Abstract

In this paper, we address the task of mapping high-level instructions to sequences of commands in an external environment. Processing these instructions is challenging—they posit goals to be achieved without specifying the steps required to complete them. We describe a method that fills in missing information using an automatically derived environment model that encodes states, transitions, and commands that cause these transitions to happen. We present an efficient approximate approach for learning this environment model as part of a policy-gradient reinforcement learning algorithm for text interpretation. This design enables learning for mapping high-level instructions, which previous statistical methods cannot handle.\(^1\)

1 Introduction

In this paper, we introduce a novel method for mapping high-level instructions to commands in an external environment. These instructions specify goals to be achieved without explicitly stating all the required steps. For example, consider the first instruction in Figure 1 — “open control panel.” The three GUI commands required for its successful execution are not explicitly described in the text, and need to be inferred by the user. This dependence on domain knowledge makes the automatic interpretation of high-level instructions particularly challenging.

The standard approach to this task is to start with both a manually-developed model of the environment, and rules for interpreting high-level instructions in the context of this model (Agre and Chapman, 1988; Di Eugenio and White, 1992; Di Eugenio, 1992; Webber et al., 1995). Given both the model and the rules, logic-based inference is used to automatically fill in the intermediate steps missing from the original instructions.

Our approach, in contrast, operates directly on the textual instructions in the context of the interactive environment, while requiring no additional information. By interacting with the environment and observing the resulting feedback, our method automatically learns both the mapping between the text and the commands, and the underlying model of the environment. One particularly noteworthy aspect of our solution is the interplay between the evolving mapping and the progressively acquired environment model as the system learns how to interpret the text. Recording the state transitions observed during interpretation allows the algorithm to construct a relevant model of the environment. At the same time, the environment model enables the algorithm to consider the consequences of commands before they are executed, thereby improving the accuracy of interpretation. Our method efficiently achieves both of these goals as part of a policy-gradient reinforcement learning algorithm.

We apply our method to the task of mapping software troubleshooting guides to GUI actions in the Windows environment (Branavan et al., 2009; Kushman et al., 2009). The key findings of our experiments are threefold. First, the algorithm can accurately interpret 61.5% of high-level instructions, which cannot be handled by previous statistical systems. Second, we demonstrate that explicitly modeling the environment also greatly improves the accuracy of processing low-level instructions, yielding a 14% absolute increase in performance over a competitive baseline (Branavan et al., 2009). Finally, we show the importance of constructing an environment model relevant to the language interpretation task — using textual

\(^1\)Code, data, and annotations used in this work are available at http://groups.csail.mit.edu/rbg/code/el-hli/
instructions enables us to bias exploration toward transitions relevant for language learning. This approach yields superior performance compared to a policy that relies on an environment model constructed via random exploration.

2 Related Work

Interpreting Instructions. Our approach is most closely related to the reinforcement learning algorithm for mapping text instructions to commands developed by Branavan et al. (2009) (see Section 4 for more detail). Their method is predicated on the assumption that each command to be executed is explicitly specified in the instruction text. This assumption of a direct correspondence between the text and the environment is not unique to that paper, being inherent in other work on grounded language learning (Siskind, 2001; Oates, 2001; Yu and Ballard, 2004; Fleischman and Roy, 2005; Mooney, 2008; Liang et al., 2009; Matuszek et al., 2010). A notable exception is the approach of Eisenstein et al. (2009), which learns how an environment operates by reading text, rather than learning an explicit mapping from the text to the environment. For example, their method can learn the rules of a card game given instructions for how to play.

Many instances of work on instruction interpretation are replete with examples where instructions are formulated as high-level goals, targeted at users with relevant knowledge (Winograd, 1972; Di Eugenio, 1992; Webber et al., 1995; MacMahon et al., 2006). Not surprisingly, automatic approaches for processing such instructions have relied on hand-engineered world knowledge to reason about the preconditions and effects of environment commands. The assumption of a fully specified environment model is also common in work on semantics in the linguistics literature (Lascarides and Asher, 2004). While our approach learns to analyze instructions in a goal-directed manner, it does not require manual specification of relevant environment knowledge.

