Effect of tissue preservation on imaging using ultrahigh resolution optical coherence tomography

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Abstract. Ultrahigh resolution optical coherence tomography (OCT) is an emerging imaging modality that enables noninvasive imaging of tissue with 1- to 3-μm resolutions. Initial OCT studies have typically been performed using harvested tissue specimens (ex vivo). No reports have investigated postexcision tissue degradation on OCT image quality. We investigate the effects of formalin fixation and commonly used cell culture media on tissue optical scattering characteristics in OCT images at different times postexcision compared to in vivo conditions. OCT imaging at 800-nm wavelength with 1.5-μm axial resolution is used to image the hamster cheek pouch in vivo, followed by excision and imaging during preservation in phosphate-buffered saline (PBS), Dulbecco’s Modified Eagle’s Media (DMEM), and 10% neutral-buffered formalin. Imaging is performed in vivo and at sequential time points postexcision from 15 min to 10 to 18 h. Formalin fixation results in increases in scattering intensity from the muscle layers, as well as shrinkage of the epithelium, muscle, and connective tissue of ~50%. PBS preservation shows loss of optical contrast within two hours, occurring predominantly in deep muscle and connective tissue. DMEM maintains tissue structure and optical scattering characteristics close to in vivo conditions up to 4 to 6 h after excision and best preserved tissue optical properties when compared to in vivo imaging. © 2005 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.2147155]

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1 Introduction

Optical coherence tomography (OCT) is an emerging biomedical imaging modality that can generate micron-resolution, cross-sectional images of tissue microstructure in situ and in real time.1–3 Early OCT studies initially focused on ex vivo imaging to establish correlations with histopathology and feasibility for future in vivo studies.3–15 With the development of fiber optic imaging probes, in vivo endoscopic OCT imaging in animals was demonstrated,16 and in vivo endoscopic imaging in humans was performed.17 However, accurate registration of OCT images with histology is difficult to achieve with in vivo imaging. For this reason, subsequent studies investigating the effect of OCT imaging parameters on tissue structure identification in the gastrointestinal tract have been performed ex vivo.14 Previous studies in ophthalmology have also investigated the effect of nonlinear shrinkage and sectioning stress during histology preparation on subsequent interpretation of OCT retinal images.18

It is generally believed that changes in tissue dimensions and optical characteristics occur within a few hours after tissue excision, motivating investigations to be performed as soon as possible after tissue excision. OCT imaging studies of the retina show significant changes in the dimensions of intraretinal layers after excision as well as with fixation.18,19 The effect of tissue ischemia on optical scattering is still not well understood. Prior OCT imaging studies in rat skin have demonstrated the use of hyperosmotic agents for reducing scattering and improving image contrast, changing OCT image penetration depths.20 These studies showed negligible changes in tissue backscattering after immersion in 0.9% isotonic saline for short time periods of less than 25 min, however, longer time periods were not investigated. Near-infrared diffuse transmission and reflectance measurements have found changes in scattering and absorption coefficients after 2 h.21 Fluorescence and diffuse reflectance studies have shown preservation of 70 to 90% of spectroscopic signal intensity up to 1.5 h after biopsy.22 The time course of these changes postexcision has not been investigated. There has been a wide variation in imaging protocols and times postexcision. Previous studies involving ex vivo imaging of tissue specimens have reported imaging times after tissue excision ranging from 30 min14,23,24 to 5 h.5,6 Imaging has also been performed on formalin-fixed specimens,14 frozen specimens,23 and on un-
fixed specimens harvested from cadavers.\textsuperscript{5,6,8,9,15} Isotonic saline has typically been used for specimen irrigation and transport.\textsuperscript{7,10,12,13,25,27} But buffered saline,\textsuperscript{5,6,8,9} and Hank’s solution have also been used.\textsuperscript{13} Studies comparing protocols for tissue preservation for \textit{ex vivo} OCT imaging have not been reported in the literature.

The objectives of this study were to investigate the effect of formalin fixation on imaging using ultrahigh resolution OCT, and to test the effect of two commonly used laboratory culture media on \textit{ex vivo} preservation of tissue optical scattering characteristics over time. Standard formalin fixation followed by paraffin embedding leads to shrinkage and processing artifacts, which complicate OCT image interpretation. Imaging at sequential time points during the fixation process allows one-to-one correlation of tissue structures with histology, enabling accurate interpretation of \textit{in vivo} tissue architectural features. Since it is not always possible to image tissue specimens immediately after excision, the effect of postexcision imaging time on tissue structure and optical characteristics was also investigated. Imaging was performed on the same tissue specimens \textit{in vivo} and up to a maximum time of 10 to 18 h after excision, enabling direct comparison of \textit{in vivo} versus \textit{ex vivo} imaging.

