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Blessings in Disguise: Biological Benefits of Prion-Like Mechanisms

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18 Abstract

19 Prions and amyloids are often associated with disease, but in fact, related mechanisms
20 provide beneficial functions in nature. Prion-like mechanisms (PriLiMs) are found from bacteria
21 to humans where they alter the biological and physical properties of prion-like proteins. We
22 have proposed that prions can serve as heritable bet-hedging devices for diversifying microbial
23 phenotypes. Other, more dynamic, proteinaceous complexes may be governed by similar self-
24 templating conformational switches. Additional PriLiMs continue to be identified and many
25 share features of self-templating protein structure (including amyloids) and dependence on
26 chaperone proteins. Here we discuss several PriLiMs and their functions, intending to spur
27 discussion and collaboration on the subject of beneficial prion-like behaviors.

28 **Keywords:** Prion, amyloid, prion-like, PriLiM, bet-hedging, RNP granule

29 **Glossary Boxes** (Editor: For display in side bar. The first occurrences are bolded in the main
30 text):

31
32 Amyloid-like (aməˌloid): This term is used loosely here and in the literature to describe species
33 that 1) might be true amyloids but are not yet fully characterized (i.e. not yet known to have
34 cross-beta structure), or 2) share some amyloid characteristics but definitely not all (i.e. forming
35 self-templating fibers but not SDS-resistant or Thioflavin-T binding).

36
37 PriLiM (prē' lim): Prion-like mechanism. A phenomenon involving the propagation of a self-
38 templating switch in protein conformation.

39

40 PriLiP (prē' lip): Prion-like protein. Any protein that can propagate its conformation via a prion-
41 like mechanism (PriLiM).

42

43 **Defining prions, amyloids, and similar phenomena**

44 Prions have been defined as “infectious proteins” that can assume a profoundly altered
45 conformation and propagate that conformation in a self-templating process. The mammalian
46 prion protein, PrP, is the founding example of such self-propagating conformations and it is the
47 only established prion that is infectious to humans. The best characterized prion proteins are
48 found in fungi, where their self-propagating states are transmitted to mating partners and
49 progeny as epigenetic elements of inheritance. A highly sophisticated system of remodeling
50 factors ensures that the prion template is divided into oligomeric prion seeds that are inherited
51 with very high fidelity [1]. Most of these prions form an unusually stable aggregate structure
52 known as an amyloid fiber, which is typically defined by three characteristics: (1) a structure
53 consisting of beta strands running perpendicular to the axis of the fiber, resulting in a
54 stereotypical cross-beta diffraction pattern (2) high stability characterized by resistance to heat
55 and SDS denaturation, and (3) binding to hydrophobic dyes such as thioflavin T and Congo
56 Red. Owing to their unique physical properties, nature has also made extensive and diverse use
57 of amyloids ranging from bacterial biofilm components to melanin scaffolding in humans [2–5].

58 However, not all related phenomena fit squarely into the categories of prions and
59 amyloids. Several mechanisms have been described as “prion-like,” meaning that an initial

60 conformational change of a protein can template the conversion of other proteins to a similar
61 or identical conformation [6–8]. Unlike *bona fide* prions, these need not be transmissible
62 between individuals. Some mechanisms have been called “**amyloid-like**,” meaning that they
63 have some but not all of the properties of amyloids [9–11]. Amyloid and amyloid-like
64 aggregates are subsets of prion-like phenomena because they template soluble proteins to
65 adopt their fold as proteins are added to the aggregate. In this opinion, we illustrate the
66 breadth of beneficial prion-like mechanisms (**PriLiMs**) and their cognate prion-like proteins
67 (**PriLiPs**) by discussing several examples of the functions they provide: building stable
68 structures, signal propagation, dynamic scaffolding of ribonucleoprotein (RNP) granules, and
69 bet-hedging in microorganisms.

70 **Bet-hedging prions enhance phenotypic diversity and adaptation in microorganisms**

71 Bet-hedging mechanisms are used to diversify microbial phenotypes. In fluctuating
72 environments this allows some fraction of the population to ‘win’ and thrive in conditions when
73 most would ‘lose,’ or perish [12], [13]. For example, bacterial persister cells can survive
74 antibiotic treatment, potentially saving the population of bacteria from extinction. The cost of
75 this mechanism is that, until they switch out of their persistence phenotype, such cells grow
76 much slower than normal cells in the absence of antibiotics. Even though antibiotics may be
77 encountered rarely and persister cells have a severe growth defect, it is advantageous for the
78 species to conserve this bet-hedging mechanism to survive occasional exposure to such
79 strenuous environments [14].

80 Similarly, we've found that fungal prions produce a variety of new phenotypes that are
81 often disadvantageous but can provide great advantages in particular circumstances [15–19].
82 We've proposed that such prions act as bet-hedging mechanisms: at a low frequency in a
83 population of yeast cells, prions conformations are nucleated, resulting in a heritable, altered
84 activity that underlies a phenotypic change. Due to the self-propagating nature of prions and to
85 the mechanisms that ensure their orderly distribution to progeny, prion phenotypes are
86 heritable. Rare cells, however, switch back to the non-prion state when they lose the prion
87 template. A recent example of a prion that confers antibiotic resistance is the yeast prion
88 [*MOD*⁺] (nomenclature of yeast prions: see Box 1) [20], [21]. [*MOD*⁺] cells are resistant to azole-
89 based antifungals, but in rich media they have a growth disadvantage. To date, bet-hedging
90 functions for prions have only been described in *Saccharomyces cerevisiae*. However, many
91 findings suggest they are widespread through the microbial world (see below and Box 1) and
92 we expect that more will soon be discovered elsewhere.

