<td>For logical traces</td>
<td>28</td>
<td>12</td>
</tr>
<tr>
<td>For optimizations (ch. 5)</td>
<td>3</td>
<td>20</td>
</tr>
<tr>
<td>Total Added/Changed</td>
<td>31</td>
<td>32</td>
</tr>
<tr>
<td>Total LOC in program</td>
<td>5200</td>
<td>1266</td>
</tr>
</tbody>
</table>

Table 4.1: Lines of code necessary to annotate an interpreter for DynamoRIO logical pc. This table counts the number of lines added to or changed in the source code of the interpreter to work with DynamoRIO and to enable the optimizations described in chapter 5.

4.3 Benefits of the Logical Program Counter Extension

With information about the location of the interpreter in the interpreted program
DynamoRIO can build traces that reflect the behavior of the interpreted program instead of
the interpreter. Such traces are much longer and have dramatically fewer early exits than
the usual traces DynamoRIO would build. DynamoRIO does this by associating a logical
program counter value, in addition to its usual application address value, with each trace.
This allows DynamoRIO to have multiple traces representing the same application
address, but different locations in the interpreted program. This leads to dramatically
to better traces (table 4.2, 4.3) and faster execution (see chapter 6). The logical pc extension
to DynamoRIO also provides methods to signal to DynamoRIO that there is a branch of
control flow (either direct or indirect, see figure 4-1, Appendix 1) in the interpreted
program. DynamoRIO ends traces at such branches and marks their targets trace heads.

<table>
<thead>
<tr>
<th>Trace</th>
<th>Size (in bytes and exits)</th>
<th>Time</th>
<th>Exit number and percent of trace executed</th>
<th>Percent of time exiting there</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>18326 B 242 exits</td>
<td>50.80%</td>
<td>#34 14% #242 100%</td>
<td>1.5% 98.5%</td>
</tr>
<tr>
<td>2</td>
<td>26155 B 347 exits</td>
<td>26.70%</td>
<td>#347 100%</td>
<td>100%</td>
</tr>
<tr>
<td>3</td>
<td>52574 B 700 exits</td>
<td>15.20%</td>
<td>#161 23% #492 70% #700 100%</td>
<td>92.2% 3.7% 4.2%</td>
</tr>
</tbody>
</table>

Table 4.2: Trace sizes and trace exit distribution for top three, as measured by execution time, traces of the TinyVM program fib_iter under DynamoRIO logical program counter. The exit number is a rough guide to how long execution stays on the trace since each exit corresponds to a branch. The higher the number the longer execution has stayed on the trace.

<table>
<thead>
<tr>
<th>Trace</th>
<th>Size (in bytes and exits)</th>
<th>Time</th>
<th>Exit number and percent of trace executed</th>
<th>Percent of time exiting there</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>956 B 10 exits</td>
<td>19.30%</td>
<td>#1 10% #10 100%</td>
<td>5.7% 94.3%</td>
</tr>
<tr>
<td>2</td>
<td>745 B 8 exits</td>
<td>18.10%</td>
<td>#5 63% #8 100%</td>
<td>20% 80%</td>
</tr>
<tr>
<td>3</td>
<td>1219 B 16 exits</td>
<td>6.7%</td>
<td>#7 44% #16 100%</td>
<td>1.6% 98.4%</td>
</tr>
</tbody>
</table>

Table 4.3: Trace sizes and trace exit distribution for the top three traces, as measured by execution time, of the TinyVM program fib_iter under plain DynamoRIO. The exit number is a rough guide to how long execution stays on the trace since each exit corresponds to a branch. The higher the number the longer execution has stayed on the trace.
Chapter 5

Optimizing Interpreters with DynamoRIO

5.1 Overview of Optimizations

When an interpreter is running under DynamoRIO with logical program counter extensions long highly optimizable traces are created that dominate the execution time. This chapter describes four optimizations implemented to improve the performance of interpreters running under DynamoRIO with the logical program counter extension: constant propagation, dead code removal, call return matching, and stack cleanup. These optimizations are applied to traces generated by DynamoRIO in the following order, call return matching first, then constant propagation, then dead code removal, and finally stack cleanup. This ordering maximizes the synergy between the optimizations as the earlier optimizations often open up opportunities or remove barriers from the later optimizations. Chapter 6 gives performance results for applying these optimizations to several interpreters.

Implementation of these optimizations was complicated by the complex machine model of the IA-32 instruction set. IA-32 is a complex instruction set (CISC) with hundreds of instructions, many of which are have to use particular registers or sets of registers and have side effects on the machine state. Instructions have from zero to eight sources and from zero to eight destinations. The architecture supplies only eight visible general purpose integer registers. Depending on the circumstances an instructions might
read or write the whole register or just the lower two bytes or just one of the lower two bytes (but only for four of the eight registers in the last case). Branching is handled by instructions that check the status of certain conditional flags. Almost every single arithmetic instructions (and some non-arithmetic) writes to some or all of the conditional flags (some read as well). Such conditional codes can be valid past multiple jumps or even used, in the case of some compliers, after a function return. This greatly complicates keeping track of dependencies between instructions. The optimizations described here take these issues into account.

