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Metalloenzyme-like catalyzed isomerizations of sugars by Lewis acid zeolites

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Isomerization of sugars is used in a variety of industrially relevant processes and in glycolysis. Here, we show that hydrophobic zeolite beta with framework tin or titanium Lewis acid centers isomerizes sugars, e.g., glucose, via reaction pathways that are analogous to those of metalloenzymes. Specifically, experimental and theoretical investigations reveal that glucose partitions into the zeolite in the pyranose form, ring opens to the acyclic form in the presence of the Lewis acid center, isomerizes into the acyclic form of fructose, and finally ring closes to yield the furanose product. The zeolite catalysts provide processing advantages over metalloenzymes such as an ability to work at higher temperatures and in acidic conditions that allow for the isomerization reaction to be coupled with other important conversions.

The reaction mechanisms for XI-mediated isomerizations have been investigated because of their relevance to glycolysis and in-situ bioconversion (11). It is well established that the aldose to ketose interconversion occurs by a three-stage mechanism after binding of the cyclic form of glucose takes place. These steps are: (i) aldose ring opening to form the acyclic form of the sugar, (ii) aldose to ketose isomerization of the linear sugar at C-1 and C-2 via a metal-assisted hydride transfer, and (iii) ring closure to release the cyclic form of the ketose (see Scheme 1). Similarly, it has been shown that this metalloenzyme requires two divalent metal ions (M1 and M2) for the enzyme to be active. The preferred metal ions are Mg2+ or Mn2+. Only recently, complementary X-ray and neutron diffraction techniques aimed at probing the location and dynamics of H/D atoms in XI crystal structures have been exploited to reveal important details of the enzyme reaction mechanism (12). Three important new features were elucidated: (i) the primary role of M1 (in conjunction with specific amino acid residues) is to destabilize the pyranose structure and promote the ring opening of the sugar, (ii) M2 binds and stabilizes O1 and O2 on the acyclic sugar, promoting the hydride shift from C2 to C1, and (iii) a hydroxyl group bound to M2 is responsible for the deprotonation/protonation sequences that shuttle protons involved in the interconversion of aldehydes and hydroxyl groups between O2 and O1.

Sn-Beta and Ti-Beta reveal a number of analogous reaction patterns to metalloenzymes when isomerizing glucose. In addition to having high activity and selectivity similar to the metalloenzyme (1), Sn-Beta was demonstrated to perform the isomerization via a metal-assisted intramolecular hydride shift (2). Given the potential significance of this emerging area of heterogeneous catalysis and the possibilities of drawing analogies between enzyme and heterogeneous catalysis, it is important to understand the molecular details of the reaction pathways using these solids. Here, we investigate the glucose isomerization reaction.
tion catalyzed by hydrophobic zeolites that contain Lewis acid centers using a number of experimental and theoretical techniques. The goal is to clarify the sequence of bond breaking and bond forming events in the reaction pathway by using a combination of experimental and theoretical methods, and to determine if there are further similarities between the heterogeneous, Lewis acid catalysts, and the metalloenzyme pathways.

Results and Discussion

Reaction Pathways for Glucose Isomerization on Sn-Beta. Ring opening step. The initial step in the enzymatic isomerization of glucose to fructose involves the ring opening of a glucose molecule at M1 (12). To determine if a similar pathway is followed by Sn-Beta, the adsorption of $^{13}$C-labeled glucose and fructose into Sn-Beta and Si-Beta (pure-silica zeolite without Lewis acid active sites; used as control) was investigated using $^{13}$C NMR (see Fig. 1). Spectra obtained from glucose and fructose adsorbed into Si-Beta show that the sugars are in their cyclic configurations (resonances between 100 and 90 ppm are assigned to the alpha and beta anomers of the pyranose and furanose rings). No new resonances are observed when compared to the spectra of pure glucose or fructose solutions. Conversely, upon adsorption of these sugars in Sn-Beta, new resonances at ca. 300, 130, 180, and 214 ppm appear. The resonance at 214 ppm is at the chemical shift reported for the keto carbonyl carbon of the acyclic form of fructose (13). Cross polarization (CP) experiments with variable contact times are consistent with an assignment of a keto group for the acyclic aldehyde. Quantitative NMR measurements performed on adsorbed fructose samples reveal that the amount of the acyclic form is on the same order of magnitude as the amount of Sn pre-

