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## The effect of ocean mixed layer depth on climate in slab ocean aquaplanet experiments.

- Aaron Donohoe · Dargan M.W. Frierson · 3
- David S. Battisti

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### Abstract

The effect of ocean mixed layer depth on climate is explored in a suite of 8 slab ocean aquaplanet simulations with different mixed layer depths ranging from a globally uniform value of 50 meters to 2.4 meters. In addition to the 10 expected increase in the amplitude of the seasonal cycle in temperature with 11 decreasing ocean mixed layer depth, the simulated climates differ in several 12 less intuitive ways including fundamental changes in the annual mean climate. 13 The phase of seasonal cycle in temperature differs non-monotonically with 14 increasing ocean mixed layer depth, reaching a maximum in the 12 meter 15 slab depth simulation. This result is a consequence of the change in the source 16 of the seasonal heating of the atmosphere across the suite of simulations. In 17 the shallow ocean runs, the seasonal heating of the atmosphere is dominated 18 by the surface energy fluxes whereas the seasonal heating is dominated by 19 direct shortwave absorption within the atmospheric column in the deep ocean 20 runs. The surface fluxes are increasingly lagged with respect to the insolation 21 as the ocean deepens which accounts for the increase in phase lag from the 22 shallow to mid-depth runs. The direct shortwave absorption is in phase with 23 insolation, and thus the total heating comes back in phase with the insolation 24 as the ocean deepens more and the direct shortwave absorption dominates the 25 seasonal heating of the atmosphere. 26

The intertropical convergence zone (ITCZ) follows the seasonally vary-27 ing insolation and maximum sea surface temperatures into the summer hemi-28 sphere in the shallow ocean runs whereas it stays fairly close to the equator 29 in the deep ocean runs. As a consequence, the tropical precipitation and re-30 31

gion of high planetary albedo is spread more broadly across the low latitudes

Aaron Donohoe

D.M.W. Frierson · D.S. Battisti Department of Atmospheric Sciences, University of Washington, Seattle, Washington

Dept. of Earth, Atmospheric and Planetary Sciences, Room Number 54-918, 77 Massachusetts Avenue, Cambridge, MA 02139-4307. E-mail: thedhoe@mit.edu

in the shallow runs, resulting in an apparent expansion of the tropics relative 32 to the deep ocean runs. As a result, the global and annual mean planetary 33 albedo is substantially (20%) higher in the shallow ocean simulations which 34 results in a colder (7C) global and annual mean surface temperature. The in-35 creased tropical planetary albedo in the shallow ocean simulations also results 36 in a decreased equator-to-pole gradient in absorbed shortwave radiation and 37 drives a severely reduced ( $\approx 50\%$ ) meridional energy transport relative to the 38 deep ocean runs. As a result, the atmospheric eddies are weakened and shifted 39

<sup>40</sup> poleward (away from the high albedo tropics) and the eddy driven jet is also

reduced and shifted poleward by  $15^{\circ}$  relative to the deep ocean run.

42 **Keywords** seasonal cycle · aquaplanet · expansion of tropics

### 43 1. Introduction

The seasonal cycle of temperature in the extratropics is driven by seasonal variations 44 in insolation that are comparable in magnitude to the annual mean insolation. The 45 majority of the seasonal variations in insolation are absorbed in the ocean Fasullo 46 and Trenberth (2008a,b), which has a much larger heat capacity than the overlying 47 atmosphere. This energy never enters the atmospheric column to drive seasonal vari-48 ations in atmospheric temperature and circulation. The heat capacity of the ocean 49 plays a fundamental role in setting both the magnitude and phasing of the seasonal 50 cycle in the atmosphere. The Earth's climate would be fundamentally different if the 51 ocean's heat capacity was not substantially larger than that of the atmosphere. 52

In a forced system with a heat capacity and negative feedbacks (damping), the 53 phase lag of the response increases with increasing heat capacity, reaching quadra-54 ture phase with the forcing in the limit of very large heat capacity (Schneider 1996). 55 Therefore, in the extratropical climate system- where the insolation is the forcing and 56 the Planck feedback and dynamic energy fluxes are the dominant negative feedbacks-57 one would expect that the phase lag of temperature with respect to insolation would 58 increase with increasing ocean heat capacity. We will demonstrate that this expecta-59 tion is not realized in a set of experiments with an idealized climate model; the phase 60 lag of atmospheric temperature is a non-monotonic function of ocean heat capacity. 61 We argue that increasing ocean heat capacity moves the system from a regime in 62 which the seasonal heating of the atmosphere is dominated by the energy fluxes from 63 the surface (ocean) to the atmosphere to a regime where the heating is dominated by 64 the sun heating the atmosphere directly via shortwave absorption in the atmospheric 65 column. In the latter regime, the surface and atmospheric energy budgets are partially 66 decoupled and the atmospheric heating is nearly in phase with the insolation result-67 ing in a small phase lag of the seasonal temperature response. Recently, Donohoe 68 and Battisti (2013) demonstrated that the seasonal heating of the atmosphere in the 69 observations is dominated by direct shortwave absorption in the atmospheric column 70 as opposed to surface energy fluxes, which is akin to the large ocean heat capacity 71 regime discussed above. 72

The heat capacity of the climate system does not contribute to the annual mean energy budget in the theory of energy balance models (North 1975) because there

is no heat storage in equilbruim. However, the magnitude of the seasonal cycle can 75 impact the annual mean energy budget through the rectification of non-linearities 76 and/or the covariance of processes acting over the seasonal cycle (i.e. the correlation 77 between seasonal anomalies in insolation and albedo). Therefore, the ocean heat ca-78 pacity may impact the annual mean climate. Indeed, we demonstrate here that the 79 ocean heat capacity has a large impact on the modeled climate system in the annual 80 mean including the global mean temperature, the global energy budget, the extent 81 of the tropics, the meridional energy transport, and the location and intensity of the 82 surface westerlies. 83 Slab ocean models are widely used to assess the equilibrium climate sensitivity 84

in global climate models (Danabasoglu and Gent 2009) because the system comes to
equilibrium rapidly as compared to the full-depth ocean model. Slab ocean models
are also widely used in idealized simulations (Kang et al. 2008; Rose and Ferreira
2013) to model the response of the climate system to prescribed anomalies in ocean
heat transport. The sensitivity of climate to mixed layer depth in these simulations is
often neglected.

In this study, we analyze the effect of slab ocean depth on climate (temperature, 91 precipitation, winds, and energy fluxes) in a suite of aquaplanet slab ocean experi-92 ments, each with a different, globally uniform ocean mixed layer depth. This paper 93 is organized as follows. In Section 2, we introduce the models and observational data 94 sets we will compare the models to. We then analyze the amplitude and phase of 95 the seasonal cycle of atmospheric temperature and interpret these results in terms 96 of the source of the seasonal heating of the atmosphere (Section 3). In Section 4, 97 we analyze the seasonal migration of the inter-tropical convergence zone (ITCZ) in 98 the slab-ocean aquaplanet simulations and its impact on the tropical precipitation. 99 We also demonstrate in this section that the seasonal migration of the ITCZ causes 100 a large residual contribution to the global and annual average planetary albedo and, 101 hence, the global energy budget and global mean temperature. Lastly, in Section 5, 102 we demonstrate that the amplitude of the seasonal cycle also modifies the meridional 103 heat transport in the climate system by way of modifying the meridional structure of 104 planetary albedo. As a consequence, both the magnitude and location of the jets, in-105 cluding the surface westerlies, change as the amplitude of the seasonal cycle changes. 106 A summary and discussion follows in Section 6. 107

### **108 2. Data and methods**

We will analyze the effect of ocean heat capacity on climate in a suite of slab-ocean aquaplanet simulations with different ocean mixed layer depths. Here we describe the model runs used. The analysis of the model output will then be compared to observations to put the results in context. We also describe the observational data sources in this section.

