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| As Published     | http://dx.doi.org/10.1103/PhysRevB.82.144412 |
| Publisher        | American Physical Society |
| Version          | Final published version |
| Accessed         | Sun Dec 30 10:52:55 EST 2018 |
| Citable Link     | http://hdl.handle.net/1721.1/61330 |
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Interplay of thermal and quantum spin fluctuations in the kagome lattice compound herbertsmithite

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(Received 7 July 2010; revised manuscript received 6 September 2010; published 6 October 2010)

We present a Raman spectroscopic investigation of the herbertsmithite ZnCu3(OH)6Cl2, a realization of a Heisenberg $s=1/2$ antiferromagnet on a perfect kagome lattice. Focusing on its magnetic excitation spectrum we find two components, a high-temperature quasielastic signal and a low temperature, broad maximum. The latter has a linear low-energy slope and extends to high energy. We have investigated the temperature dependence and symmetry properties of both signals. Our data agree with previous calculations and point to a gapless spin liquid ground state.

DOI: 10.1103/PhysRevB.82.144412 PACS number(s): 75.10.Jm, 78.30.–j, 75.50.Ee

I. INTRODUCTION

Strongly frustrated spin systems are in the focus of many recent works as the competition of exchange interactions leads to interesting ground states and a rich low-energy excitation spectrum. Of these systems, the $s=1/2$ Heisenberg antiferromagnet on the kagome lattice has been of special interest since its ground state is a superposition of highly degenerate and entangled quantum states that fluctuate down to lowest temperatures, i.e., a spin liquid.1,2 A kagome lattice consists of a two-dimensional (2D) arrangement of corner-sharing triangles. In systems with large spin so-called weather-vane modes, low-energy collective rotations of spins on a hexagon, lead to order by disorder.3–5 For $s=1/2$ a dense spectrum of low-energy singlet, triplet, and more exotic excitations are supposed to exist. Defects on the kagome lattice may lead to a gapless valence bond glass phase6 with enhanced singlet bonds opposite to the defects. Together with antisymmetric Dzyaloshinskii-Moriya (DM) interactions a quantum critical point is induced.7 Despite these and other theoretical investigations, the understanding of the ground-state properties and the excitation spectrum of the quantum kagome system is not completely satisfactory.

Earlier experimental investigations of compounds that are close in realizing the kagome layer are hampered either by interlayer interactions, a nonuniform, more complex distribution of exchange coupling constants or spin anisotropies. The first reported material with 2D kagome layers was SrCr$_2$Ga$_4$O$_9$ (Ref. 9) with $s=3/2$. It shows a spin-glass transition for temperatures below $T_c=3.3$ K despite the much larger interaction strength. In the $s=3/2$ compound Y$_{0.5}$Ca$_{0.5}$BaCu$_2$O$_7$ (Ref. 10) short-ranged chiral spin correlations develop at low temperature while the $s=1/2$ compound RbCu$_3$SnF$_{12}$ (Ref. 11) experiences a spin gap that separates the singlet ground state from low-energy triplet excitations.

Presently the compound ZnCu$_3$(OH)$_6$Cl$_2$ is the only well characterized realization of the $s=1/2$ Heisenberg antiferromagnet on a perfect kagome lattice.12,13 It exists in two structurally slightly different forms: (a) kapellasite14 and (b) herbertsmithite. Small single crystals, however, are up to now only available as herbertsmithite. In this compound, the Cu$^{2+}$ ions form the triangular kagome lattice in the crystallographic $ab$ layer and are coupled by oxygen atoms via superexchange pathways, leading to strong antiferromagnetic correlations of about $J=180$ K. Despite this strong coupling, susceptibility measurements reveal no magnetic ordering down to 50 mK.15

The planes of these kagome structures are separated by intermediate layers of Zn and Cl atoms and can therefore ideally be considered as two-dimensional layers with negligible magnetic interactions along the third dimension. However, Zn/Cu antisite disorder of about 6%16 is believed to be present in previously studied herbertsmithite samples. Hence, the presence of a small concentration of impurities may affect the spin correlations in the kagome plane.

