Modified Mixed Realizations, New Additive Invariants, and Periods of DG Categories

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MODIFIED MIXED REALIZATIONS, NEW ADDITIVE INVARIANTS, AND PERIODS OF DG CATEGORIES

GONÇALO TABUADA

Abstract. To every scheme, not necessarily smooth neither proper, we can associate its different mixed realizations (de Rham, Betti, étale, Hodge, etc) as well as its ring of periods. In this note, following an insight of Kontsevich, we prove that, after suitable modifications, these classical constructions can be extended from schemes to the broad setting of dg categories. This leads to new additive invariants of dg categories, which we compute in the case of differential operators, as well as to a theory of periods of dg categories. Among other applications, we prove that the ring of periods of a scheme is invariant under projective homological duality. Along the way, we explicitly describe the modified mixed realizations using the Tannakian formalism.

1. Modified mixed realizations

Given a perfect field $k$ and a commutative $\mathbb{Q}$-algebra $R$, Voevodsky introduced in [49, §2] the category of geometric mixed motives $\text{DM}_{gm}(k; R)$. By construction, this $R$-linear rigid symmetric monoidal triangulated category comes equipped with a $\otimes$-functor $M(-)_R \colon \text{Sm}(k) \to \text{DM}_{gm}(k; R)$, defined on smooth $k$-schemes of finite type, and with a $\otimes$-invertible object $T := R(1)[2]$ called the Tate motive. Moreover, when $k$ is of characteristic zero, the preceding functor can be extended from $\text{Sm}(k)$ to the category $\text{Sch}(k)$ of all $k$-schemes of finite type. Recall also the construction of Voevodsky’s big category of mixed motives $\text{DM}(k; R)$. This $R$-linear symmetric monoidal triangulated category admits arbitrary direct sums and $\text{DM}_{gm}(k; R)$ identifies with its full triangulated subcategory of compact objects.

A differential graded (=dg) category $A$, over a base field $k$, is a category enriched over complexes of $k$-vector spaces; see §5.1. Every (dg) $k$-algebra $A$ gives naturally rise to a dg category with a single object. Another source of examples is provided by schemes since the category of perfect complexes of every quasi-compact quasi-separated $k$-scheme $X$ admits a canonical dg enhancement $\text{perf}_{dg}(X)$; see [20, §4.4]. Let us denote by $\text{dgcat}(k)$ the category of (small) dg categories and by $\text{Hmo}(k)$ its localization at the class of derived Morita equivalences.

Given an $R$-linear symmetric monoidal additive category with arbitrary direct sums $\mathcal{M}$ and a $\otimes$-invertible object $\mathcal{O} \in \mathcal{M}$, consider the commutative monoid $\bigoplus_{m \in \mathbb{Z}} \mathcal{O}^\otimes m$ in $\mathcal{M}$ and the category of (right) $\bigoplus_{m \in \mathbb{Z}} \mathcal{O}^\otimes m$-modules $\text{Mod}(\bigoplus_{m \in \mathbb{Z}} \mathcal{O}^\otimes m)$. In what follows, we write $\gamma : \mathcal{M} \to \text{Mod}(\bigoplus_{m \in \mathbb{Z}} \mathcal{O}^\otimes m)$ for the base-change functor.

Definition 1.1 (Modified mixed realization). A mixed realization is an $R$-linear lax $\otimes$-functor $H : \text{DM}(k; R) \to \mathcal{M}$ such that $H(\bigoplus_{m \in \mathbb{Z}} \mathcal{T}^\otimes m) \simeq \bigoplus_{m \in \mathbb{Z}} H(\mathcal{T})^\otimes m$. The
associated modified mixed realization is the following composition

\[ H: \text{Sm}(k) \xrightarrow{\rightarrow} \text{DM}_{\text{sm}}(k; R) \xrightarrow{(-)^{\vee}} \text{DM}_{\text{sm}}(k; R) \xrightarrow{H} \mathcal{M} \xrightarrow{\gamma} \text{Mod}(\oplus_m H(T)^{\otimes m}), \]

where \((-)^{\vee}\) stands for the (contravariant) duality autoequivalence.

In what follows, given a smooth \( k \)-scheme of finite type \( X \), we will write \( H(X) \) instead of \( H(M(X)) \). Our first main result is the following:

**Theorem 1.2.** Let \( k \) be a perfect field and \( R \) a commutative \( \mathbb{Q} \)-algebra. Given a mixed realization \( H \), there exists a functor \( H^{\text{nc}} \) making the diagram commute:

\[
\begin{array}{ccc}
\text{Sm}(k) & \xrightarrow{H} & \text{Mod}(\oplus_m H(T)^{\otimes m}) \\
\downarrow_{X \mapsto \text{perf}_{dg}(X)} & & \\
\text{Hmo}(k) & \xrightarrow{H^{\text{nc}}} & .
\end{array}
\]

When \( k \) is of characteristic zero, the same holds with \( \text{Sm}(k) \) replaced by \( \text{Sch}(k) \).

Intuitively speaking, Theorem 1.2 shows that as soon as we \( \otimes \)-trivialize the image of the Tate motive \( H(T) \), the modified mixed realization \( H \) factors through perfect complexes! This result is inspired by Kontsevich’s definition of noncommutative étale cohomology theory; consult the notes [23].

**Corollary 1.4** (Derived Morita invariance). Let \( X \) and \( Y \) be two smooth \( k \)-schemes of finite type and \( H \) a mixed realization. If \( \text{perf}_{dg}(X) \simeq \text{perf}_{dg}(Y) \) in \( \text{Hmo}(k) \), then \( H(X) \simeq H(Y) \in \text{Mod}(\oplus_m H(T)^{\otimes m}) \). When \( k \) is of characteristic zero, the same holds without the smoothness assumption.

## 2. Examples of modified mixed realizations

Let \( R \) be a field extension of \( \mathbb{Q} \) and \( (C, \otimes, 1) \) an \( R \)-linear neutral Tannakian category equipped with a \( \otimes \)-invertible “Tate” object \( 1(1) \). In what follows, we write \( \text{Gal}(C) \) for the Tannakian group of \( C \) and \( \text{Gal}_0(C) \) for the kernel of the homomorphism \( \text{Gal}(C) \to \mathbb{G}_m \), where \( \mathbb{G}_m \) is the Tannakian group of the smallest Tannakian subcategory of \( C \) containing \( 1(1) \).

Let \( H: \text{DM}(k; R) \to \mathcal{D}(\text{Ind}(C)) \) be an \( R \)-linear triangulated \( \otimes \)-functor with values in the derived category of ind-objects of \( C \). Assume that \( H \) preserves arbitrary direct sums and sends \( R(1) \) to \( 1(1) \). Given such a functor, let \( H^* \) be its composition with the total cohomology functor \( \mathcal{D}(\text{Ind}(C)) \to \text{Gr}_2(\text{Ind}(C)) \). Note that \( H^*(T) = H^2(\mathbb{P}^1)^{\otimes(-1)} \) and that \( H \) and \( H^* \) are mixed realizations.

Recall from the Tannakian formalism that, since \( C \) is an \( R \)-linear neutral Tannakian category, \( \text{Gr}_2(C) \) is \( \otimes \)-equivalent to the \( R \)-linear category \( \text{Rep}_2(\text{Gal}(C)) \) of finite dimensional \( \mathbb{Z} \)-graded continuous representations of \( \text{Gal}(C) \). Recall also that the inclusion \( \text{Gal}_0(C) \subset \text{Gal}(C) \) gives rise to the following restriction functor

\[
\text{Rep}_2(\text{Gal}(C)) \to \text{Rep}_{2/2}(\text{Gal}_0(C))
\]

\[ \{V_n\}_{n \in \mathbb{Z}} \mapsto (\oplus_n V_{2n}, \oplus_n V_{2n+1}), \]

where \( \text{Rep}_{2/2}(\text{Gal}_0(C)) \) stands for the category of finite dimensional \( \mathbb{Z}/2 \)-graded continuous representations of \( \text{Gal}_0(C) \). Our second main result is the following:

**Theorem 2.2.** Under the above assumptions, the restriction of the base-change functor \( \text{Gr}_2(\text{Ind}(C)) \xrightarrow{\cong} \text{Mod}(\oplus_m H^2(\mathbb{P}^1)^{\otimes(-m)}) \) to \( \text{Gr}_2(C) \) admits a factorization:

\[
\text{Gr}_2(C) \cong \text{Rep}_2(\text{Gal}(C)) \xrightarrow{(2.1)} \text{Rep}_{2/2}(\text{Gal}_0(C)) \subseteq \text{Mod}(\oplus_m H^2(\mathbb{P}^1)^{\otimes(-m)}).
\]
Consequently, whenever the functor $H$ preserves compact objects, the modified mixed realization associated to $H^*$ is given by
\[ H^*: \text{Sm}(k) \longrightarrow \text{Rep}_{\mathbf{Z}/2}(\text{Gal}_0(C)) \quad X \mapsto (\oplus_n H^{2n}(X), \oplus_n H^{2n+1}(X)). \]

Moreover, when $k$ is of characteristic zero we can replace $\text{Sm}(k)$ by $\text{Sch}(k)$.

**Modified Nori realization.** Let $k$ be a field of characteristic zero, equipped with an embedding $k \hookrightarrow \mathbb{C}$, and $R$ a field extension of $\mathbb{Q}$. Recall from [11, §2] [17, §8] the construction of the $R$-linear neutral Tannakian category of Nori mixed motives $\text{NMM}(k; R)$ and of its $\otimes$-invertible Tate object $1(1)$. As proved in [11, Prop. 7.11], there exists an $R$-linear triangulated $\otimes$-functor $H_N$ from $\text{DM}(k; R)$ to $\mathcal{D}(\text{Ind}(\text{NMM}(k; R)))$ which satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H_N^*$ is given by
\[ H_N^*: \text{Sch}(k) \longrightarrow \text{Rep}_{\mathbf{Z}/2}(\text{Gal}_0(\text{NMM}(k; R))) \quad X \mapsto (\oplus_n H_N^{2n}(X), \oplus_n H_N^{2n+1}(X)). \]

**Modified Jannsen realization.** Recall from [18, Part I] the construction of the $R$-linear neutral Tannakian category of Jannsen mixed motives $\text{JMM}(k; R)$ and of its Tate object $1(1)$. As explained in [17, Prop. 10.3.3], the universal property of Nori’s category of mixed motives yields an exact $\otimes$-functor from $\text{NMM}(k; R)$ to $\text{JMM}(k; R)$. The composition $H_J$ of $H_N$ with the functor from $\mathcal{D}(\text{Ind}(\text{NMM}(k; R)))$ to $\mathcal{D}(\text{Ind}(\text{JMM}(k; R)))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H_J^*$ is given by
\[ H_J^*: \text{Sch}(k) \longrightarrow \text{Rep}_{\mathbf{Z}/2}(\text{Gal}_0(\text{JMM}(k; R))) \quad X \mapsto (\oplus_n H_J^{2n}(X), \oplus_n H_J^{2n+1}(X)). \]

**Modified de Rham realization.** Let $\text{Vect}(k)$ be the $k$-linear neutral Tannakian category of finite dimensional $k$-vector spaces, equipped with $1(1) := k$. In this case, the Tannakian group $\text{Gal}_0(\text{Vect}(k))$ is trivial and $\text{Rep}_{\mathbf{Z}/2}(\text{Gal}_0(\text{Vect}(k)))$ reduces to the category of finite dimensional $\mathbf{Z}/2$-graded $k$-vector spaces $\text{Vect}_{\mathbf{Z}/2}(k)$. Recall that $\text{JMM}(k; \mathbb{Q})$ comes equipped with an exact de Rham realization $\otimes$-functor from $\text{JMM}(k; \mathbb{Q})$ to $\text{Vect}(k)$. The composition $H_{dR}$ of $H_J$ with the induced functor from $\mathcal{D}(\text{Ind}(\text{JMM}(k; \mathbb{Q})))$ to $\mathcal{D}(\text{Ind}(\text{Vect}(\mathbb{Q})))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H_{dR}^*$ is given by
\[ H_{dR}^*: \text{Sch}(k) \longrightarrow \text{Vect}_{\mathbf{Z}/2}(k) \quad X \mapsto (\oplus_n H_{dR}^{2n}(X), \oplus_n H_{dR}^{2n+1}(X)). \]

