**Observation of the baryonic B decay $B^{0}_{c}^{+}K^{-}$**

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Observation of the baryonic $B$ decay $\bar{B}^0 \to \Lambda_c^+ \bar{K}^-$
J. P. LEES et al.

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071102-2
While baryons are produced in fragmentation into baryons. We can increase our understanding of baryon production in $B$ decays by comparing decay rates for related exclusive final states. In this paper we present a measurement of the decay $B^0 \to \Lambda^+_c \bar{K}^-$ with a significance larger than 7 standard deviations based on $471 \times 10^6$ $B \bar{B}$ pairs collected with the BABAR detector at the PEP-II storage ring at SLAC. We measure the branching fraction for the decay $B^0 \to \Lambda^+_c \bar{K}^-$ to be $(3.8 \pm 0.8_{\text{stat}} \pm 0.2_{\text{sys}} \pm 1.0_{\Lambda^+_c}) \times 10^{-5}$. The uncertainties are statistical, systematic, and due to the uncertainty in the $\Lambda^+_c$ branching fraction. We find that the $\Lambda^+_c \bar{K}^-$ invariant-mass distribution shows an enhancement above 3.5 GeV/$c^2$.

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Monte Carlo simulation based on EVTGEN [3] for the event generation and GEANT4 [4] for the detector simulation. The $\Bar{B}^0 \rightarrow \Lambda_c^+ \bar{K}^-$ Monte Carlo events are generated uniformly in the $\Lambda_c^+$ phase space. Monte Carlo simulated events are used to study background contributions as well.

The BABAR detector is described in detail elsewhere [5]. Charged particle trajectories are measured by a five-layer double-sided silicon vertex tracker and a 40-layer drift chamber, both immersed in a 1.5 T axial magnetic field. Charged particle identification is provided by ionization energy measurements along with Cherenkov radiation detected by an internally reflecting ring-imaging detector.

The $\Lambda_c^+$ is reconstructed in the decay mode $\Lambda_c^+ \rightarrow pK^-\pi^+$ and the $\bar{\Lambda}$ in the decay mode $\Lambda \rightarrow \bar{p}\pi^+$. For the identification of proton, kaon, and pion candidates, we use selection criteria based on the measurements of the specific ionization in the tracking detectors and of the Cherenkov radiation in the internally reflecting ring-imaging detector [6].

For the identification of the $p$ coming from the $\Lambda_c^+$ the average efficiency is about 95%, while the probability of misidentifying a kaon as a proton is less than 2%. The average efficiency for the $K^-$ identification is about 90%. The probability of misidentifying a pion as a kaon is about 5%. These are the dominant misidentification probabilities for each particle type. The $\Lambda_c^+$ daughters and the $\bar{\Lambda}$ daughters are each fit to a common vertex, and the $\Lambda_c^+$ and the $\bar{\Lambda}$ candidate invariant mass is required to lie within 3σ of the world average mass [1], i.e., in the range 2.273–2.299 GeV/c² and 1.113–1.119 GeV/c², respectively. For the reconstruction of the $B$ candidate, the mass of the $\Lambda_c^+$ candidate is constrained to its nominal value [1] and is combined with a $\bar{\Lambda}$ and a $K^-$ candidate. Since the $\Lambda$ candidate mass is already well measured, it is not constrained.

The $\Lambda_c^+$, $\bar{\Lambda}$ and $K^-$ candidates are then fitted to a common vertex, and the confidence level of this fit is required to exceed 0.2%.

A possible source for fake signal events is the decay $\Bar{B}^0 \rightarrow \Lambda_c^+ \bar{p}K^-\pi^+$ [7], which has the same final state as the decay under investigation. In order to suppress this background we require that the distance between the $B$ vertex and the $\bar{\Lambda}$ vertex in the $xy$ plane (with $z$ parallel to the beam axis) exceeds 0.4 cm. This constraint reduces combinatoric background by 18% and the background from $\Bar{B}^0 \rightarrow \Lambda_c^+ \bar{p}K^-\pi^+$ by 99.6%. The expected remaining background from this decay is determined to be 0.1 ± 0.1 events [7].

