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**Citation:** Monks, Maria. "Endomorphisms of the Shift Dynamical System, Discrete Derivatives, and Applications." *Discrete Mathematics* 309, no. 16 (August 2009): 5196–5205. © 2009 Elsevier B.V.

**As Published:** <http://dx.doi.org/10.1016/j.disc.2009.04.006>

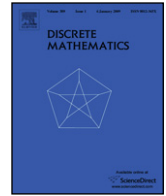
**Publisher:** Elsevier

**Persistent URL:** <http://hdl.handle.net/1721.1/96272>

**Version:** Final published version: final published article, as it appeared in a journal, conference proceedings, or other formally published context

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# Endomorphisms of the shift dynamical system, discrete derivatives, and applications

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## ARTICLE INFO

### Article history:

Received 22 January 2009

Received in revised form 25 March 2009

Accepted 8 April 2009

Available online 2 May 2009

### Keywords:

$3x + 1$  conjecture

Symbolic dynamics

Shift map

## ABSTRACT

All continuous endomorphisms  $f_\infty$  of the shift dynamical system  $S$  on the 2-adic integers  $\mathbb{Z}_2$  are induced by some  $f : \mathcal{B}_n \rightarrow \{0, 1\}$ , where  $n$  is a positive integer,  $\mathcal{B}_n$  is the set of  $n$ -blocks over  $\{0, 1\}$ , and  $f_\infty(x) = y_0y_1y_2\dots$  where for all  $i \in \mathbb{N}$ ,  $y_i = f(x_i x_{i+1} \dots x_{i+n-1})$ . Define  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  to be the endomorphism of  $S$  induced by the map  $\{(00, 0), (01, 1), (10, 1), (11, 0)\}$  and  $V : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  by  $V(x) = -1 - x$ . We prove that  $D$ ,  $V \circ D$ ,  $S$ , and  $V \circ S$  are conjugate to  $S$  and are the only continuous endomorphisms of  $S$  whose parity vector function is solenoidal. We investigate the properties of  $D$  as a dynamical system, and use  $D$  to construct a conjugacy from the  $3x + 1$  function  $T : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  to a parity-neutral dynamical system. We also construct a conjugacy  $R$  from  $D$  to  $T$ . We apply these results to establish that, in order to prove the  $3x + 1$  conjecture, it suffices to show that for any  $m \in \mathbb{Z}^+$ , there exists some  $n \in \mathbb{N}$  such that  $R^{-1}(m)$  has binary representation of the form  $\overline{x_0x_1\dots x_{2^n-1}}$  or  $x_0\overline{x_1x_2\dots x_{2^n}}$ .

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## 1. Introduction

A discrete dynamical system is a function from a set or metric space to itself [5]. Given two dynamical systems  $f : X \rightarrow X$  and  $g : Y \rightarrow Y$ , a function  $h : X \rightarrow Y$  is a **morphism** from  $f$  to  $g$  if  $h \circ f = g \circ h$ . A morphism from a dynamical system to itself is called an **endomorphism**. A bijective morphism is called a **conjugacy**, and a bijective endomorphism is called an **autoconjugacy**. Note that conjugacies on metric spaces are not assumed to be continuous.

Let  $\mathbb{Z}_2$  be the ring of 2-adic integers. Each element of  $\mathbb{Z}_2$  is a formal sum  $\sum_{i=0}^{\infty} 2^i x_i$  where  $x_i \in \{0, 1\}$  for all  $i \in \mathbb{N}$ . The binary representation of  $x = \sum_{i=0}^{\infty} 2^i x_i$  is the infinite sequence of zeroes and ones  $x_0x_1x_2\dots$  (Throughout this paper  $x_{i-1}$  will denote the  $i$ th digit of the binary representation of a 2-adic integer  $x$ .) Note that  $\mathbb{Z} \subseteq \mathbb{Z}_2$ . For example,  $13 = 1 \cdot 2^0 + 0 \cdot 2^1 + 1 \cdot 2^2 + 1 \cdot 2^3$ , so the 2-adic binary representation of 13 is  $1011\overline{0}$ , where the overbar represents repeating digits as in decimal notation. The binary representation of  $-1$  is  $\overline{1}$ , since  $\overline{1} + 1 = 1\overline{1} + 1\overline{0} = \overline{0} = 0$ .

By interpreting  $\mathbb{Z}_2$  as the set of all binary sequences, there is a natural topology on  $\mathbb{Z}_2$ , namely the product topology induced by the discrete topology on  $\{0, 1\}$ . This topology is also induced by the metric  $\delta$  on  $\mathbb{Z}_2$  defined by  $\delta(x, y) = 2^{-k}$  where  $k$  is the smallest natural number such that  $x_k \neq y_k$ .

The shift dynamical system,  $S : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ , is a well-known map, continuous with respect to the 2-adic topology, defined by  $S(x_0x_1x_2\dots) = x_1x_2x_3\dots$ . This map can be extended to the shift map  $\sigma$  on binary bi-infinite sequences  $\dots x_{-2}x_{-1}x_0x_1x_2\dots$  by defining  $\sigma(x) = y$  where  $y_i = x_{i+1}$  for all integers  $i$ .

In [3], Hedlund classified all continuous endomorphisms of the shift dynamical system  $\sigma$  on bi-infinite sequence space  $(\{0, 1\}^{\mathbb{Z}}$  with the product topology). Lind and Marcus [5] also stated this result, referring to the continuous endomorphisms of  $\sigma$  as *sliding block codes*.

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In Section 2, we will show that the continuous endomorphisms of  $S$  on  $\mathbb{Z}_2$  can be classified as follows. For each  $n \in \mathbb{Z}^+$ , let  $\mathcal{B}_n$  be the set of all binary sequences (or *blocks*) of length  $n$ . Then every continuous endomorphism of  $S$  is induced by a function  $f : \mathcal{B}_n \rightarrow \{0, 1\}$  for some  $n$ . The endomorphism induced by such an  $f$  is the map  $f_\infty : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  defined by  $f_\infty(x) = y_0y_1y_2\dots$  where  $y_i = f(x_i x_{i+1} \dots x_{i+n-1})$  for all  $i \in \mathbb{N}$ . These results are analogous to those already obtained for  $\sigma$  on  $\{0, 1\}^{\mathbb{Z}}$ .

These endomorphisms have applications to the famous  $3x+1$  conjecture. This conjecture states that the  $T$ -orbit  $\{T^i(x)\}_{i=0}^\infty$  of any positive integer  $x$  contains 1, where  $T : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  is defined by

$$T(x) = \begin{cases} x/2 & \text{if } x \text{ is even} \\ (3x + 1)/2 & \text{if } x \text{ is odd.} \end{cases}$$

In [4], Lagarias proved that there exists a continuous conjugacy  $\Phi$  from  $S$  to  $T$ , whose inverse is also continuous. Since conjugacies preserve dynamics (fixed points, cycles, divergent orbits, etc.), the dynamics of  $S$  are the same as those of  $T$ . Furthermore, we can combine these results to classify all continuous endomorphisms of  $T$ . A map  $H$  is a continuous endomorphism of  $T$  if and only if  $H = \Phi \circ f_\infty \circ \Phi^{-1}$  for some continuous endomorphism  $f_\infty$  of  $S$ .

Hedlund also showed that exactly two of the continuous endomorphisms of  $\sigma$  are autoconjugacies. It can be shown that this is true for  $\mathbb{Z}_2$  as well (cf. [3,6]). The two continuous autoconjugacies of  $S$  are the bit complement map  $V = f_\infty$  where  $f$  is the map sending the block 0 to 1 and the block 1 to 0, and the identity map  $I = \mathbf{1}_{\mathbb{Z}_2}$  (induced by the map sending 0 to 0 and 1 to 1). Monks and Yazinski [6] investigated the corresponding autoconjugacies of  $T$ , namely  $\Omega = \Phi \circ V \circ \Phi^{-1}$  and the identity map, respectively.

Continuing the line of research of Monks and Yazinski, it is natural to investigate the continuous endomorphisms of  $S$  which are not autoconjugacies. Note that each of these maps, in addition to being an endomorphism of  $S$ , is a dynamical system in its own right. As such, it is natural to ask which of these dynamical systems are conjugate to  $S$  (and hence to  $T$ ).

Let  $f : \mathcal{B}_2 \rightarrow \{0, 1\}$  be defined by  $f(00) = f(11) = 0$  and  $f(01) = f(10) = 1$ , and define the discrete derivative  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  by  $D = f_\infty$ . In Section 5, we find that  $D$  is in fact conjugate to  $T$ . Furthermore, the dynamical systems  $D, S$ , and their “duals” (formed by interchanging the symbols 0 and 1) are the only endomorphisms of the shift dynamical system having a certain property (see Section 3, Theorem 3.3). In Section 4, we thoroughly investigate the dynamics of  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ , and apply these results to the  $3x + 1$  conjecture in Section 5.

## 2. Continuous endomorphisms of the shift map

We begin by classifying all continuous endomorphisms of the shift dynamical system  $S : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ . As in the classification of the continuous endomorphisms of the shift map on bi-infinite sequence space, each such endomorphism is characterized by a “block code” as follows.