5.2 Constant Propagation Optimization

5.2.1 The Constant Propagation Annotation Interface

Constant propagation is a classic compiler optimization that partially evaluates instructions based on variables that are known to have a constant value. It is motivated especially with interpreters in this case because they tend to have large amounts data that are runtime constant. In many interpreters the byte code is constant, once generated at runtime it is never modified. In addition it is not uncommon for there to be jump and lookup tables that are constant at runtime whose location can be determined from the meta information in the applications binary. If it were possible for the interpreter writer to communicate this information to DynamoRIO, DynamoRIO would able to simplify traces by replacing native loads from this immutable constant value memory with the value they would return and simplifying the trace. To enable this, a method is exported to allow the interpreter author to mark regions of memory immutable (figure 5-1). An excerpt showing of how these annotation are used in the TinyVM interpreter is provided in Appendix 1.

```c
void dynamorio_set_region Immutable(void* start, void* end);
void dynamorio_add_trace_constant_address(void* addr);
void dynamorio_set_trace_constant_stack_address(void* addr, int val);
void dynamorio_unaliased_address(void *addr);
void dynamorio_unaliased_stack_address(void *addr);
void dynamorio_set_call_no_inline(void *func_ptr);
```

Figure 5-1: The exported interface for optimization annotations.
Since execution time under DynamoRIO is dominated by traces, traces are the best place to concentrate optimization. All traces under DynamoRIO are tagged with a logical pc. Since DynamoRIO guarantees that at the start of the trace the logical pc is the same as the logical pc the trace is tagged with, this often implies that some of the interpreters variables will also always be the same at the start of the trace. These are typically the variables used to generate the logical pc, such as an index or pointer into the byte code. While it isn't clear at compile time what value those variables might have, several methods are exported by DynamoRIO (figure 5-1) so that the interpreter writer can inform DynamoRIO that certain variables will always have the same value at the start of the trace. DynamoRIO can then take a snapshot of those values when it builds the trace and use the constant values to simplify the trace (figure 5-2).

The interpreter writer uses set_trace_constant_address to inform DynamoRIO of global addresses that at the start of a given trace is always the same, while he uses set_trace_constant_stack_address to inform DynamoRIO of stack addresses that are always the same at the start of any particular trace. Since DynamoRIO keeps track of the values in these addresses as it constant propagates through out the trace, the interpreter writer is also guaranteeing to DynamoRIO that he won't modify these addresses through a pointer alias or another thread. This guarantee greatly simplifies the optimization and pass since it is difficult, if not impossible to disambiguate memory writes in assembly in the potential presence of aliasing. In fact, this information is so useful that several more methods are supplied to the interpreter writer to allow him to specify global and stack addresses that aren't aliased (figure 5-1).

5.2.2 Issues Considered
The system is limited to global, and stack addresses because they are the most common and because the runtime system has no notion of variable locations only addresses. These addresses then are easier for the runtime system to track since, given the non-aliasing guarantee, the addresses will be accessed consistently, the globals as constant addresses and the stack addresses as offsets of the ebp or esp stack registers.

Of the two forms of specifying address as unaliased or trace start constant,
the one for globals is preferred. The actual method to mark the global address unaliased or trace start constant need only be run once during startup or initialization. For stack addresses though the picture is more complicated since the address of the trace start constant value might not itself be constant. For stack addresses the call to the dynamorio_set_trace_constant_stack_address must appear in the trace and the optimizations using its value will only be performed in the section of the trace that follows the call. This is because the optimizations needs to destruct the arguments of the call to figure out the offset off the stack or base pointer of the address (figure 5-2). This means that the stack address method calls should appear shortly after each logical direct or logical indirect jump so that they will be close to the top of the trace. The interpreter writer should also avoid declaring to many stack addresses unaliased unless he is reasonably sure this will enable optimization as the calls for stack addresses appear very frequently and thus can add to the overhead of the system.

5.2.3 Operation

The constant propagation optimization is single forward pass through the trace that keeps track of constant values in the eight architectural registers and their sub register components as well as memory locations declared to be unaliased by the interpreter writer and the state of the eflags register. At each instruction constant values are propagated into the sources (including the eflags register for branches and addresses descriptions in the destinations) and the instruction is simplified as much as possible. If any sources simplify to a constant address, the optimization checks to see if the address is in one that was declared immutable by the interpreter or the binaries meta-data. If so it replaces the address load with the value it gets by dereferencing the address. The simplification is often able to reduce instructions to just load immediates or, in the case of simplified branches, nops. Once simplification of the instruction is finished destinations written to by the instruction with a non constant value are marked as not constant and those written with a constant value have their now constant value stored for future use.
Figure 5-2: Trace selection demonstrating constant propagation optimization and the trace constant interface. Here the address Oxfc(EBP) is the stack constant address and its value at the start of the trace is always 4. The optimization will deduce the offset of EBP by looking for the lea the set the argument or something equivalent. It will then eliminate the call and propagate as normal.

