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<th>Gao, Q. et al. “Deletion of the De Novo DNA Methyltransferase Dnmt3a Promotes Lung Tumor Progression.” Proceedings of the National Academy of Sciences 108.44 (2011): 18061–18066. Copyright ©2011 by the National Academy of Sciences</th>
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<td>As Published</td>
<td><a href="http://dx.doi.org/10.1073/pnas.1114946108">http://dx.doi.org/10.1073/pnas.1114946108</a></td>
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<tr>
<td>Publisher</td>
<td>National Academy of Sciences</td>
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<td>Version</td>
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<td>Accessed</td>
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Deletion of the de novo DNA methyltransferase Dnmt3a promotes lung tumor progression

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Contributed by Rudolf Jaenisch, September 15, 2011 (sent for review July 29, 2011)

Alterations in DNA methylation have been associated with genome-wide hypomethylation and regional de novo methylation in numerous cancers. De novo methylation is mediated by the de novo methyltransferases Dnmt3a and 3b, but only Dnmt3b has been implicated in promoting cancer by silencing of tumor-suppressor genes. In this study, we have analyzed the role of Dnmt3a in lung cancer by using a conditional mouse tumor model. We show that Dnmt3a deficiency significantly promotes tumor growth and progression but not initiation. Changes in gene expression show that Dnmt3a deficiency affects key steps in cancer progression, such as angiogenesis, cell adhesion, and cell motion, consistent with accelerated and more malignant growth. Our results suggest that Dnmt3a may act like a tumor-suppressor gene in lung tumor progression and may be a critical determinant of lung cancer malignancy.

Changes in DNA methylation status are among the most common molecular alterations in cancer (1, 2). Genome-wide hypomethylation and promoter hypermethylation are hallmarks of a great variety of cancers contributing to tumorigenesis. Global hypomethylation can result in increased genomic instability, reactivation of silenced parasitic genes, and loss of imprinting (3, 4), whereas hypermethylation of CpG islands in gene promoters can silence tumor-suppressor genes and affect critical cellular processes, such as cell-cycle control, apoptosis, DNA repair, cell–cell interaction, and angiogenesis (5).

The de novo methyltransferases Dnmt3a and Dnmt3b are highly expressed during early embryonic development and downregulated in most differentiated somatic cells (6). In human cancers, however, Dnmt3b is frequently overexpressed (7, 8), consistent with the notion that inappropriate expression of Dnmt3b may silence tumor-suppressor genes and thus contribute to tumorigenesis. Based upon tissue-specific gene deletion and induction, Dnmt3b has been identified in mouse models to carry out de novo methylation of genes that are typically silenced in human colon cancer and to promote intestinal tumor formation (9–11). In contrast, Dnmt3b, the role of Dnmt3a in cancer is far less understood. Dnmt3a was found to be overexpressed in some human cancers (12) and in a mouse xenograft model, the knockdown of Dnmt3a was shown to suppress melanoma growth and metastasis (13). In contrast, induction of Dnmt3a in APCMin mice had no effect on intestinal tumor formation (9). The role of Dnmt3a in human cancer was highlighted by reports of Dnmt3a mutations in approximately 20% of patients with acute myeloid leukemia (14, 15). The occurrence of these mutations correlated with reduced enzymatic activity and genomic regions with decreased methylation. Dnmt3a mutations were also identified in 8% of patients with myelodysplastic syndrome (16). In all these reports, the Dnmt3a mutations correlated with poor prognosis.

Lung cancer is the leading cause of cancer death in the United States (17) and can be divided into four types—adenocarcinoma (AD), squamous-cell carcinoma, large-cell carcinoma, and small-cell lung carcinoma—with AD being the most common type. Both genetic and epigenetic factor have been implicated in lung cancer. The mutation of K-ras is one of the most common genetic lesions and can be found in a large fraction of lung cancers. Promoter hypermethylation is perhaps the best characterized epigenetic aberration and can be used as a screening marker for early detection, prevention, and prognosis (18, 19).

In this study, we have established an experimental system to investigate the role of Dnmt3a in lung AD. We show that deletion of Dnmt3a in mutant K-ras induced lung tumors significantly promotes tumor progression, suggesting that this gene functions like a tumor suppressor.