Muon spin rotation ($\mu$SR) measurements on the paratramite Zn$_{0.3}$Cu$_{3.4}$(OH)$_6$Cl$_2$ showed a partly frozen ground state,17 which however disappears for higher Zn/Cu ratio. For the herbertsmithite, i.e., a Zn to Cu ratio of $1/3$, $\mu$SR did not reveal any ordering down to 50 mK. Electron spin resonance measurements revealed DM interactions of about $D=0.08$ K magnitude,18 which, together with the antisite disorder, causes a ferromagneticlike increase in susceptibility at low temperature. These and other experiments have been performed either on natural (mineral) crystals or powder samples.13 Only recently, herbertsmithite single crystals were successfully grown via hydrothermal synthesis.19

Our approach of investigating the excitations in the kagome lattice is using inelastic light scattering (Raman scattering). This is a unique method in the sense that it is sensitive to low-energy electronic, magnetic, or topological excitations. Singlet modes ($s=0$) can be observed, which may otherwise only be probed in specific-heat measurements. In
particular, it has recently been suggested that studying the polarization dependence of the magnetic Raman scattering contribution should allow to distinguish between different possible ground states such as a valence bond crystal or a spin liquid. Of further interest is the spectral distribution of magnetic scattering as characteristic low-energy slopes or sharp modes may be observed as signs of the topological character of the spin correlations. Previous Raman experiments were carried out on a set of powder samples of ZnCu$_3$OH)$_6$Cl$_2$ (with $x=0.5, 0.85, 1.0, 1.1, 1.2,$ and 1.4). These samples, however, do not allow to successfully study the weaker magnetic or topological Raman scattering in the herbertsmithite.

II. EXPERIMENTAL DETAILS

This study deals with two different types of samples: (i) natural crystallites from mineral sources, which show a dark green color and are slightly transparent. A selected crystallite from this source with the approximate volume of about 0.5 mm$^3$ was studied via X-ray precession method to confirm the sample’s single domain structure and to uncover a natural surface that is parallel to the crystallographic ab plane in which the kagome lattice is realized. Its stoichiometry, Zn$_{0.8}$Cu$_{3.2}$OH)$_6$Cl$_2$, is slightly shifted from optimum. (ii) A hydrothermally synthesized single crystal with a size of about $1 \times 0.2 \times 0.2$ mm$^3$, which shows a very regular habitus, was studied in addition. It appears in light green color and high transparency and has a stoichiometry very close to the perfect kagome, i.e., ZnCu$_3$(OH)$_6$Cl$_2$.

Raman spectroscopic studies were performed in quasi-backscattering geometry with a solid-state laser ($\lambda = 532$ nm) and 1 mW laser power. Room-temperature measurements were carried out under ambient conditions while low-temperature measurements were done in an evacuated, closed-cycle cryostat. Investigations of the polarization dependence were performed by rotating the sample within the ab plane. In the 0° orientation, the a axis was approximately perpendicular to both the polarization and the k vector of the incident laser light. The spectra were collected via a triple spectrometer (Dilor-XY-500) by a liquid nitrogen cooled charge coupled device (CCD) (HORIBA Jobin Yvon, Spectrum One CCD-3000V).

III. RESULTS

Figure 1(a) shows Raman spectra of the natural and of the synthesized single crystal, measured at room temperature ($T=295$ K) and in xx polarization. Rotating the samples within the crystallographic ab plane (with the axis of rotation along the k vector of the incident light) allows to distinguish between modes of $A_{1g}$ and $E_g$ symmetry representation. Furthermore, the previously discussed rotational anisotropy of the magnetic modes can be probed.

