A Diencephalic Dopamine Source Provides Input to the Superior Colliculus, where D1 and D2 Receptors Segregate to Distinct Functional Zones

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Graphical Abstract

Visual SC Layers enriched for D1 dopamine receptors
Multimodal / Motor SC Layers enriched for D2 dopamine receptors

Dopamine Transporter (↓)
Dopamine Projection
GABA modulation
Resting potential

A13: Dopamine cell group in the zona incerta (ZI) of the diencephalon.

Highlights
- RNA-seq describes dopamine-related gene expression in the superior colliculus (SC)
- D1+ and D2+ neurons segregate to functionally distinct SC layers
- D1+ and D2+ neurons in the SC differentially express the inhibitory marker VGAT
- Retrograde tracing points to A13 as the source of dopamine to the SC
- Patch-clamp analysis suggests functional consequences of SC dopamine

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In Brief
Bolton et al. have provided a detailed anatomical, genetic, and electrophysiological characterization of the dopamine system in the midbrain superior colliculus. These findings lay the groundwork for understanding how dopamine may influence the colliculus’s ability to allocate attention and orienting movements to specific regions of space.

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A Diencephalic Dopamine Source Provides Input to the Superior Colliculus, where D1 and D2 Receptors Segregate to Distinct Functional Zones

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Article

SUMMARY

Modulation of neural responses is frequently observed in the superior colliculus (SC), a retinorecipient midbrain structure that controls orienting and the localization of attention. Although behavioral contingencies that influence SC responses are well documented, the neural pathways and molecular mechanisms responsible for this modulation are not completely understood. Here, we illustrate a dopaminergic system that strongly impacts neural responses in the SC. After using RNA sequencing (RNA-seq) to detail the transcriptome of dopamine-related genes in the SC, we show that D1 receptors are enriched in the superficial visual SC, while D2 receptors segregate to the intermediate multimodal/motor SC. Retrograde injections into the SC consistently label A13, a small dopamine cell group located in the zona incerta. We surmise that A13 mimics dopaminergic effects that we observed in SC slices, which suggests that dopamine in the SC may reduce the tendency of an animal to orient or attend to salient stimuli.

INTRODUCTION

Neurons in the superior colliculus (SC) exhibit different responses to the same stimulus based on current behavioral demands (Knudsen, 2011; Basso and Wurtz, 1998; Wurtz and Mohler, 1976; Ikeda and Hikosaka, 2003, 2007). Understanding the neural basis of this modulation is critical, because the SC exerts an incredible amount of control over spatial attention and behavioral target selection, with the ability to override current behavioral tasks to shift attention to new, more salient environmental stimuli (Merker, 1980; Müller et al., 2005; Lovejoy and Krauzlis, 2010; Krauzlis et al., 2004). Two pathways that modulate the SC have already been functionally described, including the cholinergic and GABAergic nucleus isthmi (Goddard et al., 2014; Gruberg et al., 2006; Dudkin and Gruberg, 2003) and the GABAergic substantia nigra pars reticulata (Hikosaka and Wurtz, 1985; Basso and Wurtz, 2002; Knudsen, 2011). However, although the neuromodulator dopamine has been shown to alter sensory responses to visual input in frontal cortex (Jacob et al., 2013), odor stimuli in the olfactory forebrain (Schärer et al., 2012), and auditory stimuli in A1 (Happel et al., 2014), a detailed anatomical and electrophysiological description of its impact on the SC has never been shown. We therefore used genetic, anatomical, and electrophysiological approaches in order to understand dopamine’s influence on SC function.

Modulation of the SC must be understood in the context of the complex SC structure, which contains multiple interconnected neural layers that are ostensibly distinct in terms of electrophysiology (Isa and Hall, 2009), functionality (Northmore et al., 1988; Dean et al., 1989), and molecular expression (Iilling, 1996; Mize et al., 1992; Behan et al., 2002). The superficial SC consists of three layers (the stratum zonale [SZ], stratum griesium superficiale [SGS], and stratum opticum [SO]) that receive direct input from the retina and contain neurons with exclusively visual receptive fields (Huerta and Harting, 1984b; Dräger and Hubel, 1975). Single neurons in the largest superficial layer, the SGS, receive converging input from both V1 and retinal ganglion cell axons that terminate along the SC surface in a topographic “visual map” of contralateral space (Sperry, 1963; Phillips et al., 2011; Huerta and Harting, 1984b); these retinal axons enter the SC via the SO ventral to the SGS and give rise to activity in superficial SC neurons that encodes the spatial locations of salient visual stimuli.

The visual SC layers project ventrally to the intermediate SC, which translates the topographic map of visual space to intermediate layer neurons (Helms et al., 2004). However, unlike the purely visual superficial SC, the intermediate SC layers (the stratum griesium intermediale [SGI] and stratum album intermediale [SAI]) are multimodal, responding topographically to somato-sensory and auditory input in addition to vision (Huerta and Harting, 1984a; Dräger and Hubel, 1975). The intermediate SC also contains a “motor map”; that is, activation of neurons in these layers...
evokes orienting movements (Robinson, 1972; Schiller and Stryker, 1972; Northmore et al., 1988; Dean et al., 1986; Ewert, 1984), or shifts in covert attention (Müller et al., 2005), to prescribed locations in space. The alignment of SC sensory and motor maps means that salient sensory input can induce spatially precise movements or focal attention toward interesting stimuli (Ingle, 1975).

Here, we describe a dopaminergic modulatory pathway that terminates in the SC, where D1 and D2 receptors are arranged in a behaviorally relevant pattern: D1 receptors are enriched in the superficial visual SC, while D2 receptors are concentrated in the multimodal/motor SC layers. Dopamine, which is found at higher levels in the SC than the frontal cortex or hippocampus (Versteeg et al., 1976), arises from a small diencephalic cell group called A13 and possibly from the locus coeruleus, the two only TH+ brain regions shown here to consistently receive retrograde labeling from SC injections. We use transcriptome profiling as well as anatomical and patch-clamp methods to describe the specifics of dopamine uptake, metabolism, and electrophysiological function within the SC. Overall, our results lay the groundwork for understanding how dopamine may modulate SC-mediated attention shifts and orienting behaviors.

