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Shortwave infrared fluorescence imaging with the clinically approved near-infrared dye indocyanine green

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Fluorescence imaging is a method of real-time molecular tracking in vivo that has enabled many clinical technologies. Imaging in the shortwave IR (SWIR; 1,000–2,000 nm) promises higher contrast, sensitivity, and penetration depths compared with conventional visible and near-IR (NIR) fluorescence imaging. However, adoption of SWIR imaging in clinical settings has been limited, partially due to the absence of US Food and Drug Administration (FDA)-approved fluorophores with peak emission in the SWIR. Here, we show that commercially available NIR dyes, including the FDA-approved contrast agent indocyanine green (ICG), exhibit optical properties suitable for in vivo SWIR fluorescence imaging. Even though their emission spectra peak in the NIR, these dyes outperform commercial NIR fluorophores and can be imaged in the SWIR, even beyond 1,500 nm. We show real-time fluorescence imaging using ICG at clinically relevant doses, including intravital microscopy, noninvasive imaging in blood and lymph vessels, and imaging of hepatobiliary clearance, and show increased contrast compared with NIR fluorescence imaging. Furthermore, we show tumor-targeted SWIR imaging with IRDye 800CW-labeled trastuzumab, an NIR dye being tested in multiple clinical trials. Our findings suggest that high-contrast SWIR fluorescence imaging can be implemented alongside existing imaging modalities by switching the detection of conventional NIR fluorescence systems from silicon-based NIR cameras to emerging indium gallium arsenide-based SWIR cameras. Using ICG in particular opens the possibility of translating SWIR fluorescence imaging to human clinical applications. Indeed, our findings suggest that emerging SWIR-fluorescent in vivo contrast agents should be benchmarked against the SWIR emission of ICG in blood.

Significance

Imaging in the shortwave IR (SWIR) spectral window allows the observation of processes deep within living animals. Recent studies have shown that SWIR imaging enables unprecedented imaging opportunities, including contact-free monitoring of vital signs, generation of microvasculature blood flow maps, real-time metabolic imaging, and molecularly targeted imaging. Yet, whereas bright SWIR fluorophores have been developed for preclinical research settings, applications in the clinic have been held back by the conventional belief that no clinically approved fluorophore is available. Here, we show that indocyanine green, a clinically approved near-IR dye, exhibits a remarkable amount of SWIR emission, which enables state-of-the-art SWIR imaging with direct translation potential into clinical settings, and even outperforms other commercially available SWIR emitters.
several examples of inorganic nanomaterials and hydrophobic organic molecules exist with peak emission in the SWIR. Increasing the quantum yield, functionality, and biocompatibility of SWIR fluorophores is an active focus of emerging research studies (24–41).

Here, we show that two commercially available dyes with peak emission in the NIR spectral region, including the FDA-approved contrast agent ICG, can function as SWIR emitters. Indeed, despite having emission that peaks in the NIR, these dyes outperform commercial SWIR fluorophores with peak emission in the SWIR. Our findings are based on the observation that, although the emission spectrum of NIR dyes, such as ICG or IRDye 800CW, peaks outside of the SWIR spectral region, their fluorescence spectrum exhibits a broad shoulder with a spectral tail extending well into the SWIR that can be easily detected by modern SWIR cameras. Furthermore, dyes, such as ICG, have extinction coefficients at their absorption peak that far exceed those of some existing SWIR fluorophores. By showing both functional and targeted SWIR imaging, our findings suggest that NIR dyes could bridge the gap between current shortcomings of SWIR fluorescent probes and applications in clinical settings. Indeed, our findings suggest that any emerging SWIR-fluorescent in vivo contrast agent should be benchmarked against the SWIR emission of ICG in blood.

Results and Discussion

NIR Dye Emission Detected with InGaAs. The visible and NIR emission properties of fluorescent materials are commonly characterized using ubiquitous silicon-based detection technology and spectrometers. However, the detection efficiency of these detectors sharply declines beyond 900 nm, making calibration beyond this wavelength challenging and often limiting the optical properties of aqueous ICG and IRDye 800CW with those of IR-E1050, which has peak emission in the SWIR (Fig. 2) (www.nirmidas.com/nirii-dye/). The brightness of a fluorescent probe is given by the product of the fluorescence quantum yield with the probe’s absorption cross-section at the excitation wavelength. ICG and IRDye 800CW exhibit both higher quantum yields in aqueous solutions (0.9 and 3.3%, respectively) and higher peak absorption cross-sections (15 × 10−24 and 24 × 10−24 m2·M−1·cm−1, respectively) than IR-E1050 (quantum yield of 0.2%, peak absorption cross-section of 0.80 × 10−24 m2·M−1·cm−1 (47–50)). Thus, when normalized to equimolar concentrations in water, we measure the emission intensity of ICG between 1,000 and 1,300 nm to be 8.7 times higher than that of IR-E1050 (Fig. 2 A–C). Indeed, simply using the absorption cross-section, quantum yield, and the ratio of the number of photons emitted between 1,000 and 1,300 nm to the total number of emitted photons (5% for ICG and 47% for IR-E1050) predicts that ICG should be 9.1 times brighter than IR-E1050, consistent with our measurements (Fig. 2 D).

