Clusters and communities in air traffic delay networks

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Abstract—The air transportation system is a network of many interacting, capacity-constrained elements. When the demand for airport and airspace resources exceed the available capacities of these resources, delays occur. The state of the air transportation system at any time can be represented as a weighted directed graph in which the nodes correspond to airports, and the weight on each arc is the delay experienced by departures on that origin-destination pair. Over the course of any day, the state of the system progresses through a timeseries, where the state at any time-step is the weighted directed graph described above.

This paper presents algorithms for the clustering of air traffic delay network data from the US National Airspace System, in order to identify characteristic delay states (i.e., weighted directed graphs) as well as characteristic types-of-days (i.e., sequences of such weighted directed graphs) that are experienced by the air transportation system. The similarity of delay states during clustering are evaluated on the basis of not only the in- and out-degrees of the nodes (the total inbound and outbound delays), but also network-theoretic properties such as the eigenvector centralities, and the hub and authority scores of different nodes. Finally, the paper looks at community detection, that is, the grouping of nodes (airports) based on their similarities within a system delay state. The type of day is found to have an impact on the observed community structures.

I. INTRODUCTION

Flights in the United States experienced nearly 16 million minutes of delay in 2014 [1]. Air traffic delays have been estimated to cost the US economy $31-41 billion annually [2], [3]. When the traffic demand for an airport or airspace resource exceeds the available capacity of that resource (either because of deterioration in capacity or over-scheduling), congestion and delays occur. The networked nature of the air traffic system, and the flows of aircraft, crew and passengers, result in delays propagating from one part of the system to another.

Network models present a natural way to represent the air transportation system. The major portion of previous work in this area has considered models of traffic, either in terms of aircraft or passengers [4], [5], [6], [7], [8]. By contrast, this paper considers network models of air traffic delays, and how one might use operational data to build these models. A key difference between the problems is that network models of operations or passengers tend to be undirected when aggregated over several hours or a day (in other words, traffic levels are similar in both directions between two nodes), while delay network models tend to be directed.

This paper models the US National Airspace System (NAS) at any time as a directed network, with airports as nodes and the current delays between origin and destination airports as weights on the edges. It then uses algorithms for graph clustering to identify characteristic delay states of the NAS. In contrast to previous work on delay propagation in air traffic networks [9], [10], our primary focus is not to cluster network nodes (airports) on the basis of their similarities, but instead, to cluster the networks themselves (i.e., the system state at different times) on the basis of their similarities [11], [12], [13]. In earlier work, the NAS delay state was characterized using the edge weights of the network; however, the connectivity and network structure of the system were not explicitly considered [14].

The main objectives of this paper are as follows: (1) Identify, cluster and characterize air traffic delay networks that are similar to each other; and (2) identify, cluster and characterize days (24-hour periods) in the NAS which are similar to each other. Objective (1) will yield the characteristic delay state of the NAS at any time, while objective (2) will help identify characteristic type-of-days that describe the evolution of NAS delays, accounting for the fact that every hour of the day has an air traffic delay network associated with it.

II. AIR TRAFFIC DELAY NETWORKS

Consider a graph $G = (V, E)$, where $V$ is the set of vertices (nodes) and $E$ is the set of edges between them. Let $n = |V|$ be the number of vertices. There is no directionality associated with the edges of an undirected graph, while in the case of a directed graph, each edge is represented as an ordered pair, $(v_1, v_2)$, where $v_1$ is called the origin (or tail) and $v_2$ is called the destination (or head) of the edge. A weighted graph has a weight $w : E \rightarrow \mathbb{R}$ associated with each edge. Let the weight on edge $(i, j)$ be denoted by $w_{ij}$. Weighted graphs are also called networks.

The adjacency matrix, $A \in \mathbb{R}^{n \times n}$ of a weighted graph is given by

$$A_{ij} = \begin{cases} w_{ij}, & \text{if } (i, j) \in E, \\ 0, & \text{otherwise} \end{cases} \quad (1)$$

From (1), one can infer that the adjacency matrix of an undirected graph is symmetric (and that it therefore has real eigenvalues), while the adjacency matrix of a directed graph is asymmetric in general.
A. Description of data

The air traffic delay networks considered in this paper are constructed using data from the Bureau of Transportation Statistics (BTS) [15]. This data set contains details of flights logged by US airlines that account for at least 1% of domestic scheduled passenger revenues. Data from 2011-2012 are used to develop a network that reflects the delay state of the NAS at any time, focusing only origin-destination (OD) pairs that serve at least 5 flights a day on average. This filtering yields an unweighted graph with 158 nodes (airports) and 1,107 edges (OD pairs).