![Diagram of glucose and fructose structures](image)

**Scheme 1.** Schematic representations of the isomerization of glucose to fructose (Top) and erythrose to erythulose (Bottom).

sent in the sample. Because of the errors in the elemental analyses and NMR experiments, greater precision on the estimate of the amount of the acyclic form is not possible. These measurements indicate that ca. 5% of the fructose inside of the zeolite is in the acyclic form, approximately an order of magnitude larger than in solution. Additionally, when fructose is adsorbed in Sn-Beta (but not in Si-Beta), IR measurements reveal the presence of a carbonyl band at ca. 1728 cm$^{-1}$ that has been assigned previously to the keto carbonyl of the acyclic form of fructose (14) (SI Appendix, Fig. S1). Altogether, these data provide direct evidence for the presence of ring opened fructose molecules in Sn-Beta, show that Sn is necessary to observe the acyclic form of fructose, and also suggest that Sn plays an important role in stabilizing the acyclic form of fructose.

The acyclic form of glucose contains an aldehyde with a chemical shift around 205 ppm (15). This resonance is not observed in the glucose adsorption experiments. Certainly, if the acyclic forms of glucose and fructose were present in similar proportions as measured in solution, acyclic glucose would be about a hundred times lower in concentration than acyclic fructose and could not be detected by solid-state NMR (16). Note that the 214 ppm resonance is present in the sample where glucose is adsorbed into Sn-Beta in an amount that corresponds to approximately 30% of the amount observed in the fructose adsorption experiment (SI Appendix, Fig. S2). The existence of this resonance implies that some of the glucose has ring opened and isomerized to the ring open form of fructose. In fact, when this sample was reanalyzed after several months of storage at room temperature, the reaction proceeded further. These NMR data strongly support the ring opening reaction pathway illustrated in Scheme 1. While there is an IR assignment of the keto carbonyl of the acyclic form of fructose, no assignment for the acyclic aldehyde carbonyl of glucose has been reported. However, when glucose is adsorbed on Sn-Beta, (but not Si-Beta) there is a broad band at ca. 1730–1720 cm$^{-1}$ that is reasonable to assign to the combination of
the 1728 cm\(^{-1}\) band from the acyclic fructose and a band from the aldehyde carbonyl (ca. 1720 cm\(^{-1}\)) of the acyclic glucose (SI Appendix, Fig. S1). These band intensities and assignments are consistent with the \(^{13}\)C NMR results. Overall, the NMR and IR data from both the glucose and fructose adsorbed in Sn-Beta imply that the reaction pathway parallels the reaction steps observed in enzymatic systems.

At this time, we have not assigned the resonances at ca. 30, 130 and 180 ppm in the \(^{13}\)C NMR spectra with Sn-Beta. After reaction with either \(^{13}\)C labeled glucose or fructose, these resonances appear as do others (SI Appendix, Fig. S3). The reaction of glucose on either Sn-Beta or Ti-Beta gave ca. 90% yields of glucose, fructose, and mannose (1). From the NMR spectra of the solids after reaction, some of the resonances have been assigned to products that can be observed in solution (SI Appendix, Fig. S3, lactate acid). Further attempts to assign the remaining products in solution and on the solids are in progress.

**Isomerization step.** The enzymatic isomerization step is believed to occur primarily at M2. First, a hydroxyl ion bound to M2 promotes deprotonation at O2 (17). Next, M2 forms a bidentate complex with both O1 and O2, withdrawing electron density from the C–O bonds and effectively polarizing the substrate. This leads to a partial positive character on C1, consequently reducing the energetic barrier for hydride shift. Finally, the protonated hydroxyl group at M2 donates the proton to O1, converting the aldehyde into a hydroxyl group. Kinetic studies on enzymatic systems suggest that the kinetically limiting factor is the hydride shift. Interestingly, a similar conclusion can be drawn for isomerizations catalyzed by Sn-Beta and Ti-Beta. Previously, we showed that the mechanism of the glucose isomerization reaction using Sn-Beta involves a Lewis acid-mediated intramolecular hydride shift (2). A kinetic isotope effect of ca. 2 for both Sn-Beta (2) and Ti-Beta is observed using glucose labeled with deuterium at the C2 position, and is consistent with the theoretical kinetic isotope effect calculated using the Lewis acid-mediated intramolecular hydride transfer as the rate-determining step (SI Appendix, Text S1). Activation energies obtained from initial rate data of glucose to fructose isomerization are 21.2 ± 0.7 kcal/mol (343–373 K) and 37.1 ± 1.0 kcal/mol (388–403 K) for Sn-Beta and Ti-Beta, respectively. These data show that the hydride step is kinetically relevant and that Sn-Beta is a more active catalyst than Ti-Beta at the reaction conditions used.