Fig. S1 A). The brightness of a fluorescent probe is given by the product of the fluorescence quantum yield with the probe’s absorption cross-section at the excitation wavelength. ICG and IRDye 800CW exhibit both higher quantum yields in aqueous solutions (0.9 and 3.3%, respectively) and higher peak absorption cross-sections (15 × 10−24 and 24 × 10−24 m2·M−1·cm−1, respectively) than IR-E1050 (quantum yield of 0.2%, peak absorption cross-section of 0.80 × 10−24 m2·M−1·cm−1 (47–50)). Thus, when normalized to equimolar concentrations in water, we measure the emission intensity of ICG between 1,000 and 1,300 nm to be 8.7 times higher than that of IR-E1050 (Fig. 2 A–C). Indeed, simply using the absorption cross-section, quantum yield, and the ratio of the number of photons emitted between 1,000 and 1,300 nm to the total number of emitted photons (5% for ICG and 47% for IR-E1050) predicts that ICG should be 9.1 times brighter than IR-E1050, consistent with our measurements (Fig. 2 D).

The superior brightness of ICG compared with IR-E1050 in the SWIR is indeed apparent when comparing the brightness from vials of the two dyes on the InGaAs camera that we subsequently use for our in vivo imaging (Fig. S2 A and B). We further compare the emission intensities of ICG, IRDye 800CW, and IR-E1050 in bovine blood on an InGaAs camera to best estimate the in vivo brightness of the probes (Fig. 2 E and F). It is important that a full characterization includes a comparison in blood, as characterization in water underrepresents the in vivo brightness of ICG due to (i) formation of H-dimer species at low concentrations in water that cause fluorescence quenching and (ii) the association of ICG with albumin and other proteins in blood that stabilizes the dye and increases its quantum yield (6, 44). To quantify the molar brightness of the probes on the camera, the intensity of each vial containing equal masses of the respective dye was measured individually, averaged, and normalized to integration time and molecular concentration of the respective dye. In blood, equimolar ICG and IRDye 800CW were 16 and 1.3 times brighter, respectively, than IR-E1050 in the wavelength range from 1,300 to 1,620 nm when excited with 808-nm light.

We further confirm these results with in vivo SWIR imaging (Fig. S2 C and D and Movie S1), which shows that ICG is at least one order of magnitude brighter than IR-E1050 when imaging beyond 1,000 nm, in good agreement with the in vitro comparison. Thus, commercially available and clinically relevant NIR fluorophores have significant SWIR emission, eliminating one of the barriers to adopting SWIR fluorescence imaging in both research and clinical applications. These results further emphasize the selection of contrast agents for SWIR fluorescence imaging is not limited to probes with peak emission in the SWIR.

We show that, with all corrections performed by us (Fig. S1), the emission spectrum of ICG approximates the mirror image of its absorption spectrum as predicted by the Franck–Condon principle (or mirror image rule) (45). Furthermore, we show that, under diffuse 808-nm excitation, it is even possible to detect emission from an aqueous solution of ICG on an InGaAs SWIR camera beyond 1,500 nm, although the emission of ICG peaks at 820 nm. This finding is significant in the context of recent studies showing the improvement in contrast, sensitivity, and penetration that can be gained by performing fluorescence imaging at the longest wavelengths of the SWIR (12–14). The same principle applies not only to ICG but also, to other NIR dyes, such as IRDye 800CW (Fig. S1B), which is currently in multiple phase II clinical trials and promises improved stability compared with ICG (46).

