



# MIT Open Access Articles

## *Economic and energetic analysis of capturing CO<sub>2</sub> from ambient air*

The MIT Faculty has made this article openly available. **Please share** how this access benefits you. Your story matters.

<b>Citation</b>	House, K. Z. et al. "Economic and Energetic Analysis of Capturing CO <sub>2</sub> from Ambient Air." Proceedings of the National Academy of Sciences 108.51 (2011): 20428–20433. Copyright ©2011 by the National Academy of Sciences
<b>As Published</b>	<a href="http://dx.doi.org/10.1073/pnas.1012253108">http://dx.doi.org/10.1073/pnas.1012253108</a>
<b>Publisher</b>	National Academy of Sciences of the United States of America
<b>Version</b>	Final published version
<b>Accessed</b>	Fri Apr 20 10:30:00 EDT 2018
<b>Citable Link</b>	<a href="http://hdl.handle.net/1721.1/71854">http://hdl.handle.net/1721.1/71854</a>
<b>Terms of Use</b>	Article is made available in accordance with the publisher's policy and may be subject to US copyright law. Please refer to the publisher's site for terms of use.
<b>Detailed Terms</b>	

# Economic and energetic analysis of capturing CO<sub>2</sub> from ambient air

Kurt Zenz House<sup>a,b,1</sup>, Antonio C. Baclig<sup>b</sup>, Manya Ranjan<sup>c</sup>, Ernst A. van Nierop<sup>b</sup>, Jennifer Wilcox<sup>d</sup>, and Howard J. Herzog<sup>c</sup>

<sup>a</sup>Civil and Environmental Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139; <sup>b</sup>C12 Energy, 2054 University Avenue, Berkeley, CA 94704; <sup>c</sup>Energy Initiative, Massachusetts Institute of Technology, Cambridge, MA 02139; and <sup>d</sup>Energy Resources Engineering, Stanford University, Stanford, CA 94305

Edited by M. Granger Morgan, Carnegie Mellon University, Pittsburgh, PA, and approved September 14, 2011 (received for review August 20, 2010)

**Capturing carbon dioxide from the atmosphere ("air capture") in an industrial process has been proposed as an option for stabilizing global CO<sub>2</sub> concentrations. Published analyses suggest these air capture systems may cost a few hundred dollars per tonne of CO<sub>2</sub>, making it cost competitive with mainstream CO<sub>2</sub> mitigation options like renewable energy, nuclear power, and carbon dioxide capture and storage from large CO<sub>2</sub> emitting point sources. We investigate the thermodynamic efficiencies of commercial separation systems as well as trace gas removal systems to better understand and constrain the energy requirements and costs of these air capture systems. Our empirical analyses of operating commercial processes suggest that the energetic and financial costs of capturing CO<sub>2</sub> from the air are likely to have been underestimated. Specifically, our analysis of existing gas separation systems suggests that, unless air capture significantly outperforms these systems, it is likely to require more than 400 kJ of work per mole of CO<sub>2</sub>, requiring it to be powered by CO<sub>2</sub>-neutral power sources in order to be CO<sub>2</sub> negative. We estimate that total system costs of an air capture system will be on the order of \$1,000 per tonne of CO<sub>2</sub>, based on experience with as-built large-scale trace gas removal systems.**

direct air capture | gas separation economics | separation thermodynamics | concentration factor | Sherwood plot

Several researchers investigating chemical systems for capturing CO<sub>2</sub> from the air\* have suggested that air capture could be a viable climate mitigation technology costing no more than a few hundred dollars per tonne of CO<sub>2</sub> avoided (1–3). It has been further argued (4) that air capture may be cost-competitive with more accepted climate change mitigation options like renewable power, nuclear power, and CO<sub>2</sub> capture and storage from large stationary sources (carbon capture and storage, CCS). Indeed, during visits to the Massachusetts Institute of Technology in the spring of 2009, the US President's Science Advisor, John Holdren, and Secretary of Energy, Steven Chu, each mentioned capturing carbon dioxide (CO<sub>2</sub>) directly from the air as an option that may be needed for stabilizing global CO<sub>2</sub> concentrations and, thereby, global temperatures. To examine these claims, we have undertaken a series of analyses of the costs and energy requirements of air capture.

Instead of focusing on any particular proposed air capture system, we analyze the capture of CO<sub>2</sub> from air, where it has a concentration of approximately 0.04%, in the context of analogous industrial separation systems. Although the minimum thermodynamic work to separate CO<sub>2</sub> from air is not a prohibitive burden, separation systems themselves require significantly more energy than the thermodynamic minimum. This approach, which is independent of any particular air capture system or process, is motivated on the one hand by the utility of having a generic analysis, and on the other hand by the lack of literature regarding a detailed design of a particular capture process on which a detailed cost analysis could be based.

After a brief introduction to the history of CO<sub>2</sub> capture and separation processes, we review recently published designs of air capture systems. We then analyze the process of air capture

in five parts, without regard for a particular technology. In the first part of our analysis we start with the well-known Sherwood plot, which relates the market price of a substance to its initial dilution (5–7). Second, we analyze existing separation processes to estimate their second-law efficiencies (defined here as the ratio of minimum thermodynamic work to actual work expended) and relate them to the ratio of final concentration to initial concentration of the desired product (defined here as concentration factor). Based on our survey of second-law efficiency and concentration factor, in the third part of our analysis we develop our own cost and energy estimates for air capture systems. Fourth, we compare air capture systems to commercial SO<sub>x</sub> and NO<sub>x</sub> removal processes that operate at concentrations similar to that of CO<sub>2</sub> in air. This analysis is an extension of the Sherwood reasoning, which was also applied by Lightfoot and Cockrem (8), demonstrating that the actual work required to remove any type of trace gas from a mixed gas stream depends strongly on the initial trace gas concentration. Finally, we review the design trade-offs inherent in proposed air capture processes.

