Third harmonic flow of charged particles in Au + Au collisions at $s_{NN}=200$ GeV

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Third harmonic flow of charged particles in Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV
We report measurements of the third harmonic coefficient of the azimuthal anisotropy, $v_3$, known as triangular flow. The analysis is for charged particles in Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV, based on data from the STAR experiment at the BNL Relativistic Heavy Ion Collider. Two-particle correlations as a function of their pseudorapidity separation are fit with narrow and wide Gaussians. Measurements of triangular flow are extracted from the wide Gaussian, from two-particle cumulants with a pseudorapidity gap, and also from event plane analysis methods with a large pseudorapidity gap between the particles and the event plane. These results are
reported as a function of transverse momentum and centrality. A large dependence on the pseudorapidity gap is found. Results are compared with other experiments and model calculations.

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I. INTRODUCTION

The study of azimuthal anisotropy, based on Fourier coefficients, is recognized as an important tool to probe the hot, dense matter created in heavy-ion collisions [1,2]. The first harmonic coefficient $v_1$, called directed flow, and the second harmonic coefficient $v_2$, called elliptic flow, have been extensively studied both experimentally and theoretically, while higher even-order harmonics have also garnered some attention [3]. In contrast, odd harmonics of order three and above were overlooked until recently [4,5]. This is because in a picture with smooth initial overlap geometry, it had been assumed that higher-order odd harmonics are required to be zero by symmetry. More recently it has been realized that event-by-event fluctuations break this symmetry [5–7]. The event plane of the detected particles approximates the plane of the participating particles and for reasonable event-plane resolutions the measured $v_n$ are not the mean values, but closer to the root-mean-square values [8]. As a consequence, higher-order odd harmonics carry valuable information about “hot spots” or “lumpiness” in the initial state of the colliding system [9–17].

The third harmonic coefficient—sometimes called triangular flow, but probably not related to triangular configurations in the initial state—is thus a new tool to study initial state fluctuations and the subsequent evolution of the collision system. It is probably related to the production of the near-side ridge [5,18] observed when correlations are studied as a function of the difference of azimuthal angles and the difference of pseudorapidities of the particles. Theoretical studies suggest that $v_3$ is more sensitive to viscous effects than $v_2$ because the finer details of the higher harmonics are smoothed more by viscosity [11]. It also appears that the mean value of the initial state triangular eccentricity in coordinate space, from central to midcentral collisions, is independent of the geometric model used for the initial overlap [19], unlike the second harmonic spatial elliptic eccentricity. This is probably because $v_3$ is an odd harmonic and dominated by fluctuations. Rapidity-even $v_1$ is symmetric about midrapidity and is also dominated by fluctuations, but is complicated by the correction needed for conservation of momentum [20]. Higher odd harmonics are thought to be less useful because of nonlinear terms coming from the eccentricities of lower harmonics [21]. Thus $v_3$ is an ideal flow harmonic to study viscosity because it is almost insensitive to the model used for the initial conditions and more sensitive to viscosity.

In order to separate the long-range correlations of interest from short-range correlations, we present measurements, based on the azimuthal angle $\phi$, of $\langle \cos(3(\phi_j - \phi_i)) \rangle_{ij}$, vs. the pseudorapidity separation $\Delta \eta = \eta_i - \eta_j$, between the two particles ($i, j$), fit with narrow and wide Gaussians. We present results derived from the wide Gaussian, for two-particle cumulants [22], and for the standard event plane methods [23], as a function of transverse momentum $p_T$, pseudorapidity gap $\Delta \eta$, and centrality. The pseudorapidity gap between the particles being correlated is found to be an especially important experimental variable. We compare our results to other experiments, and to both transport and hydrodynamic models.