RESULTS

Characterizing the Dopamine Transcriptome in the SC

An ongoing study in our laboratory has characterized the transcriptome within the superficial and intermediate SC layers of the 3-week-old rat (R.K. and M.C.P., unpublished data). Three samples of RNA were sequenced, each containing three or four superior colliculi (Figure 1A). Figure 1B shows the expression of all transcripts related to the dopamine system in the SC, arranged into receptor-related genes and uptake/breakdown-related genes. We observed strong expression of only two dopamine receptor subtypes in the SC: Drd1a, which codes for the D1 dopamine receptor, and Drd2, which codes for the D2 dopamine receptor (1.88 ± 0.27 FPKM [fragments per kilobase per million reads] and 5.53 ± 0.15 FPKM, respectively; Figure 1B [see the Figure 1 legend for an explanation of FPKM]; Trapnell et al., 2010). By comparison, genes coding the two most common NMDA receptor subtypes in the brain, Grin2B and Grin2A, show average FPKM values similar to Drd1a and Drd2, respectively (2.96 and 7.07 FPKM). Darpp32 (aka Pppr1r1b), a phosphatase inhibitor known to facilitate dopamine receptor signaling (Hemmings et al., 1984), is expressed on the order of Drd2 (4.39 ± 0.94 FPKM), indicating a prominent role in the SC.

With respect to the breakdown and clearance of synaptic dopamine, genes encoding the breakdown enzymes COMT (catechol-O-methyltransferase) and MAO (monoamine oxidase) are strongly expressed in the SC (Comt 18.66 ± 3.50 FPKM, Mada 20.49 ± 1.50 FPKM, Maob 14.93 ± 1.35 FPKM). Breakdown, however, can only occur after dopamine is transported into neurons or glia, because soluble COMT, MAOA, and MAOB proteins are all cytosolic and membrane-bound COMT is oriented intracellularly (Schott et al., 2010). The dopamine and norepinephrine transporters (DAT and NET) are candidate uptake mechanisms, but we did not observe expression of Dat or Net in the SC (Figure 1B). Our studies also suggest that DAT is not even pre-synaptically expressed in SC dopamine terminals (see Results), leading us to search our RNA sequencing (RNA-Seq) data for an alternative method of dopamine clearance in the SC.

mRNA for a recently described high-capacity dopamine transporter, Pmat (plasma membrane monoamine transporter; Duan and Wang, 2010), is the only candidate transporter that is highly expressed in the SC (9.43 ± 2.98 FPKM; Figure 1B). This elevated expression level is confirmed by a recent immunohistochemistry study showing that the superficial and intermediate SC layers are two of the most highly enriched regions of the brain for PMAT protein (Dahlén et al., 2007). Another class of recently described organic cation transporters does not appear at high levels in the SC (Emt 0.26 ± 0.06 FPKM, Oct1 0.56 ± 0.20 FPKM, Oct2 0.02 ± 0.02 FPKM; Haag et al., 2004; Busch et al., 1996; Gründemann et al., 1998).

Mthfr (methyltetrahydrofolate reductase) is significantly expressed in the SC (3.26 ± 0.42 FPKM). The MTHFR enzyme is required for dopamine breakdown, as it supplies COMT with methyl groups for dopamine methylation (Tunbridge et al., 2009). Our sequencing results therefore suggest that the main mode of action following dopamine release in the SC is activation of D1 and D2 dopamine receptors, uptake after receptor activation into post-synaptic cells via PMAT, and eventual breakdown via COMT/MAO/MTHFR-mediated metabolism.

D1+ and D2+ Cells Are Segregated in the SC

We next characterized the collicular locations of the two highly expressed dopamine receptors from our RNA-seq data as a first step toward understanding dopamine’s effect on SC function. D1+ and D2+ neurons were visualized using mice expressing tdTomato under the Drd1a promoter (D1-tdTom; Ade et al., 2011) and enhanced GFP under the Drd2 promoter (D2-EGFP; Gong et al., 2003). We immediately noticed a remarkable laminar pattern of dopamine receptor expression. D1+ neurons were enriched in the superficial visual layers of the SC, while D2 expression was scarce in this area (Figures 1C–1E). D2-expressing neurons were instead enriched ventral to the SGS, with strongest expression in the intermediate SC (Figures 1C–1E). In total, 29,343 SC neurons (identified by NeuN immunostaining) were counted in our D1-tdTom x D2-EGFP samples: similar proportions of SC neurons expressed D1 and D2 receptors (11.3% D1+, 9.4% D2+). Only 1.2% of SC neurons were both D1+ and D2+; double-labeled neurons did not appear to show any specific spatial arrangement within the SC (Figure 1C). Overall, D2+ neurons were located significantly deeper in the SC than D1+ cells (p < 0.0001; Figures 1D and 1E; 262.23 µm versus 462.02 µm median depth from dorsal SC surface). See Table 1 for counts in the rostral, medial, and caudal planes of all D1 and D2 cells.

Characterizing the Inhibitory/Excitatory Identity of D1 and D2 Neuron Populations

Identifying D1+ and D2+ neurons as excitatory or inhibitory is necessary for understanding how these cells operate within the SC circuit. D1-tdTom and D2-tdTom (D2-Cre x floxed-tdTomato) mice were therefore crossed to a line expressing...
Figure 1. RNA Sequencing Shows Significant Expression of D1 and D2 Dopamine Receptors, which Are Segregated within the SC

(A) Crowns of the superior colliculus were dissected from P20 rats. Tissue samples contained the superficial SC, intermediate gray (SGI), and part of the intermediate white (SAI) layers.

(B) RNA-seq values for dopamine-related genes in the SC are reported in fragments per kilobase per million reads (FPKM). FPKM normalizes the amount of reads observed to the length of the gene, preventing large genes from being over-represented in the analysis and small genes from being under-represented.

(C) D1-tdTom mice were crossed to D2-EGFP mice to produce a D1-tdTom D2-EGFP double-transgenic mouse. Two-dimensional (2D) histograms indicate D1+ or D2+ cell density for each 80-μm-wide hexagon of collicular space. D1-tdTom expression is enriched in the superficial SC, while D2-EGFP is more highly expressed in the intermediate SC. Total numbers of cells counted across three animals are correlated to bin color in legends left of each panel. Unlike D1+ and D2+ neurons individually, D1+ D2+ neurons showed no particular spatial pattern. Scale bars represent 300 μm.