The optimization also keeps track of the depth of the current call frame since recognized offsets for the unaliased stack addresses are only valid in the same scope as the method call that informed DynamoRIO of them. As an extra bit of optimization, if a mov immediate instruction (perhaps as the result of simplification) is setting a watched location (register, unaliased memory locations) to the same constant value its known to have then the mov immediate instruction is eliminated.

Some dynamic languages allow the byte code to be modified as they run and, since DynamoRIO optimizes assuming this memory is constant (assuming the interpreter writer marked it as such), an additional method to allow the interpreter writer to declare a region no longer immutable is planned, though not yet implemented. When this method is

<table>
<thead>
<tr>
<th>Trace section before constant propagation optimization</th>
<th>Trace section after constant propagation optimization</th>
</tr>
</thead>
<tbody>
<tr>
<td>#the call to set trace constant stack address</td>
<td>#destroyed remnants of call to set trace constant stack address</td>
</tr>
<tr>
<td>add ESP, -8</td>
<td>add ESP, 8</td>
</tr>
<tr>
<td>mov EAX, Oxfc(EBP)</td>
<td>lea ESP, Oxfc(ESP)</td>
</tr>
<tr>
<td>push EAX</td>
<td>lea ESP, Oxfc(ESP)</td>
</tr>
<tr>
<td>lea EAX, Oxfc(EBP)</td>
<td>nop</td>
</tr>
<tr>
<td>push EAX</td>
<td>add ESP, 16</td>
</tr>
<tr>
<td>call set_trace_constant_stack_address</td>
<td></td>
</tr>
<tr>
<td>add ESP, 16</td>
<td></td>
</tr>
<tr>
<td># part of the trace</td>
<td></td>
</tr>
<tr>
<td>mov EAX, Oxfc(EBP)</td>
<td></td>
</tr>
<tr>
<td>cmp EAX, 0x8122c80</td>
<td></td>
</tr>
<tr>
<td>jnl exit_stub</td>
<td></td>
</tr>
<tr>
<td>mov EAX, Oxfc(EBP)</td>
<td></td>
</tr>
<tr>
<td>lea EDX, (EAX,8)</td>
<td></td>
</tr>
<tr>
<td>mov EAX, 0x8122c84</td>
<td></td>
</tr>
<tr>
<td>movzx EDX, (EAX,EDX,1)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Trace section after all optimization passes</th>
</tr>
</thead>
<tbody>
<tr>
<td>mov EAX, 4</td>
</tr>
<tr>
<td>cmp EAX, 0x8122c80</td>
</tr>
<tr>
<td>jnl exit_stub</td>
</tr>
<tr>
<td>mov EAX, 0x8122c84</td>
</tr>
<tr>
<td>movzx EDX, 0x20(EAX)</td>
</tr>
</tbody>
</table>

| mov EAX, 4                                           |
| cmp EAX, 0x8122c80                                    |
| jnl exit_stub                                        |
| mov EAX, 0x8122c84                                    |
| movzx EDX, 0x20(EAX)                                  |

| mov EAX, 4                                           |
| cmp EAX, 0x8122c80                                    |
| jnl exit_stub                                        |
| mov EAX, 0x8122c84                                    |
| movzx EDX, 0x20(EAX)                                  |
called DynamoRIO will delete all the traces from its cache that relied on the now mutable data, thus preserving correctness. If the region is frequently executed it will end up being traced again into a new trace.

<table>
<thead>
<tr>
<th>Original Application</th>
<th>DynamoRIO</th>
<th>DynamoRIO w/call return matching</th>
</tr>
</thead>
<tbody>
<tr>
<td>mov EAX, EAX</td>
<td>Trace 0</td>
<td>Trace 0</td>
</tr>
<tr>
<td>call foo</td>
<td>mov EAX, EAX</td>
<td>mov EAX, EAX</td>
</tr>
<tr>
<td>bar:</td>
<td>#</td>
<td>#</td>
</tr>
<tr>
<td>lea 0x0(ESI), ESI</td>
<td>-- call</td>
<td>-- call</td>
</tr>
<tr>
<td>jne done</td>
<td>push $bar</td>
<td>push $bar</td>
</tr>
<tr>
<td>foo:</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td></td>
<td>xchg EDI, EDI</td>
<td>xchg EDI, EDI</td>
</tr>
<tr>
<td></td>
<td>#</td>
<td>#</td>
</tr>
<tr>
<td></td>
<td>-- ret</td>
<td>-- ret</td>
</tr>
<tr>
<td></td>
<td>mov ECX, spill_slot</td>
<td>mov ECX, spill_slot</td>
</tr>
<tr>
<td></td>
<td>pop ECX</td>
<td>pop ECX</td>
</tr>
<tr>
<td></td>
<td>lea -$bar(ECX), ECX</td>
<td>lea -$bar(ECX), ECX</td>
</tr>
<tr>
<td></td>
<td>jecxz hit_path</td>
<td>jecxz hit_path</td>
</tr>
<tr>
<td></td>
<td>fail_path:</td>
<td>fail_path:</td>
</tr>
<tr>
<td></td>
<td>lea $bar(ECX), ECX</td>
<td>lea $bar(ECX), ECX</td>
</tr>
<tr>
<td></td>
<td>jmp indirect_lookup</td>
<td>jmp indirect_lookup</td>
</tr>
<tr>
<td></td>
<td>hit_path:</td>
<td>hit_path:</td>
</tr>
<tr>
<td></td>
<td>mov spill_slot, ECX</td>
<td>mov spill_slot, ECX</td>
</tr>
<tr>
<td></td>
<td>lea 0x0(ESI), ESI</td>
<td>lea 0x0(ESI), ESI</td>
</tr>
<tr>
<td></td>
<td>jne exit_stub1 &lt;done&gt;</td>
<td>jne exit_stub1 &lt;done&gt;</td>
</tr>
</tbody>
</table>