II. EXPERIMENT

About $1 \times 10^7$ Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV have been used in this study, all acquired in the year 2004 using the STAR detector with a minimum bias trigger. The main time projection chamber (TPC) [24] of STAR covers pseudorapidity $|\eta| < 1.0$, while two forward time projection chambers (FTPcs) [25] cover $2.5 < |\eta| < 4.0$. The extended range in $\eta$ of the FTPCs was important because the analyses were done as a function of the $\eta$ gap between particles. This requirement limited the study to the data collection years when the FTPCs were operational. The centrality definition of an event is based on the number of charged tracks in the TPC with track quality cuts of $|\eta| < 0.5$, a distance of closest approach (DCA) to the primary vertex less than 3 cm, and 15 or more space points out of a total of 45. This analysis used events with vertex $z$ coordinate (along the beam direction) within 30 cm from the center of the TPC. For each centrality bin, the number of participants and binary collisions can be found in Table III of Ref. [26].

III. ANALYSIS METHODS

A. Event planes

In the standard event plane method [23] for $v_3$, we reconstruct a third harmonic event plane $\Psi_3$ from TPC tracks and also from FTPC tracks. For event plane reconstruction, we use tracks with transverse momentum $p_T > 0.15$ GeV/$c$, that pass within 3 cm of the primary vertex, and have at least 15 space points in the TPC acceptance ($|\eta| < 1.0$) or five space points in the FTPC acceptance ($2.5 < |\eta| < 4.0$). It is also required that the ratio of the number of actual space points to the maximum possible number of space points along each track’s trajectory be greater than 0.52. In event plane calculations, tracks have a weighting factor $w = p_T$ in units of GeV/$c$ for $p_T < 2$ GeV/$c$, and $w = 2$ GeV/$c$ for $p_T \geq 2$ GeV/$c$. Although the STAR detector has good azimuthal symmetry, small acceptance effects in the calculation of the event plane azimuth were removed by the method of shifting [1]. When using the TPC event plane, we used the $\eta$ subevent method which provides an $\eta$ gap, but with an additional small $\eta$ gap of $\pm \pm 0.05$ between the subevents [23]. The $\eta$ subevent method avoids self-correlations because the particles and the event plane are in opposite hemispheres. When using the FTPCs, we obtained the subevent plane resolution from the correlation

*Deceased.
of the two FTPCs, but then used the full event plane from both FTPCs \cite{23}. This introduced a large $\eta$ gap between the particles in the TPC and the FTPC event planes. Since there is no overlap between the coverage of the TPC and FTPCs, there is no possibility of self-correlation when using the FTPC event plane.

**B. Two-particle correlations**

We studied $v^3_2(2)$ = $\langle \cos[3(\phi_j - \phi_i)] \rangle_{i \neq j}$ vs. $\Delta \eta$ between the two particles. For this two-particle cumulant method \cite{22}, acceptance correction terms, which were generally small, were evaluated and applied. Figure 1 shows that there is a sharp peak for tracks close in $\eta$ and at low $p_T$This has also been seen by PHOBOS \cite{27}. Our distribution of $v^3_2(2)$ vs. $\Delta \eta$ can be well described by wide and narrow Gaussian peaks as shown in Fig. 2 for two centrality intervals. Using two Gaussians plus a flat background gave the same results for $v_3$ when integrated for all accepted pairs within the range $|\Delta \eta| < 2$, as described below. The narrow Gaussian is identified as short range nonflow correlations like the Bose-Einstein correlation, resonance decay, and Coulomb interactions, reduced by effects from track merging. The narrow peak disappears above $p_T > 0.8 \text{ GeV}/c$, so is unlikely to be from jet correlations. The wide Gaussian is the signal of interest in this paper and its fit parameters are used to calculate $v^3_2(2)$ as a function of centrality and transverse momentum for accepted pairs within the range $|\Delta \eta| < 2$. The differential $v^3_2(2)$ can be averaged over $p_T$ and $\eta < 1$ as

$$\langle v^3_2(2) \rangle = \frac{\int_{\eta}^{\theta} v^3_2(2) W(d(\Delta \eta))}{\int_{\eta}^{\theta} W(d(\Delta \eta))},$$

where $W$ equals $dN/d(\Delta \eta)$ when weighted with the number of particle pairs. The integration ranges for numerator and denominator are the same. This is normally called the integrated $v^3_2(2)$. To evaluate the effect of weighting we also used unit weight = 1, which will be shown to make little difference. The differential $v_3(2)(p_T)$ can be obtained from the scalar product \cite{1} relation

$$v_3(2)(p_T) = \frac{\langle \cos[3(\phi_j(p_T) - \phi_i)] \rangle_{i \neq j}}{\sqrt{\langle v^3_2(2) \rangle}},$$

where the $j$th particle is selected from the $p_T$ bin of interest.

