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Dilution and resonance-enhanced repulsion in nonequilibrium fluctuation forces

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In equilibrium, forces induced by fluctuations of the electromagnetic field between electrically polarizable objects (microscopic or macroscopic) in vacuum are generally attractive. The force may, however, become repulsive for microscopic particles coupled to thermal baths with different temperatures. We demonstrate that this nonequilibrium repulsion can be realized also between macroscopic objects, as planar slabs, if they are kept at different temperatures. It is shown that repulsion can be enhanced by (i) tuning of material resonances in the thermal region and by (ii) reducing the dielectric contrast due to “dilution.” This can lead to stable equilibrium positions. We discuss the realization of these effects for aerogels, yielding repulsion down to submicron distances at realistic porosities.

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I. INTRODUCTION

Forces induced by electromagnetic (EM) field fluctuations of quantum and thermal origin act virtually between all matter that couples to the EM field, since the interacting objects need not be charged [1,2]. Under rather general conditions (e.g., for nonmagnetic objects in vacuum), the Casimir potential energy does not allow for stable equilibrium positions of the interacting objects [3]. This can be a practical disadvantage in systems where external (nonfluctuation) forces cannot be applied or fine-tuned to establish stability, especially in dynamic systems where the distance, and, hence, the Casimir force, changes in time. Nanomechanical devices with closely spaced components fall into this class of systems [4]. Repulsive Casimir forces are known to exist if the space between the objects is filled by a dielectric with suitable contrast [5], but this is impractical in many situations.

Repulsion can occur also in response to the preparation of particles in distinct internal states, e.g., by optical excitation or coupling to heat baths of different temperatures. In particular, Cohen and Mukamel [6] predicted a nonequilibrium repulsive force between molecules generated by suitable detuning of the resonance frequencies. This suggests that for macroscopic objects held at different temperatures similar repulsive effects should exist close to material resonances in the thermal region. However, for macroscopic condensates the dielectric contrast is usually strong and nonequilibrium effects are comparatively less significant than the equilibrium attraction. One should, thus, focus on sufficiently optically diluted materials to generate resonant Casimir repulsive forces between macroscopic bodies. The general formalism for dealing with nonequilibrium fluctuation effects between macroscopic bodies has been developed recently in Refs. [7–11].

The aim of the present work is to explore theoretically if and to what extent the above expectation can be realized and whether it can lead to stable equilibrium positions. We considered two dielectric slabs held at different temperatures and computed the pressure between them using the nonequilibrium extension of the Casimir-Lifshitz formula [7]. Employing a Lorentz-Drude dielectric response, we find that resonances and optical dilution indeed amplify repulsion sufficiently so the total interaction can become repulsive and equilibrium positions exist. We show that aerogels could be used to realize resonant repulsion in practice since porosity can be used to tune reflectivity and resonances. The use of aerogels was indeed previously proposed to reduce the Casimir force [12] but not to generate repulsion.

II. NONEQUILIBRIUM PRESSURE

We consider two infinite parallel planar slabs $S^a$, $a = 1,2$, each consisting of a nonmagnetic dielectric layer of thickness $\delta$ and permittivity $\epsilon_{\alpha}(\omega)$, deposited on a thick glass substrate of dielectric permittivity $\epsilon_{\text{sub}}(\omega)$. The slabs are held at temperatures $T_1$ and $T_2$, separated by a (vacuum) gap of width $a$. The Casimir pressure acting on the inside faces of the plates is given by [7]

$$
\bar{P}_{\text{eq}}(T_1, T_2, a) = \frac{1}{2 \pi} \frac{\delta}{\epsilon_0} \left( T_1^4 + T_2^4 - 4 T_1 T_2 \right)
$$

where $\sigma$ is the Stefan-Boltzmann constant. The last term in this equation is simply the classical thermal radiation result, which is independent of distance and material properties. In Eq. (1), $\bar{P}_{\text{eq}}(T_1, T_2, a) = [P_{\text{eq}}(T_1, a) + P_{\text{eq}}(T_2, a)]/2$ denotes the average of the equilibrium Casimir pressures at $T_1$ and $T_2$; with $P_{\text{eq}}(T, a)$ given by the Lifshitz formula [13], which for brevity is not reproduced here. The novel nonequilibrium contributions are captured by the term $\Delta P_{\text{neq}}(T_1, T_2, a)$, which vanishes for $T_1 = T_2$ (it also contains a distance independent part). On decomposing $\Delta P_{\text{neq}} = \Delta P_{\text{PW}} + \Delta P_{\text{EW}}$ into propagating waves (PW) and evanescent waves (EW), one finds