**Reaction Centers with Sn or Ti Containing Zeolites.** The reaction pathway over Sn-Beta and Ti-Beta proceeds via a ring opening, hydride shift, and a ring closing mechanism. To gain further insights into how these conversions proceed at the metal centers, the state of the Sn in the zeolite was investigated by solid-state NMR methods. Different atomic arrangements of framework Sn are depicted in Scheme 2. Framework Sn can exist in two different states, octahedral or tetrahedral, depending if it is hexacoordinated or tetracoordinated. Also, Boronat et al. (18) proposed that framework Sn can be located in two different types of sites, closed or open, depending if the Sn centers have four bonds to the silicon atoms of the zeolite framework through bridging oxygens or if the Sn centers have three such bonds to the framework and one bond that has been hydrolyzed to produce Sn-OH and an adjacent silanol group (Si-OH). These atomic arrangements are analogous to those proposed for Ti in Ti-Beta and Ti in pure-silica ZSM-5 (called TS-1) (ref. 19 and references therein). For the case of Ti, no NMR data are available; however, EXAFS data provide strong evidence for the open site (19). Additionally, IR spectra can be used to identify the silanol group adjacent to the Ti center in the open site.

\(^{119}\)Sn solid-state NMR studies of the Sn-Beta prepared with \(^{119}\)Sn enriched starting materials are shown in Fig. 2. After calcination to remove the structure directing agent (SDA) and exposure to ambient conditions, the NMR spectrum reveals the presence of octahedral Sn (main resonances at ca. −685 and −700 ppm; Fig. 2 A and B). Upon heating to 393 K under vacuum, water is removed and the dehydrated spectrum shows the presence of tetrahedral Sn (main resonances at ca. −420 to −443 ppm; Fig. 2 A and B). Reexposure to ambient conditions returns the sample to a state with octahedral Sn (shown in Fig. 2A and also occurs with the sample show in Fig. 2B). The calcinations conditions affect the spectra that are obtained after dehydration, thus suggesting that the ratio of the −420 ppm resonance to −443 ppm resonance can vary with the pretreatment conditions (compare Fig. 2 A to B).

Corma et al. have shown that hydrated Sn-Beta has octahedral Sn while dehydration can change the Sn coordination to tetrahedral (20). Corma et al. reported only one resonance in their dehydrated sample, while our results show that there are two main resonances, i.e., the tetrahedral Sn centers. These results could be due to differences in calcinations conditions. Using diffuse reflectance UV–Vis (1, 20), we confirmed that Sn remains within a framework of the zeolite. Our cross-polarized NMR spectra with variable contact time (Fig. 2C) show that only one of the Sn environments has a proton source in its neighborhood. Based on the fact that the Sn atoms are located in the zeolite framework, we assign the resonances in our NMR spectra to the open (−420 ppm) and closed (−443 ppm) arrangements for dehydrated Sn-Beta. Upon hydration, these tetrahedral Sn centers coordinate two additional water molecules to form octahedral (Scheme 2). Thus, the Sn-Beta, and by analogy Ti-Beta, will have both open and closed sites that may be active reaction centers.