Figure 3 shows the $p_T$ dependence of the width and amplitude of the wide Gaussian fit to the data in Fig. 2. Other functional forms, such as one with a constant offset are discussed below. Shown are results for the 0%–5% most central and 30%–40% midcentral collisions. Above 0.8 GeV/$c$ the distribution can be described by a single wide Gaussian. The amplitude increases with $p_T$ and then saturates around 3 GeV/$c$. The $p_T$ dependence of the width depends on centrality, with the 0%–5% most central data showing first an increase in the width and then a gradual decrease, while...
for the 30%–40% central data the width appears to gradually decrease for all \( p_T \).

Figure 4 shows the centrality dependence of the width and amplitude of the wide Gaussian. In peripheral collisions, the Gaussian width is narrow and well constrained by the data. As the collisions become more central, the width broadens reaching beyond 1.5 units in pseudorapidity in the centrality range 10%–40%. When the width of the wide Gaussian becomes broader than \( \Delta \eta = 1 \), it becomes difficult, with the data from the TPC alone, to distinguish between functional forms for \( v_3 \{2\}(\Delta \eta) \) with and without a background. The data points in Fig. 4 show the results when fitting a single wide Gaussian to the TPC data alone. The upper edge of the systematic error band for the like sign particles shows the width of the wide Gaussian required to also fit the data from the FTPC.

where the fluctuations in midcentral collisions are well above statistical expectations. This can be attributed to the asymmetry of the overlap region of the colliding nucleons which allows a nucleon on the periphery of one nucleus to impinge on many nucleons in the center of the other nucleus thus amplifying the effect of fluctuations of nucleon positions in the periphery of the nucleus. Thus the width of \( v_3 \{2\}(\Delta \eta) \) and the amplitude of the low-\( p_T \) ridge may be related to the same fluctuations.

IV. RESULTS

First we will show \( v_3 \) vs. \( \eta \) using two standard event plane methods, followed by \( v_3 \) vs. \( p_T \) for these methods and also for the wide Gaussian two-particle correlation. Finally, we present the integrated \( v_3 \) vs. centrality for these methods and also for the two-particle cumulant method [22] with an \( \eta \) gap. Results in all the figures are presented with only statistical errors unless stated otherwise.

A. \( \eta \) dependence

Figure 5 shows the \( \eta \) dependence of \( v_3 \) using two event plane methods. For particles in the TPC using the opposite \( \eta \) subevent for the event plane, \( v_3 \) is slightly peaked at midrapidity. With the event plane in the FTPCs there is a large \( \eta \) gap between...
the particles and the plane, and \( v_3 \) is flat for all centralities. This flatness means that acceptance effects at the edges of the TPC are not significant. Thus, even though a large \( \Delta \eta \) in Fig. 2 means that one of the particles must be at large \( \eta \) in Fig. 5, this evidently is not a significant effect on the flatness of the \( \Delta \eta \) dependence.

B. \( p_T \) dependence

The \( p_T \) dependence is shown in Fig. 6. For the wide Gaussian method, Eq. (2) was used together with the parameters from Fig. 3 for each \( p_T \) bin. The results for the wide Gaussian method with either kind of weighting are almost the same as those for the TPC using subevent planes, meaning that for either of these two methods the narrow Gaussian does not significantly affect the wide Gaussian. However, in Fig. 7 the results with the event plane in the FTPCs are considerably lower, presumably because of the larger \( \eta \) gap to be discussed in Sec. IV D.