#### 114 **2a.** Slab-ocean aquaplanet simulations

<sup>115</sup> We couple an atmospheric general circulation model to a uniform constant depth slab

ocean that covers the entire globe – hereafter an aquaplanet. We perform five experi-



ments with prescribed ocean depths of 2.4, 6, 12, 24, and 50 meters. The heat capacity 117 of the 2.4 m slab ocean is equivalent to that of the atmosphere while the heat capacity 118 in the 50 m run is more than 20 times that of the atmosphere. There is no Q flux to 119 the ocean; the ocean does not transport energy. Sea ice is prohibited from forming 120 in the model, even if the sea-surface temperature is below the freezing point of sea 121 water. The atmospheric model is the Geophysical Fluid Dynamics Lab Atmospheric 122 Model version 2.1 (Delworth et al. 2006) featuring a finite volume dynamical core 123 (Lin 2004) with a horizontal resolution of approximately 2° latitude, 2.5° longitude 124 and 24 vertical levels. The model is forced by seasonally varying solar insolation with 125 zero eccentricity and  $23.439^{\circ}$  obliquity. The model is run for twenty years and the 126 model climatology is taken from the last five years of the integrations; these choices

<sup>127</sup> model climatology is taken from the last five years of the integrations; these c ensure the model is spun up and the seasonal cycle is adequately sampled.

<sup>129</sup> The atmospheric energy budget is

$$\frac{dE}{dt} = SWABS + SHF - OLR - \nabla \cdot (\mathbf{U} MSE), \qquad (1)$$

where *E* is the column integral of sensible and latent heat (CpT +Lq), *OLR* is the outgoing longwave radiation, MSE is the moist static energy (CpT +Lq + gZ), and the term on the right represents the atmospheric energy flux convergence integrated over the column of the atmosphere. *SWABS* is the shortwave absorption within the atmospheric column, and represents the sun directly heating the atmosphere:

$$SWABS = SW \downarrow_{TOA} - SW \uparrow_{TOA} + SW \uparrow_{SURF} - SW \downarrow_{SURF} .$$
<sup>(2)</sup>

SHF is the net (turbulent plus longwave radiation) exchange of energy between the
 surface and the atmosphere:

$$SHF = SENS \uparrow_{SURF} + LH \uparrow_{SURF} + LW \uparrow_{SURF} - LW \downarrow_{SURF},$$
(3)

where *SENS* is the sensible energy flux and *LH* is the latent energy flux, both defined
as positive upwards to the atmosphere. We note that *SHF* does not include solar fluxes
because the surface solar fluxes are an exchange of energy between the surface and
the sun and the solar impact on the atmospheric budget is accounted for in *SWABS*.
See (Donohoe and Battisti 2013) for further discussion.

We calculate *SWABS* and *SHF* from equations 2 and 3 respectively using the model output of the fluxes at the top of the atmosphere (TOA) and the surface.  $\frac{dE}{dt}$ , hereafter the storage, is calculated from the finite difference of the monthly column integrated temperature and specific humidity. The energy transport convergence is calculated as the residual of equation 1.

### 147 **2b.** Observational data

<sup>148</sup> We use the ERA-Interim Reanalysis climatological (1979-2010) atmospheric temper-

ature data to define the amplitude and phase of the observed atmospheric temperature.

<sup>150</sup> The radiative fluxes used are from the corrected long term climatologies (Fasullo and

<sup>151</sup> Trenberth 2008a) of the Clouds and Earth's Radiant Energy System (CERES) ex-

periment (Wielicki et al. 1996). The atmospheric energy flux convergences are from
 Donohoe and Battisti (2013) and are derived from ERA-Interim Reanalysis using the

advective form of the equations. SWABS is assessed directly from the climatological

averaged CERES data including the (AVG) surface shortwave fluxes. SHF is calcu-

<sup>156</sup> lated as a residual from equation 1.

# The amplitude and phase of the seasonal cycle of atmospheric temperature and the source of atmospheric heating

The seasonal amplitude of temperature, defined as the amplitude of the annual har-159 monic, in the slab-ocean aquaplanet simulations is shown in the left panel of figure 160 1. As expected, the seasonal amplitude decreases with increasing mixed layer depth 161 at both the surface (solid lines) and in the mid-troposphere (dashed line). In the shal-162 low mixed layer depth runs, the seasonal cycle of temperature is larger at the surface 163 then it is in the mid-troposphere. In contrast, the seasonal amplitude of temperature 164 is largest in the mid-troposphere for the deep mixed layer depth runs. The observed 165 amplitude of the seasonal cycle above the Southern Ocean (between 30°S and 65°S -166 upper right panel of Figure 1) resembles that of the 50 meter run, with a small ampli-167 tude and amplified seasonal cycle aloft. Poleward of 65°S the influence of the Antarc-168 tic continent can be seen with a larger and surface amplified seasonal amplitude of 169 temperature. The seasonal amplitude in the Northern extratropics is comparable in 170 magnitude to the 12m run and shows the surface amplification seen in the shallow 171 mixed runs, reflecting the large and surface amplified seasonal cycle over the land 172 masses. 173

The phase lag of the tropospheric averaged (below 250 hPa) temperature rela-174 tive to the insolation varies non-monotonically with mixed layer depth (bottom panel 175 of Figure 1); the phase lag in the high-latitudes (poleward of 50°) increases as the 176 mixed-layer depth increases from 2.4 meters to 12 meters but then decreases as the 177 mixed layer depth increases further from 12 meters to 50 meters. This behavior is not 178 expected from a system with a single heat capacity dictated by the ocean mixed-layer 179 depth. The observed seasonal cycle of temperature has a substantially smaller phase 180 lag than any of the aquaplanet simulations (lower right panel of Figure 1). The verti-181 cal structure of the phase of the seasonal cycle in temperature (Figure 2) shows that 182 the phase is nearly vertically uniform in the shallow mixed layer depth experiments, 183 suggesting that the entire column responds in unison to seasonal variations in inso-184 lation. In contrast, in the deep mixed layer runs, the temperature aloft leads that at 185 the surface by of order one month in the high latitudes<sup>1</sup>. The observed seasonal cycle 186 of temperature has a smaller phase lag than the aquaplanet simulations at all levels. 187 The observed temperature aloft leads that at the surface (akin to the deep mixed layer 188 depth runs) over the Southern Ocean whereas the phase is nearly vertical invariant 189 (akin to the shallow mixed layer depth runs) throughout the Northern extratropics 190

<sup>191</sup> and over Antarctica.