Absent radical technological breakthroughs, our analyses suggest that air capture is unlikely to be a practical CO<sub>2</sub> mitigation technology at carbon prices below \$1,000 per tonne of CO<sub>2</sub>, and that it can only be viable (i.e., CO<sub>2</sub> negative) if powered by non-CO<sub>2</sub> emitting sources. In light of the present analysis, we find that many estimates in the literature appear to overestimate air capture's potential (1–3).

## Background on CO<sub>2</sub> Removal from Air and Air Capture Proposals

In the 1930s, CO<sub>2</sub> was first commercially removed from ambient air in order to prevent the fouling of process equipment by dry ice formation in cryogenic air (i.e., N<sub>2</sub>/O<sub>2</sub>/Ar) separation plants (9). Modern air separation plants use molecular sieves for this purpose. Other applications of CO<sub>2</sub> removal from air include life support systems for spacecraft and submarines (10, 11). The technologies to purify air of CO<sub>2</sub> include, among others, reacting CO<sub>2</sub> with solutions of strong alkali, such as NaOH and KOH (12). These systems remove CO<sub>2</sub> from air, but do not produce highly concentrated streams of CO<sub>2</sub>, which is more difficult and costly.

Climate change mitigation, on the other hand, requires not only that CO<sub>2</sub> be separated from air on a much larger scale than current commercial systems, but also that CO<sub>2</sub> be sequestered

Author contributions: K.Z.H. and H.J.H. designed research; A.C.B., M.R., E.A.v.N., and J.W. performed research; K.Z.H., A.C.B., M.R., E.A.v.N., J.W., and H.J.H. analyzed data; and K.Z.H., A.C.B., E.A.v.N., J.W., and H.J.H. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

See Commentary on page 20277.

\*This paper restricts its analysis to the proposed processes that chemically remove CO<sub>2</sub> from the atmosphere, sometimes termed "direct air capture." Biological approaches to air capture are briefly discussed in a section at the end of this paper.

<sup>1</sup>To whom correspondence should be addressed. E-mail: kurt.house@c12energy.com.

This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1012253108/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1012253108/-DCSupplemental).

Table 1. Published air capture analyses

Refs.	Steps in proposed process	Cost, \$/tCO <sub>2</sub>	Energy required, * kJ/mol CO <sub>2</sub>	Second-law efficiency, <sup>†</sup> %
Keith (1)	NaOH scrubbing, causticization with lime, calcination, amine capture.	136	648 thermal and 31 work (total, 736 primary <sup>†</sup> )	7.9
Baciocchi (24)	NaOH scrubbing, causticization with lime, thermal calcination in a proposed oxy-blown kiln. Option A uses standard technology for the precipitation and dewatering of the CaCO <sub>3</sub> sludge; option B uses a prospective pellet reactor.	not reported	Option A: 515 thermal and 60 work (total, 686 primary <sup>†</sup> ) Option B: 352 thermal and 53 work (total, 503 primary <sup>†</sup> )	A: 10.0 B: 13.5
Nikulshina (34)	Aerosol-type carbonator using Ca(OH) <sub>2</sub> , solar calciner, conventional slaker. Note that the alternative configuration with H <sub>2</sub> production is not considered here.	solar calciner only, 176–220	2,485 thermal	2.4
Zeman (2)	NaOH scrubbing, causticization with lime, thermal calcination in a proposed oxy-blown kiln.	not reported	225 thermal and 104 work (total, 522 primary <sup>†</sup> )	11.1
Stolaroff (35)	NaOH spray tower, proposal, and prototype. Does not include regeneration.	proposed spray tower only, 53–127 <sup>§</sup>	proposed spray tower only, 53–94 work <sup>§</sup> (150–270 primary <sup>†§</sup> ) prototype spray tower only, 190–390 work (540–1,100 primary <sup>†</sup> )	insufficient information
Mahmoudkhani (36)	Recover NaOH from Na <sub>2</sub> CO <sub>3</sub> through a hypothetical two-stage crystallization/precipitation followed by titanate process. Does not include capture system.	not reported	recovery cycle only, 150 enthalpy change	insufficient information
Lackner (14)	Filter with CO <sub>2</sub> selective sorbent (resin). Air exchange, steam flush, compression.	220	38 work <sup>¶</sup> (110 primary <sup>†¶</sup> )	50

For more details on each analysis, see *SI Appendix*.

\*Does not include energy for CO<sub>2</sub> compression after capture for transport or storage purposes.

<sup>†</sup>Calculated in the current study based on the data provided in each publication.

<sup>‡</sup>Assuming a 35% efficiency of converting primary energy to work.

<sup>§</sup>Depends on the size of the tower and thus is the result of an optimization calculation.

<sup>¶</sup>Energy for compression of CO<sub>2</sub> to atmospheric pressure included for consistency, approximate energy for further compression removed (12 kJ/mol) (37).

for millennia, which—in the case of geologic sequestration—requires CO<sub>2</sub> to be concentrated from its ambient levels of approximately 400 ppm to high purity as well as compressed to typically 11–14 MPa to be efficiently transported and stored in geological formations (13).

Many of the air capture processes proposed in the literature (see Table 1) are categorized as chemical absorption (also referred to as chemical scrubbing), which is one of the primary processes envisioned for large-scale capture of CO<sub>2</sub> from power plant flue gases. The chemical absorption process uses a reactive solution to selectively absorb the CO<sub>2</sub> from a feed gas. After absorption, the CO<sub>2</sub>-rich solution is processed by reversing the reaction such that a concentrated stream of CO<sub>2</sub> gas is produced, with the solvent regenerated for reuse. This “stripping” of CO<sub>2</sub> from the solvent typically requires the input of heat, which generally dominates the energy requirement of the capture process (13).

