Modeling of Particle and Energy Transport in the Edge Plasma of Alcator C-Mod

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Modeling of particle and energy transport in the edge plasma of Alcator C-Mod.

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In the present study recycling and transport in the edge plasma of Alcator C-Mod [I.H. Hutchinson et al., Phys. Plasmas 1, 1511 (1994)] is modeled and analyzed with the multi-fluid code UEDGE [T.D. Rognlien et al., J. Nucl. Mat., 196, (1992)]. Matching the experimental plasma density profiles in the scrape-off layer (SOL) requires a spatially dependent effective anomalous diffusion coefficient \( D_\perp \) growing rapidly towards the wall. The mid-plane pressure of neutral gas, \( P_{\text{mid}} \), is a key parameter that reflects the magnitude of anomalous transport of plasma from the core. Recycling of plasma on the main chamber wall appears to be quite significant, especially in the case of high \( P_{\text{mid}} \sim 0.3 \) mTorr when the main wall provides \( \sim 70\% \) of recycling neutrals in the main chamber. In the upper SOL (well above the x-point) draining of particles by the poloidal flow is weak and thus the particle balance is predominantly radial. For the radial heat transport it is found that energy flux carried by radial plasma convection and by charge-exchange (CX) neutrals is quite significant in SOL. In the high \( P_{\text{mid}} \) case, heat conduction by CX neutrals along with radial heat convection by plasma carries most of the power flux (\( \sim 75\% \)) across the last closed flux surface. In the low \( P_{\text{mid}} \) case, heat conduction by CX neutrals dominates the radial heat flux far out in the SOL.

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I. Introduction

The physics of plasma recycling and core fueling in the tokamak is of primary importance for the present and next generation fusion devices. However, the present level of understanding of these processes is still insufficient. In the traditionally accepted picture of particle transport in the edge plasma in a divertor tokamak, all ions flowing out of the core are assumed to be brought to the divertor by the parallel flow in the scrape-off layer (SOL). However, it has been recently recognized that the processes of plasma recycling and core fueling in the Alcator C-Mod tokamak look very different than in the traditional picture. As shown in our earlier paper the spectroscopic data indicates that in the main chamber of Alcator C-Mod there exists a significant plasma source (up to $10^{23}$ s$^{-1}$) due to ionization of neutrals. Mach probe data indicates that the parallel plasma flow in the SOL of Alcator C-Mod is by far too weak to channel such a large flow of ions into the divertor. Thus in Alcator C-Mod plasmas a large fraction of ions flowing out of the core recycle in the main chamber rather than in the divertor. To investigate further particle and energy transport in the edge plasma of Alcator C-Mod, the present work provides numerical modeling of C-Mod with the multi-fluid code UEDGE.

II. Modeling of radial profiles of plasma density and temperature in scrape-off layer

The present study is focused primarily on the transport behavior of the scrape-off layer (SOL). Consequently, the modeling is done in a simplified curvilinear orthogonal geometry convenient for studying the SOL. A locally orthogonal computational mesh covers a domain representing the edge plasma of C-Mod (see Fig. 1). Using UEDGE, we numerically solve the steady-state Braginskii fluid equations for ions and electrons and the Navier-Stokes equation for the neutrals. The drift terms and the electric field are neglected for computational simplicity.

The external boundary of the computational domain is modeled as a fully recycling material wall. This implies coupling of radial flux density for ions and neutrals at the wall, and also coupling of the radial heat flux at the wall with local plasma and neutral densities and temperatures through the heat transmission coefficients. This sets the boundary conditions for the plasma,
neutral density, and energy equations at the wall.

At the inner boundary of the computational domain (the core interface) the input power and plasma density are specified providing the boundary conditions for the plasma energy and density equations. The boundary condition for the neutral density equation at the core interface is provided by setting neutral particle flux density to zero. Note that this set of boundary conditions automatically provides zero plasma flux through the core interface in a steady state. The latter is physically justified for Alcator C-Mod where a steady state is achieved without fueling of the core by pellets or a neutral beam.