<sup>&</sup>lt;sup>1</sup> We note that the seasonal cycle of temperature in the deep runs is delayed aloft in the vicinity of  $40^{\circ}$ . This phase lag is a consequence of reduced eddy energy flux divergence during the warm season that is driven by extratropical atmospheric heating leading which leads to a reduced meridional temperature gradient aloft during the late summer. This acts as a phase delayed source of heating in the subtropical troposphere which is driven non-locally.

We argue that the effect of ocean mixed layer depth on the amplitude, phase, and 192 vertical structure of the seasonal cycle in temperature can be understood by analyz-193 ing the source of the seasonal heating of the atmosphere. Specifically, the seasonal 194 heating of the atmosphere is dominated by the upward energy fluxes from the ocean 195 to the atmosphere (SHF) in the shallow mixed layer experiments whereas it is dom-196 inated by direct absorption of shortwave radiation (SWABS) in the deep mixed-layer 197 depth experiments. The time series of the seasonal heating (annual mean removed) 198 of the atmosphere by SWABS, SHF and their sum (total heating) averaged poleward 199 of 40°N is shown in Figure 3. SWABS has a seasonal amplitude of order 50 W m<sup>-2</sup>, 200 is nearly in phase with the insolation and varies very little with mixed layer depth. 201 This result is consistent with water vapor and ozone in the atmosphere absorbing 202 approximately 20% of the insolation (Chou and Lee 1996) during all seasons. The 203 increased amplitude and phase lag of SWABS in the 2.4 meter runs is a consequence 204 of the moistening of the extratropical hemisphere during the late summer, resulting in 205 seasonal variations in the fraction of insolation absorbed in the column that peak in 206 late summer. We also note that the seasonal cycle of SWABS in the observations are 207 well replicated in the models suggesting that the basic state shortwave absorptivity is 208 well captured in the aquaplanet model. 209

In contrast to the nearly mixed-layer depth invariant SWABS, the seasonal cycle 210 of SHF decreases markedly with increasing mixed-layer depth while the phase lag 211 concurrently increases with increased mixed layer depth. In the limit of zero surface 212 heat capacity, we would expect the upward SHF to match the net shortwave radiation 213 at the surface because, there can be no storage in the surface. In the 2.4 meter run, 214 the seasonal amplitude of the SHF is 75 W m<sup>-2</sup> and is 62% of the amplitude of the 215 net shortwave radiation at the surface. The SHF lags the surface solar radiation by 29 216 days. Although the heat capacity of the ocean is non-negligble in the 2.4 meter run, 217 the majority of the surface shortwave radiation gets fluxed upward to the atmospheric 218 column with a small time  $lag^2$ . In contrast, in the 50 meter run, the entirety of the 219 seasonal variations in surface shortwave radiation (not shown) are stored in the ocean 220 mixed-layer; the seasonal amplitude of energy storage in the extratropical ocean ex-221 ceeds the seasonal amplitude of net shortwave radiation at the surface (by 20%) as the 222 atmosphere fluxes energy to the ocean via downward a SHF during the warm season. 223 The latter flux is made possible by the fact that the atmosphere is being heated di-224 rectly by SWABS in the summer and losing energy via the interaction with the ocean 225 surface. This also explains why the seasonal cycle of temperature is amplified aloft in 226 the deep mixed layer depth runs (upper left panel of Figure 1) since the distribution of 227 SWABS is nearly invariant throughout the troposphere (Donohoe and Battisti 2013) 228

<sup>&</sup>lt;sup>229</sup> but the loss of energy to the ocean is confined to the boundary layer.

<sup>&</sup>lt;sup>2</sup> We note that, the seasonal amplitude of extratropical shortwave radiation absorbed at the surface is in phase with the insolation but has 57% of the seasonal amplitude of the insolation (125 W m<sup>-2</sup> as compared to 220 W m<sup>-2</sup>) which represents the shortwave opacity of the atmosphere times the surface co-albedo (0.92). Thus, in the limit of zero surface heat capacity we would expect that approximately 57% of the seasonal insolation to enter the atmospheric column via *SHF* as compared to the 20% of insolation absorbed directly in the atmospheric column (*SWABS*). In this case, there is an approximately 3:1 heating ratio of *SHF:SWABS*, similar to the observed annual mean ratio (Donohoe and Battisti 2013).

The suite of aquaplanet mixed-layer experiments span two different regimes of 230 seasonal energy input into the atmosphere; the seasonal heating of the atmosphere is 231 dominated by the SHF in the shallow mixed layer runs while the seasonal heating of 232 the atmosphere is dominated by SWABS in the deep mixed layer runs (Figure 3). The 233 transition between the two regimes occurs for the 6 and 12 meter runs where both 234 SWABS and SHF contribute to the seasonal heating of the atmosphere. The phase 235 lag of SHF increases with increasing mixed layer depth as a consequence of the sea 236 surface temperatures lagging the insolation more as the thermal inertia of the system 237 increases. 238

The phase of the total heating varies non-monotonically with mixed layer depth 239 and can be understood in terms of the transition between a regime where seasonal 240 heating is dominated by SHF to one where SWABS dominates the seasonal heating 241 of the atmosphere. If the atmosphere was transparent to shortwave radiation (SWABS 242 = 0) then the phase lag of atmospheric temperature would increase monotonically 243 with increasing ocean mixed layer depth along with the phase of SHF. Indeed, as 244 the ocean mixed layer depth increases from 2.4m to 6m, the total seasonal heating of 245 the atmosphere becomes more phase lagged, reflecting the contribution SHF (Figure 246 3, bottom panel). However, the amplitude of SHF also decreases with increasing 247 mixed layer depth and the seasonal heating of the atmosphere becomes increasingly 248 dominated by SWABS; SWABS and SHF have nearly identical seasonal amplitudes in 249 the 6m run and the seasonal amplitude of SWABS exceeds that of SHF by a factor of 250 three in the 24m run. Because SWABS is nearly in phase with the insolation (and SHF 251 lags the insolation), the phase lag of total atmospheric heating decreases as the mixed 252 layer depth increases from 6m to 50m and the seasonal heating becomes dominated 253 by SWABS. In the 50m run, the seasonal flow of energy between the atmosphere and 254 the surface has completely reversed relative to the 2.4m run (and the annual mean): 255 the atmosphere is heated directly by the sun during the warm season and subsequently 256 fluxes energy downward to the ocean resulting in an amplified and phase leading 257 seasonal cycle aloft relative to the surface (Figure 1 and 2 respectively). 258