C. Centrality dependence

Figure 8 shows the centrality dependence of \( v_3 \) obtained by integrating over \( p_T \) using the observed yields. Shown are two-particle cumulants \( v_3(2) \) with a minimum pseudorapidity separation between particles of one unit. Shown also is \( v_3(2) \) from Eq. (1) and Fig. 2 for the wide Gaussian using particle pair weighting. Using weight \( = 1 \) in Eq. (1) slightly lowered the wide Gaussian results for very peripheral collisions. Shown also are \( v_3(\text{TPC}) \) and \( v_3(\text{FTPC}) \) where \( v_3 \) is measured relative to the third harmonic event plane reconstructed either in the TPC subevents or in the FTPCs. For \( v_3(2) \) without a \( \Delta \eta \) cut the curve would be a factor of two higher for peripheral collisions and off scale.

Systematic uncertainties have been estimated by varying the DCA track cuts and the number of fit points, the event cut of vertex \( z \), and the event plane flattening method. These uncertainties have been combined in quadrature to obtain the systematic uncertainties shown in Fig. 8. The correlation of the third and second harmonic event planes was investigated by \( \langle \cos 6(\Psi_3 - \Psi_2) \rangle \) and within the statistical uncertainties was found to be consistent with zero for this data set. This is reasonable for this mixed harmonic result since observing the correlation between the third and second harmonic event planes requires a three particle correlation analysis to fix the direction of the first harmonic event plane [5].

D. \( \Delta \eta \) dependence

Clearly the various analysis methods for \( v_3 \) differ greatly in Fig. 8. The results from the wide Gaussian and the TPC event plane are similar, showing that the narrow Gaussian effect is eliminated in both. When a large \( \Delta \eta \) is specified the \( v_3 \) values decrease, especially for the peripheral collisions in Fig. 8. The variation between most of the sets of results in Fig. 8 is caused by the \( \Delta \eta \) dependence as shown in Fig. 9. Two-particle correlation results in the TPC as a function of \( \Delta \eta \) for three charge combinations and two centralities are shown in Fig. 9. Also shown are the results for three analysis methods as a function of the mean \( \Delta \eta \) of the particles. For the points at \( |\Delta \eta| = 3.21 \) the event plane resolutions may be a bit
The decrease of \( v_3 \) with increasing pseudorapidity gap using the AMPT model. Figure 9 also is reminiscent of the well known near-side ridge in a plot of \( \Delta \eta \) vs. \( \Delta \phi \) having a peak and shoulder [18]. The far-side ridge may also contribute to this shoulder.

As Fig. 9 shows, we did not find that \( v_3 \) stabilized at a constant value for large \( \Delta \eta \) within the acceptance of STAR. Thus one might ask if one should extrapolate to large \( \Delta \eta \) to avoid nonflow, or small \( \Delta \eta \) to measure all the fluctuations. However, it is clear that one must always quote \( \Delta \eta \) for each \( v_3 \) measurement and one must compare results to models with approximately the same \( \Delta \eta \) as the experiment. To help clarify the physics we compared like and unlike charge-sign correlations, seen as the narrow Gaussian in Fig. 2, are effectively suppressed by using either the wide Gaussian or by an \( \eta \) gap. This result is consistent with previous studies of elliptic flow based on two-particle correlations, but in a model. Figure 9 also is reminiscent of the well known near-side ridge in a plot of \( \Delta \eta \). The far-side ridge may also contribute to this shoulder.

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combinations, because they have different contributions from resonance decays, fluctuations, and final state interactions, but we observed little difference between the combinations. One source of fluctuations is calculated in the glasma model [36] and shown by the Glasma lines, normalized to fit the data at $\Delta \eta = 1$ in the figure. They show some decrease with $\Delta \eta$, but not as much as in the data.

E. Four-particle cumulants

The results from four-particle cumulants, $v_3[4]$, with weighting by the number of combinations are shown in Fig. 10(a). They are consistent with zero within the errors, in contrast to the ALICE results [37] at the higher beam energy. Four-particle cumulants are known to suppress nonflow and Gaussian fluctuations [38,39]. To look for non-Gaussian fluctuations, Ref. [40] suggests plotting $(2 + v_3^2[2] - v_3^4[4])/(v_3^2[2] - 2v_3^4[4]/v_3^4[2])$. This ratio, which is shown in Fig. 10(b), on the average overlaps with both the ALICE results and the expected Gaussian value of 2. Even though the differential $v_3(p_T)$ values for STAR and ALICE (which will be shown later) are the same, the integrated results for ALICE are larger, making their error bars in this figure smaller. Also, ALICE results come from a higher multiplicity at their higher beam energy, probably making the non-Gaussian effect more visible. Alternatively, the non-Gaussian fluctuations only may appear at the higher $p_T$ values included in the ALICE results. However, the precision of the STAR data does not allow us to conclude whether the STAR fluctuations are Gaussian or not.