The hamster cheek pouch was chosen for this study because it consists of representative tissue components, is easily accessible, and is a commonly used system for the study of tumorigenesis. When dosed with carcinogen, histological features in this model have been shown to correspond closely with premalignant and malignant lesions in human oral mucosa.\textsuperscript{26} The tissue constituents in the hamster cheek pouch are representative of the composition of human epithelial tissues such as oral mucosa, esophagus, and cervix. There has been recent interest in investigating tumor development in this model using noninvasive optical techniques. Fluorescence spectroscopy has been shown to detect biochemical changes associated with early tumor development in this model.\textsuperscript{29,28} Multiphoton microscopy and optical coherence tomography have also recently demonstrated the ability to detect early morphological changes associated with cancer development in the hamster cheek pouch.\textsuperscript{29,31}

In this study, three solutions were tested to assess their affect on tissue optical scattering characteristics. First, 10\% neutral-buffered formalin was investigated to determine the effect of the fixation process on imaging using OCT. 10\% neutral-buffered formalin, containing 4\% formaldehyde, is the most common fixative used for routine paraffin-embedded sections. Formaldehyde acts by forming cross-linkages between tissue proteins, fixing cellular constituents in their \textit{in vivo} positions and thus preventing autolytic processes.\textsuperscript{32} Although formalin penetrates tissue well, the rate of tissue penetration is relatively slow.\textsuperscript{33} The second solution tested was isotonic phosphate-buffered saline (PBS). PBS is a common solution used in many laboratory procedures, often serving as a medium for irrigating, transporting, and diluting fluid. Isotonic PBS maintains intra- and extracellular osmotic balance, and a buffering system keeps the medium within the physiological pH range. The third solution tested was Dulbecco’s Modified Eagle’s Media (DMEM), a commonly used synthetic cell culture media. DMEM includes higher concentrations of amino acids and vitamins than basal salt solutions as well as additional supplementary components, so that the medium more closely approximates the protein composition of mammalian cells. Autolysis begins immediately after tissue harvest. Tissue culture media was therefore expected to slow autolytic processes, thereby slowing changes that may influence tissue contrast. Sera, which contains additional supplements and growth factors, is typically added to media used for cell culture, but it was not added to DMEM in this study because the goal was to investigate the performance of individual solutions on preservation of \textit{in vivo} optical scattering characteristics to minimize complexity associated with tissue handling protocols.

\section{Materials and Methods}

\subsection{OCT System Parameters}

Ultrahigh resolution OCT imaging was performed using a broadband Ti:Al$_2$O$_3$ laser-based OCT system operating at a center wavelength of 800 nm, similar to that previously described.\textsuperscript{34} Imaging was performed with a measured axial resolution of 2 \textmu m in free space, corresponding to $\sim$1.5 \textmu m in tissue. To achieve high transverse resolution, an imaging probe consisting of two specially designed achromatic doublets with 10-mm focal length was used, yielding a transverse resolution of $\sim$5 \textmu m in air. The corresponding confocal parameter was $\sim$140 \textmu m. The system sensitivity was measured to be 103 dB with 3 mW of optical power on the sample. The imaging probe was mounted on two precision, computer-controlled micrometer stages to perform OCT imaging scans in two lateral dimensions. All images acquired were 1 x 1 mm in dimension and consisted of 1000 axial x 1000 transverse pixels. This enabled an imaging sample density of 1 pixel/\textmu m in both axial and transverse dimensions.

\subsection{Specimen selection and handling}

All animal procedures were approved by the Committee on Animal Care (CAC) at the Massachusetts Institute of Technology. The Golden Syrian hamster (\textit{Mesocricetus auratus}) cheek pouch model was chosen for this study because it consists of keratinized squamous epithelium, collagen, skeletal muscle, blood vessels, adipose tissue, and other representative tissue constituents.\textsuperscript{15} Imaging in an animal model allows \textit{in vivo} and \textit{ex vivo} imaging to be performed on the same tissue specimen, enabling direct investigation of \textit{ex vivo} handling protocol on image characteristics. In addition, the hamster cheek pouch carcino genesis sequence closely resembles the events involved in the development of premalignant and malignant human oral cancers,\textsuperscript{25} and thus is a relevant model for investigations of cancer progression using optical techniques. Hamsters were anesthetized using intraperitoneal pentobarbital sodium 50 mg/kg with diazepam 10 mg/kg, and kept warm using an isothermal heating pad during the \textit{in vivo} portion of the imaging procedures.

