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Functional neuroanatomical evidence for the double-deficit hypothesis of developmental dyslexia

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A B S T R A C T

The double-deficit hypothesis of dyslexia posits that both rapid naming and phonological impairments can cause reading difficulties, and that individuals who have both of these deficits show greater reading impairments compared to those with a single deficit. Despite extensive behavioral research, the brain basis of poor reading with a double-deficit has never been investigated. The goal of the study was to evaluate the double-deficit hypothesis using functional MRI. Activation patterns during a printed word rhyme judgment task in 90 children with a wide range of reading abilities showed dissociation between brain regions that were sensitive to phonological awareness (left inferior frontal and inferior parietal regions) and rapid naming (right cerebellar lobule VI). More specifically, the double-deficit group showed less activation in the fronto-parietal reading network compared to children with only a rapid naming deficit. Functional connectivity analyses revealed that bilateral prefrontal regions were key for linking brain regions associated with phonological awareness and rapid naming, with the double-deficit group being the most aberrant in their connectivity. Our study provides the first functional neuroanatomical evidence for the double-deficit hypothesis of developmental dyslexia.

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1. Introduction

Reading provides one of the most significant gateways to knowledge (Gabrieli, 2009) and is a critical skill in modern societies. However, dyslexia affects approximately 5–17% of children, making it one of the most common learning disabilities (Shaywitz, 1998). Dyslexia is a developmental condition characterized by marked yet unexpected difficulty in learning to read despite sufficient cognitive ability, effort, and opportunity (Shaywitz & Shaywitz, 2005). Dyslexia is typically diagnosed in second or third grade (or later), once children have failed to learn to read as expected; therefore, children may be exposed to repeated academic failure before diagnosis (Fletcher, Lyon, Fuchs, & Barnes, 2006; Shaywitz, Gruen, & Shaywitz, 2007). Children with dyslexia can experience a host of social and emotional problems secondary to reading and associated academic difficulties (Brooks, 2001; Fletcher et al., 2006; Gerber et al., 1990), and both dyslexia and its associated negative outcomes can persist into adulthood (Raskind, Goldberg, Higgins, & Herman, 1999).

Despite the prevalence and severe consequences of dyslexia, its underlying causes are not fully understood. It is widely believed that dyslexia reflects an underlying weakness in phonological processing, specifically phonological awareness (PA; the ability to recognize and manipulate the sound structure of words) (Bradley & Bryant, 1978; Snowling, Goulardis, & Defty, 1996; Wagner & Torgesen, 1987). PA is important for mapping sound-to-letter correspondences for decoding and spelling and is associated with
later reading skills such as orthographic awareness and comprehension (Torgesen, Wagner, Rashotte, Burgess, & Hecht, 1997).

Deficits in PA alone do not account for all cases of dyslexia (Lovett, Steinbach, & Frijters, 2000). Rapid automatized naming (RAN) deficits are also evident in a subset of individuals with developmental dyslexia (Ackerman & Dykman, 1993; Badian, 1995; Bowers, Steffy, & Tate, 1988; Katzir, Kim, Wolf, Morris, & Lovett, 2008; Scarborough, 1998). RAN, sometimes referred to as naming speed or rapid naming, is the speed with which one can name a series of visually-presented familiar stimuli such as letters, numbers, colors and objects out loud (Denckla & Rudel, 1976), and reflects the automaticity of processes which are also important for reading (Norton & Wolf, 2012).

The double-deficit hypothesis (Wolf & Bowers, 1999) posits that RAN is an independent core deficit that can cause reading difficulties, in addition to or in the absence of the phonological processing deficits seen in many individuals with developmental dyslexia. According to this theory, impairments in either RAN or PA can cause reading difficulties, and individuals with a “double-deficit” have more severe deficits in reading than those with single deficits (Wolf & Bowers, 1999). Individuals with a RAN deficit may perform in the typical range on untimed tests of word reading accuracy, but they show particular impairment on timed relative to untimed reading measures (Waber, Forbes, Wolff, & Weiler, 2004; Wolf, Bowers & Biddle, 2000).

Some researchers hold that RAN fits under the umbrella of phonological processing skills (Wagner, Torgesen, Laughon, Simmons, & Rashotte, 1993; Wagner & Torgesen, 1987); however, there are several lines of evidence suggesting that RAN and PA deficits are independent (for review see Norton & Wolf, 2012 and Wolf & Bowers, 1999). Correlations between RAN and phonological skills are modest in both typical readers and individuals with dyslexia, and RAN and PA load onto separate factors in factor analyses (Powell, Stainthorp, Stuart, Garwood, & Quinlan, 2007). Further, a proportion of poor readers demonstrate RAN deficits in the absence of phonological deficits (Lovett, 1987; Wolf et al., 2002).

Wolf and Bowers noted that the double-deficit hypothesis was proposed not to fully explain all reading difficulties, but rather to move the field forward in considering the possible subtypes and multiple etiologies of dyslexia. Many studies have found support for the double-deficit hypothesis in English (Compton, DeFries, & Olson, 2001; King, Giess, & Lombardino, 2007; Lovett et al., 2000; McBride-Chang & Manis, 1996; Miller et al., 2006) as well as in other languages (e.g., Dutch: Boets et al., 2010; Chinese: Ho, Chan, Lee, Tsang, & Luan, 2004; Greek: Papadopoulos, Georgiou, & Kendeou, 2009; and Finnish: Torppa, Georgiou, Salmi, Eklund, & Lyytinen, 2012). A meta-analysis of the literature on the double-deficit hypothesis identified several limitations of past research including problems with inconsistencies regarding the presence of a single deficit in RAN, and the inherent problems in trying to establish the independence of two skills that are positively correlated (Vukovic & Siegel, 2006; see also Schatschneider, Carlson, Francis, Foorman & Fletcher, 2002). This meta-analysis emphasized the importance of further research before conclusions can be made about the double-deficit hypothesis, and indeed, better clinical and educational decisions could be made if the relations among phonological processing, RAN, and dyslexia were better understood.