Figure 5-3: A sample trace, with the original application code, before and after the call return matching optimization. In italics is the code DynamoRIO used to replace the call and ret instructions. Lea instructions are used to preserve the eflags state.

### 5.3 Dead Code Removal Optimization

Dead code removal is another classic compiler optimization. Dead code removal eliminates instructions that don't produce useful values. It runs a single backwards pass keeping liveness information on the registers, sub registers, eflags register, and unaliased stack and memory addresses passed in by the interpreter writer (like in constant prop above). While complicated by the need to deal with partial registers operations, sub register state, and the eflags register, the algorithm is essentially the same as found in most compiler textbooks.
5.4 Call Return Matching Optimization

The call return matching optimization is a general optimization that requires no annotations from the interpreter writer. It looks for methods that have been completely inlined into a trace such that both the call instruction and the return instruction from the method are in the trace. It then partially inlines the method by eliminating the check around the return. Under DynamoRIO calls are replaced with push immediates of the applications return address and returns are replaced with a hash table lookup for address translation with a check for the target used to continue the trace inlined (figure 5-3). The main benefit of this optimization is to remove the cost of doing the check to see that continuing on the trace is indeed the right thing to do.

5.5 Stack Adjust Optimization

The stack adjust optimization is a general optimization that requires no annotations from the interpreter writer. Its effectiveness comes from noticing that often many extraneous adjustments of the stack pointer are left after the other optimizations have run. These can be folded together if one is careful about the intervening instructions. Also building traces exposes other situations where stack pointer manipulations can be eliminated or combined.

<table>
<thead>
<tr>
<th>Original trace</th>
<th>Trace after stack adjustment optimization</th>
</tr>
</thead>
<tbody>
<tr>
<td>sub ESP, $8</td>
<td>sub ESP, $12</td>
</tr>
<tr>
<td>sub ESP, $8</td>
<td>mov EAX, EAX</td>
</tr>
<tr>
<td>mov EAX, EAX</td>
<td>push ESP</td>
</tr>
<tr>
<td>add ESP, $4</td>
<td>sub ESP, $8</td>
</tr>
<tr>
<td>push ESP</td>
<td>mov EAX, 0x4(EDX)</td>
</tr>
<tr>
<td>sub ESP, $12</td>
<td>add ESP, $8</td>
</tr>
<tr>
<td>mov EAX, EAX</td>
<td>...</td>
</tr>
<tr>
<td>add ESP, $4</td>
<td></td>
</tr>
<tr>
<td>mov EAX, 0x4(EDX)</td>
<td></td>
</tr>
<tr>
<td>add ESP, $8</td>
<td></td>
</tr>
</tbody>
</table>

Figure 5-4: A trace before and after the stack adjust optimization. Note that at any point in the trace the stack pointer will be at least as low in memory (high in the stack) as it was in the original program to protect potential aliased stack writes.
The stack cleanup optimization does a single forward pass through the trace looking for adjustments to the stack pointer and folding them together into a single adjustment. If it sees a memory write or read not that's not to a constant address or relative to the stack pointer, such as the write to 0x4(EDX) in figure 5-4, it makes sure that the stack pointer is at least as low (IA-32 stack grows down in memory) in the optimized version as it was in the original version at that instruction. This is in case the memory write is really an aliased stack write. Since the operating system can come along at any time and interrupt the application and use its stack (and some operating systems do) it is important that the stack pointer is low enough at the memory write to protect its value in case the operating system interrupts.
Chapter 6

Performance of DynamoRIO Optimized Interpreters

6.1 Introduction to the Interpreters

In this section the performance improvements of the optimizations described in chapter 5 are demonstrated on two interpreters that have been annotated to run under DynamoRIO's logical pc extension and to enable the optimizations described in Chapter 5. TinyVM is a very simple virtual machine written C that interprets TinyVM byte code. TinyVM has a simple stack based machine model. Its byte code is generated from a higher level language by a TinyVm compiler. Its virtual machine uses a simple read-switch-loop structure to interpret each byte code and update the state of the virtual machine. Ocaml is a high performance, highly optimized, popular implementation of the caml language that includes a threaded interpreter, a non-threaded interpreter, and a native compiler. Caml is a strongly typed functional programming language related to ML. It is frequently used by some of the top teams at the annual IFCP programming contest including the winning team from 2002, third place team from 2001, and second and third place team in 2000. Both Ocaml and TinyVM show large speed improvement when run under DynamoRIO and the optimizations described in chapter 5.
Figure 6-1: Performance of the TinyVM interpreter on various benchmarks. Each benchmark was run three times for each configuration, the best result is shown. Test machine was a 2.2 ghz Pentium IV processor running Linux. The interpreter and DynamoRIO were both compiled under GCC. Results are normalized to the native execution time.