Table 1 summarizes the projected energy and dollar costs of air capture processes that have appeared in recently published technical analyses. The projected dollar costs are in the range of \$100–\$200/tCO<sub>2</sub>—although the energy requirements vary widely, with most of those for NaOH scrubbing/lime causticization systems clustering around 500–800 kJ primary energy/mol CO<sub>2</sub>.<sup>‡</sup> By contrast, a larger body of work has focused on systems to capture and purify CO<sub>2</sub> from coal-fired power plant flue gases, where the CO<sub>2</sub> concentration is approximately 12% by volume (“flue-gas capture”), approximately 300-fold higher than air. Estimates of avoided cost<sup>§</sup> for flue-gas capture using current-generation capture and compression technologies are in the range

of \$50–\$100/tCO<sub>2</sub> (15). The most developed flue-gas-capture solvents currently used for absorbing CO<sub>2</sub> from industrial gas streams are aqueous solutions of amines (16), particularly monoethanolamine. The primary energy required to strip CO<sub>2</sub> from the rich amine stream (115–140 kJ/mol CO<sub>2</sub>; ref. 13) dominates the energy requirements of the process. The driving question of our study is how the energetics and costs will scale with input CO<sub>2</sub> concentration ranging from those found in air capture systems to those found in flue-gas-capture systems.

## An Analysis in Five Parts

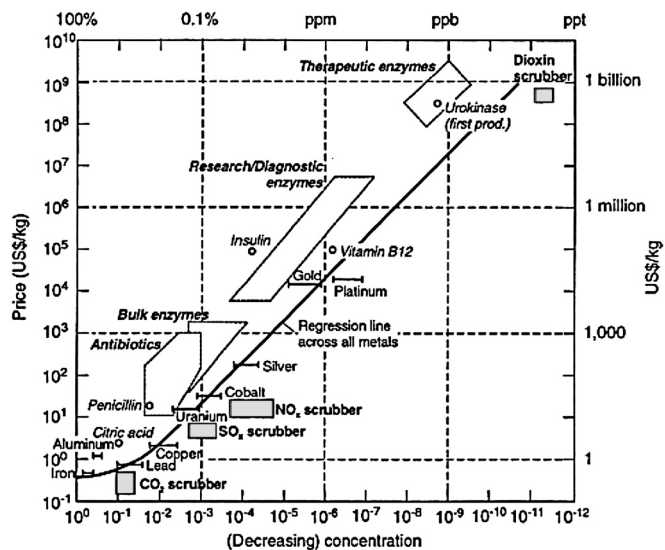
### Part 1: The Sherwood Plot and the Cost of Separating Dilute Streams.

In 1959, Thomas K. Sherwood published the original version of what is now commonly referred to as a Sherwood plot (5). His graph revealed an empirical relationship between the market price of a metal and its typical concentration in the ore from which it is extracted, using mature separation technologies. Later versions by others include additional substances that are separated from dilute mixtures, such as pollutants and valuable organic compounds (17, 18). Recently, Dahmus and Gutowski developed such a plot to help assess material recycling potentials (7).

In all of these analyses, it is clear that the cost to separate a given substance from a mixture scales inversely with the initial concentration of that substance. Dahmus and Gutowski (7) interpret this result as related to material extraction and processing costs that scale with the amount of material processed, which we parallel to the amount of gas to be processed for capturing CO<sub>2</sub>. In Fig. 1, we present the updated Sherwood plot from Grübler (6), which includes three categories of materials to be separated: metals, organics, and pollutants. A line with the form  $P = A/C$ , can be drawn through each set of separated materials, where  $P$  is the price (dollars per kilogram),  $C$  is the initial concentration of the input stream (i.e., mass of product per mass of mixture), and  $A$  is a separation constant with units (dollars per kilogram initial mixture). Dahmus and Gutowski observe that  $A$  is approximately \$1/kg of initial mixture (kg<sub>i</sub>) for separating organics; approxi-

<sup>†</sup>The process described by Lackner (14), requiring 110 kJ primary energy/mol CO<sub>2</sub>, is a clear outlier, and we treat it as such absent additional published data to evaluate the claimed efficiency.

<sup>§</sup>Avoided costs are based on the amount of avoided CO<sub>2</sub> emissions, defined as the amount of CO<sub>2</sub> captured minus the amount of CO<sub>2</sub> emitted by the capture process. Because avoided emissions are less than the amount of CO<sub>2</sub> captured, avoided costs (in dollars per tonne of CO<sub>2</sub> avoided) are greater than capture costs (in dollars per tonne of CO<sub>2</sub> captured). When analyzing the economics of climate change mitigation, one needs to use the avoided costs.



**Fig. 1.** A Sherwood plot showing the relationship between the concentration of a target material in a feed stream and the cost of removing the target material (6). For a more detailed look at the gas separation processes on this plot, see *SI Appendix*. [Reproduced from ref. 6 (Copyright 1998, Cambridge University Press).]

mately \$0.01/kg<sub>i</sub> for separating metals; and approximately \$0.001/kg<sub>i</sub> for separating pollutants from mixed gas streams (7).

We can use the estimated separation constant for separating pollutants from mixed gas streams (i.e.,  $A = \$0.001/\text{kg}_i$ ) to estimate the cost, per unit of CO<sub>2</sub>, to separate CO<sub>2</sub> from a variety of mixed streams. For example, the CO<sub>2</sub>-mole fraction of flue gas from a coal-fired power plant is about 12%, or order 0.1. Using Dahmus and Gutowski's constant and adjusting to 2008 dollars, we calculate that separating CO<sub>2</sub> from a coal-fired power plant will cost on the order of \$10/tCO<sub>2</sub>. Most estimates suggest that capturing, but not compressing, a stream of CO<sub>2</sub> from a coal-fired power plant will cost closer to about \$30–\$60/tCO<sub>2</sub> (13, 19, 20) on a per tonne *captured* basis. When applied to CO<sub>2</sub> separation from a natural gas-fired power plant, the separation constant (7) indicates that the cost of CO<sub>2</sub> purification would be approximately \$25/tCO<sub>2</sub>, which is also slightly lower than most reported values in the approximate \$50/tCO<sub>2</sub> range (13). Thus, using the separation constants derived by Dahmus and Gutowski (7) yields the correct order of magnitude.