**Definition 1.** Let  $\mathcal{B}_n$  denote the set of all length- $n$  sequences  $x_0x_1\dots x_{n-1}$  where each  $x_i \in \{0, 1\}$ . For any function  $f : \mathcal{B}_n \rightarrow \{0, 1\}$ , we define  $f_\infty : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  by  $f_\infty(x) = y$  where  $y_i = f(x_i x_{i+1} \dots x_{i+n-1})$ .

**Theorem 2.1.** A map  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  is a continuous endomorphism of the shift map  $S$  if and only if there is a positive integer  $n$  such that  $F = f_\infty$  for some  $f : \mathcal{B}_n \rightarrow \{0, 1\}$ .

**Proof.** First note that  $\mathbb{Z}_2$  is homeomorphic to the (middle thirds) Cantor set. (See [2].) The Cantor set is a closed and bounded subset of  $\mathbb{R}$ , so it is compact by the Heine–Borel theorem. Hence,  $\mathbb{Z}_2$  is a compact metric space.

Let  $n$  be a positive integer, and let  $f : \mathcal{B}_n \rightarrow \{0, 1\}$  be arbitrary. We show  $f_\infty$  is a continuous endomorphism of  $S$ .

To show  $f_\infty$  is continuous, we show that the inverse image of every open ball is open. Let  $B(x, \epsilon)$  be an arbitrary open ball in the metric space  $\mathbb{Z}_2$ . Let  $k$  be the smallest nonnegative integer such that  $2^{-k} < \epsilon$ . Then  $B(x, \epsilon)$  is the set of all 2-adic integers  $y$  such that the first  $k$  digits of  $y$  are the same as the first  $k$  digits of  $x$ .

Let  $a \in f_\infty^{-1}(B(x, \epsilon))$  be arbitrary, and let  $b \in B(a, 2^{-(k+n-2)})$ . Note that the first  $k + n - 1$  digits of  $b$  are  $a_0 \dots a_{k+n-2}$ . Then for any nonnegative integer  $m \leq k - 1$ , we have  $(f_\infty(b))_m = f(b_m b_{m+1} \dots b_{m+n-1}) = f(a_m a_{m+1} \dots a_{m+n-1}) = x_m$ . Hence the first  $k$  digits of  $f_\infty(b)$  are the same as those of  $x$ , so it follows that  $f_\infty(b) \in B(x, \epsilon)$ . Since  $b$  was arbitrary, it follows that any member of  $B(a, 2^{-(k+n-2)})$  maps to an element of  $B(x, \epsilon)$  under  $f_\infty$ . Hence,  $B(a, 2^{-(k+n-2)}) \subset f_\infty^{-1}(B(x, \epsilon))$ . Since  $a$  was arbitrary, it follows that  $f_\infty^{-1}(B(x, \epsilon))$  is open, as desired.

To show  $f_\infty$  is an endomorphism of  $S$ , let  $x \in \mathbb{Z}_2$  be arbitrary. Then for any positive integer  $i$ ,

$$\begin{aligned} (f_\infty(S(x)))_i &= f(S(x)_i S(x)_{i+1} \dots S(x)_{i+n-1}) \\ &= f(x_{i+1} x_{i+2} \dots x_{i+n}) \\ &= (f_\infty(x))_{i+1} \\ &= (S(f_\infty(x)))_i. \end{aligned}$$

Hence,  $f_\infty$  is a continuous endomorphism of  $S$ .

It now remains to show that such maps are the only continuous endomorphisms of  $S$ . Let  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  be a continuous endomorphism of  $S$ . Since  $\mathbb{Z}_2$  is a compact metric space and  $F$  is continuous, it follows by the Heine–Cantor theorem that  $F$

is uniformly continuous. Hence, choosing  $\epsilon = 1$ , there is a positive real number  $\delta > 0$  such that any two elements  $x$  and  $y$  of  $\mathbb{Z}_2$  which are separated by at most  $\delta$  have the property that the distance between  $F(x)$  and  $F(y)$  is less than  $\epsilon = 1$ , i.e. they match in the first digit.

Let  $n$  be the smallest positive integer such that  $2^{-n} < \delta$ . Then any two elements  $x$  and  $y$  having  $x_0 \dots x_{n-1} = y_0 \dots y_{n-1}$  satisfy  $(F(x))_0 = (F(y))_0$ . We can now define the map  $f : \mathcal{B}_n \rightarrow \{0, 1\}$  by  $f(a_0 a_1 \dots a_{n-1}) = (F(a_0 a_1 \dots a_{n-1} 000 \dots))_0$ . We show that  $F = f_\infty$ .

Since  $F$  is an endomorphism of  $S$ , we have  $F \circ S = S \circ F$ . We have that  $F(x)_0 = f(x_0 x_1 \dots x_{n-1}) = f_\infty(x)_0$  for any  $x$ . We use this as the base case to show by induction that  $F(x)_i = f_\infty(x)_i$  for any nonnegative integer  $i$  and  $x \in \mathbb{Z}_2$ . Let  $i$  be a positive integer and assume  $F(x)_{i-1} = f_\infty(x)_{i-1}$  for any  $x \in \mathbb{Z}_2$ . Then since  $f_\infty$  commutes with  $S$  by the above argument, we have

$$\begin{aligned} (F(x))_i &= (S(F(x)))_{i-1} \\ &= (F(S(x)))_{i-1} \\ &= (f_\infty(S(x)))_{i-1} \\ &= (S(f_\infty(x)))_{i-1} \\ &= (f_\infty(x))_i. \end{aligned}$$

This completes the induction. ■

### 3. Conjugacies to the shift dynamical system

For any  $x, y \in \mathbb{Z}_2$ , we write  $x \equiv_n y$  if  $x$  is congruent to  $y \pmod{2^n}$ , i.e. if the binary representations of  $x$  and  $y$  match in the first  $n$  digits. We extend this notation to include finite sequences, for example,  $\overline{1011} \equiv_2 100$ . Lagarias defined  $\Phi^{-1}$  by  $\Phi^{-1}(x) = a_0 a_1 a_2 \dots$  where  $a_i \equiv_1 T^i(x)$ . We call  $\Phi^{-1}$  the *T-parity vector function* and generalize this definition as follows.

**Definition 2.** Let  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ . The **F-parity vector function** is the map  $P_F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  given by  $P_F(x) = a_0 a_1 a_2 \dots$  where  $a_i \in \{0, 1\}$  and  $a_i \equiv_1 F^i(x)$  for all  $i \in \mathbb{N}$ .

It is easily shown that the parity vector function  $P_F$  of every dynamical system  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  is a morphism from  $F$  to  $S$ . To see this, let  $x \in \mathbb{Z}_2$  and let  $a = P_F(x)$ . Then  $S(P_F(x)) = a_1 a_2 a_3 \dots$  by the definition of  $S$ . By the definition of  $P_F$ ,  $P_F(F(x)) = b_0 b_1 b_2 \dots$  where  $b_i \equiv_1 F^i(F(x))$ . Thus  $b_i \equiv_1 F^{i+1}(x) \equiv_1 a_{i+1}$  for all  $i \in \mathbb{N}$ , so  $P_F(F(x)) = S(P_F(x))$ . Therefore  $P_F \circ F = S \circ P_F$ .

Note that  $F$  is not assumed to be continuous in the definition above. In the case that  $F$  is continuous with respect to the 2-adic topology, the composition of continuous functions  $F^i$  is also continuous for each  $i$ . Thus, if  $F$  is continuous then its parity vector function  $P_F$  is continuous as well.

Since every parity vector function is a morphism, it is natural to ask which of these are bijections and therefore conjugacies. The following theorem classifies all functions that are conjugate to  $S$  by their parity vector functions.

**Theorem 3.1.** Let  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ , not necessarily continuous. Then  $P_F$  is a conjugacy from  $F$  to  $S$  if and only if  $F = P^{-1} \circ S \circ P$  for some parity-preserving bijection  $P : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  (and in this situation  $P_F = P$ ).

**Proof.** Assume  $P_F$  is a conjugacy from  $F$  to  $S$ . Then  $F = P_F^{-1} \circ S \circ P_F$  by the definition of conjugacy. By definition,  $P_F$  is parity-preserving, since  $P_F(x) \equiv_1 x$ .

Now assume that there exists a parity-preserving bijection  $P : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  such that  $F = P^{-1} \circ S \circ P$ . It follows by induction on  $n$  that  $F^n = P^{-1} \circ S^n \circ P$  for all  $n \in \mathbb{Z}^+$ .