The relative roles of SHF and SWABS in the seasonal heating of the atmosphere 259 in the suite of aquaplanet mixed layer depth experiments is best demonstrated by 260 the seasonal amplitude of the energy fluxes averaged over the extratropics (defined 261 as poleward of 38 °) shown in Figure 4. The seasonal amplitude is defined as the 262 amplitude of the Fourier harmonic in phase with the total atmospheric heating (SHF 263 plus SWABS) and has been normalized by the amplitude of the total heating in each 264 experiment to emphasize the relative magnitude of each of the terms. This definition 265 of amplitude takes into account both amplitude and phase with positive amplitudes 266 amplifying the seasonal cycle in temperature and negative amplitudes damping the 267 seasonal cycle. As discussed above, SWABS and SHF make comparable contributions 268 to the seasonal heating of the atmosphere in the 2.4m and 6m runs (the red and blue 269 diamonds have similar positive magnitudes) while the heating of the atmosphere is 270 dominated by SWABS in the deeper mixed layer. In the 24m and 50m runs, SWABS is 271 the sole source of seasonal atmospheric heating as the SHFs are out of phase with the 272 heating and, thus, damp the seasonal cycle of atmospheric temperature. We note that, 273 the latter situation also occurs in the observed Southern Hemisphere (top panel of 274 Figure 4) where the seasonal flow of energy is from the sun heating the atmosphere 275

during the summer and the atmosphere subsequently losing energy to the surface 276 (Donohoe and Battisti 2013). In the observed Northern Hemisphere, SHF contributes 277 to the seasonal heating of the atmosphere due to a contribution from the land domain 278 where the vast majority of downwelling shortwave radiation at the surface is fluxed 279 upward to the atmosphere with a small time lag as a consequence of the small heat 280 capacity of the surface. As the extratropical atmosphere is heated seasonally, energy 281 is lost to OLR, atmospheric energy flux divergence, and storage in the atmospheric 282 column (see Equation 1) with all three terms making nearly equal magnitude contri-283 butions. The extratropical atmosphere is moister during the summer in the shallow 284 mixed layer depth experiments compared to the deeper simulations and to Nature. 285 Hence, atmospheric energy storage has a relatively larger damping contribution to 286 the seasonal cycle in the shallow mixed layer runs compared to the deeper mixed 287

<sup>288</sup> layer simulations and Nature.

The seasonal phasing of atmospheric temperature is a direct consequence of the 289 amplitude and phasing of seasonal heating discussed above. The bottom panel of Fig-290 ure 4 shows the phase of all the energy flux terms averaged over the extratropics in 291 the aquaplanet simulations and observations. The phase of the total heating of the at-292 mosphere (red-blue diamonds) varies non-monotonically as a function of mixed layer 293 depth because the seasonal heating transitions from being dominated by SHF (shal-294 low runs) to being dominated by SWABS (deep runs). As a result, the phase of the 295 tropospheric averaged temperature also varies non-monotonically with mixed layer 296 depth: the temperature lags the total atmospheric heating by 43 days in the ensemble 297 of experiments and observations. This phase lag of the temperature relative to the total 298 heating is consistent with a forced system with negative net (linear) feedbacks where 299 the heat capacity times the angular frequency of the forcing is approximately equal 300 to the sum of the feedback parameters (Donohoe 2011). The seasonal energy storage 301 within the atmospheric column is comparable to the sum of the losses by radiative 302 (OLR) and dynamic  $(\nabla \cdot (\mathbf{U}MSE))$  processes (top panel of Figure 4). Thus the tem-303 perature tendency leads the atmospheric heating by  $\approx 45^{\circ}$  of phase and the feedbacks 304 lag the heating by the same amount. The essential point is that, provided the dynamic 305 and radiative feedbacks are nearly climate state invariant, the phase of atmospheric 306 heating will dictate the phase of the temperature and energetic response as can be seen 307 by the corresponding changes in the phase of total atmospheric heating (blue-red dia-308 monds in the bottom panel of Figure 4) and temperature (black diamonds) across the 309 suite of aquaplanet simulations. Finally, we note that the atmospheric heating in the 310 observations occurs earlier in the calendar year than in all the aquaplanet simulations 311 - even than the 2.4 m mixed layer depth simulation. As a consequence, the phase lag 312 of atmospheric temperature relative to the insolation is smaller in the observations 313 than in the aquaplanet simulations at all heights and latitudes (Figure 2). This result 314 suggests that even the small quantity of land mass in the Southern Hemisphere is 315 essential to setting the phase of atmospheric temperature over the whole domain and 316 will be discussed further in Section 6. 317

# 4. The seasonal migration of the ITCZ and it's impact on precipitation and global mean temperature

The zonally averaged intertropical convergence zone (ITCZ) migrates seasonally into 320 the summer hemisphere where the maximum sea surface temperatures (SST) and at-321 mospheric heating are found (Chiang and Friedman 2012; Frierson et al. 2013). The 322 seasonal migration of the ITCZ decreases as the depth of the slab ocean increases in 323 the aquaplanet simulations as more of the seasonal variations in extratropical insola-324 tion are stored in the ocean, resulting in smaller seasonal variability of the SSTs and 325 energy fluxes to the atmosphere. We argue that the magnitude of the seasonal migra-326 tion of the ITCZ off the equator critically controls the annual mean meridional extent 327 of the tropics as measured by the meridional structure of cloud cover, precipitation, 328 and planetary albedo. As a consequence, the magnitude of the seasonal migration of 329 the ITCZ also controls the global mean energy balance and surface temperature. 330

### **4a.** The seasonal migration of the ITCZ and the meridional extent of the tropics

The top panel of Figure 5 shows the meridional overturning streamfunction in the 332 atmosphere averaged over the three months when the ITCZ is located farthest north 333 alongside the precipitation (blue lines) and planetary albedo (orange lines). In the 334 50m mixed layer depth run, the maximum precipitation remains within  $3^{\circ}$  of the 335 equator during all seasons and is co-located with the SST maximum (not shown). The 336 ascending branch of the Hadley circulation is confined to within  $10^{\circ}$  of the equator 337 and the subsidence occurs between 10° and 25° during all seasons. In contrast, in 338 the 2.4m slab ocean depth run, the precipitation maximum and ascending branch of 339 the Hadley cell extends to approximately  $30^{\circ}$  during the seasonal extrema (upper 340 right panel of Figure 5). As the ITCZ migrates off the equator in the shallow mixed 341 layer run, a large amplitude asymmetry develops between the winter and summer 342 Hadley cells (Lindzen and Hou 1988) with the summer cell nearly disappearing. As a 343 result the precipitation maximum occurs within the winter cell. Compared to the 50m 344 run, the ascending motion and precipitation are spread over a broad latitudinal extent 345 (Donohoe et al. 2013). There is strong subsidence in the winter hemisphere leading 346 to an inversion and stratus clouds that extend from the equator to  $30^{\circ}$  (not shown). 347 Stratus is less persistent over the same subtropical region in the deeper mixed layer 348 runs because the subsidence strength is reduced and the SST remains higher in the 349 winter due to the larger thermal inertia of the ocean. 350