Prior to imaging, the anesthetized hamster cheek pouch was everted using a specially designed and fabricated clamp, which was fastened rigidly to the optical table surface. Figure 1 shows a photograph of the \textit{in vivo} and \textit{ex vivo} imaging setup. The clamping device consisted of a top aluminum piece with a window that enabled imaging and irrigation of the tissue surface, and a bottom plastic piece that enabled the periphery of the cheek pouch specimen to be pinned postmortem. \textit{In vivo} imaging was initially performed. The animal was
subsequently euthanized and the zero time point was recorded at the time of cessation of respiration. The periphery of the cheek pouch was then pinned to the bottom piece of the clamp, enabling the cheek pouch to be excised from the animal with minimal disturbance to the in vivo imaging plane. Specimens of approximately 1×1 cm size were used in this study to minimize the effect of changes in optical scattering induced by loss of local supporting tissue structure. A custom-designed and fabricated containment well was subsequently fixed around the clamp, and the specimen was immersed in 10% neutral-buffered formalin (Fisher Scientific Company, Agawam, Massachusetts), isotonic phosphate-buffered saline (OmniPur, EMD Chemicals Incorporated, Gibbstown, New Jersey), or Dulbecco’s Modified Eagle’s Media (Gibco/Invitrogen, Carlsbad, California). All preservation solutions were refreshed every 15 to 20 min to maintain solution tonicity. Imaging was performed at room temperature (21 to 23°C) to approximate the conditions present in most laboratory settings. Imaging using PBS solution was additionally performed at body temperature (37°C using an isothermal heating pad) and using an ice bath (solution temperature 8°C) to investigate the temperature dependence of tissue preservation over time.

2.2 Imaging Protocol

Separate animals were used for each solution and temperature investigated in this study to enable registered imaging in vivo and ex vivo over the entire time course. Imaging on an additional animal was performed for DMEM solution preservation to verify consistency of the observed results. For each solution, imaging was performed in vivo, at 15 min, 30 min, and 1, 1.5, 2, 2.5, 3, 3.5, 4, 5, 6, 7, 8, and 10 h. Imaging in formalin solution was additionally performed at 12, 14, 16, and 18 h to ensure complete fixation. For each imaging time point, 11 OCT images were taken. The focus position was set to be 200 to 250 μm below the surface of the tissue to yield an optimum depth of field for the images. The first ten images were each sequentially offset in the sagittal direction by 100 μm, enabling imaging over a tissue volume of 1×1×1 mm in dimension. This allowed representative images of architectural morphology of the hamster cheek pouch to be acquired and facilitated subsequent registration with histology. The final image was taken in the same location as the first image to verify the accuracy of the registration protocol and to determine if tissue optical scattering properties had changed over the course of the imaging procedure itself. Each set of 11 images was acquired in approximately 10 min. After completion of the imaging time course, the OCT imaging plane was marked with two microinjections of ink, placed in 10% neutral-buffered formalin, routinely processed, and paraffin embedded. Multiple 5-μm-thick tissue sections were obtained from the OCT imaging planes and stained. Standard hematoxylin-eosin as well as Masson’s trichrome stains were used to visualize the imaged tissues for light microscopy. OCT images and corresponding histology sections were compared. Minor discrepancies between histology and OCT images can be attributed to tissue fixation, processing, and sectioning artifacts.
scattering within muscle bundles appeared to increase. Overall contrast between tissue architectural features appeared to increase during fixation over 18 h and corresponded in time with significantly noticeable tissue shrinkage. Shrinkage was visible in the deeper muscle bundle and connective tissue layers by 1 h after immersion in formalin, and increase in scattering was significantly noticeable by 2 h. The squamous epithelial layer, loose connective tissue, and muscle layers exhibited approximately 50% overall shrinkage. Little or no shrinkage was observed in adipose tissue; however, boundaries between adipocytes sharpened over the 18 h time course. Shrinkage and distortion of the surrounding connective tissue is most likely responsible for visible architectural changes in the adipose tissue layers. A large blood vessel visible at the center of the image also exhibited shadowing in vivo and over the first 2 h, which disappeared completely by 4 h.