Let  $x \in \mathbb{Z}_2$ . Then for all  $n \in \mathbb{Z}^+$ ,  $F^n(x) \equiv_1 P^{-1}(S^n(P(x))) \equiv_1 S^n(P(x))$  since  $P$  is parity-preserving. Let  $a = P(x)$ . Then  $S^n(P(x)) \equiv_1 a_n$ , so  $F^n(x) \equiv_1 a_n$  for all  $n$ , and thus  $P(x) = P_F(x)$ . Since  $x$  was arbitrary,  $P = P_F$ . Also, we know  $P$  is a conjugacy from  $F$  to  $S$ , so  $P_F$  is a conjugacy from  $F$  to  $S$  as well. ■

Lagarias [4] showed that  $\Phi^{-1} = P_T$  is bijective by showing it has a property later named in [1]. Bernstein and Lagarias called a function  $h : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  **solenoidal** if for all  $k \in \mathbb{Z}^+$ ,  $x \equiv_k y \Leftrightarrow h(x) \equiv_k h(y)$ . Such a map induces a permutation of  $\mathbb{Z}_2/2^k\mathbb{Z}_2$  for all  $k \in \mathbb{Z}^+$ .

Bernstein and Lagarias [1] also showed that any solenoidal map  $h : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  is an isometry (bijective and continuous with continuous inverse). Since  $P_F$  is a morphism from  $F$  to  $S$ , we obtain the following corollary.

**Corollary 3.2.** Let  $F : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ . If  $P_F$  is solenoidal, then  $F$  is continuous and  $P_F$  is a conjugacy from  $F$  to  $S$ .

Hence, we can prove that a function is conjugate to the shift map by showing that its parity vector function is solenoidal. In particular, it is of interest to determine which continuous endomorphisms of  $S$  have a solenoidal parity vector function. In order to classify these, we define a specific endomorphism  $D$  as follows.

**Definition 3.** Let  $f : B_2 \rightarrow \{0, 1\}$  be the map  $\{(00, 0), (01, 1), (10, 1), (11, 0)\}$ . We define the **discrete derivative**  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  by  $D = f_\infty$ .

Note that  $D(x)$  is obtained by replacing each subsequence  $x_i x_{i+1}$  of the 2-adic binary representation of  $x$  with

$$x'_i = |x_i - x_{i+1}|,$$

so  $D$  resembles a discrete derivative, explaining our nomenclature. (The natural extension of this map to bi-infinite sequences has been discussed in [5], pp. 4, 16.)

Let  $V : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  be the map  $V(x) = -1 - x$ . Note that  $V(x)$  is obtained by interchanging the symbols 0 and 1 in the binary representation of  $x$ . The “dual”  $V \circ D$  of  $D$  is induced by  $\{(00, 1), (01, 0), (10, 0), (11, 1)\}$  and is essentially the same as  $D$  if one were to interchange the symbols 0 and 1. For simplicity of notation we let  $\mathcal{P} = P_D$ .

**Theorem 3.3.** *The functions  $D, V \circ D, S$ , and  $V \circ S$  are the only continuous endomorphisms of  $S$  with solenoidal parity vector functions.*

Combining this theorem with Corollary 3.2, we obtain the following result.

**Corollary 3.4.**  *$D$  is conjugate to  $S$  by its parity vector function  $\mathcal{P}$ .*

Before we present the proof of Theorem 3.3 we first prove two technical lemmas.

**Definition 4.** For every positive integer  $n \geq 2$ , define  $d : B_n \rightarrow B_{n-1}$  by  $d(x_0 x_1 \dots x_{n-1}) = y_0 y_1 \dots y_{n-2}$  where  $y_i = |x_i - x_{i+1}|$  for  $0 \leq i \leq n - 2$ .

Note that  $d$  is essentially  $D$  defined on finite sequences.

**Lemma 3.5.** *Let  $x \in \mathbb{Z}_2, n \in \mathbb{Z}^+$ , and  $y = D^n(x)$ . For all  $i \in \mathbb{N}, y_i = d^n(x_i x_{i+1} \dots x_{i+n})$ .*

**Proof.** We proceed by induction on  $n$ . For the base case,  $n = 1$ , we see that for all  $i, y_i = |x_i - x_{i+1}| = d(x_i x_{i+1})$  by the definition of  $D$  and  $d$ .

Assume the assertion is true for  $n$ , and let  $i \in \mathbb{N}$ . Then  $d^{n+1}(x_i x_{i+1} \dots x_{i+n+1}) = d^n(d(x_i x_{i+1} \dots x_{i+n+1})) = d^n(z_i z_{i+1} \dots z_{i+n})$  where  $z_j = |x_j - x_{j+1}|$  for all  $j$ . Note that  $D(x) = z_0 z_1 z_2 \dots$  by the definition of  $D$ . Let  $y = D^n(D(x))$ . By the inductive hypothesis, we have  $y_i = d^n(z_i z_{i+1} \dots z_{i+n})$ . Thus  $D^{n+1}(x) = D^n(D(x)) = y$  and  $d^{n+1}(x_i x_{i+1} \dots x_{i+n+1}) = d^n(z_i z_{i+1} \dots z_{i+n}) = y_i$ , so our induction is complete. ■

**Lemma 3.6.** *Let  $n \in \mathbb{Z}^+, x_0 x_1 \dots x_{n-1} x_n \in B_{n+1}$ , and  $v = 1 - x_n$ . Then  $d^n(x_0 x_1 \dots x_{n-1} x_n) \neq d^n(x_0 x_1 \dots x_{n-1} v)$ .*

**Proof.** Again, we show this by induction on  $n$ . The base case,  $n = 1$ , is clearly true since  $d(01) \neq d(00)$  and  $d(11) \neq d(10)$ .

Let  $n \in \mathbb{Z}^+$  and assume the assertion is true for  $n$ . Let  $x_0 x_1 \dots x_n x_{n+1} \in B_{n+2}$  and define  $v = 1 - x_{n+1}$ . Let  $d(x_0 x_1 \dots x_n x_{n+1}) = y_0 y_1 \dots y_{n-1} y_n$ . Then  $d(x_0 x_1 \dots x_n v) = y_0 y_1 \dots y_{n-1} w$  where  $w = d(x_n v)$ . We know  $w = d(x_n v) \neq d(x_n x_{n+1}) = y_n$ , and since  $w, y_n \in \{0, 1\}$ , we conclude that  $w = 1 - y_n$ . By the inductive hypothesis, we have

$$\begin{aligned} d^{n+1}(x_0 x_1 \dots x_n x_{n+1}) &= d^n(d(x_0 x_1 \dots x_n x_{n+1})) \\ &= d^n(y_0 y_1 \dots y_{n-1} y_n) \\ &\neq d^n(y_0 y_1 \dots y_{n-1} w) \\ &= d^n(d(x_0 x_1 \dots x_n v)) \\ &= d^{n+1}(x_0 x_1 \dots x_n v) \end{aligned}$$

and the induction is complete. ■

We are now ready to prove Theorem 3.3.

**Proof.** We first show that  $\mathcal{P}$  is solenoidal. Let  $k \in \mathbb{Z}^+$  and  $x \in \mathbb{Z}_2$ . For all  $i \leq k - 1$ , we have by Lemma 3.5 that  $D^i(x) \equiv_1^i d^i(x_0 x_1 \dots x_i)$ . Thus the finite sequence  $a_0 \dots a_{k-1}$  where  $a_i \equiv_1^i D^i(x)$  is entirely determined by the first  $k$  digits of  $x$ , i.e.  $x \equiv_k y \Rightarrow \mathcal{P}(x) \equiv_k \mathcal{P}(y)$ .

Let  $x, y \in \mathbb{Z}_2$  be such that  $\mathcal{P}(x) \equiv_k \mathcal{P}(y)$  and let  $a_0 \dots a_{k-1}$  be the first  $k$  digits of  $\mathcal{P}(x)$  and  $\mathcal{P}(y)$ . We will show that  $x \equiv_k y$ . Assume to the contrary that  $x \not\equiv_k y$ . Then  $x_0 x_1 \dots x_{k-1} \neq y_0 y_1 \dots y_{k-1}$ . Let  $j$  be the smallest nonnegative integer such that  $x_j \neq y_j$  (note that  $j < k$ ), so that  $y_0 y_1 \dots y_{j-1} = x_0 x_1 \dots x_{j-1}$  and  $y_j = 1 - x_j$ . Then by Lemma 3.5, we have  $a_j \equiv_1^j D^j(x) \equiv_1^j d^j(x_0 x_1 \dots x_j)$  and  $a_j \equiv_1^j D^j(x) \equiv_1^j d^j(y_0 y_1 \dots y_j) = d^j(x_0 x_1 \dots x_{j-1} y_j)$ . But by Lemma 3.6,  $d^j(x_0 x_1 \dots x_j) \neq d^j(x_0 x_1 \dots x_{j-1} y_j)$ , so  $a_j \neq a_j$ , a contradiction. We conclude that  $x \equiv_k y$ , and hence  $\mathcal{P}$  is solenoidal.

Observe that  $V \circ D$  is induced by  $\{(00, 1), (01, 0), (10, 0), (11, 1)\}$ , which is exactly the same map as that which induces  $D$  except with 0 and 1 interchanged. With this in mind, we see that since  $\mathcal{P}$  is solenoidal,  $P_{V \circ D}$  must be solenoidal as well.

For  $P_S$ , let  $x \in \mathbb{Z}_2$ . By the definition of  $S$ , for all  $k \in \mathbb{N}$ ,  $S^k(x) \equiv x_k$ . Thus  $P_S(x) = x_0x_1x_2 \dots = x$  and therefore  $P_S = \mathcal{I}$ . Since  $\mathcal{I}$  is clearly solenoidal,  $P_S$  is as well.

