# Binding theory

## 1 Summary

### 1.1 Binding

X binds Y iff

- $\bullet$  X c-commands Y and
- X is coindexed with Y

#### 1.2 Binding principles

• Principle A

Anaphors (reflexive pronouns and reciprocals) must be bound in their local domain (governing category)

• Principle B

Pronouns must be free (i.e., undbound) in their local domain (governing category)

Principle C

R-expressions must be free (i.e. unbound) everywhere

#### 1.3 Questions

- Why is the following sentence ungrammatical?
  - \*Herself<sub>i</sub> likes Hortense<sub>i</sub>
- What is the local domain in the sentences below?
  - Hortense<sub>i</sub> likes herself<sub>i</sub>
  - \*Hortense<sub>i</sub> likes her<sub>i</sub>
  - \*Herb<sub>i</sub> thinks that Hortense likes himself<sub>i</sub>
  - $\operatorname{Herb}_i$  thinks that  $\operatorname{Hortense}$  likes  $\lim_i$
  - Hortense<sub>i</sub> likes herself<sub>i</sub>'s pet porcupine
  - Hortense<sub>i</sub> likes her<sub>i</sub> pet porcupine

## 2 Some non-English like phenomena

### 2.1 Anaphors with non-local antecedent (Mandarin Chinese)

- (1) \*Herb<sub>i</sub> thinks [Hodge<sub>j</sub> knows [that Hank<sub>k</sub> likes himself<sub>\*i/\*j/k</sub> ]]]
- (2) Zhangsan<sub>i</sub> renwei[Lisi<sub>j</sub> zhidao[Wangwu<sub>k</sub> xihuan ziji<sub>i/j/k</sub> ]] Z thinks L knows W like self
  - 'Zhangsan thinks Lisi knows that Wangwu likes himself'

## 2.2 Anaphors with local subject antecedent (Finnish)

- (3) Pekka $_i$ näki että Matti $_j$ katsoi itseään $_{*i/j}$ 
  - P saw that M watched self
  - 'Pekka saw that Matti watched himself'
- (4) \*Puhuin Pekalle $_i$  itseään $_i$

spoke-I Pekka-to self

'I spoke to Pekka about himself'

## 2.3 Pronouns with non-subject antecedent (Yoruba)

(5) Ségun<sub>i</sub> so pé Túndé<sub>j</sub> rò pé  $\delta_{*i/*j/k}$  sanra

S said that T think that he fat

'Segun said that Tunde thought that he was fat'

## 2.4 Logophoric pronouns (Ewe)

Logophoric pronouns corefer with a semantically determined element. For example,  $y\dot{e}$  in Ewe refers to the 'source' of the embedded clause:

(6) Kofi<sub>i</sub> be  $y\acute{e}_{i/*j}$  -dzo

K say LOG left

'Kofi said that he left'

(7) Kofi<sub>i</sub> be  $e_{*i/j}$  -dzo

K say he left

'Kofi said that he left'

### 2.5 Different local domains

The local domain for anaphor and pronoun binding can differ across languages. The local domain for Marathi and Norwegian, for example, is different from that of English. (How?)

angry

#### 2.5.1 Marathi

(8) \*Tom $_i$  mhanat hota ki Sue ni swataahlaa $_i$  maarle

T said . that S erg self

'Tom said that Sue hit himself'

(9) Jane<sub>i</sub> laa Tom ne swataaci<sub>i</sub> pustake phekun dilyaace kalle

Jane dat Tom erg self books throw . learned

'Jane learned about Tom throwing away (her)self's books'

(10) Jane<sub>i</sub> John ne swataahlaa<sub>i</sub> maarlyaavar rusun

Jane John erg self hitting

'Jane remained angry upon John hitting (her)self'

### 2.5.2 Norwegian

- (11) \*Jon<sub>i</sub> var ikke klar over at vi hadde snakket om  $seg_{i/j}$ J was not aware of that we had talked about self 'Jon was not aware that we had talked about himself'
- (12)  $Jon_i$  likte din artikkel om  $seg_i$  J liked your article about self 'John liked your article about himself'

(The data are mostly from Daniel Büring's book *The Syntax and Semantics of Binding Theory*, to be published by the Cambridge University Press)