**Modified Betti realization.** Let $\text{Vect}(\mathbb{Q})$ be the $\mathbb{Q}$-linear neutral Tannakian category of finite dimensional $\mathbb{Q}$-vector spaces, equipped with $1(1) := \mathbb{Q}$. Recall that $\text{JMM}(k; \mathbb{Q})$ comes equipped with an exact Betti realization $\otimes$-functor from $\text{JMM}(k; \mathbb{Q})$ to $\text{Vect}(\mathbb{Q})$. The composition $H_B$ of $H_J$ with the induced functor from $\mathcal{D}(\text{Ind}(\text{JMM}(k; \mathbb{Q})))$ to $\mathcal{D}(\text{Ind}(\text{Vect}(\mathbb{Q})))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H_B^*$ is given by
\[ H_B^*: \text{Sch}(k) \longrightarrow \text{Vect}_{\mathbf{Z}/2}(\mathbb{Q}) \quad X \mapsto (\oplus_n H_B^{2n}(X), \oplus_n H_B^{2n+1}(X)). \]

**Modified de Rham-Betti realization.** Let $\text{Vect}(k, \mathbb{Q})$ be the $\mathbb{Q}$-linear neutral Tannakian category of triples $(V, W, \omega)$ (where $V$ is a finite dimensional $k$-vector space, $W$ a finite dimensional $\mathbb{Q}$-vector space, and $\omega$ an isomorphism $V \otimes_k \mathbb{C} \to W \otimes_k \mathbb{C}$), equipped with the Tate object $1(1) := (k, \mathbb{Q}, -(2\pi i)^{-1})$. Recall that $\text{JMM}(k; \mathbb{Q})$ comes equipped with an exact de Rham-Betti realization $\otimes$-functor from $\text{JMM}(k; \mathbb{Q})$ to $\text{Vect}(k, \mathbb{Q})$. The composition $H_{dRB}$ of $H_J$ with the functor
from $\mathcal{D}(\text{Ind}(\text{JMM}(k; \mathbb{Q})))$ to $\mathcal{D}(\text{Ind}(\text{Vect}(k, \mathbb{Q})))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H^*_{\text{dRB}}$ is given by

$$H^*_{\text{dRB}}: \text{Sch}(k) \rightarrow \text{Rep}_{\mathbb{Z}/2}(\text{Gal}_0(\text{Vect}(k, \mathbb{Q}))) \quad X \mapsto (\oplus_n H^{2n}_{\text{dRB}}(X), \oplus_n H^{2n+1}_{\text{dRB}}(X)).$$

**Modified Étale realization.** Given a prime $l$, let $\text{Rep}_l(\text{Gal}(k/k))$ be the $\mathbb{Q}_l$-linear neutral Tannakian category of finite dimensional $l$-adic representations of the absolute Galois group of $k$, equipped with the Tate object $\mathbb{I}(1) := \lim_{\to} \mu_{l^n}$. Recall that $\text{JMM}(k; \mathbb{Q})$ comes equipped with an exact étale realization $\otimes$-functor from $\text{JMM}(k; \mathbb{Q})$ to $\text{Rep}_l(\text{Gal}(k/k))$. The composition $H_{\text{et}}$ of $H_J$ with the functor from $\mathcal{D}(\text{Ind}(\text{JMM}(k; \mathbb{Q})))$ to $\mathcal{D}(\text{Ind}(\text{Rep}_l(\text{Gal}(k/k))))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H^*_0$ is given by

$$H^*_0: \text{Sch}(k) \rightarrow \text{Rep}_{\mathbb{Z}/2}(\text{Gal}_0(k/k)) \quad X \mapsto (\oplus_n H^{2n}_{\text{et}}(X), \oplus_n H^{2n+1}_{\text{et}}(X)).$$

**Remark 2.3.** The preceding functor was suggested by Kontsevich in [23].

**Modified Hodge realization.** Recall from [38, §1] the construction of the $\mathbb{Q}$-linear neutral Tannakian category of mixed $\mathbb{Q}$-Hodge structures $\text{MHS}(\mathbb{Q})$ and of its $\otimes$-invertible Tate object $\mathbb{I}(1)$. Recall that $\text{JMM}(k; \mathbb{Q})$ comes equipped with an exact Hodge realization $\otimes$-functor from $\text{JMM}(k; \mathbb{Q})$ to $\text{MHS}(\mathbb{Q})$. Let us denote by $H_{\text{Hod}}$ of $H_J$ with the induced functor from $\mathcal{D}(\text{Ind}(\text{JMM}(k; \mathbb{Q})))$ to $\mathcal{D}(\text{Ind}(\text{MHS}(\mathbb{Q})))$ satisfies the conditions of Theorem 2.2. Consequently, the modified mixed realization associated to $H_{\text{Hod}}$ is given by

$$H^*_0: \text{Sch}(k) \rightarrow \text{Rep}_{\mathbb{Z}/2}(\text{Gal}_0(\text{MHS}(\mathbb{Q}))) \quad X \mapsto (\oplus_n H^{2n}_{\text{Hod}}(X), \oplus_n H^{2n+1}_{\text{Hod}}(X)).$$

**Remark 2.4 (Modified pure $\mathbb{R}$-Hodge structures).** Recall from [38, pages 33-34] the construction of the $\mathbb{R}$-linear neutral Tannakian category of pure $\mathbb{R}$-Hodge structures $\text{HS}(\mathbb{R})$ and of its $\otimes$-invertible Tate object $\mathbb{I}(1)$. In this case, the Tannakian group $\text{Gal}(\text{HS}(\mathbb{R}))$ is the Hodge-Deligne circle $\text{Res}_{\mathbb{C}/\mathbb{R}}(\mathbb{G}_m)$ and $\text{Gal}_0(\text{HS}(\mathbb{R}))$ the unitary group $U(1)$. Base-change along $\mathbb{Q} \subset \mathbb{R}$ gives then rise to the modified realization

$$(H^*_0)^{\mathbb{R}}: \text{SmProj}(k) \rightarrow \text{Rep}_{\mathbb{Z}/2}(U(1)) \quad X \mapsto (\oplus_n H^{2n}_{\text{Hod}}(X)_{\mathbb{R}}, \oplus_n H^{2n+1}_{\text{Hod}}(X)_{\mathbb{R}}),$$

where $\text{SmProj}(k)$ stands for the category of smooth projective $k$-schemes.

3. NEW ADDITIVE INVARIANTS

Let $\mathcal{A}, \mathcal{B} \subseteq \mathcal{C}$ be dg categories yielding a semi-orthogonal decomposition $H^0(\mathcal{C}) = \langle H^0(\mathcal{A}), H^0(\mathcal{B}) \rangle$ in the sense of Bondal-Orlov [8]. A functor $E: \text{Hmo}(k) \rightarrow \mathcal{M}$, with values in an additive category, is called an **additive invariant** if, for every dg categories $\mathcal{A}, \mathcal{B} \subseteq \mathcal{C}$, the inclusions $\mathcal{A}, \mathcal{B} \subseteq \mathcal{C}$ induce an isomorphism $E(\mathcal{A}) \otimes E(\mathcal{B}) \simeq E(\mathcal{C})$. Examples of additive invariants include algebraic $K$-theory, cyclic homology and all its variants, topological Hochschild homology, etc; consult [40, §2.2]. As an application of Theorem 1.2, we obtain several new examples of additive invariants:

**Proposition 3.1.** Given a mixed realization $H$, the associated functor $H^{\text{nc}}$ (as in Theorem 1.2) is an additive invariant. Moreover, the following holds:

(i) Given a smooth $k$-scheme of finite type $Y$ and a smooth closed subscheme $X \hookrightarrow Y$, we have an isomorphism between $H^{\text{nc}}(\text{perf}_{\text{dg}}(Y)_{X})$ and $H(X)$ where $\text{perf}_{\text{dg}}(Y)_{X}$ stands for the full dg subcategory of $\text{perf}_{\text{dg}}(Y)$ consisting of those perfect complexes which are supported on $X$;

(ii) Given a dg category $\mathcal{A}$, we have $H^{\text{nc}}(\mathcal{A}[t]) \simeq H^{\text{nc}}(\mathcal{A})$ where $\mathcal{A}[t] := \mathcal{A} \otimes k[t]$. 
By combining Proposition 3.1 with the modified mixed realizations of §2, we hence obtain the new additive invariants $H^nc \cdot H^{nc}_d \cdot H^{nc}_dB \cdot H^{nc}_d \cdot H^{nc}_{d2}$. Note that Theorem 1.2 determines the value of $H^{nc}$ at the dg categories of the form $\text{perf}_{dg}(X)$. Moreover, Proposition 3.1(i) shows that in order to compute $H(X)$ we can first embed $X$ into any ambient smooth $k$-scheme $Y$ and then use the associated dg category $\text{perf}_{dg}(Y)_X$. In what follows, we compute the value of the new additive invariants $H^{nc}$ at some “truly noncommutative” dg categories.

**Example 3.2 (Finite dimensional algebras of finite global dimension).** Let $A$ be a finite dimensional $k$-algebra of finite global dimension. We write $r$ for the number of simple (right) $A$-modules and $C_j$ for the center of the division $k$-algebra $\text{End}_A(S_j)$ associated to the simple (right) $A$-module $S_j$. By combining Proposition 3.1 with [45, pages 386-387], we obtain the computation $H^{nc}(A) \cong \bigoplus_{j=1}^{r} H(\text{Spec}(C_j))$. When $k$ is algebraically closed, we have $C_j = k$ and hence $H^{nc}(A) \cong \bigoplus_{j=1}^{r} H(\text{Spec}(k))$.

**Proposition 3.3 (Calkin algebra).** Given a mixed realization $H$ as in Theorem 2.2, we have the following isomorphism of $\mathbb{Z}/2$-graded representations

$$(H^*)^{nc}(\Sigma(X)) \cong \left( \bigoplus_{n} H^{2n+1}(X), \bigoplus_{n} H^{2n}(X) \right) \in \text{Rep}_{\mathbb{Z}/2}(\text{Gal}_0(C)),$$

where $\Sigma$ stands for the Calkin algebra and $\Sigma(X) := \text{perf}_{dg}(X) \otimes \Sigma$.

Roughly speaking, Proposition 3.3 shows that the assignment $X \mapsto \Sigma(X)$ corresponds to switching the degrees of the $\mathbb{Z}/2$-graded representation $H^*(X)$. Our third main result is the following computation:

**Theorem 3.4 (Differential operators).** Let $k$ be a field of characteristic zero, $X$ a smooth $k$-scheme of finite type, and $\mathcal{D}_X$ the sheaf of differential operators on $X$. Assume that there exists a filtration by closed subschemes

(3.5) $$0 = X_{-1} \hookrightarrow X_0 \hookrightarrow \cdots \hookrightarrow X_j \hookrightarrow \cdots \hookrightarrow X_{r-1} \hookrightarrow X_r = X$$

such that $X_j \setminus X_{j-1}, 0 \leq j \leq r$, are smooth affine $k$-schemes of finite type. Under these assumptions, $H^{nc}(\text{perf}_{dg}(\mathcal{D}_X)) \cong H(X)$ for every mixed realization $H$.

**Example 3.6 (Weyl algebras).** In the particular case where $X = \mathbb{A}^r$, $\mathcal{D}_X$ identifies with the $r^{th}$ Weyl algebra $W_r$. Since the functor $H$ is $\mathbb{A}^1$-homotopy invariant, it follows then from Theorem 3.4 that $H^{nc}(W_r) \cong H(\text{Spec}(k))$.