In the present modeling it is found that it is impossible to match the experimental radial profile of plasma density in the SOL using a spatially uniform anomalous plasma diffusion coefficient $D_\perp$. As an illustrative case, we solve for 2-D plasma profiles using UEDGE with various values of spatially constant anomalous plasma diffusion coefficient $D_\perp$. In all cases, the cross-field plasma density profile in SOL appears to have the wrong curvature for various values of $D_\perp$ as shown in Fig. 2. The main reason for this is a large ionization source in SOL due to high neutral density there. Matching the plasma density profiles in the SOL turns out to be possible by using a plasma diffusion coefficient which grows radially towards the wall.

In the present work two C-Mod discharges were modeled: shot 950607021 with core plasma density $\bar{n}_e \approx 2.4 \times 10^{20} m^{-3}$ and relatively high mid-plane gas pressure, $P_{\text{mid}} \approx 0.3$ mTorr, and shot 960208031 with core plasma density $\bar{n}_e \approx 1.2 \times 10^{20} m^{-3}$ and low $P_{\text{mid}} \approx 0.025$ mTorr. Both shots are Ohmic L-modes with parameters typical for C-Mod operations: plasma current $I_p \approx 0.8$ MA and the power flow across the separatrix into the SOL $P_{\text{sol}} \approx 0.7$ MW. For both shots the SOL profiles of plasma density and temperature look quite typical for C-Mod.

In the modeling the input power $P_{\text{sol}}$ was set close (within 25%) to the experimentally inferred value. The anomalous plasma diffusion coefficient $D_\perp(\rho)$ as a function of the flux surface and the anomalous plasma heat diffusivity $\chi_\perp (\chi_{\perp i} = \chi_{\perp e} = \chi_\perp)$ for plasma were adjusted to match profiles of the electron density and temperature in SOL to that measured by a fast-scanning probe (FSP).\(^{10}\)

A spatially constant plasma heat diffusivity $\chi_\perp$ sufficed to achieve a reasonably good match with the experimental electron temperature profiles. The value of $\chi_\perp$ is 0.1 $m^2/s$ for the high $P_{\text{mid}}$ case and 0.5 $m^2/s$ for the low $P_{\text{mid}}$
case.

For both shots the mid-plane gas pressure was matched within 25%. The mid-plane pressure $P_{mid}$ in these calculations was inferred from the neutral temperature and density at the wall assuming the kinetic flux balance between the molecules in the pressure gauge and the atoms near the wall. For both modeled discharges the chord-integrated $D_{α}$ brightness for a horizontal view through the mid-plane of the plasma turned out to be smaller than the experimental value by a factor of about 3. We have not, as yet, been able to determine the primary cause of this discrepancy. It may be due to utilization of a simple fluid model for the neutrals instead of a kinetic treatment which would be more appropriate here since the mean free path for the neutrals is comparable to the width of the SOL. Also it is possible that reflections of light from the walls of the vessel amplify to some extent the $D_{α}$ brightness. Another possible reason is that the way of interpreting the pressure gauge data using kinetic balance arguments may be not quite accurate due to the complicated geometry of the gauge.

The results of matching of experimental plasma density and temperature profiles are shown in Fig. 3 and Fig. 4. A good match with the experimental SOL density profiles could be achieved also by using a spatially uniform $D_1$ combined with a spatially uniform radial inward pinch, or by using a radially growing outward radial "anti-pinch".

### III. Particle flux balance and core fueling

<table>
<thead>
<tr>
<th>Part of SOL</th>
<th>$Γ_{wall}$ [s$^{-1}$]</th>
<th>$Γ_{sepz}$ [s$^{-1}$]</th>
<th>$Γ_{bot}$ [s$^{-1}$]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ion neutral</td>
<td>ion neutral</td>
<td>ion neutral</td>
</tr>
<tr>
<td>Main</td>
<td>$7.9 \times 10^{20}$</td>
<td>$-7.9 \times 10^{20}$</td>
<td>$6.9 \times 10^{20}$</td>
</tr>
<tr>
<td>X-pt</td>
<td>$6.9 \times 10^{19}$</td>
<td>$-6.9 \times 10^{19}$</td>
<td>$1.9 \times 10^{20}$</td>
</tr>
<tr>
<td>Whole</td>
<td>$8.6 \times 10^{20}$</td>
<td>$-8.6 \times 10^{20}$</td>
<td>$8.7 \times 10^{20}$</td>
</tr>
</tbody>
</table>