The edge weight on each edge of the air traffic delay network corresponds to the delay level of that edge. For every hour in the two-year period, we use a moving median (low-pass) filter on every OD pair in order to determine its delay value at that time. Delay values for time-periods shorter than 6 hours when there were no flights on an edge are estimated using interpolation. Details on the data processing and network generation can be found in Hanley [16]. This procedure generates an air traffic delay network for every hour of each of the 731 days in the data set, that is, $24 \times 731 = 17,544$ networks.

III. FEATURES FOR COMPARING NETWORKS

In order to cluster “similar” networks, we need to identify properties or features that can be used to evaluate their similarity to one another. Many candidate scores can be used to compare two networks: their suitability can vary depending on the application being considered [17], [12]. For example, one can compare the edge weights of two graphs, that is, use the Euclidean distance between the vectors of edge weights as a measure of graph similarity [14]. However, such a representation requires $O(n^2)$ parameters to represent a graph, where $n$ is the number of nodes. In this work, we consider promising features by which to compare networks that represent the delays in the air traffic system, and then use them to cluster similar networks, that is, identify characteristic delay states of the air traffic network. By considering features that represent the nodes and not the edges of the network, we obtain models with $O(n)$ parameters.

A. Degrees of nodes

The in- and out-degrees of a node in the air traffic network correspond to the total inbound and outbound delay (summed over all the incoming and outgoing edges from that node). In addition, one can also determine the total delay associated with a node as the sum of its inbound and outbound delays. For an airport $i$, these quantities can be determined from the adjacency matrix as:

$$
\begin{align*}
\delta^\text{out}(i) &= \sum_j A_{ij} \\
\delta^\text{in}(i) &= \sum_j A_{ji} \\
\delta^\text{tot}(i) &= \delta^\text{out}(i) + \delta^\text{in}(i)
\end{align*}
$$

In other words, the air traffic delay network at any time can be represented by the $n \times 1$ vectors, $\delta^\text{out}$, $\delta^\text{in}$ and $\delta^\text{tot}$.

B. Eigenvector centrality

A measure of the importance of a node in a network is given by the eigenvector centrality [18], [19]. The eigenvector centrality of a node increases if that node is strongly connected to other nodes with high eigenvector centrality. The eigenvector centrality vector, $\bar{e}$, can be calculated from the adjacency matrix as the eigenvector corresponding to the largest eigenvalue of $A$. However, the eigenvalues of $A$ are guaranteed to be real only if it is symmetric, which leads to the eigenvector centrality being a useful metric for undirected graphs. By contrast, the air traffic delay networks are directed weighted graphs.

One approach to applying the concept of eigenvector centrality to directed graphs is by “symmetrizing” the adjacency matrix, for example, by considering the graph with adjacency matrix $\bar{A}$:

$$
\bar{A} = (A + A^T)/2. \quad (5)
$$

In the context of the air traffic delay network, the weight on each edge of the undirected graph is the average of the delays on the two corresponding edges of the directed graph.

C. Hub and authority scores

Hubs and authorities were introduced for directed networks in the context of the Hypertext Induced Topics Search (HITS) algorithm [20], [21]. The key idea is that there are two types of important nodes in directed networks: hubs and authorities. An important hub points strongly to many important authorities, while important authorities are nodes that are pointed to strongly by many important hubs. In the context of air traffic delay networks, a strong hub refers to an airport that has significant outbound delays to strong authorities (i.e., airports that have significant inbound delays from strong hubs). The hub scores ($\bar{h}$) and authority scores ($\bar{a}$) can be determined iteratively [20], or by considering the dominant eigenvectors of $AA^T$ and $A^TA$ respectively. Equivalently, they can be determined from the dominant eigenvector of $\mathcal{A}$ [22], given by

$$
\mathcal{A} = \begin{pmatrix} 0 & A \\ A^T & 0 \end{pmatrix}. \quad (6)
$$

Since $\mathcal{A}$ is symmetric, the largest eigenvalue and corresponding eigenvector are guaranteed to be real. If $A$ is an $n \times n$ matrix, then $\mathcal{A}$ is a $2n \times 2n$ matrix. The first $n$ terms of its dominant (nonnegative) eigenvector correspond to the hub scores of the nodes of the directed graph, while the second $n$ terms correspond to its authority scores [22].