Let  $v_i = 1 - x_i$  for all  $i \in \mathbb{N}$ . Note that the “dual” shift map  $V \circ S$  is induced by the function  $\{(00, 1), (01, 0), (10, 1), (11, 0)\}$ , so  $V \circ S(x) = v_1v_2v_3 \dots$ . Similarly,  $(V \circ S)^2(x) = x_2x_3x_4 \dots$ . Continuing this pattern, it follows by induction that

$$(V \circ S)^n(x) = \begin{cases} x_nx_{n+1}x_{n+2} \dots & \text{if } n \text{ is even} \\ v_nv_{n+1}v_{n+2} \dots & \text{if } n \text{ is odd.} \end{cases}$$

Taking the  $V \circ S$ -orbit of  $x \bmod 2$ , we obtain  $P_{V \circ S}(x) = x_0v_1x_2v_3x_4v_5 \dots$ . This implies that the first  $k$  digits of  $P_{V \circ S}(x)$  are entirely determined by the first  $k$  digits of  $x$  and vice versa, and thus  $P_{V \circ S}$  is solenoidal.

We now know that the parity vector functions of  $D, V \circ D, S$ , and  $V \circ S$  are solenoidal. To show that these are the only ones, we first eliminate all endomorphisms induced by a map  $f : B_1 \rightarrow \{0, 1\}$ . Clearly  $P_V$  and  $P_I$  are not solenoidal, since  $P_V(x)$  is either  $\overline{10}$  or  $\overline{01}$  for all  $x$  by the definition of  $V$ , and  $P_I(x)$  is either  $\overline{1}$  or  $\overline{0}$  for all  $x$  by the definition of  $I$ . The trivial maps induced by  $\{(0, 0), (1, 0)\}$  and  $\{(0, 1), (1, 1)\}$  map everything to  $\overline{0}$  and  $\overline{1}$  respectively, and thus their parity vector functions are not solenoidal.

We now examine endomorphisms induced by  $f : B_2 \rightarrow \{0, 1\}$ . There are sixteen such maps, four of which are equivalent to the endomorphisms induced by a map  $f : B_1 \rightarrow \{0, 1\}$ . For example, if  $s = \{(00, 0), (01, 0), (10, 1), (11, 1)\}$ , then  $f_\infty = \mathcal{I}$  since the second digit is irrelevant. Another four are  $D, V \circ D, S$ , and  $V \circ S$ . The remaining eight maps are induced by a function which sends three of  $00, 01, 10, 11$  to  $0$  and the other to  $1$  or vice versa. Consider as an illustrative case  $s = \{(00, 1), (01, 1), (10, 1), (11, 0)\}$ . In this case,  $f_\infty$  never maps an even 2-adic integer to an even 2-adic integer, since whether  $x_0x_1$  is  $00$  or  $01, f_\infty(x)$  begins with  $1$ . Thus  $P_{f_\infty}(x)$  cannot have  $00$  as its first two digits, and it is not solenoidal. The other seven cases are similar.

Finally, we show by induction that for any  $n \geq 1$  and any  $f : B_n \rightarrow \{0, 1\}$ , either  $f_\infty \in \{D, V \circ D, S, V \circ S\}$  or  $P_{f_\infty}$  is not solenoidal. The base cases  $n = 1$  and  $n = 2$  are done above.

Let  $n \geq 2$ , assume the assertion is true for  $n$ , and let  $f : B_{n+1} \rightarrow \{0, 1\}$ . We consider two cases.

Case 1: Suppose that for all  $b = b_0b_1 \dots b_n$  and  $c = c_0c_1 \dots c_n \in B_{n+1}$ ,  $s(b) = s(c)$  whenever  $b \equiv_n c$ . Then  $f_\infty = t_\infty$  where  $t : B_n \rightarrow \{0, 1\}$  is defined by  $t(b_0b_1 \dots b_{n-1}) = s(b_0b_1 \dots b_{n-1}0) = s(b_0b_1 \dots b_{n-1}1)$ . By the inductive hypothesis, either  $t_\infty$  is a member of  $\{D, V \circ D, S, V \circ S\}$  or  $P_{t_\infty}$  is not solenoidal, and we are done.

Case 2: Suppose that for some  $b_0b_1 \dots b_{n-1} \in B_n$ , the digits  $s(b_0b_1 \dots b_{n-1}0)$  and  $s(b_0b_1 \dots b_{n-1}1)$  are distinct. Let  $x, y \in \mathbb{Z}_2$  be such that  $x \equiv_{n+1} b_0b_1 \dots b_{n-1}0$  and  $y \equiv_{n+1} b_0b_1 \dots b_{n-1}1$ . Then  $f_\infty(x) \not\equiv_1 f_\infty(y)$ , and thus  $P_{f_\infty}(x) \not\equiv_2 P_{f_\infty}(y)$ . Also, since  $n \geq 2$ , we have  $x \equiv_2 y$ . Hence,  $P_{f_\infty}$  does not induce a permutation on  $\mathbb{Z}_2/2^2\mathbb{Z}_2$ , so  $P_{f_\infty}$  is not solenoidal.

This completes the induction, and we conclude that  $D, V \circ D, S$ , and  $V \circ S$  are the only endomorphisms of  $S$  with solenoidal parity vector functions. ■

#### 4. Dynamics of $D$

Let us consider the implications of [Theorem 3.3](#) and [Corollary 3.4](#). The map  $D$ , although defined as a specific endomorphism of  $S$ , is actually conjugate to  $S$  when viewed as a dynamical system on its own. In addition,  $D$  is special in that only  $D, S$  itself, and their duals  $V \circ D$  and  $V \circ S$  have solenoidal parity vector functions. This provides incentive to further investigate the dynamical system  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ .

To begin our investigation of the dynamics of  $D$ , we observe some properties of the function itself.

**Lemma 4.1.** *Let  $x \in \mathbb{Z}_2$  and  $y = D(x)$ . Then for any  $i \in \mathbb{N}$ ,  $y_i = |x_i - x_{i+1}|$ ,  $x_{i+1} = |x_i - y_i|$ , and  $x_i = |x_{i+1} - y_i|$ .*

**Proof.** Let  $i \in \mathbb{N}$ . There are four cases to consider:  $x_i x_{i+1} = 00, 01, 10$ , or  $11$ .

Case 1: Suppose  $x_i x_{i+1} = 01$ . By the definition of  $D$ ,  $y_i = |x_i - x_{i+1}| = 1$ . Also,  $x_{i+1} = 1 = |0 - 1| = |x_i - y_i|$  and  $x_i = 0 = |1 - 1| = |x_{i+1} - y_i|$ .

The remaining three cases are similar. ■

The symmetry of  $D$  revealed by [Lemma 4.1](#) implies a surprising and beautiful symmetry of the function  $\mathcal{P}$ , the  $D$ -parity vector function.

**Theorem 4.2.**  $\mathcal{P}^2 = \mathcal{I}$ . Equivalently,  $\mathcal{P} = \mathcal{P}^{-1}$ .

**Proof.** Let  $x \in \mathbb{Z}_2$ , and let  $A$  be the infinite matrix defined as follows. For all  $i, j \in \mathbb{N}$ ,  $A[i, j]$  is  $a_j$  where  $a = D^i(x)$ , i.e. the  $i + 1$ st row of  $A$  consists of the digits of  $D^i(x)$ . Note that the leftmost column of  $A$  (with  $j = 0$ ) consists of the digits of  $\mathcal{P}(x)$ .

By [Lemma 4.1](#), we see that for all  $i, j \in \mathbb{N}$ ,  $A[i, j + 1] = |A[i, j] - A[i + 1, j]|$ . Let  $j \in \mathbb{N}$ . Define  $d_i = A[i, j]$  and  $e_i = A[i, j + 1]$  for all  $i$ . Then for all  $i \in \mathbb{N}$ ,  $e_i = |d_i - d_{i+1}|$ , so by the definition of  $D$ ,  $D(d_0d_1d_2 \dots) = e_0e_1e_2 \dots$ . Thus the 2-adic integer formed by the entries of the  $j + 1$ st column in  $A$  is  $D$  of the 2-adic integer formed by the  $j$ th column for any  $j$ . This implies that for all  $j \in \mathbb{N}$ , the digits of  $D^j(\mathcal{P}(x))$  are the entries of the  $j + 1$ st column of  $A$ , so  $D^j(\mathcal{P}(x)) \equiv_1 A[0, j] = x_j$ .

By the definition of  $\mathcal{P}$ ,  $\mathcal{P}(\mathcal{P}(x)) = x_0x_1x_2 \dots = x$ . We conclude that  $\mathcal{P}^2 = \mathcal{I}$ . ■



**Theorem 4.2** shows, remarkably, that the  $D$ -parity vector of the  $D$ -parity vector of a 2-adic integer is itself. In other words,  $\mathcal{P}$  is an involution.