Table 1: Particle flux balance in the low $P_{mid}$ case

To analyze transport of particles in the edge plasma it is convenient to consider separately the "main SOL" domain and the "X-point SOL" domain which are defined as shown in Fig. 5. The main SOL is the part of the SOL above the level of the scanning probe which makes this definition convenient for benchmarking of the modeling against the probe data. The X-point SOL
is the lower part of the SOL. The "whole SOL" domain is the union of the main SOL and the X-point SOL. The lower poloidal edge of each domain is defined as its "bottom". For the main SOL the bottom is the boundary between the main SOL and the X-point SOL. For both the X-point SOL and the whole SOL, the bottom is the boundary between the main chamber and the divertor chamber. For each of the three domains (main SOL, X-point SOL and the whole SOL) we consider poloidal particle fluxes through the bottom, $F_{\text{bot}}$, and radial particle fluxes through the outer wall boundary, $F_{\text{wall}}$, and through the separatrix, $F_{\text{sepx}}$. Approximate values of these fluxes for ions and neutrals are presented in Table 1 and Table 2 for the low $P_{\text{mid}}$ and the high $P_{\text{mid}}$ cases respectively. Positive sign is assumed for $F_{\text{wall}}$ directed towards the outer wall, for $F_{\text{sepx}}$ directed out of the core and for $F_{\text{bot}}$ directed towards the divertor.

First, analyzing particle balance for the whole SOL domain using Tables 1 and 2, one can see that in both cases the total flux of ions escaping to the wall and into the divertor, $F_{\text{wall}} + F_{\text{bot}}$, is by far larger than the ion flux entering the SOL from the core, $F_{\text{sepx}}$. This means that neutral fueling of the SOL is much larger than neutral fueling of the core. For the ionization source above the X-point level the fraction of it which is located inside the last closed flux surface (LCFS) is given by the ratio $F_{\text{sepx}}/(F_{\text{wall}} + F_{\text{bot}})$ which is about 0.2 for both the high $P_{\text{mid}}$ and the low $P_{\text{mid}}$ cases.

Next, compare the magnitudes of $F_{\text{wall}}$ and $F_{\text{bot}}$ for the whole SOL. In the low $P_{\text{mid}}$ case $F_{\text{bot}}$ is by a factor of $\sim 3$ larger than $F_{\text{wall}}$ which means that the ionization source in the main chamber is primarily balanced by the poloidal ion flux into the divertor. However, in the high $P_{\text{mid}}$ case $F_{\text{wall}}$ is by a factor of $\sim 2$ greater than $F_{\text{bot}}$ and thus recycling of plasma on the main wall becomes an important player in the particle balance. Note that in some C-Mod discharges the magnitude of $P_{\text{mid}}$ can reach values as high as a few mTorr. It seems likely that for such cases of extremely high $P_{\text{mid}}$

### Table 2: Particle flux balance in the high $P_{\text{mid}}$ case

<table>
<thead>
<tr>
<th>Part of SOL</th>
<th>$\Gamma_{\text{wall}}[s^{-1}]$</th>
<th>$\Gamma_{\text{sepx}}[s^{-1}]$</th>
<th>$\Gamma_{\text{bot}}[s^{-1}]$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ion neutral</td>
<td>ion neutral</td>
<td>ion neutral</td>
</tr>
<tr>
<td>Main</td>
<td>$2.7 \times 10^{22}$</td>
<td>$-2.7 \times 10^{22}$</td>
<td>$1.1 \times 10^{22}$</td>
</tr>
<tr>
<td></td>
<td>$-5.4 \times 10^{21}$</td>
<td>$6.3 \times 10^{21}$</td>
<td>$-1.0 \times 10^{21}$</td>
</tr>
<tr>
<td>X-pt</td>
<td>$1.6 \times 10^{22}$</td>
<td>$-1.6 \times 10^{22}$</td>
<td>$2.9 \times 10^{21}$</td>
</tr>
<tr>
<td></td>
<td>$-8.2 \times 10^{21}$</td>
<td>$1.9 \times 10^{22}$</td>
<td>$-1.9 \times 10^{22}$</td>
</tr>
<tr>
<td>Whole</td>
<td>$4.2 \times 10^{22}$</td>
<td>$-4.2 \times 10^{22}$</td>
<td>$1.4 \times 10^{22}$</td>
</tr>
<tr>
<td></td>
<td>$-1.4 \times 10^{22}$</td>
<td>$1.9 \times 10^{22}$</td>
<td>$-1.9 \times 10^{22}$</td>
</tr>
</tbody>
</table>
of plasma on the main wall completely dominates the particle balance in the SOL.