FIG. 10. (Color online) (a) The fourth power of the third harmonic coefficient from four-particle cumulants is plotted as a function of centrality for Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV, with track selections $0.15 < p_T < 2.0$ GeV/$c$ and $-1.0 < \eta < 1.0$. The ALICE results [37] are for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV, with track selections $0.2 < p_T < 5.0$ GeV/$c$ and $-0.8 < \eta < 0.8$. (b) The points in the top figure are divided by the fourth power of the third harmonic flow from the $\eta$ subevent method, showing the deviation from 2.

V. COMPARISONS TO OTHER EXPERIMENTS

Figure 11 compares our $v_3[TPC]$ results from Fig. 7 with those from PHENIX [41]. The PHENIX results are shown for $|\eta| \leq 0.35$, while for STAR the $\eta$ acceptance was $|\eta| \leq 1.0$. For the STAR results from the TPC the mean $|\Delta \eta|$ was 0.63, while for the results using the FTPC event plane the average $|\Delta \eta|$ was 3.21. The PHENIX results used the event plane from their RXN detector at an intermediate $\eta$ of 1.0 < $\eta$ < 2.8. Our results with the event plane in the TPC are very similar to those of PHENIX. This is surprising because the mean $\eta$ of their RXN detector is larger than that for the subevents in our TPC. Our FTPC results in Fig. 7, however, are lower than theirs. This is reasonable because the mean $|\Delta \eta|$ is considerably larger in the FTPC than in the RXN detector.

Comparison to LHC results for Pb+Pb at $\sqrt{s_{NN}} = 2.76$ TeV for ALICE [37] and ATLAS [32] are also shown in Fig. 11. ALICE results are for $|\eta| < 0.8$ and $|\Delta \eta| > 1.0$. ATLAS results are for $|\eta| < 2.5$ with the event plane in the forward calorimeter at $3.2 < \eta < 4.9$, giving $|\Delta \eta| > 0.8$. Agreement is good not only between RHIC experiments, but also between RHIC and LHC experiments. This is surprising because of the somewhat different $\Delta \eta$ ranges.

VI. MODEL COMPARISONS

In the event-by-event ideal hydro model, $v_3$ was studied first by Ref. [12], and then by Ref. [42]. References [43,44]
concluded that instead of averaged initial conditions, event-by-event calculations are necessary to compare with experimental data. The first prediction of $v_3$ with viscous hydro was in Ref. [11]. Recent reviews of viscous hydro have been presented in Refs. [45,46]. The linear translation from initial space fluctuations to final momentum fluctuations has been calculated for elliptic flow with the NeXSPheRIO model [47]. Reference [28] calculates the additional fluctuations induced during the viscous expansion.

### A. Pseudorapidity separation

Calculations of $v_2^2$ [2] vs. $\Delta \eta$ have been done in Ref. [33]. They used an event-by-event viscous hydro model and addressed the effect of radial flow on local charge conservation in hadronization. Their results have a similar $v_2^2$ [2] vs. $\Delta \eta$ slope as the data in Fig. 9, but the values are higher than the data. The normalization to fit the data probably could be adjusted. But their charge balancing mechanism would predict a much bigger difference between unlike-sign pairs and like-sign pairs. There is only a small spread in the data in Fig. 9 at $\Delta \eta$ about 0.5, largely ruling out this mechanism.