It is well-known that any function  $h : X \rightarrow Y$  induces an equivalence relation  $\approx$  on  $X$  defined by  $x \approx y$  if and only if  $h(x) = h(y)$ . This equivalence relation in turn induces a quotient set  $Q_h$  of equivalence classes mod  $\approx$ . Consider the quotient set  $Q_D$  induced by  $D$ . Due to the symmetry of  $D$  shown in **Lemma 4.1**, we have the following:

**Theorem 4.3.**  $Q_D = \{\{x, V(x)\} \mid x \in \mathbb{Z}_2\}$ .

**Proof.** Let  $x, y \in \mathbb{Z}_2$  and  $v = V(x)$ . Assume  $y = D(x)$ . By **Lemma 4.1**,

$$x_{i+1} = |x_i - y_i| \tag{4.1}$$

for all  $i \geq 0$ . If  $x_0 = 0$ , Eq. (4.1) is a recursion for the sequence  $x_0, x_1, x_2, \dots$  and thus there is exactly one even  $x$  such that  $D(x) = y$ . Similarly, there is exactly one odd  $x$  such that  $D(x) = y$ . Therefore, each class in the quotient set induced by  $D$  has two elements, one even and one odd. By the definition of  $V$ ,  $v_i = 1 - x_i$  for all  $i$ . Thus for all  $i$ ,  $|v_i - v_{i+1}| = |(1 - x_i) - (1 - x_{i+1})| = |x_i - x_{i+1}| = y_i$  and so  $D(V(x)) = y = D(x)$ . We conclude that each equivalence class mod  $\approx$  consists of two elements,  $x$  and  $V(x)$ . ■

#### 4.1. Periodic points

It is desirable to classify the fixed points and periodic points of any dynamical system. There are exactly two fixed points of  $S$ , namely  $\bar{0}$  and  $\bar{1}$ . Since  $D$  is conjugate to  $S$  there are exactly two fixed points of  $D$ , namely  $\bar{0}$  and  $1\bar{0}$ . To classify the remaining periodic points of  $D$ , we introduce some new notation.

**Definition 5.** Let  $x$  be a 2-adic integer with an eventually repeating binary representation  $x_0x_1 \dots x_{t-1}\overline{x_t x_{t+1} \dots x_{t+m-1}}$ . Then  $x$  is in **reduced form** if and only if  $x_{t-1} \neq x_{t+m-1}$  and  $m$  is the least integer such that  $x$  can be expressed in this form. For any  $x$  having reduced form  $x_0x_1 \dots x_{t-1}\overline{x_t x_{t+1} \dots x_{t+m-1}}$ , we define the  **$S$ -period length**  $\|x\| = m$  and the  **$S$ -preperiod length**  $\underline{x} = t$ .

Note that  $x$  is cyclic for  $S$  if and only if  $\underline{x} = 0$ .

**Definition 6.** An eventually repeating 2-adic integer that has reduced form

$$x_0x_1 \dots x_{t-1}\overline{x_t x_{t+1} \dots x_{t+m-1}}$$

is **half-flipped** if and only if  $m$  is even and for all  $i \geq t$ ,  $x_i = 1 - x_{i+m/2}$ .

For instance, the 2-adic integers  $\overline{1100}$  and  $\overline{010110100}$  are half-flipped.

In order to avoid confusion between 2-adic integers which are periodic (or eventually periodic) points of  $D$  and those having repeating (or eventually repeating) binary representation, we will refer to the former as  **$D$ -periodic** (or **eventually  $D$ -periodic**) and the latter as **repeating** or **eventually repeating**. Note that  $x$  has an eventually periodic  $S$ -orbit if and only if  $x$  is eventually repeating. It is much less obvious which 2-adic integers have an eventually periodic  $D$ -orbit, so we prove several lemmas about  $D$ -orbits to answer this question.

**Lemma 4.4.** Let  $x$  be an eventually repeating 2-adic integer. Then

$$\|D(x)\| = \begin{cases} \|x\| & \text{if } x \text{ is not half-flipped} \\ \frac{1}{2} \|x\| & \text{if } x \text{ is half-flipped.} \end{cases}$$

**Proof.** Let  $m = \|x\|$  and  $t = \underline{x}$ , with  $x = x_0x_1 \dots x_{t-1}\overline{x_t x_{t+1} \dots x_{t+m-1}}$  in reduced form. Let  $x' = S^t(x) = \overline{x_t x_{t+1} \dots x_{t+m-1}}$ , so that for all  $i \in \mathbb{N}$ ,  $x'_i = x'_{m+i}$ , i.e.  $\|x'\| = \|x\| = m$ . Note that since  $D$  is an endomorphism of  $S$ ,  $S^t(D(x)) = D(S^t(x)) = D(x')$ , so  $\|D(x)\| = \|S^t(D(x))\| = \|D(x')\|$ . We proceed to find  $\|D(x')\|$ .

Let  $y = D(x')$  and  $n = \|D(x')\|$ . For all  $i \in \mathbb{N}$ ,  $y_{m+i} = |x'_{m+i} - x'_{i+m+1}| = |x'_i - x'_{i+1}| = y_i$ . Thus  $n$  divides  $m$ .

If  $x'$  is half-flipped, then for all  $i$ ,  $x'_i = 1 - x'_{i+m/2}$ , and  $y_{i+m/2} = |x'_{i+m/2} - x'_{i+m/2+1}| = |1 - x'_i - (1 - x'_{i+1})| = |x'_i - x'_{i+1}| = y_i$ . Therefore

$$x' \text{ is half-flipped} \Rightarrow n \leq \frac{m}{2}. \tag{4.2}$$

Consider the case  $x'_0 = 0$ . We have two cases: either  $x'_{n-1} = y_{n-1}$  or  $x'_{n-1} \neq y_{n-1}$ .

**Case 1:** Suppose  $x'_{n-1} = y_{n-1}$ . Then by **Lemma 4.1**,  $x'_n = |x'_{n-1} - y_{n-1}| = 0 = x'_0$ . This being our base case, we show by induction that for all  $i \in \mathbb{N}$ ,  $x'_{n+i} = x'_i$ . Let  $j \in \mathbb{N}$  and assume  $x'_{n+j} = x'_j$ . Then  $x'_{n+j+1} = |x'_{n+j} - y_{n+j}| = |x'_j - y_j| = x'_{j+1}$ ,

completing the induction. We now have  $m \mid n$  and  $n \mid m$ , so  $n = m$ . Thus  $\|D(x)\| = \|x\|$ . It follows from (4.2) that  $x$  is not half-flipped, and the theorem holds in this case.

Case 2: Suppose  $x'_{n-1} \neq y_{n-1}$ . Then by Lemma 4.1,  $x'_n = |x'_{n-1} - y_{n-1}| = 1 = 1 - x'_0$ . This being our base case, we show by induction that for all  $i \in \mathbb{N}$ ,  $x'_{n+i} = 1 - x'_i$ . Let  $j \in \mathbb{N}$  and assume  $x'_{n+j} = 1 - x'_j$ . Then  $x'_{n+j+1} = |x'_{n+j} - y_{n+j}| = |1 - x'_j - y_j| \neq |x_j - y_j| = x'_{j+1}$ , and therefore  $x'_{n+j+1} = 1 - x'_{j+1}$ , completing the induction. This implies that  $m \neq n$ , and since  $n \mid m$ , we conclude that  $n \leq \frac{1}{2}m$ . Also, for all  $i \in \mathbb{N}$ ,  $x'_{2n+i} = 1 - x'_{n+i} = 1 - (1 - x'_i) = x'_i$ . Therefore  $m \leq 2n$ . Since  $n \leq \frac{1}{2}m$  and  $\frac{1}{2}m \leq n$ , we have  $n = \frac{1}{2}m$ . Thus  $\|D(x')\| = \frac{1}{2}\|x\|$ . Finally, making the substitution  $n = \frac{1}{2}m$  we have that for all  $i \in \mathbb{N}$ ,  $x'_{m/2+i} = 1 - x'_i$ , so  $x$  is half-flipped as well.

Hence the theorem holds for  $x'_0 = 0$ . The proof for the case  $x'_0 = 1$  is analogous. ■

**Lemma 4.5.** Let  $x$  be an eventually repeating 2-adic integer. Then for all  $k \in \mathbb{N}$ ,  $D^k(x) = \underline{x}$ .

**Proof.** Let  $y = D(x)$ ,  $m = \|x\|$ , and  $t = \underline{x}$ , so that

$$x = x_0x_1 \dots x_{t-1} \overline{x_t x_{t+1} \dots x_{t+m-1}}$$

in reduced form. Then  $D(x) = y_0y_1 \dots y_{t-1} \overline{y_t y_{t+1} \dots y_{t+m-1}}$ , but not necessarily in reduced form. We consider two cases: either  $x$  is half-flipped or  $x$  is not half-flipped.

Case 1: Suppose  $x$  is not half-flipped. By Lemma 4.4,  $\|D(x)\| = m$ . Also, by the definition of  $t$ ,  $x_{t-1} \neq x_{t+m-1}$ . Thus

$$y_{t-1} = |x_{t-1} - x_t| \neq |x_{t+m-1} - x_{t+m}| = y_{t+m-1}$$

so  $y_0y_1 \dots y_{t-1} \overline{y_t y_{t+1} \dots y_{t+m-1}}$  is in reduced form. We conclude that  $D(x) = t = \underline{x}$ .