The separation of signal and background in the candidate sample is obtained by using two kinematic variables:

$$\Delta E = E_B^* - \sqrt{s}/2 \quad \text{and} \quad m_{ES} = \sqrt{(s/2 + p_B^* \cdot p_B^*)^2/E_B^{***} - |p_B^*|^2},$$

where $\sqrt{s}$ is the $e^+e^-$ center-of-mass energy and $E_B^*$ the energy of the $B$ candidate in the center-of-mass system. $(E_B^*, \mathbf{p}_B^*)$ is the four-momentum vector of the $e^+e^-$ system and $\mathbf{p}_B$ the $B$-candidate momentum vector, both measured in the laboratory frame. For true $B$ decays $m_{ES}$ is centered at the $B$-meson mass, and $\Delta E$ is centered at zero. $B$ candidates are required to have an $m_{ES}$ value between 5.272 and 5.288 GeV/c².

Figure 1 shows the $\Delta E$ distribution of the selected candidates, fitted in the range from −0.12 to 0.30 GeV. We fit the signal with a Gaussian with the mean $\mu$ and width $\sigma$ fixed to the values obtained from a fit to the Monte Carlo simulation ($\mu = 0.247$ MeV and $\sigma = 8.381$ MeV), leaving only the signal yield floating. The background is described by a first-order polynomial. A binned maximum likelihood fit with this probability density function gives a signal yield of $51 ± 9$ events. (For the branching fraction measurement described later, we use the excess number of candidates above background as the estimator of the number of signal events.) The confidence level for the null hypothesis, considering statistical uncertainties only, is $2.6 \times 10^{-5}$, which corresponds to a statistical significance of 8 standard deviations. A possible background from $\Bar{B}^0 \rightarrow \Lambda_c^+ \bar{p}K^-\pi^+$, which rises slowly up to $\Delta E = -0.06$ GeV and drops sharply between $\Delta E = -0.05$ and $-0.02$ GeV, is not visible in Fig. 1.

Since the decay dynamics of baryonic $B$ decays are largely unknown, we investigate the invariant-mass distribution of the two-body systems. Intermediate states would appear as differences in the invariant-mass distribution for data and for the $\Bar{B}^0 \rightarrow \Lambda_c^+ \bar{K}^-$ Monte Carlo simulation, in which the final state is generated according to three-body phase space. Using the same function that we used in Fig. 1, we fit the $\Delta E$ distributions for ten ranges of the three-body masses. The results are compared to the phase-space Monte Carlo simulation in Fig. 2. While the $m(\Lambda_c^+ \bar{\Lambda})$ and $m(\bar{\Lambda}K^-)$ distributions show no significant deviations, the $m(\Lambda_c^+ K^-)$ distribution shows the data concentrated in the upper half of the allowed mass range, contrary to the Monte Carlo simulation. A possible explanation for this is a
resonant decay via a baryon resonance that has not yet been observed. Another possibility is enhanced rates at both $m(\Lambda^+_c \Lambda)$ and $m(\Lambda K^-)$ thresholds.

Because the efficiency varies over the Dalitz plot and the distribution of candidates in data is unknown a priori, we must use the distribution of the data events in the Dalitz plot to estimate the efficiency. The small number of candidates, combined with resolution and edge effects, makes the simple weighting of events by the inverse of the efficiency problematic. Instead, we determine a set of weights to apply to the simulated events so that the resulting weighted Monte Carlo distributions mimic the data. We make the assumption that the dependence of the decay dynamics on the two-body invariant masses can be factorized into the product of three functions that each depend on one invariant mass and weight the Monte Carlo events with the function:

\[
[w[m(\Lambda^+_c K^-), m(\Lambda^+_c \Lambda), m(\Lambda K^-)]
\]