The glasma model calculations of Ref. [36] show some decrease in $v_2^2$ [2] with $|\Delta \eta|$ in Fig. 9 giving a partial explanation for the decrease with $|\Delta \eta|$. However, these calculations for the initial state are not sufficient to explain the sharper fall off of $v_2^2$ [2] vs. $|\Delta \eta|$ seen in the data. This perturbative model is strictly only valid at the higher $p_T$ values ($p_T \gg Q_S$, where $Q_S$ is the saturation scale of the bulk matter produced in the collision). Reference [36] says “The decorrelation of the two-particle correlation with increasing rapidity gap demonstrates the violation of the boost invariance of the classical Glasma flux tube picture by quantum evolution effects.” In principle the normalization could be determined by hydrodynamic transport to the final state. However, it is probable that the large discrepancy between the methods in Fig. 8 has its origin in the $\Delta \eta$ dependence of fluctuations, either in the initial state or in the hydrodynamic evolution.

Another glasma flux tube model with radial flow has been used to calculate fluctuations and $v_3$ [48]. Reference [18] says that the near-side ridge caused by long-range $\eta$ correlations, and odd harmonics in the azimuthal anisotropy, are two ways of describing the same phenomenon, i.e., the response of the system to fluctuations in the initial density distribution.

### B. Transverse momentum dependence

In Fig. 12, $v_2$ [49] and $v_3$ obtained with the TPC subevent plane method are compared as a function of transverse momentum with several models for 0%-5%, 20%-30%, and 30%-40% central collisions. The experimental results for the TPC subevent plane method are shown because they eliminate the short-range correlations but yet have a small $|\Delta \eta|$ like the theory calculations. Shown in Fig. 12 are the ideal and the viscous event-by-event hydrodynamic model of Refs. [14,17] where the initial conditions come from a Monte Carlo Glauber model and the ratio of shear viscosity
(η) to entropy density (s) is η/s = 0.0 (ideal), 0.08, and 0.16. To properly include fluctuations, 100 to 200 events were simulated and then the root-mean-square flow values calculated. The agreement with the hydro for η/s = 0.08 is very good. NeXSPheRIO [42] root-mean-square results for 20%–30% and 30%–40% centralities at p_T below one GeV/c are also good. Also shown are the results from the AMPT model [15] with string melting for the latest set of parameters (“Set B”). The agreement for v_2 is good, but the calculated v_3 is a bit high in panels (d) and (f). AMPT has also been used for v_3 from symmetric [50,51] and asymmetric collisions [52]. Predictions for v_3 from Parton Hadron String Dynamics [53] at 30%–40% centrality for |η| < 0.5 have been made by the subevent method with the event planes at 1.0 < |η| < 4.0, and show good agreement in the figure lower right. HIJING [54] does not predict any significant v_3 as v_3/|ΔP| in the p_T range up to 1.5 GeV/c is both negative and positive, with absolute values less than 2 × 10^{-4}, and is therefore not shown in Fig. 12.

Elliptic flow results have been mostly described by hydro with η/s = 0.08 with Glauber initial conditions in the case of midcentral collisions [14]. We find that the v_3 results are described by this model with a similar viscosity. The NeXSPheRIO model at low p_T and the PHSD model also agree with the data.

**VII. SUMMARY**

We have presented measurements of third harmonic flow of charged particles from Au+Au collisions at √s_{NN} = 200 GeV as a function of pseudorapidity, transverse momentum, pseudorapidity gap, charge sign, and centrality made with the STAR detector at RHIC. We have reported results from a two-particle method for particle pairs with an η gap or fit with a wide Gaussian in pseudorapidity separation, as well as from the standard event-plane method with the event plane near midrapidity or at forward rapidity. Short-range correlations are eliminated either by an η gap or by discarding the narrow Gaussian in pseudorapidity separation. The measured values of v_3 continuously decrease as the mean pseudorapidity separation of the particles increases within the range observable by STAR. A model for nonflow predicts a big difference between different charge sign pairs which is not observed in the data. A model for the decrease of fluctuations with pseudorapidity separation from a glasma [36] initial state reproduces some aspects of the data. Because of this, and the good agreement of v_3(p_T) with models including fluctuations, it is likely that v_3 is mainly due to Δη dependent fluctuations [29]. According to the models, these fluctuations should be largely independent of beam energy.

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