Case 2: Suppose  $x$  is half-flipped. By Lemma 4.4,  $\|D(x)\| = \frac{1}{2}m$ . It follows that  $D(x) = y_0y_1 \dots y_{t-1} \overline{y_t y_{t+1} \dots y_{t+m/2-1}}$ . By the definition of half-flipped and  $t$ ,  $x_{t+m/2-1} = 1 - x_{t+m-1} = x_{t-1}$  and  $x_{t+m/2} = 1 - x_t$ . Therefore

$$\begin{aligned} y_{t-1} &= |x_{t-1} - x_t| \\ &= |x_{t+m/2-1} - (1 - x_{t+m/2})| \\ &\neq |x_{t+m/2-1} - x_{t+m/2}| \\ &= y_{t+m/2-1} \end{aligned}$$

so  $y_0y_1 \dots y_{t-1} \overline{y_t y_{t+1} \dots y_{t+m/2-1}}$  is in reduced form. We conclude that  $D(x) = t = \underline{x}$ .

Therefore,  $D(x) = \underline{x}$  for all  $x \in \mathbb{Z}_2$ . It follows by induction that for all  $k \in \mathbb{N}$ ,  $D^k(x) = \underline{x}$ . ■

We are now ready to classify all 2-adic integers which are eventually  $D$ -periodic.

**Theorem 4.6.** Let  $x \in \mathbb{Z}_2$ . Then  $x$  is eventually  $D$ -periodic if and only if it is eventually  $S$ -periodic, i.e. its 2-adic binary representation is eventually repeating.

**Proof.** Assume that the 2-adic binary representation of  $x$  is eventually repeating (so that  $x$  is eventually  $S$ -periodic), with  $x = x_0x_1 \dots x_{t-1} \overline{x_t x_{t+1} \dots x_{t+m-1}}$  where  $t = \underline{x}$  and  $m = \|x\|$ . Let  $a$  be the greatest odd divisor of  $m$ , with  $m = a \cdot 2^b$ . Lemma 4.4 implies that for any  $k, n \in \mathbb{N}$  with  $k < n$ ,  $\|D^k(x)\| = a \cdot 2^{b'}$  and  $\|D^n(x)\| = a \cdot 2^{b''}$  for some  $b', b'' \in \mathbb{N}$  with  $b \geq b' \geq b''$ . Hence the sequence  $\{\log_2(\frac{1}{a} \|D^k(x)\|)\}_{k=0}^\infty$  is a non-increasing sequence of nonnegative integers, and thus is eventually constant. Let  $\beta$  be the minimum value of  $\log_2(\frac{1}{a} \|D^k(x)\|)$  over all  $k$ , so that there exists an  $N \in \mathbb{N}$  such that for all  $n \geq N$ ,  $\|D^n(x)\| = a \cdot 2^\beta$ . Define  $c = a \cdot 2^\beta$ . For all  $n \geq N$ , there are at most  $2^c$  possibilities for the repeating digits of  $D^n(x)$ , and by Lemma 4.5, there are at most  $2^{\underline{x}}$  possibilities for the first  $\underline{x}$  digits of  $D^n(x)$ . Thus there are at most  $2^c \cdot 2^{\underline{x}} = 2^{c+\underline{x}}$  possibilities for the values of  $D^n(x)$  for all  $n \geq N$ . By the pigeonhole principle, two of  $D^N(x), D^{N+1}(x), \dots, D^{N+2^{c+\underline{x}}}(x)$  are equal, and thus the  $D$ -orbit of  $x$  is eventually periodic. So if  $x$  is eventually repeating then  $x$  is eventually  $D$ -periodic.

Now assume that the 2-adic representation of  $x$  is not eventually repeating, and assume to the contrary that  $x$  is eventually  $D$ -periodic. Then  $\mathcal{P}(x)$  is eventually repeating. So the  $D$ -orbit of  $\mathcal{P}(x)$  is eventually periodic, and thus  $\mathcal{P}(\mathcal{P}(x))$  is eventually repeating as well. But Theorem 4.2 implies  $\mathcal{P}(\mathcal{P}(x)) = x$ , and  $x$  is not eventually repeating by assumption. This contradiction completes the proof. ■

Note that Theorem 4.6 is not a consequence of  $D$  being conjugate to  $S$ , for  $D = \mathcal{P}S\mathcal{P}^{-1} = \mathcal{P}S\mathcal{P}$  implies that  $x$  is eventually periodic for  $D$  if and only if  $\mathcal{P}(x)$  is eventually periodic for  $S$ .

In the proof of Theorem 4.6, we found that the  $S$ -period length of elements in the  $D$ -orbit of  $x$  is either divided by 2 or remains constant with each iteration, until the orbit becomes periodic and the  $S$ -period length  $\|x\|$  stabilizes. However, the value of  $\|x\|$  at which it stabilizes may be even. For example,  $x = 100111$  has the periodic  $D$ -orbit  $100111, 101000, 111001, 001010, 011110, 100010, \dots$



### 4.2. Eventually fixed points

We now classify those 2-adic integers whose  $D$ -orbit contains a fixed point (0 or 1).

**Lemma 4.7.** *Let  $n \in \mathbb{N}$  and  $a = a_0a_1a_2 \dots a_{2^n-1} \in B_{2^n}$ . Then*

$$d^{2^n-1}(a) = \left( \sum_{i=0}^{2^n-1} a_i \right) \pmod 2$$

$$\text{i.e. } d^{2^n-1}(a) = \begin{cases} 0 & \text{if } a \text{ contains an even number of 1's among its digits} \\ 1 & \text{otherwise.} \end{cases}$$

**Proof.** We proceed by induction on  $n$ . The base case,  $n = 0$ , is trivial since  $d^{2^0-1}(1) = d^0(1) = 1$  and  $d^{2^0-1}(0) = d^0(0) = 0$ .

Let  $n \in \mathbb{N}$  and assume the assertion is true for  $n$ . Let  $a_0a_1a_2 \dots a_{2^{n+1}-1} \in B_{2^{n+1}}$ , and let  $b = a_0a_1a_2 \dots a_{2^n-1}$  and  $c = a_{2^n}a_{2^n+1} \dots a_{2^{n+1}-1}$  be the first and second halves of  $a$ . We now consider two cases.

Case 1: Suppose  $\sum_{i=0}^{2^{n+1}-1} a_i \equiv 0 \pmod 1$ , i.e.  $a$  has an even number of 1's among its digits. We have

$$\left( \sum_{i=0}^{2^n-1} a_i \right) + \left( \sum_{i=2^n}^{2^{n+1}-1} a_i \right) = \sum_{i=0}^{2^{n+1}-1} a_i \equiv 0 \pmod 1$$

and therefore  $\sum_{i=0}^{2^n-1} a_i \equiv \sum_{i=2^n}^{2^{n+1}-1} a_i$ . By the inductive hypothesis,  $d^{2^n-1}(b) = d^{2^n-1}(c)$ . Let  $z_0z_1 \dots z_{2^n}$  be the digits of  $d^{2^n-1}(a)$ . Note that  $z_0 = d^{2^n-1}(b)$  and  $z_{2^n} = d^{2^n-1}(c)$ , so  $z_0 = z_{2^n}$ . Now, consider all subsequences of  $z_0z_1 \dots z_{2^n}$  of length 2. Such a subsequence  $z_i z_{i+1}$  is a **switch** if  $z_i \neq z_{i+1}$ . Clearly, the first and last digit will match if and only if there are an even number of switches, so in this case there are an even number of switches in  $z_0z_1 \dots z_{2^n}$ . Since each 1 in  $d(z_0z_1 \dots z_{2^n})$  corresponds to a switch in  $z_0z_1 \dots z_{2^n}$ , there are an even number of 1's among the digits of  $d(z_0z_1 \dots z_{2^n})$ . By the definition of  $d$ ,  $d(z_0z_1 \dots z_{2^n}) \in B_{2^n}$ . Using the inductive hypothesis a second time, we have

$$d^{2^{n+1}-1}(a) = d^{2^n-1}(d(d^{2^n-1}(a))) = d^{2^n-1}(d(z_0z_1 \dots z_{2^n})) = 0$$

and the induction is complete.