The magnitude of the seasonal migration of the ITCZ and the concomitant precip-351 itation, and clouds have a profound impact on the annual mean climate of the tropics 352 and subtropics. In the deep mixed layer depth runs, the annual mean climate is simi-353 lar to that of seasonal extrema and features and strong and narrowly confined Hadley 354 cell (lower left panel of Figure 5 - note that the contour interval of the streamfunction 355 has been reduced relative to the upper panels) with ascending motion and convective 356 precipitation within  $10^{\circ}$  of the equator and subsidence and dry conditions from  $10^{\circ}$ 357 to  $30^{\circ}$ . Similarly, the meridional structure of the zonally averaged planetary albedo is 358 very similar to the seasonal extrema, with high values over the precipitating regions 359 and low values over the extensive and dry subtropics. In contrast, the annual mean 360

climate in the shallow mixed layer depth run is fundamentally different from that of 361 the seasonal extrema. The strong ascent that occurs during the local summer is nearly, 362 but not exactly, balanced by subsidence during the local winter. As a consequence, 363 the annual mean mass overturning circulation is extremely weak and meridionally 364 expansive in the 2.4m run as compared to the 50m run (c.f. the gray contours in the 365 lower right and lower left panels of Figure 5). The annual mean precipitation is spread 366 nearly uniformly across the tropics for two reasons: the precipitation follows the sea-367 sonally migrating ITCZ an thus covers the whole region equatorward of 30°, and 368 the ascending regions and precipitation extend over a broader region in the shallow 369 mixed layer depth runs due to the amplitude asymmetry between the winter and sum-370 mer branches of the Hadley cell. The planetary albedo is also nearly uniform across 371 the tropics as a result of the convective precipitation that covers a broad region in the 372 summer hemisphere accompanied by an equally extensive region of stratus clouds in 373 the winter hemisphere (see top right panel of Figure 5). Overall, the tropics expand 374 poleward in the shallow mixed layer depth runs (relative to the deep run) as measured 375 from common metrics of the tropical extent including the annual mean precipita-376 tion minus evaporation, the outgoing longwave radiation, and the mass overturning 377 streamfunction (Johanson and Fu 2009). 378

### **4b.** Planetary albedo and the globally and annually averaged temperature

The meridional structure of the annual mean planetary albedo is dramatically dif-380 ferent in the shallow and deep ocean mixed layer depth experiments. In the deep 381 ocean runs, there is a well defined contrast between the high albedo tropics and low 382 albedo subtropics. In contrast, the shallow ocean runs feature a meridionally broad 383 high albedo tropical region. The meridional extent of the high planetary albedo trop-384 ical region expands poleward as the depth of the ocean mixed layer decreases (right 385 panel of Figure 6). The extratropical planetary albedo is highest for the deeper mixed 386 layer depth runs and is a consequence of a seasonally persistent mid-latitude baro-387 clinic zone and storm track in the deep runs. In contrast the mid-latitude baroclinic 388 zone and storm track only exists in the winter in the shallow ocean runs; in the shallow 389 ocean runs, the extratropical storm track vanishes along with the barcolinity during 390 the summer months (the maximum SSTs are found between  $40^{\circ}$  and  $50^{\circ}$ ). As a result, 391 there are fewer clouds and lower extratropical planetary albedo in the annual mean 392 in the shallow runs. The differences in tropical and extratropical planetary albedo 393 across the suite of ocean mixed layer depth simulations partially but far from com-394 pletely compensate for one another in the global average with the tropical response 395 dominating the global mean behavior. The global mean planetary albedo for each 396 simulation is shown by the thick horizontal lines on the right and left axes of the right 397 panel of Figure 6. The global mean planetary albedo increases with decreasing mixed 398 layer depth and varies by 0.05 across the suite of simulations which corresponds to 399 a global mean top of the atmosphere (TOA) shortwave radiation difference of 15 W 400  $m^{-2}$ . We note that, the seasonal covariance of planetary albedo and insolation makes 401 a negligible contribution to the annual and global mean planetary albedo in all runs 402 (i.e. the seasonal insolation weighted annual mean albedo is comparable to the annual 403

<sup>404</sup> mean albedo in all regions).

The zonal and annual mean SST differs greatly across the suite of slab ocean 405 aquaplanet simulations (left panel of Figure 6) and are a consequence of the differ-406 ences in global mean planetary albedo. The global average SST is 7C higher in the 407 50m ocean slab depth run than in the 2.4m slab depth run which is significantly colder 408 than the other runs. Overall, the differences in global mean surface temperature across 409 the suite of simulations follow the global mean absorbed shortwave radiation (ASR 410  $= S[1 - \alpha_P]$ ) with a 2 W m<sup>-2</sup> increase in ASR corresponding to an approximately 1 411 degree C increase in global mean temperature. The low-latitude SSTs (equatorward 412 of 30°) increase monotonically with increasing mixed layer depth concurrent with the 413 decrease in local planetary albedo. In contrast, the differences in extratropical SST 414 across the suite of experiments do not follow the differences in local ASR. For ex-415 ample, the extratropics of the 2.4m run are the coldest of the entire ensemble despite 416 the fact that the local planetary is the lowest amongst all the ensemble members. This 417 result suggests that the global mean energy balance is communicated to all regions 418 of the globe by way of the (atmospheric) meridional energy transport, regardless of 419 the local radiative differences. We further pursue the changes in meridional energy 420 transport in the next section. 421

### 422 5. Meridional energy transport and jet location

In the previous section, we demonstrated that the meridional structure of planetary 423 albedo differs drastically across the suite of slab ocean aquaplanet simulations. The 424 equator-to-pole gradient of planetary albedo plays a fundamental role in determin-425 ing the meridional heat transport in the climate system (Stone 1978; Enderton and 426 Marshall 2009). The mid-latitude heat transport is primarily accomplished by eddies 427 in the atmosphere (Czaja and Marshall 2006) and the eddies affect the jet location 428 and the surface winds (Edmon et al. 1980). Therefore, any change in the magnitude 429 and/or spatial structure of meridional heat transport is expected to be accompanied 430 by a shift in the jet. In this section, we demonstrate that there are first order changes 431 in the annual mean meridional heat transport and zonal winds across the suite of slab 432 ocean aquaplanet simulations. 433

#### 434 **5a.** *Meridional energy transport*

The annually averaged meridional energy transport in the slab ocean aquaplanet sim-435 ulations is shown in Figure 7. We note that, there is no ocean energy transport in 436 these simulations which allows the atmospheric energy transport to be calculated 437 from spatially integrating the net radiative imbalance at the TOA from pole to pole. 438 The contribution of the mean overturning circulation (MOC - i.e. the Hadley and 439 Ferrel cells) to the energy transport is calculated from the monthly mean meridional 440 velocity, temperature, specific humidity and geopotential field using the advective 441 form of the energy flux equation as in Donohoe and Battisti (2013). The eddy contri-442 bution is calculated as the total energy transport minus the MOC energy transport<sup>3</sup>. 443

<sup>&</sup>lt;sup>3</sup> The stationary eddies make a negligible contribution to the total energy transport. The stationary eddy energy transport is included in the eddy energy transport term for completeness.



The peak in energy transport is almost 2 PW higher in the deep ocean runs (5.4 PW in the 50m simulation) as compared to the shallow ocean runs. The meridional structure of the energy transport is more meridionally peaked for the deep runs as compared to the flatter structure seen for the shallow runs.