Example 1: Let us consider the example of the network shown in Fig. 1.

The vectors of incoming delays, outgoing delays and total delays at each airport are given by:

$$
\bar{d}^\text{in} = \begin{bmatrix} 45 & 5 & 40 & 30 & 5 & 15 \end{bmatrix}^T \quad (7)
$$

$$
\bar{d}^\text{out} = \begin{bmatrix} 35 & 10 & 25 & 50 & 15 & 5 \end{bmatrix}^T \quad (8)
$$

$$
\bar{d}^\text{tot} = \begin{bmatrix} 80 & 15 & 65 & 80 & 20 & 20 \end{bmatrix}^T \quad (9)
$$

While the quantities $\bar{d}^\text{in}$, $\bar{d}^\text{out}$ and $\bar{d}^\text{tot}$ reflect the delays associated with each node in the network, they do not reflect...
the edges, that is, the connectivity of those nodes. The eigenvector centrality can be determined from the undirected graph derived using (5), which is shown in Fig. 2.

As the previous example suggests, the different features discussed above reflect different properties of the network. While the delay features ($\vec{d}^{in}$ and $\vec{d}^{out}$) reflect the total inbound and outbound delays at a node, the hub and authority scores reflect the connectivity and the propensity for delay to propagate into and out of a node in a network.

IV. CLUSTERING OF NETWORKS

The features discussed in the previous section can be used to compare networks, and to cluster similar networks. In other words, two observed air traffic delay networks are judged to be similar to each other if these features are close to one another. The Euclidean distance between the feature vectors can be used to measure distance. Candidate feature vectors that were considered included:

1) Outbound and inbound delay vectors: $\begin{bmatrix} \vec{d}^{out} \\ \vec{d}^{in} \end{bmatrix}$.
2) Eigenvector centralities of the nodes of the symmetrized version of the air traffic delay network: $\vec{e}$.
3) Eigenvector centralities weighted by the total delay in the system: $\left( \sum_j \vec{d}^{in}(j) \right) \vec{e}$.
4) Hub and authority scores of the nodes of the air traffic delay network: $\begin{bmatrix} \vec{h} \\ \vec{a} \end{bmatrix}$.
5) Hub and authority scores weighted by the total delay in the system: $\left( \sum_j \vec{d}^{in}(j) \right) \begin{bmatrix} \vec{h} \\ \vec{a} \end{bmatrix}$.
6) Hub and authority scores along with the outbound and inbound delays (appropriately normalized to be in $[0,1]$) at nodes: $\begin{bmatrix} \vec{h} \\ \vec{a} \\ \vec{d}^{out} \\ \vec{d}^{in} \end{bmatrix}$.

k-means or k-medoids algorithms were used to determine clusters of similar graphs. The Euclidean distance between the feature vectors was used as the objective function. The number of clusters is evaluated using various criteria, including the sum of distances to the nearest cluster centroids and silhouette plots [23]. The next section presents some illustrative results of clustering using different feature vectors.

A. Clustering by eigenvector centralities

Fig. 3 shows the 6 clusters (suggested by the silhouette plots [23]) that were identified by the eigenvector centralities, $\vec{e}$. These centroids can be qualitatively described as follows: (1st row) High centrality in the West coast of the US (especially San Francisco (SFO) airport) exhibits strong centrality; (2nd row) Chicago O’Hare (ORD) has high centrality; (3rd row) Atlanta (ATL) airport has strong centrality; (4th row) a diffused state; (5th row) Elevated centralities at the East Coast airports, and (6th row) Dallas/Fort Worth (DFW) airport has strong centrality.

B. Clustering by Hub/Authority scores weighted by the total system delay

The feature vectors used in the previous section, eigenvector centralities, do not account for either the directed nature of the edges, that is, the connectivity of those nodes. The eigenvector centrality can be determined from the undirected graph derived using (5), which is shown in Fig. 2.