Application of the separation constant to air capture would suggest a cost of about \$2,500/tCO<sub>2</sub>. Just as types of materials scale (i.e., organics, metals, pollutants), according to Fig. 1, gases with similar chemical properties, such as acid gases, should also scale. For instance, after close inspection of Fig. 1, one can see that drawing a line through the cluster of acid gases (CO<sub>2</sub>, SO<sub>x</sub>, and NO<sub>x</sub>) would result in a shallower slope than the \$0.001/kg<sub>i</sub> cited. To better constrain the cost projection for air capture, we constructed a Sherwood plot for mixed acid gas stream separation only (*SI Appendix*). The projected cost was consistently larger than \$1,100/tCO<sub>2</sub> for air capture, whether different trace acid gas separation processes were included or not, indicating independence of separation cost on a particular process choice or process chemistry. Rather, as Lightfoot and Cockrem pointed out in 1987 (8), separation costs are more closely related to the “processing of valueless constituents.”

**Part 2: Minimum Work and Second-Law Efficiency.** The theoretical minimum work required to achieve a change in thermodynamic states is the net change in work potential (i.e., thermodynamic availability or exergy) of the system (21). The change in work potential is minimized when a flowing system undergoes a reversible isothermal, isobaric change (22). Therefore, the absolute

minimum work,  $W_{\min}$ , required for a given separation process is equal to the difference between the work potential of the product and feed streams, which is equal to the difference in stream exergy:

$$W_{\min} = \Delta\Psi_i, \quad [1]$$

where  $\Psi_i$  is the exergy of stream  $i$ . For the isothermal, isobaric processes that we are considering, the change in work potential equals the change in the Gibbs free energy. In the simple case of a separation of one feed stream (stream 1) consisting of  $n$  substances into two product streams (streams 2 and 3, as in Fig. 2), where all streams consist of ideal mixtures, the minimum work reduces to

$$W_{\min} = -RT \left( N_1 \sum_{k=1..n} X_{1,k} \ln X_{1,k} - N_2 \sum_{k=1..n} X_{2,k} \ln X_{2,k} - N_3 \sum_{k=1..n} X_{3,k} \ln X_{3,k} \right), \quad [2]$$

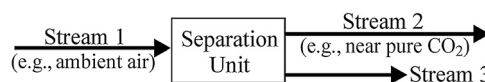
where  $N_j$  denotes the molar flow rate of stream  $j$ , and  $X_{j,k}$  denotes the molar concentration of substance  $k$  in stream  $j$ . Note that for nonideal mixtures (i.e., real gases and solutions), we must account for the excess properties that depend on interactions between molecules.

According to Eq. 2, the theoretical minimum work required to separate a stream of air with 400 ppm CO<sub>2</sub> into one stream with 200 ppm CO<sub>2</sub> and a second stream of highly concentrated (i.e., 99% purity) CO<sub>2</sub>, all at the same temperature and pressure, is about 20 kJ/(mol CO<sub>2</sub>). No real process can operate by expending only the theoretical minimum work, because reversibility—the thermodynamic requirement to achieve minimum work—requires infinitesimal mass transport driving forces, which in turn require theoretical equipment of infinite size and cost. As such, the capital costs of processes designed to expend close to the minimum work are excessive.

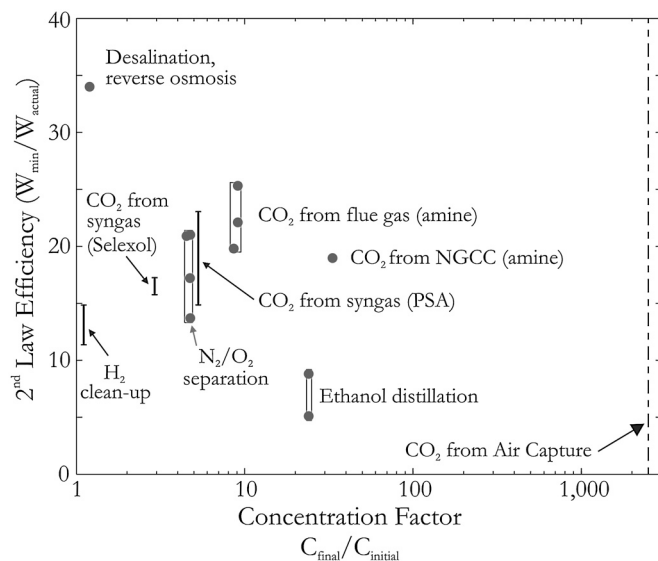
The second-law analysis uses only work, whereas real capture processes may use a combination of heat and work. The minimum work analysis, however, is still valid for those systems because the heat is associated with work potential. For example, many amine capture systems use steam extracted from the turbine as their source of heat. That steam extraction results in a loss of power generation, which is the real work penalty associated with that heat. If one used fuel to generate the heat directly, it would most likely result in an even bigger work penalty because extracting steam from a turbine is a form of cogeneration, which tends to be more energy efficient than making low pressure steam from fuel directly (23).

Real-world separation processes typically achieve second-law efficiencies ( $\eta$ ), defined as the ratio of minimum to actual power consumption, in the 5–40% range (see Fig. 3). For these processes,  $\eta$  is the result of a design strategy to minimize the net present value of total costs. That optimization involves balancing capital costs, which tend to increase with  $\eta$ , and operating costs, which tend to decrease with  $\eta$ .