We observe seven sharp modes at low to intermediate energies (123, 148, 365, 402, 501, 697–702, and 943 cm$^{-1}$) and five modes at high energies. We attribute these Lorentzian lines to phonons. The factor group analysis yields 12 Raman active phonon modes according to the $R\bar{3}m$ space group: $\Gamma_{\text{Raman}} = 5A_{1g} + 7E_g$. In addition, we will later consider the $A_{2g}$ symmetry representations that can be induced by electronic resonances or DM interaction. The corresponding tensors are

$$A_{1g} = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & b \\ \end{pmatrix}, \quad E_g = \begin{pmatrix} c & 0 & 0 \\ 0 & -c & d \\ 0 & d & 0 \\ \end{pmatrix},$$

$$A_{2g} = \begin{pmatrix} 0 & e & 0 \\ -e & 0 & 0 \\ 0 & 0 & 0 \\ \end{pmatrix}.$$

By rotating the samples within the ab plane (x-y plane) the $A_{1g}$ modes are expected to give a rotation invariant contribution in parallel (xx) polarization and disappear in crossed (xy) polarization of incident and scattered light. The $E_g$ modes should show a fourfold symmetry in both polarizations. Due to the layered crystal structure and strongly anisotropic electronic polarizability perpendicular to the ab plane slight but inevitable misalignments of the crystals will show up as an additional twofold modulation of the scattering intensity.

The five high energies phonons at about 3500 cm$^{-1}$ (2$A_{1g}$+3$E_g$) are due to vibrations of hydrogen atoms. In a deuterated powder sample they shift to lower energies with a
renormalization factor of 0.74, which is in good accordance to a simple estimate \(\Delta \omega = \sqrt{m_H/m_\phi} = 0.71\). This is depicted in Fig. 1(c).

We assign the modes at 365, 402, and 501 cm\(^{-1}\) to \(E_g\) symmetry, as their intensity clearly displays a fourfold rotational symmetry [see Fig. 1(b)]. The phonon modes at 123, 148, and 702(697) cm\(^{-1}\) are distinctly different as they show a twofold rotational symmetry. We assign these modes to \(A_{1g}\) symmetry and the twofold modulation of intensity to a small deviation of the \(k\) vector from the \(c\) axis, i.e., a slight canting of the sample surface.

In a previous investigation of a series of \(\text{Zn}_x\text{Cu}_{1-x}\text{(OH)}_6\text{Cl}_2\) powder samples we stated a linear dependence of the phonon mode at 702(697) cm\(^{-1}\) on composition.\(^{24}\) This allows us to estimate \(x = 0.8\) for the natural and \(x = 1.0\) for the synthesized single crystal, respectively. The synthesized single crystal is therefore in the regime of herbertsmithite stoichiometry. Still, the natural single crystal is reasonably close to this state in the sense that thermodynamic and spectroscopic experiments did not observe evidence for long-range ordering for \(x = 0.8\).\(^{17}\) Studying the two different samples should therefore allow determining the influence of spin defects and static doping on the spin dynamics.

A less intense, asymmetric mode with Fano line shape and \(A_{1g}\) symmetry is observed at 230 cm\(^{-1}\). This mode shows an even smaller intensity in the powder samples. Its line shape may originate from the coupling of the corresponding phonon to a continuum of states. As its energy range is within the energy range of spin fluctuations\(^{25}\) and the compound is an insulator the corresponding continuum is attributed to magnetic fluctuations. The mode itself is probably induced by crystallographic disorder as the larger intensity modes are already exhaust the total number of Raman active modes.

At low frequency and for high temperatures there is a pronounced quasielastic scattering (QES) contribution visible with a twofold rotational anisotropy [Fig. 1(b)] that is similar to the phonon modes at 123, 148, and 702(697) cm\(^{-1}\), identified as \(A_{1g}\) phonons. Therefore we assign the continuum to the same \(A_{1g}\) component. At low temperatures (below \(T=50\) K) the quasielastic scattering is completely depressed as demonstrated in Figs. 2(a) and 2(b) for the case of the natural sample and Fig. 2(c) for the synthesized one.