Heretofore the functional neural mechanisms underlying the double-deficit hypothesis have never been explored, perhaps in part because the pathophysiology of dyslexia is still not fully understood. There is, however, increasing evidence to suggest that the reading difficulties experienced by individuals with dyslexia have neurobiological substrates, and that there may be observable differences in the brain basis of phonological vs. RAN deficits. Functional magnetic resonance imaging (fMRI) studies have identified brain regions critical to skilled reading, and differential functioning has been observed in dyslexia in each region (reviewed in Gabrieli 2009, Maisog, Einbinder, Flowers, Turkeltaub & Eden, 2008, and Richlan, Kronbichler & Wimmer, 2009).

The brain’s “reading network” is typically described as including three main regions: left hemisphere occipito-temporal, temporo-parietal, and inferior frontal areas. The occipito-temporal region encompasses the visual word form area (VWFA) of the fusiform gyrus, which is believed to support the automatic identification of printed words (Schlaggar & McCandliss, 2007). The temporo-parietal region (including the inferior parietal lobe, or IPL) is involved in phonological storage and retrieval (Vigneau et al., 2006), as well as the integration of orthography and phonology (Newman & Joannisse, 2011). Anomalous function in this brain region would be expected to compromise the phonological and phonological-to-orthographic mapping processes essential for developing successful reading. Decreased functional activation and connectivity in these left posterior brain systems (temporo-parietal and occipito-temporal regions) seems to be related to the pathophysiology of dyslexia rather than to current level of reading ability (Hoefl et al., 2006, 2007; Saygin et al., 2013). The left inferior frontal gyrus (IFG), particularly the pars triangularis (IFGtri) and opercularis (IFGop) aspects of IFG, is important for articulation and naming (Fiez & Petersen, 1998; Gaillard et al., 2001; Gaillard, Balsamo, Ibrahim, Sachs, & Xu, 2003; Shankweiler et al., 2008) and phonological processing (Pugh et al., 2000; Vigneau et al., 2006). Findings regarding the IFG’s role in dyslexia have been mixed, showing both hypo- and hyper-activation in poor readers (Brunswick, McCrorey, Price, Frith, & Frith, 1999; Georgiewa, 1999; Maisog et al., 2008; Richlan et al., 2009; Richlan, 2012). In contrast to the reduced connectivity among posterior reading regions in dyslexia, connectivity to inferior frontal areas is increased (Finn et al., 2013).

Phonological processing has been repeatedly associated with inferior frontal and temporo-parietal regions of the reading network. The brain basis of naming speed, however, is not yet well understood. Only one published study has asked participants to complete a rapid naming task during fMRI, and found that as compared to rest, silent rapid naming elicited a diffuse and bilateral pattern of activation (Misra, Kitzir, Wolf, & Poldrack, 2004). Perhaps in part because of the challenge of adapting RAN tasks to the MRI environment, other studies have examined how RAN skill measured outside the scanner correlates with neuroanatomical (Eckert et al., 2003; He et al., 2013) and neurofunctional patterns (Turkeltaub, Gareau, Flowers, Zeffirio, & Eden, 2003). Although many regions were related to rapid naming in these studies, commonly reported regions across studies included the left IFG and right cerebellar hemisphere. These same regions uniquely differentiate readers who have a RAN deficit from those who do not. In a study that used multivariate analyses to classify brains as belonging to a group with dyslexia or a control group, the best classifiers were IFG pars triangularis and right cerebellum (Eckert et al., 2003); importantly, 94% of the individuals correctly classified as having dyslexia had a RAN deficit. In another study, the most accurate classifier of whether an individual had dyslexia was right cerebellum (Pernet, Poline, Demonet, & Rousselet, 2009).

Though it is not commonly considered part of the “reading network,” atypical cerebellar function has been proposed as a primary cause of dyslexia (Nicolson, Fawcett, & Dean, 2001). Meta-analyses of neuroimaging studies of dyslexia reveal that the right cerebellar lobule VI is associated with both structural and functional abnormalities in dyslexia (Linkersdorfer, Lonnemann, Lindberg, Hasselhorn, & Fiebach, 2012). Right cerebellar lobule VI plays a role in motor, linguistic, and working memory processes (Stoodley & Schmahmann, 2009), and has connections to left IPL and IFG, which may support the automaticity required for fluent
reading (Bernard et al., 2012). In contrast, other sections of the cerebellum connect to more dorsal or medial regions of the cerebrum.

Though studies have begun to examine the correlates of RAN, one outstanding question is whether individuals with different deficits or subtypes of dyslexia (phonological vs. rapid naming) recruit different regions of the brain during reading and reading related activities. Findings from an fMRI study involving an implicit reading task (Turkeltaub et al., 2003), in conjunction with neuroanatomical studies (He et al., 2013; Eckert et al., 2003) found that phonological processing and RAN abilities were correlated with brain patterns in anatomically distinct brain regions. Though these findings point to separable contributions of both phonological processing and RAN in the reading brain, single vs. double-deficits were not examined in these studies.

The main goal of the present analyses was to examine whether there are patterns of brain activation consistent with the predictions of the double-deficit hypothesis. Data for these analyses come from a larger study focused on examining phonological processing and the relationships between behavioral measures and brain activation in children. The larger study was not focused on the double-deficit hypothesis per se, yet these data provide an opportunity to investigate whether patterns of brain activation support this theory, thus far only shown behaviorally. We compared brain activations during a word reading task with a phonological decision (rhyme judgment) among four groups of school-age readers: typical readers (Control), RAN deficit with no phonological deficit (RANdef), phonological processing deficit with no RAN deficit (PHONOdef), and double-deficit in both PA and RAN (DOUBLEdef). We were especially interested in examining whether there are brain regions and connectivity patterns that show significant dysfunction in the DOUBLEdef group compared to the single deficit groups (both PHONOdef and RANdef groups). In summary, we investigated the following research questions:

1. Is there support for the double-deficit hypothesis in which distinct patterns of brain activation are evident for the Control, RANdef, PHONOdef, and DOUBLEdef groups? To this end, we examined which brain areas demonstrate a phonological or rapid naming “gradient” that would be consistent with the double-deficit hypothesis. That is, for both phonology and rapid naming, in which brain areas does the control group have greater activation than children with a single deficit, and in which areas do children with a single deficit have greater activation than children with the double deficit?