Examining the particle fluxes in Tables 1 and 2 for the main SOL one can see that in both high $P_{\text{mid}}$ and low $P_{\text{mid}}$ cases the ion and neutral particle fluxes through the bottom of the main SOL cannot balance particle fluxes flowing in the radial direction. A large ion flux to the outer wall is sustained by a significant ionization source in the main SOL. Thus in the main SOL the particle balance is predominantly radial even in the low $P_{\text{mid}}$ case.

The poloidal ion flux through the divertor throat, $\Gamma_{\text{bot}}$ for the whole SOL, is much larger than $\Gamma_{\text{bot}}$ for the main SOL. This is because of a large ionization source in the X-point SOL domain. As it can be seen from Table 2 in the high $P_{\text{mid}}$ case, the lower part of the main wall takes a large fraction of the wall particle flux.

It is important that the parallel flow appears to be a secondary effect for the particle balance in the main SOL. The calculated poloidal ion flux through the bottom of the main SOL can be compared with the experiment since both plasma density and parallel velocity data are available from the Mach probe. Typically, the parallel velocity of plasma flow in SOL is $1\text{-}10 \text{ km/s}$ in C-Mod according to the probe. In the present modeling it is found that the parallel velocity near the probe’s location in SOL has the right order of magnitude. Since we are matching quite accurately the density profiles in the SOL the calculated poloidal ion flux at the bottom of the main SOL should also have the right order of magnitude.

The poloidal distribution of particle flux density across LCFS is shown in Fig. 6. The radial particle flux density at the separatrix scaled by a factor $2\pi R$ (evaluated locally) is plotted as a function of the poloidal distance along separatrix. The x-coordinate starts from the X-point and follows clockwise along the separatrix coming back to the X-point. One can see in Fig. 6 that the contribution to the radial ion flux is larger at the outer side. This is mainly because at the outer side the compression of magnetic surfaces is larger. Fig. 6 and Tables 1 and 2 demonstrate that the core plasma is fueled to a large extent by neutrals penetrating through the lower part of the LCFS.

In the modeling for the low $P_{\text{mid}}$ case we find that poloidal plasma flow at the separatrix is directed out of the divertor in both the inner and the outer SOL regions. A reverse flow at the separatrix is often seen in C-Mod experiments in the outer SOL but no data is available for the inner side. One should note that in C-Mod the parallel flow in the SOL and the reverse flow in
particular depends on the direction of the toroidal magnetic field which is apparently related to the plasma drifts. This feature cannot be examined in the present modeling since drifts are not included here.

IV. Radial heat transport

The radial heat flux in the model is given by

\[ q_{tot} = q_{anom} + q_{econv} + q_{ionconv} + q_{cx} \]  

where \( q_{anom} \) is the flux due to the anomalous radial heat conduction by plasma, \( q_{econv} \) is the radial heat flux convected by electrons, \( q_{ionconv} \) is the radial heat flux convected by ions and neutrals and \( q_{cx} \) is the heat flux conducted by the neutrals.

The anomalous heat flux conducted by the plasma is

\[ q_{anom} = -n_e \chi \nabla T_e - n_i \chi \nabla T_i \]  

where \( n_e \) and \( n_i \) are densities of electrons and ions, \( T_e \) and \( T_i \) are the temperatures, \( \chi \) is the anomalous heat diffusivity.