**Example 3.7 (Lie algebras).** Let $G$ be a connected semisimple algebraic $C$-group, $B$ a Borel subgroup of $G$, $\mathfrak{g}$ the Lie algebra of $G$, and $U_{ev}(\mathfrak{g})/I$ the quotient of the universal enveloping algebra of $\mathfrak{g}$ by the kernel of the trivial character. Thanks to Beilinson-Bernstein’s celebrated “localisation” result [4], it follows then from Theorem 3.4 that $H^{nc}(U_{ev}(\mathfrak{g})/I) \cong H^{nc}(\text{perf}_{dg}(\mathcal{D}_{G/B})) \cong H(G/B)$.

**Remark 3.8.** Theorem 3.4 does not hold for every additive invariant! For example, in the case of Hochschild homology we have $HH_n(\text{perf}_{dg}(\mathcal{D}_X)) \cong H^{dR}_{nc}(X)$ for every smooth affine $k$-scheme $X$ of dimension $d$; see [51, Thm. 2]. Since $H^{dR}_{nc}(X) = 0$, this implies that $H(H(\text{perf}_{dg}(\mathcal{D}_X)) \not\cong H(\text{perf}_{dg}(X))$. More generally, we have $HH(\text{perf}_{dg}(\mathcal{D}_X)) \not\cong HH(A)$ for every commutative $k$-algebra $A$.

---

1 Thanks to the Białynicki-Birula decomposition [6], this holds, for example, for every smooth projective $k$-scheme $X$ equipped with a $\mathbb{G}_m$-action in which the fixed points are isolated (e.g., projective homogeneous varieties, toric varieties, symmetric varieties, etc.).
4. Periods of dg categories

Let $k$ be a field of characteristic zero, equipped with an embedding $k \hookrightarrow \mathbb{C}$, and $\mathbb{C}[t, t^{-1}]$ the $\mathbb{Z}$-graded $\mathbb{C}$-algebra of Laurent polynomials with $t$ of degree 1.

Given an object $(V, W, \omega)$ of $\text{Vect}(k, \mathbb{Q})$, let $P(V, W, \omega) \subseteq \mathbb{C}$ be the subset of entries of the matrix representations of $\omega$ (with respect to basis of $V$ and $W$); see [17, §9.2]. In the same vein, given an object $\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}$ of $\text{Gr}_k^2(\text{Vect}(k, \mathbb{Q}))$, let $P(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})$ be the $\mathbb{Z}$-graded $k$-subalgebra of $\mathbb{C}[t, t^{-1}]$ generated in degree $n$ by the elements of the set $P(W_n, W_n, \omega_n)$. In the case of a $k$-scheme of finite type $X$, $P(X) := P(H^*_{dR}(X))$ is called the $\mathbb{Z}$-graded algebra of periods of $X$.

This algebra, originally introduced by Grothendieck in the sixties, plays nowadays a key role in the study of transcendental numbers; see [17, 25].

Consider the $\mathbb{Z}/2$-graded $\mathbb{C}$-algebra $\mathbb{C}[t, t^{-1}]/(1 - (2\pi i)t^2)$ and the associated quotient homomorphism $\phi : \mathbb{C}[t, t^{-1}] \to \mathbb{C}[t, t^{-1}]/(1 - (2\pi i)t^2)$.

The category $\text{Ind}(\text{Vect}(k, \mathbb{Q}))$ is equivalent to the category of triples $(V, W, \omega)$, where $V$ is a (not necessarily finite dimensional) $k$-vector space, $W$ a $Q$-vector space, and $\omega$ an isomorphism $V \otimes_k C \to W \otimes_Q C$. Given an object $\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}$ of $\text{Gr}_k^2(\text{Vect}(k, \mathbb{Q}))$, let us denote by $P_{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})$ the $\mathbb{Z}/2$-graded $k$-subalgebra of $\mathbb{C}[t, t^{-1}]/(1 - (2\pi i)t^2)$ generated in degree 0, resp. degree 1, by the elements of the set

$$\bigcup_{n \in \mathbb{Z}} P(V_{2n}, W_{2n}, \omega_{2n})(2\pi i)^{-n} \quad \text{resp.} \quad \bigcup_{n \in \mathbb{Z}} P(V_{2n+1}, W_{2n+1}, \omega_{2n+1})(2\pi i)^{-n}.$$

Making use of the new additive invariant $H^*_{dR}(X)$, we can now extend Grothendieck’s theory of periods from schemes to the broad setting of dg categories.

Definition 4.1. Let $A$ be a dg category. The $\mathbb{Z}/2$-graded algebra of periods $P_{nc}(A)$ of $A$ is the $\mathbb{Z}/2$-graded $k$-algebra $P_{nc}(H^*_{dR}(A))$.

Given dg categories $A$ and $B$, let $P_{nc}(A) \circ P_{nc}(B)$ be the $\mathbb{Z}/2$-graded $k$-subalgebra of $\mathbb{C}[t, t^{-1}]/(1 - (2\pi i)t^2)$ generated by $P_{nc}(A)$ and $P_{nc}(B)$. Our fourth main result is the following:

Theorem 4.2. The following implications hold:

(i) If $A \simeq B$ in $\text{Hmo}(k)$, then $P_{nc}(A) = P_{nc}(B)$;

(ii) If $(H^*_{dR})_{nc}(A) \simeq H^*_{dR}(X)$ for some $X \in \text{Sch}(k)$, then $P_{nc}(A) = \phi(P(X))$;

(iii) If $A, B \subseteq C$ are dg categories yielding a semi-orthogonal decomposition $H^0(C) = (H^0(A), H^0(B))$, then $P_{nc}(C) = P_{nc}(A) \circ P_{nc}(B)$.

Corollary 4.3 (Derived Morita invariance). Let $X$ and $Y$ be two $k$-schemes of finite type. If $\text{perf}_{dg}(X) \simeq \text{perf}_{dg}(Y)$ in $\text{Hmo}(k)$, then $\phi(P(X)) = \phi(P(Y))$.

Intuitively speaking, Theorem 4.2 and Corollary 4.3 show that as soon as we trivialize the graded polynomial $1 - (2\pi i)t^2 \subseteq \mathbb{C}[t, t^{-1}]$, the resulting theory of periods factors through perfect complexes!

Example 4.4 (Finite dimensional algebras of finite global dimension). Let $A$ be a finite dimensional $k$-algebra of finite global dimension. Recall from Example 3.2 that $(H^*_{dR})_{nc}(A) \simeq \bigoplus_{j=1}^r H^*_{dR}(\text{Spec}(C_j))$ for finite field extensions $C_j/k$. Theorem 4.2 then implies that $P_{nc}(A) = \phi(P(\bigoplus_{j=1}^r \text{Spec}(C_j)))$. As explained in [17, §12.3], since $\text{Spec}(C_j)$ is 0-dimensional, $P(\bigoplus_{j=1}^r \text{Spec}(C_j))$ agrees with the field extension $k(\bigcup_{j=1}^r C_j)$. Consequently, we conclude that $P_{nc}(A) = k(\bigcup_{j=1}^r C_j)$. In the case where $k \subseteq \mathbb{Q}$, we hence obtain solely algebraic numbers.

As the following example illustrates, in some cases Theorem 4.2 furnishes “non-commutative models” for the algebra of periods.
Example 4.5 (Quadric fibrations). Let \( q: Q \to S \) be a flat quadric fibration of relative dimension \( d \). As proved in [26, Thm. 4.2], we have a semi-orthogonal decomposition \( \text{perf}(Q) = \langle \text{perf}(F), \text{perf}(S)_{0}, \ldots, \text{perf}_{d_{Q}}(S)_{d-1} \rangle \), where \( F \) stands for the sheaf of even parts of the Clifford algebra associated to \( q \) and \( \text{perf}(S)_{j} \cong \text{perf}(S) \) for every \( 0 \leq j \leq d-1 \). Assuming that \( \text{perf}(S) \) admits a full exceptional collection (e.g. \( S = \mathbb{P}^{n} \)), we hence conclude from Theorem 4.2 that

\[
\phi(\mathcal{P}(Q)) = \mathcal{P}_{\text{nc}}(\text{perf}_{d_{Q}}(\mathcal{F})) \circ \mathcal{P}^{\text{nc}}(k) \circ \cdots \circ \mathcal{P}^{\text{nc}}(k) = \mathcal{P}^{\text{nc}}(\text{perf}_{d_{Q}}(\mathcal{F})).
\]

Roughly speaking, Example 4.5 shows that modulo \( 2\pi i \) all the information about the periods of \( Q \) is encoded in the “noncommutative model” \( \text{perf}_{d_{Q}}(\mathcal{F}) \).

As a further application of Theorem 4.2, we have the following homological projective duality (=HPD) invariance result: let \( X \) be a smooth projective \( k \)-scheme equipped with an ample line bundle \( \mathcal{O}_{X}(1) \) and \( X \to \mathbb{P}(V) \) the associated morphism where \( V = H^{0}(X, \mathcal{O}_{X}(1))^{*} \). Assume that \( \text{perf}(X) \) admits a Lefschetz decomposition \( \text{perf}(X) = \langle \mathcal{A}_{0}, \mathcal{A}_{1}(1), \ldots, \mathcal{A}_{i-1}(i-1) \rangle \) with respect to \( \mathcal{O}_{X}(1) \) in the sense of [27, Def. 4.1]. Following [27, Def. 6.1], let \( Y \) be the HP-dual\(^2\) of \( X \) and \( \mathcal{O}_{Y}(1) \) and \( Y \to \mathbb{P}(Y^{*}) \) the associated ample line bundle and morphism, respectively.

**Theorem 4.6** (HPD-invariance). Let \( X \) and \( Y \) be as above. Given a linear subspace \( L \subset V^{*} \), consider the associated linear sections\(^3\) \( X_{L} := X \times_{\mathbb{P}(V)} \mathbb{P}(L^{\perp}) \) and \( Y_{L} := Y \times_{\mathbb{P}(Y^{*})} \mathbb{P}(L) \). Assume that the triangulated category \( \mathcal{A}_{0} \) is generated by exceptional objects, that \( \text{dim}(X_{L}) = \text{dim}(X) - \text{dim}(L) \), and that \( \text{dim}(Y_{L}) = \text{dim}(Y) - \text{dim}(L^{\perp}) \).

Under these notations and assumptions, we have \( \phi(\mathcal{P}(X_{L})) = \phi(\mathcal{P}(Y_{L})) \).

Intuitively speaking, Theorem 4.6 shows that modulo \( 2\pi i \) the algebra of periods is invariant under homological projective duality. To the best of the author’s knowledge, this invariance result is new in the literature.

**Example 4.7.** The assumptions of Theorem 4.6 are known to hold in the case of linear duality, Veronese-Clifford duality, Grassmannian-Pfaffian duality, spinor duality, etc; consult [28, §4][29, §10-11] and the references therein. In the case of Grassmannian-Pfaffian duality, we hence conclude, for example, that \( \phi(\mathcal{P}(X_{L})) = \phi(\mathcal{P}(Y_{L})) \) when \( X_{L} \) is a \( K3 \) surface and \( Y_{L} \) a Pfaffian cubic 4-fold, when \( X_{L} \) and \( Y_{L} \) are two non-birational Calabi-Yau 3-folds, when \( X_{L} \) is a Fano 3-fold and \( Y_{L} \) a cubic 3-fold, when \( X_{L} \) is a Fano 4-fold of index 1 and \( Y_{L} \) a surface of degree 42, when \( X_{L} \) is a Fano 5-fold of index 2 and \( Y_{L} \) a curve of genus 43, etc.

5. Preliminaries

Throughout the note \( k \) will be a perfect field and \( R \) a commutative \( \mathbb{Q} \)-algebra.

5.1. **Dg categories.** Let \((\mathcal{C}(k), \otimes, k)\) be the category of (cochain) complexes of \( k \)-vector spaces. A **dg category** \( \mathcal{A} \) is a category enriched over \( \mathcal{C}(k) \) and a **dg functor** \( F: \mathcal{A} \to \mathcal{B} \) is a functor enriched over \( \mathcal{C}(k) \); consult the survey [20].