2. Within brain areas that show a phonological or rapid naming gradient are there post-hoc differences that might elucidate the relationship between groups? Do individual PA and RAN scores also correlate with activations in these gradient regions of interest for phonology and rapid naming, respectively, as a complement to the group gradient analysis?

3. Is there also evidence for differences among the four double-deficit hypothesis groups from the brain’s functional connectivity?

If it is the case that the double-deficit hypothesis is invalid and that RAN is simply a form of phonological processing, then activation patterns in the RANdef, PHONOdef, DOUBLEdef groups should be similar. If on the other hand, we find evidence that supports the double-deficit hypothesis, we would see different, atypical patterns in the RANdef, PHONOdef and DOUBLEdef groups, with the DOUBLEdef group showing the greatest atypicality. For this reason, we were specifically interested in interrogating the patterns of brain activation elicited from a phonological processing task, as a way to examine whether and how the RANdef group shows abnormality during phonological processing. Accordingly, we hypothesized that during phonological processing, the DOUBLEdef group will show significant deficits in the left IFG and IPL as well as prefrontal and cerebellar regions. We also hypothesized that there will be a “phonological gradient” in the left fronto-parietal reading network (IFG and IPL regions), that is, significantly reduced brain activation in the DOUBLEdef group as compared to the PHONOdef group and reduced activation in the PHONOdef group compared to the Control group. On the other hand, we hypothesized that there will also be a “rapid naming gradient” in the left IFTgri and right cerebellar lobule VI, i.e., the DOUBLEdef group will show significantly reduced brain activation compared to the RANdef group, who in turn will show reduced activation compared to the Control group.

2. Material and methods

2.1. Participants

Participants included 90 right-handed, native English-speaking children (54 females, age 8.2–12.6 years). Poor readers were participants in a larger behavioral study (Torgesen et al., 2006), which enrolled third- and fifth-graders with a wide range of reading ability (initially identified by their teachers as poor readers) from public schools near Pittsburgh, Pennsylvania; good readers were recruited from the same schools. Participants were healthy and without any neurological or psychiatric disorders (e.g., brain injuries, attention deficit hyperactivity disorder [ADHD]), psychotropic medications and/or MRI contraindications (e.g., metal in their body). A subset of parents completed a questionnaire regarding their socio-economic status; among 56 parents who completed the questionnaire, the median household income was approximately $40,000. The Stanford University, UCSC, University of Pittsburgh and Carnegie Mellon University Institutional Review Boards approved all protocols. Written informed consent and assent were collected from parents and children, respectively.

2.2. Behavioral measures and group assignment

Performance on the Letters and the Numbers subtests of RAN–RAS Tests (Wolf & Denncl, 2005) was averaged to produce a composite age-based standard score (ss) that was used to determine the presence (RANdef and DOUBLEdef < 90ss) or absence (Control and PHONOdef > 90ss) of RAN deficits. Performance on the Elision and Blending Words subtests of the Comprehensive Test of Phonological Processing (CTOPP; Wagner, Torgesen, & Rashotte, 1999) was combined to produce a composite ss that was used to determine the presence (PHONOdef and DOUBLEdef < 90ss) or absence (Control and RANdef > 90ss) of phonological deficits. The criterion of a standard score below 90, equivalent to the 25th percentile, is frequently employed in studies of dyslexia (Foorman, Francis, Shaywitz, Shaywitz, & Fletcher, 1997; Shaywitz et al., 2002).

Participants were assigned to one of four groups based on their behavioral scores: a non-impaired group of typical children (Control, N = 39), a group of children with a deficit only in phonological awareness (PHONOdef, N = 27), a group of children with a deficit only in rapid automatized naming (RANdef, N = 10), and a group of children with deficits in both phonological awareness and rapid automatized naming (i.e., those with a double-deficit, DOUBLEdef, N = 14) (Table 1). As substantial evidence suggests that the abilities of poor readers and the brain basis of reading are independent of IQ (see Tanaka et al. (2011)), we did not consider IQ-ability discrepancy in group assignment.

All participants also completed subtests of the Woodcock Reading Mastery Test-Revised/Normative Update (WRMT-R/NU) including Word Identification (Word ID), Passage Comprehension, and Word Attack and the Test of Word Reading Efficiency (TOWRE) to assess reading achievement, as well as the Peabody Picture Vocabulary Test (PPVT-3) to assess estimated IQ. PPVT is highly correlated (r = 0.90) with full-scale IQ scores from other measures such as the WISC (Dunn & Dunn, 1997).

2.3. fMRI task design

A block-design word-rhyme task, with alternating rhyme and rest conditions, was used in the fMRI scanner to assess brain activation associated with reading ability (described in detail in Hoefl et al. (2006, 2007) and Tanaka et al. (2011)). During the rhyme condition, participants read two visually presented words and judged whether they rhymed (e.g., bait, gate) or not (e.g., price, miss), indicating each response with a right- or left-handed button press, respectively. Word pairs were selected so that the visual appearance of the last letters of the two words could not be used to determine whether they rhymed. Stimuli were balanced for word frequency, number of letters, and syllables between rhyme and non-rhyme trials and across blocks. Each 6 s trial consisted of a 4 s presentation of two words followed by a 2 s fixation cross. Each task block consisted of a 2 s cue period followed by five trials (32 s total). During rest blocks, participants saw a fixation
cross on the screen for 15 s. The entire scan was 234 s (including two practice trials at the beginning) and consisted of four rhyme blocks and five rest blocks.

This word rhyming task was designed to elicit robust activation in phonological and reading regions; however, it requires us to interpret our findings in light of both reading and phonological processing, without being able to explicitly separate the two. In order to determine the specificity of our findings from the phonological reading task, another fMRI task of word reading with semantic processing was used as a control task (see details in Supplemental text). The task was identical to that of phonological processing, except it asked participants to decide whether the two words belonged to the same semantic category. During the semantic condition, participants judged whether or not two visually presented words were both living (e.g., dog, boy) or not (e.g., desk, cat), and indicated each response with a right- or left-handed button press.

