Magnetic impurity in a U(1) spin liquid with a spinon Fermi surface

The MIT Faculty has made this article openly available. Please share how this access benefits you. Your story matters.

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>As Published</td>
<td><a href="http://dx.doi.org/10.1103/PhysRevB.83.235119">http://dx.doi.org/10.1103/PhysRevB.83.235119</a></td>
</tr>
<tr>
<td>Publisher</td>
<td>American Physical Society</td>
</tr>
<tr>
<td>Version</td>
<td>Final published version</td>
</tr>
<tr>
<td>Accessed</td>
<td>Sun Apr 07 09:25:13 EDT 2019</td>
</tr>
<tr>
<td>Citable Link</td>
<td><a href="http://hdl.handle.net/1721.1/66096">http://hdl.handle.net/1721.1/66096</a></td>
</tr>
<tr>
<td>Terms of Use</td>
<td>Article is made available in accordance with the publisher's policy and may be subject to US copyright law. Please refer to the publisher's site for terms of use.</td>
</tr>
<tr>
<td>Detailed Terms</td>
<td></td>
</tr>
</tbody>
</table>
Magnetic impurity in a $U(1)$ spin liquid with a spinon Fermi surface

P. Ribeiro

CFIF, Instituto Superior Técnico, Universidade Técnica de Lisboa, Av. Rovisco Pais, 1049-001 Lisboa, Portugal

P. A. Lee

Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

(Received 14 December 2010; revised manuscript received 6 April 2011; published 10 June 2011)

We address the problem of a magnetic impurity in a two-dimensional $U(1)$ spin liquid where the spinons have gapless excitations near the Fermi surface and are coupled to an emergent gapless gauge field. Using a large $N$ expansion, we analyze the strong coupling behavior and obtain the Kondo temperature which was found to be the same as for a Fermi liquid. In this approximation, we also study the specific heat and the magnetic susceptibility of the impurity. These quantities present no deviations from the Fermi liquid ones, consistent with the notion that the magnetic impurity is only sensitive to the local density of fermionic states.

DOI: 10.1103/PhysRevB.83.235119 PACS number(s): 71.27.+a, 71.10.Hf

I. INTRODUCTION

A large number of theoretical proposals for the low-energy description of spin-liquid phases consider fractionalized fermionic degrees of freedom, the spinons, carrying spin 1/2 but no electric charge, coupled to an emergent $U(1)$ gauge field, a gapless photon-like mode. The spinons are gapless having either nodal points or a Fermi surface. The latter case not only arises naturally in the slave particle approach to the $t - J$ model but also in other physical contexts such as the half-filled Landau level and the description of metals at a Pomeranchuk instability. It presents non-Fermi liquid behavior due to the strong interactions between the spinons and the gauge field that lead to a spectrum with no well-defined quasiparticles. This phase has a number of remarkable thermodynamical and transport properties, for example, at low temperatures, soft gauge modes contribute to the specific heat with a term proportional to $T^3$.

Magnetic impurities embedded in a parent material provide an experimental probe to the bulk properties and can help to discriminate between possible candidate phases. Moreover, in order to be observed experimentally, the system itself should be stable to a dilute density of such impurities. In a Fermi liquid, an antiferromagnetically coupled spin impurity leads to the well-known Kondo effect characterized by a crossover from the low-temperature strong coupled regime, where the magnetic moment of the impurity is completely screened by the bulk quasiparticles, to the high-temperature regime, where the impurity susceptibility follows a Curie law. This crossover occurs near the Kondo temperature which is an example of a dynamically generated energy scale. The study of impurities in different bulk phases has attracted much attention, in particular, for bosonic and algebraic spin liquids.

The purpose of the present work is to study the behavior of a magnetic impurity embedded in a $U(1)$ spin liquid with a Fermi surface. Being a charge insulator, this system still presents a Kondo-like behavior since the spin degrees of freedom are free to screen the magnetic impurity at low energies. We will pay special attention to the role of the gauge field, which is absent in the conventional Kondo problem. This paper is organized as follows: in Sec. II we describe the model and give some details of the $1/N$ expansion (Secs. II A and II B), the specific heat and the local spin susceptibilities are, respectively, computed in Secs. II C and II D. Finally, in Sec. III we conclude discussing the implications of our results.