(D) Scatterplot showing the distribution of D1+ and D2+ neurons binned by depth from the dorsal SC surface. All neurons counted from all coronal planes of three D1-tdTom x D2-EGFP, three D1-tdTom x VGAT-Venus, and three D2-tdTom x VGAT-Venus animals were pooled.

(E) Boxplot showing the distribution of median depths of D1 and D2 neurons from the dorsal SC surface. Median depths were calculated in each slice (rostro, middle, and caudal) of three D1-tdTom x D2-EGFP, three D1-tdTom x VGAT-Venus, and three D2-tdTom x VGAT-Venus samples, totaling 18 medians per distribution. D2+ neurons were significantly deeper in the SC than D1+ cells (**p < 0.0001, D1: 262.23 μm versus D2: 462.02 μm median depth). Ends of whiskers are maximum and minimum of the distributions, box edges are first and third quartiles, and lines inside boxes are medians.
the yellow fluorescent protein Venus in neurons expressing the vesicular GABA transporter (VGAT), which is only found in GABAergic SC neurons (Wang et al., 2009).

Overall, D1+ SC neurons expressed VGAT at a 58% rate (1,793/3,076; Figure 2A; Table 1). Critically, however, seven out of every ten D1+ cells were VGAT+ in the superficial SC (<200 μm deep, 69.4%; Figure 2C). This is clearly shown in Figure 2A, where the majority of D1+ neurons in the SGS are yellow and the bulk of D1+ VGAT+ cell density is in the SGS. Figure 2A (right) shows a high-magnification image of the D1-tdTom × VGAT-Venus SGS, where nearly every D1+ cell imaged in the SGS is VGAT+. Figure 2C shows a scatterplot illustrating that D1 co-localization with VGAT is correlated with depth from the dorsal SC surface. Below the dorsal-most 400 μm of the SC, D1 neurons no longer preferentially co-localize with VGAT (Figure 2C). This change was statistically significant (p < 0.0001), as the average depth of a D1+ VGAT+ neuron was significantly more ventral than D1+ VGAT+ cells (Figure 2D; average depths: 229.58 μm D1+ VGAT+, 380.34 μm D1+ VGAT−).

Contrary to the primarily inhibitory nature of D1+ neurons, 63% of D2+ neurons were VGAT− while only 37% were VGAT+ (Table 1; Figure 2B). D2 co-localization with VGAT, unlike D1, was uniform across the depth of the SC at ~30%–40% (Figure 2C), and the average depth of D2+ cells expressing VGAT versus those not expressing VGAT did not reach statistical significance (Figure 2D).

### Table 1. Total Neuron Counts of D1, D2, and Dopamine Receptor Overlap with VGAT

<table>
<thead>
<tr>
<th></th>
<th>D1-tdTom × D2-EGFP</th>
<th>D1-tdTom × VGAT-Venus</th>
<th>D2-tdTom × VGAT-Venus</th>
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<tbody>
<tr>
<td></td>
<td>D1+</td>
<td>D2+</td>
<td>D1+ D2+</td>
</tr>
<tr>
<td>Rostral</td>
<td>763</td>
<td>878</td>
<td>91</td>
</tr>
<tr>
<td>Middle</td>
<td>1,166</td>
<td>787</td>
<td>118</td>
</tr>
<tr>
<td>Caudal</td>
<td>1,036</td>
<td>737</td>
<td>140</td>
</tr>
<tr>
<td>Total</td>
<td>2,965</td>
<td>2,402</td>
<td>349</td>
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Dopamine Source to the SC Is Primarily DAT

We next sought to characterize the source of SC dopamine using retrograde labeling. The SCs of seven mice (five wild-type [WT] mice and two DAT-ires-Cre knockin mice crossed to the floxed-tomato reporter line [DAT-tdTom]) were stereotaxically injected with latex microspheres that travel retrogradely along axons (Retrobeads, Lumafuor). Serial sections were prepared, and each sample was stained with antibodies for the dopamine synthesizing enzyme tyrosine hydroxylase (TH) and the dopamine transporter (DAT), which is known to re-uptake dopamine into presynaptic terminals after dopamine release. TH catalyzes the conversion of tyrosine to the required dopamine precursor L-DOPA and is therefore only present in neurons capable of dopamine production. However, because dopamine can be converted to norepinephrine or epinephrine in hindbrain cell groups (A1–A7, the locus coeruleus, and C1–C3: Mejías-Aponte et al., 2009), TH marks all dopamine, norepinephrine, and epinephrine neurons.

DAT, on the other hand, is thought to only demark dopamine-releasing cells (Fu et al., 2012; Tritsch et al., 2012; Tecuapetla et al., 2010). DAT co-localizes with TH at close to 100% in ventral midbrain dopamine neurons and is found in every known dopaminergic cell group except group A13 in the diencephalon, which is critical for this report (Fu et al., 2012; Tritsch et al., 2012). We first focus on the dopaminergic axons within the SC and next show that the TH+ axon pattern in the SC corresponds perfectly to the pattern of retrogradely labeled TH+ neurons.

In all of our samples, TH+ axons were extremely dense in the SC (Figure 3A, left), with no preferential targeting to specific SC layers. This means that axons capable of producing dopamine terminate broadly in the mouse SC, which has been observed in other species (Mooney et al., 1990; Arce et al., 1994; Metzger et al., 2006). DAT-tdTom reporter (Figure 3A, center) and DAT antibody staining (Figure 3A, right), on the other hand, were sparse or nearly absent in SC axons, respectively, although both DAT-tdTom and DAT immunohistochemistry (IHC) were highly coexpressed in the ventral midbrain in the exact same slices, and show full co-localization in the same samples in the basal ganglia (Figure S3). DAT IHC stained an average of only 7.5 axons per SC slice (4 DAT IHC-stained SC slices counted; Figure 3A, right) compared to the thousands of TH+ axons observed in the SC. Additionally, nearly all DAT-tdTom+ terminals found within the SC were TH− (502/520 DAT+ axon endings were TH−; n = 7 slices across three animals; Figure 3B), meaning that these axons arise from DAT-tdTom-expressing neurons that are incapable of synthesizing dopamine. This was not typical as both ventral midbrain in the same slices and basal ganglia in the same samples show that DAT-tdTom- and DAT-IHC-expressing axons overlap robustly with our TH antibody (Figure S3).