In fact, the SWIR emission from NIR dyes, such as ICG and IRDye 800CW, exceeds the brightness of a commercially available, state of the art organic fluorophore developed specifically for in vivo SWIR imaging applications (IR-E1050). The underlying reasons for this finding become evident by comparing the optical properties of aqueous ICG and IRDye 800CW with those of IR-E1050, which has peak emission in the SWIR (Fig. 2) (www.nirmidas.com/nirii-dye/). The brightness of a fluorescent probe is given by the product of the fluorescence quantum yield with the probe’s absorption cross-section at the excitation wavelength. ICG and IRDye 800CW exhibit both higher quantum yields in aqueous solutions (0.9 and 3.3%, respectively) and higher peak absorption cross-sections (15 × 10−24 and 24 × 10−24 m2·M−1·cm−1, respectively) than IR-E1050 (quantum yield of 0.2%, peak absorption cross-section of 0.80 × 10−24 m2·M−1·cm−1 (47–50)). Thus, when normalized to equimolar concentrations in water, we measure the emission intensity of ICG between 1,000 and 1,300 nm to be 8.7 times higher than that of IR-E1050 (Fig. 2 A–C). Indeed, simply using the absorption cross-section, quantum yield, and the ratio of the number of photons emitted between 1,000 and 1,300 nm to the total number of emitted photons (5% for ICG and 47% for IR-E1050) predicts that ICG should be 9.1 times brighter than IR-E1050, consistent with our measurements (Fig. 2 D).

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Due to the low quantum yields and low absorption cross-sections of conventional SWIR fluorophores, it is possible for the brightest probe to be one with only tail emission in the SWIR. Any SWIR-fluorescent contrast agent should, therefore, be benchmarked against the SWIR emission of ICG in blood, as it is sufficiently bright for in vivo applications, and it is already FDA approved and clinically used.

**High-Contrast SWIR Fluorescence Imaging in Vivo Using ICG.** The SWIR emission of commercially available, FDA-approved, and clinically used ICG enables straightforward application to in vivo fluorescence imaging in the SWIR. We present here a selection of in vivo imaging applications in mice using the clinically approved dose of ICG and highlight advantages of imaging ICG using SWIR detection over conventional NIR detection.

We show that imaging ICG in the SWIR enables high-contrast mesoscopic imaging of brain and hind-limb vasculature in mice through intact skin (Fig. 3), as has been previously shown with carbon nanotubes (13). For this, we injected an aqueous solution of ICG into the tail vein of mice at a dose of 0.2 mg/kg, which is within the recommended dose for humans (0.2–0.5 mg/kg recommended, 5 mg/kg maximum) (6). We illuminated the mice with 50–70 mW/cm² of 808-nm excitation light, staying below the maximum permissible exposure limit (330 mW/cm² for 808-nm continuous wave light) (51). We noninvasively imaged the resulting fluorescence on a silicon camera at NIR wavelengths and on an InGaAs camera at SWIR wavelengths between 1,300 and 1,620 nm, the detection cutoff of the cooled SWIR camera. We chose the 1,300- to 1,620-nm wavelength range, since as we show here and others have previously shown, contrast and resolution are maximized at wavelengths greater than 1,300 nm (13, 19, 52). We quantified the contrast within a region of interest in the NIR image and the SWIR image by calculating the coefficient of variation, defined as the standard deviation (SD) of pixel intensity normalized to the mean pixel intensity (Fig. S3D). We find that the SWIR image contrast for brain vasculature is nearly 50% greater at a value of 0.29 compared with the NIR image with a contrast value of 0.20, and it is more than 58% greater for hind-limb vasculature at a value of 0.19 for SWIR imaging compared with 0.12 for NIR imaging. Furthermore, we calculated the apparent width of a brain vessel by measuring the FWHM of a two-Gaussian fit to the intensity profile across the brain vessel of interest (Fig. S3E). We find that the apparent vessel width in this specific example is over twice as wide in the NIR image as in the SWIR image, with values of 430 and 210 μm, respectively. These findings are in good agreement with previous studies using other fluorophores (e.g., the contrast and resolution of brain vessels originally shown through noninvasive imaging using SWIR-emissive carbon nanotubes) (13). Thus, contrast and resolution of fine vasculature structures can be greatly improved while using FDA-approved ICG contrast by simply switching the detection wavelength from traditional NIR imaging using a silicon camera to detection beyond 1,300 nm on an InGaAs SWIR camera.