Khouw and Davis showed that the active site for the oxidation of alkanes using aqueous hydrogen peroxide with TS-1 was the open Ti site (also required the presence of the adjacent silanol group for reactivity to occur) (21). After exchanging the proton on the adjacent silanol group of the Ti center with Na\(^+\) [verified by IR, band is in the 985–960 cm\(^{-1}\) region of the spectrum (21)], the catalytic activity was completely eliminated. Repopulation of the silanol group with proton recovered the catalytic active to conclusively show for this reaction that the active site was the open site alone. Boronat et al. used theoretical calculations to suggest that acetonitrile gives two IR bands when adsorbed on the open and closed sites of Sn-Beta (18). By correlating the intensity of the IR band assigned to the acetonitrile adsorbed on the open site (as a measure of the amount of the open site) to the initial catalytic activity of the Baeyer–Villiger oxidation of cyclic ketones, these workers concluded that the open Sn site was the active center for this reaction.

TS-1 is not active for the isomerization of glucose; however, this most likely occurs because glucose (kinetic diameter ca. 0.8 nm) is too large to diffuse into the pores of this zeolite (pore diameter ca. 0.55 nm) (1). To test this hypothesis, the isomerization of erythrose to erythrulose was employed as a test reaction that uses a reactant that could diffuse into the pores of TS-1. TS-1 is active for the isomerization of erythrose, and these results support the premise that glucose diffuses into the zeolite catalysts in
the ring-closed (pyranose) form, and that the ring-closed form is too large to diffuse into TS-1. Since TS-1 is active for the isomerization of erythrose, this reaction can be used to test whether or not exchanging the proton on the adjacent silanol group of the Ti center with Na$^+$ affects the isomerization activity like it did with the oxidation reaction.

Following the work by Khouw and Davis, TS-1, Ti-Beta, and Sn-Beta were exchanged with Na$^+$ to identify which type of Sn or Ti center is active for the isomerization reactions. The presence of the sodium atom was confirmed by IR spectroscopy (21). TS-1 catalyzed the isomerization of erythrose to erythrulose independent of whether or not the adjacent silanol group of the Ti center was exchanged with Na$^+$ [unlike the oxidation reaction (21)]. Ti-Beta and Sn-Beta were also active for the isomerization of erythrose reaction. Additionally, Ti-Beta and Sn-Beta were also active for the isomerization of both erythrose and glucose before and after Na$^+$ exchange. In order to rule out the possibility that the Na$^+$ was being removed from the adjacent silanol group during the reactions in pure water solvent [the oxidation reaction with TS-1 was conducted in a mixed methanol/water solvent (21)], the glucose isomerization reaction was performed in saturated NaCl solutions. We have shown previously that the glucose isomerization reaction can proceed at these conditions (3). After reaction, the IR spectrum of the Sn-Beta shows that the adjacent silanol group remained populated with Na$^+$. These reaction data, when taken in total, do not allow us to distinguish whether the open site or the closed site (or both) is the active center. It is possible that the open site is an active site, but the adjacent silanol group, whether in the proton or sodium form, does not significantly alter the reaction rate (unlike the case with TS-1 and the oxidation reaction).

To further investigate the nature of the active Sn site, Sn-containing zeolite beta was prepared using trichloromethyltin as the Sn source (SI Appendix, denoted as CH$_3$Sn-Beta, powder X-ray diffraction pattern in Fig. S4). Successful preparation of zeolite beta with framework tin in this case gives tin centers that exclusively have three bonds via oxygen atoms to framework silicon atoms. The SDA in this sample is removed by acid extraction rather than calcination to preserve the Sn-CH$_3$ bond. $^{139}$Sn MAS NMR and thermogravimetric analyses before and after extraction can be used to demonstrate that the extracted CH$_3$Sn-Beta sample does not contain a significant amount of SDA. In addition, $^2$H adsorption experiments performed on the extracted CH$_3$Sn-Beta and on a calcined pure-silica Beta (Si-Beta) samples show that both materials have nearly identical adsorption isotherms and equivalent micropore volumes, thus further confirming that there is little to no SDA occluded within the pores after the acid treatment (SI Appendix, Fig. S5). Glucose isomerization reactions performed in the presence of the extracted CH$_3$Sn-Beta give negligible glucose conversion after 45 min at 110°C (SI Appendix, Fig. S6). In contrast, reactions performed with Sn-Beta show nearly 50% conversion at the same reaction conditions. Calcination of CH$_3$Sn-Beta provides an active glucose isomerization catalyst that was no different from the Sn-Beta samples prepared from tin tetrachloride (SI Appendix, Fig. S6). The similar reactivity of these two samples suggests that the amounts of both the open and closed sites are predominantly a function of the high temperature calcination conditions (upon loss of the methyl group the tin center would have an OH group that could then condense with the adjacent silanol group to give a closed site for some of the tin centers in CH$_3$Sn-Beta).