Results

Dnmt3a Deletion Accelerates Tumor Growth. To test the effect of Dnmt3a deletion on lung cancer, we generated mice carrying a conditional K-rasLSL-G12D allele (20) and 2Lox alleles of Dnmt3a (21) (K-rasLSL-G12D/Dnmt3a2Lox/2Lox) (Fig. 1L). Oncogene activation and Dnmt3a deletion were induced by intratracheal infusion with adenoviral Cre recombinase (Ad-Cre) (22).

The lungs of infected animals were removed and prepared for histologic examination at weeks 8, 16, and 24 after Ad-Cre administration. No significant differences in tumor number and size were seen in lungs of animals at week 8. In contrast, Dnmt3a-deficient (KO) and WT mice showed a dramatic difference at weeks 16 and 24 after infection. Whereas most tumors in lungs of Dnmt3a WT animals were small (as large as 0.2 cm in diameter), lungs of Dnmt3a-deficient mice were characterized by a significant increase in the number of large tumors (Fig. 1F). This was confirmed on histological sections of the lungs (Fig. 1C). As summarized in Fig. 1D, the average size of Dnmt3a-deficient tumors was approximately four times larger at week 16 and six times larger at week 24 compared with WT tumors. Similarly, the fraction of the total lung area occupied by Dnmt3a-deficient tumors was approximately four times larger than that occupied by WT tumors at weeks 16 and 24 (Fig. 1E). However, the total number of tumors (adenoma and AD) and atypical adenomatous hyperplasia (a pretumor lesion) did not vary significantly between Dnmt3a-deficient and control animals at weeks 8 and 16 (Fig. 1F and Tables S1 and S2). These results suggest that Dnmt3a deficiency does not affect the initiation of K-ras-induced lung tumors but significantly promotes tumor growth.


The authors declare no conflict of interest.

Data deposition: The microarray and methylation data reported in this paper have been deposited in the Gene Expression Omnibus (GEO) database, www.ncbi.nlm.nih.gov/geo (accession no. GSE32487).

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This article contains supporting information online at www.pnas.orglookup/suppl/doi:10.1073/pnas.1114946108/-/DCSupplemental.
Deletion of Dnmt3a in Tumors. To verify Dnmt3a protein expression, we performed immunohistochemical analyses on lung sections of Dnmt3a-deficient and WT mice by using an antibody that recognizes the amino-terminal region of the Dnmt3a protein. Fig. 2C shows strong nuclear as well as weak cytoplasmic staining in Dnmt3a WT tumors. In contrast, Dnmt3a-deficient tumors lacked nuclear staining and revealed only weak cytoplasmic immunoreactivity (Fig. 2D). This difference in staining pattern suggests that, although Dnmt3a mutant cells may produce low levels of truncated Dnmt3a protein, they do not express functional protein that can localize to the nucleus, and thus would be unable to methylate genomic DNA.

Dnmt3a Deletion Facilitates Tumor Progression and Shortens Lifespan. To assess tumor progression and malignancy, we classified the tumors into three grades according to tumor differentiation (modified from ref. 23). Grade 1 tumors were well differentiated, and the tumor cells resembled type 2 pneumocytes with small round nuclei and granular cytoplasm. In contrast, grade 3 tumors represented poorly differentiated tumors with marked cellular and nuclear pleomorphism, high nucleus-to-cytoplasm ratio, prominent nucleoli, and numerous mitotic figures. Grade 2 tumors were moderately differentiated, with a degree of differentiation between those of grades 1 and 3. As summarized in Fig. 3A, a significantly higher fraction of tumors in Dnmt3a-deficient animals at weeks 16 and 24 after infection was of grades 2 and 3 compared with tumors in Dnmt3a WT mice (Tables S2 and S3).