The expression pattern of TH, DAT-tdTom, and DAT IHC agreed fully with the pattern of retrobeads observed in the seven injected animals. A typical retrobead injection into the SC is...
shown in Figure 3C. Retrobeads transported retrogradely from SC injection sites were consistently observed in only two TH⁺ cell groups in all seven animals, and both labeled groups were DAT⁻. The first group is the locus coeruleus (LC), a canonical noradrenergic hindbrain region previously shown to project strongly to the superficial SC (Arce et al., 1994; Figure 3D). It has been recently demonstrated that the locus coeruleus can co-release dopamine, which provides a possible basis for dopamine receptor activation in the SC (Devoto et al., 2005a, 2005b).

The second retrogradely labeled TH⁺ region is the A13 cell group of the zona incerta (Figure 3E). As noted, this cell group is known as the only DAT⁻, TH⁺ dopamine cell group in the brain (Tritsch et al., 2012). All seven injections showed retrograde labeling of A13, which never stained positive for DAT antibody nor showed DAT-tdTomato expression (Figure 3E; note DAT and TH antibody co-stained axons, typical of midbrain dopamine projections, right next to A13, running from midbrain to the caudate putamen).

Midbrain dopamine neurons were practically devoid of retrobeads in all animals studied (two total DAT⁺, TH⁺ neurons found in seven animals). No clear retrogradely labeled neurons were observed in any of the three major midbrain dopamine cell groups [the retrorubral field (A8), the substantia nigra pars compacta (A9), and the ventral tegmental area (A10)], which all stained for DAT and showed DAT-tdTom reporter expression (Figure 3E). A previous line of research suggested that dopamine neurons coexpressing GABA in the substantia nigra pars reticulata (SNr) project to the SC in the rat (Campbell et al., 1991). Retrobeads were found consistently in all samples in TH⁺ neurons of the substantia nigra pars reticulata (SNr; Figure S4), which likely represent the GABAergic projection found by Hikosaka and Wurtz (1985) that tonically inhibits the SC. However, we never observed any retrograde labeling of TH⁺ neurons in the SNr. It is possible that our retrobead injections were simply not taken up by terminals described by Campbell et al. or that our injections were not in the same locations within the SC.

We also managed to identify why DAT-tdTom⁺ axons in the superficial SC do not stain for TH (Figure S5). A small packet of hindbrain neurons lying just underneath the fourth ventricle was retrogradely labeled in both DAT-tdTom mice tested. These neurons lacked TH (Figure S5A) and were not stained by the DAT antibody (Figure S5B), indicating a lack of dopaminergic identity in the adult animal. Due to the DAT reporter being an IRES-Cre, DAT was likely expressed in this cell population at some point in the adult animal. Due to the DAT reporter being an IRES-Cre, DAT was likely expressed in this cell population at some point in the adult animal. Due to the DAT reporter being an IRES-Cre, DAT was likely expressed in this cell population at some point in the adult animal. Due to the DAT reporter being an IRES-Cre, DAT was likely expressed in this cell population at some point in the adult animal.

**Dopamine Alters Electrophysiology of D1 and D2 Neurons**

Patch-clamp electrophysiology was used in acute sagittal SC slices to determine how D1⁺ and D2⁺ cells may respond to dopamine released from A13 or the LC. D1⁺ neurons in the SGS were current clamped while their afferent visual axons in the SO were electrically stimulated to mimic visual input. Stimulation intensity was increased until each delivered stimulus evoked a spike in the postsynaptic D1⁺ cell (Figure 4A). When dopamine (50 μM) was washed onto the slice, these evoked spikes were eliminated in 12/14 cells tested (11 complete spike elimination, 1 partial with 18/31 failures, 2 no reduction: average 1 spike/stimulation control versus 0.18 spikes/stimulation DA, p < 0.0001; Figure 4A). Spike failures began on average 52.65 s (± 27.11 s) after initiation of dopamine wash-in, occurred independently of resting voltage shifts (4 cells show an increase in Vₑ with dopamine whereas 8 cells show a decrease; p > 0.05), and were completely reversible after dopamine washout in 10 out of 12 cells. Of the 14 cells tested, 3 out of 3 pre-bathed with gabazine (20 μM) showed full spike elimination upon dopamine wash-in, suggesting that despite D1⁺ cells being ~70% GABAergic in the SGS, spike elimination was not due to an indirect increase in GABAergic inhibition. Furthermore, in voltage-clamp experiments (~70 mV holding voltage, Mg-containing artificial cerebrospinal fluid [ACSF], 20 μM gabazine), dopamine reduced compound excitatory post-synaptic currents (EPSCs) evoked by SO stimulation by an average of 65% (p < 0.01, n = 9; Figures 4C and 4D), suggesting that spiking was eliminated because EPSCs were incapable of driving the neurons to spike threshold. Interestingly, spike elimination in response to SO stimulation was not replicated by the D1 agonist SKF81297 (10 μM, n = 4 cells, 3 no spike reduction, 1 temporary resting drop that rebounded after 132 s) or the D2 agonist quinpirole (n = 2 cells, no reduction). Instead, the main effect of SKF81297 wash-in appeared to be a reduction in GABAergic inhibition among the network of D1⁺ GABAergic SGS neurons. Spontaneous inhibitory post-synaptic currents (IPSCs) were recorded in six D1⁺ SGS cells (voltage clamp at +30 mV, NBOX [10 μM], AP5 [25 μM] in bath). Five cells showed a reduction in average IPSC amplitude, while four showed a reduction in IPSC frequency 2 min after SKF81297 wash-in. The most prominent effect on IPSCs, however, appeared after the washout of SKF81297. SKF81297 washout induced a rebound effect on IPSC frequency in all cells that maintained constant seal during 5 min of washout (5/5, p < 0.05, Wilcoxon signed rank test; Figures 4C and 4D). On average, there were 29% more IPSCs recorded 5 min after SKF81297 washout than during SKF81297 incubation, which can be clearly seen in two representative cells in Figure 4C.