$$
\Delta P_{\text{neq}}^{\text{PW}} = \frac{\hbar}{4 \pi^2} \sum_{P=M,N} \int_0^\infty d\omega \left[ n(T_1) - n(T_2) \right]
$$

$$
\times \int_0^{\omega/c} dk_\perp k_\perp \frac{|r_P^{(2)}|^2 - |r_P^{(1)}|^2}{|D_P|^2},
$$

where $P = M, N$.
\[ \Delta P_\text{eq} = -\frac{\hbar}{2\pi^2} \sum_{p,M,N} \int_0^\infty d\omega \left[ n(T_1) - n(T_2) \right] \times \int_{\omega/c}^\infty dk \, k \Im(k) e^{-2\omega \Im(k)} \times \frac{\Im(r_p^{(1)}) \Re(r_p^{(2)}) - \Re(r_p^{(1)}) \Im(r_p^{(2)})}{|D_p|^2}, \]  

where \( n(T) = \exp(\hbar \omega/k_B T) - 1 \), \( k_c = \sqrt{\omega^2/c^2 - k_z^2} \), \( D_p = 1 - r_p^{(2)} \exp(2ik_c a) \), and \( P = M, N \) for the two polarizations. The reflection coefficients \( r_p^{(a)}(\omega, k_z) \) are given by the well-known formulas for a two-layer slab

\[ r_p^{(a)} = r_p(1, a) + r_p(a, sub) e^{2ik_p a} + r_p(1, a) r_p(a, sub) e^{2ik_p a}, \]

where \( r_p(a, b) \) are the Fresnel reflection coefficients

\[ r_N(a, b) = \frac{e_b(\omega) q_b(\omega, k_z) - e_a(\omega) q_a(\omega, k_z)}{e_b(\omega) q_b(\omega, k_z) + e_a(\omega) q_a(\omega, k_z)}, \]

where \( q_a = \sqrt{e_a(\omega) \omega^2/c^2 - k_z^2} \), and \( m(a, b) \) is obtained by replacing the \( e_a \) and \( e_b \) in Eq. (5) by 1 (but not in \( q_a \)). There is also an external pressure acting on the outside face of each plate which depends on the reflectivity of this face and the temperature of the environment \( T_{\text{env}} \). In our numerical computations we assume that the external face of the glass substrate has been blackened, in which case the total pressure on plate \( a \) is given by

\[ \bar{P}^{(a)}(T_1, T_2, T_{\text{env}}, a) = P_{\text{eq}}(T_1, T_2, a) - \frac{2\sigma_a}{4\epsilon} (T_2^4 - T_1^4). \]

It is worth emphasizing that for \( T_1 \neq T_2 \), \( P^{(1)}(T_1, T_2, T_{\text{env}}, a) \neq P^{(2)}(T_1, T_2, T_{\text{env}}, a) \). Moreover, in equilibrium, all distance-independent terms vanish.

The structure of the nonequilibrium force in Eq. (1) suggests that repulsion should exist out of thermal equilibrium. While the first term \( \Delta P_{\text{eq}}(T_1, T_2, a) \) in Eq. (1) is bound to be attractive, this is not so for the second term \( \Delta P_{\text{neq}}(T_1, T_2, a) \). As can be seen from Eqs. (2) and (3), the quantity \( \Delta P_{\text{neq}}(T_1, T_2, a) \) changes sign if the temperatures \( T_1 \) and \( T_2 \) are exchanged, and, therefore, its sign can be reversed by simply switching the temperatures of the plates. One notes also that \( \Delta P_{\text{neq}}(T_1, T_2, a) \) is antisymmetric under the exchange \( r_p^{(1)} \leftrightarrow r_p^{(2)} \), and vanishes for \( r_p^{(1)} = r_p^{(2)} \). Therefore, in order to take advantage of this term to control the sign of the Casimir force it is mandatory to consider plates made of different materials. For real materials, both \( \bar{P}_{\text{eq}}(T_1, T_2, a) \) and \( \Delta P_{\text{neq}}(T_1, T_2, a) \) diverge as \( a^{-3} \) if \( a \to 0 \).

In the following, we show that the sign of this asymptotic behavior can be made repulsive in certain cases.