We also evaluated the tumor growth pattern. Two types of tumor growth, a solid and a papillary growth pattern, can be distinguished in mouse lung tumor, with the papillary growth pattern being considered as characteristic for advanced tumors (24, 25). In solid tumors, tumor cells proliferate in a nest- or sheet-like fashion, whereas in papillary tumors, the cells grow in a sheet-like fashion, whereas in papillary tumors, the cells grow
were rare, and no significant difference was detected between Dnmt3a-deficient and WT tumors (Fig. 4D). These results suggest that the increased growth of Dnmt3a-deficient tumors is a result of increased proliferation rather than decreased apoptosis.

**Gene Expression and DNA Methylation in Dnmt3a-Deficient Tumors.** To gain insights into overall gene expression changes, we performed transcriptional profiling analyses by using whole mouse genome microarrays. A comparison between 12 pairs of Dnmt3a-deficient and WT tumors revealed that mRNA abundance of approximately 1,970 genes was significantly different between Dnmt3a-deficient and WT tumors [false discovery rate (FDR) < 0.05] with approximately 1,020 genes expressed at a higher and 940 genes expressed at a lower level in Dnmt3a-deficient tumors (Fig. 5A and Dataset S1). Gene Ontology enrichment analysis (26) revealed that the top three biological processes affected most significantly by these differentially expressed genes were blood vessel formation, cell adhesion, and regulation of cell motion (Fig. 5B and Dataset S1). These processes are key steps in cancer progression (27), consistent with the morphological findings that Dnmt3a-deficient tumors appear to be larger and more advanced. Also, no significant difference (using a raw P value threshold of 0.05) of the mRNA abundance was detected in Dnmt1 (P = 0.46) or Dnmt3b (P = 0.34) between Dnmt3a-deficient and WT tumors.

To examine whether Dnmt3a deficiency resulted in genomic methylation changes, we performed an unbiased methylome analysis. Methylated DNA isolated from three Dnmt3a-deficient and two WT tumors was immunoprecipitated and subjected to high-throughput sequencing [methylated DNA immunoprecipitation (MeDIP)-Seq], producing a total of 101 million reads. Because of the CpG density dependency of MeDIP, we only analyzed relative methylation levels comparing the two exact same regions in Dnmt3a-deficient and WT tumors. To identify differentially methylated regions (DMRs), we mapped the reads and scanned the genome in 1-kb windows with 100 bp overlap (Materials and Methods). We observed that 99.6% of the DMRs were less methylated in the Dnmt3a-deficient compared with the WT tumors (Table S4 and Dataset S2). Based on their overall distribution on the genome, a higher than expected percentage of less methylated regions overlapped gene bodies, particularly exons (Table S4). We further tested all genes and promoter regions for differential methylation (Materials and Methods and Dataset S2) and observed that genes that were less expressed in Dnmt3a-deficient tumors tended to have lower methylation levels in gene bodies (Fig. S1A). In contrast, gene expression changes did not correlate with promoter methylation (Fig. S1B). These results are consistent with a previous report showing a positive correlation between gene body methylation and gene expression in Dnmt3a-deficient neural cultures, suggesting that Dnmt3a-mediated nonpromoter methylation facilitates gene expression (28).

A previous study found methylation changes of repetitive sequences in established Dnmt3a mutant ES cells (29). We therefore performed Southern blot-based methylation assays to compare the DNA methylation status of minor satellite repeats and detected no obvious differences between Dnmt3a-deficient and WT tumors (Fig. S2). This suggests that Dnmt3a-dependent methylation changes of these sequences become manifest only after extensive cell passage and cell proliferation.

**Discussion** The role of DNA methylation in the APCMin model of intestinal tumors has been well established, with Dnmt3b deletion protecting against tumorigenesis and overexpression increasing tumor load by de novo methylation and silencing of tumor-suppressor genes (9, 10). In contrast, no role of Dnmt3a in
tumor formation has been established. Here we demonstrate that Dnmt3a deficiency promotes tumor growth and progression but that the mechanism of how this gene affects cancer progression is unclear. The genome of embryonic stem cells, in contrast to that of somatic cells, has methylated cytosine residues at non-CpG contexts, which has been suggested to result from the activity of Dnmt3a (30). More recently, whole-genome profiles of DNA methylation at single-base pair resolution of ES cells detected non-CpG methylation in gene bodies, which was positively correlated with gene expression (31). Another study demonstrated that Dnmt3a-dependent gene body methylation correlated with expression of genes involved in neural differentiation (28). Consistent with this observation, we found that gene bodies were less methylated in Dnmt3a-deficient tumors than in WT tumors, which also correlated with lower gene expression.