Next, D2⁺ neurons in the intermediate layers were current clamped to read out raw resting voltage. When dopamine (50 μM) was washed into the bath, resting potential decreased on every neuron tested, sometimes severely (up to 14 mV shifts, 4.31 mV mean decrease, n = 14 cells, p < 0.001, Figures 5A and 5D). In a subset of neurons, equivalent injected current ramps were performed in control and dopamine conditions. Dopamine exposure significantly decreased spiking during current ramps as seen in Figure 5A (mean drop of 15.06 spikes per ramp, p < 0.05, n = 8, Figure 5D, right). Moreover, in 2/2 neurons where spontaneous network firing was observed in control conditions, dopamine washin remarkably silenced spontaneous firing (Figure 5C), indicating that dopamine has a strongly depressive influence over the SC circuit. The D2 antagonist sulpiride (10 μM) was effective in blocking the resting shift induced by dopamine (n = 4, −0.8 mV shift, p = 0.167; Figures 5B and 5D); furthermore, with all synaptic conductances blocked (20 μM gabazine, 10 μM NBOX, Mg-ACSF for NMDAR), the D2 agonist quinpirole (10 μM) was highly effective at replicating the resting shifts (drop on all cells tested, −2.67 mV average, n = 7, p < 0.05;
Figure 2. D1 Neurons in the SGS Are Primarily Inhibitory, while D2 Neurons Are Mostly VGAT^-  
(A and B) D1-tdTom mice (A) and D2-tdTom mice (B) were crossed to a line expressing the yellow fluorescent protein Venus under the Vgat promoter. D1-tdTom neurons in the SGS co-localized strongly with VGAT, as illustrated by the high density of D1+ VGAT+ cells in the SGS in the 2D histogram and the zoomed image in the rightmost panels (representing the area inside the white dashed box on the leftmost panel). D2-tdTom cells, on the other hand, were primarily negative for VGAT. The highest density bins for D2+ VGAT- cells were in the upper SGI (shown in zoomed image and histogram), while density of D2+ VGAT+ bins was spread throughout the SGI and SAI, leading to a slightly deeper average depth for D2+ VGAT- cells (2D). Total numbers of cells counted across three animals are reflected by bin color according to legends left of each panel. Scale bars represent 300 μm on full SC images and 50 μm on rightmost zoomed images. Hexagons are 80 μm wide in histograms.  
(C) Scatterplot displaying percent co-localization of D1+ and D2+ neurons with VGAT, binned according to depth from the dorsal SC surface. D1+ neurons expressed VGAT far more commonly in the most superficial SC (0 to 200 μm bin), where ~70% of cells were VGAT+. This percent overlap of D1 with VGAT decreased with depth from the SC surface. There was no apparent change in VGAT co-localization with D2 across the SC layers.  
(D) Boxplot illustrating the distribution of median depths of D1+ VGAT+, D1+ VGAT-, D2+ VGAT+, and D2+ VGAT- neurons from the dorsal SC surface. Median depths were calculated in each slice (rostral, middle, and caudal) of three D1-tdTom x VGAT-Venus and three D2-tdTom x VGAT-Venus samples. D1+ neurons (legend continued on next page)
Figures 5B and 5D), indicating that resting drops induced on D2+ neurons are cell autonomous and D2 dependent. We therefore conclude that the primary action of dopamine on D2 neurons of the intermediate layer is to reduce resting potential, thereby hampering the cell’s ability to fire in response to excitatory currents induced by injection or network activity.

DISCUSSION

Responses of neurons in the SC are modulated by current behavioral demands of the animal (Felsen and Mainen, 2012; Ikeda and Hikosaka, 2003, 2007; Basso and Wurtz, 1998). Our characterization of the anatomy, gene expression profile, and electrophysiological consequences of the dopamine input to the SC lays the groundwork for understanding how A13 and dopamine receptor activation within the SC may modulate SC-mediated behaviors.

We began our study by using transcriptome analysis, showing that Drd1 and Drd2 are the only two dopamine receptors that are strongly expressed in the SC (Figure 1B). Cells expressing these receptors were then localized within the layered SC structure. D1+ cells were enriched in the “visual map” of the SGS, where D1 strongly co-localized with VGAT. D1 localization to the superficial SC is supported by previous in situ and D1 agonist binding studies (Mansour et al., 1992; Mengod et al., 1991). D2+ cells, on the other hand, were dense in the intermediate layer “motor map” and tended to be non-GABAergic (Figures 1 and 2).

As previously noted, dopamine is present at higher concentrations in the SC than in either the hippocampus or frontal cortex (Versteeg et al., 1976). The dopamine-synthesizing enzyme TH shows dense axonal expression in the mouse SC (Figure 3), as has also been seen in other species (Arce et al., 1994; Metzger et al., 2006; Mooney et al., 1990). Moreover, previous studies have shown that the COMT-generated dopamine breakdown product 3-MT, which does not arise after norepinephrine or epinephrine breakdown by COMT, is found in the SC at the same order of magnitude as the striatum (Weller et al., 1987), again suggesting a significant dopamine input to the SC. Our retrograde tracing results support a role for A13 and possibly the LC in providing this dopamine to the SC, and our RNA-seq and IHC results, coupled to other’s findings, hint at how this dopamine may be recycled and metabolized. Specifically, the fact that 3-MT is highly concentrated in the SC is not surprising considering we found abundant Comt mRNA in the SC and a means for COMT and MAO to access dopamine via PMAT (Figure 1B). Moreover, the dopamine transporter, which clears dopamine from synapses for reuse and does not generate 3-MT, was nearly undetectable in the SC using DAT IHC, a DAT reporter line (Figure 3), and RNA-seq (Figure 1B). This lack of DAT expression supports previous data showing that dopamine reuptake by axons does not occur in the SC (Weller et al., 1987) and was the initial indicator that the primary source of dopamine to the SC is not the DAT-expressing midbrain dopamine centers (A8, SNc, and VTA) traditionally associated with dopamine function (Schultz, 2007). The fact that dopamine breakdown appears to be the only mode of dopamine clearance in the SC is important for future studies considering that COMT-generated dopamine breakdown products like 3-MT and homocysteine can modulate synaptic conductances (Bolton et al., 2013; Sotnikova et al., 2010).