An analysis of the QES (black dots) at 295 K is given in Fig. 3(a) together with a Lorentzian (solid red line) and a Gaussian (dashed green line) fit. The data are in better agreement with the Lorentzian line shape. Figure 3(b) shows the temperature development of the QES intensity in the synthesized (black dots) and the natural crystal (blue triangles). The decrease in intensity with decreasing temperature can be fitted with power laws (red and green curves). The observed exponent varies from \(I(\omega \rightarrow 0, T) \sim T^{1.49 \pm 0.21}\) to \(I(\omega \rightarrow 0, T) \sim T^{4.05 \pm 0.24}\) for the synthesized and the natural sample, respectively.

With decreasing temperatures we observe a moderate reduction in linewidth of all phonon modes, see Fig. 2(a). We attributed this to the decrease in anharmonic phonon-scattering processes or other fluctuations. The integrated intensity of the asymmetric Fano line shape at 230 cm\(^{-1}\) is increasing strongly; the intensity at 10 K is about 5\(\times\) stronger compared to 120 K. In the synthesized sample this increase is only half that strong. At the same time, the linewidth of this mode is decreasing steadily before saturating

**FIG. 2.** (Color online) (a) Temperature dependence of Raman spectra of the natural sample in the frequency regime 30–725 cm\(^{-1}\) and in parallel \(x x\) polarization. Spectra are shifted by 75 arb. units from each other for clarity. (b) and (c) show the Bose corrected spectra for the natural crystal and the synthesized sample, respectively, with phonon modes subtracted (dots) together with a fit to the background. The dashed black lines in (b) and (c) correspond to the fitted background in crossed \(x y\) polarization at 5 K. The spectra at \(T=295\) K in (b) and (c) are shifted in intensity by 50 and 300 arb. units, respectively.

**FIG. 3.** (Color online) (a) Fit of Lorentzian (solid red line) vs Gaussian (dashed green line) line shapes to the data (black dots) of the natural sample at low frequency and \(T=295\) K. The phonon modes at 123 and 148 cm\(^{-1}\) are subtracted. (b) Temperature dependence of the intensity of the QES in the synthesized crystal (black circles) and the natural sample (blue triangles). The dotted (dashed) line is a fit to the data using a power law according to \(I \sim T^{3/2}\) (\(I \sim T^6\)) for the synthesized (natural) sample as described in the text.
for temperatures below approximately 70 K. With decreasing temperatures also the A_{1g} phonons at 123 and 148 cm$^{-1}$ gain a Fano line shape.

At low temperatures a broad, finite-energy maximum is observed with increasing scattering intensity. This continuum is observed for both the natural as well as the synthesized single crystal, as shown in Figs. 2(b) and 2(c). For the synthesized sample the maximum position is higher ($E_{\text{max}} = 265$ cm$^{-1} = 2.1J$) compared to the natural one (215 cm$^{-1} = 1.7J$). In addition, the synthesized sample also shows a more pronounced decrease in scattering intensity toward higher energies. We attribute the broad continuum in ZnCu$_3$(OH)$_6$Cl$_2$ to scattering on magnetic correlations as it resembles two-magnon scattering of a quantum antiferromagnet in the paramagnetic state. Further below we will discuss and compare its properties in detail with existing theories. The dashed lines in Figs. 2(b) and 2(c) show the corresponding results in crossed polarization. There are similar maxima and frequency shifts comparing the two sample qualities. However the onset of the scattering is shifted to higher energy for the natural sample.

We observe a larger spectral weight of the continuum in the synthesized sample, especially in xx polarization. For both samples there is less spectral weight at low energies in xy polarization. This suggests the possibility of decomposing the spectral weight into a low- and a high-energy part, to which the allowed symmetry channels A_{1g}, A_{2g}, and E_g contribute to varying extent. To estimate their magnitudes we investigate the rotational anisotropies of these signals in Fig. 5. It is important to note that we observe no further signal that could be interpreted as of magnetic origin. In particular, there are no sharp modes at low energies. This statement is assured down to energy scales of approximately 5–10 cm$^{-1}$ as no upturn is visible at the edge of the experimentally accessible energy window.