Motivated by the resonance-induced repulsion for microscopic particles, we consider electric permittivities \( e_a(\omega) \) of the dielectric layers, described by a Lorentz-Drude-type model, as

\[ e_a(\omega) = 1 + \frac{C_a \omega_a^2}{\omega_a^2 - \omega^2 - i\gamma_a \omega} + \frac{D_a \Omega_a^2}{\Omega_a^2 - \omega^2 - i\Gamma_a \omega}. \]

(An analogous two-oscillator model was used also for the permittivity \( e_{\text{sub}} \) of the glass substrate, with the parameters quoted in Ref. [2], p. 312.) The first oscillator term \( -C_a \) describes low-lying excitations of the materials; such low-lying polariton excitations in numerous dielectrics account for sharp peaks in their dielectric functions in the far-infrared region. Typical values for the resonance and relaxation frequencies are \( \omega_D = 10^{13} - 10^{14} \) rad/s and \( \gamma_a = 10^{11} - 10^{12} \) rad/s [14]. The second oscillator term in Eq. (7), proportional to \( D_a \), describes the contribution of core electrons. Excitation energies of core electrons are much larger, and characteristic values of \( \Omega_a \) are in the range 10^{15} - 10^{16} rad/s. At and around room temperature core electrons are not thermally excited for \( T = 300 \) K the characteristic thermal frequency \( \omega_T = k_B T/\hbar \) is 3.9 \times 10^{13} rad/s) and, therefore, their contribution to the thermal Casimir force \( \Delta P_{\text{eq}} \) is very small. However, core electrons are important, as they strongly contribute to the average equilibrium Casimir force \( \bar{P}_{\text{eq}} \), especially at submicron separations.

Since the thermal Casimir force between two macroscopic slabs should reduce in the dilute limit to the pairwise interaction between their atoms, one expects that the resonant phenomena reported in Ref. [6] should be recovered if the material of the plates is sufficiently diluted. In order to determine how large a dilution is necessary for this to happen, we investigated the behavior of the Casimir force under a rescaling of the amplitudes of the resonance peaks, i.e., \( C_a \to C_a/\tau, D_a \to D_a/\tau \), by an overall optical dilution parameter \( \tau \gg 1 \).

### III. NUMERICAL RESULTS

We next report on numerical results based on the above model for dielectrics, in which we set \( \omega_D = 10^{13}, \gamma_1 = \gamma_2 = 10^{11}, \Omega_1 = \Omega_2 = 10^{16}, \) and \( \Gamma_1 = \Gamma_2 = 2 \times 10^{13} \) (all in rad/s). In Fig. 1 we plot the nonequilibrium normalized Casimir pressure \( p_{\text{neq}}/\bar{P}_{\text{eq}} \) on slab 2 versus the ratio of the resonance frequencies \( \omega_a/\omega_1 \) for \( a = 300 \) nm, \( \delta = 5 \) \mu m, \( C_1 = 3, C_2 = 1.5, D_1 = 1, \) and \( D_2 = 0.5 \). (Negative values of \( p_{\text{neq}}/\bar{P}_{\text{eq}} \) correspond to repulsion.) For these parameters the nonequilibrium force is dominated by evanescent waves, whose skin depth is comparable to the separation \( a \). This implies that for separations \( a \ll \delta \) the force is practically

![FIG. 1. (Color online) The normalized nonequilibrium Casimir pressure \( p_{\text{neq}}/\bar{P}_{\text{eq}} \) on slab 2 as a function of the ratio of the resonance frequencies \( \omega_a/\omega_1 \), for \( a = 300 \) nm, \( \delta = 5 \) \mu m, \( C_1 = 3, C_2 = 1.5, D_1 = 1, \) and \( D_2 = 0.5 \). The red dashed curves are for \( T_1 = T_{\text{env}} = 300 \) K and \( T_2 = 600 \) K, while blue solid curves are for \( T_1 = T_{\text{env}} = 300 \) K and \( T_2 = 150 \) K. Three values of the dilution parameter \( \tau \) are displayed: \( \tau = 1 \) ( ), \( \tau = 10 \) (+), and \( \tau = 20 \) ( ). Negative values of \( p_{\text{neq}}/\bar{P}_{\text{eq}} \) correspond to repulsion.](image-url)
independent of \( \delta \), but for \( a \gtrsim \delta \) the features of the substrate influence the magnitude of the force significantly. The red dashed curves in Fig. 1 are for \( T_1 = T_{\text{env}} = 300 \text{ K} \) and \( T_2 = 600 \text{ K} \), while blue solid curves are for \( T_1 = T_{\text{env}} = 300 \text{ K} \) and \( T_2 = 150 \text{ K} \). Three values of the dilution parameter \( \tau \) are displayed: \( \tau = 1 \) (\( \times \)), \( \tau = 10 \) (\( + \)), and \( \tau = 20 \) (\( * \)). We see that for sufficiently high dilution, the Casimir force is strongly dependent on the ratio \( \omega_2/\omega_1 \) of the resonance frequencies of the plates, displaying features analogous to those reported in Ref. [6] for the van der Waals interaction between two “atoms” coupled to baths at different temperatures. In particular, the Casimir force becomes repulsive if this ratio is suitably tuned to a value close to unity. We also find that the equilibrium force is rather insensitive to the tuning of resonances and that the total force hardly depends on \( \Omega_1^2/\Omega_2^2 \).