6.2 TinyVM Interpreter

A number of small computationally intensive benchmarks were chosen to test the optimizations on the TinyVM compiler. These are for the most part implementations of simple algorithms, fib iter iteratively generates Fibonacci numbers while fib rec does the same recursively. The sieve programs find prime numbers through a Sieve of Eratosthenes algorithm. Finally the matrix programs do some repeated matrix multiplication. These programs have tunable input parameters to control the length of the execution time. Suitable values were chosen to give a relatively lengthy run in most cases, though some are shorter. This gives a better idea of the steady state improvement the optimizations produce. Table 6-1 gives the running time in seconds of each of the optimizations. For the TinyVM benchmarks here the optimizations are capable of reducing the runtime of the interpreted program by 20 to 50 percent. A breakdown of the contribution of the various optimizations towards the systems performance is provided in
Figure 6-2: Breakdown of the contribution of the various optimizations for select programs on TinyVM. Note that there is a large synergistic effect from combining multiple optimizations. This is because of their complimentary nature, some enable greater possibilities in the others.

Table 6.1: Execution time in seconds of the TinyVM benchmarks, both natively and under DynamoRIO with optimizations.

TinyVM Benchmarks | Native Running Time in sec. | Optimized Running Time in sec.
--- | --- | ---
Fib iter | 26.73 | 14
Fib rec | 35.52 | 21.49
Bubble Sort | 23.36 | 15.82
Matrix lg. | 28.00 | 19.59
Matrix sm. | 3.78 | 2.9
Sieve | 26.84 | 16
Sieve sm. | 4.44 | 3.18

Table 6.2: Execution time in seconds of the Ocaml benchmarks, both natively and fully optimized.

--- | --- | ---
Ackermann | 27.2 | 20.3
Fib | 12.45 | 9.9
Hash2 | 5.17 | 3.03
Heapsort | 11.09 | 9.09
Matrix | 10.62 | 8.41
Nested Loop | 15.1 | 6.18
Sieve | 6.07 | 4.68
Tak | 7.38 | 4.97
6.3 Ocaml Interpreter

While TinyVM is largely a toy system, Ocaml is a widely used high performance language. It supports numerous architectures including IA-32, which it supports with both an interpreter and a native compiler. The performance of natively compiled Ocaml code often rivals that of C. The numbers above reflect the performance of the threaded version of the Ocaml interpreter (which is faster than the switch dispatch non-threaded version).

The benchmarks are a selection of computationally intensive programs adapted from Doug Bagely's Great Computer Language Shootout with inputs chosen to give running times in the 4 to 40 second range for the native interpreter. We again see large improvements over the natively running interpreter with gains of 20 to 60 percent. While still quite short of the performance of compiled Ocaml, the optimizations do go a long way towards limiting the interpreting overhead.

2 http://www.bagley.org/~doug/shootout/
Chapter 7

DynamoRIO as an IA32 Accelerator for the Itanium Processor

7.1 Motivation for an IA-32 Accelerator for Itanium

The IA-64 ISA is based on the EPIC (Explicitly Parallel Instruction Computing) technology jointly developed by Intel and Hewlett-Packard to address current roadblocks towards fast microprocessor performance. Current state of the art processors achieve high performance not just by executing instructions very fast (using deeply pipelined architectures), but by also finding groups of instructions to execute in parallel over multiple functional units (super scaler processors). Performance is thus limited by the ability of the compiler to expose instruction level parallelism and the processor's ability to recognize it. Performance is also limited by load and delays and branch mis prediction penalties. To address these issues EPIC technology offers a number of techniques not found in the IA-32 architecture including explicit compiler mediated expressions of instruction parallelism to increase instruction level parallelism visible to the processor, a vastly larger register set to avoid false dependencies, general predication of instructions to eliminate branches, and explicit memory load speculation to better hide memory latency[17].
Because the IA-32 architectural register set is very limited in size and lacks a means of general predication, it is difficult for super scaler IA-32 processors to find enough instruction level parallelism to fill their functional units, even with extensive compiler help. Thus, IA-32 processors have embraced fast clock speeds and deep pipelines as ways of improving performance. This poses a problem for Itanium processor as the current state of the art Itanium 2 processor runs at about a third the clock speed of the current state of the art IA-32 Pentium IV processor. This means that for comparable performance the Itanium processor must execute the equivalent of three times as many IA-32 instructions every clock cycle as a Pentium IV.

Instructions in the IA-64 ISA are grouped together into bundles. Each bundle contains three 41 bit instruction slots and a 5 bit template slot. The template informs the chip to which type of functional unit (there are four, integer, memory, branch, and floating point) to dispatch each of the instruction slots to. This is necessary because opcodes are reused between the different functional units. The template also encodes the location of any stops. Stops are how the compiler signals to the processor which instructions can be executed in parallel. Instructions not separated by a stop can be executed in parallel. Up to two full bundles comprising six instructions can be executed by the Itanium every clock cycle, each stop, however represents a break that requires all the following instructions to wait for the next clock cycle.