By dividing the background-subtracted $m(\Lambda^+_c K^-)$ distribution (Fig. 2) by the corresponding distribution from the phase-space Monte Carlo simulation, we obtain the weights $w_a[m(\Lambda^+_c K^-)]$, which are used to weight the Monte Carlo candidates. (If a negative weight is required, the weight is constrained to zero.) Next, we use the weighted Monte Carlo candidates to determine $w_b[m(\Lambda^+_c \Lambda)]$ in the same way and then use $w_a[m(\Lambda^+_c K^-)] \cdot w_b[m(\Lambda^+_c \Lambda)]$ to determine $w_c[m(\Lambda K^-)]$. After each weighting we determine the reconstruction efficiency by a fit to the $\Delta E$ distribution for weighted Monte Carlo. Starting with these weights, the weighting is repeated until the reconstruction efficiency converges and the two-body mass distributions in the data and Monte Carlo agree within statistical uncertainties. The efficiency after each weighting is shown in Table I. Since the $m(\Lambda^+_c K^-)$ distribution in the data shows the strongest deviations compared to the phase-space Monte Carlo simulation, we use the efficiency $\epsilon$ obtained after the second weighting in $m(\Lambda^+_c K^-)$ [$\epsilon = 8.81\%$]. The comparison between the data and weighted Monte Carlo events in the two-body masses can be seen in Fig. 3. Note that, by construction, the data and simulation agree exactly for the $m(\Lambda^+_c K^-)$ distribution. The close agreement in the other two distributions shows that the form given in Eq. (1) is adequate to describe any correlations between variables in the data. The effect of the statistical uncertainties in the data on the efficiency determination is described below.

For the branching fraction calculation we determine the number of reconstructed events by a fit to $\Delta E$ with a
first-order polynomial for the background. To avoid a potential bias introduced by an assumption of the signal shape, we fit the region $-0.12 < \Delta E < 0.30$ GeV exclusive of the signal region $-0.03 < \Delta E < 0.03$ GeV. By extrapolating the background yield into the signal region and subtracting it from the integral of the histogram in this region, we obtain a signal yield of $N_{\text{sig}} = 50 \pm 11$. This results in a branching fraction of

![Graphs](image-url)

**FIG. 3** (color online). The background-subtracted $m(\Lambda_c \Lambda)$, $m(\Lambda \bar{K})$, and $m(\Lambda_c \bar{K})$ distributions for the data (points) and for the final weighted Monte Carlo sample (red histogram).

**TABLE II.** Summary of the relative systematic uncertainties on the branching fraction $\mathcal{B}(B^0 \to \Lambda_c^+ \bar{\Lambda} K^-)$.

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<th>Source</th>
<th>Relative syst. uncert.</th>
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<tr>
<td>Track reconstruction</td>
<td>0.9%</td>
</tr>
<tr>
<td>Charged particle ID</td>
<td>2.4%</td>
</tr>
<tr>
<td>$N_{BB}$</td>
<td>0.6%</td>
</tr>
<tr>
<td>$\mathcal{B}(\Lambda \to p\pi^+)$</td>
<td>0.8%</td>
</tr>
<tr>
<td>Monte Carlo statistics</td>
<td>0.5%</td>
</tr>
<tr>
<td>$B^0 \to \Lambda_c^+ \Sigma^0 K^-$</td>
<td>1.0%</td>
</tr>
<tr>
<td>$B \to \Lambda_c^+ \bar{\Lambda} K^- \pi$</td>
<td>1.8%</td>
</tr>
<tr>
<td>$\Delta E$ background description</td>
<td>2.0%</td>
</tr>
<tr>
<td>$\mu(\Delta E)$ shift</td>
<td>0.8%</td>
</tr>
<tr>
<td>Efficiency determination</td>
<td>0.5%</td>
</tr>
<tr>
<td>Total</td>
<td>4.1%</td>
</tr>
</tbody>
</table>

$$\mathcal{B}(B^0 \to \Lambda_c^+ \bar{\Lambda} K^-) = \frac{N_{\text{sig}}/E}{N_{BB} \cdot \mathcal{B}(\Lambda_c^+ \to p K^+ \pi^+) \cdot \mathcal{B}(\Lambda \to p\pi^+)} = (3.8 \pm 0.8_{\text{stat}} \pm 1.0_{\Lambda_c^+}) \times 10^{-5},$$

with $N_{BB} = N_{B^0} + N_{\bar{B}^0} = (471 \pm 3) \times 10^6$, assuming equal production of $B^0\bar{B}^0$ and $B^+B^-$ in the decay of the $Y(4S)$. The branching fractions $\mathcal{B}(\Lambda_c^+ \to p K^+ \pi^+) = (5.0 \pm 1.3\%)$ and $\mathcal{B}(\Lambda \to p\pi^+) = (63.9 \pm 0.5\%)$ are the world averages from Ref. [1].