Let \( \mathcal{A} \) be a dg category. The opposite dg category \( \mathcal{A}^{\text{op}} \) has the same objects as \( \mathcal{A} \) and \( \mathcal{A}^{\text{op}}(x, y) := \mathcal{A}(y, x) \). The category \( H^{0}(\mathcal{A}) \) has the same objects as \( \mathcal{A} \) and \( H^{0}(\mathcal{A})(x, y) := H^{0}(\mathcal{A}(x, y)) \), where \( H^{0} \) stands for \( 0^{th} \) cohomology. A **right dg \( \mathcal{A} \)-module** is a dg functor \( \mathcal{A}^{\text{op}} \to \mathcal{C}_{dg}(k) \) with values in the dg category \( \mathcal{C}_{dg}(k) \) of complexes of \( k \)-vector spaces. Let us denote by \( \mathcal{C}(\mathcal{A}) \) the category of right dg

\(^2\)In general, the HP-dual of \( X \) is a noncommutative variety in the sense of [28, §2.4].

\(^3\)These linear sections are not necessarily smooth.
\(A\)-modules. Following [20, §3.2], the derived category \(D(A)\) of \(A\) is defined as the localization of \(C(A)\) with respect to the objectwise quasi-isomorphisms. We write \(D_c(A)\) for the triangulated subcategory of compact objects.

A dg functor \(F : A \to B\) is called a derived Morita equivalence if it induces an equivalence of categories \(D(A) \simeq D(B)\); see [20, §4.6]. As proved in [44, Thm. 5.3], \(dgcat(k)\) admits a Quillen model structure whose weak equivalences are the derived Morita equivalences. Let us denote by \(Hno(k)\) the associated homotopy category.

The tensor product \(A \otimes B\) of dg categories is defined as follows: the set of objects is the cartesian product and \((A \otimes B)((x, w), (y, z)) := A(x, y) \otimes B(w, z)\). As explained in [20, §2.3], this construction gives rise to a symmetric monoidal structure on \(dgcat(k)\), which descends to the homotopy category \(Hno(k)\). A dg \(A-B\)-bimodule \(B\) is a dg functor \(A \otimes B^{op} \to C_{dg}(k)\) or equivalently a right \(dg(A^{op} \otimes B)\)-module.

Following Kontsevich [21, 22, 24], a dg category \(A\) is called smooth if the dg \(A-A\)-bimodule \(A \otimes A^{op} \to C_{dg}(k), (x, y) \mapsto A(y, x)\), belongs to \(D_c(A^{op} \otimes A)\) and proper if \(\sum_n \dim H^nA(x, y) < \infty\) for any pair of objects \((x, y)\). Examples include finite dimensional \(k\)-algebras of finite global dimension (when \(k\) is perfect) and dg categories of perfect complexes \(perfdg(Y)\) associated to smooth proper \(k\)-schemes \(Y\).

5.2. Orbit categories. Let \((M, \otimes, 1)\) be an \(R\)-linear symmetric monoidal additive category and \(O \in M\) a \(\otimes\)-invertible object. The associated orbit category \(M/\sim_O\) has the same objects as \(M\) and morphisms \(\text{Hom}_{M/\sim_O}(a, b)\) defined by the direct sum \(\bigoplus_{m \in \mathbb{Z}} \text{Hom}_M(a, b \otimes O^\otimes m)\). Given objects \(a, b, c\) and morphisms

\[f = \{f_m\}_{m \in \mathbb{Z}} \in \bigoplus_{m \in \mathbb{Z}} \text{Hom}_M(a, b \otimes O^\otimes m),\]

\[g = \{g_m\}_{m \in \mathbb{Z}} \in \bigoplus_{m \in \mathbb{Z}} \text{Hom}_M(b, c \otimes O^\otimes m),\]

the \(j\)th-component of \(g \circ f\) is defined as \(\sum_m (g_{j-m} \otimes O^\otimes m) \circ f_m\). The functor \(\pi : M \to \big(M/\sim_O\big)\) defined by \(a \mapsto a\) and \(f \mapsto f = \{f_m\}_{m \in \mathbb{Z}}\), where \(f_0 = f\) and \(f_m = 0\) if \(m \neq 0\), is endowed with an isomorphism \(\pi \circ (- \otimes O) \Rightarrow \pi\) and is 2-universal among all such functors. The category \(M/\sim_O\) is \(R\)-linear, additive, and inherits from \(M\) a symmetric monoidal structure making \(\pi\) symmetric monoidal.

6. Proof of Theorem 1.2

Let \(\text{SH}(k)\) be the Morel-Voevodsky’s stable \(\mathbb{A}^1\)-homotopy category of \((\mathbb{P}^1, \infty)\)-spectra [32, 50] and \(DA(k; R)\) the \(R\)-coefficients variant introduced in [3, §4]. Recall from loc. cit. that these categories are related by a triangulated \(\otimes\)-functor \((-)_R : \text{SH}(k) \to DA(k; R)\). As proved in [37], the \(E^{\infty}\)-ring spectrum \(KGL \in \text{SH}(k)\) representing homotopy \(K\)-theory admits a strictly commutative model. Therefore, we can consider the closed symmetric monoidal Quillen model category \(\text{Mod}(KGL_R)\) of KGL\(_R\)-modules. Let us denote by \(\text{Ho}(\text{Mod}(KGL_R))\) the associated homotopy category. By construction, we have the following composition

\[
\text{Sm}(k) \xrightarrow{\Sigma^\infty(\mathbb{P}^1)} DA(k; R) \xrightarrow{\text{perf}_{KGL_R}} \text{Ho}(\text{Mod}(KGL_R)) .
\]

Lemma 6.2. (i) The triangulated category \(\text{Ho}(\text{Mod}(KGL_R))\) is compactly generated by the objects \(\Sigma^\infty(Y_+)\_R \wedge KGL_R\) with \(Y\) a smooth projective \(k\)-scheme.

Moreover, these latter objects are strongly dualizable and self-dual.

(ii) The objects \(\Sigma^\infty(X_+)\_R \wedge KGL_R\) with \(X \in \text{Sm}(k)\), are strongly dualizable.

Proof. (i) As proved in [3, Prop. 2.2.27-2], the triangulated category \(DA(k; R)\) is compactly generated by the objects \(\Sigma^\infty(Y_+)\_R(m)\) with \(Y\) a smooth projective \(k\)-scheme and \(m \in \mathbb{Z}\). Thanks to the periodicity isomorphism \(KGL_R \simeq KGL_R(1)[2]\),
we then conclude that the category $\text{Ho}(\text{Mod}(\text{KGL}_R))$ is compactly generated by the objects $\Sigma^\infty(Y_+) \wedge \text{KGL}_R$. The fact that these latter objects are strongly dualizable and self-dual is proved in [42, Lem. 8.22].

(ii) It is well-known that the strongly dualizable objects of a closed symmetric monoidal triangulated category are stable under distinguished triangles and direct summands. Therefore, since the objects $\Sigma^\infty(X)_R \wedge \text{KGL}_R$, with $X \in \text{Sm}(k)$, are compact, the proof follows now from item (i).

□

Recall from [41, §2][39, §4] the construction of the category of noncommutative mixed motives $\text{Mot}(k; R)$. By construction, this closed symmetric monoidal triangulated comes equipped with a $\otimes$-functor $U(\cdot)_R : \text{Hmo}(k) \to \text{Mot}(k; R)$. Moreover, it is naturally enriched over the derived category $D(A)$; we denote this enrichment by $\text{Hom}_{D(A)}(\cdot, \cdot)$. Given dg categories $A$ and $B$, with $A$ smooth and proper, recall from [39, Prop. 4.4] that we have a natural isomorphism

\[(6.3) \quad \text{Hom}_{D(A)}(U(A)_R, U(B)_R) \simeq KH(A^{\text{op}} \otimes B) \wedge HR,\]

where $KH(A^{\text{op}} \otimes B)$ stands for the homotopy $K$-theory spectrum of $A^{\text{op}} \otimes B$ and $HR$ for the Eilenberg-MacLane ring spectrum of $R$.

**Proposition 6.4.** There exists an $R$-linear fully-faithful triangulated $\otimes$-functor $\Phi$ making the following diagram commute:

\[(6.5) \quad \text{Sm}(k) \xrightarrow{X \mapsto \text{perf}_{\text{id}}(X)} \text{Hmo}(k) \]

\[\xrightarrow{(6.1)} \]

\[\xrightarrow{\text{Hom}(-, \text{KGL}_R)} \]

\[\xrightarrow{\text{Ho}(\text{Mod}(\text{KGL}_R))} \]

\[\text{Mot}(k; R),\]

where $\text{Hom}(-, -)$ stands for the internal Hom. The functor $\Phi$ preserves moreover arbitrary direct sums.

**Proof.** As proved in [42, Cor. 2.5(ii)], there exists an $R$-linear fully-faithful triangulated $\otimes$-functor $\Phi$ making the following diagram commute:

\[\xrightarrow{\sum^\infty_{X+} \text{R}} \]

\[\xrightarrow{U(-)_R} \]

\[\xrightarrow{\text{Ho}(\text{Mod}(\text{KGL}_R))} \]

\[\xrightarrow{\Phi} \]

\[\text{Mot}(k; R).\]

The functor $\Phi$ preserves moreover arbitrary direct sums. The proof will consist on “moving” the internal Hom from the right hand-side to the left-hand side.

Recall from [42, §7-8] the construction of the closed symmetric monoidal triangulated category $\text{DA}(k; \text{Mot}(k; R))$, of the $E^\infty$-object in $\text{KGL}_{nc,R}$ in $\text{DA}(k; \text{Mot}(k; R))$, which admits a strictly commutative model, of the closed symmetric monoidal
Quillen model category $\text{Mod}(\text{KGL}_{nc;R})$ of $\text{KGL}_{nc;R}$-modules, and of the homotopy category $\text{Ho}(\text{Mod}(\text{KGL}_{nc;R}))$. By construction, we have an adjunction of categories

\begin{equation}
\begin{array}{c}
\text{DA}(k; \text{Mot}(k; R)) \leftarrow \text{Ho}(\text{Mod}(\text{KGL}_{nc;R})) \\
\text{forget} \quad \downarrow \\
\text{Ho}(\text{Mod}(\text{KGL}_{R})) \\
\end{array}
\end{equation}

Recall also from [49, page 535] that we have also a commutative diagram

\begin{equation}
\begin{array}{c}
\text{DA}(k; \text{Mot}(k; R)) \leftarrow \text{Ho}(\text{Mod}(\text{KGL}_{nc;R})) \\
\text{forget} \quad \downarrow \\
\text{DA}(k; R) \quad \text{Ho}(\text{Mod}(\text{KGL}_{R}))
\end{array}
\end{equation}

where the vertical left hand-side functor is induced by the change of coefficients from $R$ to $\text{Mot}(k; R)$ and the vertical right hand-side functor is obtained by left Kan extension. By construction, the categories $\text{DA}(k; \text{Mot}(k; R))$ and $\text{Ho}(\text{Mod}(\text{KGL}_{nc;R}))$ are enriched over noncommutative mixed motives $\text{Mot}(k; R)$; we denote this enrichment by $\text{Hom}_{\text{Mot}(k; R)}(-, -)$. As explained in [42, §8], $\Phi$ is defined as the composition of the vertical right hand-side functor in (6.7) with $\text{Hom}_{\text{Mot}(k; R)}(\text{KGL}_{nc;R}, -)$.