93 Three independent studies predicted prion-like sequences in the *S. cerevisiae* genome
94 computationally [22–24], one following up with experimental evidence of prion-like behavior
95 [23]. Each study identified sets of proteins that were significantly enriched for regulatory
96 functions – transcription factors and RNA-binding proteins. Importantly, because these
97 proteins regulate many genes that often act cooperatively, bet-hedging prions involving such
98 factors could allow cells to immediately acquire complex, heritable phenotypes [15–17]. Some
99 prion states may confer “pre-adapted” complex phenotypes to enhance survival in
100 environments that are encountered rarely but repeatedly, for which bet-hedging strategies are
101 favored [12], [25]. Other prions may act as evolutionary capacitors allowing random variation

102 to accumulate cryptically for many generations before being tested by a small proportion of the
103 population [26]. An example of this is the prion [*PSI*⁺], formed by a translation termination
104 factor. The [*PSI*⁺] prion allows ribosomes to read through stop codons, uncovering previously-
105 silent genetic variation on a genome-wide level [27]. Phenotypes that provide a consistent
106 advantage can become “fixed” in the genome, that is, independent of the prion, by the
107 accumulation of new mutations or by the genetic reassortment of pre-existing variation [18],
108 [19].

109 The phenotypes produced by conformational changes in a prion protein can be
110 compared to the phenotypes that are created by genetic mutations [28], [29]. In many cases,
111 the prion conformations are self-propagating amyloid states that are inactive, similar to loss-of-
112 function or null mutations in genes. Furthermore, most prions can adopt multiple amyloid
113 conformations with different fragmentation and elongation rates. These create prion ‘strains,’
114 that have unique ratios of soluble:amyloid protein and thus different activity levels [30]. These
115 prion strains are akin to an allelic series of a gene, tuning the level of a protein’s activity, and
116 thus the phenotypic consequences of the prion state [31].

117 Depending on the genetic background and the particular prion protein involved, *S.*
118 *cerevisiae* prion proteins switch between prion and non-prion states at frequencies between
119 10^{-2} and 10^{-7} [31–34]. Thus, prion-based phenotypes can be sampled much more frequently on
120 average than loss-of-function mutations. Furthermore, because prion inheritance depends on
121 protein homeostasis machinery (see Box 1), they might quite naturally switch more frequently
122 under conditions that stress protein homeostasis, that is, when cells aren’t well-adapted to

123 their environment (see Fig. 1). This has in fact been observed for [*PSI*⁺] [32], [35]. Increased
124 switching under stress could be of great advantage to a population of yeast, allowing
125 individuals to sample multiple, potentially life-saving phenotypes when they most need
126 them. This, in effect, changes the bets that the population of yeast has on the table. If the
127 stress persists, those few cells that survive pass on to their progeny the protein state(s) that
128 saved them.

129 There are also cases where specific stresses induce specific prions. This is likely to occur
130 for more predictable conditions that cells encounter regularly, allowing them to evolve a prion
131 response which increases viability in the new environment (see Fig. 1). Ethanol was observed
132 to increase the appearance of the yeast prion [*MOT3*⁺] (Halfmann and Lindquist, unpublished
133 data), which de-represses anaerobic genes, while certain bacterial competitors could induce the
134 yeast prion [*GAR*⁺] (Jarosz and Lindquist, unpublished data), which overcomes glucose
135 repression. In both cases, [*PSI*⁺] is not induced, so prion induction appears specific, however
136 the mechanisms of induction remain unknown.

137 Environmental adaptation via bet-hedging prions has two major advantages over
138 adaptation through genetic mutation: (1) it allows a microbial population to have diverse,
139 heritable, and complex responses to environmental conditions, even when the population is
140 not large enough for substantial genetic diversity, and (2) bet-hedging prions allow for fast
141 reversion from a loss-of-function or “null” prion state of a protein, when reversion from a loss-
142 of-function mutation at the DNA level is quite rare.

143 To exemplify the first point, a small yeast colony growing on a plant may gain benefit
144 from having some members stay attached while others detach to follow the flow of rainwater
145 and spread the population. Prions that regulate surface adhesion may be ideal to promote
146 colony diversity. Indeed, a wild strain of yeast was recently found to adhere to agar growth
147 medium after washing only when the translation termination factor Sup35 was in its prion
148 conformation, the $[PSI^+]$ state [19]. Additionally, the *FLO11* gene in yeast, which is a central
149 regulator of colony morphology and adhesion, is regulated by multiple well-characterized yeast
150 prions – *URE2*, *CYC8/SSN6*, *MOT3*, *SFP1*, and *SWI1* all affect its transcription [36–42]. Besides
151 adhesion, prions confer a number of different phenotypes, which vary from strain to strain, that
152 could be used to diversify small populations. Consistent with this, the growth of $[PSI^+]$ and $[psi^-]$
153 yeast have been compared across many conditions, and quite often one state or the other
154 confers a marked benefit to growth. [17], [18], [35].