Case 2: Suppose  $\sum_{i=0}^{2^{n+1}-1} a_i \equiv 1 \pmod 1$ . By an argument similar to that of Case 1, we have  $d^{2^{n+1}-1}(a) = 1$  and the induction is complete. ■

**Theorem 4.8.** *Let  $n \in \mathbb{N}$  and  $a = a_0a_1a_2 \dots a_{2^n} \in B_{2^{n+1}}$ . Then  $d^{2^n}(a) = d(a_0a_{2^n})$ .*

**Proof.** Suppose  $d(a)$  has an even number of 1's among its digits. As in the proof of Lemma 4.7, we know there are an even number of switches in  $a$ , so  $a_0 = a_{2^n}$ . But by Lemma 4.7,  $d^{2^n-1}(d(a)) = 0 = d(a_0a_{2^n})$ . Similarly, if  $d(a)$  has an odd number of 1's among its digits then  $a_0 \neq a_{2^n}$ , and  $d^{2^n-1}(d(a)) = 1 = d(a_0a_{2^n})$ . Thus in all cases  $d^{2^n}(a) = d(a_0a_{2^n})$ . ■

Theorem 4.8 gives us an easy method for computing large iterations of  $D$  without computing each individual iteration. For example, if we wish to compute  $D^8(x_0x_1x_2 \dots)$ , we merely compute  $d(x_0x_8)$ ,  $d(x_1x_9)$ , etc., which yields the digits of  $D^8(x_0x_1x_2 \dots)$  in one step rather than eight. This technique is also of use in the proof of the following theorem, which classifies the 2-adic integers whose  $D$ -orbit is eventually fixed.

**Theorem 4.9.** *The  $D$ -orbit of  $x$  is eventually fixed if and only if the reduced form of  $x$  is either  $\overline{x_0x_1 \dots x_{2^n-1}}$  (in which case it eventually maps to 0) or  $x_0\overline{x_1x_2 \dots x_{2^n}}$  (which eventually maps to 1) for some  $n \in \mathbb{N}$ .*

**Proof.** We first show that the  $D$ -orbit of  $x$  contains 0 if and only if the reduced form of  $x$  is  $\overline{x_0x_1 \dots x_{2^n-1}}$  for some  $n \in \mathbb{N}$ . Assume the  $D$ -orbit of  $x$  eventually contains 0. Since  $\|0\| = 1$ , we know by Lemma 4.4 that  $\|x\| = 1 \cdot 2^n$  for some  $n \in \mathbb{N}$ .

Now, assume to the contrary that  $\underline{x} \neq 0$ . Then by Lemma 4.5, for all  $k \in \mathbb{N}$ ,  $\overline{D^k(x)} = \underline{x} > 0$ . However,  $\underline{0} = 0$ , so the  $D$ -orbit of  $x$  cannot eventually contain 0. We conclude that our assumption was false and  $\underline{x} = 0$ . Thus the reduced form of  $x$  is  $\overline{x_0x_1 \dots x_{2^n-1}}$  for some  $n \in \mathbb{N}$ .

Assume  $x = \overline{x_0x_1 \dots x_{2^n-1}}$  in reduced form. Let  $y = D^{2^n}(x)$ . By Theorem 4.8 and Lemma 3.5, we have for all  $i \in \mathbb{N}$ ,  $y_i = d(x_i x_{i+2^n})$ . Since  $x_i = x_{i+2^n}$ ,  $y_i = 0$  for all  $i$  and thus  $D^{2^n}(x) = \overline{0} = 0$ .

We now show that the  $D$ -orbit of  $x$  contains 1 if and only if the reduced form of  $x$  is  $x_0\overline{x_1x_2 \dots x_{2^n}}$  for some  $n \in \mathbb{N}$ . Since  $D$  is an endomorphism of  $S$ , we have  $S(D^j(x)) = D^j(S(x))$  for all  $j \in \mathbb{N}$ . Assume  $D^k(x) = 1$  for some  $k \in \mathbb{N}$ . Then  $D^k(S(x)) = S(D^k(x)) = S(1) = 0$ . By the above argument,  $S(x) = \overline{x_1x_2 \dots x_{2^n}}$  in reduced form for some  $n \in \mathbb{N}$ . By the definition of  $S$ ,  $x$  either has reduced form  $\overline{x_0x_1x_2 \dots x_{2^n-1}}$  or  $x_0\overline{x_1x_2 \dots x_{2^n}}$ . By Lemma 4.5,  $\underline{x} = \overline{D^k(x)} = \underline{1} = 1$ , so  $x = x_0\overline{x_1x_2 \dots x_{2^n}}$  in reduced form.

Assume  $x = x_0\overline{x_1x_2 \dots x_{2^n}}$  in reduced form. By the above argument,  $D^{2^n}(S(x)) = D^{2^n}(\overline{x_1x_2 \dots x_{2^n}}) = 0$ . Therefore  $S(D^{2^n}(x)) = 0$  as well, so  $D^{2^n}(x)$  is either 0 or 1 by the definition of  $S$ . By Lemma 4.5,  $\overline{D^{2^n}(x)} = \underline{x} = 1$ , so  $D^{2^n}(x) = 1$ . ■

### 4.3. The $D$ -Orbit of an Integer

Any nonnegative integer is eventually repeating (ending in  $\bar{0}$ ), so all nonnegative integers are eventually  $D$ -periodic by [Theorem 4.6](#). Surprisingly, they all are purely periodic points of  $D$  with minimum period  $2^n$  for some  $n \in \mathbb{N}$ , as we now show.

**Theorem 4.10.** *Let  $x$  be a nonnegative integer. Then  $x$  is a purely periodic point of  $D$  with minimum period  $2^n$  being the smallest power of 2 that is at least as large as the  $S$ -preperiod length of  $x$ , i.e.  $2^n \geq \underline{x}$ .*

**Proof.** Let  $t = \underline{x}$ . By [Lemma 4.5](#), for any  $i \in \mathbb{N}$ ,  $\overline{D^i(x)} = t$  as well. Thus for all  $i \in \mathbb{N}$ ,  $2^{t-1} \leq D^i(x) < 2^t$  by the definition of 2-adic integer.

Let  $x_0x_1x_2 \dots x_{t-1}\bar{0}$  be the 2-adic expansion of  $x$ , and  $y_0y_1 \dots y_{t-1}\bar{0}$  the 2-adic expansion of  $D^{2^n}(x)$ . Then by [Theorem 4.8](#), we have that for all  $i \in \mathbb{N}$ ,  $y_i = d^{2^n}(x_i x_{i+1} \dots x_{i+2^n}) = d(x_i x_{i+2^n}) = d(x_i \bar{0}) = x_i$ . Thus  $D^{2^n}(x) = x$ , and  $x$  is  $D$ -periodic with minimum period dividing  $2^n$ . Note that if  $x$  is 0 or 1,  $2^n = 1$ , so  $2^n$  must be the minimum period of  $x$  in both of these cases.

Assume that  $x > 1$  and the minimum  $D$ -period of  $x$  is less than  $2^n$ . Since it divides  $2^n$  it must be  $2^k$  for some  $k \leq n - 1$ . Also, since  $n$  is the smallest natural number such that  $2^n \geq t$ , we have  $2^{n-1} < t$ , and thus  $2^k < t$  as well. Let  $z_0z_1 \dots z_{t-1}\bar{0}$  be the 2-adic expansion of  $D^{2^k}(x)$ . Since  $t - 2^k - 1 \geq 0$ , we have  $z_{t-2^k-1} = d^{2^k}(x_{t-2^k-1} x_{t-2^k} \dots x_{t-1}) = d(x_{t-2^k-1} x_{t-1}) = d(x_{t-2^k-1}) \neq x_{t-2^k-1}$ . Therefore  $D^{2^k}(x) \neq x$ , and  $x$  is not  $D$ -periodic with minimum period  $2^k$ . We conclude that the assumption was incorrect and thus  $2^n$  is the minimum period of  $x$ . ■

Negative integers have a 2-adic expansion ending in  $\bar{1}$ . This is because for any  $x \in \mathbb{Z}_2$ ,  $-1 - x = V(x)$  by binary arithmetic, so  $-x = V(x) + 1$ . Therefore, if  $x$  is a positive integer,  $-x$  is one more than  $V(x)$ , which ends in  $\bar{1}$ . Notice that  $D$  of a negative integer is a positive integer, so by [Theorem 4.10](#), the  $D$ -orbit of a negative integer enters a cycle of positive integers after one iteration.

These facts are consistent with the duality of  $\mathcal{P}$  seen in [Theorem 4.2](#). Given a 2-adic integer  $x$  whose reduced form is  $\overline{x_0x_1 \dots x_{2^n-1}}$  or  $\overline{x_0x_1x_2 \dots x_{2^n}}$ , we have by [Theorem 4.9](#) that  $\mathcal{P}(x)$  is an integer. Also, given a 2-adic integer  $x$  which is also an integer, we have by [Theorem 4.10](#) that  $\mathcal{P}(x)$  has reduced form  $\overline{x_0x_1 \dots x_{2^n-1}}$  or  $\overline{x_0x_1x_2 \dots x_{2^n}}$ .

## 5. Applications to the $3x + 1$ conjecture

Recall that the  $3x + 1$  conjecture states that the  $T$ -orbit of any positive integer contains 1, or equivalently, eventually enters the  $\bar{1}, \bar{2}$  cycle.

**Corollary 3.4** states that  $\mathcal{P}$  is a conjugacy from  $D$  to  $S$ . Also, as stated in the introduction,  $\Phi$  is a conjugacy from  $S$  to  $T$ . Since the composition of conjugacies is a conjugacy, this implies that  $D$ , the endomorphism of  $S$  resembling a discrete derivative, is conjugate to  $T$ , the famous  $3x + 1$  function.