2.4. Image acquisition

The fMRI imaging was performed at the Brain Imaging Research Center (CMU and University of Pittsburgh) with a 3.0 Tesla Siemens Allegra scanner (Siemens, Germany). A T2*-weighted gradient echo, resonant echo planar pulse sequence sensitive to blood oxygen level-dependent contrast, was used with the following acquisition parameters: repetition time (TR) 1000 ms, time to echo (TE) 20 ms, flip-angle 60°, field of view (FOV) 20 × 20 cm², matrix size 64 × 64, axial-oblique plane with 16 slices, and slice-thickness of 6 mm with a 1-mm gap. In addition, a T1-weighted 3D-MPRA was acquired with the following parameters was acquired for registration purposes: TR = 1000 ms, TE = 7.9 ms, flip-angle = 15°, dimensions = 256 × 256 × 160, axial plane, voxel-size = 1 × 1 × 1 mm.

2.5. fMRI data processing

Statistical analysis was performed with statistical parametric mapping software (SPM8; Wellcome Department of Cognitive Neurology, London, UK). After image reconstruction, each participant’s data were realigned to a reference volume and corrected for motion using both 3P and in-house tools including ArtRepair (http://www.nitrc.org/projects/art_repair/). Data were spatially normalized using normalization parameters obtained from the children’s segmented gray matter images of high resolution T1 MRI normalized to standard template and applied to the mean functional image. Resultant images were resampled to 2 × 2 × 2 mm voxels in Montreal Neurological Institute (MNI) stereotaxic space. Spatial smoothing was done with a 8 mm Gaussian filter. Each participant’s data were high pass filtered at 97 s, and analyzed using a fixed effects model examining the task (rhyme); rest was not modeled and was included as implicit baseline.

2.6. fMRI analyses

2.6.1. Phonological gradient

Conjunction analyses were performed (conjunction null method as in Nichols, Brett, Andersson, Wager, & Poline, 2005) with a random effects model (Friston, Holmes, Price, Buchel, & Worsley, 1999) using the rhyme vs. rest contrast images to identify brain regions that showed both significantly greater activation for the Control group compared to the PHONODEf group, and significantly greater activation for the PHONODEf group compared to the DOUBLEDEF group. We excluded the RANDEF readers from the analysis even though these individuals showed phonological awareness performance within typical range because we did not have specific hypothesis as to how the deficit in RAN processing would affect the results.

Analyses were performed in two ways: first, at a stringent corrected threshold within regions of interest (ROIs), and second, on the whole brain at a lower threshold. Two ROIs were combined to form one mask used for ROI analyses: (1) left inferior frontal gyrus (pars triangularis, pars opercularis), and (2) left tempo-parietal (IPL). These regions were selected because they were identified in previous neuroimaging reports as being involved in phonological processing and showed differences between typical readers and readers with dyslexia (Maisog et al., 2008; Meyler et al., 2007; Richlan et al., 2009; Shaywitz et al., 1998). Further, these were the only left-hemisphere regions related to dyslexia in a previous study using the same task (Hoeft et al., 2006).

2.6.2. Rapid naming gradient

Conjunction analysis was performed similar to the phonological gradient analysis, examining brain regions that showed significantly greater activation for the Control group compared to the RANDEF group, and significantly greater activation for the RANDEF group compared to the DOUBLEDEF group. Similar to the phonological gradient analyses above, we excluded the PHONODEf readers from the analysis.

As in the phonological gradient, we examined ROIs in brain regions that show consistent differences related to RAN ability measured outside the scanner (Eckert et al., 2003; Turkeltaub et al., 2003) and have been shown to play a prominent role in dyslexia (Eckert et al., 2003; Linkersdörfer et al., 2012; Pernet et al., 2009). A mask was created from two regions defined as (1) left IFG (pars triangularis, pars opercularis), and (2) right cerebellar vermis lobule VI.
2.6.3. Gradient and whole-brain analysis methods and thresholds

ROIs were created using Automated Anatomical Labeling (AAL) in the WFU PickAtlas toolbox (http://fmri.wfubmc.edu/software/PickAtlas). For both the pho-
nological and rapid naming gradient conjunction analyses, a voxel-wise statistical
threshold of $p = 0.05$ for voxel-height followed by $p = 0.05$ corrected for family-wise
error (FWE) post-small-volume correction (SVC) was applied on the overall mask.
The more exploratory whole-brain analysis was conducted at a weaker threshold of
$p = 0.001$ uncorrected, extent threshold $(ET) = 0$ voxels to examine specificity of
our findings beyond the pre-defined ROIs.

For both gradient analyses, we further extracted contrast estimates from the clusters
found to be significant and examined correlation with brain activation and
PA and RAN scores. Statistical images were overlaid onto the MNIcron template
image for 3D viewing (http://www.mccauslandcenter.sc.edu/mricro/mricron/).
Peak coordinates of brain regions with significant effects were converted from
MNI to Talairach space using the mni2tal function (http://www.mrc-cbu.cam.ac.uk/
Imaging/Common/mnispace.shtml). Brain regions were identi-
ced using Talairach Daemon (http://www.talairach.org/daemon.html) and
confirmed with the Talairach atlas (Talairach & Tournoux, 1988).

2.7. Functional connectivity analysis

Functional connectivity analysis was performed using the CONN Functional
nirct.org/projects/conn). These data were band-pass filtered (0.008–0.09 Hz),
corrected for physiological noise and motion using an aCompCor strategy
(Behzadi, Restom, Liau, & Liu, 2007). A Hanning window was applied to the task
gradients, i.e., left IFG, IPL and right cerebellar lobule VI. Whole-brain analyses
rather than ROI analyses were performed, as the nature of the analysis was more
exploratory. Therefore, in the between-group comparisons, a stringent statistical
threshold of $p = 0.01$ for peak voxel and $p = 0.05$ FWE corrected for cluster extent for
the whole brain was used. This more stringent threshold was designed to minimize
type 1 errors across our analyses of multiple seed regions. Between-group analyses
were performed using the same analytical strategies as in the main analyses above.