Fig. 3 plots concentration factor (i.e., the ratio of the material's final concentration to its initial concentration) versus the second-law efficiency of several industrial separation processes. The data



**Fig. 2.** Illustration of system under consideration. Mixed stream 1 enters the “black box separation unit,” where work is done to generate two product streams. In the case of air capture, stream 1 is air at ambient conditions; in the case of capture at a power plant, stream 1 is flue gas with approximately 12% CO<sub>2</sub>, and so forth. Generally, stream 2 is high purity CO<sub>2</sub>, and stream 3 is what is left.



**Fig. 3.** Empirical relationship between the concentration factor of industrial separation processes vs. the achieved second-law efficiency of those processes. Processes include separation of impurities from  $H_2$  after steam reforming and  $CO_2$  removal ( $H_2$  clean-up); separation of water from brine (desalination); separation of  $CO_2$  from syngas in an Integrated Gasification Combined Cycle power plant [ $CO_2$  from syngas (Selexol)]; separation of  $CO_2$  from syngas after steam reforming [ $CO_2$  from syngas (PSA)]; production of oxygen from air ( $N_2/O_2$  separation); separation of  $CO_2$  from coal power plant exhaust [ $CO_2$  from flue gas (amine)]; separation of  $CO_2$  from natural gas power plant exhaust [ $CO_2$  from NGCC (amine)]; and separation of ethanol and water (ethanol distillation). Dots and boxes outline scatter in published reports, and lines indicate upper and lower bounds on calculations done on the basis of partial information in published reports. See *SI Appendix* for a technical explanation.

indicate that separation processes span a wide range of concentration factors and second-law efficiencies, but they never exhibit both high concentration factor *and* high second-law efficiency. That observation helps explain observations made by Sherwood et al. (5, 6) that the total costs scale with the concentration factor: As more material is processed, more work input is required for moving and arranging the additional material. The trend apparent in Fig. 3 suggests that, for air capture, the second-law thermodynamic efficiency is likely to be significantly below 10%. Indeed, unless a new technology is shown to substantially deviate from the efficiency frontier in Fig. 3, it is reasonable to assume

that the second-law efficiency of an air capture system could be below 5%. Rather than conflict with Baciocchi et al.'s projection of a process with 13.5% second-law efficiency (24) (see Table 1), this empirical projection is indicative of the difference in performance between detailed process design with process components that have yet to be developed (i.e., Baciocchi et al.'s process, ref. 24) and as-built commercial processes as shown in Fig. 3.

**Part 3: The Cost of Power to Operate Air Capture of  $CO_2$ .** If we assume a second-law efficiency of 5% for air capture systems, 400 kJ/(mol  $CO_2$ ) of work will be required to separate  $CO_2$  from the air. As a reference, a power plant fueled by natural gas, the least carbon-intensive fossil fuel, produces about 400 kJ of work for each mole of  $CO_2$  emitted (see Table 2). Therefore, if one powered an air capture system with 5% second-law efficiency this way, then no net  $CO_2$  would be removed from the atmosphere. Under such circumstances, air capture systems would need to be driven by nearly  $CO_2$ -free power sources, which are more expensive than today's mix of  $CO_2$  and non- $CO_2$  emitting power sources.

Determining the cost of  $CO_2$ -free work is not easy because the work can take many forms for different technological approaches. As an estimate, however, we use the Energy Information Agency's 2009 Levelized Cost of New Generating Technologies (25), which we have incorporated into Table 2.

Table 2 indicates that carbon-free electricity will have a cost of electricity (COE) in the 10–20 ¢/kWh range in the foreseeable future. If we use a price of 10 ¢/kWh, then just the cost of required  $CO_2$ -free work is about \$253/t $CO_2$  for the air capture system. Because additional capital investment that is unrelated to the cost of  $CO_2$ -free work (i.e., capture equipment, land, etc.) is required, the total cost of air capture will be substantially higher than just the cost of the work.

Using a range of  $\eta$  and COE, Table 3 (which does not include capital cost) indicates that air capture cost estimates (including capital) of \$100–\$200/t $CO_2$  (see Table 3) will not be realized unless the capture system is shown to significantly deviate from the trends observed in Fig. 3.

**Part 4: Work Required to Remove Trace Gases from Mixed Gas Streams.** In air,  $CO_2$  is a trace gas (i.e., at 400 ppm, it is present at a very low concentration). Therefore, it is instructive to examine the thermodynamic work required to remove trace gases from mixed gas streams in commercial processes. We examined a class of processes that involve the removal of the trace gases by reaction (i.e., the trace gas is chemically transformed to eliminate it from the original stream). For example, the process for removing

**Table 2. The financial costs of powering air capture in dollars per tonne of  $CO_2$  avoided as a function of the second-law efficiency as well as the source of work (25)**

Plant type	Total levelized cost, \$/MWh (30)	$CO_2$ intensity, t/MWh	Electricity produced per $CO_2$ emitted, kJ/mole	Costs of the work required for air capture, \$/(t $CO_2$ avoided)	
				$\eta = 0.05$	$\eta = 0.02$
Conventional coal	95	1	158	N/A*	N/A*
IGCC	103	0.9	176	N/A*	N/A*
IGCC with CCS	123	0.2	792	666	N/A*
Natural gas-fired					
Conventional combined cycle	84	0.4	396	N/A*	N/A*
Advanced combined cycle	80	0.4	396	N/A*	N/A*
Advanced CC with CCS	116	0.08	1,980	381	1,571
Advanced nuclear	110	0	$CO_2$ -free	286	715
Wind	142	0	$CO_2$ -free	369	923
Wind—offshore	230	0	$CO_2$ -free	598	1,495
Solar PV	396	0	$CO_2$ -free	1,030	2,574
Solar thermal	264	0	$CO_2$ -free	686	1,716
Biomass	107	0.2	792	580	N/A*
Hydro	115	0	$CO_2$ -free	299	748

Note, these costs do not include capital costs. IGCC, Integrated Gasification Combined Cycle; PV, photovoltaic.