II. METHODS

Starting from the $t - J$ model in 2D, the action describing the spin-liquid phase with a spinon Fermi surface coupled to a compact $U(1)$ gauge field can be obtained within the slave-boson formalism or using a slave-rotor representation, when fluctuations around the mean-field solution are considered. We assume that due to the presence of a large number of gapless fermions, the system is deconfined, i.e., one can consider a noncompact $U(1)$ gauge theory. The partition function is written as a path integral over the spinon Grassmannian fields $f_\sigma = \pm 1$ and the bosonic gauge fields $a_\sigma = (a_0, a)$ with action

$$S_{SL} = \int d^3 x \sum_{\sigma = \pm} \left\{ f_\sigma \left( \partial \tau + i a_0 \right) f_\sigma + \frac{1}{2m} \left( [\hat{\alpha} - i a] f_\sigma \right) \cdot \left( [\hat{\alpha} + i a] f_\sigma \right) - \frac{1}{b} i a_0 \right\},$$

where $b$ is the microscopic lattice volume and $m$ is the spinon mass. The integration over the temporal component of the gauge field, $a_0$, acts as an on-site chemical potential for the spinons enforcing $b \sum_\sigma f_\sigma^{-1} f_\sigma = 1$. We use the notation $\int d^3 x = \int_0^\beta d \tau \int d^2 x$.

At $x = 0$, the interaction with the magnetic impurity is given by $S_K = S_{Berry} + J_K b \int d \tau \ S_f(0) \cdot S$, where $S_{Berry}$ is the action of the free impurity spin, $J_K$ is the Kondo coupling, and $S_f(0) = f_\sigma^\dagger(0) \sigma_{a, \beta} f_\sigma(0)$. Using a fermionic representation for the impurity spin $S = c^\dagger_\alpha \sigma_{a, \beta} c_\alpha$, this term becomes $S_K = \int d \tau \sum_\sigma c^\dagger_\alpha(0) \sigma_{a, \beta} c_\alpha$, where $\lambda$ is an integration parameter inserted in order to enforce the constraint $\sum_\sigma c^\dagger_\alpha c_\alpha = 1$.

A. Large N expansion

Perturbative expansions for the Kondo problem are plagued with infrared logarithmic divergences signaling the fact that, for low energy, the system flows to a strong coupled fixed point where the impurity forms a singlet with the bulk electrons.

DOI: 10.1103/PhysRevB.83.235119 PACS number(s): 71.27.+a, 71.10.Hf
Even if resummation of the divergent terms is possible, this method is not well suited to describe the low-temperature phase. Alternatively, the large $N$ expansion reproduces the essential features of the Kondo effect in the strong coupling regime. However, for temperatures of the order of the Kondo temperature $T_K$, where a crossover to the asymptotic free regime is expected, this technique becomes unreliable due to the violation of the single occupancy constraint and instead predicts a continuous phase transition.\(^5\) Therefore, our results are restricted to the low-energy regime. For the $U(1)$ spin liquid, the large $N$ expansion corresponds to the random-phase approximation (RPA) used to obtain most of the physical predictions for this phase.\(^5\) Recently, the validity of this method applied to this specific problem was questioned\(^6\) since all planar diagrams were shown to be contributed to the leading order. A possible resolution was proposed in Ref.\(^ {17}\) using a double expansion to control higher loop contributions and essentially recovering the RPA result.

In order to perform a saddle-point expansion, we generalize the above action to $su(N)$ following the standard procedure.\(^8,15,18\): the Pauli matrices $\sigma = \{\sigma_1, \ldots, \sigma_3\}$ are replaced by the generators of $su(N) \tau = \{\tau_1, \ldots, \tau_{N^2-1}\}$ with the index $a = 1, \ldots, N^2 - 1$ and the coupling constant is rescaled $J_K \rightarrow \frac{1}{N} J_K$ to the impurity and spinon fermions. We proceed performing a saddle-point expansion in the large $N$ limit imposing the astatic ansatz $\kappa(\tau) = \kappa_0 \neq 0$, \(\lambda(\tau) = - i \varepsilon_c\), \(a_{\mu}(\tau) = \delta_{0,\mu} \mu\). At $T = 0$, the variations of the action in order to $a_{\mu}$, $\lambda$, and $\kappa$ give, respectively,

$$\frac{1}{\beta} \text{Tr} [G_0] = \frac{V Q_f}{b N}, \quad (7)$$

$$\frac{1}{\pi} \tan^{-1} \left( \frac{\Delta}{\varepsilon_c} \right) = \frac{Q_f}{N}, \quad (8)$$

$$n(0) b \ln \left( \frac{\Lambda}{\sqrt{\Delta^2 + \Delta^2}} \right) = \frac{1}{J_K}. \quad (9)$$