The partitioning of the energy transport into MOC and eddy components shows 448 several anticipated features (Figure 7). In the low latitudes, the energy transport is 449 dominated by a poleward energy transport in the thermally direct Hadley cell<sup>4</sup> and 450 the eddies make a negligible contribution (with the exception of the deep tropics 451 of the 50m run). The Hadley cell energy transport extends farther poleward in the 452 shallow runs due to the expansion of the tropics that was previously noted. In the 453 mid-latitudes, the eddies dominate the total energy transport and the MOC energy 454 transport is equatorward in the thermally indirect Ferrel cell. The peak equatorward 455 energy transport in the Ferrel cell is co-located with the eddy energy transport maxi-456 mum in all runs which is consistent with the Ferrel cell being driven by the eddies. 457

The reduced meridional energy transport in the shallow mixed layer depth runs 458 (relative to deep runs) is accompanied by weaker eddy energy transport in the mid-459 latitudes (c.f. the blue and red dashed lines in Figure 7). From the perspective of the 460 TOA radiation budget, the increased subtropical planetary albedo in the shallow run 461 results in a smaller magnitude net radiative surplus and demands weaker eddy energy 462 flux divergence and therefore weaker mid-latitude eddies; the weaker eddies result 463 from a reduced meridional gradient in shortwave heating between the subtropics and 464 the extratropics. From the perspective of the local dynamics, the mid-latitude baro-465 clinity in the shallow runs is severely reduced during the summer as the maximum 466 SSTs are found around 40°. As a result, the mid-latitude storm track essentially dis-467 appears (along with the baroclinity) during the summer in the shallow runs whereas 468 the storm track is nearly seasonally invariant in the deep runs. The seasonal variations 469 in storm track intensity and location results in weaker eddies in the annual mean in 470 the shallow runs as compared to the deep runs. We note that, the eddy energy flux 471 maximum is shifted 10° poleward in the 2.4m run as compared to the 50m run (peak-472 ing at 47° as compared to 37°). This shift is a consequence of the differences in the 473 meridional extent of the Hadley cell energy transport and differences in the total heat 474 transport demanded by the TOA radiation budget as a consequence of the changes 475 in subtropical planetary albedo associated with the seasonal migration of the Hadley 476 cell (see Figure 6). The ramifications of the reduced and poleward shifted eddies in 477 the shallow run will be further discussed in Section 55b. 478

The maximum meridional energy transport between the tropics and the extratropics ( $MHT_{MAX}$ ) is equal to the net radiative deficit at the TOA spatially integrated over the extratropics (Trenberth and Caron 2001). As such, it can be thought of as the ASR anomaly relative to the global mean integrated over the extratropics ( $ASR^*$ ) minus the outgoing longwave radiation anomaly integrated over the same region ( $OLR^*$  – see Donohoe and Battisti 2012, for a discussion):

<sup>&</sup>lt;sup>4</sup> The equatorward MOC energy transport in the deep tropics of the 50m run is a consequence of the moist static energy decreasing with height in the boundary layer due to a very moist and warm boundary layer. This results in the Hadley cell transporting energy in the same direction as the meridional flow at the surface.

$$MHT_{MAX} = ASR^* - OLR^*.$$
(4)

ASR\* is a consequence of the meridional gradient in incident radiation and the merid-485 ional gradient of planetary albedo. The latter was shown in Section 44b to differ sub-486 stantially with ocean mixed layer depth with a larger meridional gradient in planetary 487 albedo for the deep ocean runs (c.f. the red and blue lines in the right panel of Figure 488 6). One would therefore expect the deep ocean runs, with a stronger meridional gra-489 dient in planetary albedo, to have enhanced meridional energy transport( $MHT_{MAX}$ ) 490 provided that the spatial gradients in absorbed radiation (ASR\*) are not completely 491 balanced by local changes in emitted radiation (OLR\*). In physical terms, when the 492 extratropics have a higher planetary albedo than the tropics, the equator-to-pole con-493 trast of energy input into the climate system is enhanced (ASR\* increases) and the 494 system must balance the enhanced gradient in absorbed insolation by fluxing more 495 energy from the tropics to the extratropics (increasing  $MHT_{MAX}$ ) or by coming to 496 equilibrium with a larger equator to pole temperature gradient resulting in a larger 497 OLR gradient by the Planck feedback (increasing  $OLR^*$ ). ASR\* increases from 5.0 498 PW in the 2.4m depth run to 8.3 PW in the 50m depth run (Table 1) and the ma-499 jority of the changes in ASR\* are balanced by enhanced energy transport into the 500 extratropics ( $MHT_{MAX}$ ) while changes in  $OLR^*$  play a secondary role in balancing 501 differences in ASR\* across the suite of simulations. This result suggests that differ-502 ences in the equator-to-pole gradient in absorbed shortwave radiation are primarily 503 balanced by changes in the dynamic energy transport and secondarily by local ra-504 diative adjustment (by way of the Planck feedback). This result is consistent with 505 the findings of Donohoe and Battisti (2012) and Enderton and Marshall (2009) who 506 found that changes in the meridional structure of planetary albedo are mainly bal-507 anced by changes in the total meridional energy transport in the climate system. 508

#### 509 **5b.** Zonal jets and surface winds

In the previous section, we found that deepening the ocean mixed layer resulted in 510 an increase and equatorward shift of the annual mean eddy energy flux as a conse-511 quence of the changes in tropical planetary albedo and the associated total energy 512 transport change demanded by the equator-to-pole scale energy budget at the TOA. 513 Here, we examine the relationship between the eddy energy flux and the zonal jet and 514 surface winds across the suite of slab ocean aquaplanet simulations. Figure 8 shows 515 the cross sections of the annually and zonally averaged zonal winds for the 50m run 516 (red) and the 2.4m run (blue). The upper tropospheric jet in the 50m run is stronger 517 in magnitude, and shifted equatorward by approximately 15° latitude relative to its 518 counterpart in the 2.4m run. The jet shift extends all the way to the surface where the 519 winds are more than twice the magnitude and shifted 15° equatorward in the 50m run 520 as compared to the 2.4m run. 521

The intensity and location of the surface winds across the ensemble of slab ocean aquaplanet simulations are readily understood given the changes in the eddy energy fluxes that were discussed in Section 55a. The acceleration of the zonal winds is equal to the divergence of the Eliassen-Palm flux ( $\mathbf{F}$ - Eliassen and Palm 1961). At the surface,  $\nabla \cdot \mathbf{F}$  (and  $\mathbf{F}$ ) is dominated by the vertical component (Andrews and McIntyre

<sup>527</sup> 1976) which is proportional to the eddy energy flux. Neglecting the horizontal (eddy

momentum flux) component of the Eliassen-Palm flux, the acceleration of the zonal

<sup>529</sup> wind at the surface is:

$$\frac{\partial U_{SURF}}{\partial t} \approx f \frac{\partial}{\partial p} \left( \frac{V^* \left[ \theta^* + \frac{L}{C_P} q^* \right]}{\sigma_0} \right)$$
(5)