TH+ axons in the superficial hamster SC were previously shown to co-localize with dopamine beta-hydroxylase (DBH), the norepinephrine-producing enzyme, at a 92% rate. This previous study determined the locus coeruleus as the primary source of the DBH+, TH+ projection to the superficial SC (Arce et al., 1994), which is consistent with our retrograde labeling of the LC in all injected mice. We surmise that the remaining 8% of TH+, DBH- axons in the SC are from the dopamine cell group A13, the only other ubiquitously labeled TH+ cell group found in our retrograde experiments. A recent line of research has found that dopamine is co-released with norepinephrine from locus coeruleus terminals, meaning that both A13 and the LC could be the SC dopamine source (Devoto et al., 2005a, 2005b). Moreover, a second line of research describes the promiscuity of dopamine receptors regarding which catecholamine activates them. Norepinephrine, for example, can activate D2 receptors (Onali et al., 1985; Odagaki et al., 1995; Lanau et al., 1997; Johnston et al., 2001) and in doing so can modulate HCN currents (Arencibia-Albite et al., 2007). It may therefore be possible that the locus coeruleus does only release norepinephrine from its TH+ SC terminals but that this norepinephrine can activate D2 dopamine receptors in the SC. Moreover, recent evidence has shown the opposite scenario: dopamine can activate norepinephrine receptors (Cilz et al., 2014), which could explain why the D1 agonist SKF81297 did not mimic dopamine’s ability to reduce evoked spiking in D1+ cells.

How might the arrangement of dopamine axons and receptors in the SC affect behavior? The depressive effects of dopamine on D2+ cells were often severe (sometimes reaching 14 mV drops; Figure 5A), reducing the ability of D2+ neurons to spike during current injection (Figures 5A and 5D). Under such conditions, dopamine would practically shut down D2+ SC neurons, which are primarily non-GABAergic and lie in the SC layer where excitatory neuron activation induces orienting behaviors and attention shifts (e.g., Robinson, 1972; Müller et al., 2005; Northmore et al., 1988; Ewert, 1984). The inhibition of retina-driven EPSCs by dopamine and the reduction of D2+ neuron firing resemble the dampening of visual responses and the silencing of the intermediate layer in the toad tectum by dopamine receptor activation (Glagow and Ewert, 1997, 1999); these physiological effects resulted in a phenotype where the toad no longer oriented or attended to prey items. Future experiments could address whether A13 activation results in this type of “waiting behavior.” Considering that neurons in the intermediate SC have visual, auditory, and somatosensory receptive fields (Huerta and Harting, 1984b), and that the intermediate SC can expressing VGAT were significantly superficial to D1+ cells that were negative for VGAT (** p < 0.0001), D2+ neurons, however, showed similar locations whether they expressed VGAT or not, with the average depth of D2+ VGAT+ neurons (447.82 μm) slightly below D2+ VGAT- cells (410.86 μm) without reaching statistical significance (p = 0.055, right boxplots). Ends of whiskers are maximum and minimum of the distributions, box edges are first and third quartiles, and lines inside boxes are medians.
Figure 3. Dopamine-Producing Axons Are Present in the SC, but DAT Is Sparse, and DAT Reporter Does Not Localize to TH+ Elements

(A and B) TH IHC reveals dense termination of catecholaminergic axons in the SC (A, left). However, DAT-tdTom (A, center) and DAT IHC (A, right) were sparse or absent, respectively. The sparse DAT-tdTom-expressing terminals found in the superficial SC did not co-localize with TH (B), suggesting that these axons arrive from cells that are non-dopaminergic in the adult mouse (TH IHC and DAT-tdTom are on the same slice, and DAT IHC is one serial slice later). Scale bars represent 300 μm (A) and 50 μm (B).

(legend continued on next page)
project back to and influence responses in the SGS (Vokoun et al., 2010; Phongphanphanee et al., 2011), it will be interesting to see whether D2 activation specifically affects particular modalities or particular feedback circuits.

Although it appears that dopamine is primarily inhibitory to SC neurons, dopamine’s effect on neural circuit function is likely complex considering that D1+ and D2+ populations both co-localize with VGAT to some degree (Figure 2) and that electrophysiological effects on these inhibitory cells were noted using D1 agonists (Figure 4). To understand how dopamine truly influences the SC, it is critical to discover how A13 responds during tasks typically thought to require dopamine. Although the dopamine architecture described in this study could be responsible for the modulation of SC activity by reward (Ikeda and Hikosaka, 2003, 2007), A13 may be involved in tasks not normally ascribed to dopamine. Moreover, it will be critical to understand dopamine’s role in the SC within the context of brain-wide dopamine signaling. Neurons in the striatum, for example, receive a large dopamine projection from the midbrain and express D1 or D2 receptors on nearly every cell (Thibault et al., 2013). The direct effects of dopamine on the SC may therefore be less significant than indirect effects of dopamine on neurons efferent to the SC. However, we believe the direct behavioral effects of dopamine on the SC will prove to be important considering that our electrophysiological findings on D2+ neurons were cell autonomic (Figure 5; all synaptic conductances blocked on quinpirole experiments) and the fact that D1 and D2 receptors are expressed on the order of two of the most common and fundamental glutamate receptor transcripts in the brain (Figure 1).

In conclusion, we believe our study lays a genetic, anatomical, and electrophysiological foundation for the future exploration of dopamine in the SC. Linking behaviorally relevant dopamine neuron activity in A13 to dopamine release and D1+/D2+ cell modulation in the SC should be the primary goal of future research in this area, which should hopefully explain how the laminar arrangement of D1 and D2 cells relate to the SC’s role in attention and sensorimotor transformations during orienting.

**EXPERIMENTAL PROCEDURES**

All experiments were carried out with the approval of the Committee on Animal Care at the Massachusetts Institute of Technology.