We now claim that the following two compositions (of the diagram (6.5))

\begin{equation}
\begin{array}{c}
\text{Sm}(k) \xrightarrow{X \mapsto \text{perf}_{dg}(X)} \text{Hmo}(k) \xrightarrow{U(-)_R} \text{Mot}(k; R) \\
\text{Sm}(k) \xrightarrow{\Phi} \text{Ho}(\text{Mod}(\text{KGL}_{nc;R})) \xrightarrow{\text{Hom}(\text{KGL}_{R})} \text{Ho}(\text{Mod}(\text{KGL}_{R}))
\end{array}
\end{equation}

in what concerns the first composition, we have the following isomorphisms

\begin{align}
\text{Hom}_{\text{Mot}(k; R)}(\text{KGL}_{nc;R}, \text{Hom}(\Sigma^\infty(X_+) \otimes \text{KGL}_{nc;R}, \text{KGL}_{nc;R})) \\
\text{Hom}_{\text{Mot}(k; R)}((\Sigma^\infty(X_+) \otimes \text{KGL}_{nc;R}, \text{KGL}_{nc;R})) \\
\text{U(\text{perf}_{dg}(X))}_R
\end{align}

where (6.10) follows from the classical $(\otimes, \text{Hom})$ adjunction, (6.11) from the above adjunction (6.6), and (6.12) from [49, Prop. 7.26]. In what concerns the second composition, the noncommutative mixed motive (6.9) identifies with

\begin{equation}
\text{Hom}_{\text{Mot}(k; R)}(\text{KGL}_{nc;R}, \text{Hom}(\Sigma^\infty(X_+) \otimes \text{KGL}_{R}, \text{KGL}_{R}))
\end{equation}

since the object $\Sigma^\infty(X_+)_R \otimes \text{KGL}_{R}$ is strongly dualizable (see Lemma 6.2(ii)), the vertical right hand-side functor in (6.7) is symmetric monoidal, and the diagram (6.7) is commutative. This finishes the proof of Proposition 6.4. \hfill \Box

Let $\text{HZ} \in \text{SH}(k)$ be the $E^\infty$-ring spectrum representing motivic cohomology. On the one hand, we have $\text{KGL}_{R} \simeq \oplus_{m \geq 0} \text{HZ}_{R}(m)[2m]$; see [7][35, §6]. On the other hand, Voevodsky’s big category of mixed motives $\text{DM}(k; R)$ identifies with the homotopy category $\text{Ho}(\text{Mod}(\text{HZ}_{R})$ of $\text{HZ}_{R}$-modules; see [36]. Under this identification, the Tate object $T := R(1)[2]$ corresponds to the $\text{HZ}_{R}$-module $\text{HZ}_{R}(1)[2]$. Since
the motives $M(X)_R$, with $X \in \text{Sm}(k)$, are strongly dualizable, base-change along $HZ_R \to \text{KGL}_R$ gives then rise to an $R$-linear triangulated $\otimes$-functor $- \wedge_{HZ_R} \text{KGL}_R$ making the following diagram commute:

\[
\begin{array}{c}
\text{Sm}(k) \\
\downarrow \scriptstyle{M(-)_R} \\
\text{DM}(k; R) \\
\downarrow \scriptstyle{\text{Hom}(-, \text{Spec}(k)_R)} \\
\text{DM}(k; R) \\
\downarrow \scriptstyle{- \wedge_{HZ_R} \text{KGL}_R} \\
\text{Ho}(\text{Mod}(\text{KGL}_R))
\end{array}
\xrightarrow{(6.13)}
\begin{array}{c}
\text{Sm}(k) \\
\downarrow \scriptstyle{\text{Hom}(-, \text{Spec}(k)_R)} \\
\text{DM}(k; R) \\
\downarrow \scriptstyle{- \wedge_{HZ_R} \text{KGL}_R} \\
\text{Ho}(\text{Mod}(\text{KGL}_R))
\end{array}
\]

We now have all the ingredients necessary for the construction of the functor $H_{nc}$.

Thanks to Lemma 6.2, the triangulated category $\text{Ho}(\text{Mod}(\text{KGL}_R))$ is compactly generated. Therefore, since the triangulated functor $\Phi$ preserves arbitrary direct sums, we conclude from [33, Thm. 8.4.4] that it admits a right adjoint $\Phi^r$.

As mentioned above, we have $\text{KGL}_R \simeq \oplus_{m \in \mathbb{Z}} HZ_R(m)[2m]$. Therefore, since by assumption $H$ is lax $\otimes$-functor and $H(\oplus_m T^\otimes m) \simeq \oplus_m H(T)^{\otimes m}$, we have the following commutative diagram

\[
\begin{array}{c}
\text{DM}(k; R) \\
\downarrow \scriptstyle{- \wedge_{HZ_R} \text{KGL}_R} \\
\text{Ho}(\text{Mod}(\text{KGL}_R))
\end{array}
\xrightarrow{(6.14)}
\begin{array}{c}
\text{DM}(k; R) \\
\downarrow \scriptstyle{- \wedge_{HZ_R} \text{KGL}_R} \\
\text{Ho}(\text{Mod}(\text{KGL}_R))
\end{array}
\xrightarrow{\iota} \text{Mod}(\oplus_m T^\otimes m) \xrightarrow{H'} \text{Mod}(\oplus_m H(T)^{\otimes m})
\]

where $\iota$ stands for the canonical functor and $H'$ for the $R$-linear lax $\otimes$-functor naturally associated to $H$. The searched functor $H_{nc}$ can now be defined as:

\[
(6.15) \quad \text{Hmo}(k)^{U(-)_R} \text{Mot}(k; R) \xrightarrow{\Phi^r} \text{Ho}(\text{Mod}(\text{KGL}_R)) \xrightarrow{H' \circ \iota} \text{Mod}(\oplus_m H(T)^{\otimes m})
\]

The commutativity of diagram (1.3) follows now from the commutativity of diagrams (6.5) and (6.13)-(6.14), and from the fact that the functor $\Phi$ is fully-faithful. This concludes the proof of the first claim of Theorem 1.2.

Let us now assume that $k$ is of characteristic zero and prove the second claim of Theorem 1.2. Recall that a cartesian square of $k$-schemes of finite type

\[
(6.16)
\begin{array}{c}
\text{Z} \times_X \text{V} \\
\downarrow \scriptstyle{p} \\
\text{Z} \\
\downarrow \scriptstyle{i} \\
\text{X}
\end{array}
\]

is called an abstract blow-up square if $i$ is a closed embedding and $p$ a proper map inducing an isomorphism $p^{-1}(X\setminus Z)_{\text{red}} \simeq (X\setminus Z)_{\text{red}}$. Consider the composition

\[
\Gamma_1 : \text{Sch}(k) \xrightarrow{(6.1)} \text{Ho}(\text{Mod}(\text{KGL}_R)) \xrightarrow{\text{Hom}(-, \text{KGL}_R)} \text{Ho}(\text{Mod}(\text{KGL}_R))
\]

as well as the composition

\[
\Gamma_2 : \text{Sch}(k) \xrightarrow{X \to \text{perf}_{\text{rig}}(X)} \text{Hmo}(k) \xrightarrow{U(-)_R} \text{Mot}(k; R) \xrightarrow{\Phi^r} \text{Ho}(\text{Mod}(\text{KGL}_R))
\]
Given a $k$-scheme of finite type $X$, Hironaka’s resolution of singularities (see [15, Thm. 1]) yields a finite sequence of proper maps

$$X_r \xrightarrow{p_r} X_{r-1} \to \cdots \to X_j \xrightarrow{p_j} X_{j-1} \to \cdots \to X_1 \xrightarrow{p_1} X_0 := X$$

with $X_r$ smooth and $X_j$ obtained from $X_{j-1}$ by an abstract blow-up square

$$\begin{array}{ccc}
Z_{j-1} \times_{X_{j-1}} X_j & \to & X_j \\
\downarrow & & \downarrow p_j \\
Z_{j-1} & \to & X_{j-1}
\end{array}$$

with $Z_{j-1}$ smooth. Using the commutativity of diagram (6.5), the fully-faithfulness of the functor $\Phi$, and the fact that the $k$-schemes $X_r$, $Z_{r-1}$, and $Z_{r-1} \times_{X_{r-1}} X_r$ are smooth, we can then inductively apply Lemma 6.17 below in order to conclude that $\Gamma_1(X) \simeq \Gamma_2(X)$. Consequently, by definition of $H$ and $H^{nu}$, we have $H(X) \simeq H^{nu}(\text{perf}_{dg}(X))$ in $\text{Mod}(\otimes_m H(\mathbb{T})^{-m})$. This finishes the proof of Theorem 1.2.

**Lemma 6.17.** The following commutative squares

\begin{equation}
\begin{array}{ccc}
\Gamma_1(X) & \xrightarrow{\Gamma_1(p)} & \Gamma_1(V) \\
\Gamma_1(i) & & \Gamma_2(i) \\
\Gamma_1(Z) & \xrightarrow{\Gamma_2(p)} & \Gamma_1(Z \times X V) \\
\Gamma_2(Z) & \xrightarrow{\Gamma_2(i)} & \Gamma_1(Z \times X V)
\end{array}
\end{equation}

obtained by applying $\Gamma_1$ and $\Gamma_2$ to (6.16), are homotopy cartesian.

**Proof.** As explained in [48, §4], the functor $\Sigma^\infty(-_+)\simeq \text{descent along abstract blow-up squares}$, i.e. it sends abstract blow-up squares to homotopy cartesian squares. Moreover, the objects $\Sigma^\infty(X_+)$, with $X \in \text{Sch}(k)$, are compact in $\text{SH}(k)$. Making use of Lemma 6.2, we then conclude that the objects $\Sigma^\infty(X_+)_R \wedge \text{KGL}_R$, with $X \in \text{Sch}(k)$, are strongly dualizable in $\text{Ho}(\text{Mod}(\text{KGL}_R))$. This implies that the left-hand side square in (6.18) is homotopy cartesian.

Given $k$-schemes of finite type $X$ and $Y$, with $Y$ moreover smooth projective, we have natural isomorphisms

\begin{equation}
\text{Hom}_{D(R)}(U(\text{perf}_{dg}(Y))_R, U(\text{perf}_{dg}(X)))_R \approx KH(\text{perf}_{dg}(Y)^{op} \otimes \text{perf}_{dg}(X)) \wedge HR
\end{equation}

\begin{equation}
\text{Hom}_{D(R)}(\text{perf}_{dg}(Y) \otimes \text{perf}_{dg}(X)) \wedge HR
\end{equation}

where (6.19) is a particular case of (6.3), (6.20) follows from the derived Morita equivalence $\text{perf}_{dg}(Y)^{op} \simeq \text{perf}_{dg}(Y), \mathcal{G} \mapsto \text{Hom}(\mathcal{G}, \mathcal{O}_Y)$, and (6.21) from the derived Morita equivalence $\text{perf}_{dg}(Y) \otimes \text{perf}_{dg}(X) \simeq \text{perf}_{dg}(Y \times X), (\mathcal{G}, \mathcal{H}) \mapsto \mathcal{G} \otimes \mathcal{H}$. Proved in [46, Lem. 4.26]. By applying the functor $\text{Hom}_{D(R)}(U(\text{perf}_{dg}(Y)))_R, -$ to

$$\begin{array}{ccc}
\text{perf}_{dg}(X) & \xrightarrow{p^*} & \text{perf}_{dg}(V) \\
& \downarrow i^* & & \downarrow i^* \\
\text{perf}_{dg}(Z) & \to & \text{perf}_{dg}(Z \times X V)
\end{array}$$
we hence obtain the following commutative square:

\[(6.22) \quad KH(Y \times X) \land HR \xrightarrow{\rho^*} KH(Y \times V) \land HR \]
\[\quad \xrightarrow{\iota^*} \]
\[KH(Y \times Z) \land HR \xrightarrow{\gamma} KH(Y \times (Z \times_X V)) \land HR.\]

As proved in [14, Thm. 3.5], homotopy K-theory satisfies descent along abstract blow-up squares. Therefore, since \(-\land HR\) preserves homotopy (co)cartesian squares and \(Y \times (6.16)\) is also an abstract blow-up square, the preceding square \((6.22)\) is homotopy cartesian. Lemma 6.2, combined with the commutative diagram \((6.5)\), allows us then to conclude that the right-hand side square in \((6.18)\) is homotopy cartesian. This finishes the proof of Lemma 6.17.