We further show that the contrast improvement of SWIR detection over NIR detection can be enabling for intravital microscopic imaging (Fig. S4). We incorporated ICG into PEG phospholipids (53) to increase its blood half-life, which is typically limited to 3–4 min (54, 55). We injected an aqueous solution of these micelles at a dose of 5 mg ICG/kg mouse into the tail vein of a mouse with an implanted cranial window and used a microscope to image the fluorescence of the ICG phospholipid micelles in the brain vasculature with both NIR and 1,300-nm long-pass SWIR detection. Images of the entire cranial window at 2× magnification show the ability to resolve nearly all of the same vessels using either NIR or SWIR imaging. The overall contrast, however, was 1.4 times greater for the SWIR image (SD/mean was 0.24 for NIR vs. 0.33 for SWIR). Higher magnification (6×) reveals that this contrast improvement using SWIR imaging enables the resolution of vessels, which due to the high

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**Fig. 2.** Comparison of the optical properties of ICG with those of other NIR and SWIR dyes. (A) Normalized to identical molar concentrations, ICG absorbs much stronger at 785-nm excitation (dashed line) than IR-E1050, a commercially available SWIR dye marketed for in vivo SWIR imaging applications. (B) Thus, although the emission peak of ICG is significantly blue shifted compared with IR-E1050, (C) the measured emission intensity between 1,000 and 1,300 nm normalized to equimolar concentration is 8.7 times higher for ICG than for IR-E1050. (D) This finding was confirmed by calculating the SWIR brightness of ICG and IR-E1050 at wavelengths between 1,000 and 1,300 nm. Multiplying the independently measured fluorescence quantum yield, the maximum absorption cross-section, and the ratio of the number of photons emitted between 1,000 and 1,300 nm to the total number of emitted photons of both dyes shows that ICG is roughly nine times brighter than IR-E1050. (E) We further compared the fluorescence intensity of IR-E1050 (0.01 mg/mL) with that of IRDye 800CW PEG (0.01 mg/mL; not accounting for PEG shell of 25–60 kDa) and that of ICG (0.01 mg/mL) in bovine blood on an SWIR camera with a 1,300-nm long-pass (LP) filter. (F) Normalized to equimolar concentrations, the imaged intensity of the NIR dye is greater than IR-E1050. SDs for signal intensity were found to be less than 5%. Fig. S2 and Movie S1 show comparisons in water and in vivo.
High temporal resolution shown through ICG SWIR fluorescence angiography. Intravital SWIR fluorescence angiography was performed in a mouse heart at 9.17 frames per second using ICG for contrast, diffuse 808-nm excitation, and a 1,300-nm long-pass emission filter on an InGaAs SWIR camera (Movie S2). (A) Temporal resolution was sufficiently high to resolve the heartbeat of the mouse. (B) By tracking a region of interest within the atrium of the heart (red circle; lungs are also pictured and indicated with white arrows) and (C) taking the Fourier transform of (D) the intensity fluctuations, the heart rate was determined to be 207 beats per minute for the anesthetized mouse. Fluorescence tracking details and assignment of anatomical structures are in Fig. S5.

**Fig. 4.** High temporal resolution shown through ICG SWIR fluorescence angiography. Intravital SWIR fluorescence angiography was performed in a mouse heart at 9.17 frames per second using ICG for contrast, diffuse 808-nm excitation, and a 1,300-nm long-pass emission filter on an InGaAs SWIR camera (Movie S2). (A) Temporal resolution was sufficiently high to resolve the heartbeat of the mouse. (B) By tracking a region of interest within the atrium of the heart (red circle; lungs are also pictured and indicated with white arrows) and (C) taking the Fourier transform of (D) the intensity fluctuations, the heart rate was determined to be 207 beats per minute for the anesthetized mouse. Fluorescence tracking details and assignment of anatomical structures are in Fig. S5.

**Fig. 3.** High contrast in vivo SWIR fluorescence imaging using ICG. (A) We noninvasively imaged the brain vasculature of a mouse using ICG contrast and find that the vessels are difficult to resolve through skin and skull using 850-nm long-pass (LP) NIR detection on a silicon camera. (B) Switching to 1,300-nm long-pass SWIR detection on an InGaAs camera greatly improves vessel contrast (Fig. S3 shows contrast quantification). (C) Similarly, only large hind-limb vessels are imaged with good contrast through the skin using NIR detection. (D) The intensity across a line of interest shows insufficient contrast to resolve smaller vessels from background signal. (E) Using 1,300-nm long-pass SWIR detection greatly improves image contrast and (F) resolution of vessels. All images were scaled to the maximum displayable intensities.