Figure 4(a) zooms into the low-frequency regime (up to 100 cm$^{-1}$) at low temperature ($T=5$ K). There is a linear frequency dependence toward the maximum and we can use its slope as a measurand of the integrated intensity, less sensitive to fluorescence backgrounds. In Fig. 4(b) the slope of the low-energy scattering is plotted as function of temperature. It increases approximately linearly with decreasing temperature ($T < 50$ K). Both samples show this linear temperature dependence. However at higher temperatures the synthesized sample has again the more pronounced $T$ dependence as shown in Fig. 4(c). Such a linear increase in scattering intensity is anomalous and not compatible with the expected Bose factor. However, it is frequently observed for magnetic Raman scattering in low-dimensional spin systems that are close to quantum critical.

Finally, in Fig. 5 we probe the rotational anisotropy of the low-energy slope in xx and xy polarizations (shown in a) and the rotational anisotropy of the intensity of the broad continuum (shown in b) in the natural sample at $T=5$ K. The low-energy slope in (a) shows a fourfold E_g-like symmetry in parallel polarization while in crossed polarization the rotational anisotropy is rather twofold or of mixed character. The intensity of the broad continuum in (b) was obtained by integrating over the signal, starting from 200 cm$^{-1}$ to rule out contributions from the low-energy slope. It shows slight anisotropies in both xx and xy polarizations.

IV. DISCUSSION

In the following we will first discuss the QES observed at high temperatures followed by the implications of the low-temperature data at intermediate and high energies. As mentioned above, the most characteristic feature of the QES is its strong depression of intensity with decreasing temperatures. Such a scattering is frequently observed in compounds that realize low-dimensional spin systems, as spin chains or frustrated dimer systems. It is related to energy-density fluctuations that couple via spin-phonon coupling to the lattice and can be mapped on the specific heat $C_m$ of the spin.
system, $I(\omega \rightarrow 0, T = C_0T^2)$. Its line shape and symmetry should be Lorentzian and of $A_{1g}$ symmetry, respectively. The fit to the data given in Fig. 3 follows all these predictions very well. Again, the rotational invariance is distorted with twofold symmetry but within experimental error identical to the one of the phonon modes identified as belonging to the $A_{1g}$ symmetry representation. 

From the temperature dependence of the quasielastic scattering intensity $I(\omega \rightarrow 0, T)$ we can derive information on the evolution of low-energy excitations. In, e.g., the Shastry-Sutherland compound SrCu$_2$(BO$_3$)$_2$, the exponential drop of the respective intensity has been used to derive a spin gap. The power-lawlike temperature dependence in ZnCu$_3$(OH)$_5$Cl$_2$ differs clearly from that and implies a spin liquid with more gradually evolving correlations. We at-

We will now discuss the three most recent theoretical investigations (using different approaches and techniques) of finite energy, magnetic Raman scattering in a kagome system and their implications. As was suggested by Cépas et al., the formation of a valence bond crystal leads to the reduced number of defects leading to a much more gradual evolution of spin correlations. 

We can decompose the experimentally observed scattering continuum into two contributions both attributed to specific spin liquid excitations. The rotation dependence of the low-energy slope [Fig. 5(a)] shows in $xx$ polarization a clear $E_g$ like contribution while in the $xy$ configuration it is oval shaped, most probably a mix of both $E_g$ and $A_{2g}$ symmetries. The dominance of the $E_g$ channel at frequencies below 100 cm$^{-1}$ is in contrast to theory. The rotation dependence of the overall continuum’s intensity [Fig. 5(b)] shows a weak asymmetry in both $xx$ and $xy$ configurations for the natural and the synthesized sample. This anisotropy has no dominant fourfold contribution. However, a superposition of a fourfold and a twofold contribution leads to a good description of the scattering intensity. We conclude that the $A_{1g}$ for $xx$ and the $A_{2g}$ for $xy$ symmetry channels are major contributions for the continuum at an energy of $\approx 2J$. These components are proposed to be due to higher order perturbation theory. Comparing our experimental data in crossed polarization to the data in parallel polarization, no clear separation of the respective spectral weight is evident.