\*N/A, using these energy sources to power air capture will emit more  $CO_2$  than captured, making air capture infeasible.

**Table 3. Cost of power only (dollars per tonne of CO<sub>2</sub>) for an air capture system as a function of  $\eta$  and the cost of carbon-free power**

$\eta$	10 ¢/kWh, \$	15 ¢/kWh, \$	20 ¢/kWh, \$
0.01	1,263	1,894	2,525
0.025	505	758	1,010
0.05	253	379	505
0.10	126	189	253

SO<sub>2</sub> from flue gas results in the conversion of SO<sub>2</sub> into CaSO<sub>3</sub>. Such chemical processes are thermodynamically favorable, meaning that the processes could, in principle, be used to do useful work. Yet, in practice, these processes require substantial inputs of thermodynamic work, and the work requirements of these processes result from losses associated with the handling of the non-reactive material contained in the mixed gas stream. As such, it is useful to extend our investigation of the energetic dependence on initial concentration to processes that involve the thermodynamically favorable removal of minority gas species from mixed gas streams. In doing so, we characterized a relationship between the actual work used by various separation processes and the initial concentration of the trace gas that is to be removed (Table 4). Note that the actual work required to remove these trace gases from flue gas increases as the initial concentration of the trace gas decreases.

The removal of NO<sub>x</sub> from flue gas, in particular, is worth considering because the initial concentration of NO<sub>x</sub> in flue gas is approximately equal to that of CO<sub>2</sub> in ambient air. Typical NO<sub>x</sub>-removing selective catalytic reduction (SCR) systems can reduce on average 80% of the NO<sub>x</sub> from the flue gas (26) through the injection of reactive ammonia into the flue-gas stream. The overall reaction between ammonia and NO<sub>x</sub> across an SCR catalyst, typically comprised of supported vanadia, to form H<sub>2</sub>O and N<sub>2</sub> is thermodynamically favorable, meaning that, in theory, no work is required to remove NO<sub>x</sub> from flue gas. In practice, however, nearly 500 kJ of work is expended per mole of NO<sub>x</sub> removed to power the fan/blower system due to increased pressure drop across monoliths of catalyst. Additionally, the SCR unit is placed just downstream of the boiler exit so that reaction kinetics of reduction are enhanced by the elevated temperature of the flue gas.

In addition to the concentration similarity between NO<sub>x</sub> in flue gas and CO<sub>2</sub> in air, both the removal of NO<sub>x</sub> from flue gas and the removal of CO<sub>2</sub> from air use “end-of-pipe” cleanup techniques, and they both involve reaction on a solid surface (catalyst for NO<sub>x</sub>, absorbent for CO<sub>2</sub>). As such, the removal of NO<sub>x</sub> from flue gas by commercial systems should provide insight into the thermodynamic work required to remove CO<sub>2</sub> from air. It is important to note, however, that NO<sub>x</sub> removal differs from air capture because, aside from the physical differences of the substances, NO<sub>x</sub> removal is a thermodynamically favorable process; NO<sub>x</sub> removal does not produce a concentrated stream of NO<sub>x</sub>; and NO<sub>x</sub>

**Table 4. The work used by commercial processes to remove trace substances via reaction**

Substance, sep. process	C <sub>initial</sub> , molar	Required work, kJ/mol
SO <sub>2</sub> from flue gas, wet FGD	$1.2 \times 10^{-3}$	380
SO <sub>2</sub> from flue gas, lime spray dryer	$1.2 \times 10^{-3}$	240
NO <sub>x</sub> from flue gas, SCR	$3.5 \times 10^{-4}$	490
Hg from flue gas, activated carbon injection	$9.9 \times 10^{-10}$	$1.1 \times 10^7$

Calculated from Integrated Environmental Control Software (IECM). Assuming a 500-MW power plant burning Appalachian medium sulfur coal NO<sub>x</sub> reduction involves an SCR unit with a 73.48% removal efficiency; work = 488.31 kJ/molNO<sub>x</sub>. See <http://www.iecm-online.com> for further details and current public version of the IECM (2007) software. FGD, flue gas desulfurization.

removal benefits from the elevated temperature of the flue gas. Thus, all of the key differences between NO<sub>x</sub> removal from flue gas and CO<sub>2</sub> removal from air indicate that air capture of CO<sub>2</sub> will require more thermodynamic work than NO<sub>x</sub> removal. It is therefore very likely that CO<sub>2</sub> capture from air will require more thermodynamic work than the approximate 500 kJ/mol used for NO<sub>x</sub> removal. As discussed in the prior section, an air capture process using greater than 400 kJ/mol is counterproductive unless powered by carbon-free energy.

**Biomass Combustion with CCS.** Notably, there is an alternative indirect pathway for air capture that may ultimately offer a reasonable CO<sub>2</sub> offset: a biomass-based combustion power plant with CO<sub>2</sub> capture. Powered by the sun, CO<sub>2</sub> is captured from the air via photosynthesis and stored in the biomass, along with the solar energy. Next, the biomass is harvested and combusted to produce power. The relatively concentrated CO<sub>2</sub> in the flue gas (about 10%) is captured and stored, while the excess carbon-free power is available for sale. The net result is that solar energy is used to capture CO<sub>2</sub> from the air for storage in geologic reservoirs with production of CO<sub>2</sub>-free electricity. Estimates for the total cost of capturing CO<sub>2</sub> from this process are in the range of \$150–\$400/tCO<sub>2</sub> (27).