(Stone and Salustri 1984) where V is the meridional velocity,  $\theta$  is the potential tem-530 perature, q is the specific humidity, \* denotes the eddy component and [] is the zonal 531 and time average of the eddy covariance.  $\sigma_0$  is the basic state static stability, f is 532 the Coriolis parameter and p is pressure. Conceptually, the meridional eddy energy 533 flux (moist static energy) accelerates the zonal flow by acting as a form drag on isen-534 tropic surfaces (Vallis 2006). We note that, this derivation assumes that the basic state 535 stratification is substantially larger than the spatial variability of the static stability, 536 and thus, the impact of the spatially varying static stability on zonal jet is neglected 537 in this theory and the discussion below. The argument of the pressure derivative is 538 the eddy (moist static) energy flux. Provided that there is no energy flux at the sur-539 face, and the eddy energy fluxes vary smoothly in the vertical, peaking somewhere in 540 the troposphere, the zonal acceleration of the winds in the lower troposphere will be 541 proportional to the vertically integrated meridional energy flux. The dominant mo-542 mentum balance at the surface is between the eddy acceleration of the zonal winds 543 and the frictional damping at the surface. Provided that the frictional damping is pro-544 portional to the surface winds, the surface winds should be proportional to and peak 545 at the same location as the maximum in eddy energy flux. 546

The annual and zonal average eddy energy flux is co-plotted with the the surface 547 winds for the suite of slab ocean aquaplanet simulations in the lower panel of Figure 548 8. We note that the zonal average eddy energy flux that appears in Equation 5 and Fig-549 ure 8 differs from the zonally integrated energy flux that is shown in Figure 7 by the 550 zonal circumference at each latitude. The latter contains a factor of the cosine of lat-551 itude and thus the zonally averaged eddy energy flux peaks poleward of the zonally 552 integrated energy flux which is constrained by the spherical geometry of the Earth 553 (Stone 1978). In all simulations, the maximum surface westerlies are co-located with 554 the peak in the eddy energy flux (c.f. the red and black lines in the lower panel of 555 Figure 8). The maximum eddy energy flux in the 2.4m depth run is located approxi-556 mately 15° poleward of its counterpart in the 50m run and the jet shifts meridional by 557 approximately the same distance. The meridional structure and relative amplitudes 558 of the surface westerlies across the suite of simulations also mimic the differences 559 in the eddy energy flux. These results collectively suggest that Equation 5 and the 560 approximations discussed in the paragraph above are a reasonable, albeit simplistic 561 representation of the system behavior. The location of the maximum surface winds re-562 spond to the vertically averaged eddy energy fluxes which themselves are constrained 563

<sup>564</sup> by the equator-to-pole scale radiative budget.

### 565 6. Summary and discussion

The amplitude of the seasonal cycle in temperature and energy fluxes increases with 566 decreasing ocean mixed layer depth in suite of slab ocean aquaplanet simulations. 567 This expected behavior is accompanied by several less intuitive results including: 1. 568 the phase of the seasonal cycle in temperature varies non-monotonically with ocean 569 mixed layer depth (bottom left panel of Figure 1), 2. the tropics are more meridionally 570 expansive in the shallow depth runs (lower panel of Figure 5), 3. the annual and global 571 mean surface temperature is of order 5C lower in the shallow runs as compared to the 572 deep runs (left panel of Figure 6), 4. the mid-latitude meridional energy transport is 573 reduced by of order 50% in the shallow runs (Figure 7) and 5. the zonal winds shift 574 poleward by more than 10° in the shallow mixed layer depth runs (Figure 8). Below, 575 we review the mechanisms responsible for these results and discuss the behaivor of 576 the observed climate system relative to the suite of aquaplanet mixed layer depth 577 simulations. 578

The seasonal heating of the atmosphere can be decomposed into two contribu-579 tions: the sun heating the surface and the surface subsequently fluxing energy to the 580 atmosphere by turbulent and longwave energy fluxes (SHF), and the sun directly 581 heating the atmosphere by shortwave absorption (SWABS) in the atmospheric col-582 umn (Donohoe and Battisti 2013). The surface fluxes dominate the seasonal heating 583 of the atmosphere in the shallow ocean runs while the entirety of the seasonal heating 584 of the atmosphere is due to SWABS in the deeper ocean runs (Figure 3). The surface 585 contribution to the seasonal heating of the atmosphere has a larger phase lag relative 586 to the insolation for the deeper runs, and this explains the initial increase in phase 587 lag of atmospheric temperature as the mixed layer depth increase from 2.4m to 12m. 588 However, as the mixed layer depth increases beyond 12m, the seasonal heating of the 589 atmosphere becomes increasingly dominated by SWABS, which is in phase with the 590 insolation, and the total heating of the atmosphere comes back into phase with the 591 insolation. The phase of seasonal variations in temperature and energy fluxes across 592 the suite of aquaplanet simulations and the observations are readily explained by the 593 phase of atmospheric heating (bottom panel of Figure 4). 594

The net seasonal heating of the observed climate system is dominated by SWABS 595 in both hemispheres (purple lines in Figure 3) with the exception of the mid-latitude 596 continents (Donohoe and Battisti 2013). The observed phase lag of the atmospheric 597 temperature relative to the insolation is smaller than even in the 2.4m slab ocean 598 simulations. We note that, the phase of the total atmospheric heating relative to the 599 insolation in the observations is also smaller than that in the aquaplanet simulations 600 and that the phase of atmospheric temperature is well predicted given the phase of 601 the atmospheric heating (c.f. the red diamonds with the black diamonds in the lower 602 panel of Figure 4). The cause of the smaller phase lag between the insolation and the 603 net atmospheric heating in the observations relative to the aquaplanet simulations is 604 unclear and we speculate on the possible causes below. One possibility is that, the 605 presence of land with a near zero heat capacity over even a small subset of the do-606 main sets the phase of atmospheric heating and temperature over the whole domain. 607 Because the land surface has such a small heat capacity, seasonal variations in down-608 welling shortwave radiation are transferred to the overlying atmosphere with near 609

zero-phase lag. This source of energy input into the atmosphere is communicated 610 hemispherically by way of the atmospheric advection with a time scale of order one 611 week (Donohoe 2011) and a fraction even ends up in the ocean mixed layer (Donohoe 612 and Battisti 2013). Thus, the land surface serves as a large input of energy into the 613 atmosphere with near zero phase lag and could set the phase of temperature over the 614 entire globe. It is possible that even the small amount of land in the Southern Hemi-615 sphere sets the phase of seasonal variations in temperature above the Southern Ocean 616 which also exhibits a pronounced phase lead of temperature relative to the aquaplanet 617 simulations (Figure 2). Other possible explanations for the discrepancy between the 618 model and the observations include, the seasonal cycle of ocean circulation (e.g. the 619 ocean energy flux convergence over this region), the seasonal shoaling of the thermo-620 cline, the role of sea-ice cover (sea-ice is prohibited from forming in the aquaplanet 621 simulations), and an inadequate representation of the turbulent energy fluxes in the 622 model. 623