In an attempt to alter the distribution of the Sn sites, the CH$_3$Sn-Beta was first exchanged into the sodium form and then calcined (attempt to limit condensation by reducing the number of available silanol groups adjacent to the open Sn center). The reaction rates for this sample were virtually identical to those obtained with the non-exchanged sample. Based upon these results alone, it cannot be established which site is the active site or whether both sites are active. These results strongly suggest that the calcination and initial exposure to the reaction environment interconverts the distribution of the tin centers between the open and closed sites, bringing them to an equilibrated state dictated by the reactions conditions. Alternatively, the reactivity of the two
types of sites could be the same, but this situation is highly unlikely. This hypothesis implies that the distribution of open and closed sites is dynamic at reaction conditions, requiring the development of an in-situ method for determining site distributions. Such a characterization technique is currently not available to us, and thus theoretical studies were used to gain further insights into the structure and reactivity of the active site.

**Computational Studies for Glucose–Fructose Isomerization.** Quantum chemical studies were performed to gain further molecular-level understanding of the glucose–fructose isomerization pathways and to compare with experimental kinetics of both Sn-Beta and Ti-Beta. The structures and energies of intermediates and transition states were determined (SI Appendix, Text S2). Based on previous experimental and theoretical studies, it has been suggested that the open site in Sn-Beta, TS-1, and Ti-Beta is the active site for reactions other than the glucose isomerization (18, 19, 21, 22). The enthalpy profile (Fig. 3) and the free energy profile (SI Appendix, Fig. S7 and Text S3) for glucose–fructose isomerization catalyzed by the Sn-Beta open site indicate that, similarly to enzymatic systems, the process can be described as a sequence of ring opening (I to IV), hydride shift (V to VI), and ring closing (VI to IX) events. The initial adsorption of cyclic glucose and ring opening (I to IV), hydride shift (V to VI), and ring closing (VI to IX) events. The initial adsorption of cyclic glucose and ring opening does not require any apparent reaction barriers. The computed apparent activation enthalpy for the Sn-Beta open site with one adjacent silanol group is 18.6 kcal/mol for the Sn-Beta open site, the Sn-Beta open site with one silanol group, and Sn-Beta open site with two adjacent silanol groups, respectively. The calculated activation enthalpy for the Sn-Beta open site with one adjacent silanol group is found to be consistent with our experimental value of 21.2 ± 0.7 kcal/mol, and supports the assignment of the catalytic activity to the open site of Sn-Beta for glucose–fructose isomerization. Overall, these calculations show relatively small energetic differences between the three open sites models, thus suggesting that the presence or absence of adjacent silanol groups does not drastically influence reaction rates. This is in agreement with our experimental results showing that catalysts with Na+ exchanged silanol groups had similar activity as the nonexchanged catalysts.

It was previously shown that the apparent activation energy for the hydride shift associated with the isomerization of glyceraldehyde to dihydroxy acetone is 10 kcal/mol higher for the Sn-Beta closed site than that of an open site (22). The glucose isomerization over the closed site would require the participation of a water molecule to allow for ring opening of the glucose. We have performed calculations on a closed site with the presence of an explicit water molecule and the computed apparent activation barrier is approximately 30 kcal/mol (SI Appendix, Text S5 and Fig. S9) and is therefore unlikely to be the primary reaction pathway for glucose isomerization over Sn-Beta. These results support the suggestion that the open site is a catalytically more active site for the glucose–fructose isomerization.