Though the Itanium has the functional unit resources to execute IA-32 code very fast, it is difficult for it do so. The hardware needed to execute IA-32 code efficiently is not a subset of the hardware needed for IA-64 execution. Efficient IA-32 execution requires large amounts of register renaming and out of order speculation hardware both of which are, by design, not used in IA-64 processors. The inclusion of this extra hardware into Itanium processors increases the die size and complicates on chip routing, both of which increase the cost of the final chip. Because of the huge differences in basic design between IA-32 and IA-64 instructions sets it's very difficult to achieve high performance in both with a single chip hardware based solution. Thus Itanium's hardware IA-32 solution is likely never to give performance competitive with pure IA-32 processors.

IA-64 processors need to execute many instructions at a time to achieve high
speed. This is difficult with an IA-32 binary since IA-32 code tends to be heavily serialized due to the very limited number of registers which leads to heavy register reuse and frequent spilling to stack memory. This is where dynamic optimization and translation can help. Runtime profiling can ensure that only frequently executed sections of the code are translated and allow the translations to be specific to the most common control flow paths. Unfortunately, efficient software emulation of the IA-32 ISA is notoriously difficult due to the large number of complex instructions and complex machine state model. Here matters are helped by the Itanium's hardware support of IA-32 and support for mixed mode execution. With this, a dynamic optimizer such as DynamoRIO, which naturally gathers a degree of profiling data as part of the tracing algorithm used amortize its own overhead, can focus its efforts on translating only the most frequently executed instructions, leaving complex, hard to translate, and infrequently executed instructions to the hardware. Since only unusual, hard to handle and presumably rare instructions and situations are left for the hardware to handle, it's acceptable if its IA-32 support is slow and relatively inefficient. This should allow for a solution that takes up much less die space, reduces complexity, and gives better performance. Since the hardware translation is restricted in terms of available time, resources, and visibility, software translation of the most frequently executed regions has the potential to greatly increase execution speed by taking advantage of features found in the IA-64 ISA but not the IA-32 ISA such as predication, large register sets, and speculative loads. While binary translation could be done statically, the profile information will not be available, or given profiling runs will be of much lesser quality. Since accurate profiling information is necessary to make full use of the predication and static scheduling found in the IA-64 ISA, this argues for doing the translations dynamically at runtime.

### 7.2 Implementation of the IA-32 Accelerator

The Itanium processor has direct support for transitioning between IA-32 execution and IA-64 execution modes through the jmpe extension to the IA-32 ISA and the br.ia instruction in the IA-64 ISA. When an application transitions from IA-32 mode into
IA-64 mode, the IA-32 machine state remains visible and modifiable in a subset of the IA-64 state. For example, IA-32 register eax becomes IA-64 register 8. Experimentally, the cost of switching modes is on the order of about 240 cycles for a round trip on an Itanium 1 processor. The operating system (if running in IA-64 mode) can choose whether or not to allow an application to switch between IA-32 and IA-64 through a flag in the processor status register. Attempting to transition between the two instruction sets when the flag is set cause an execution. Support for both instruction sets is needed in a dynamic partial translation system such as described in this thesis.

Since DynamoRIO already supported all of the IA-32 ISA only the jmpe extensions needed to be added for Itanium support. The jmpe instruction comes in two forms: register indirect and absolute. Both forms put a return address in the first general purpose register and switch into IA-64 execution mode starting at the instruction bundle targeted by the jmpe. The indirect form was a fairly straightforward extension to system, but the jmpe absolute form was slightly more difficult since it uses a unique addressing. Unlike most call, jmp, and branch instructions it uses and absolute address instead of program counter relative offset, yet at the same time it doesn’t use a segment register as its base unlike far pointer calls and jmps. No other IA-32 instructions have the same addressing mode. For the IA-64 side and emitting library, sans an instruction representation, was obtained from Intel for use in generating IA-64 instructions and modified for use from within DynamoRIO.

The IA-32 accelerator for Itanium processors described below translates all traces into Itanium native IA-64 instructions. Thus it prevents DynamoRIO from tracing through a location it will be unable to translate or from starting a trace on a block that will be difficult to translate because of incoming eflags dependencies. When given a trace to translate, the IA-32 accelerator generates two traces: one in IA-64 and one in IA-32. The IA-64 trace does all the computation in the trace and is emitted to a separate buffer. The IA-32 trace is really a stripped down stub consisting of a jmpe instruction to the IA-64 trace and a series of jump instructions (one for each exit of the trace) that are target exits in the IA-64 trace that aren’t linked (figure 7-1). This allows the leveraging of DynamoRIO’s linking and cache management routines.
Figure 7.1: Sample trace translated into IA-64. Note that EAX = r8, EBX = r11, ECX = r9, and EDX = r10. Also note that the jmp instructions in the IA-32 section of the translated trace are 5 bytes long, hence the 5 byte increments of r1 to advance to the next exit.