In simple, linear, energy balance models, the heat capacity of the climate sys-624 tem has no affect on the annual mean climate since there is no energy storage in 625 equilibrium. We have demonstrated that, the ocean mixed layer depth has a profound 626 affect on the annual mean climate in a suite of aquaplanet simulation. The mixed 627 layer depth's influence on the annual mean climate is a consequence of the seasonal 628 seasonal migration of the ITCZ and it's impact on planetary albedo and can be ex-629 plained as follows. The enhanced seasonal cycle of SSTs and atmospheric energy 630 fluxes in the shallow mixed layer experiments results in the ITCZ migrating farther 631 off the equator seasonally (top panel Figure 5). As the ITCZ moves off the equator, 632 an asymmetry between the winter and summer Hadley cells develops resulting in a 633 broad region of ascent and convective precipitation in the summer hemisphere and 634 extensive stratus in the winter hemisphere. The time average of the seasonally mi-635 grating ITCZ in the shallow ocean runs is a very weak annual mean Hadley cell with 636 precipitation and high planetary albedo broadly spread over the low-latitudes. This 637 is a stark contrast to the deeper ocean runs where ascending branch of the Hadley 638 cell is confined to within 10° of the equator (during all seasons) resulting in a small 639 region of convective precipitation and high planetary albedo and a well defined dry 640 and cloud free subtropical region. The Tropics expand and broaden in the shallow 641 mixed layer depth runs resulting in a high global mean planetary albedo. As a result, 642 the annual mean temperature decreases in the shallow runs (Figure 6). The enhanced 643 planetary albedo in the shallow runs is confined to the tropics which results in a de-644 creased equator-to-pole gradient of absorbed shortwave radiation and reduced merid-645 ional energy transport (Figure 7). The peak in eddy energy transport is both reduced 646 and shifted poleward in the shallow ocean runs which results in a poleward shift and 647 weakening of the eddy-driven jet (Figure 8). This sequence of causality emphasizes 648 that clouds play a central role in determining both the global mean and spatial pattern 649 of ASR and, therefore, the large scale atmospheric circulation. 650

These results suggest that an adequate representation of the seasonal cycle is important for modeling the extent of the tropics, the global mean energy budget and the magnitude of the mid-latitude atmospheric energy transport and its effect on the jets. The observed seasonal migration of the ITCZ is comparable to that of the 12m or 24m mixed layer depth simulation (see Donohoe et al. 2013, Figure 8). Similarly,

the strength of the annual mean Hadley cell and meridional structure of the plane-656 tary albedo and precipitation in the observed climate system is comparable to that of 657 the 12m slab ocean aquaplanet simulation. In comparison, the strength of the annual 658 mean Hadley in the 50m simulation is a factor of four larger than the observations 659 (bottom panel of Figure 5) and the annual mean precipitation and planetary albedo 660 barely peaks in the tropics in the 2.4m run. Clearly, the seasonal migration of the 661 ITCZ makes an impact on mean climate in the observations and, if the magnitude of 662 the seasonal cycle is unreasonable, the basic state climate, including the extratropical 663 atmospheric circulation, will not be adequately represented. Thus, one should be cau-664 tious when interpreting results from climate simulations forced by annual mean (or 665 equinoctial insolation) or seasonal slab ocean simulations with extreme mixed layer 666 depths. 667

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**Table 1** The peak poleward energy transport (MHT<sub>*MAX*</sub>) and its partitioning into the extratropical deficit in absorbed shortwave (ASR<sup>\*</sup>) and emitted longwave radiation (OLR<sup>\*</sup>) in the slab ocean aquaplanet simulations.

Mixed Layer Depth (m)	$MHT_{MAX}$ (PW)	ASR* (PW)	OLR* (PW)
50	5.3	8.3	3.0
24	5.0	8.0	3.0
12	4.8	7.5	2.7
6	4.3	6.2	1.9
2.4	3.4	5.0	1.6

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**Fig. 1** (Top) The seasonal amplitude of atmospheric temperature at the surface (solid lines) and at 600 hPa (dashed lines) in the slab-ocean aquaplanet simulations (Left Panel) and observations (Right Panel). The different ocean mixed layer depths are indicated by the colorbar below the plot. (Bottom) Phase lag of seasonal cycle of tropospheric averaged(below 250 hPa) temperature with respect to insolation in the slab-ocean aquaplanet simulations (Left) and observations (Right). The phase lag is expressed in days past the summer solstice.



**Fig. 2** Meridional-height cross sections of the phase of the seasonal cycle of atmospheric temperature in each of the slab-ocean aquaplanet simulations (upper panels) and the observations (lower panels). Values are expressed as the phase lag relative to the insolation in days.



Fig. 3 Time series of atmospheric heating averaged over the Northern Extratropics defined as poleward of  $40^{\circ}$ N. The total atmospheric heating (bottom panel) is decomposed into contributions from solar absorption in the atmospheric column (SWABS-top) and surface energy fluxes (SHF-middle panel). The annual mean value of each contribution has been subtracted from the time series. The different ocean mixed layer depths are indicated in the colorbar at the bottom and the observations in the Northern and Southern hemisphere are shown by the solid and dashed purple lines respectively. The SH curve has been shifted by half a year. The vertical dashed lines represent the phase of the seasonal cycle and the vertical dashed black line is the summer solstice.



**Fig. 4** (Top Panel) The normalized seasonal amplitude of energy fluxes to the extratropics, defined as the amplitude of the annual harmonic in phase with the total atmospheric heating (*SWABS* + *SHF*). The amplitude is normalized by the amplitude of the total heating to demonstrate the relative amplitude of the terms in the different mixed layer depth experiments. (Bottom Panel) The phase of the various energy flux terms in the extratropics. The temperature is the atmospheric column integrated temperature. The red and black dashed vertical lines represent the solstice and equinox respectively.



**Fig. 5** (Top panels) Boreal summer and (bottom panels) annual mean mass overturning streamfunction, precipitation, and planetary albedo for the (left panels) 50 meter and (right panels) 2.4 meter slab ocean simulations. The mass overturning streamfunction is shown in gray contours with solid lines denoting clockwise rotation and dashed line denoting counter-clockwise rotation. The contour interval is 50 Sv ( $10^9$  kg s<sup>-1</sup> for the top panels and 20 Sv for the bottom panels. The zonal mean planetary albedo is the orange line and the precipitation is the blue line (with scales given by the orange and blues axes to the right respectively).



**Fig. 6** (Left panel) Zonal and annual mean surface temperature and (right panel) planetary albedo. Each of the colors is a different aquaplanet slab ocean simulation with slab depth given by the color bar on the bottom. The dashed black line is the observations averaged over both hemispheres. The thick horizontal lines on the left and right hand side of plot is the global mean value for each run.



Fig. 7 (Solid lines) Meridional energy transport partitioned into mean meridional overturning circulation (MOC – vertically dashed line) and eddy contribution (horizontal dashed lines). The 50m, 12m, and 2.4m slab ocean depth simulations are shown, with colors given by the legend at the bottom of the figure.





**Fig. 8** (Top Panel) The annual and zonal mean zonal wind cross section for the 50m deep slab ocean simulation (red contours) and the 2.4m run (blue contours). The contour interval is 10 m s<sup>-1</sup> and only positive contours are shown. The contour(Bottom Panel) Zonally averaged eddy energy flux (red lines in  $10^7$  W m<sup>-1</sup> with scale to the left) and surface zonal wind (black lines in m s<sup>-1</sup> with scale to the right) in the slab ocean aquaplanet simulations. The 50m run is shown with the solid line. The 12m run is shown with the vertical dashed line and the 2.4m run is shown with the horizontal dashed line.