Given that tumors in the mutant K-ras lung cancer model may arise from stem cells giving rise to more differentiated cells in the tumor (32), it is possible that Dnmt3a-dependent gene body methylation may be important for expression of genes that promote differentiation in a fraction of the tumor cells. Although mechanistic insights are lacking, our observation that Dnmt3a-deficient mice harbored more poorly differentiated and more advanced tumors is consistent with the notion that Dnmt3a deficiency interferes with the differentiation process in tumor cells, promoting the formation of less mature and more malignant tumors.

A novel finding of this study is that deletion of Dnmt3a promotes tumor growth and progression but not tumor initiation, suggesting that this gene, counter to expectation, acts like a tumor suppressor rather than like a cancer-promoting gene, as has been shown for Dnmt3b. Expression of genes involved in processes such as angiogenesis, cell adhesion, and cell motion was significantly altered in Dnmt3a-deficient tumors, consistent with an effect on tumor growth and progression. The methylation status of DNA may affect cancer by several mechanisms: (i) global hypomethylation may increase genomic instability (3, 4), and (ii) hypermethylation of promoters can mediate tumor suppressor gene silencing (5, 9). However, the mechanism of how Dnmt3a affects gene expression and tumor formation is unclear. The genome of embryonic stem cells, in contrast to that of somatic cells, has methylated cytosine residues at non-CpG contexts, which has been suggested to result from the activity of Dnmt3a (30). More recently, whole-genome profiles of DNA methylation at single-base pair resolution of ES cells detected non-CpG methylation in gene bodies, which was positively correlated with gene expression (31). Another study demonstrated that Dnmt3a-dependent gene body methylation correlated with expression of genes involved in neural differentiation (28). Consistent with this observation, we found that gene bodies were less methylated in Dnmt3a-deficient tumors than in WT tumors, which also correlated with lower gene expression.

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The majority of DNM73a mutations found in myeloid neoplasm patients are missense mutations, and some of the mutations have been shown or predicted to result in reduced translation (14–16). However, because nearly all patients are heterozygous for the mutant allele it is not clear whether the Dnm73a mutations have dominant-negative effects or cause hemizygous insufficiency. In this context, our data using a Dnmt3a-null allele are of interest, as they argue against the possibility that hemizygous insufficiency affects lung tumors.

Based on the well established role of de novo DNA methylation-mediated gene silencing in cancer, inhibitors of methyltransferases are being actively investigated (33) and two drugs, azacitidine and decitabine, have been approved by the Food and Drug Administration for treatment of myelodysplastic syndrome (34, 35). Our data raise the possibility that such treatments, in addition to activating silenced tumor-suppressor genes, may have the unintended consequence of inhibiting Dnmt3a, thereby affecting its proposed tumor-suppressor function. Therefore, it will be of great importance to elucidate the molecular mechanisms of how this gene affects cancer progression. The avail-

**Fig. 3.** Dnmt3a deficiency leads to more advanced tumors. (A) Dnmt3a-deficient mice had more grade 2 and grade 3 tumors than WT mice. Photomicrographs show the morphology of tumors of the three histological grades (arrowhead, mitotic figure). Bar graph demonstrates that Dnmt3a-deficient mice had a significantly higher percentage of grade 2 and 3 tumors at weeks 16 and 24 (week 16, $P = 0.0019$; week 24, $P < 0.0001$) and grade 3 tumors at week 24 ($P = 0.0034$). (B) Dnmt3a-deficient mice had more papillary tumors than WT mice. Photomicrographs show the morphology of solid and papillary growth pattern. Bar graph demonstrates that Dnmt3a-deficient mice had a significantly higher percentage of papillary tumors at weeks 16 and 24 (week 16, $P = 0.026$; week 24, $P = 0.0062$); $n = 4$ for Dnmt3a KO and WT at week 8 and 24; $n = 5$ for KO and WT mice at week 16. (C) Representative section showing invasion into a bronchiole (arrow) in a Dnmt3a-deficient tumor. (D) Dnmt3a-deficient (i.e., homozygous KO) mice have a significantly shorter lifespan than Dnmt3a heterozygous and WT mice ($P < 0.0001$). No significant difference was detected between Dnmt3a heterozygous and WT mice ($P = 0.63$). WT, Dnmt3a WT, $n = 29$; Homo, Dnmt3a homozygous KO, $n = 23$; Hetero, Dnmt3a heterozygous, $n = 24$. *Comparisons that are significantly different (at aforementioned $P$ values). Photomicrographs show H&E staining. (Scale bars: $A$ and $B$, 50 μm; $C$, 200 μm.)
ability of a genetically defined experimental system will greatly facilitate these efforts.