When an IA-64 trace is reached through the jmpe instruction in its corresponding IA-32 trace it gets, as a sideffect of the jmpe instruction, a pointer the instruction immediately following the jmpe placed in register 1. This register is used by
all of the IA-64 traces to signal where to go when they exit a trace. At every exit point in
the trace there is a move of register 1 into a branch register and a potential br.ia of that
register back into the IA-32 trace. Register 1 is kept updated by incrementing it by 5 at
each trace exit point, keeping a one to one correspondence between exits of the IA-64
trace and the series of jmps exiting the IA-32 trace. Thus at every exit point from the
IA-64 trace it is incremented by 5 so as to keep correspondence with the corresponding
trace exits in the IA-32 trace. This allows existing DynamoRIO infrastructure to take care
of all of the linking, unlinking and cache management issues. If a branch is linked to
another trace then the corresponding br.ia branch of the itanium is overwritten with a jmp
to the entry point of the other Itanium trace, and similarly for unlinking. Each Itanium
trace has a prefix for being linked to by other Itanium traces. This prefix just sets register
1 to the correct value for the corresponding IA-32 trace. A direct link can, however, just
adjust register appropriately if taking the exit, though this not supported currently except
in the case of exits that target their owning trace.

Since switching into and out of IA-64 mode is expensive, it is desirable to do
so as little as possible. Linking direct exits of IA-64 traces to other IA-64 traces helps a
lot but still leaves the problem of indirect jumps which under the system described so far
always mean a br.ia back to IA-32 code for the lookup of the target and then a jmpe back
into IA-64 mode assuming the target is found and is a trace. To avoid this mode switching
overhead a small IA-64 routine was written to walk the indirect branch hash table and
jump directly to the Itanium target trace if it exists.

The IA-32 eflags register requires special attention during translation. The
eflags register contains the status flags used to resolve conditional jumps, and
unfortunately, most IA-32 instructions affect the eflags register in some way. While the
eflags from the IA-32 execution are accessible in IA-64 mode on the Itanium through an
application register, actually propagating each instruction's effects on it would incur an
enormous overhead. Fortunately, programs almost always only care about flags set by
compare or test instructions, and even then only with regards to the very next conditional
jump instruction. In order to simplify eflags analysis, traces are only allowed to use the
eflags this way. This is enforced by looking up the targets of direct trace exits and making
IA-32 Accelerator Performance on Itanium

![Graph showing performance comparison]

Figure 7-2: Performance of the IA-32 accelerator on simple computationally limited sample programs. The programs were compiled for IA-32 and run on a 733mhz Itanium processor running 64 bit linux. Timing was measure with the time command. Execution time is normalized to the execution time of the native IA-32 binary on Itanium. Source code can be found in appendix 2.

Sure they all write the eflags register before reading it. This is done at trace generation so that a trace can be stopped before an offending exit is added. With indirect jumps (such as returns), however, no such guarantee about eflags usage can be made; there are compilers that pass information in the eflags register through indirect jumps (even returns). GCC on Linux, however, doesn't display this kind of behavior allowing the issue to be avoided for now. Future plans for the IA32-Accelerator include the ability to replay the instruction that set the eflags register when back in IA-32 mode to update the eflags state. This can be accomplished by using some of the many extra registers in IA-64 to hold copies of the value of the source operands of the instruction that last wrote the eflags. Then before an exit they are stored in memory, after the switch to IA-mode several registers are spilled and the stored values are loaded. At this point the a version of the eflags setting instruction that uses the stored sources and avoids any memory side effects is replayed. Now that the eflags register holds the correct value, the spilled registers are restored and things can continue normally.
7.3 Performance of IA-32 Accelerator

As a proof of concept the IA-32 accelerator was run on some small computationally limited sample programs (figure 7-2) with a simple translator. In these situations it showed substantial improvements over the base system with just a proof of concept implementation. The proof of concept implementation uses just a simple straightforward in order translation with no scheduling or scratch register reuse. Early work on translating more complicated programs, and performing a more optimal translations is very promising. Since the Itanium executes instructions in order it is highly dependent on the compiler to generate a good schedule, one would expect, therefore, that the addition of a good scheduler and optimizations to make use of the hardware resources the Itanium provides more effectively could give even larger performance improvements. Given that even a simple translation has the potential to improve performance, it is not surprising that Intel has just recently announced in a press release that, starting late fall 2003, they will release some sort of software runtime translation system for IA-32 applications running on Itanium II processors that will be dramatically faster than the current hardware based solution though details haven't yet been forthcoming.