Reinforcement Learning. Our work combines ideas of two traditionally disparate approaches to reinforcement learning (Sutton and Barto, 1998). The first approach, model-based learning, constructs a model of the environment in which the learner operates (e.g., modeling location, velocity, and acceleration in robot navigation). It then computes a policy directly from the rich information represented in the induced environment model. In the NLP literature, model-based reinforcement learning techniques are commonly used for dialog management (Singh et al., 2002; Lemon and Konstas, 2009; Schatzmann and Young, 2009). However, if the environment cannot be accurately approximated by a compact representation, these methods perform poorly (Boyan and Moore, 1995; Jong and Stone, 2007). Our instruction interpretation task falls into this latter category, rendering standard model-based learning ineffective.

The second approach – model-free methods such as policy learning – aims to select the opti-

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For example, in the Windows GUI domain, clicking on the File menu will result in a different submenu depending on the application. Thus it is impossible to predict the effects of a previously unseen GUI command.
Figure 2: A single step in the instruction mapping process formalized as an MDP. State $s$ is comprised of the state of the external environment $\mathcal{E}$, and the state of the document $(d, W)$, where $W$ is the list of all word spans mapped by previous actions. An action $a$ selects a span $W_a$ of unused words from $(d, W)$, and maps them to an environment command $c$. As a consequence of $a$, the environment state changes to $\mathcal{E}' \sim p(\mathcal{E}'|\mathcal{E}, c)$, and the list of mapped words is updated to $W' = W \cup W_a$.

mal action at every step, without explicitly constructing a model of the environment. While policy learners can effectively operate in complex environments, they are not designed to benefit from a learned environment model. We address this limitation by expanding a policy learning algorithm to take advantage of a partial environment model estimated during learning. The approach of conditioning the policy function on future reachable states is similar in concept to the use of post-decision state information in the approximate dynamic programming framework (Powell, 2007).

3 Problem Formulation

Our goal is to map instructions expressed in a natural language document $d$ into the corresponding sequence of commands $\vec{c} = \langle c_1, \ldots, c_m \rangle$ executable in an environment. As input, we are given a set of raw instruction documents, an environment, and a reward function as described below.

The environment is formalized as its states and transition function. An environment state $\mathcal{E}$ specifies the objects accessible in the environment at a given time step, along with the objects’ properties. The environment state transition function $p(\mathcal{E}'|\mathcal{E}, c)$ encodes how the state changes from $\mathcal{E}$ to $\mathcal{E}'$ in response to a command $c$. During learning, this function is not known, but samples from it can be collected by executing commands and observing the resulting environment state. A real-valued reward function measures how well a command sequence $\vec{c}$ achieves the task described in the document.

We posit that a document $d$ is composed of a sequence of instructions, each of which can take one of two forms:

- **Low-level instructions**: these explicitly describe single commands. E.g., “double click system” in Figure 1.
- **High-level instructions**: these correspond to a sequence of one or more environment commands, none of which are explicitly described by the instruction. E.g., “open control panel” in Figure 1.

4 Background

Our innovation takes place within a previously established general framework for the task of mapping instructions to commands (Branavan et al., 2009). This framework formalizes the mapping process as a Markov Decision Process (MDP) (Sutton and Barto, 1998), with actions encoding individual instruction-to-command mappings, and states representing partial interpretations of the document. In this section, we review the details of this framework.

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3Previous work (Branavan et al., 2009) is only able to handle low-level instructions.
States and Actions A document is interpreted by incrementally constructing a sequence of actions. Each action selects a word span from the document, and maps it to one environment command. To predict actions sequentially, we track the states of the environment and the document over time as shown in Figure 2. This mapping state $s$ is a tuple $(E,d,W)$ where $E$ is the current environment state, $d$ is the document being interpreted, and $W$ is the list of word spans selected by previous actions. The mapping state $s$ is observed prior to selecting each action.