155 The second advantage of bet-hedging prions, the relatively fast rate of reversion from a
156 hypomorphic change in activity due to the prion state, derives from the frequency at which
157 loss-of-function mutations are beneficial to organisms. By far, the most common genetic
158 mutations sampled are loss-of-function, and frequently these are adaptive. It may be beneficial
159 to lose the function of a gene because of the energy cost associated with it or because new
160 environmental conditions disfavor the original gene [43–45]. However, microbial populations
161 cannot adapt exclusively to their current environment at the expense of all others, because
162 conditions in nature are always in flux (see Fig. 2). Summer and winter, dry and wet, nutrient-
163 rich and nutrient-poor conditions, are just a few examples of the cycles that many organisms
164 must have adapted to in order to have survived to the present. At the same time, new

165 environments are also being sampled with different intrinsic physical properties and changing
166 microbial competitors. *S. cerevisiae* was recently shown to undergo such drastic environment
167 changes as to live on grapes in the summer and to survive the winter in the gut of wasps [46]. A
168 null mutation that is favorable in one environment could easily be deleterious in the next set of
169 environments, which will consist of both familiar and novel elements, but genetic changes
170 revert at a rather low frequency. Bet-hedging prions allow organisms to rapidly acquire and
171 revert from loss-of-function phenotypes and other sampled traits, testing new phenotypes and
172 resampling expression programs that were advantageous in the past (see Fig. 2).

173 While bet-hedging prions have so far only been observed in fungi, we expect that more
174 will soon be discovered in other microbes. The first yeast prions identified in *S. cerevisiae*,
175 Sup35 and Ure2, have domains rich in glutamine and asparagine residues (Q/N-rich or “prion-
176 like” domains). This unusual feature was successfully used to identify other *S. cerevisiae*
177 proteins that could behave as prions and modulate the activity of a fused reporter [23] (22 of
178 the 90 tested Q/N-rich proteins could do this, or 24%). To our knowledge, no screen has been
179 conducted to search for prion-like domains in the abundance of protozoan genomes that have
180 recently become available. In 2000, Michelitsch and Weissman surveyed the 28 prokaryotic
181 genomes that were available at the time, but found few Q/N-rich sequences compared to the
182 content of *S. cerevisiae* [22]. On the other hand, an enormous 24% of proteins in *Plasmodium*
183 *falciparum*, the causative protozoan parasite of malaria, are Q/N-rich [47], compared to 1.5% of
184 *S. cerevisiae* proteins, and 0.3% of human proteins [22], [48]. Furthermore, a computational
185 analysis found that the propensity to form amyloids increases as organism complexity
186 decreases [49], but the only single-celled organisms screened were *S. cerevisiae* and

187 *Paramecium tetraurelia*, both eukaryotes. Clearly, a high-throughput analysis of the thousands
188 of microbial genomes available could provide a wealth of information regarding potential bet-
189 hedging prions.

190 It is important to note, however, that not all yeast prions contain Q/N-rich sequences.
191 The Het-s prion of the fungus *Podospora anserina* [50] and the *S. cerevisiae* prion Mod5 [20] are
192 both able to form amyloids and propagate heritably even though they lack any Q/N-rich
193 domain. Furthermore, some yeast prions do not form amyloids at all – the prion [GAR⁺]
194 appears to consist of a self-propagating, non-amyloid interaction between two proteins, the
195 proton pump Pma1 and the glucose signaling protein Std1 [51]. Another prion, [β], consists of a
196 self-activating vacuolar protease [52].

197 The evolutionary benefits of bet-hedging prions are just beginning to be explored and
198 remain controversial. An alternative hypothesis is that the ability of many prions to form
199 amyloids is an undesirable disease state [53]. Indeed, for essential yeast prion proteins like
200 Sup35, some amyloid strains that have been generated by overexpression are so strong that
201 they deplete cells of its essential activity, which kills them [54]. However, even if this lethality
202 occurs at natural expression levels, it could be an acceptable cost for the benefit of adaptability
203 that bet-hedging prions provide to the population [15], [16]. Throughout evolution,
204 detrimental mutations are experienced much more frequently than beneficial ones, yet
205 mutations remain the dominant force in evolution. It is difficult to assess the impact of prion
206 switching over the course of evolutionary history because no direct trace is left
207 behind. However, comparative genomics may be one method of determining how some prions

208 have been utilized in the past [55]. Others include determining the conservation of prion-
209 forming domains and examining snapshots of adapting cells recently taken from their natural
210 habitat. A recent study surveying 700 wild *S. cerevisiae* isolates found that prions were present
211 in at least one third of the strains [19]. Prion loss was induced by transiently inhibiting a
212 chaperone involved in maintaining prions. When assayed in 12 different growth conditions,
213 prion loss frequently conferred a growth disadvantage. Thus, these prions had adaptive
214 value. It is likely that these results underestimate the number of cells that are utilizing prions in
215 natural populations because only a small number of conditions were tested.