7. Proof of Theorem 2.2

Note that \(\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}\) belongs to Gr\(_Z(\text{Ind}(\mathcal{C}))\), that \(H^2(\mathbb{P}^1)^{\otimes (-1)}\) belongs to Gr\(_Z^b(\mathcal{C})\), and that we have the following adjunction of categories:

\[(7.1) \quad \text{Mod}(\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}) \]
\[\quad \xrightarrow{\gamma} \]
\[\text{Gr}\(_Z(\text{Ind}(\mathcal{C})).\]

Given any object \(a := \{a_n\}_{n \in \mathbb{Z}}\) of Gr\(_Z^b(\mathcal{C})\), we have a natural isomorphism

\[\gamma(a \otimes H^2(\mathbb{P}^1)^{\otimes (-1)}) := a \otimes H^2(\mathbb{P}^1)^{\otimes (-1)} \otimes (\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}) \]
\[\simeq a \otimes (\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}) =: \gamma(a).\]

Therefore, thanks to the universal property of orbit categories (see §5.2), there exists an \(R\)-linear \(\otimes\)-functor \(\gamma'\) making the diagram commute:

\[(7.2) \quad \text{Gr}\(_Z^b(\mathcal{C}) \subset \text{Gr}\(_Z(\text{Ind}(\mathcal{C}))) \xrightarrow{\gamma} \text{Mod}(\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}) \]
\[\quad \xrightarrow{\pi} \]
\[\text{Gr}\(_Z^b(\mathcal{C})/\sim_{H^2(\mathbb{P}^1)^{\otimes (-1)}} \]
\[\quad \xrightarrow{\gamma'} \text{Gr}\(_Z^b(\mathcal{C})/\sim_{H^2(\mathbb{P}^1)^{\otimes (-1)}}\).\]

Given objects \(a, b\) of Gr\(_Z^b(\mathcal{C})\), we have natural isomorphisms

\[(7.3) \quad \text{Hom}_{\text{Mod}(\oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)})}(\gamma(a), \gamma(b)) \simeq \text{Hom}_{\text{Gr}\(_Z(\text{Ind}(\mathcal{C})))}(a, b \otimes \oplus_m H^2(\mathbb{P}^1)^{\otimes (-m)}) \]
\[\simeq \oplus_{m \in \mathbb{Z}} \text{Hom}_{\text{Gr}\(_Z\mathcal{C})}(a, b \otimes H^2(\mathbb{P}^1)^{\otimes (-m)}) \]
\[= \text{Hom}_{\text{Gr}\(_Z\mathcal{C})/\sim_{H^2(\mathbb{P}^1)^{\otimes (-1)}}}(\pi(a), \pi(b)),\]

where \((7.3)\) follows from the fact that the functor \(b \otimes -\) preserves arbitrary direct sums and that the objects of Gr\(_Z^b(\mathcal{C})\) are compact in Gr\(_Z(\text{Ind}(\mathcal{C})).\) This implies that the functor \(\gamma'\) in diagram \((7.2)\) is moreover fully-faithful.

Recall from the Tannakian formalism that, since \(\mathcal{C}\) is an \(R\)-linear neutral Tannakian category, Gr\(_Z^b(\mathcal{C})\) is \(\otimes\)-equivalent to the \(R\)-linear category Rep(Gal(\(\mathcal{C}) \times \mathbb{G}_m)) of finite dimensional continuous representations of Gal(\(\mathcal{C}) \times \mathbb{G}_m).\) The weight grading \(\omega,\) induced by the canonical morphism \(\mathbb{G}_m \rightarrow \text{Gal}(\mathcal{C}) \times \mathbb{G}_m,\) and the \(\otimes\)-invertible
object $H^2(\mathbb{P}^1)^{\otimes (-1)}$ equip $\text{Gr}^b_2(\mathcal{C})$ with a neutral Tate triple structure in the sense of Deligne-Milne [10, §5]. Therefore, as proved in [31, Prop. 14.1], the orbit category $\text{Gr}^b_2(\mathcal{C})/\otimes H^2(\mathbb{P}^1)^{\otimes (-1)}$ becomes a neutral Tannakian category. Moreover, its Tannakian group is given by the kernel of the homomorphism

\begin{equation}
\text{Gal}(\text{Gr}^b_2(\mathcal{C})) = \text{Gal}(\mathcal{C}) \times \mathbb{G}_m \longrightarrow \mathbb{G}_m,
\end{equation}

where the right-hand side copy of $\mathbb{G}_m$ is the Tannakian group of the smallest Tannakian subcategory of $\text{Gr}^b_2(\mathcal{C})$ containing $H^2(\mathbb{P}^1)^{\otimes (-1)}$. Note that the first component of (7.4) is the homomorphism $\text{Gal}(\mathcal{C}) \rightarrow \mathbb{G}_m$ introduced in §2, while the second component $\mathbb{G}_m \rightarrow \mathbb{G}_m$ is multiplication by 2. This implies that the kernel of (7.4) is equal to $\text{Gal}_0(\mathcal{C}) \times \mu_2$. Consequently, thanks to the Tannakian formalism, $\text{Gr}^b_2(\mathcal{C})/\otimes H^2(\mathbb{P}^1)^{\otimes (-1)}$ is $\otimes$-equivalent to the $R$-linear category $\text{Rep}(\text{Gal}_0(\mathcal{C}) \times \mu_2)$ of finite dimensional continuous representations of $\text{Gal}_0(\mathcal{C}) \times \mu_2$. Finally, under the $\otimes$-equivalences of categories between $\text{Rep}(\text{Gal}(\mathcal{C}) \times \mathbb{G}_m)$ and $\text{Rep}_2(\text{Gal}(\mathcal{C})$) and $\text{Rep}(\text{Gal}_0(\mathcal{C}) \times \mu_2)$ and $\text{Rep}_{\mathbb{Z}/2}(\text{Gal}_0(\mathcal{C})$, respectively, the functor $\pi$ in (7.2) identifies with the restriction functor (2.1). This concludes the proof of Theorem 2.2.

8. PROOF OF PROPOSITION 3.1

Recall from (6.15) the definition of the functor $H^{nc} := H^1 \circ \Phi^r \circ U(-)_R$. By construction, the functors $\Phi^r$, $H^r$, and $\iota$, are additive. Therefore, since $U(-)_R$ is an additive invariant (see [10, §8.4.5]), we conclude that $H^{nc}$ is also an additive invariant. In what concerns item (i), we have an isomorphism between $U(\text{perf}^{\text{dg}}_R(Y_X)_R$ and $U(\text{perf}^{\text{dg}}(X))_R$ in $\text{Mot}(k; R)$. Hence, the proof follows from the definition of $H^{nc}$ and from Theorem 1.2. Item (ii) follows from the isomorphism $U(\mathcal{A}[t])_R \simeq U(\mathcal{A})_R$ in $\text{Mot}(k; R)$ (see [41, §2]) and from the definition of $H^{nc}$.

9. PROOF OF PROPOSITION 3.3

As proved in [43, Thm. 1.2], there is an isomorphism between $U(\Sigma(X))_R$ and $\Sigma(U(\text{perf}^{\text{dg}}_R(X)))_R$ in the triangulated category of noncommutative mixed motives $\text{Mot}(k; R)$. Therefore, the proof follows from the definition of $(H^*)^{nc}$.

10. PROOF OF THEOREM 3.4

Consider the canonical dg functor $- \otimes_{D_X} D_X : \text{perf}^{\text{dg}}_R(X) \rightarrow \text{perf}^{\text{dg}}_R(D_X)$. The proof will consist on showing that the image of $U(\text{perf}^{\text{dg}}_R(X))_R \rightarrow U(\text{perf}^{\text{dg}}_R(D_X))_R$ under the functor $\Phi^r$ (see §6) is invertible. By construction of $H^{nc}$, this implies that $H^{nc}(\text{perf}^{\text{dg}}_R(D_X)) \simeq H(X)$ for every mixed realization $H$.

Following Lemma 6.2(i), the triangulated category $\text{Ho}(\text{Mod}(\text{KGL}_R))$ is compactly generated by the strongly dualizable and self-dual objects $\Sigma^\infty(Y_+) \wedge \text{KGL}_R$ with $Y$ a smooth projective $k$-scheme. Therefore, thanks to the commutative diagram (6.5), in order to show that the image of $U(\text{perf}^{\text{dg}}_R(X))_R \rightarrow U(\text{perf}^{\text{dg}}_R(D_X))_R$ under $\Phi^r$ is invertible, it suffices to show that the induced morphisms

$\text{Hom}_{D_R}(U(\text{perf}^{\text{dg}}_R(Y))_R, U(\text{perf}^{\text{dg}}_R(X))_R \rightarrow U(\text{perf}^{\text{dg}}_R(D_X))_R$}

are invertible. As mentioned in §6, the preceding morphism identifies with

$KH(\text{perf}^{\text{dg}}_R(Y)) \wedge HR \rightarrow KH(\text{perf}^{\text{dg}}_R(Y)^{\text{op}} \otimes \text{perf}^{\text{dg}}_R(D_X)) \wedge HR$.
Using the derived Morita equivalence $\text{perf}_{dg}(Y)^{op} \simeq \text{perf}_{dg}(Y), \mathcal{G} \mapsto \text{Hom}(\mathcal{G}, \mathcal{O}_Y)$, we then conclude from Theorem 10.1 below that the preceding morphism is invertible. This finishes the proof of Theorem 3.4.

**Theorem 10.1.** Given smooth $k$-schemes of finite type $X$ and $Y$, with $X$ as in Theorem 3.4, the induced morphism is invertible:

$$
(10.2) \quad KH(\text{perf}_{dg}(Y) \otimes \text{perf}_{dg}(X)) \to KH(\text{perf}_{dg}(Y) \otimes \text{perf}_{dg}(D_X)).
$$

The remainder of this section is devoted to the proof of Theorem 10.1. We will consider (10.2) as a morphism in two variables ($Y$ the first variable and $X$ the second variable) and divide the proof into three main steps: (i) reduction to the affine case in the first variable; (ii) reduction to the affine case in the second variable; (iii) proof of the affine case. We start with step (i). Given any dg functor $\mathcal{A} \to \mathcal{B}$, consider the induced morphism

$$
\alpha_Y : KH(\text{perf}_{dg}(Y) \otimes \mathcal{A}) \to KH(\text{perf}_{dg}(Y) \otimes \mathcal{B}).
$$

**Proposition 10.3.** If $\alpha_Y$ is invertible when $Y = \text{Spec}(B)$ is a smooth affine scheme of finite type, then $\alpha_Y$ is invertible for every smooth scheme of finite type $Y$.

**Proof.** In order to simplify the exposition, let $KH(Y; \mathcal{A}) := KH(\text{perf}_{dg}(Y) \otimes \mathcal{A})$; similarly with $\mathcal{A}$ replaced by $\mathcal{B}$. Given a Zariski open cover $U_1 \cup U_2 = Y'$ of a smooth $k$-scheme of finite type $Y'$, let us write $U_{12}$ for the intersection $U_1 \cap U_2$. Thanks to the induction principle [9, Prop. 3.3.1], in order to prove Proposition 10.3 it suffices to prove the following condition: if $\alpha_{U_1}$, $\alpha_{U_2}$, and $\alpha_{U_{12}}$ are invertible, then $\alpha_{Y'}$ is also invertible. Consider the commutative diagram:

$$
(10.4) \quad \begin{array}{ccc}
KH(Y'; \mathcal{B}) & \to & KH(U_1; \mathcal{B}) \\
\downarrow \alpha_Y & & \downarrow \alpha_{U_1} \\
KH(Y'; \mathcal{A}) & \to & KH(U_1; \mathcal{A}) \\
\downarrow \alpha_{U_2} & & \downarrow \alpha_{U_{12}} \\
KH(U_2; \mathcal{B}) & \to & KH(U_{12}; \mathcal{B}).
\end{array}
$$

We claim that the “front” and “back” squares of (10.4) are homotopy cartesian. Note that this implies the preceding condition and consequently finishes the proof of Proposition 10.3. We will focus ourselves solely in the “back” square; the proof of the other case is similar. Consider the following commutative diagram

$$
(10.5) \quad \begin{array}{ccc}
\text{perf}_{dg}(Y')_Z & \to & \text{perf}_{dg}(Y') & \to & \text{perf}_{dg}(U_1) \\
\downarrow & & \downarrow & & \downarrow \\
\text{perf}_{dg}(U_2)_Z & \to & \text{perf}_{dg}(U_2) & \to & \text{perf}_{dg}(U_{12})
\end{array}
$$

in $\text{Hmo}(k)$, where $Z$ stands for the closed complement $Y' \setminus U_1 = U_2 \setminus U_{12}$. As explained in [47, §5], both rows of (10.5) are short exact sequences of dg categories (see [20, §4.6]). Moreover, the induced dg functor $\text{perf}_{dg}(Y')_Z \to \text{perf}_{dg}(U_2)_Z$ is a derived Morita equivalence; see [47, Thm. 2.6.3]. As proved in [12, Prop. 1.6.3], the
functor $- \otimes A$ preserves short exact sequences of dg categories. Therefore, (10.5) gives rise to the commutative diagram

$$
\begin{align*}
\text{perf}_d(Y')_Z \otimes A & \longrightarrow \text{perf}_d(Y') \otimes A \longrightarrow \text{perf}_d(U_1) \otimes A \\
\cong & \\
\text{perf}_d(U_2)_Z \otimes A & \longrightarrow \text{perf}_d(U_2) \otimes A \longrightarrow \text{perf}_d(U_{12}) \otimes A,
\end{align*}
$$

where both rows are short exact sequences of dg categories and the left vertical morphism is an isomorphism. Since homotopy $K$-theory sends short exact sequences of dg categories to homotopy cofiber sequences of spectra (see [41, §5.3]), we then conclude from (10.6) that the “back” square of (10.4) is homotopy cartesian. □

Remark 10.7. (i) By applying Proposition 10.3 to $\text{perf}_d(X) \to \text{perf}_d(D_X)$, we conclude that it suffices to prove Theorem 10.1 in the particular case where $Y = \text{Spec}(B)$ is a smooth affine $k$-scheme of finite type.