3. Results

3.1. Demographic and behavioral results

3.1.1. Demographic variables

Descriptive statistics for demographic characteristics and beha-
vioral scores and ANOVA results are reported in Table 1. Group
differences were computed via univariate ANOVAs, all $F(3,86),
with post-hoc comparisons using Tukey’s HSD test. Initial demo-
graphic comparisons were made among the groups for gender and
age. Comparisons were not needed for handedness as all partici-
pants were right-handed. There were no significant differences in
the gender distribution of the four groups. ANOVA and post-hoc
comparisons revealed that the PHONodef group was significantly
older than the Control group, but there were no other significant
age differences among groups. The Control and RANodef groups
scored higher than PHONodef and DOUBLEedef groups on the PPVT,
which was used as a proxy for IQ. A nonverbal IQ measure was not
collected in these children; however, PPVT and full-scale IQ scores
on the WISC are highly correlated at $r = 0.90$ (Dunn & Dunn, 1997).
To ensure that IQ differences did not impact our brain imaging
results, we computed partial correlations controlling for age and
IQ (see Section 3 and Supplementary Table 1). There were no significant differences among the groups for accuracy on the
in-scanner rhyme judgment task.

3.1.2. Reading measures

As expected, the Control group performed significantly better than the PHONodef and DOUBLEedef groups on standard measures of
untimed reading accuracy, including WRMT-R Word Attack
(phonological decoding), Word ID (single word reading), and
Passage Comprehension (reading comprehension) (Table 1). The
RANodef group also significantly outperformed PHONodef and
DOUBLEedef groups on all three of these untimed reading subtests,
whereas reading achievement did not differ significantly between
the Control and RANodef groups on untimed accuracy measures.
The PHONodef group’s reading scores were higher than the DOUBLEedef of group’s scores, but no comparison reached signifi-
cance. Pairwise comparisons between these two groups demonstrated
medium effect size for single-word reading and Passage
Comprehension, whereas the effect size was small for PA and
decoding (Word Attack) measures (effect size of all statistics
reported in Table 2).

The Control group scored significantly better than the DOU-
BLEedef group on both timed reading measures, and better than the
PHONodef group on the TOWRE Phonemic Decoding Efficiency
measure (see Table 1 for statistics and Table 2 for effect sizes). RANodef and PHONodef groups did not differ significantly on the
timed reading measures. The control group outperformed the
RANodef group on the two timed measures (by an average of 0.73
SD for SWE, and 0.50 SD for PDE), but these effects were not
significant. However, whereas the RANodef group performed in the
average range on untimed measures of reading, they scored
significantly lower on timed as compared to untimed measures of
word reading, as predicted by the double-deficit hypothesis
(paired samples t-test for SWE vs. Word ID, $t(90)=2.75, p=0.007$;
for PDE vs. Word Attack, $t(89)=10.18, p < 0.001$).

3.1.3. In-scanner motion

Across groups, motion artifacts were identified in 4.9% of
timepoints (SD = 8.1). The four groups did not differ in the number
of fMRI timepoints with motion artifacts (independent samples
Kruskal–Wallis test, $p = 0.29$).

3.2. fMRI results: gradient effect for phonological processing

Brain activation patterns during phonological processing com-
pared to resting fixation in all participants as a group showed a
typical activation pattern including the left tempo-parietal,
bilateral occipito-temporal and bilateral inferior frontal regions
(left > right).

3.2.1. Gradient analysis in ROI mask

Conjunction analysis examining brain regions that showed
significantly greater activation in both the Control group compared
to the PHONodef group, and the PHONodef group compared to
the DOUBLEedef group showed significant effects in the left IPL
(Talairach coordinates $X = -38$, $Y = -44$, $Z = 45$, $p = 0.041$
corrected, $z = 3.46$) and left IFG (Talairach coordinates $X = -36$, $Y = 33$, $Z = 11$, $p = 0.015$ corrected, $z = 3.95$) (Fig. 1a and b red).

3.2.2. Group ROI analysis

When the mean average parameter estimates were extracted
from the two clusters (left IPL and IFG), there were no significant
differences between the Control group and the RANodef group in
the left IPL ($t (47)=1.13, p = 0.26$) and left IFG ($t (47)=0.56$, $p = 0.58$), further confirming the specificity of the phonological
gradient effect in these regions.

3.2.3. Correlation between ROI activation and PA skills

Correlation analyses partially supported the results from the
conjunction analysis. The left IPL showed significant positive asso-
ciation between parameter estimates and PA skills ($N = 80$ excluding
the RANodef group: $r = 0.25, p = 0.025$, Fig. 2; $N = 90$ including all 4
groups: $r = 0.25, p = 0.017$). The left IFG however, did not reach
significance with regard to association between brain activation and
PA skills ($N = 80$ excluding the RANodef group: $r = 0.15, p = 0.15$, Fig. 2; $N = 90$ including all 4 groups: $r = 0.20, p = 0.065$). Partial correlations between PA scores and activation in these ROIs
controlling for RAN, age, and verbal IQ all showed similar results (see Supplemental Table 1).

3.2.4. Whole-brain analysis

When results of conjunction analysis were examined at a more lenient threshold across the whole brain, the only additional regions that showed the phonological gradient effect were observed in left precentral gyrus (PreCG), and additional clusters in the left IPL and IFG (Table 3, sFig. 1 red).

3.3. fMRI results: gradient effect for rapid naming

3.3.1. Gradient analysis in ROI-mask

We performed a conjunction analysis to determine whether our hypothesized regions showed a rapid naming gradient, that is: greater activation in both the Control group compared to the RANdef group, and the RANdef group compared to DOUBLEdef group. A significant gradient effect was identified in the right cerebellar lobule VI (Talairach coordinates X = 36, Y = −53, Z = −21).