**Theorem 5.1.** *The map  $R = \Phi \circ \mathcal{P}$  is a conjugacy from  $D$  to  $T$ .*

Thus  $T$  and  $D$  have the same dynamics, and hence to solve the  $3x + 1$  conjecture it suffices to have an understanding of the dynamics of  $D$  and the correspondence  $R$  between the orbits of  $D$  and those of  $T$ .

Having studied the dynamics of  $D$  in Section 4, we turn our attention to understanding the correspondence  $R$ . Since  $\bar{1}, \bar{2}$  and  $\bar{2}, \bar{1}$  are the unique 2-cycles of the dynamical system  $T : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  and  $\bar{3}, \bar{2}$  and  $\bar{2}, \bar{3}$  are 2-cycles of  $D : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ , these 2-cycles of  $D$  must be unique. Thus, since  $R$  preserves parity,  $R(\bar{3}) = \bar{1}$  and  $R(\bar{2}) = \bar{2}$ . Similarly,  $R(\bar{0}) = \bar{0}$  and  $R(\bar{1}) = \bar{1}$  since they are fixed points of corresponding parity of the two dynamical systems.

By an argument similar to the proof of [Theorem 4.9](#), the  $D$ -orbit of a 2-adic integer  $x$  eventually enters the  $\bar{3}, \bar{2}$  cycle (or, equivalently, the  $\bar{2}, \bar{3}$  cycle) if and only if  $x$  has reduced form  $\overline{x_0x_1x_2x_3 \dots x_{2^n+1}}$  for some  $n \in \mathbb{N}$ . However, since an element  $x$  in the dynamical system  $T : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  eventually enters the  $\bar{1}, \bar{2}$  cycle if and only if the  $D$ -orbit of  $R^{-1}(x)$  eventually enters the  $\bar{3}, \bar{2}$  cycle, we have the following equivalence theorem.

**Theorem 5.2.** *The following statements are equivalent:*

- (1) *The  $3x + 1$  conjecture is true.*
- (2) *For all positive integers  $m$ ,  $R^{-1}(m)$  has reduced form  $\overline{x_0x_1x_2x_3 \dots x_{2^n+1}}$  for some  $n \in \mathbb{N}$ .*

Thus it suffices to determine  $R^{-1}$  on positive integers in order to solve the  $3x + 1$  conjecture. In particular, it would suffice to find a tractable formula for  $R^{-1}(m)$  for positive integers  $m$ .

There is yet another way that  $D$  can be of use in solving the  $3x + 1$  conjecture, and that is in its role as an endomorphism of the shift map.

Recall that Monks and Yazinski [6] defined  $\Omega = \Phi \circ V \circ \Phi^{-1}$ , and showed that  $\Omega$  is the unique nontrivial continuous autoconjugacy of  $T$  and that  $\Omega^2 = I$ . They also defined an equivalence relation  $\sim$  on  $\mathbb{Z}_2$  by  $x \sim y \Leftrightarrow (x = y \text{ or } x = \Omega(y))$ . This induces a set of equivalence classes  $\mathbb{Z}_2 / \sim = \{\{x, \Omega(x)\} \mid x \in \mathbb{Z}_2\}$ , and note that each equivalence class in  $\mathbb{Z}_2 / \sim$  consists of two elements of opposite parity. This enables one to define a parity-neutral map  $\Psi$  as follows.

**Definition 7.** The **parity-neutral  $3x + 1$  map**  $\Psi : \mathbb{Z}_2 / \sim \rightarrow \mathbb{Z}_2 / \sim$  is the map given by  $\Psi(\{x, \Omega(x)\}) = \{T(x), \Omega(T(x))\}$ .

Monks and Yazinski also showed that the  $3x + 1$  conjecture is equivalent to the claim that the  $\Psi$ -orbit of any  $X \in \mathbb{Z}_2 / \sim$  contains  $\{1, 2\}$ .

Making use of the endomorphism  $D$ , the following theorem improves upon this result.

**Theorem 5.3.** *The dynamical system  $T : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  is conjugate to  $\Psi : \mathbb{Z}_2 / \sim \rightarrow \mathbb{Z}_2 / \sim$ .*

**Proof.** Define  $H = \Phi \circ D \circ \Phi^{-1}$ . Since  $D$  is an endomorphism of  $S$  and  $\Phi$  is a conjugacy from  $S$  to  $T$ ,  $H$  is an endomorphism of  $T$ . Recall that  $H$  induces the quotient set  $Q_H$  discussed in Section 4. We now show that  $Q_H = \mathbb{Z}_2 / \sim$ . By Theorem 4.3,  $D \circ V = D$ , so

$$\begin{aligned} H \circ \Omega &= (\Phi \circ D \circ \Phi^{-1}) \circ (\Phi \circ V \circ \Phi^{-1}) \\ &= \Phi \circ D \circ V \circ \Phi^{-1} \\ &= \Phi \circ D \circ \Phi^{-1} \\ &= H. \end{aligned}$$

Thus for all  $x \in \mathbb{Z}_2$ ,  $H(x) = H(\Omega(x))$ , so  $\{x, \Omega(x)\}$  is a subset of the equivalence class of  $x$  in  $Q_H$ .

To see that these are the only elements in the equivalence class of  $x$ , let  $y \in \mathbb{Z}_2$  and assume  $y \neq x$  and  $H(y) = H(x)$ . Then  $\Phi(D(\Phi^{-1}(x))) = \Phi(D(\Phi^{-1}(y)))$ , and since  $\Phi$  and  $\Phi^{-1}$  are bijections,  $\Phi^{-1}(x) \neq \Phi^{-1}(y)$  and  $D(\Phi^{-1}(x)) = D(\Phi^{-1}(y))$ . Therefore  $\Phi^{-1}(x) = V(\Phi^{-1}(y))$  by Theorem 4.3. Thus  $x = \Phi \circ V \circ \Phi^{-1}(y) = \Omega(y)$ . Therefore,  $Q_H = \mathbb{Z}_2 / \sim$ .

Now define  $G : \mathbb{Z}_2 / \sim \rightarrow \mathbb{Z}_2$  by  $G(\{x, \Omega(x)\}) = H(x) = H(\Omega(x))$ . By the definition of  $Q_H$ ,  $G$  is injective. Also, since  $D$  is surjective and  $\Phi$  and  $\Phi^{-1}$  are bijective,  $H$  is surjective as well, and therefore  $G$  is surjective. Thus  $G$  is a bijection. Finally, for any  $x \in \mathbb{Z}_2$ ,

$$\begin{aligned} G(\Psi(\{x, \Omega(x)\})) &= G(\{T(x), T(\Omega(x))\}) \\ &= G(\{T(x), \Omega(T(x))\}) \\ &= H(T(x)) \\ &= T(H(x)) \\ &= T(G(\{x, \Omega(x)\})) \end{aligned}$$

and therefore  $G \circ \Psi = T \circ G$ . So  $G$  is a conjugacy from  $\Psi$  to  $T$ . ■

This theorem is fascinating, for it proves that the parity-neutral function  $\Psi$  is conjugate to, and thus has the same dynamical structure as, the function  $T$  defined piecewise on even and odd 2-adic integers.

## 6. Conclusion

We have discovered an interesting finite subset of the set of all continuous endomorphisms of  $S$  in that  $D, V \circ D, S$ , and  $V \circ S$  are the only such maps whose parity vector functions are solenoidal. In addition, each of these four maps are conjugate to  $S$  when viewed as dynamical systems on  $\mathbb{Z}_2$ , and we have seen that the “discrete derivative”  $D$  has fascinating dynamics. In particular, we have proven that  $x$  is eventually  $D$ -periodic if and only if it is eventually repeating, and have classified all eventually fixed points (Theorem 4.9) and the  $D$ -orbits of integers (Theorem 4.10) as well. We have observed that  $D$  exhibits remarkable symmetry in that  $Q_D = \{\{x, V(x)\} \mid x \in \mathbb{Z}_2\}$  and that  $\mathcal{P}$  is an involution. Given that  $D$  has such rich structure, it would be of interest to study the dynamics of other continuous endomorphisms of  $S$  and their applications as an area of future research.

We have also seen that the map  $D$  has applications to other branches of mathematics. Using Lagarias’s result that  $S$  is conjugate to  $T$ , we have demonstrated that  $D$  is conjugate to  $T$  via  $R$ , and thus that to prove the  $3x + 1$  conjecture, it suffices to show that for all positive integers  $m$ ,  $R^{-1}(m)$  has reduced form  $x_0x_1x_2x_3 \dots x_{2^n+1}$  for some  $n \in \mathbb{N}$ . Using  $D$ , we have also constructed a conjugacy  $G$  between  $T$  and the parity-neutral function  $\Psi$ . Hence, our results open the door to future research on the conjugacies  $R$  and  $G$ , motivated by the possibility of making progress on the  $3x + 1$  conjecture.

## Acknowledgements

The author would like to thank Ken Monks for sharing his knowledge pertaining to the  $3x + 1$  conjecture, and for his help and feedback throughout the course of this research. The author also thanks the reviewer for the many helpful suggestions and comments.

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