3.2 Isotonic Phosphate-Buffered Saline

Figure 4 illustrates OCT images acquired in vivo and over 6 h after excision and immersion in isotonic phosphate-buffered saline at room temperature. The appearance of tissue architectural features in vivo was consistent with the in vivo appearance of the formalin time course specimen discussed previ-
Loss of the optical scattering signal appeared first in the deeper muscle and connective tissue layer relative to PBS at room temperature and using an ice bath, to preserve higher signal intensity in the deeper muscle layers. Each of the separate tissue specimens exhibited loss of scattering, room temperature, and under hypothermic conditions. Excision obtained after immersion in PBS at body temperature in DMEM solution at room temperature: significant by 2 h; (d) 4 h; (e) 6 h; (f) 8 h; (g) 10 h; (h) Masson’s trichrome. Optical scattering characteristics were maintained close to in vivo conditions up to 4 to 6 h after excision and remained similar over the entire time course. Note that the time points illustrated before are different from those illustrated in Fig. 4 because of the longer time course of visible changes during immersion in DMEM. e, epithelium; lp, lamina propria; m1, m2, muscle layers; c, connective tissue; and v, blood vessel. (Wavelength 800 nm, resolution 1.5-μm axial × 5-μm transverse.)

Figure 5 illustrates images acquired in vivo and at 6-h postexcision obtained after immersion in PBS at body temperature, room temperature, and under hypothermic conditions. Each of the separate tissue specimens exhibited loss of scattering signal in the deeper muscle and connective tissue layer (m2) by 3 to 4 h, which were significantly noticeable by 6 h. Tissue maintained at body temperature in PBS saline seemed to preserve higher signal intensity in the deeper muscle layers relative to PBS at room temperature and using an ice bath, although differences were not significant. Some swelling of the superficial muscle layer (m1) and thick connective tissue layer (c) was observed at all temperatures.

3.3 Dulbecco’s Modified Eagle’s Media

Figure 6 illustrates OCT images acquired in vivo and over 10 h after excision and immersion in DMEM at room temperature. Note that the time points of the images in Fig. 6 were chosen to illustrate the time course of changes occurring during immersion in DMEM and are different from the time points illustrated in Fig. 4. Visualization of tissue architectural morphology in vivo was also consistent with in vivo imaging for both formalin and PBS specimens discussed previously. Scattering signal intensity was maintained close to in vivo conditions during immersion in DMEM up to 4 to 6 h after excision. A slight decrease of scattering signal visible at the 6 to 8 h time point also appeared first in the deep muscle and connective tissue layer (m2), but was not significantly noticeable until 8 to 10 h after tissue excision. No significant overall swelling in any of the tissue layers was observed during immersion in DMEM at room temperature. Significant changes in the epithelial layer were not observed during immersion in DMEM at room temperature over the 10-h time course, although shrinkage is again visible in the histology.
due to formalin fixation. Preservation in DMEM maintained the most similar image appearance and overall signal intensity compared with in vivo imaging.

Figures 7 and 8 show a comparison of representative axial scan profiles for DMEM and PBS in vivo at 4 h and 10 h. Scan profiles were averaged over ten consecutive axial scans to reduce noise fluctuations. Scan profiles for PBS show a loss of contrast between layers over the time course and a decrease in overall signal intensity, particularly in the deep muscle and connective tissue layers. The overall tissue scattering signal compared to in vivo conditions was best preserved using DMEM.

4 Discussion

Ex vivo investigations are often a necessary step in the process of validating new technologies and devices for clinical applications. Ex vivo imaging has the advantage of allowing careful control of imaging parameters difficult to perform in vivo, enabling accurate registration of images with histology and allowing feasibility for future in vivo studies to be established.

Since it is not always possible in studies with human tissue to image specimens immediately after excision, the impact of postexcision imaging time and specimen handling protocol needs to be assessed to minimize deviation of tissue ex vivo optical scattering properties from those observed in vivo. Effects of ischemia, such as cell lysis, alter tissue microstructure and, therefore, could affect optical scattering and imaging contrast. In addition, standard postexcision fixation and paraffin embedding may result in processing artifacts that can complicate image interpretation.

The objectives of this study were to investigate the effect of formalin fixation on imaging using optical coherence tomography, and to test the effectiveness of two commonly available laboratory solutions for ex vivo preservation of optical scattering over time. Accurate registration of images with histology is particularly difficult to achieve with in vivo imaging. Prior studies have relied on animal models using architectural landmarks to interpret tissue structures. Imaging through the fixation process minimizes artifacts introduced by fixation and allows one-to-one correlation of tissue structures with histology, enabling direct interpretation of in vivo tissue architectural features.