The first equation fixes the chemical potential $\mu$, where $G_0(\omega_n \mu, \mathbf{k}) = (\omega_n - \varepsilon_K)\gamma$ is the bare propagator of the spinons with single-particle energies $\varepsilon_k = \frac{1}{2\pi} k^2 - \mu$. $n(0) = \frac{\pi}{\beta}$ is the spinon density of states at the Fermi level and $\Lambda$ is a high-energy cutoff for the dispersion relation. The two last equations were obtained by Read and Newns for the Coqblin-Schrieffer Hamiltonian.\(^8\) In the limit where $\Lambda$ is much smaller than the Fermi energy but much larger than the other energy scales, the propagator of the impurity fermions is given by $G_0(\omega_n \mu, \mathbf{k}) = \frac{1}{\omega_n - \varepsilon_K + \text{Im} \Sigma_{\text{imp}}}$.[See Fig.\(^4(a)\)], where $\Delta = \pi n(0) \varepsilon_0^2 b$, corresponding to a Lorentzian density of states $\rho(\omega) = \frac{1}{\pi} \frac{\Delta}{1 - (\omega - \varepsilon_K)^2 / \Delta^2}$.

The saddle-point values, $\varepsilon_c$ and $\Lambda$, are thus the resonance position and the hybridization width, respectively. Identifying the phase shift of a bulk spinon scattered by the impurity $\delta_f(\omega) = \tan^{-1} \left( \frac{\varepsilon_c}{\omega + \varepsilon_K} \right)$, Eq. \(8\) is a particular example of the Friedel sum rule. Finally, Eq. \(9\) defines the Kondo energy scale $k_B T_K = \sqrt{\Delta^2 + \Delta^2}$. At zero order in $1/N$, there is no influence of the gauge field on the dynamics of the impurity.

A comment about the procedure is in order at this point. One could imagine starting with the bulk theory fixed point obtained in Ref.\(^17\), this would correspond first to renormalize the bulk propagator and then to introduce the impurity. However, since $1/N$ is the small parameter of our expansion entering in both the spinon and the impurity Hamiltonians, it is natural to start with the bare bulk action. The equivalence of both results can be checked replacing the bare spinon propagator by the interacting one.

### B. Fluctuations

Fluctuations due to the bosonic fields are obtained summing the fermionic bubbles in the RPA approximation. Without the Kondo term ($J_K = 0$), the propagator $D_{\mu\nu} = \sum_{\mu\nu}^{\text{imp}}$ of the longitudinal and transverse components of the gauge field is given by the density-density and current-current response functions. Using the Coulomb gauge $\mathbf{V} \cdot \mathbf{a} = 0$, the longitudinal part is fully gapped $\Pi_{00} \sim \frac{\pi}{\Omega}$, leading to screening, by the spinons, of a $U(1)$ test charge. Therefore, one can safely ignore the dynamics of $a_0$. The transverse component $\Pi_{ij} = \delta_{ij} - \frac{q a_\mu}{\Omega} \Pi$ is gapless and results from the Landau damping of the collective transverse modes by the gapless spinons. For $|\Omega_n| < \nu_f q$, we can write

$$\Pi (i \Omega_n, \mathbf{q}) = \gamma \frac{\Omega_n}{q} \chi q^2, \quad (10)$$

where $\gamma = \frac{\varepsilon_c}{\pi}$ and $\chi = \frac{1}{12\pi m}$ (see Ref.\(^ {19}\)).
Using the diagrammatic rules of Fig. 1, the bubble-like diagrams, including the transverse gauge as well as the $\kappa$ and $\lambda$ fluctuations, are given in Fig. 2 and are divided in impurity diagrams, mixed diagrams, and gauge diagrams. The transverse component of the gauge vertex is such that $q \times j = -\frac{1}{m} q \times k$.

The impurity diagrams, corresponding to the fluctuations of $\kappa$ and $\lambda$, were obtained in Ref. 18 and are given explicitly in Sec. II of the Appendix.

It is easy to prove that, due to parity considerations, all the diagrams including the pieces of Fig. 3(a) vanish (see Appendix). These include the mixed diagrams as well as the ones labeled by $Z$ in Fig. 2 and implies that, at this order in the $1/N$ expansion, the gauge and the impurity propagators decouple. The influence of impurity scattering on the gauge field enters only through the $X$ diagrams contribution:

$$\begin{align*}
X_{i,j}(\iota \Omega_a, -q) &= \frac{1}{\beta} \sum_n \int \frac{d\mathbf{k}}{(2\pi)^2} b_k^2 F_0(\iota \omega_n) G_0(\iota \omega_n, \mathbf{k} - \mathbf{q}) \\
&\times G_0(\iota \omega_n, \mathbf{k} - \mathbf{q}^\prime) \left\{ \frac{1}{m} + \frac{(\mathbf{k} \times \mathbf{q}) \cdot (\mathbf{k} \times \mathbf{q}^\prime)}{m^2 |\mathbf{q}||\mathbf{q}^\prime|} \right\} \\
&\times \left[ G_0(\iota \omega_{n+a}, \mathbf{k}) + G_0(\iota \omega_{n+a}, \mathbf{k}) \right].
\end{align*}$$