The heat flux conducted by neutrals is given by

\[ q_{cx} = -\kappa_{cx} \nabla T_i \]  

where \( \kappa_{cx} \) is the heat conductivity associated with the presence of neutrals in plasma (mainly due to the charge-exchange processes)

\[ \kappa_{cx} \approx n_n \lambda_{cx}^2 \nu_{cx} \approx \frac{n_n}{n_i \sigma_{cx}} V_{tn} \]  

where \( V_{tn} \) is the neutral thermal velocity, \( n_n \) the neutral density, \( \lambda_{cx} \) is the mean free path of charge-exchange, \( \nu_{cx} \) is the frequency of charge-exchange.

In an attempt to make a correction for kinetic effects it is possible to use a flux-limiting factor for \( \kappa_{cx} \)

\[ \kappa_{cx}^{fl} = \frac{\kappa_{cx}}{1 + |C \lambda_{cx}|} \]  

where \( \lambda_{T} \) is a characteristic scale of radial temperature variation and \( C \) is a constant of the order of unity. In comparing calculations done with and
without such a flux-limiting factor, we found that all results are quite similar. All plots and numbers presented in this report are taken from code runs where no flux-limiting factor was used.

The radial heat flux convected by electrons is

\[ q_{\text{env}} = \frac{5}{2} T_e j_{rp} \]  

and the radial heat flux convected by ions and neutrals is

\[ q_{\text{incnv}} = \frac{5}{2} (T_i j_{rp} + T_n j_{rn}) \]

where \( T_n \) is the neutral temperature, \( j_{rp} \) is the radial plasma flux density, \( j_{rn} \) is the radial neutral flux density. In some cases \( q_{\text{incnv}} \) may become negative due to slight imbalance between ion and neutral radial particle fluxes at a particular flux surface.

In Fig. 7 the total radial power flux across SOL and all its components (integrated over the flux surface above the x-point level) are plotted against the radial coordinate \( \rho \), the distance from separatrix at mid-plane. One can see that in the high \( P_{\text{mid}} \) case convection of heat by plasma and heat conduction by CX neutrals dominate heat transport across the whole SOL.

In the high \( P_{\text{mid}} \) case these two power channels account for \( \sim 75\% \) of \( P_{\text{Sol}} \), the total power coming from the core.

In the low \( P_{\text{mid}} \) case convection of heat is small while heat conduction by CX neutrals dominates the radial heat flux starting from \( \rho \approx 3 \) mm.

In the low \( P_{\text{mid}} \) case the neutral density near the wall is by a factor of \( \sim 10 \) smaller than in the high \( P_{\text{mid}} \) case. However the effect of heat conduction by CX neutrals is still quite significant in the low \( P_{\text{mid}} \) case since the plasma density in SOL is roughly proportional to \( P_{\text{mid}} \) which makes \( \kappa_{\text{ex}} \) vary only slightly.

V. Discussion

Two features of the anomalous particle transport represented by an effective diffusion coefficient \( D_\perp \) are evident from the present modeling. First, the profiles of \( D_\perp \) are very similar in both the low \( P_{\text{mid}} \) and the high \( P_{\text{mid}} \) cases with the effective \( D_\perp \) growing rapidly across SOL. This suggests that a mechanism different from diffusion governs the anomalous particle transport
in SOL.\textsuperscript{4} Second, the magnitude of $D_\perp$ is much larger in the high $P_{\text{mid}}$ case. The data presented in Fig. 8 shows that the mid-plane pressure $P_{\text{mid}}$ grows roughly as the cubic power of the core plasma density $\bar{n}_e$ in L-modes. Thus the plasma transport from the core also grows quite rapidly with the core density. This implies existence of a core density limit above which plasma in L-mode cannot be sustained in this machine because of overwhelming heat losses.

The data presented in Fig. 8 shows that the mid-plane gas pressure $P_{\text{mid}}$ scales with the core density quite differently in L-modes than in H-modes. This suggests that $P_{\text{mid}}$ is related to intrinsic properties of the plasma and in particular the anomalous particle transport.

It is not clear yet whether the picture of particle transport and core fueling in other tokamaks is similar to what we find here for C-Mod. Note that C-Mod occupies a unique position among other tokamaks due to its molybdenum walls, high core density, high magnetic field and compact size. It is possible that the anomalous transport across the LCFS in C-Mod is higher than in other machines.