Several sources of systematic uncertainties are investigated and summarized in Table II. Most of the uncertainties are derived from comparisons between Monte Carlo simulations and control samples in the data. Systematic uncertainties arise from uncertainties in charged particle reconstruction efficiencies (0.9%) and charged particle identification efficiencies (2.4%) and from statistical uncertainties in the Monte Carlo simulation (0.5%). The systematic uncertainty on the number of $BB$ pairs is 0.6%. The systematic uncertainty from the $\bar{\Lambda}$ branching fraction amounts to 0.8%.

The systematic uncertainty introduced by neglecting a possible $\bar{B}^0 \to \Lambda_c^+ \Sigma^0 K^-$ background is determined by adding a probability density function for this background to the fit function used for the $\Delta E$ fit shown in Fig. 1. Allowing non-negative contributions from this background, the fit returns a value of $0.0^{+0.8}_{-0.0}$. For a conservative limit on this systematic uncertainty we fix the yield to 1.8 and take the change in the number of signal events as a systematic uncertainty (1.0%). The $\Delta E$ distribution in Fig. 1 shows an enhancement below $-0.14$ GeV, caused by decays of the type $B \to \Lambda_c^+ \bar{\Lambda} K^- \pi$. Because of the limited resolution, these events could leak into the fit region from $-0.12$ to $0.30$ GeV. We determine the resulting systematic uncertainty by changing the fit region to $-0.10$ to $0.30$ GeV. The branching fraction changes by 1.8%.

The uncertainty arising from the chosen background description is determined by repeating the fit to determine
the signal yield with a second-order polynomial for the background. The number of signal events changes by 2.0%. A comparison between the data and Monte Carlo events shows that the mean of the $\Delta E$ distribution in the data is shifted by $-0.003$ GeV. We determine the resulting systematic uncertainty by shifting the signal region for the fit in the Monte Carlo $\Delta E$ distribution by 0.003 GeV. This changes the efficiency to 8.60%, corresponding to a systematic uncertainty of 0.8%.

The uncertainty due to the treatment of the three-body phase space in the efficiency correction is estimated from the variation of the efficiency when performing further iterations of weighting. Table I shows a variation from 8.81% down to 8.77%. This corresponds to a systematic uncertainty of 0.5%.

A possible additional contribution to the statistical uncertainty coming from the efficiency determination, where we determined the weights based on data events in ranges of the two-body masses, is studied by performing the efficiency correction in ranges of $m(\Lambda^+_c K^-)$ only, with unweighted Monte Carlo events. The change in overall reconstruction efficiency is negligible compared to the statistical uncertainty.

Adding all contributions in quadrature we obtain a systematic uncertainty of 4.1%. The significance of the signal, including additive systematic uncertainties, is determined to be more than 7 standard deviations. This significance includes systematic uncertainties from the $\bar{B}^0 \to \Lambda^+_c \bar{\Sigma}^0 K^-$ and $B \to \Lambda^+_c \bar{\Lambda} K^-$ background as well as the $\Delta E$ background description.

In summary, we observe the decay $\bar{B}^0 \to \Lambda^+_c \bar{\Lambda} K^-$ with a significance larger than 7 standard deviations and measure a branching fraction of

$$B(\bar{B}^0 \to \Lambda^+_c \bar{\Lambda} K^-) = (3.8 \pm 0.8_{\text{stat}} \pm 0.2_{\text{sys}} \pm 1.0_{\Lambda^+_c}) \times 10^{-5}.$$  

The decay rate is not uniform over three-body phase space; rather, it is dominant at high $\Lambda^+_c K^-$ mass.

We are grateful for the excellent luminosity and machine conditions provided by our PEP-II colleagues and for the substantial dedicated effort from the computing organizations that support BABAR. The collaborating institutions thank SLAC for its support and kind hospitality. This work is supported by DOE and NSF (USA), NSERC (Canada), CEA and CNRS-IN2P3 (France), BMBF and DFG (Germany), INFN (Italy), FOM (The Netherlands), NFR (Norway), MES (Russia), MICIIN (Spain), and STFC (United Kingdom). Individuals have received support from the Marie Curie EIF (European Union), the A.P. Sloan Foundation (USA), and the Binational Science Foundation (USA–Israel). The collaborating institutions thank SLAC for its support and kind hospitality.

[2] Throughout this paper, all decay modes represent that mode and its charge conjugate.