The mapping action $a$ is a tuple $(c,W_a)$ that represents the joint selection of a span of words $W_a$ and an environment command $c$. Some of the candidate actions would correspond to the correct instruction mappings, e.g., $(c=\text{double-click system}, W_a=\text{“double click system”})$. Others such as $(c=\text{left-click system}, W_a=\text{“double click system”})$ would be erroneous. The algorithm learns to interpret instructions by learning to construct sequences of actions that assign the correct commands to the words.

The interpretation of a document $d$ begins at an initial mapping state $s_0 = (E_d, d, \emptyset), E_d$ being the starting state of the environment for the document. Given a state $s = (E,d,W)$, the space of possible actions $a = (c,W_a)$ is defined by enumerating sub-spans of unused words in $d$ and candidate commands in $E$. The action to execute, $a$, is selected based on a policy function $p(a|s)$ by finding $\arg\max_a p(a|s)$. Performing action $a$ in state $s = (E,d,W)$ results in a new state $s'$ according to the distribution $p(s'|s,a)$, where:

$$a = (c,W_a),$$
$$E' \sim p(E'|E,c),$$
$$W' = W \cup W_a,$$
$$s' = (E',d,W').$$

The process of selecting and executing actions is repeated until all the words in $d$ have been mapped.

A Log-Linear Parameterization The policy function used for action selection is defined as a log-linear distribution over actions:

$$p(a|s; \theta) = \frac{e^{\theta \cdot \phi(s,a)}}{\sum_{a'} e^{\theta \cdot \phi(s,a')}}, \quad (1)$$

where $\theta \in \mathbb{R}^n$ is a weight vector, and $\phi(s,a) \in \mathbb{R}^n$ is an $n$-dimensional feature function. This representation has the flexibility to incorporate a variety of features computed on the states and actions.

Reinforcement Learning Parameters of the policy function $p(a|s; \theta)$ are estimated to maximize the expected future reward for analyzing each document $d \in D$:

$$\theta = \arg\max_\theta \mathbb{E}_{p(h|\theta)}[r(h)], \quad (2)$$

where $h = (s_0, a_0, \ldots, s_{m-1}, a_{m-1}, s_m)$ is a history that records the analysis of document $d$, $p(h|\theta)$ is the probability of selecting this analysis given policy parameters $\theta$, and the reward $r(h)$ is a real valued indication of the quality of $h$.

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5Here, command reordering is possible. At each step, the span of selected words $W_a$ is not required to be adjacent to the previous selections. This reordering is used to interpret sentences such as “Select exit after opening the File menu.”

6To account for document words that are not part of an instruction, $c$ may be a null command.
5 Algorithm

We expand the scope of learning approaches for automatic document interpretation by enabling the analysis of high-level instructions. The main challenge in processing these instructions is that, in contrast to their low-level counterparts, they correspond to sequences of one or more commands. A simple way to enable this one-to-many mapping is to allow actions that do not consume words (i.e., \( |W_a| = 0 \)). The sequence of actions can then be constructed incrementally using the algorithm described above. However, this change significantly complicates the interpretation problem – we need to be able to predict commands that are not directly described by any words, and allowing action sequences significantly increases the space of possibilities for each instruction. Since we cannot enumerate all possible sequences at decision time, we limit the space of possibilities by learning which sequences are likely to be relevant for the current instruction.

To motivate the approach, consider the decision problem in Figure 3, where we need to find a command sequence for the high-level instruction “open control panel.” The algorithm focuses on command sequences leading to environment states where the control panel icon was previously observed. The information about such states is acquired during exploration and is stored in a partial environment transition model \( q(E' | E, c) \).

Our goal is to map high-level instructions to command sequences by leveraging knowledge about the long-term effects of commands. We do this by integrating the partial environment model into the policy function. Specifically, we modify the log-linear policy \( p(a | s; q, \theta) \) by adding look-ahead features \( \phi(s, a, q) \) which complement the local features used in the previous model. These look-ahead features incorporate various measurements that characterize the potential of future states reachable via the selected action. Although primarily designed to analyze high-level instructions, this approach is also useful for mapping low-level instructions.