216 Further supporting the usefulness of prions in fungi, Medina and colleagues observed
217 broad conservation of many prion-like domains [56]. The authors computationally searched
218 through the 103 sequenced fungal genomes for homologs of 29 Q/N-rich proteins that can
219 function as prions in *S. cerevisiae* [23]. Strikingly, >99% of the fungi have at least a few
220 homologous proteins containing Q/N-rich domains – only one distant relative lacked any such
221 homolog. It remains to be shown whether these fungal prion-like domains function as bet-
222 hedging prions, or as another kind of prion, or whether their behavior is not prion-like at
223 all. However, several of the Sup35 homologs were able to propagate the [*PSI*⁺] prion in *S.*
224 *cerevisiae* [57–59]. It seems likely that prions are widely used as bet-hedging devices
225 throughout fungi and in other branches of life as well.

226 Bet-hedging strategies like this may or may not be employed by more complex,
227 multicellular organisms. These provide a specialized and more stable environment (or niche)

228 for most cells and typically produce fewer progeny. Nevertheless, many other uses for PriLiMs
229 have been identified, several of which we will discuss below.

230 **Amyloid-based PriLiMs have useful physical properties**

231 Some prion-like mechanisms (PriLiMs) composed of self-templating amyloids are highly
232 regulated and are activated reliably in response to particular signals. These functional protein
233 complexes do not act as genetic elements. Some are used for the physical properties that an
234 amyloid fiber provides, scaffolding meshworks, coating surfaces, or binding to pigments. These
235 phenomena have been well-reviewed elsewhere as types of functional amyloids [2–5], and we
236 will only briefly mention their functions.

237 In microorganisms, the physical properties of extracellular amyloids have been used to
238 alter cellular interactions with surfaces. Diverse bacteria use amyloid fibers as a component of
239 biofilms, which help to accumulate nutrients and protect bacteria from harsh conditions [60],
240 [61]. It was recently proposed that cell surface proteins in yeast also mediate biofilm
241 attachment and function as amyloids [62]. Both bacteria and fungi are able to coat themselves
242 with amyloid fibers made of proteins called chaplins and class I hydrophobins, respectively [63].
243 These proteins can enhance attachment of the microbe to a host, or allow it to escape an
244 aqueous environment and spread spores through the air.

245 PriLiMs used for their physical properties are also found in metazoa. Insects and fish
246 use amyloid fibers as eggshell components [2]. In humans, Pmel17 forms amyloid fibers that
247 bind toxic melanin precursors and scaffold their polymerization in melanosomes, which are
248 subsequently transferred to surrounding cells [64]. Recently, a variety of hormone peptides

249 were found to be stored in an amyloid state in mammalian pituitary secretory granules [65].
250 The widespread use of these PriLiMs establishes amyloid formation as a common structural
251 state that, when adopted, alters the physical properties of proteins.

252 **Stable PriLiMs as a part of biological signaling cascades**

253 Prion-like aggregation can also alter biological activity, changing interactions with other
254 macromolecules. Several phenomena have recently been described in which prion-like
255 aggregation is used to propagate a biological signal, providing a gain-of-function for the
256 constituent protein or proteins (see Fig. 3).

257 Two such PriLiMs are involved in antiviral signaling. The first mechanism involves a
258 templated conformational change of the human mitochondrial anti-viral signaling (MAVS)
259 protein on the surface of mitochondria to a fibrous state [6]. The initial conformational switch
260 appears to be templated by the RIG-I protein when it binds to double-stranded viral RNA in the
261 cytoplasm. In its assembled form, MAVS interacts with TNF receptor associated factors (TRAFs)
262 and propagates a signal that results in the induction of type I interferons and other antiviral
263 molecules [6]. The second mechanism can be triggered by *Vaccinia* virus, which inhibits
264 caspases to prevent the host cell from undergoing apoptosis [66–68]. When this happens,
265 another cellular death mechanism is deployed. The cellular kinases RIP1 and RIP3 interact and
266 rapidly form amyloid fibers [67]. In the amyloid state, the kinase domains of RIP1/3 are
267 activated and phosphorylate downstream targets to cause programmed necrosis of the cell and
268 an inflammatory response in the surrounding tissue [67], [69]. Such signaling PriLiMs may be
269 used at key steps in antiviral responses because viruses might have more difficulty evolving

270 mechanisms to interfere with self-templating amyloid assembly than with signaling cascades
271 which are inherently reversible. Such mechanisms are not likely to be restricted to mammals.

272 Another signaling PriLiM is the self-perpetuating conformation of cytoplasmic
273 polyadenylation element binding protein (CPEB) from the neurons of the sea slug *Aplysia*. In its
274 non-prion state, CPEB binds and inhibits the translation of mRNAs that are involved in building
275 stable synapses [70]. The repeated stimulation of neurons with the learning-associated
276 neurotransmitter serotonin causes the assembly of CPEB into an amyloid state. CPEB gains
277 activity in this form, enhancing the translation of target mRNAs. This plays a major role in
278 strengthening and stabilizing synaptic boutons for long-term potentiation [71], [72]. The
279 *Drosophila* homolog Orb2A also forms oligomers in neurons that are required for the
280 stabilization of long-term, but not short-term memory. The removal of the prion-like domain in
281 Orb2A abolishes long-term memory. Mammals also express several CPEB proteins that contain
282 Q-rich domains in neurons, but whether prion conversion contributes to memory in mammals is
283 not yet established [73]. Certainly, a self-perpetuating PriLiM such as CPEB seems an ideal way
284 to perpetuate the memory of stimulation for long periods of time, with the large size of the
285 complex keeping it local and synapse-specific.