One drawback to this approach will be scale, because an estimated 180,000 square miles of arable land (roughly 6% of the land area of the contiguous United States) will be required to capture one billion metric tons of CO<sub>2</sub> per year (28, 29). Another concern is the life-cycle carbon balance. Greenhouse gas emissions may be associated with growing, harvesting, and transporting the biomass, as well as land-use changes associated with growing energy crops. To account for these “fugitive” emissions, they must be subtracted from the gross amount of CO<sub>2</sub> captured. The low end of the cost range above assumes no fugitive CO<sub>2</sub> emissions, whereas the high end assumes the fugitive emissions equal 50% of the gross amount captured (27).

It should be noted that this process for removing CO<sub>2</sub> from the air does not violate the empirical trend that the efficiency of systems that remove trace substances from mixtures tends to scale inversely with the initial concentration because photosynthesis operates with a first-law efficiency seldom better than 2% (30, 31). So, in the case of biomass combustion with CCS, the total system does the same amount of material handling as industrial air capture systems; in the former, nature, rather inefficiently, does some of the material handling.

**Part 5: Design Trade-offs for Air Capture Systems.** The 300-fold concentration difference of CO<sub>2</sub> in flue gas (12%) and air capture (0.04%) causes the minimum work to increase by only about a factor of three. As reported by Sherwood and others, however, the financial cost of separation tends to scale inversely to the initial concentration because of the large material handling requirements. Those large material handling requirements affect both the capital costs of the separation equipment as well as the process’s second-law efficiency. To better understand the empirical results presented above, this section looks at design trade-offs inherent in any CO<sub>2</sub> capture process based on traditional solvent/sorbent looping systems.

In the first step of any separation system, the air must be contacted with a solvent or sorbent to capture the CO<sub>2</sub>. In conventional settings, contacting would be achieved by blowing the air through scrubber towers. To capture the same quantity of CO<sub>2</sub> as a flue-gas-capture plant, and assuming the same fractional CO<sub>2</sub> removal, an air capture plant would need to process 300 times the volume of gas. Given practical limits on gas velocities in traditional scrubbers (32), conventional scrubbers for air capture would need significantly greater cross-sectional areas, but unlike point-source scrubbers, may consist of an array of flat or short modules, rather than tall columns. In a conventional point-source

scrubbing system, the solvent or sorbent loading and regeneration must maintain the pace dictated by the flue-gas flow rates, which are on the order of 3,000 t/h for a conventional 500-MW power plant, whereas the air capture system flow requirements will be dictated by the desired capture rates. Without major design modifications from the conventional, the expense of blowing or fan power may drive the cost of such a plant far above the order of magnitude estimates of the current work. Therefore, the air capture system will likely have to rely on a sorbent or solvent configuration that requires minimal effort to flow air through the system.

Whether the air is contacted with a solvent or sorbent via traditional blowers and scrubbers, or via more passive means, in a looping system, the solvent or sorbent must then be regenerated. That raises another issue because the driving force (i.e., the partial pressure of CO<sub>2</sub>) for sorption in air capture absorbers is 300-fold less than in flue-gas absorbers. As a result, if one were to use the same solvents or sorbents in air capture as in flue gas, the sorption rates would be much lower, requiring much larger contact surface areas. Therefore, it is likely that the air capture system may require more selective binding, which can take the form of greater accessible surface area, faster kinetics, minimum diffusion constraints to the active site of adsorption or reaction, and/or enhanced binding to a given surface site or chemical solvent; otherwise, both the rich and lean solvent loadings will be lower, requiring significantly more energy to be expended in order to regenerate the solvent to the lower lean loadings (27), further driving up the potential costs of direct air capture. Stronger binding, however, may not be the best route because it will come at the expense of increased solvent regeneration cost and power. It becomes clear that, if the design and implementation of direct air capture plants were to move forward at the costs estimated in this work, they would have to be quite unique to the traditional gas scrubbing systems of point-source CO<sub>2</sub> emissions.

It has been suggested that, unlike a power plant, where a high recovery factor (i.e., the fraction of CO<sub>2</sub> in the gas stream that is captured) of 80–90% is an essential design constraint for point-source CCS to be a meaningful mitigation tool, the recovery factor for an air capture plant need not be so high (1). A lower recovery factor, however, is not necessarily beneficial. For example, operating with a recovery factor of only 45% (vs. 90%) will necessitate processing twice as much air (to capture the same tonne of CO<sub>2</sub>), doubling the gas handling disadvantage from 300:1 to 600:1.

### Concluding Remarks

Our empirical analysis of energetic and capital costs of existing, mature, gas separation systems indicates that air capture processes will be significantly more expensive than mitigation technologies aimed at decarbonizing the electricity sector. Unless a technological breakthrough that departs from humankind's accumulated experience with dilute gas separation can be shown to "break" the Sherwood plot and the second-law efficiency plot—and the burden of proof for such a process will lie with the inventor—direct air capture is unlikely to be cost competitive with CO<sub>2</sub> capture at power plants and other large point sources.

Our assessment indicates that air capture will cost on the order of \$1,000/t of CO<sub>2</sub>. Through 2050, it is likely that CO<sub>2</sub> emissions can be mitigated for costs not exceeding about \$300/t of CO<sub>2</sub> (33). However, at some point in time, air capture conceivably could be a useful tool to mitigate emissions from distributed sources, and may even be deployed to reduce atmospheric concentrations of CO<sub>2</sub> below current concentrations. Air capture for negative net CO<sub>2</sub> emissions would follow the decarbonization of our electricity system and other large anthropogenic point sources and assumes abundant and inexpensive non-carbon energy sources.