Below, we first describe how we estimate the partial environment transition model and how this model is used to compute the look-ahead features. This is followed by the details of parameter estimation for our algorithm.

5.1 Partial Environment Transition Model

To compute the look-ahead features, we first need to collect statistics about the environment transition function \( p(E' | E, c) \). An example of an environment transition is the change caused by clicking on the “start” button. We collect this information through observation, and build a partial environment transition model \( q(E' | E, c) \).

One possible strategy for constructing \( q \) is to observe the effects of executing random commands in the environment. In a complex environment, however, such a strategy is unlikely to produce state samples relevant to our text analysis task. Instead, we use the training documents to guide the sampling process. During training, we execute the command sequences predicted by the policy function in the environment, caching the resulting state transitions. Initially, these commands may have little connection to the actual instructions. As learning progresses and the quality of the interpretation improves, more promising parts of the environment will be observed. This process yields samples that are biased toward the content of the documents.

5.2 Look-Ahead Features

We wish to select actions that allow for the best follow-up actions, thereby finding the analysis with the highest total reward for a given document. In practice, however, we do not have information about the effects of all possible future actions. Instead, we capitalize on the state transitions observed during the sampling process described above, allowing us to incrementally build an environment model of actions and their effects.

Based on this transition information, we can estimate the usefulness of actions by considering the properties of states they can reach. For instance, some states might have very low immediate reward, indicating that they are unlikely to be part of the best analysis for the document. While the usefulness of most states is hard to determine, it correlates with various properties of the state. We encode the following properties as look-ahead features in our policy:

- The highest reward achievable by an action sequence passing through this state. This property is computed using the learned environment model, and is therefore an approximation.
The length of the above action sequence.

The average reward received at the environment state while interpreting any document. This property introduces a bias towards commonly visited states that frequently recur throughout multiple documents’ correct interpretations.

Because we can never encounter all states and all actions, our environment model is always incomplete and these properties can only be computed based on partial information. Moreover, the predictive strength of the properties is not known in advance. Therefore we incorporate them as separate features in the model, and allow the learning process to estimate their weights. In particular, we select actions \( a \) based on the current state \( s \) and the partial environment model \( q \), resulting in the following policy definition:

\[
p(a|s; q, \theta) = \frac{e^{\theta \cdot \phi(s, a, q)}}{\sum_{a'} e^{\theta \cdot \phi(s, a', q)}},
\]

where the feature representation \( \phi(s, a, q) \) has been extended to be a function of \( q \).

5.3 Parameter Estimation

The learning algorithm is provided with a set of documents \( d \in D \), an environment in which to execute command sequences \( \tilde{c} \), and a reward function \( r(h) \). The goal is to estimate two sets of parameters: 1) the parameters \( \theta \) of the policy function, and 2) the partial environment transition model \( q(E'|E, c) \), which is the observed portion of the true model \( p(E'|E, c) \). These parameters are mutually dependent: \( \theta \) is defined over a feature space dependent on \( q \), and \( q \) is sampled according to the policy function parameterized by \( \theta \).

Algorithm 1 shows the procedure for joint learning of these parameters. As in standard policy gradient learning (Sutton et al., 2000), the algorithm iterates over all documents \( d \in D \) (steps 1, 2), selecting and executing actions in the environment (steps 3 to 6). The resulting reward is used to update the parameters \( \theta \) (steps 8, 9). In the new joint learning setting, this process also yields samples of state transitions which are used to estimate \( q(E'|E, c) \) (step 7). This updated \( q \) is then used to compute the feature functions \( \phi(s, a, q) \) during the next iteration of learning (step 4). This process is repeated until the total reward on training documents converges.

Input: A document set \( D \).
Feature function \( \phi \).
Reward function \( r(h) \).
Number of iterations \( T \).

Initialization: Set \( \theta \) to small random values.
Set \( q \) to the empty set.