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**Figure 4. Electrophysiological Effects on D1+ SC Neurons**

(A) Spikes were evoked in D1+ neurons by SO stimulation to mimic visual input. Stimuli were applied every 5 s in current clamp; dopamine washin eliminated spiking in most cells tested (11/14) and partially eliminated spiking in an additional cell (18/32 failures). These effects were completely reversible in 11 cells. Red stars indicate brief pauses in the electrophysiological record to test seal and access resistance.

(B) EPSCs evoked by SO stimulation were significantly reduced (average 65% reduction, n = 9 cells) by dopamine, measured after 2 min of dopamine exposure. Again, this effect was reversible.

(C) Although SKF81297 did not replicate dopamine’s effect on spike elimination, this D1 agonist did affect amplitude and frequency of spontaneous IPSCs (+50 mV holding, NBOX [10 μM], AP5 [25 μM] in bath). This was especially clear during SKF81297 washout (shown here in two different neurons), where IPSC frequency significantly increased in all five cells tested.

(D) Boxplot showing the complete distribution of percentage drops for evoked EPSCs (dopamine/control) and spontaneous IPSCs (SKF/washout). Ends of whiskers are maximum and minimum of the distributions, box edges are first and third quartiles, and lines inside boxes are medians.

![Figure 4](image_url)
Animals
Mice listed as WT are C57B6/J. Strains from GENSAT include D1-Cre (FK150), D2-Cre (ER44), and D2-EGFP (S118). D2-EGFP mice were backcrossed to C57B6/J after arriving on a Swiss Webster background. Strains from Jackson Laboratory include D1-tdTomato (#016204), floxed-tdTomato (#007914), DAT-Cre (#006660), and TH-Cre (#008601). VGAT-Venus mice were generously given to us by Janice Naegele (Wang et al., 2009). Venus was developed by Dr. Atsushi Miyawaki at RIKEN (Wako).

RNA-Seq
Total RNA from the 3-week-old rat superior colliculus was extracted using Qiazol (QIAGEN) reagent according to the manufacturer’s instructions. Total RNA was cleaned up using the RNeasy MinElute kit (QIAGEN) and stored at $-80\,^\circ \text{C}$. The purity of RNA was assayed using a NanoDrop, and samples with 260/280 ratios less than 1.8 or 260/230 ratios less than 2.0 were subjected to a second round of cleanup and discarded if they were still not pure after the second cleanup. Samples were run on the Agilent 2100 Bioanalyzer and samples with RIN numbers less than 9 were discarded. Samples were stored at $-80\,^\circ \text{C}$ until library creation.

DNA libraries for paired-end sequencing on the Illumina Genome Analyzer II were prepared following the Illumina protocol (#1004898 Rev. D) with a few alterations. The resulting product was PCR amplified for ten cycles using primers against the Illumina adaptors. The final library was run on an Agilent Bioanalyzer to confirm proper size selection. Samples were submitted to the BioMicro Center at MIT for sequencing on the Illumina Genome Analyzer II with 36-bp paired-end reads.

We processed the RNA-seq data using the best-practice RNA-seq pipeline implemented in version 0.7.9a of the bcbio-nextgen framework. In brief, we trimmed off poor-quality ends with AlienTrimmer version 0.3.2, using a cutoff of a phred score of 5 or less, and trimmed portions of reads and anything after it matching the first 13 bases of the Illumina universal adaptor sequence to remove read-through contamination caused by the read length being longer than the insert size for a fragment. We also trimmed polyA and polyT homopolymer sequences from the 5’ ends of reads. Reads were aligned using the STAR aligner version 2.3.14z against the *rattus norvegicus* genome build rn5 and Ensembl release 74 of the gene annotation. Counts of reads mapping to genes in the Ensembl annotation were calculated using FeatureCounts version 1.4.4 and FPKM expression was estimated using Cufflinks version 2.1.1.

Animal Surgery
Postnatal day 10 (P10) to P16 mice were anesthetized with isoflurane and immobilized in a stereotaxic frame. The skin overlying the skull was incised and a

Figure 5. Electrophysiological Effects on D2+ SC Neurons
(A–C) Dopamine application (50 μM) to current clamped D2+ neurons in the intermediate SC reduced resting voltage on all cells tested (n = 14) (A). This often severe effect of dopamine washin significantly reduced spiking during injected current ramps (1 and 2) (A and D) and robustly eliminated spontaneous network firing in two cells where spontaneous spiking was observed (C). The D2 agonist quinpirole (10 μM) also reduced resting voltage in all cells tested (n = 7) and resting drops induced by dopamine were blocked by the D2 antagonist sulpiride (10 μM) (B).

(D) Left: boxplot showing the distribution of resting voltage drops in response to dopamine, dopamine and sulpiride, and quinpirole. Ends of whiskers are maximum and minimum of the distributions, box edges are first and third quartiles, and lines inside boxes are medians. Right: each color represents a D2 cell where equivalent current ramps were injected into the neuron both in control conditions and during dopamine exposure. Solid lines indicate that spikes were taken first in control ACSF and second in dopamine ACSF, and dotted lines indicate that spikes were recorded first in dopamine ACSF and second after washout to control ACSF, ruling out order-related effects. Red stars in (C) indicate brief pauses in the electrophysiological record to test seal and access resistance.
dental drill was used to burr a hole though the skull above the SC. Glass pipettes (Drummond) were pulled and ~2 μl latex microspheres (Retrobeads IX, Lumafluor; green beads were injected at 100% and red beads injected at 25% beads; PBS) were loaded into the pipette tip using a Drummond Nanoject. Loaded pipettes were lowered under stereotaxic guidance into the SC. Microspheres were injected (~45–100 nl total), and the pipette was slowly retracted 5 min later. Animals were sutured and returned to their home cages for ~2 weeks before perfusion.