Table 2

<table>
<thead>
<tr>
<th></th>
<th>Control vs. RANdef</th>
<th>Control vs. PHONOdef</th>
<th>Control vs. DOUBLEdef</th>
<th>RANdef vs. PHONOdef</th>
<th>RANdef vs. DOUBLEdef</th>
<th>PHONOdef vs. DOUBLEdef</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>−0.33</td>
<td>−0.78</td>
<td>−0.76</td>
<td>−0.45</td>
<td>−0.43</td>
<td>0.01</td>
</tr>
<tr>
<td>Task accuracy</td>
<td>0.43</td>
<td>0.25</td>
<td>0.70</td>
<td>0.23</td>
<td>0.29</td>
<td>0.53</td>
</tr>
<tr>
<td>IQ</td>
<td>−0.05</td>
<td>1.10</td>
<td>1.15</td>
<td>1.06</td>
<td>1.13</td>
<td>−0.01</td>
</tr>
<tr>
<td>RAN-ss</td>
<td>2.31</td>
<td>−0.14</td>
<td>2.39</td>
<td>−2.83</td>
<td>0.01</td>
<td>2.95</td>
</tr>
<tr>
<td>CTOPP-ss</td>
<td>0.40</td>
<td>2.60</td>
<td>2.54</td>
<td>2.70</td>
<td>2.51</td>
<td>0.28</td>
</tr>
<tr>
<td>Word ID-ss</td>
<td>0.36</td>
<td>1.25</td>
<td>1.54</td>
<td>1.26</td>
<td>1.50</td>
<td>0.65</td>
</tr>
<tr>
<td>Word Attack-ss</td>
<td>0.21</td>
<td>1.46</td>
<td>1.48</td>
<td>1.86</td>
<td>1.67</td>
<td>0.33</td>
</tr>
<tr>
<td>Passage Comp-ss</td>
<td>0.35</td>
<td>1.12</td>
<td>1.44</td>
<td>0.87</td>
<td>1.23</td>
<td>0.40</td>
</tr>
<tr>
<td>TOWRE SWE-ss</td>
<td>0.78</td>
<td>0.76</td>
<td>1.07</td>
<td>−0.10</td>
<td>0.52</td>
<td>0.54</td>
</tr>
<tr>
<td>TOWRE PDE-ss</td>
<td>0.53</td>
<td>1.08</td>
<td>1.42</td>
<td>0.81</td>
<td>1.90</td>
<td>0.55</td>
</tr>
</tbody>
</table>

Fig. 1. Brain activation differences between groups. a. Brain regions in red show reduced activation in both children with deficits in phonological awareness (PA, PHONOdef) compared to controls (CON) and children with double-deficit (DOUBLEdef) compared to PHONOdef (Control > PHONOdef > DOUBLEdef). Statistical threshold was set at p = 0.05 family-wise error (FWE) corrected after small volume correction (SVC). Brain regions in blue show reduced activation in both children with deficits in rapid naming (RANdef) compared to controls (CON) and children with double-deficit (DOUBLEdef) compared to RANdef. Mean average contrast estimates of each cluster were extracted for each child and plotted (left inferior parietal lobule—Lt IPL, left inferior frontal gyrus—Lt IFG, right cerebellum—Rt CRBLM). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
p=0.044 corrected, z=3.35) (Fig. 1a and b blue). No significant gradient effect was observed in the left IFG.

3.3.2. Group ROI analysis

Examination of the extracted mean parameter estimates from the right cerebellar lobule VI showed no significant difference between the Control and the PHONOdef groups, further confirming the rapid naming gradient effect in this region t(64)=0.039, p=0.97).

3.3.3. Correlation between ROI activation and RAN skills

Supporting the results from the conjunction analysis, brain activation in the right cerebellar (right CRBLM) lobule VI showed a significant positive association between parameter estimates and RAN skills (N=63 excluding the PHONOdef group: r = 0.28, p=0.025, Fig. 2; N=90 including all 4 groups: r=0.23, p=0.031). Partial correlations between RAN scores and activation in the ROI controlling for PA age, and verbal IQ all showed similar results (see Supplemental Table 1).

3.3.4. Whole-brain analysis

When results of the conjunction analysis were examined across the whole brain at a more lenient threshold of p=0.001 uncorrected, ET=0 voxels, the only additional region that showed the rapid naming gradient effect was observed in the left IPL, overlapping with the second left IPL cluster that also showed a phonological gradient effect at this threshold (Table 3, sFig. 1 blue).

3.4. Functional connectivity analyses

3.4.1. Within-group analyses

We examined functional connectivity within each group using seed regions that showed gradient effects in our fMRI analyses. The left IFG seed mainly showed associations with bilateral IFG and PreCG as well as temporal regions in the Control group (sFig. 2a). The left IFG seed connected with bilateral PreCG, IPL and temporal regions, heavily overlapping with the left IPL connectivity results in the Control group (sFig. 2b). On the other hand, seed-based connectivity analysis of the right cerebellum lobule VI from the rapid naming gradient showed very different patterns. The right cerebellum time-series during the task condition primarily correlated with that of bilateral occipital cortices and dorsolateral prefrontal cortex (DLPFC) (sFig. 2c). Within group analyses for other groups showed similar patterns (sFig. 3).

3.4.2. Between-group analyses

We also observed significant between-group differences in functional connectivity patterns. When the seed was placed in the left IPL region derived from the phonological gradient, functional connectivity with bilateral DLPFC was significantly reduced in groups with deficits in phonological processing, i.e., in the PHONOdef and DOUBLEdef compared to the Control and RANdef groups (Fig. 3, Table 4). Similarly, when the seed was placed in the left IFG, functional connectivity with left PreCG and IPL was significantly reduced in the groups with a deficit in phonological processing, i.e., in the PHONOdef and DOUBLEdef compared to the Control and PHONOdef groups (Fig. 3, Table 4).

3.5. Specificity of phonological and rapid naming gradients to phonological processing task

Whole-brain analyses based on a similar printed word semantic decision task, rather than rhyme decision, revealed no effects of a phonological gradient in the left IFG and left IPL regions (p > 0.05 corrected). Likewise, when whole brain analysis was performed on the semantic fMRI task, there were no effects of a rapid naming gradient in the right cerebellum. However, when the left IFG, left IPL and right cerebellum regions of interest defined from the rhyme task results were applied to the semantic task, we found that in all of these regions, the DOUBLEdef group showed significantly reduced activation compared to the PHONOdef group, whereas the PHONOdef group showed no significant difference in semantic activation compared to the Control group.