**Real-Time SWIR Fluorescence Imaging Using ICG.** An essential component of fluorescence-guided surgery is the ability to perform real-time imaging. We show that the SWIR emission of ICG is sufficiently bright for real-time imaging at high frame rates using clinically approved doses of ICG. In one example, we performed heart angiography in mice. Using diffuse 808-nm excitation and a 1,300-nm long-pass emission filter, we were able to image the vasculature of a beating heart intravital at a speed of 9.17 frames per second (Movie S2) while resolving fine vessels on the exterior surface against the underlying contrast (Fig. S5E). The acquisition speed was sufficiently fast to capture the anesthetized mouse heart rate of 207 beats per minute determined by tracking the intensity fluctuations of the heart (Fig. 4). Furthermore, the fluorescence of the ICG bolus was tracked as it reached the heart, lungs, peripheral veins, and finally, liver (Fig. S5A–D).

In a second example, we show real-time SWIR imaging of ICG in the liver and small intestine of a mouse and further show imaging of ICG fluorescence beyond 1,500 nm. After ICG injection into the tail vein of a mouse, we noninvasively imaged through the skin the hepatobiliary excretion of ICG into the small intestine (6). ICG emitted sufficient signal for near-video rate imaging (19.7 frames per second, 1,200-nm long-pass filter), enabling capture of the peristaltic movements of the small intestine (Movie S3). Although at the cost of speed (2.0 frames per second), it was even feasible to image the ICG clearance using a 1,500-nm long-pass filter, capturing wavelengths between roughly 1,500 and 1,620 nm (Fig. S6V).

In a third example, we noninvasively imaged lymphatic flow in mice. We injected an aqueous solution of ICG s.c. in the hind feet and the tail and then imaged (at 9.17 frames per second through intact skin) the flow of lymphatic clearance (Movies S4 and S5).
Using various long-pass filters across the SWIR, we find that lymph vessels and nodes are visible with ICG fluorescence at ~1,400 nm, at which point only the vessels and superficial nodes are visible and the signal of deeper lymph nodes becomes attenuated (Fig. S6B). Thus, for applications that are not contrast-limited, such as those with low background signal and/or high label specificity, it may be preferable to image ICG in the NIR or the shorter wavelengths of the SWIR, where signal attenuation is minimized. These examples show the applicability of ICG SWIR imaging to fluorescence-guided surgery. ICG is bright enough to image in the SWIR at speeds sufficiently high for intraoperative imaging of dynamic or moving features, shown here in the heart, small intestine, and lymphatic system of a mouse. We show that the required speed for a given application can be balanced with the desired contrast by selecting the imaging wavelength; using the full SWIR regime enables the highest frame rates due to maximized signal from ICG, while imaging at the longest SWIR wavelengths can be used to improve contrast at the cost of speed. Thus, using an SWIR camera for detecting ICG provides a tunable platform for optimizing both contrast and speed in fluorescence-guided surgery.

Targeted SWIR Fluorescence Imaging in Vivo UsingIRDye 800CW. The ease of molecular targeting is one of the major strengths of fluorescence imaging over other imaging modalities. We show here that straightforward conjugation chemistry can be used to perform targeted imaging in the SWIR using the NIR dye IRDye 800CW. We used a commercially available labeling kit to conjugate IRDye 800CW to the tumor-targeting antibody trastuzumab (62). We injected the dye–antibody conjugate into mouse models implanted with human BT474 breast cancer cells in the brain and noninvasively imaged the SWIR fluorescence emitted from the IRDye 800CW-labeled tumor through intact skin and skull. Subsequently, we injected IRDye 800CW conjugated to PEG, highlighting brain vasculature surrounding the tumor. A multicolor functional image of the brain was then generated by temporally resolving the two labels (i.e., by assigning different colors to the fluorescence signal before and after the addition of IRDye 800CW PEG) (Fig. S7) (63).

While targeted SWIR imaging has previously been hindered by the challenging preparation of targeted nanomaterials, the size-dependent delivery effects, and the unavailability of commercial solutions, the use of NIR dye labeling kits overcomes these barriers. NIR imaging of these readily available, targeted dyes has already shown promise for overcoming these barriers. NIR imaging of these readily available, targeted dyes has already shown promise for aiding cancer localization and intraoperative molecular imaging, including brain vasculature imaging, heart angiography, intravital brain imaging with ICG phospholipid micelles, ICG hepatobiliary clearance imaging, lymph node imaging, and IRDye 800CW tumor labeling and imaging.

Data Availability. The authors declare that all data supporting the findings of this study are available within the paper and in SI Materials and Methods.

Note. The results reported in this manuscript were initially posted by the authors April 28, 2017, in the online archive, bioXiv (65). During the manuscript review process, the authors became aware that ICG fluorescence in the SWIR was independently reported by another group in PLoS One on November 9, 2017 (66).

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