7.4 Future Considerations

While the results above demonstrate the possibilities of this approach, more work is needed to broaden the class of translatable instructions and for optimizing the translated instructions. IA-64 is a notoriously hard architecture to target efficiently and performance improvement on more realistic programs will require a more effective scheduler (schooling instructions on the Itanium is a very difficult task) and the addition of some Itanium optimizations. One such optimization will be to inline small conditional blocks into traces through the use of predicated instructions. Since predicated instructions still take up issue slots we can use profile information collected by dynamo to only inline the small conditional blocks that are executed often enough to make it worthwhile. Since IA-32 is a register starved architecture we can also make use of the extra registers in IA-64 to hold stack values, memory values, and DynamoRIO state that would otherwise be
shuffled in and out of memory. This sort of memory promotion can be achieved by co-opting the speculative load hardware (ALAT) to detect write aliasing to these memory locations at almost no extra cost [16] though any improvement is likely to be small since the ALAT doesn't check for read aliasing which limits the applicability of this approach. Also the most simple addition that would enhance the performance of this system is a good scheduler.
Chapter 8

Conclusion

In conclusion, we have presented some evidence to support that it is both possible and practical to improve the performance of the execution of non-native languages through the use of a runtime optimization system. We have shown that, with the help of some optimization, interpreters can, with minimal source level annotations, be dramatically sped up under the DynamoRIO runtime system. Since the DynamoRIO infrastructure and optimization passes can be reused from one language to another, this work demonstrates that with very little work additional performance can be squeezed out of interpreters by a common runtime optimizations system. Thus avoiding the huge amount of minimally reusable effort that goes into writing a compiler or JIT for a particular language. We have also shown that speed improvements are possible when running IA-32 binaries on the Itanium family of processors under a dynamic optimization and translation system. A proof of concept demonstration hints that dynamic translation and optimization of frequently executed traces under DynamoRIO holds great promise for increasing IA-32 binary performance on the Itanium family of processors. Thus, dynamic translation and optimization hold hope for improving the current poor performance of Itanium processors on IA-32 binaries which is one of the key features limiting their wider adoption.
Appendix 1
Annotation of a simple interpreters – excerpt from TinyVM interpreter
DynamoRIO annotations are in italics, ... signals removed code.

```c
int main (int argc, char *argv[]) {

  dynamorio_app_init();
  printf("starting dynamo\n");
  dynamorio_app_start(); /*gives control of the program to DynamoRIO*/

  /* Optimization Annotations */
  dynamorio_set_region Immutable(instrs,
  ((int) instrs + num_instrs * sizeof(ByteCode) - 1));

  eval()

  printf("ending dynamo\n");
  dynamorio_app_stop();
  dynamorio_app_exit();
}

value eval () {
  int pc = 0, op; /* instruction num and opcode */

  loop:
    op = instrs[pc].op;
    switch (op) {
      case CALLOP: /* call bytecode */
        pc = arg;
        dynamorio_set_logical_pc(pc);
        dynamorio_logical_direct_jump();
        /* Optimization Annotations */
        dynamorio_set_trace_constant_stack_address(&pc, pc);
        dynamorio_unaliased_stack_address(op);
        goto loop;
      case RETOP: /* return bytecode */
        pc = pop_raw();
        dynamorio_set_logical_pc(pc);
        dynamorio_logical_indirect_jump();
        /* Optimization Annotations */
        dynamorio_set_trace_constant_stack_address(&pc, pc);
        dynamorio_unaliased_stack_address(op);
        goto loop;
      case DUPEOP: /* interpreted program non-control flow statement */
        pc++;
        goto loop;
    }
}
```
Appendix 2

Source Code of IA-32 Accelerator Tests

While these sample programs are simple and could be highly optimized, it should be noted that for the purposes of demonstrating the potential of the IA-32 accelerator, they were compiled without optimizations and no optimizations were performed during the translation into IA-64. The translated traces do 100% of the work the untranslated traces did even if the work from a program semantics point of view is unnecessary. No simplification was performed to combine, reorder, or otherwise improve trace performance, other than the translation into IA-64. Each IA-32 instruction maps directly to one or more IA-64 bundles that perform the same function.

Simple Loop Test – tests basic looping

```c
#include <stdio.h>

int main(int argc, char *argv[]) {
    int foo;
    int bar 500000000;
    for (foo = 0; foo < bar; foo++) foo += 5;
    for (foo = 0; foo < bar; foo++) foo += 5;
    for (foo = 0; foo < bar; foo++) foo += 2;
    printf("foo : %d\n", foo);
    return 0;
}
```

Big Loop Test – more involved loop test

```c
#include <stdio.h>

int main(int argc, char *argv[]) {
    int arr[10];
    int foo;
    int bar = 500000000;
    int car = -1;
    for (foo = 0; foo < bar; foo++) {
    car += car;
    foo += car;
    car ++;
    foo += 5;
```
arr[car+4] = foo;

} for (foo = 0; foo < bar; foo++) {
    car += car;
    arr[car+4] = foo;
    foo += 5;
    foo += car;
    car ++;

} for (foo = 0; foo < bar; foo++) {
    foo += 2;
    car += car;
    car++;
    arr[car+4] = foo;
    foo += car;

} for (foo = 0; foo < bar; foo++) {
    arr[car+4] = foo;
    car += car;
    foo += 2;
    car++;
    foo += car;

} printf("foo : %d car %d\n", foo, car);
return 0;
}
Bibliography


[16] Lin, J., Chen, T., Hsu, W., Yew, P. Speculative Register Promotion Using Advanced Load Address Table (ALAT). University of Minnesota CGO 2003.