Materials and Methods

Animal Models. K-ras^SL-G12D^ mice, which were provided by T. Jacks (Massachusetts Institute of Technology, Cambridge, MA) (20), were crossed with the Dnmt3a conditional KO mice (21) to generate mice with the following genotypes: K-ras^SL-G12DWT/Dnmt3a^fl/fl^KO, K-ras^SL-G12DWT/Dnmt3a^WT/WT, and K-ras^SL-G12DWT/Dnmt3a^Dnmt3a^KO. After Ad-Cre-mediated recombination, mice were generated with lung cells that carried the K-ras mutation and were homozygous (i.e., KO), heterozygous, and WT at the Dnmt3a locus. Ad-Cre (Gene Transfer Vector Core, University of Iowa) was delivered via an intratracheal approach (22). Briefly, 8- to 12-wk-old mice were anesthetized by i.p. injection of tribromoethanol (−0.4 mg/g body weight) and suspended on a bar of a mouse platform via their front teeth. A Fiber-lite illuminator was used to illuminate the opening of the trachea, an i.v. catheter (22 gauge) was inserted into the trachea until the top of the catheter was near the mouse’s front teeth, and 60 μl Ad-Cre (5 × 10^7^ pfu in MEM containing 10 mM CaCl2) was directly delivered into the catheter. In some of the microarray studies, we also used an intranasal infection approach. The procedure was similar to the intratracheal approach except that the virus was directly pipetted over the opening of one nostril of the mouse instead of delivering Ad-Cre via a catheter into the trachea.

Animal care was in accordance with institutional guidance and all animal studies were reviewed and approved by the Committee on Animal Care, Department of Comparative Medicine, Massachusetts Institute of Technology.

Mouse Examination, Tissue Preparation, and Histological Examination. For histological comparison, the mice were euthanized at weeks 8, 16, and 24 after Ad-Cre infection (no. of mice analyzed: week 8, Dnmt3a WT, n = 4; KO, n = 4; three pairs were littermates; week 16, WT, n = 5; KO, n = 5; four littermate pairs; week 24, WT, n = 4; KO, n = 4; three littermate pairs). During dissection, each organ was carefully examined with particular attention to mediastinal structures, especially lymph nodes. Any abnormal tissue was sampled for histological examination. The lungs were fixed by infusion of 10% formalin. After infiltrated by paraffin, the left lobe was trisected and the other lobes were bisected; they were then embedded in paraffin, sectioned (4 μm), and stained by H&E. Thus, each mouse’s lungs were represented by 11 sections that were used for histopathological comparisons. In the survival study, mice were euthanized at end stage (mouse numbers: Dnmt3a WT, n = 29; homozygous, n = 23; heterozygous, n = 24). Before tissue fixation, representative lung tumors and normal tissue were flash-frozen in liquid nitrogen and stored at −80 °C for genomic DNA and RNA extraction. Tumor images were captured and analyzed by Pixel Link Capture SE (Pixel Link).