The result that higher mid-plane gas pressure is linked with higher levels of anomalous transport from the core is consistent with the observation made on C-Mod that $P_{\text{mid}}$ is lower in H-modes.\textsuperscript{9} For high $P_{\text{mid}}$ heat convection and conduction by CX neutrals significantly enhance transport of heat from the core as shown by Fig. 7.

It has been observed that the neutral gas bypass in the Alcator C-Mod divertor has a strong effect on $T_e$ profiles measured across SOL by the fast-scanning probe: with closing of the bypass the $T_e$ profiles became steeper.\textsuperscript{10} This can be a manifestation of the charge-exchange heat conduction in SOL. The bypass is geometrically close to the FSP and closure of the bypass might have lowered the local density of neutrals making the resulting cross-field heat diffusivity smaller.

VI. Conclusions

The present numerical modeling illustrates important features of the edge plasma in Alcator C-Mod. In the regime characterized by low $P_{\text{mid}}$ ($\sim 0.01$ mTorr) neutrals originating in the divertor chamber dominate the neutral population in the main chamber. However, in the regime characterized by high $P_{\text{mid}}$ ($\sim 0.1-1$ mTorr) recycling of plasma on the main chamber wall
provides most of neutrals present in the main chamber. Draining of plasma by the poloidal flow is not significant for the main SOL where the particle balance is mostly radial.

The mid-plane gas pressure $P_{\text{mid}}$ reflects the magnitude of particle transport from the core. In L-modes the mid-plane gas pressure $P_{\text{mid}}$ grows rapidly (approximately cubically) with the core density which indicates that the magnitude of plasma transport from the core grows rapidly with the core density.

In the high $P_{\text{mid}}$ regime heat convection due to the radial plasma flow and heat conduction by CX neutrals dominate the cross-field heat transport across the SOL and carry most of power across LCFS. Even in the low $P_{\text{mid}}$ regime heat conduction by CX neutrals dominates the cross-field heat transport far out ($\rho \gtrsim 3mm$) in SOL.

Acknowledgements

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Computational mesh used in the modeling is shown with the contour of the actual wall of C-Mod in the poloidal plane. The dashed line defines the boundary between the main chamber and the divertor chamber. Divertor current $\Gamma_d$ is integrated between points A and B.

Fig. 1
SOL $n_e$ profiles calculated for constant $D$

![Graph showing plasma density profiles for different anomalous diffusion coefficients.](image)

Calculated radial profiles of plasma density for spatially constant anomalous diffusion coefficient: (a) $D=0.5$ m$^2$/s, (b) $D=0.1$ m$^2$/s, (c) $D=0.02$ m$^2$/s. For all presented cases the anomalous heat diffusivity was set 0.25 m$^2$/s. A typical experimental profile is shown by dashed line (d).

Fig. 2
Radial profiles of $n_e$ and $T_e$ at the outer mid-plane are fitted to the data by using non-uniform effective plasma diffusion coefficient. Anomalous heat diffusivity is uniform ($0.1 \text{ m}^2/\text{s}$).

Fig. 3
Radial profiles of $n_e$ and $T_e$ at the outer mid-plane are fitted to the data by using non-uniform effective plasma diffusion coefficient. Anomalous heat diffusivity is uniform (0.5 m$^2$/s).
The main SOL domain is defined as the part of the SOL lying above the Mach probe location. The main SOL domain has three types of boundaries: outer wall, separatrix and the bottom which is defined as the lower edge of the main SOL domain.
Radial particle flux density across LCFS, $j$, scaled by $2\pi R$, where $R$ is the local major radius, is plotted against the length of the poloidal projection of the separatrix clockwise from x-point to x-point. The end points on the x-axis correspond to the X-point. The vertical dashed lines correspond to the boundaries of the main SOL domain. Outward flux has positive sign.

Figure 6
Radial heat flux in SOL: total (a), anomalous conduction (b), conduction by CX neutrals (c), convection by electrons (d), convection by ions and neutrals (e).

Fig. 7
Experimental scaling of mid-plane gas pressure with core plasma density in C-Mod

Data from about 500 shots are shown. In L-modes the mid-plane gas pressure grows approximately as the core density to the third power which implies that the anomalous transport of plasma from the core grows rapidly with the core density. The dashed line shows the best linear fit to the L-mode data.

Fig. 8