1. for \( i = 1 \ldots T \) do
2. foreach \( d \in D \) do
3. Sample history \( h \sim p(h|\theta) \) where \( h = (s_0, a_0, \ldots, a_{n-1}, s_n) \) as follows:
4. Initialize environment to document specific starting state \( E_d \)
5. for \( t = 0 \ldots n - 1 \) do
6. Compute \( \phi(a, s_t, q) \) based on latest \( q \)
7. Sample action \( a_t \sim p(a|s_t; q, \theta) \)
8. Execute \( a_t \) on state \( s_t \) \( s_{t+1} \sim p(s|s_t, a_t) \)
9. Set \( q = q \cup \{(E', E, c)\} \) where \( E', E, c \) are the environment states and commands from \( s_{t+1}, s_t \), and \( a_t \)
end
end

\[
\Delta \leftarrow \sum_{t} \left[ \phi(s_t, a_t, q) - \sum_{a'} \phi(s_t, a', q) p(a'|s_t; q, \theta) \right]
\]

\[
\theta \leftarrow \theta + r(h) \Delta
\]
end

Output: Estimate of parameters \( \theta \)

This algorithm capitalizes on the synergy between \( \theta \) and \( q \). As learning proceeds, the method discovers a more complete state transition function \( q \), which improves the accuracy of the look-ahead features, and ultimately, the quality of the resulting policy. An improved policy function in turn produces state samples that are more relevant to the document interpretation task.

6 Applying the Model

We apply our algorithm to the task of interpreting help documents to perform software related tasks (Branavan et al., 2009; Kushman et al., 2009). Specifically, we consider documents from Microsoft’s Help and Support website. As in prior work, we use a virtual machine set-up to allow our method to interact with a Windows 2000 environment.

Environment States and Actions In this application of our model, the environment state is the set of visible user interface (UI) objects, along

\[\text{http://support.microsoft.com/}\]
with their properties (e.g., the object’s label, parent window, etc). The environment commands consist of the UI commands left-click, right-click, double-click, and type-into. Each of these commands requires a UI object as a parameter, while type-into needs an additional parameter containing the text to be typed. On average, at each step of the interpretation process, the branching factor is 27.14 commands.

**Reward Function** An ideal reward function would be to verify whether the task specified by the help document was correctly completed. Since such verification is a challenging task, we rely on a noisy approximation: we assume that each sentence specifies at least one command, and that the text describing the command has words matching the label of the environment object. If a history $h$ has at least one such command for each sentence, the environment reward function $r(h)$ returns a positive value, otherwise it returns a negative value. This environment reward function is a simplification of the one described in Branavan et al. (2009), and it performs comparably in our experiments.

**Features** In addition to the look-ahead features described in Section 5.2, the policy also includes the set of features used by Branavan et al. (2009). These features are functions of both the text and environment state, modeling local properties that are useful for action selection.

### 7 Experimental Setup

**Datasets** Our model is trained on the same dataset used by Branavan et al. (2009). For testing we use two datasets: the first one was used in prior work and contains only low-level instructions, while the second dataset is comprised of documents with high-level instructions. This new dataset was collected from the Microsoft Help and Support website, and has on average 1.03 high-level instructions per document. The second dataset contains 60 test documents, while the first is split into 70, 18 and 40 document for training, development and testing respectively. The combined statistics for these datasets is shown below:

<table>
<thead>
<tr>
<th>Feature</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total # of documents</td>
<td>188</td>
</tr>
<tr>
<td>Total # of words</td>
<td>7448</td>
</tr>
<tr>
<td>Vocabulary size</td>
<td>739</td>
</tr>
<tr>
<td>Avg. actions per document</td>
<td>10</td>
</tr>
</tbody>
</table>

**Reinforcement Learning Parameters** Following common practice, we encourage exploration during learning with an $\epsilon$-greedy strategy (Sutton and Barto, 1998), with $\epsilon$ set to 0.1. We also identify dead-end states, i.e. states with the lowest possible immediate reward, and use the induced environment model to encourage additional exploration by lowering the likelihood of actions that lead to such dead-end states.

During the early stages of learning, experience gathered in the environment model is extremely sparse, causing the look-ahead features to provide poor estimates. To speed convergence, we ignore these estimates by disabling the look-ahead features for a fixed number of initial training iterations.