Immunohistochemistry. Immunohistochemistry was performed by using Vectastain Elite ABC kit (Vector) following the manufacturer’s instructions, with the following primary antibodies: anti-Dnmt3a (sc-20703, 1:200 dil-
tion; Santa Cruz), anti-Ki-67 (clone TEC-3, 1:50 dilution; DakoCytomation), and anti-cleaved caspase-3 (no. 9661, 1:1,000 dilution; Cell Signaling). Dnmt3a and 3b staining was performed on 160 tumors in six Dnmt3a-deficient mice and 170 tumors in six WT mice. Ki-67 and cleaved caspase-3 staining were performed on 57 Dnmt3a-deficient tumors from two mice and 69 WT tumors from two mice.

**PCR and RT-PCR.** We used regular PCR to detect Cre-mediated recombination in tumors of Dnmt3a KO mice. The sequences of the PCR primes (Fig. 1A) were as follows: sense, 5′-gggttacctcagagattg3′; antisense, 5′-taacctaca-caggggttaga3′. PCR program was 95 °C for 2 min, 95 °C for 30 sec, 60 °C for 30 sec, 72 °C for 45 sec, 30 cycles; 72 °C for 6 min. Forty tumors with diameters of 0.1 cm to 0.4 cm were tested from nine Dnmt3a-KO mice. Genomic DNA (for large tumors) or tumor lysis (for small tumors) was used as template. Genomic DNA was isolated with AllPrep DNA/RNA mini kit (Qiagen). Total RNA was isolated with SuperScript III First-Strand Synthesis SuperMix (Invitrogen). Real-time PCR was performed on 790HT Fast Real-Time PCR System (Applied Biosystems) using SYBR Green Master (Roche), following manufacturer protocols. The expression data were analyzed by comparative Ct method.

**Microarray.** Total RNA was isolated from 12 matched Dnmt3a KO–WT tumor pairs from eight pairs of Dnmt3a KO–WT mice. Each pair of mice shared at least one parent. These 12 pairs of tumors included six pairs of large tumors (>0.4 cm in diameter) and six pairs of small tumors (<0.25 cm in diameter). The hybridization was performed on Agilent Whole Mouse Genome 4 × 44K microarrays (two channels) by Whitehead Genome Technology Core.

The two-color microarray raw data were normalized across channels by loess (locally weighted scatter-plot smoothing, using spot quality weights) and quantile normalization of average intensities ("Aquantile") using Bioconductor. Following summarization of replicate probes by median, differential expression was assayed by moderated t test and corrected for FDR, as implemented by the limma package in Bioconductor.

For gene enrichment analysis, we used the Database for Annotation, Visualization, and Integrated Discovery (DAVID) to analyze the differentially expressed genes list between Dnmt3a-deficient and WT tumors (FDR < 0.05).

**DNA Methylation Assays.** MeDIP-seq was performed on three Dnmt3a-deficient and two WT tumors by using the Magmedip kit (Diagenode) according to the manufacturer’s protocol. Libraries were sequenced on the genome analyzer II (Illumina). Data analysis was similar to that described by Bock et al. (36).

Details of MeDIP-Seq and Southern blot analysis are provided in SI Materials and Methods.

**Statistical Analysis.** We used Prism 5 (GraphPad Software) to perform statistical analysis, with two-tailed Student t test for the comparison of tumor number, size, grade, growth pattern, invasion, and fraction of tumor area; Kaplan–Meier survival analysis for comparison of lifespan; and two-way ANOVA for comparison of proliferation and apoptosis indexes. Unless indicated otherwise, 0.05 was used as the P value threshold for statistical significance.

**ACKNOWLEDGMENTS.** We thank J. Dauman, R. Flannery, and K. Ganz for maintenance of the mouse colony and F. Soldner, L. Medeiros, G. Welte sle, S. Sarkar, D. Faddah, and Y. Buganim for helpful discussions and critical comments on the manuscript. We thank T. Jacks (Massachusetts Institute of Technology) for providing K-ras mutant mice and A. Cheung and A. Dooley for technical assistance on intratracheal virus delivery. We also thank B. Yuan, R. Bronson, and D. Crowley for advice in statistics or histopathology; D. Cook, A. Leshinski, and C. Whittaker for running the Solexa pipeline; and J. Kwon, J. Love, and S. Gupta for performing microarray hybridization. This work was supported by a grant from Philip Morris International and National Institutes of Health Grant R01-CA087689.