**Histology and Anatomy**

Animals were perfused through the heart with 15 ml PBS followed by 15 ml 4% paraformaldehyde in PBS under isoflurane anesthesia. Brains were extracted 2 weeks before perfusion.Brains were transferred to 30% sucrose in PBS for cryoprotection at 4°C.Brains were cut at 75–90 μm using a Leica freezing microtome or a Leica cryostat with OCT as a freezing medium. For IHC, free-floating slices were incubated for ≥2 hr at room temperature in a blocking buffer containing 1% Triton X-100 and 5% goat or donkey serum in PBS. Slices were then incubated on a shaker overnight at 4°C in blocking buffer containing primary antibody. Primary antibodies used included rabbit anti-tyrpnose hydroxylase (Millipore AB152; 1:1,000), rat anti-DAT (Millipore MAB369; 1:1,000), rabbit anti-RFP (MBL PM005; 1:2,000), chicken anti-GFP (Abcam ab13970; 1:10,000), and mouse anti-NeuN (Millipore MAB377; 1:3,000). After primary antibody incubation, slices were washed in PBS for 15 min three times. Slices were then incubated in Alexa-conjugated immunoglobulin G (1:500 or 1:1,000) directed to the species of the primary antibody in blocking buffer at room temperature for 2 hr. After a second round of three 15 min PBS washes, slices were mounted with Fluoromount. All samples were imaged using a Nikon C2 confocal system equipped with 488 nm, 561 nm, and 647 nm lasers. A 20× Nikon Plan Apo objective was used for most images, while a Nikon 60× Plan Apo Oil objective was used for high-magnification imaging. Images in figures were edited in Adobe Photoshop using the autocontrast function, and brightness was enhanced in some cases for display purposes. All axon and cell counting was performed on raw images.

**Cell Counting**

Custom software for counting and assigning coordinates to fluorescent neurons was written in Python by A.D.B. This software with instructions is freely available at https://github.com/LarryLegend33. Heatmap histograms were created using Python Matplotlib’s “hexbin” function with a minimum count of 1 (i.e., bins with 0 or 1 counts appear as white). Histograms were overlaid with atlas pictures from Paxinos’ Mouse Brain in Stereotaxic Coordinates. Samples chosen for cell counting came from three coronal planes of the SC. Caudal samples were −4.4 mm caudal to bregma, rostral samples were −3.4 mm caudal to bregma, and middle slices were approximately halfway between the chosen caudal and rostral slices (Paxinos’ Mouse Brain in Stereotaxic Coordinates). The dorsal surface of each SC slice was traced using our cell-counting software; points along the dorsal surface served as a reference for calculating cell depth within the SC structure. Dorsal surface traces included the full medial-lateral expanse of the SC from the midline SGS to the lateral-most SGI, terminating when the tracing line became vertical. Median depths of each neuron type were calculated for each slice in each sample, and t tests were used to compare the distributions of these average depths between cell groups (e.g., Figures 1E and 2D).

**Slice Electrophysiology**
P20-P45 mice were anesthetized in a bell jar using isoflurane and decapitated (D2-tetTom, D2-EGFP, D1-tetTom, and D1-Cre × Flox-tetTom). The brain was quickly removed and submerged in an ice-cold sucrose cutting solution containing 206 mM sucrose, 2.5 mM KCl, 1.2 mM NaH₂PO₄, 24 mM NaHCO₃, 5 mM HEPES, 12.5 mM glucose, 0.4 mM sodium ascorbate, 10 mM MgSO₄, and 0.5 mM CaCl₂. After 1 min of cooling, the brain was mounted on a Leica vibratome and submerged in sucrose solution. Before slicing, the brain rostral to the SC was dissected off and the rhombencephalon caudal to the inferior colliculus was removed. Sagittal SC slices, containing the full dorsal-ventral expanse of the midbrain only, were prepared at 280 μm. Slices were then incubated at 32°C for 15 min in a carbogenated slice chamber filled with ACSF composed of 124 mM NaCl, 2.5 mM KCl, 1.2 mM NaH₂PO₄, 24 mM NaHCO₃, 5 mM HEPES, 12.5 mM glucose, 0.4 mM sodium ascorbate, 2 mM MgSO₄, and 2 mM CaCl₂. The chamber was then removed from the 32°C water bath and allowed to return to RT. Slices recovered for at least 1 hr before being added to a recording chamber under continuous perfusion of ACSF (~2 ml/min). For voltage clamp, pipettes were filled with a solution containing 105 mM Cs-glucosinate, 10 mM phosphocreatine (Na), 0.07 mM CaCl₂, 4 mM EGTA, 10 mM HEPES, 4 mM Na-ATP, 1 mM Na-GTP, and 3 mM MgCl₂, brought to osmolality of ~290 mOsM with sucrose. In some experiments, sucrose was omitted and neurobiotin (0.5%) was used. Current clamp internal solution was identical except 105 mM K-glucosinate replaced Cs-glucosinate. Cells were patched with glass pipettes (Sutter) pulled to 3–7 MΩ using a Multi-clamp 700B with pClamp10 software or an Axopatch 1D with pClamp8, sampling at 20 kHz and filtering at 2–10 kHz. Cells recorded in current clamp were rejected if resting voltage after breakin was greater than ~50 mV. Fast bath exchange (~10 s until arrival of drug) was performed using an ALA VM-4 perfusion system with a Millimanifold attached directly to the bathing chamber, with the bath kept at ~30°C–32°C. Neurons were visualized before patching with an Arclamp and identified as D1+ or D2+. For experiments stimulating the SO, a concentric bipolar electrode (FHC) driven by a World Precision Instruments IsoStim 320 was placed in the SO axons at the rostral pole of the SC. Stimulating intensities were raised until spikes were driven in the post-synaptic neuron. Typical current applied was ~0.1 mA. Data were analyzed using Clampfit (Axon Instruments) and MiniAnalysis (Synaptosoft). Resting voltages in D1 and D2 experiments were calculated in Clampfit using the “mean” calculation in the Statistics suite over a 10 s window. For D1 EPSCs, amplitudes of currents evoked every 5 s were averaged in a 30 s window before, exactly 120 s after OA washing, and an average of 5 min after washout (average 300.77 s). Paired two-tailed t tests were used as significance tests (unless noted).

**ACCESSION NUMBERS**

The accession numbers for the gene expression data reported in this paper are GEO: GSM1872016, GSM1872017, GSM1872018 (http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE72787).

**SUPPLEMENTAL INFORMATION**

Supplemental information includes five figures and can be found with this article online at http://dx.doi.org/10.1016/j.celrep.2015.09.046.

**AUTHOR CONTRIBUTIONS**


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