The glucose–fructose isomerization using models of the open sites of Ti-Beta (SI Appendix, Scheme S2) was also investigated. The initial glucose absorption on the open Ti-Beta model site (with one silanol group) is much weaker, and the rate limiting hydride shift is 10 kcal/mol higher in barrier height (SI Appendix, Figs. S9 and S10 and Text S6) compared to that catalyzed by the open site of Sn-Beta with one adjacent silanol group. This trend is

$$X = \text{OSiH}_3$$

![Fig. 3. Computed enthalpy profile (MP2, 298 K in water dielectric) for glucose–fructose isomerization catalyzed by open site of Sn-Beta. The label A denotes the sum of the enthalpy of glucose and the active site model (SI Appendix, Scheme S1a) at infinite separation in aqueous medium, B denotes the same quantity for fructose. All energies are relative to the energy of A and are in kcal/mol. Note that the mode of binding of the acyclic glucose to the Sn site is slightly different in structures III and IV.](image)
consistent with previously reported theoretical studies for aldose–ketose isomerization by Sn-Beta and Ti-Beta active site models (22). The computed activation enthalpy for glucosfructose isomerization catalyzed by the open site of Ti-Beta, open site of Ti-Beta with one adjacent silanol, and the open site of Ti-Beta with two adjacent silanol groups are 28.0, 34.3, and 36.3 kcal/mol, respectively (SI Appendix, Table S1). The computed activation enthalpies of Ti-Beta with silanol group(s) are consistent with the experimentally measured activation enthalpy (37.1 ± 1.0 kcal/mol).

Computational results indicate that the acyclic forms of glucose and fructose are equally stabilized by Sn centers (Fig. 3, species V and VI). Gas phase calculations at the G4 level suggest that acyclic fructose is more stable than acyclic glucose by ~2 kcal/mol (ketone groups have more intramolecular hydrogen bonding than the aldehyde) (23). Thus, at thermodynamic equilibrium, cyclic and acyclic species of both sugars (Fig. 3, species I, II, V, VI, VIII, and IX) are expected to be present within the zeolite pores. The enthalpy surfaces support the concept of having acyclic forms strongly coordinated with the Sn active site, which is consistent with the experimental observation of acyclic fructose species by NMR. Note that although the cyclic furanose form of fructose bound to the Sn center has a lower energy (~19 kcal/mol; Fig. 3, species VIII) than the bound acyclic form (~9 kcal/mol; Fig. 3, species VI), it is still possible to observe the bound acyclic form since a barrier of ~10 kcal is required for the cyclization. A similar conclusion can be drawn for glucose.

Conclusions

The metalloenzyme D-xylene isomerase can catalyze the isomerization of glucose to fructose. We previously have shown that Sn-Beta and Ti-Beta can also catalyze this reaction to give similar product distributions to the enzyme, and like the enzyme, Sn-Beta can convert up to 45 wt% aqueous solutions of glucose (1). With Sn-Beta (and to a great extent Ti-Beta), we have shown that the reaction mechanism is very similar to that of the enzyme. We have provided evidence to conclude that the glucose partitions into the zeolite in the cyclic form. In the presence of Sn (or Ti), direct NMR evidence of the acyclic fructose is observed. We infer that acyclic fructose must have first been bound to the Lewis acid center prior to being isomerized to obtain the acyclic fructose. The isomerization is clearly occurring via a Lewis acid-mediated, intramolecular hydride transfer mechanism (2). Here, both experimental results (kinetic isotope effects) and theoretical calculations support the conclusion that the rate-determining step is the intramolecular hydride transfer, and that the open site is likely the active site responsible for isomerization activity. It is exciting to learn that the hydrophobic, Lewis acid containing zeolite catalysts can perform this type of reaction mechanism with glucose and presumably other sugars such as xylose (6) and erythrose. The fact that the zeolite catalysts are quite stable allows them to be used at processing conditions not possible with enzymes, e.g., low pH, high ionic strength, high temperature, and have provided ways of coupling the isomerization reaction to other types of reactions important to the production of chemicals and fuels from biomass (3, 6).

Materials and Methods

Complete details of materials and methods are found in SI Appendix.

Synthesis of Materials. The synthesis of the zeolites is described in the SI Appendix.

Characterization Methods. Powder X-ray diffraction, solid state NMR, IR an adsorption methods are provided in the SI Appendix.

Isomerization Reactions. Details of the experimental methods for the isomerization reactions are given in the SI Appendix.

Computational Methods. The methods used for the computational studies are provided in the SI Appendix.

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