4. Discussion

This study examined functional brain correlates of word reading and phonological processing in children with a wide range of PA and RAN abilities. Performance on standardized reading tests and brain activation profiles during an in-scanner word reading and rhyme judgment task that recruited phonological processing skills were compared among four groups of readers (typical readers [Control], those with a rapid naming deficit only [RANdef], a phonological processing deficit only [PHONOdef] and those with a double-deficit in both PA and RAN [DOUBLEdef]).

Behavioral measures revealed that the group of children with deficits in both PA and RAN skills (i.e., children with a double-deficit, DOUBLEdef) scored the lowest on all standardized measures of reading ability administered here. These measures included untimed tests of Word ID (single word reading), Word Attack (phonological decoding), and Passage Comprehension of the WRMT-R, as well as timed Sight Word and Phonemic Decoding Efficiency subtests of the TOWRE. The differences in reading ability between the DOUBLEdef and the phonology-impaired group (PHONOdef) were not significant, and the effect sizes were small to medium (Cohen’s d from 0.33 to 0.65). The lack of significant behavioral differences between the single deficit groups and the DOUBLEdef groups in our sample could be due to the large variance in reading scores and our small sample size relative to the larger samples of most strictly behavioral studies. Previous behavioral studies found mixed results about the relative impairment from one vs. two deficits. Individuals with a double deficit often do not show greater impairments in reading compared to individuals with a single phonological deficit (Sunseth & Bowers, 2002; Vaessen, Gerretsen, & Blomert, 2009; Vukovic, Wilson, & Nash, 2004). Though children in the DOUBLEdef group had two deficits, it is not the case that multiple deficits always lead to the lowest performance; for example, children with low IQ and dyslexia do not perform worse on reading measures than children with typical IQ and dyslexia (Tanaka et al., 2011). On the other hand, some research finds evidence of greater impairments in reading among individuals with a double deficit than those with either deficit alone (Arns, Peters, Breteler, & Verhoeven, 2007; Wolf & Bowers, 1999), consistent with our finding that the DOUBLEdef group scored significantly lower than the RANdef group on untimed measures of reading.

Although the Control group significantly outperformed both PHONOdef and DOUBLEdef groups, reading scores did not differ significantly between the Control and RANdef groups. However, the RANdef group outperformed both PHONOdef and DOUBLEdef groups on untimed measures of single word reading. These findings are consistent with the literature that suggests that RAN impairments primarily manifest in tasks when rapid integration of reading-related processes are required (Norton & Wolf, 2012).
Further, overall, this pattern of findings supports the behavioral predictions made by the double-deficit hypothesis.

Analyses of fMRI data during a visual-word reading and rhyme judgment task revealed gradients of activation specific to both phonological and rapid naming ability, suggesting unique neural bases for impairment in PA and RAN. We found a phonological gradient effect by examining brain regions that showed significantly reduced activation in PHONOdef as compared to Control and in DOUBLEdef as compared to PHONOdef groups (i.e., Control > PHONOdef > DOUBLEdef). This gradient effect was found in the left IPL and IFG for the masked ROI analysis, as well as in the whole-brain analysis. The IPL was also significantly correlated with PA scores in the whole brain analysis. These findings are consistent with previous results linking left IPL and IFG and phonological impairments in dyslexia (reviewed in Gabrieli (2009), Maisog et al. (2008), Richlan et al. (2009), Richlan (2012), and Shaywitz and Shaywitz (2008)). The fact that the significant correlation between brain activation and PA skills was found in the left IPL and IFG for the masked ROI analysis, as well as in the whole-brain analysis. The IPL was also significantly correlated with PA scores in the whole brain analysis. These findings are consistent with previous results linking left IPL and IFG and phonological impairments in dyslexia (reviewed in Gabrieli (2009), Maisog et al. (2008), Richlan et al. (2009), Richlan (2012), and Shaywitz and Shaywitz (2008)).

Although there were no significant behavioral differences between PHONOdef and DOUBLEdef groups, the degree of activation for these groups was different. Whereas the PHONOdef group showed reduced positive activation in IPL and IFG relative to the Control group, the DOUBLEdef group showed negative activation in these areas of the reading network during the printed word rhyme task. This finding of particularly severe impairment in the DOUBLEdef group converges with extant behavioral evidence of most severe reading impairment found in those with double as opposed to single deficits. We also conducted an ROI analysis in the regions that showed a phonological gradient effect, which were all identified without the inclusion of the RANdef group. Extracted ROI values in the IPL and IFG areas for the RANdef group were similar to Controls, not similar to the DOUBLEdef group, suggesting that a more severe phonological impairment, rather than a co-occurring RAN deficit, may lead to this reduced activation in regions related to phonology.

The fMRI analyses of rapid naming skills revealed a specific role for the cerebellum. The right cerebellar lobule VI, but not the left IFG or other regions, showed a gradient effect for rapid naming in the ROI-based conjunction analysis. Whole-brain correlations for the entire sample mirror this pattern, showing again that the right cerebellum is associated with rapid naming ability across a continuum of scores. The findings in the cerebellum were also relatively specific, as the whole-brain analysis conducted at a weaker threshold showed only the cerebellum and the left IPL, which is thought to have a top-down effect integrating phonological and orthographic processes for reading (Richlan, 2012). Further, the specificity of the relationship between the right cerebellar lobule VI and RAN is supported by the lack of significant difference between the Control group and the PHONOdef group in functional activation of the right cerebellum and the significant correlation between average parameter estimates and RAN skills in this region. Thus, we found that even during a task that involves visual word reading and rhyme judgment, and not rapid naming, RAN ability is associated with wholly different regions than those observed for the phonological gradient.