In Fig. 2 we plot the dependence of the nonequilibrium normalized Casimir pressure \( P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}} \) on slab 2 as a function of plate separation \( a \) at \( T_1 = T_{\text{env}} = 300 \text{ K} \), \( T_2 = 600 \text{ K} \), for \( \tau = 1 \) and \( \omega_2/\omega_1 = 1.1 \) (\( \times \)); \( \tau = 10 \) and \( \omega_2/\omega_1 = 1.05 \) (\( + \)); \( \tau = 20 \) and \( \omega_2/\omega_1 = 1.04 \) (\( * \)); all other parameters being the same as in Fig. 1. The dashed curves do not include the distance-independent part of the pressure and are included to indicate that this component also changes sign on dilution. We see that without dilution (\( \tau = 1 \)) the nonequilibrium Casimir force is attractive for all displayed separations. By contrast, for large-enough dilutions and for suitable values of \( \omega_2/\omega_1 \), the force becomes repulsive in a wide range of separations. The curve for \( \tau = 10 \) exhibits two points of zero force, one at \( a = 15 \text{ nm} \) and another for \( a = 4.1 \mu\text{m} \), corresponding to an unstable equilibrium point (UEP) and a stable equilibrium point (SEP), respectively. For \( \tau = 20 \) there is a single turning point corresponding to a SEP at \( a = 3.3 \mu\text{m} \). There is no UEP point in this case as the ratio \( P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}} \) approaches a negative value in the limit \( a \to 0 \), signifying that repulsion persists for arbitrarily small plate separations less than the SEP.

As a means of achieving the dilution levels required to observe the resonance phenomena described above, we consider aerogels: highly porous materials fabricated by sol-gel techniques, starting from a variety of materials such as SiO\(_2\), carbon, Al\(_2\)O\(_3\), platinum, and so on. Aerogels with levels of porosity exceeding 99\% can be realized nowadays [15]. In order to study the Casimir force between two aerogel plates we need an expression for the dielectric function \( \hat{\epsilon}(\omega) \) of the aerogel, valid in the wide range of frequencies relevant for the Casimir effect. For separations \( a \) larger than the pore size (typically of the order of 100 nm or less), an effective medium approach can be used in which the aerogel permittivity \( \hat{\epsilon}(\omega) \) is obtained from the Maxwell-Garnett equation [16] as

\[
\hat{\epsilon} - 1/\epsilon + 2/(\epsilon + 2) = (1 - \phi) \epsilon - 1/\epsilon + 2/\epsilon.
\]

where \( \epsilon(\omega) \) is the permittivity of the solid fraction of the aerogel and \( 0 \leq \phi \leq 1 \) is the porosity. Equation (8) is justified if the solid fraction is well separated by the host material (air), i.e., when \( \phi \) is sufficiently close to 1. Using again a model of the form in Eq. (7) for \( \epsilon_a(\omega) \), one finds that \( \hat{\epsilon}_a(\omega) \) has a resonance at the frequency

\[
\hat{\omega}_a \approx \left( 1 + \frac{\phi_a C_a}{\phi_a D_a + 3} \right)^{1/2} \omega_a,
\]

where we assumed \( \omega_a \ll \Omega_2 \). According to Eq. (9), the frequency of the aerogel resonance is blueshifted with respect to \( \omega_a \), and as \( \phi_a \) is varied from zero to 1, \( \hat{\omega}_a \) sweeps the range from \( \omega_a \) to \( [1 + C_a/(D_a + 3)]^{1/2} \omega_a \). The dependence of the resonance frequency \( \hat{\omega}_a \) on the porosity is welcome, because it gives us the possibility of tuning the ratio of the resonance frequencies for the two plates by simply choosing appropriate values for the porosities \( \phi_1 \) and \( \phi_2 \) of the plates.