286 Astonishingly, when neuronal *Aplysia* CPEB was expressed in yeast, it readily assembled
287 into a heritable, prion-like state [7], [74]. The activity of the CPEB increased in this prion-like
288 state, as it does in neurons, activating the translation of target mRNAs containing its
289 recognition sequence – a cytoplasmic polyadenylation element. This demonstrates that stable
290 PriLiPs from other organisms, even ones that are only present in differentiated, non-dividing

291 cells, can be propagated indefinitely as prions in yeast. Using yeast as a model for these
292 mechanisms could be of great advantage for studying phenomena from less genetically-
293 tractable organisms.

294 Like *Aplysia* CPEB, some endogenous yeast prions may have altered function, rather
295 than simply decreased function, in the prion state. The [*ISP*⁺] prion does not confer the same
296 phenotypes found in a Δ *sfp1* strains, but rather the additional phenotype of nonsense
297 antisuppression [75].

298 It is unlikely that stable PriLiMs are used exclusively for either their physical properties
299 or for signaling, but rather for a combination of both. An interesting avenue for future research
300 is to determine how the physical structure of amyloids may help to scaffold the interactions of
301 signaling PriLiPs, and how amyloids that are used for their physical properties, such as CsgA in
302 biofilms, may alter their interactions with binding partners upon assembly.

303 **Dynamic PriLiMs help to form reversible RNP granules**

304 Prion-like domains are also involved in the assembly of dynamic ribonucleoprotein
305 (RNP) granules that process and modify RNA. While it has been known for some time that Q/N-
306 rich, Q-rich, or other low complexity domains are essential for forming some RNP granules [8],
307 [76], [77], how these large assemblies are regulated and structured remains elusive. Unlike
308 amyloids, stress granules are composed of many different proteins which can undergo rapid
309 exchange with the cytoplasm [76], [78]. Recently, a clue to this puzzle was found by Han, Kato,
310 and colleagues. Even with no RNA present, many RNPs could be precipitated together from
311 mammalian cell extracts using a crystalline compound that is thought to mimic the surface of a

312 cross-beta sheet [9], [79]. The retention of GFP-tagged protein in a hydrogel composed of the
313 RNA-binding protein FUS provided an *in vitro* assay for interactions between these low-
314 complexity sequences. The FUS fibers comprising the hydrogels were amyloid-like as assessed
315 by their stereotypical diffraction pattern and appearance by electron microscopy. But unlike
316 amyloids, these assemblies could incorporate different proteins, were rapidly reversible, and
317 were not SDS-resistant. Thus, concerted, templated conformational changes among different
318 low-complexity domains could be the basis of RNP granule formation.

319 Such a mechanism is prion-like in that one protein templates another to fold into the
320 same basic structure, but is different from other PriLiMs because it is much more dynamic,
321 perhaps allowing the segregation of interacting domains into a 'liquid' or gel-like phase
322 separated from the rest of the cytosol [80], [81]. Phosphorylated FUS monomers no longer
323 interact with the assembled FUS hydrogel, suggesting that assembly could be regulated by post-
324 translational modification [79].

325 A screen of Q/N-rich domains in yeast identified several RNP granule components with
326 domains that could act as yeast prions, and perhaps have bet-hedging functions [23], [82].
327 Nrp1, Pub1, and Hrp1, which associate with yeast stress granules, and Lsm4, which contributes
328 to P body formation, could all form amyloid fibers and propagate the activity state of a fused
329 reporter [23]. Notably, like FUS fibers, Hrp1 fibers were not SDS-resistant. The physical state of
330 these yeast proteins in such RNP granules remains to be determined, but they may well
331 assemble in a dynamic fashion. If, instead of forming such reversible assemblies, a small

332 fraction of the population inactivates these RNA binding proteins by nucleating an amyloid, it
333 might serve as a bet-hedging mechanism to diversify cellular phenotypes

334 RNP granules are found broadly throughout eukaryotes – some regulate RNAs
335 spatiotemporally in gametes and embryos, while others are used to transport RNA down
336 neuronal dendrites [78]. How these dynamic complexes are assembled and regulated *in vivo* at
337 a molecular level is still largely unknown, and will be a fascinating avenue of future research.

338 **Concluding remarks**

339 We have discussed several biological functions that prion-like mechanisms (PriLiMs)
340 have in nature. It is likely that many more PriLiMs await discovery in diverse cellular
341 pathways. In *C. elegans*, 1% of proteins have Q/N-rich, prion-like domains, and in *Drosophila*
342 the fraction is even greater, 3.5% [22]. Some might function as stable or dynamic PriLiMs, and a
343 small number may even have bet-hedging functions. The yeast prions [*GAR⁺*], [*Het-s*], and
344 [*MOD⁺*] demonstrate that even proteins without canonical prion-like domains can function as
345 prions. The real number of self-templating PriLiMs functioning in nature may be much greater
346 than we can currently predict by sequence.