In this study, the formalin-fixation process led to changes in scattering and tissue architectural distortion due to shrinkage of epithelial, muscle, and connective tissue layers. This is consistent with results reported for human colorectal resection specimens, as well as for retinal specimens. Formalin solution is hyperosmotic relative to tissue, therefore the shrinkage and scattering changes visible in OCT images may be due...
Tissue preservation in PBS solutions resulted in gradual loss of tissue scattering intensity over time, with some appearance of swelling in the superficial muscle and thick connective tissue layer. Although significant changes in optical scattering characteristics were initially expected within 30 min, pronounced changes in PBS were not evident until 2 h after tissue excision. Loss of scattering signal was most evident in the deep muscle and connective tissue layer. In contrast, preservation in DMEM solution yielded only slight loss of overall scattering signal over the entire time course, and little tissue shrinkage or swelling. For both solutions, little overall change was visible in the keratinized squamous epithelial layer over the entire time course. These results are consistent with examination of histology specimens from PBS and DMEM solutions. Histology from PBS solutions showed evidence of mild to moderate edema of the underlying connective tissue stroma, which was not evident in histology from the DMEM solution. Therefore, it is possible that while dehydration contributes to increased scattering in the case of formalin, edema results in a decrease in packing of tissue components and a loss of scattering, particularly in the connective tissue layers, which may expand to absorb excess fluid more readily. The osmolarity of PBS (~308 mOsm) is somewhat lower than that of DMEM (~366 mOsm); therefore, hydration and swelling is more likely during immersion in PBS solution. No postmortem autolysis was observed in any of the specimens, although evidence of subtle cellular changes in the epithelium, such as mild to moderate intracellular edema and swollen nuclei with open chromatin, was present, which could demonstrate the effect of the preservation solutions.

Tissue preserved at body temperature in PBS saline seemed to maintain slightly higher signal intensity in the deep muscle and connective tissue layers relative to PBS at room temperature and using an ice bath, although differences were not significant. In vivo tissue scattering characteristics were expected to be best preserved using an ice bath, because cryopreservation is known to slow metabolic processes, which result in accumulation of metabolic wastes and hassen tissue autolysis. Lack of a significant effect of temperature on optical scattering over time suggests that inherent cellular metabolic processes did not significantly contribute to visible architectural changes within the investigated 10-h time course. Tissue edema is therefore likely the dominant effect resulting in decreased optical scattering over the first 10 h after excision. This is consistent with histology from the specimens, which showed no significant differences between tissue specimens maintained at different temperatures. It is also possible that changes in tissue scattering characteristics were not dramatic postexcision because the hamster cheek pouch is relatively stable when compared to other types of tissues and less sensitive to autolytic processes. Tissues with more fragile morphology, such as gastric cardia or colonic mucosa, may experience faster postmortem tissue autolysis and be more sensitive to ex vivo handling protocol.

The relative scattering intensity of tissue layers was consistent for all solutions both in vivo and ex vivo over the imaging time course for both PBS and DMEM solutions. The squamous epithelium was observed to be uniform and relatively low scattering when compared to the underlying lamina propria, and collagen and loose connective tissues were more highly scattering than the muscle bundles. These observations are similar to results reported in vivo and ex vivo for human esophagus, which consists of a uniform and lower scattering unkeratinized squamous epithelium, a lower scattering muscularis layer, and higher scattering collagen-rich lamina propria and submucosa. Discrepancy between in vivo and ex vivo layer thicknesses have been observed, which may be attributed to shrinkage due to fixation as well as specimen stretching and pinning artifacts.

In this study, the focus zone of the image was held consistently 200 to 250 μm below the surface of the tissue. This enabled comparison between images, but depth of field limitations may lead to different appearances of layers with the same tissue constituents. For example, the connective tissue of the lamina propria in the hamster cheek pouch appears with noticeable fine structure, while the thick connective tissue layer deeper in the tissue appears as a more uniformly scattering band. This may also be partially due to different tissue morphology and composition. It is likely that focus tracking methods would yield a more consistent appearance of tissue layers in OCT images.

5 Conclusion

Ultrahigh resolution OCT consistently identified the normal keratinized squamous epithelial layer, lamina propria, collagen, muscle bundles, blood vessels, and adipose tissue in vivo and ex vivo. Formalin fixation can result in significant shrinkage of all tissue layers, resulting in tissue architectural distortion. Imaging through the fixation process allows one-to-one correlation of hamster cheek pouch structures with histology, enabling accurate interpretation of in vivo tissue architectural features. Changes in optical scattering are significant within the first 2-h postexcision after preservation with isotonic PBS. DMEM best preserves in vivo tissue scattering characteristics over the 10-h imaging time course. While neoplastic lesions are not evaluated in this study, it is expected that similar benefits of imaging using DMEM or other tissue culture media would also apply. Preservation at body temperature using PBS seems slightly better than either room temperature or cold preservation, although differences are not significant. These results suggest that a tissue culture environment is preferable for preparation of recently excised tissue specimens for optical imaging. These results can also be used as a baseline to aid interpretation of tumor progression studies in the hamster cheek pouch model using optical methods.
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