The eigenvector centrality (i.e., the dominant eigenvector of $\vec{A}$) in this case is given by

$$\vec{e} = [0.60 \ 0.14 \ 0.47 \ 0.59 \ 0.15 \ 0.19]^T \quad (10)$$

Comparing the values of $\vec{e}$ and $\vec{A}^{out}$ in this example, we see that they are not identical. For example, the nodes 1 and 4 have the same total delay (80 units), but their eigenvector centralities differ, with node 1 being slightly more central. Similarly, nodes 5 and 6 have the same total delays, but different centralities. In both cases, we see that this is due to connectivity: Node 4 and node 1 are both similarly connected to each other and to node 3, but node 4 is more strongly connected to node 6 than node 1 is to node 2. Similarly, node 6 is more central than node 5 due to its connectivity to node 4 (which has high centrality) rather than to node 3 (which has lower centrality).

One can also compare the hub ($\vec{h}$) and authority scores ($\vec{a}$) of various nodes to their outbound ($\vec{d}^{out}$) and inbound ($\vec{d}^{in}$) delays, respectively. For this directed network,

$$\vec{a} = [0.70 \ 0.05 \ 0.56 \ 0.24 \ 0.05 \ 0.36]^T \quad (11)$$

$$\vec{h} = [0.32 \ 0.21 \ 0.35 \ 0.82 \ 0.25 \ 0.04]^T \quad (12)$$

Although node 4 has higher total inbound delay than node 6, it has a lower authority score since none of the three nodes it is connected to (1, 3 and 6) has a high hub score (and the weights on links (3,4) and (6,4) have low weights), while node 6 is strongly connected to node 4, which is a strong hub.

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of the networks being clustered, or possible scalings of the network. In other words, the eigenvector centralities would be the same for two networks that had adjacency matrices that were scaled versions of each other; however, the two networks would have very different system delays.

Fig. 4 shows 4 of the 6 clusters identified by the hub and authority scores, multiplied by the total system delay at that time. This feature vector accounts both for the directed nature of the network, as well as the total delay in the system corresponding to that network. The identified clusters can be qualitatively described as follows: (1st row) High delays at SFO which is also an authority; (2nd row) high delays and strong hub and authority scores in the Eastern US, including ATL, ORD and the Northeast airports; (3rd row) high delays and strong authority scores at ATL; and (4th row) very high delays and authority scores at ORD. The 5th and 6th states (not shown) have low and medium delays diffused across the system. It is interesting to note the differences between the eigenvector centrality clustering and clustering using hub/authority scores and delays. In particular, the latter does not identify a clear state in which DFW is dominant, instead opting for a medium NAS delays state in which
Similar days can be determined using k-means clustering, by comparing the Euclidian distance between the time series $D_n$ on different days.

Clustering using the outbound and inbound delays as feature vectors yields 6 characteristic types of day, as suggested by silhouette plots [24]. The centroids of these clusters, which are each a time series of 24 feature vectors, can be qualitatively described as follows: Days on which (1) Atlanta (ATL) very high delays, (2) West coast high delays, (3) ORD very high delays, (4) Northeast/ORD/ATL delays, (5) Medium NAS delays, and (6) Low NAS delays.

### B. Clustering time series of hub and authority scores

Alternatively, we can use the feature vector (4) described in Section IV, namely the hub and authority scores, to represent the network at any time. In other words, day $n$ is represented by the time series

$$D_n = \begin{bmatrix} \tilde{h}_{1,n} \\ \tilde{d}_{1,n} \\ \vdots \\ \tilde{h}_{24,n} \\ \tilde{d}_{24,n} \end{bmatrix},$$

where $\tilde{h}_{i,n}$ and $\tilde{d}_{i,n}$ represent the hub and authority scores of the nodes of the network at time $t$ on day $n$.

Silhouette plots for k-means clustering using time series of hub/authority scores for each day suggest a choice of 12 clusters. The centroids can be qualitatively described as follows: Days on which (1) Northeast has med HA scores, (2) Low/diffused HA scores, (3) ATL high H scores, (4) ATL high HA and ORD high H, (5) ORD med HA, (6) SFO high HA, (7) DFW high HA, (8) ATL med A and DEN, LAX med HA, (9) SFO high A, (10) IAH high HA, (11) ORD high H, and (12) ORD v. high A.