The finding that rapid naming deficits are associated with the cerebellum is consistent with the previous literature suggesting a cerebellar deficit in dyslexia (Nicolson et al., 2001), and finding that
individuals with dyslexia have both structural alterations and reduced functional activation of the cerebellum relative to typical readers (Linkersdörfer et al., 2012). There is also support for the involvement of the right cerebellum including lobule VI in a variety of language processes, including phonology, semantics, word generation, verbal fluency and automaticity (Nicolson & Fawcett, 2011; Stoddley & Schmahmann, 2009). Our findings regarding the areas related to a rapid naming gradient are also in line with behavioral and brain evidence that PA and RAN skills have both unique and shared effects on reading. In our whole-brain analyses, two regions of the left IPL showed a phonological gradient, and the RAN gradient analysis revealed an area overlapping with one of these clusters. Behavioral studies predicting reading of the left IPL showed a phonological gradient, and the RAN shared effects on reading. In our whole-brain analyses, two regions and brain evidence that PA and RAN skills have both unique and

### Table 3
Areas of activation for whole-brain gradient analyses.

<table>
<thead>
<tr>
<th>Brain region</th>
<th>Brodmann area</th>
<th>Talairach coordinates</th>
<th>T score (clusters)</th>
<th>Cluster size (voxels)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Phonological gradient (Control &gt; PHONOdef &gt; DOUBLEdef)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Left inferior frontal gyrus</td>
<td>46, 47</td>
<td>−36 34 11 3.85</td>
<td>147</td>
<td></td>
</tr>
<tr>
<td>Left precentral gyrus</td>
<td>6</td>
<td>−40 −6 4 3.75</td>
<td>63</td>
<td></td>
</tr>
<tr>
<td>Left inferior parietal lobule</td>
<td>40</td>
<td>−38 −44 45 3.46</td>
<td>19</td>
<td></td>
</tr>
<tr>
<td>Left inferior parietal lobule</td>
<td>40</td>
<td>−63 −28 31 3.39</td>
<td>12</td>
<td></td>
</tr>
<tr>
<td>Left inferior frontal gyrus</td>
<td>9</td>
<td>−38 5 31 3.19</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td><strong>Rapid naming gradient (Control &gt; RANdef &gt; DOUBLEdef)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Left inferior parietal lobule</td>
<td>28, 40</td>
<td>−61 −28 34 3.44</td>
<td>28</td>
<td></td>
</tr>
<tr>
<td>Lobule</td>
<td></td>
<td>−55 −33 41 3.19</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Right cerebellar lobule VI</td>
<td></td>
<td>36 −44 −26 3.35</td>
<td>7</td>
<td></td>
</tr>
</tbody>
</table>

In sum, this is the first known neuroimaging study including children with single and double deficits to find evidence of dissociation in brain systems subserving phonological and rapid naming processing, although the findings are in line with previous research that shows unique brain activations associated with PA vs. RAN abilities (Turkeltaub et al., 2003). Dissociations in brain activations were observed in multiple analyses, including functional activation in ROIs and the whole brain, as well as functional connectivity. Our study suggests that children with a double deficit have the greatest reduction in brain activation in regions important for both rapid naming skills and phonological awareness, even when compared with children who have single deficits. These findings have implications both for the design of future neuroimaging studies and also for the design of assessment

![Phonological Gradient: Connectivity results where seed is Lt IPL marked with O](image1)

**Phonological Gradient:** Connectivity results where seed is Lt IPL marked with O

![Rapid Naming Gradient: Connectivity results where seed is Lt IFG marked with O](image2)

**Rapid Naming Gradient:** Connectivity results where seed is Lt IFG marked with O

---

**Fig. 3.** Between-group differences in functional connectivity. Open circles indicate seeds used for functional connectivity where the peak coordinates are derived from Fig. 1. Red regions showed activation for CON and RANdef > PHONOdef and DOUBLEdef in functional connectivity during task blocks when a seed was placed in the left inferior frontal gyrus (Lt IFG); violet regions indicate regions that showed CON and RANdef > PHONOdef and DOUBLEdef when a seed was placed in the left inferior parietal lobule (Lt IPL), and blue regions indicate regions that showed CON and PHONOdef > RANdef and DOUBLEdef when a seed was placed in the right cerebellar lobule VI (Right CRBLM). There are large overlaps in bilateral PFC with the left IFG and Right CRBLM functional connectivity networks during task blocks. Seed regions are marked with large cyan spheres for visual display only; the actual seeds were 10 mm diameter.
batteries, diagnostic criteria, and educational interventions, as children meeting different criteria for reading impairment also show differential brain activation and behavioral profiles.

Our study has several limitations. First, the functional brain differences were found by comparing activation of a printed word rhyming task to a rest condition; thus, we cannot separate the brain activation for reading vs. phonological processing, per se. Alternate functional tasks and contrasts (such as an in-scanner RAN task, a pure word reading task, or a phonological task with either visually or acoustically presented sounds or symbols) might have revealed additional differences between the four groups. Examining the brain activation for an in-scanner rapid naming task in future research will allow us to better understand RAN deficits. Though we cannot say with certainty whether the group differences in brain activation are related to phonological processing or reading, and we did not investigate RAN task here, our pattern of differences among phonological, RAN, and double-deficit subtypes provides novel insight into the ongoing debate over the double-deficit hypothesis.

Second, the sample size (and unequal group numbers) might have affected our ability to detect effects in other brain regions. In the future, large datasets representing all groups in this study that both follow children prospectively and account for environmental differences known to be important predictors of reading skills (such as socio-economic status and reading activity in the home) are required. Future studies might also consider different criteria for group membership, such as limiting the deficit groups to individuals with poor reading, or excluding individuals who were poor readers yet without RAN or phonological deficits from the control group, as we did not use reading scores to determine group membership in this study. Continued studies will allow us to further understand developmental dyslexia, a disorder affecting a significant percentage of our nation’s children (and adults), and whose deleterious effects may be lessened with continued multi-disciplinary research.

### Acknowledgments

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### Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [http://dx.doi.org/10.1016/j.neuropsychologia.2014.06.015](http://dx.doi.org/10.1016/j.neuropsychologia.2014.06.015).

### References