Taking into account all nonzero terms, the bosonic action (3), developed at Gaussian order, writes $s_b = s_0 + \frac{1}{N} (s_{\text{imp}} + s_a)$, where

$$s_0 = -\text{Tr} \ln \left[ -G_0^{-1} \right] - \text{Tr} \ln \left[ -F_0^{-1} \right] + \frac{\beta}{\kappa} \frac{q^2}{N} \beta_V \frac{Q_f}{N}$$

$$\times \{ \delta(q - q^\prime) \Pi(\iota \omega_n, a) + X(\iota \omega_n, -q, q^\prime) \},$$

where $a = \hat{q}_{\perp} a$ and $X_{i,j} = \langle \hat{q}_{\perp} \rangle \langle \hat{q}_{\perp} \rangle \cdot X$.

C. Specific heat capacity

We compute the specific heat considering the temperature dependence of the free energy defined as

$$F = -\frac{1}{\beta} \ln Z$$

$$= \frac{N}{\beta} \left\{ s_0 + \frac{1}{N} \left( \frac{1}{2} \text{Tr} \ln \Gamma + \frac{1}{2} \text{Tr} \ln [\Pi + \Gamma] \right) \right\}. \quad (13)$$

The $s_0$ term gives the contribution of the bulk fermionic spinons $C^{(\text{non})}_b = N V \sum V(0) T$ and the leading order impurity term $C^{(\text{imp})}_b = N V \rho(0) T$. Here $\rho(0) = \rho(v = 0)$ and $\rho(v)$ is the Lorentzian impurity density of states defined earlier. The $1/N$ terms carry the contributions from the bosonic degrees of freedom. For low temperature, all internal (fermionic) propagators of Fig. 2 can be computed at $T = 0$ and the temperature dependence is given by the bosonic degrees of freedom.\cite{18} The first next-to-leading order correction due to the impurity bosons [proportional to $T \text{Tr} \ln \Gamma$ in Eq. (13)] has been shown to give a correction to the impurity contribution to the specific heat.\cite{18} Defining $C^{(\text{imp})}_b = \gamma_{\text{imp}} T$, one obtains $\gamma_{\text{imp}} = (N - 1) \frac{2^2}{\beta} \rho(0)$ which can be interpreted as the suppression of one of the $N$ impurity degrees of freedom due to the presence of the constraint.

Since the fluctuations of the gauge and the impurity fields factorize, new phenomena can only arise from the $X$ corrections to the propagator of the gauge field. In a system, with a dilute number of magnetic scatterers, this term is of the order of the density of impurities, and in the present case of a single impurity, it is simply proportional.
The impurity-impurity susceptibility is given, at leading order in $1/N$, by the bubble diagram of Fig. 3(b). It is easy to prove that such contribution vanishes considering that one can rewrite it as

$$\frac{1}{\beta} \text{Tr}[\Pi^{-1}X] = \frac{1}{\beta} \sum_n \int \frac{d\mathbf{k}}{(2\pi)} 2bk_0^2 F_0(i\omega_n) G_0(i\omega_n,\mathbf{k}) \times G_0(i\omega_n,\mathbf{k}) \Sigma_f(i\omega_n) = 0, \quad (14)$$

where $\Sigma_f(i\omega_n)$ is the spinon self-energy\(^\text{17}\) given in Fig. 4(b). The vanishing of this contribution is a consequence of the independence of $\Sigma_f$ from the spinon momentum.

Thus, the only contribution to the specific heat due to the presence of the impurity is given by the correction to $\nu_{\text{imp}}$. All other terms vanish either by parity considerations or by using the above argument.

### D. Spin susceptibility

In this section, we consider the local spin-spin correlations at the impurity site and its different contributions coming from the impurity-impurity $X_{\text{imp,imp}}(\tau) = \langle S(\tau) \cdot S(0) \rangle$, impurity-spinon $X_{\text{imp,spinon}}(\tau) = \langle S(\tau) \cdot S_{f,(\tau,0)=0} \rangle$, and from the local spinon-spinon $X_{\text{spinon,spinon}}(\tau) = \langle S_{f,(\tau,0)=0} \rangle$ susceptibilities. In order to investigate the role of the impurity and gauge degrees of freedom, we consider the $1/N$ corrections of the propagators and external vertices.