### C. Clustering time series of inbound and outbound delays, and hub and authority scores

In considering the feature vectors in Sections V-A and V-B, one notes that the former only considers the edge weights (delays) but not the connectivities (the susceptibility of nodes to further inbound or outbound delays), while the latter only considers the connectivities but not the delays themselves. In reality, both kinds of feature vectors need to be considered. An analysis of the overlap between the two sets of clusters shows that there are relationships between them.

Fig. 6 shows the empirical probability distribution of which hub/authority-based cluster days from each of the five delay-based clusters would belong to. For example, a day classified as ATL high delays is most likely to also have high hub/authority scores at ATL, and there is a similar correlation between delays and hub/authority scores at the Northeast airports. High hub/authority scores at DFW are strongly correlated with medium NAS delays. Chicago delays (medium to very high) tend to be correlated with high ORD authority scores (and midwest hub scores). Finally, elevated hub/authority scores in the West coast tend to cause localized delays, and to not have a significant impact on systemwide delays. This statement is supported by the observation that most days with high hub and authority scores at SFO fall into the “West coast high delays” cluster.
VI. COMMUNITY DETECTION

It would be valuable to be able to identify airports that are most similar, that is, ones that tend to have similar delays (or propensity for delays). This problem is analogous to that of clustering similar nodes in networks [9], [10], [25]. As a first step, we consider the symmetrized version of the air traffic delay network, aggregated over a day. Community detection algorithms using the notion of modularity, as proposed by Girvan and Newman [26], determine groups of nodes (communities) such that there is stronger connectivity between nodes within a particular community than between nodes in different ones.

Given a partitioning of the network nodes into $C$ communities, the modularity or quality of the partitioning is evaluated using the function, $Q$ such that

$$Q = \sum_{i=1}^{C} \left(e_{ii} - a_{i}^{2}\right),$$

where $e_{ii}$ is the fraction of total network edge weight that is on edges that connect nodes in community $i$ to those in community $j$, and $a_{i} = \sum_{j} e_{ij}$. The partitioning is optimized over all values of $C$ and possible community structures in order to maximize $Q$ [26]. For example, community detection for the undirected graph shown in Fig. 2 results in two communities, one containing nodes 1, 2, 4 and 6, and the other containing nodes 3 and 5 (Fig. 7).

![Fig. 7. Community detection for the undirected graph shown in Fig. 2.](image_url)

7.5
12.5
10
10
10

![Fig. 8. Empirical probability of airports belonging to the same community, by type of day.](image_url)

In air traffic delay networks, there is stronger connectivity amongst airports within a community (as indicated by the
delay weights on the edges) than to nodes in other communities. It is interesting to observe that the community structures vary depending on the type of day that is being experienced in the NAS. Fig. 8 shows the empirical probability that two airports belong to the same community, given that the day has been classified as being of a particular type. It shows that while Atlanta Hartsfield International (ATL) and Houston George Bush Intercontinental (IAH) airports are almost never in the same community, Portland International (PDX) and San Francisco (SFO) airports are in the same community on the majority of days. In addition, on days labeled as having high SFO delays, hub and authority scores, PDX and SFO are almost always in the same community. By contrast, the likelihood of SFO and Los Angeles (LAX) airports being in the same community can vary from nearly 80% on days when Houston (IAH) is impacted, to less than 40% on days on which SFO is impacted. Similarly, Seattle (SEA) and SFO are in the same community on 80% of days on which ATL is impacted or SFO is both a hub and an authority, but only 50% of days when delays are low, with slightly higher delays at Denver (DEN) airport.

VII. DISCUSSION AND NEXT STEPS

The results presented in this paper demonstrate the promise of clustering air traffic delay networks in order to identify characteristic delay states and characteristic types of day that take into account both spatial and temporal patterns and connectivity. The analyses help identify key airports and constraints that drive air traffic delays. The community detection analysis shows that groups of airports are strongly connected in terms of delays on certain types of days. Ongoing work on this topic includes comparing the characteristic types of days identified by clustering time series of networks (as was done in this paper) to types of days identified by clustering aggregate delays experienced at different airports. In addition, the evolution of delay states during the course of a day could be compared to the disruptions and control actions (i.e., Traffic Management Initiatives) that were seen on that day. Finally, the characteristic delay states, types of days, and significant features identified in this work can be used to develop predictive models of air traffic network delays, which may in turn enable improved decision support tools for stakeholders such as air traffic managers.

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