(ii) Proposition 10.3 holds mutatis mutandis without the smoothness assumption.

We address now step (ii). Given a dg category $A$, consider the induced morphism

$$
\beta_X : KH(A \otimes \text{perf}_d(X)) \longrightarrow KH(A \otimes \text{perf}_d(D_X)).
$$

Proposition 10.8. If $\beta_X$ is invertible when $X = \text{Spec}(A)$ is a smooth affine scheme of finite type, then $\beta_X$ is invertible for every smooth scheme as in Theorem 3.4.

Proof. In order to simplify the exposition, let $KH(A; X) := KH(A \otimes \text{perf}_d(X))$; similarly with $X$ replaced by $D_X$. Let $X'$ be a smooth $k$-scheme of finite type, $i : Z \hookrightarrow X'$ a smooth closed subscheme, and $j : U \hookrightarrow X'$ the open complement of $Z$. On the one hand, since homotopy $K$-theory is $\mathbb{A}^1$-homotopy invariant and sends short exact sequences of dg categories to homotopy cofiber sequences, [46, Thm. 1.9 and Rk. 1.11(G2)] yields an homotopy cofiber sequence of spectra

$$
KH(A; Z) \overset{i^*}{\longrightarrow} KH(A; X') \overset{j^*}{\longrightarrow} KH(A; U).
$$

On the other hand, we have a short exact sequence of dg categories (see [13, §3.1.4]):

$$
\text{perf}_d(D_Z) \overset{i^*}{\longrightarrow} \text{perf}_d(D_{X'}) \overset{j^*}{\longrightarrow} \text{perf}_d(D_U).
$$

Hence, as in the proof of Proposition 10.3, we obtain the homotopy cofiber sequence

$$
KH(A; D_Z) \overset{i^*}{\longrightarrow} KH(A; D_{X'}) \overset{j^*}{\longrightarrow} KH(A; D_U).
$$

In the particular case where $X' := X \setminus X_{j-1}$ and $Z := X_j \setminus X_{j-1}$, the above (general) considerations lead to the following commutative diagram:

$$
\begin{align*}
KH(A; D_{X_j \setminus X_{j-1}}) & \overset{i^*}{\longrightarrow} KH(A; D_{X \setminus X_{j-1}}) \overset{j^*}{\longrightarrow} KH(A; D_{X \setminus X_j}) \\
\beta_{X_j \setminus X_{j-1}} & \cong \beta_{X \setminus X_{j-1}} \cong \beta_{X \setminus X_j}
\end{align*}
$$

Making use of the commutative diagrams (10.9), of the filtration (3.5), and of the fact that $X_j \setminus X_{j-1}, 0 \leq j \leq r$, are smooth affine $k$-schemes of finite type, the proof follows now from a descending induction argument on the index $j$. □
Remark 10.10. By applying Proposition 10.8 to the dg category $\text{perf}_{dg}(X)$, we conclude that it suffices to prove Theorem 10.1 in the particular case where $X = \text{Spec}(A)$ is a smooth affine $k$-scheme of finite type.

Finally, we address step (iii). Let $X = \text{Spec}(A)$ and $Y = \text{Spec}(B)$ be two smooth affine $k$-schemes of finite type. Thanks to Remarks 10.7 and 10.10, the proof of Theorem 10.1 follows now from the following result:

**Proposition 10.11.** The induced morphism $KH(B \otimes A) \to KH(B \otimes D_A)$, where $D_A$ stands for the $k$-algebra of differential operators on $A$, is invertible.

**Proof.** Let us denote by $T^*X = \text{Spec}(C)$ be the cotangent bundle of $X$. Recall that we have an increasing filtration $0 = F_{-1}D_A \subset F_0D_A \subset \cdots \subset F_jD_A \subset \cdots \subset D_A$ of $D_A$ given by the order of the differential operators. In particular, $F_0D_A = A$. This filtration is exhaustive, i.e. $D_A = \bigcup_{j=1}^{\infty} F_jD_A$, and the associated graded algebra $gr(D_A)$ is isomorphic to $C$. Consequently, by applying the functor $B \otimes -$ to the preceding filtration we obtain an increasing exhaustive filtration

$$0 = B \otimes F_{-1}D_A \subset B \otimes F_0D_A \subset \cdots \subset B \otimes F_jD_A \subset \cdots \subset B \otimes D_A$$

of $B \otimes D_A$ with $F_0(B \otimes D_A) = B \otimes A$ and $gr(B \otimes D_A) \simeq B \otimes C$.

Since the affine $k$-schemes $X$, $Y$, and $T^*X$, are smooth and of finite type, the $k$-algebras $A$, $B$, and $C$ are Noetherian and of finite global dimension. Consequently, the $k$-algebras $B \otimes A$ and $B \otimes C$ are also Noetherian and of finite global dimension. Making use of [34, §6 Thm. 7] (see also [16, Thm. 1.1]), we then conclude that the morphism $K(B \otimes A) \to K(B \otimes D_A)$, induced by the inclusion $F_0(B \otimes D_A) \subset B \otimes D_A$, is invertible. Since the $k$-algebras $B \otimes A$ and $B \otimes D_A$ are regular Noetherian, the proof follows now from the isomorphisms $K(B \otimes A) \simeq KH(B \otimes A)$ and $K(B \otimes D_A) \simeq KH(B \otimes D_A)$ between algebraic $K$-theory and homotopy $K$-theory.

\[\square\]

11. Proof of Theorem 4.2

If $A \simeq B$ in $\text{Hmo}(k)$, then $(H^*_{dRB})^nc(A) = (H^*_{dRB})^nc(B)$. Therefore, item (i) follows from Definition 4.1. Let us now prove item (ii). Recall from the proof of Theorem 2.2 that we have the following adjunction of categories:

\[
\begin{align*}
\text{Mod}(&\oplus_m H^2_{dRB}(\mathbb{P}^1)\otimes(-m)) \\
\gamma \downarrow \quad \text{for} &\text{get} \\
\text{Gr}_2&\text{(Ind(Vect}(k, \mathbb{Q})))
\end{align*}
\]

Recall also from §2 that $H^*_{dRB}(X)$ belongs to the full subcategory $\text{Gr}_{2}(\text{Vect}(k, \mathbb{Q}))$. The proof of item (ii) is then a consequence of the following equalities

\[
\begin{align*}
P^{nc}(A) &:= P^{nc}(\text{forget}((H^*_{dRB})^{nc}(A))) \\
&= P^{nc}(\text{forget}(H^*_{dRB}(X))) \\
&= P^{nc}(H^*_{dRB}(X) \otimes (\oplus_m H^2_{dRB}(\mathbb{P}^1)\otimes(-m))) \\
&= P^{nc}(\oplus_m (H^*_{dRB}(X) \otimes H^2_{dRB}(\mathbb{P}^1)\otimes(-m))) \\
&= P^{nc}(H^*_{dRB}(X)) \\
&= \phi(P(H^*_{dRB}(X))) = \phi(P(X)),
\end{align*}
\]

\[\text{The global dimension of } D_A \text{ is equal to the dimension of } X = \text{Spec}(A).\]
where (11.12) follows from the assumption \((H^*_{IRB})^\text{nc}(A) \simeq H^*_\text{IRB}(X)\), (11.3) from adjunction (11.1), (11.4) from the fact that \(H^*_\text{IRB}(X) \otimes -\) preserves arbitrary direct sums, (11.5) from Lemma 11.7 below, and (11.6) from Proposition 11.10 below.

**Lemma 11.7.** For every object \(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}\) of \(\text{Gr}_k^b(\text{Vect}(k, \mathbb{Q}))\), we have an equality of \(\mathbb{Z}/2\)-graded \(k\)-algebras:

\[
\mathcal{P}^\text{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}) = \mathcal{P}^\text{nc}(\bigoplus_{m \in \mathbb{Z}} (\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}} \otimes H^2_{\text{IRB}}(\mathbb{P}^1)^{\otimes (-m)})).
\]

**Proof.** Let \((V, W, \omega)\) and \((V', W', \omega')\) be two objects of \(\text{Vect}(k, \mathbb{Q})\). In order to simplify the exposition, let us denote by \(P(V, W, \omega) + P(V', W', \omega')\) the set of complex numbers of the form \(p + p'\), with \(p \in P(V, W, \omega)\) and \(p' \in P(V', W', \omega')\). Recall from §2 that \(H^2_{\text{IRB}}(\mathbb{P}^1)^{\otimes (-m)}\) is the shifted triple \((k, \mathbb{Q}, (2\pi)^{-m})\). Consequently, the \(n\)th component of \(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}} \otimes H^2_{\text{IRB}}(\mathbb{P}^1)^{\otimes (-m)}\) is given by

\[
(11.8) \quad (V_{n+2m}, W_{n+2m}, \omega_{n+2m}) \otimes (k, \mathbb{Q}, (2\pi)^{-m}).
\]

Similarly, the \(n\)th component of \(\bigoplus_{m \in \mathbb{Z}} \{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}} \otimes H^2_{\text{IRB}}(\mathbb{P}^1)^{\otimes (-m)}\) is

\[
(11.9) \quad \bigoplus_{m \in \mathbb{Z}} (V_{n+2m}, W_{n+2m}, \omega_{n+2m}) \otimes (k, \mathbb{Q}, (2\pi)^{-m}).
\]

Note that since \(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}\) belongs to \(\text{Gr}_k^b(\text{Vect}(k, \mathbb{Q}))\), the direct sum (11.9) is finite. On the one hand, we have \(P((11.8)) = P(V_{n+2m}, W_{n+2m}, \omega_{n+2m}) (2\pi)^{-m}\). On the other hand, \(P((11.9)) = \bigoplus_{m \in \mathbb{Z}} P(V_{n+2m}, W_{n+2m}, \omega_{n+2m}) (2\pi)^{-m}\); see [17, Prop. 9.2.4]. Consequently, the following equalities

\[
\bigcup_{n \in \mathbb{Z}} P(\bigoplus_{m \in \mathbb{Z}} (V_{2(n+m)}, W_{2(n+m)}, \omega_{2(n+m)})) \otimes (k, \mathbb{Q}, (2\pi)^{-m})) (2\pi)^{-n} = \bigcup_{n \in \mathbb{Z}} (\bigoplus_{m \in \mathbb{Z}} P(V_{2(n+m)}, W_{2(n+m)}, \omega_{2(n+m)})) (2\pi)^{-n} = \bigcup_{n \in \mathbb{Z}} (\bigoplus_{m \in \mathbb{Z}} P(V_{2(n+m)}, W_{2(n+m)}, \omega_{2(n+m)})) (2\pi)^{-(n+m)}
\]

allow us to conclude that every degree 0, resp. degree 1, generator of the \(\mathbb{Z}/2\)-graded \(k\)-algebra \(\mathcal{P}^\text{nc}(\{\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}} \otimes H^2_{\text{IRB}}(\mathbb{P}^1)^{\otimes (-m)}\})\) is a \(k\)-linear combination of degree 0, resp. degree 1, generators of the \(\mathbb{Z}/2\)-graded \(k\)-algebra \(\mathcal{P}^\text{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})\). This implies that these two algebras are the same. □