As an example, we consider two aerogel layers of thickness \( \delta = 5 \mu\text{m} \), deposited on a thick glass substrate, with a blackened outer surface. The dielectric functions \( \epsilon_a(\omega) \) of the host materials are as in Eq. (7), with \( C_1 = 1 \), \( C_2 = 3 \), \( D_1 = D_2 = 0.5 \), and \( \omega_2/\omega_1 = 0.84 \). In Fig. 3 we plot the ratio \( P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}} \) as a function of the porosity \( \phi_1 \) of the first plate. The porosity \( \phi_2 \) of the second plate is 0.95 (+), 0.9 (\( \times \)), and 0.8 (\( * \)). All curves in Fig. 3 are for a separation of 200 nm at \( T_1 = T_{\text{env}} = 300 \text{ K} \) and \( T_2 = 600 \text{ K} \). The force can indeed be made repulsive by suitably adjusting the porosities of the two

\[
\text{FIG. 2. (Color online) The nonequilibrium normalized Casimir pressure } P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}} \text{ on slab 2 as a function of plate separation (in microns) for } \delta = 5 \mu\text{m}, T_1 = T_{\text{env}} = 300 \text{ K}, T_2 = 600 \text{ K}, \tau = 1 \text{ and } \omega_2/\omega_1 = 1.1 \text{ (} \times \text{); } \tau = 10 \text{ and } \omega_2/\omega_1 = 1.05 \text{ (} + \text{); } \tau = 20 \text{ and } \omega_2/\omega_1 = 1.04 \text{ (} * \text{). All other parameters are the same as in Fig. 1. Dashed curves do not include the distance-independent part of the pressure. Stable equilibrium positions are marked as SEP and unstable ones as UEP. The curve for } \tau = 20 \text{ approaches a negative value for } a \to 0.}
\]

\[
\text{FIG. 3. (Color online) The pressure ratio } P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}} \text{ for two aerogel layers (} \delta = 5 \mu\text{m} \text{) on a glass substrate as a function of the porosity } \phi_1 \text{ of plate 1. The porosity } \phi_2 \text{ of plate 2 is 0.95 (+), 0.9 (} \times \text{), and 0.8 (} * \text{). The dielectric functions } \epsilon_a(\omega) \text{ of the host materials are as in Eq. (7), with } C_1 = 1, C_2 = 3, D_1 = D_2 = 0.5, \text{ and } \omega_2/\omega_1 = 0.84 \text{. All curves are for a separation of 200 nm and for } T_1 = T_{\text{env}} = 300 \text{ K}, T_2 = 600 \text{ K}.}
\]
FIG. 4. (Color online) The pressure ratio $P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}}$ for two aerogel layers ($\delta = 5 \mu \text{m}$) on a glass substrate as a function of plate separation (in microns) for $\phi_1 = 0.95$ and $\phi_2 = 0.95$ (+), 0.9 (×) and 0.8 (∗). All parameters for the aerogel plates are same as in Fig. 3. The dashed lines do not include the separation-independent part of the pressure. The stable equilibrium position is marked by SEP.

In absolute terms, the Casimir force is small; e.g., for $\phi_1 = 0.77$ and $\phi_2 = 0.8$ we find $P_{\text{neq}}^{(2)} = 0.55 \times 10^{-3} \text{ Pa}$.

In Fig. 4 we plot the dependence of the ratio $P_{\text{neq}}^{(2)} / \bar{P}_{\text{eq}}$ on the separation (in microns), for $\phi_1 = 0.95$ and $\phi_2 = 0.95$ (+), $\phi_2 = 0.9$ (×), and $\phi_2 = 0.8$ (∗). All parameters for the aerogel plates are the same as in Fig. 3. The dashed lines do not include the separation-independent part of the pressure. We find that for $\phi_1 = \phi_2 = 0.95$ a stable equilibrium point exists (marked as SEP in Fig. 4).

While fluctuation-induced forces are generally attractive, repulsive forces can be obtained between atoms prepared in different excited states. Coupling of atoms to thermal baths at different temperatures can, in principle, produce population of states that lead to repulsion. We show that a similar nonequilibrium repulsive contribution to pressure also arises from the interplay of resonances for two macroscopic slabs held at appropriate distinct temperatures. However, to observe a net repulsion between slabs one must overcome an ever-present attractive force arising from the dielectric contrast of the condensed bodies from the intervening vacuum. The latter can be reduced by dilution, and we have shown that aerogels provide a material where a net repulsion at submicron separations can be achieved, leading to a stable equilibrium point.

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