This behavior is in clear contrast to the phonon Raman scattering, where modes of $A_{1g}$ and $E_g$ show a pronounced selection rule and different in-plane anisotropy. The observed Fano-type line shape of phonons is also only observed for modes with $A_{1g}$ symmetry. On the other hand, phonon and magnetic scattering differ within two respects that in the theoretical literature on the herbertsmithite are jointly discussed. One is related to defects, which for the local spin excitations play a different role than for $q = 0$ optical phonons with large coherence length. The second aspect is DM interaction, which mixes singlet and triplet excitations. DM interaction and structural defects as intersite mixing will allow, further enhance and possibly mix $A_{1g}$ and $A_{2g}$ contributions, while spin defects in the plane reduce the scattering intensity. Therefore, a clear assignment of contributions from the $A_{1g}$, $A_{2g}$, and $E_g$ channels to the background is not straightforward. Still, the energy range and general shape of the observed background are in very good agreement with the above mentioned calculations of Ko et al.

The effects of impurities in our herbertsmithite samples should be considered, and these effects should be more pronounced for the natural sample ($x = 0.8$). While keeping the kagome plane intact, Cu atoms in the intermediate layer may induce lattice deformations due to Jahn-Teller distortion, as well as additional magnetic coupling to the kagome Cu atoms. Furthermore, the effect of nonmagnetic impurities (i.e., Zn ions substituting Cu in the kagome layer) in quantum spin systems with dedicated low-energy excitations can be exemplified using the frustrated dimer system SrCu$_2$(BO$_3$)$_2$, where 1–2% of Zn doping on Cu broadens the low-energy excitations and considerably redistributes their spectral weight.

Finally, Liučhi and Lhuillier calculated dynamical singlet fluctuations on the kagome lattice using large-scale exact diagonalizations on a 36-site lattice. The results show a broad continuum extending over a range of 2–3J. There is also a pronounced intensity shooting up at lowest energies, $\omega / J \approx 0.2 \approx 25$ cm$^{-1}$, caused by a high density of low-energy singlet and triplet excitations.

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sized sample. Such a reduction in continuum scattering intensity is indeed observed, as well as a softening of about 20%.

V. CONCLUSION

Our Raman data give further evidence that the algebraic, gapless spin liquid is the ground state of the Heisenberg $s = 1/2$ kagome lattice antiferromagnet. This is based on the observation of a power-law depletion of thermally induced fluctuations of the magnetic energy density with decreasing temperature and scattering continua in the low- and mid-energy range. We give estimates of the contributions to these scattering continua to different symmetry components and conclude that except for the low-energy continuum in $xx$ configuration, no strong $E_g$ contribution has been observed.

Our data lead to the conclusion that the kagome plane in the herbertsmithite has short-range and continuous correlations that form a maximum (at $\approx 2J$) with no clear cutoff but a moderate suppression at higher energy scales (at $\approx 6J$). The overall energy range and shape of the continua agrees well with recent calculations for a spin liquid state by Ko.\textsuperscript{21}

Presently the role of impurities in the single crystal, i.e., antisite disorder and local, defectlike interlayer interactions, is difficult to evaluate. Comparing the cleaner, synthesized with the natural sample a weak suppression of the continuum intensity and its frequency shift is evident. As no sharp, low-energy modes are observed their relation to disorder remains an open issue.

ACKNOWLEDGMENTS

This work was supported by DFG and the ESF program Highly Frustrated Magnetism. We would like to thank W. Brening, O. Cépas, K.-Y. Choi, P. Lee, C. Lhuillier, E. Sherman, R. Singh, O. Tchernyshyov, and M. de Vries for important discussions. We acknowledge support from B-IGSM of the TU-BS, ESF-HFM, and DFG. The work at MIT was supported by the Department of Energy (DOE) under Grant No. DE-FG02-04ER46134.

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