- Keith D, Ha-Duong M, Stolaroff J (2005) Climate strategy with CO<sub>2</sub> capture from the air. *Clim Change* 74:17–45.
- Zeman F (2007) Energy and material balance of CO<sub>2</sub> capture from ambient air. *Environ Sci Technol* 41:7558–7563.
- Pielke R (2009) An idealized assessment of the economics of air capture of carbon dioxide in mitigation policy. *Environ Sci Policy* 12:216–225.
- Jones N (2009) Climate crunch: Sucking it up. *Nature* 458(7242):1094–1097.
- Sherwood TK (1959) *Mass Transfer Between Phases* (Phi Lambda Upsilon, Penn State Univ, University Park, PA).
- Grübler A (1998) *Technology and Global Change* (Cambridge Univ Press, Cambridge, UK).
- Dahmus J, Gutowski T (2007) What gets recycled: An information theory based model for product recycling. *Environ Sci Technol* 41:7543–7550.
- Lightfoot E, Cockrem M (1987) What are dilute solutions? *Sep Sci Technol* 22:165–189.
- Greenwood K, Pearce M (1953) The removal of carbon dioxide from atmospheric air by scrubbing with caustic soda in packed towers. *Trans Inst Chem Eng* 31:201–207.
- Boyd A, Miller C, Schwartz M (1986) Integrated air revitalization system for Space Station. *Sixteenth International Conference on Environmental Systems* (Society of Automotive Engineers International, Warrendale, PA), pp 331–343.
- Rendell D, Clarke M, Evans M (2007) The effect of environmental conditions on the absorption of carbon dioxide using soda lime. (Society of Automotive Engineers International, Warrendale, PA) SAE Paper 2644.
- Spector N, Dodge B (1946) Removal of carbon dioxide from atmospheric air. *Trans Am Inst Chem Eng* 42:827–848.
- Metz B, Davidson O, de Coninck HC, Loos M, Meyers LA (2005) *IPCC Special Report on Carbon Dioxide Capture and Storage* (Cambridge Univ Press, Cambridge, UK).
- Lackner K (2009) Capture of carbon dioxide from ambient air. *Eur Phys J Spec Top* 176:93–106.
- McKinsey and Company (2008) Carbon capture and storage: Assessing the economics. Available at <http://assets.wwf.ch/downloads/mckinsey2008.pdf>. Accessed November 7, 2011.
- Kohl A, Nielsen R (1997) *Gas Purification* (Gulf Professional Publishing, Houston), 5th Ed.
- King C (1987) Separation and purification: Critical needs and opportunities. *Report to National Research Council* (Nat'l Academy Press, Washington, DC).
- Phillips W, Edwards D (1976) Metal prices as a function of ore grade. *Resour Policy* 2:167–178.
- MIT (2007) The future of coal: Options for a carbon-constrained world. Available at [http://web.mit.edu/coal/The\\_Future\\_of\\_Coal.pdf](http://web.mit.edu/coal/The_Future_of_Coal.pdf). Accessed November 7, 2011.
- Rochelle G (2009) Amine scrubbing for CO<sub>2</sub> capture. *Science* 325:1652–1654.
- Perry R, Green D (2007) *Perry's Chemical Engineers' Handbook* (McGraw-Hill, New York).
- Fermi E (1936) *Thermodynamics* (Dover, New York).
- Bashadi S (2010) Using auxiliary gas power for CCS energy needs in retrofitted coal power plants. MS thesis, available at [http://sequestration.mit.edu/pdf/SarahBashadi\\_Thesis\\_June2010.pdf](http://sequestration.mit.edu/pdf/SarahBashadi_Thesis_June2010.pdf) (MIT, Cambridge, MA).
- Bacocchi R, Storti G, Mazzotti M (2006) Process design and energy requirements for the capture of carbon dioxide from air. *Chem Eng Process* 45:1047–1058.
- Energy Information Administration (2009) Levelized cost of new generating technologies. *Annual Energy Outlook 2009*, Available at [http://www.eia.gov/oiarf/aeo/electricity\\_generation.html](http://www.eia.gov/oiarf/aeo/electricity_generation.html). Accessed February 1, 2010.
- Rubin E, Yeh S, Hounshell D, Taylor M (2004) Experience curves for power plant emission control technologies. *Int J Energy Technol Policy* 2:52–69.
- Ranjan M (2010) Feasibility of air capture. MS thesis, available at [http://sequestration.mit.edu/pdf/ManyaRanjan\\_Thesis\\_June2010.pdf](http://sequestration.mit.edu/pdf/ManyaRanjan_Thesis_June2010.pdf) (MIT, Cambridge, MA).
- Jenkins B, Baxter L, Miles T (1998) Combustion properties of biomass. *Fuel Process Technol* 54:17–46.
- Hall D, House J (1993) Reducing atmospheric CO<sub>2</sub> using biomass energy and photobiology. *Energy Convers Manage* 34:889–896.
- Walker D (1992) *Energy, Plants and Man* (University Science Books, Mill Valley, CA).
- Hall D, Rao K (1999) *Photosynthesis* (Cambridge Univ Press, Cambridge, UK).
- Seader J, Henley E (2006) *Separation Process Principles* (Wiley, Hoboken, NJ), 2nd Ed.
- Metz B, Davidson O, Bosch P, Dave R, Meyer L (2007) *Climate Change 2007: Mitigation of Climate Change* (Cambridge Univ Press, New York).
- Nikulshina V, Hirsch D, Mazzotti M, Steinfeld A (2006) CO<sub>2</sub> capture from air and co-production of H<sub>2</sub> via the Ca(OH)<sub>2</sub>-CaCO<sub>3</sub> cycle using concentrated solar power-thermodynamic analysis. *Energy* 31:1715–1725.
- Stolaroff J, Lowry G, Keith D (2008) Carbon dioxide capture from atmospheric air using sodium hydroxide spray. *Environ Sci Technol* 42:2728–2735.
- Mahmoudkhan M, Keith D (2009) Low-energy sodium hydroxide recovery for CO<sub>2</sub> capture from atmospheric air—Thermodynamic analysis. *Int J Greenhouse Gas Control* 3:376–384.
- House K, Harvey C, Aziz M, Schrag D (2009) The energy penalty of post-combustion CO<sub>2</sub> capture & storage and its implications for retrofitting the US installed base. *Energy Environ Sci* 2:193–205.