347 Despite the diversity of PriLiMs, some basic principles are likely to be shared. For
348 example, they may all take advantage of the cells core protein homeostasis machinery. The *S.*
349 *cerevisiae* prion proteins investigated to date all depend on Hsp104 and/or Hsp70 [20], [23],
350 [48], [51], [83]. MAVS aggregation in extracts from human cells appears to be dependent on
351 Hsp90 [6], and mammalian stress granule regulation involves Hsp70 and perhaps other
352 chaperones [8]. *Aplysia* CPEB is readily propagated in yeast where it forms a yeast prion, and is

353 also subject to chaperone activity [7]. These connections to protein homeostasis may make
354 them intrinsically responsive to diverse internal and extracellular conditions. This, however, is
355 clear: prion-like mechanisms are not restricted to disease, but are broadly used for the benefit
356 of life.

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370 **Box 1: Yeast prions confer non-Mendelian traits and depend on chaperones to propagate**

371 In 1994, prion propagation was proposed to explain some perplexing, non-Mendelian
372 phenotypes identified in yeast [84]. A yeast prion segregates in a non-Mendelian fashion
373 because it is not based on a mutation in DNA inherited through chromosomes, but rather on a
374 self-propagating protein conformation inherited through the cytosol. If a cell containing the
375 prion state of a protein (a [*PRION*⁺] cell) mates with a cell containing that protein in a nonprion
376 state (a [*prion*⁻] cell), the nonprion proteins are rapidly templated and take on the self-
377 propagating prion conformation. Because all meiotic progeny inherit part of the parental
378 cytosol, the vast majority will display the prion phenotype, rather than 50%, as one might have
379 expected if the phenotypes were based on two different alleles of a gene. We refer the
380 interested reader to these excellent reviews on yeast prion biology [31], [85], [86].

381 The [*PRION*⁺] / [*prion*⁻] nomenclature is used for all yeast prions - square brackets
382 indicate the non-Mendelian segregation of the prion phenotype; capital letters indicate the
383 dominant phenotype in mating (the self-propagating conformational change), while lower-case
384 letters designate the recessive phenotype usually associated with soluble, un-templated
385 protein.

386 Chaperones are intimately involved in prion propagation – perturbing chaperone
387 function often results in an increased rate of prion appearance or loss (or both) [31], [35], [87].
388 The majority of fungal prions rely on Hsp104 [20], [23], a protein disaggregase that can sever
389 amyloid fibers and generate new ends for growth [87]. By inhibiting this enzyme over several
390 generations, [*prion*⁻] cells can be reliably generated from a [*PRION*⁺] population [88]. Hsp104

391 cooperates with Hsp70 and Hsp40 to exert this prion-propagating activity in a delicately
392 balanced process that seems to have been fine-tuned to allow for prion propagation [87]. One
393 prion, [GAR⁺], does not appear to result from an amyloid conformation and is not dependent on
394 Hsp104, but still requires Hsp70 to propagate into daughter cells [51].

395 Homologues of all of these chaperones are found broadly throughout many branches of
396 life, perhaps indicating a conserved ability to propagate prions. Bacterial homologs were
397 recently found to be capable of replacing yeast chaperones to propagate a prion in yeast [89],
398 and yeast prions have been successfully nucleated in the bacterial cytoplasm [90]. Flies,
399 worms, and plants also have Hsp104 homologs – it will be interesting to see whether these are
400 also capable of propagating yeast prions or their own, endogenous PriLiPs. Mammals have no
401 Hsp104 homolog and had been thought to lack disaggregase machinery, but recently Hsp110
402 has been shown to cooperate with Hsp70 and Hsp40 to this effect [91]. While the mammalian
403 machinery was not able to remodel the yeast prion Sup35, it may yet have similar activity for
404 PriLiMs in its native cellular context.

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686 **Figure Legends:**

687 **Figure 1. Hypothesis: Bet-hedging prions (●●●●, ■■■■, ▼▼▼▼) are adaptive and can respond to**
688 **stress.**

689 Yeast prion states provide advantages in a variety of environments [17], [18], and prion
690 switching increases in response to environmental stress [35]. Two types of prion-switching
691 induction are proposed – stochastic and specific. The “blue environment” signifies
692 unpredictable environmental stresses in which prions are induced stochastically. This might be
693 observed for any stress that significantly perturbs protein homeostasis and stresses the
694 chaperone machinery involved in maintaining prion states. Note that each different prion
695 causes a different phenotype, indicated by the color of the cell. After competition, a prion state
696 that proved advantageous dominates the population of cells. The “green environment”
697 signifies an environmental stress that induces a specific prion pre-adapted to enhance survival
698 in that condition. This is more likely to occur for stresses that are encountered regularly
699 throughout the evolution of the organism. Specific prion induction has been observed for
700 [*MOT3+*] in ethanol (Halfmann and Lindquist, unpublished data), and for [*GAR+*] in the presence
701 of bacterial competitors (Jarosz and Lindquist, unpublished data). Note that there will generally
702 be a low frequency of appearance and disappearance of each prion state (not depicted).

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707 **Figure 2. Hypothesis: Bet-hedging prions allow rapid phenotypic diversification, acquisition**
708 **of complex traits, and facile reversion to previous phenotypes**

709 (A) Different combinations of prion/non-prion conformations amongst many available prion
710 proteins allow shuffling of heritable phenotypes. The red and blue cells indicate two possible
711 combinations of prions, and thus heritable phenotypes, between genetically identical cells in a
712 population. A cell will switch to a new prion state at a rather low frequency. Thus, it is possible
713 to generate new combinations of prion states that are not present or may have previously died
714 out.