Finally, to guarantee convergence, stochastic gradient ascent algorithms require a learning rate schedule. We use a modified search-then-converge algorithm (Darken and Moody, 1990), and tie the learning rate to the ratio of training documents that received a positive reward in the current iteration.

**Baselines** As a baseline, we compare our method against the results reported by Branavan et al. (2009), denoted here as BCZB09.

As an upper bound for model performance, we also evaluate our method using a reward signal that simulates a fully-supervised training regime. We define a reward function that returns positive one for histories that match the annotations, and zero otherwise. Performing policy-gradient with this function is equivalent to training a fully-supervised, stochastic gradient algorithm that optimizes conditional likelihood (Branavan et al., 2009).

**Evaluation Metrics** We evaluate the accuracy of the generated mapping by comparing it against manual annotations of the correct action sequences. We measure the percentage of correct actions and the percentage of documents where every action is correct. In general, the sequential nature of the interpretation task makes it difficult to achieve high action accuracy. For example, executing an incorrect action early on, often leads to an environment state from which the remaining instructions cannot be completed. When this happens, it is not possible to recover the remaining actions, causing cascading errors that significantly reduce performance.
8 Results

As shown in Table 1, our model outperforms the baseline on the two datasets, according to all evaluation metrics. In contrast to the baseline, our model can handle high-level instructions, accurately interpreting 62% of them in the second dataset. Every document in this set contains at least one high-level action, which on average, maps to 3.11 environment commands each. The overall action performance on this dataset, however, seems unexpectedly low at 42%. This discrepancy is explained by the fact that in this dataset, high-level instructions are often located towards the beginning of the document. If these initial challenging instructions are not processed correctly, the rest of the actions for the document cannot be interpreted.

As the performance on the first dataset indicates, the new algorithm is also beneficial for processing low-level instructions. The model outperforms the baseline by at least 14%, both in terms of the actions and the documents it can process. Not surprisingly, the best performance is achieved when the new algorithm has access to manually annotated data during training.

We also performed experiments to validate the intuition that the partial environment model must contain information relevant for the language interpretation task. To test this hypothesis, we replaced the learned environment model with one of the same size gathered by executing random commands. The model with randomly sampled environment transitions performs poorly: it can only process 4.6% of documents and 15% of actions on the dataset with high-level instructions, compared to 28.3% and 41.9% respectively for our algorithm. This result also explains why training with full supervision hurts performance on high-level instructions (see Table 1). Learning directly from annotations results in a low-quality environment model due to the relative lack of exploration, hurting the model’s ability to leverage the look-ahead features.

Finally, to demonstrate the quality of the learned word–command alignments, we evaluate our method’s ability to paraphrase from high-level instructions to low-level instructions. Here, the goal is to take each high-level instruction and construct a text description of the steps required to achieve it. We did this by finding high-level instructions where each of the commands they are associated with is also described by a low-level instruction in some other document. For example, if the text “open control panel” was mapped to the three commands in Figure 1, and each of those commands was described by a low-level instruction in some other document. For example, if the text “open control panel” was mapped to the three commands in Figure 1, and each of those commands was described by a low-level instruction in some other document. 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these paraphrases were correct, in the sense that they describe all the necessary commands. Figure 4 shows some examples of the automatically extracted paraphrases.

9 Conclusions and Future Work

In this paper, we demonstrate that knowledge about the environment can be learned and used effectively for the task of mapping instructions to actions. A key feature of this approach is the synergy between language analysis and the construction of the environment model: instruction text drives the sampling of the environment transitions, while the acquired environment model facilitates language interpretation. This design enables us to learn to map high-level instructions while also improving accuracy on low-level instructions.

To apply the above method to process a broad range of natural language documents, we need to handle several important semantic and pragmatic phenomena, such as reference, quantification, and conditional statements. These linguistic constructions are known to be challenging to learn—existing approaches commonly rely on large amounts of hand annotated data for training. An interesting avenue of future work is to explore an alternative approach which learns these phenomena by combining linguistic information with knowledge gleaned from an automatically induced environment model.

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