Figure 4 shows, diagrammatically, the impurity and spinon propagators up to the order $1/N$. One can see that, up to this order, the impurity propagator has no corrections due to the presence of the gauge field since terms such as $\int d\mathbf{k} G_0 G_0 \Sigma_f = 0$ vanish as a consequence of the independence of $\Sigma_f$ from the spinon momentum. Alternatively, one can use the renormalized spinon propagator to compute the self-energy of the impurity [second term of $F_0^{-1}$ in Fig. 4(a)] which would correspond to a rearrangement of the terms in Fig. 4(a) leading to the same result. The impurity propagator is thus the same as if the bulk was a regular Fermi liquid. In this case, one can use the results of Ref. 18 where the fluctuations of the bosonic impurity fields, $\lambda$ and $\kappa$, were shown to renormalize the Kondo temperature.

Besides the self-energy term, the spinon propagator, given in Fig. 4(b), has also a $1/V$ contribution from impurity scattering processes. This correction can be safely ignored in the computation of the local susceptibility since it gives a contribution proportional to $1/V^2$.

The impurity-impurity susceptibility is given, at leading order in $1/N$, by the bubble diagram of Fig. 5(a) (first term in the rhs). $1/N$ vertex corrections due to the gauge field arising in the impurity-impurity susceptibility also vanish (see Fig. 5) since they contain the terms such as the ones given in Fig. 3(a). One thus concludes that the impurity-impurity susceptibility $X_{\text{imp,imp}}$ has no contribution from the gauge field at this order in $1/N$. So the impurity degrees of freedom only see the local density of the spinons. In particular, the result given in Ref. 18 for the static susceptibility holds: $X_{\text{imp,imp}}(\tau_{\text{imp}} = 0) = \frac{1}{2} \nu (J + 1)(2J + 1) \rho(0)$, where $N = 2J + 1$.

Gauge contributions are known to enhance Friedel-like oscillations in $U(1)$ spin-liquids\(^\text{11,17,20}\). This is a consequence of the renormalization of the $2k_F$ component of the susceptibility vertex. One could thus expect that the local spinon-spinon susceptibility carried some trace of this behavior. Remarkably, no vertex corrections to the local susceptibility due to the gauge field are possible since simple parity arguments such as the ones used in the Appendix show that the contribution given by the second diagram in the rhs of Fig. 3(b) vanishes.

Finally, the crossed impurity-spinon susceptibility can also be shown to remain unaffected by the presence of the gauge field using the same simple arguments. Local measurements of the susceptibility at the impurity site are thus completely insensitive to the gauge degrees of freedom.

### III. DISCUSSION

We considered the Kondo screening in a bulk system of spinons strongly interacting with a $U(1)$ gauge field. While it is remarkable that Kondo screening can occur for a charge
insulator, the results obtained here predict that no particular signature due to the presence of the gauge field can be measured if only the impurity degrees of freedom or local magnetic properties are monitored.

Let us put this seemingly negative result in context. First, to leading order in $1/N$, gauge fluctuations are responsible for singular corrections of the bulk specific heat and susceptibility, giving non-Fermi liquid signatures.\textsuperscript{5,3} Without a detailed calculation, it is not a priori obvious whether the Kondo effect of such a non-Fermi liquid will be modified. Second, it is known that the gauge fluctuations give rise to enhancement of the $2k_F$ spin susceptibility, again to leading order in $1/N$.\textsuperscript{20} Such singularity gives rise to enhanced Friedel oscillations of the spinon density.\textsuperscript{11,21} Perturbating in $J_K$, one finds that the local moment of the Kondo Hamiltonian is coupled to the local spin susceptibility of the spinons which is the sum of all Fourier components, including $2k_F$. Thus, naively, one might expect singular corrections to the leading order of $1/N$. In this context, our finding that no trace of such singular behavior was found at order $1/N$ in the impurity quantities is a significant one. Measurements such as specific heat and susceptibility will be unable to distinguish the bulk spinons from a Fermi liquid. In particular, the Wilson ratio $R = \frac{\pi T_{imp}(0)}{\pi T_{imp}(0) + T_{imp}(\infty)} = \frac{N}{N\pi - 1}$ for this case is the same as the one found for a magnetic impurity embedded in a Fermi liquid.\textsuperscript{18}

Finally, we should emphasize that the results reported here are only valid up to order $1/N$. Higher order corrections can, in principle, introduce non-FL features that unveil the presence of the gauge field. Unfortunately, to our knowledge, the next order calculation has not been carried out even in the conventional Kondo problem, and we have not attempted that calculation here.


\textsuperscript{15}ribeiro@cfif.ist.utl.pt