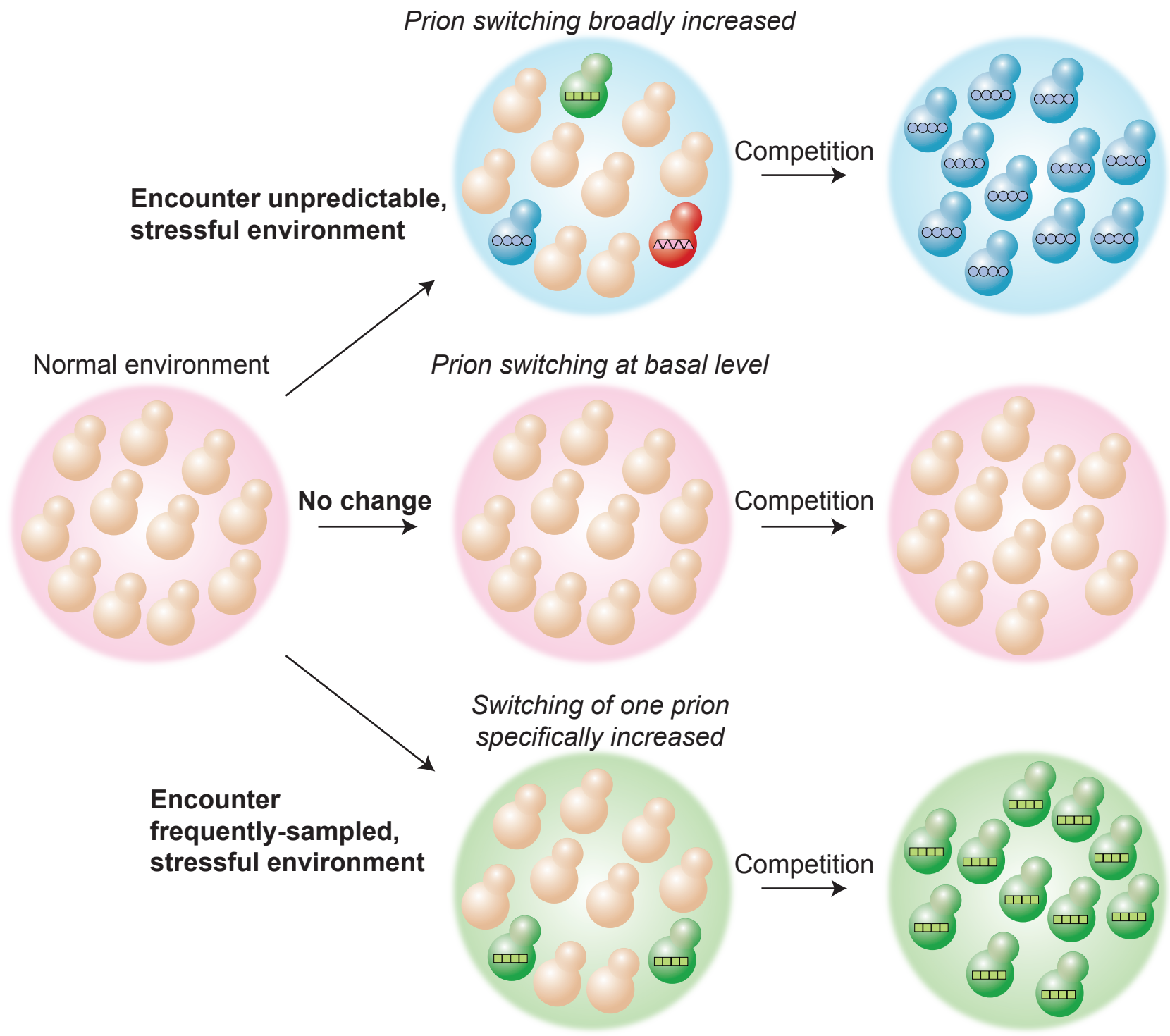
715 (B) Cells experience slowly-oscillating environments and may benefit from resampling
716 phenotypes that were advantageous in the past. Adaptations made through bet-hedging prions
717 are reversed more frequently than are mutations. This could allow cells to adapt to previously-
718 encountered environments more quickly.

719 (C) Cells frequently sample new, complex environments, for example as different microbial
720 competitors and surfaces are encountered. Shuffling the states of multiple prion proteins
721 (indicated by different yeast cell colors) allows rapid phenotypic diversification enhancing the
722 likelihood that some members of the population will adapt and survive each new environment.
723 Here, the yeast sample environments progressing from leaf, to fruit, to insect, to liquid culture,
724 each with its own set of microfauna, and different prion states dominate the population in each
725 environment. In the next, unknown environment another combination of prion states may be
726 advantageous. Many prion combinations may be present at a low frequency in the population
727 prior to entering the environment, and the stresses of a new environment may induce
728 additional prion switching to enhance adaptation.

729 **Figure 3. PriLiMs can alter the biological properties of a protein.**

730 (A) Prion-like assemblies may alter protein-protein interactions. Mitochondrial antiviral
731 signaling protein (MAVS), on the surface of mitochondria, interacts with TNF receptor-
732 associated factors (TRAFs) after prion-like aggregation [6].