**Proposition 11.10.** For every object \(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}\) of \(\text{Gr}_k^b(\text{Vect}(k, \mathbb{Q}))\), we have an equality of \(\mathbb{Z}/2\)-graded \(k\)-algebras:

\[
(11.11) \quad \mathcal{P}^\text{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}) = \phi(\mathcal{P}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})).
\]

**Proof.** Recall from §4 the definition of \(\mathbb{C}^{2\pi i}_{\mathbb{Z}/2}\). Note that the underlying \(\mathbb{Z}/2\)-graded \(\mathbb{C}\) vector space of \(\mathbb{C}^{2\pi i}_{\mathbb{Z}/2}\) is \(C_0 \oplus C_1\) and that the multiplication law is given by

\[
(11.12) \quad (\lambda_0, \lambda_1) \cdot (\lambda_0', \lambda_1') = (\lambda_0 \lambda_0' + \lambda_1 \lambda_1' (2\pi i)^{-1}, \lambda_0 \lambda_1' + \lambda_1 \lambda_0').
\]

Recall also from §4 that the \(\mathbb{Z}\)-graded \(k\)-algebra \(\mathcal{P}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})\) is generated in degree 2\(n\) by the elements of the set \(P(V_{2n}, W_{2n}, \omega_{2n})\) and in degree \(2n+1\) by the elements of the set \(P(V_{2n+1}, W_{2n+1}, \omega_{2n+1})\). Via the above description (11.12), the image of \(P(V_{2n}, W_{2n}, \omega_{2n})\) under the quotient homomorphism \(\phi: \mathbb{C}[t, t^{-1}] \rightarrow \mathbb{C}^{2\pi i}_{\mathbb{Z}/2}\) corresponds to the subset \(P(V_{2n}, W_{2n}, \omega_{2n})(2\pi i)^{-n} \subseteq C_0\). Similarly, the image of \(P(V_{2n+1}, W_{2n+1}, \omega_{2n+1})\) under \(\phi\) corresponds to the subset \(P(V_{2n+1}, W_{2n+1}, \omega_{2n+1})(2\pi i)^{-n} \subseteq C_1\). By definition of \(\mathcal{P}^\text{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})\), we then obtain the searched equality (11.11). □
Given two objects \((V, W, \omega)\) and \((V', W', \omega')\) of the category \(\text{Ind}(\text{Vect}(k, \mathbb{Q}))\), the subset \(P((V, W, \omega) \oplus (V', W', \omega')) \subseteq \mathbb{C}\) consists of the complex numbers of the form \(p + p'\), with \(p \in P(V, W, \omega)\) and \(p' \in P(V', W', \omega')\). Therefore, given objects \(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}\) and \(\{(V'_n, W'_n, \omega'_n)\}_{n \in \mathbb{Z}}\) of \(\text{Gr}_Z(\text{Ind}(\text{Vect}(k, \mathbb{Q})))\), we observe that the \(\mathbb{Z}/2\)-graded \(k\)-algebra \(\mathcal{P}^{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}} \oplus \{(V'_n, W'_n, \omega'_n)\}_{n \in \mathbb{Z}})\) agrees with the smallest \(\mathbb{Z}/2\)-graded \(k\)-subalgebra

\[
\mathcal{P}^{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}}) \cap \mathcal{P}^{nc}(\{(V'_n, W'_n, \omega'_n)\}_{n \in \mathbb{Z}})
\]

containing \(\mathcal{P}^{nc}(\{(V_n, W_n, \omega_n)\}_{n \in \mathbb{Z}})\) and \(\mathcal{P}^{nc}(\{(V'_n, W'_n, \omega'_n)\}_{n \in \mathbb{Z}})\).

Let \(A, B \subseteq C\) be dg categories yielding a semi-orthogonal decomposition \(H^{0}(\mathcal{C}) = \langle H^{0}(A), H^{0}(B) \rangle\). Proposition 3.1 implies that \((H^{*}_{\text{dR}})_{\text{nc}}(\mathcal{C})\) is isomorphism to the direct sum \((H^{*}_{\text{dR}})_{\text{nc}}^{\text{nc}}(A) \oplus (H^{*}_{\text{dR}})_{\text{nc}}^{\text{nc}}(B)\). By applying \(\mathcal{P}^{nc}(\text{--})\), the above considerations allow us then to conclude that \(\mathcal{P}^{nc}(\mathcal{C}) = \mathcal{P}^{nc}(A) \circ \mathcal{P}^{nc}(B)\). This proves item (iii) and proves the proof of Theorem 4.2.

**Remark 11.13** (Periods of noncommutative mixed motives). Recall from §8 that the new additive invariant \(H^{*}_{\text{dR}}\) factors through the functor \(U(\text{--})_{R}\). Therefore, similarly to Definition 4.1, we can define the \(\mathbb{Z}/2\)-graded algebra of periods \(\mathcal{P}^{nc}(N)\) of every noncommutative mixed motive \(N \in \text{Mot}(k; R)\). Here is an example: let \(k\) be a number field. Recall from [1, §23.3.3] that the 1-motives \(K(q) := \left[ \mathbb{Z} \overset{1 \rightarrow q}{\rightarrow} \mathbb{G}_{m} \right]\), with \(q \in k^{\times}\), are called the *Kummer motives*. Following (6.3), the morphisms in the triangulated category \(\text{Mot}(k; \mathbb{Q})\) from \(U(k)_{\mathbb{Q}}\) and \(U(k)_{\mathbb{Q}}[-1]\) are in bijection with the elements of \(K_{1}(k)_{\mathbb{Q}} = k^{\times} \otimes \mathbb{Q}\). Therefore, we can consider the triangle:

\[
U(k)_{\mathbb{Q}}[-2] \rightarrow K^{nc}(q) \rightarrow U(k)_{\mathbb{Q}} \rightarrow U(k)_{\mathbb{Q}}[-1].
\]

Since \(K(q)\), considered as an object of \(\text{DM}_{\text{gm}}(k; \mathbb{Q})\), is an extension of \(\mathbb{Q}(0)\) by \(\mathbb{Q}(1)\), we have an isomorphism between \((H^{*}_{\text{dR}})_{\text{nc}}^{\text{nc}}(K^{nc}(q))\) and \(H^{*}_{\text{dR}}(K(q))\). Making use of (the generalization of) Theorem 4.2(ii), we then conclude that \(\mathcal{P}^{nc}(K^{nc}(q)) = \phi(\mathcal{P}(K(q)))\). As explained in [1, §23.3.3], the transcendental number \(\log(q)\) belongs to \(\mathcal{P}(K(q))_{1}\). Consequently, it belongs also to \(\mathcal{P}^{nc}(K^{nc}(q))_{1}\).

**12. Proof of Theorem 4.6**

By definition of \(\text{perf}(X) = \langle a_{0}, a_{1}(1), \ldots, a_{i-1}(i-1) \rangle\), we have a chain of admissible triangulated subcategories \(A_{i-1} \subseteq \cdots \subseteq A_{1} \subseteq A_{0}\) and \(A_{r}(r) := A_{r} \otimes \mathcal{O}_{X}(r)\). Let \(a_{r}\) be the right orthogonal complement to \(A_{r+1}\) in \(A_{r}\); these are called the *primitive subcategories* in [27, §4]. Note that we have semi-orthogonal decompositions:

\[
A_{r} = \langle a_{r}, a_{r+1}, \ldots, a_{i-1} \rangle \quad 0 \leq r \leq i-1.
\]

As proved in [27, Thm. 6.3], the category \(\text{perf}(Y)\) admits a HP-dual Lefschetz decomposition \(\text{perf}(Y) = \langle B_{j-1}(1-j), B_{j-2}(2-j), \ldots, B_{0} \rangle\) with respect to \(\mathcal{O}_{Y}(1)\); as above we have a chain \(B_{j-1} \subseteq B_{j-2} \subseteq \cdots \subseteq B_{0}\) of admissible triangulated subcategories. Moreover, the primitive subcategories \(B_{r}\) coincide with \(a_{r}\); in this case we have semi-orthogonal decompositions:

\[
B_{r} = \langle a_{0}, a_{1}, \ldots, a_{\dim(V)-r-2} \rangle \quad 0 \leq r \leq j-1.
\]

Furthermore, there exists a triangulated \(C_{L}\) and semi-orthogonal decompositions

\[
\text{perf}(X_{L}) = \langle C_{L}, A_{\dim(L)(1)}, \ldots, A_{n}(i - \dim(L)) \rangle
\]

\[
\text{perf}(Y_{L}) = \langle B_{j-1}(\dim(L^+) - j), \ldots, B_{\dim(L^+)}(-1) \rangle,\ C_{L}\rangle.
\]
Let us denote by $\mathcal{C}_L^{dg}, \mathcal{A}_r^{dg}, \mathcal{a}_r^{dg}$ the dg enhancement of $\mathcal{C}_L, \mathcal{A}_r, \mathcal{a}_r$ induced from $\text{perf}_{dg}(X_L)$. Similarly, let $\mathcal{C}_L^{dg'}, \mathcal{B}_r^{dg'}, \mathcal{a}_r^{dg'}$ be the dg enhancement of $\mathcal{C}_L, \mathcal{B}_r, \mathcal{a}_r$ induced from $\text{perf}_{dg}(Y_L)$. Since the functor $\text{perf}(X_L) \to \mathcal{C}_L \to \text{perf}(Y_L)$, as well as the identification between $\mathcal{a}_r$ and $\mathcal{b}_r$, is of Fourier-Mukai type, we have derived Morita equivalences $\mathcal{C}_L^{dg} \simeq \mathcal{C}_L^{dg'}$ and $\mathcal{a}_r^{dg} \simeq \mathcal{a}_r^{dg'}$. By combining the semi-orthogonal decompositions (12.1) and (12.3), resp. (12.2) and (12.4) and the equality $\dim(V) = \dim(L) + \dim(L^\perp)$, with Theorem 4.2 we hence conclude that
\[
\phi(\mathcal{P}(X_L)) = \mathcal{P}^{nc}(\mathcal{C}_L^{dg}) \circ \mathcal{P}^{nc}(\mathcal{a}_1^{dg}) \circ \cdots \circ \mathcal{P}^{nc}(\mathcal{a}_{i-1}^{dg})
\]
(12.5)
\[
\phi(\mathcal{P}(Y_L)) = \mathcal{P}^{nc}(\mathcal{a}_0^{dg}) \circ \cdots \circ \mathcal{P}^{nc}(\mathcal{a}_{i-1}^{dg}) \circ \mathcal{P}^{nc}(\mathcal{C}_L^{dg})
\]
(12.6)

On the one hand, the assumption that $\mathcal{A}_0$ is generated by exceptional objects implies that $\mathcal{P}^{nc}(\mathcal{A}_0^{dg}) = k$. On the other hand, the semi-orthogonal decomposition (12.1) (with $r = 0$) implies that $\mathcal{P}^{nc}(\mathcal{A}_0^{dg}) = \mathcal{P}^{nc}(\mathcal{a}_0^{dg}) \circ \cdots \circ \mathcal{P}^{nc}(\mathcal{a}_{i-1}^{dg})$. This allows us to conclude that $\mathcal{P}^{nc}(\mathcal{a}_r^{dg}) = k$ for every $0 \leq r \leq i - 1$. Therefore, from (12.5)-(12.6) we obtain the searched equality $\phi(\mathcal{P}(X_L)) = \phi(\mathcal{P}(Y_L))$. This finishes the proof.

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