733 (B) Other proteins gain catalytic function when they assemble into amyloid. Here, RIP1 and
734 RIP3 are depicted as inactive kinases that are activated upon assembly. This activity is thought
735 to be in part due to enhanced auto- and cross-phosphorylation in the assembled form, which is
736 prevented by other factors before assembly [67], [68]. The kinase image was adapted from
737 PDB entry 2J2I for purely illustrative purposes.



Prion switching broadly increased

**Encounter unpredictable,
stressful environment**

Competition

Prion switching at basal level

Normal environment

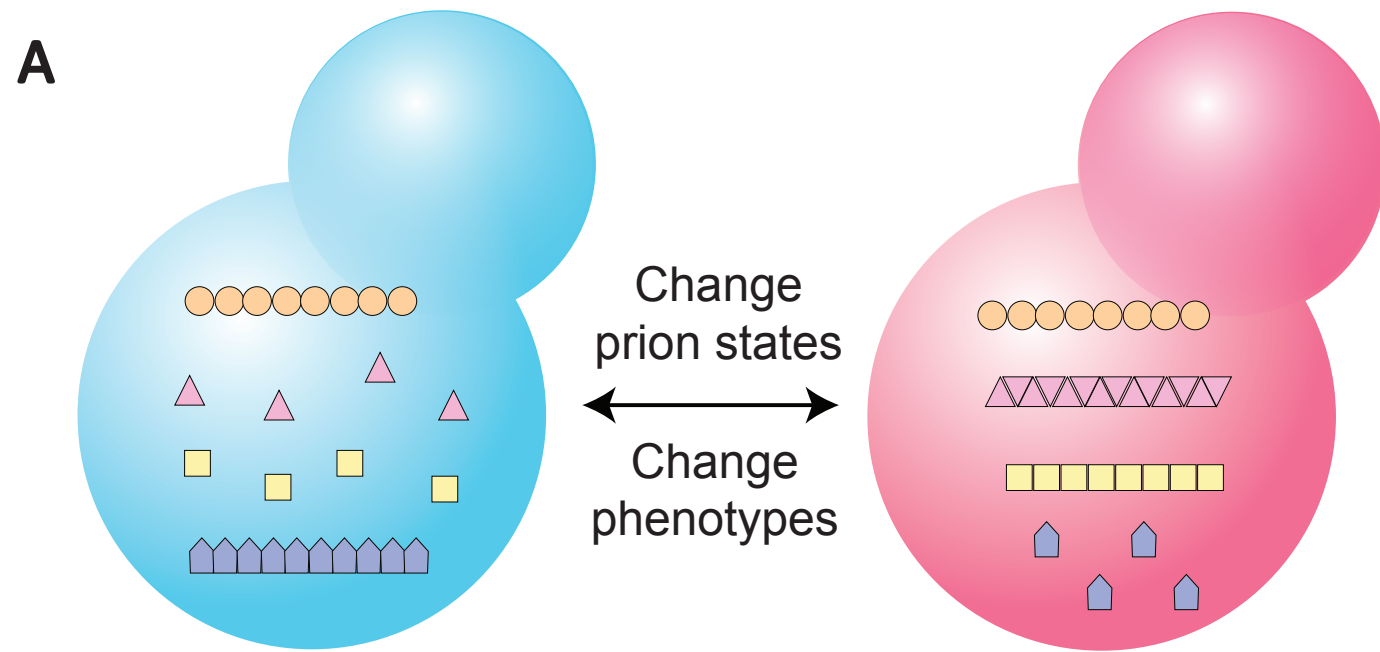
No change

Competition

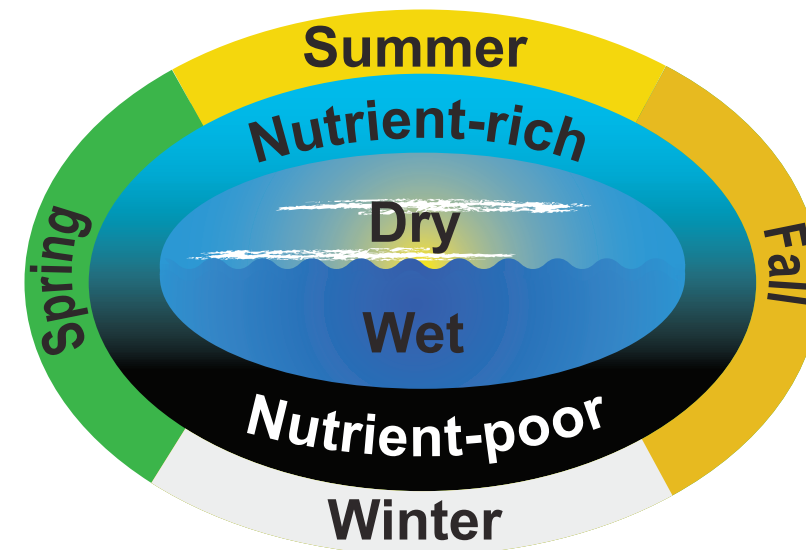
*Switching of one prion
specifically increased*

**Encounter
frequently-sampled,
stressful environment**

Competition



B Frequently-encountered, predictable environments



C

