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Abstract An algorithm for electing a leader in an asynchronous network with dynamically changing communication topology is presented. The algorithm ensures that, no matter what pattern of topology changes occurs, if topology changes cease, then eventually every connected component contains a unique leader. The algorithm combines ideas from the Temporally Ordered Routing Algorithm for mobile ad hoc networks (Park and Corson in Proceedings of the 16th IEEE Conference on Computer Communications (INFOCOM), pp. 1405–1413 (1997) with a wave algorithm (Tel in Introduction to distributed algorithms, 2nd edn. Cambridge University Press, Cambridge, MA, 2000), all within the framework of a height-based mechanism for reversing the logical direction of communication topology links (Gafni and Bertsekas in IEEE Trans Commun **C–29**(1), 11–18 1981). Moreover, a generic representation of time is used, which can be implemented using totally-ordered values that preserve the causality of events, such as logical clocks and perfect clocks. A correctness proof for the algorithm is provided, and it is ensured that in certain well-behaved situations, a new leader is not elected unnecessarily, that is, the algorithm satisfies a stability condition.

Keywords Distributed algorithms · Leader election · Link reversal · Dynamic networks

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1 Introduction

Leader election is an important primitive for distributed computing, useful as a subroutine for any application that requires the selection of a unique processor among multiple candidate processors. Applications that need a leader range from the primary-backup approach for replication-based fault-tolerance to group communication systems [26], and from video conferencing to multi-player games [11].

In a dynamic network, communication channels go up and down frequently. Causes for such communication volatility range from the changing position of nodes in mobile networks to failure and repair of point-to-point links in wired networks. Recent research has focused on porting some of the applications mentioned above to dynamic networks, including wireless and sensor networks. For instance, Wang and Wu propose a replication-based scheme for data delivery in mobile and fault-prone sensor networks [29]. Thus there is a need for leader election algorithms that work in dynamic networks.

We consider the problem of ensuring that, if changes to the communication topology cease, then eventually each connected component of the network has a unique leader (introduced as the "local leader election problem" in [7]). Our algorithm is an extension of the leader election algorithm in [18], which in turn is an extension of the MANET routing algorithm TORA in [22]. TORA itself is based on ideas from [9].

Gafni and Bertsekas [9] present two routing algorithms based on the notion of link reversal. The goal of each algorithm is to create directed paths in the communication topology graph from each node to a distinguished destination node. In these algorithms, each node maintains a *height* variable, drawn from a totally-ordered set; the (bidirectional) communication link between two nodes is considered to be directed from the endpoint with larger height to that with smaller height. Whenever a node becomes a sink, i.e., has no outgoing links, due to a link going down or due to notification of a neighbor's changed height, the node increases its height so that at least one of its incoming links becomes outgoing. In one of the algorithms of [9], the height is a pair consisting of a counter and the node's unique id, while in the other algorithm the height is a triple consisting of two counters and the node id. In both algorithms, heights are compared lexicographically with the least significant component being the node id. In the first algorithm, a sink increases its counter to be larger than the counter of all its neighbors, while in the second algorithm, a more complicated rule is employed for changing the counters.

The algorithms in [9] cause an infinite number of messages to be sent if a portion of the communication graph is disconnected from the destination. This drawback is overcome in TORA [22], through the addition of a clever mechanism by which nodes can identify that they have been partitioned from the destination. In this case, the nodes go into a quiescent state.

In TORA, each node maintains a 5-tuple of integers for its height, consisting of a 3-tuple called the *reference level*, a *delta* component, and the node's unique id. The height tuple of each node is lexicographically compared to the tuple of each neighbor to impose a logical direction on links (higher tuple toward lower).

The purpose of the reference level is to indicate when nodes have lost their directed path to the destination. Initially, the reference level is all zeroes. When a node loses its last outgoing link due to a link going down the node starts a new reference level by changing the first component of the triple to the current time, the second to its own id, and the third to 0, indicating that a search for the destination is started. Reference levels are propagated throughout a connected component, as nodes lose outgoing links due to height changes, in a search for an alternate directed path to the destination. Propagation of reference levels is done using a mechanism by

which a node increases its reference level when it becomes a sink; the delta value of the height is manipulated to ensure that links are oriented appropriately. If the search in one part of the graph is determined to have reached a dead end, then the third component of the reference level triple is set to 1. When this happens, the reference level is said to have been *reflected*, since it is subsequently propagated back toward the originator. If the originator receives reflected reference levels back from all its neighbors, then it has identified a partitioning from the destination.

The key observation in [18] is that TORA can be adapted for leader election: when a node detects that it has been partitioned from the old leader (the destination), then, instead of becoming quiescent, it elects itself. The information about the new leader is then propagated through the connected component. A sixth component was added to the height tuple of TORA to record the leader's id. The algorithm presented and analyzed in [18] makes several strong assumptions. First, it is assumed that only one topology change occurs at a time, and no change occurs until the system has finished reacting to the previous change. In fact, a scenario involving multiple topology changes can be constructed in which the algorithm is incorrect. Second, the system is assumed to be synchronous; in addition to nodes having perfect clocks, all messages have a fixed delay. Third, it is assumed that the two endpoints of a link going up or down are notified simultaneously of the change.

We present a modification to the algorithm that works in an asynchronous system with arbitrary topology changes that are not necessarily reported instantaneously to both endpoins of a link. One new feature of this algorithm is to add a seventh component to the height tuple of [18]: a timestamp associated with the leader id that records the time that the leader was elected. Also, a new rule by which nodes can choose new leaders is included. A newly elected leader initiates a "wave" algorithm [27]: when different leader ids collide at a node, the one with the most recent timestamp is chosen as the winner and the newly adopted height is further propagated. This strategy for breaking ties between competing leaders makes the algorithm compact and elegant, as messages sent between nodes carry only the height information of the sending node, every message is identical in structure, and only one message type is used.

In this paper, we relax the requirement in [18] (and in [15]) that nodes have perfect clocks. Instead we use a more generic notion of time, a causal clock $\mathcal{T}$, to represent any type of clock whose values are non-negative real numbers and that preserves the causal relation between events. Both logical clocks [16] and perfect clocks are possible implementations of $\mathcal{T}$. We also relax the requirement in [18] (and in [15]) that the underlying neighbor-detection layer synchronize its notifications to the two endpoints of a (bidirectional) communication link throughout the execution; in the current paper,

these notifications are only required to satisfy an eventual agreement property.

Finally, we provide a relatively brief, yet complete, proof of algorithm correctness. In addition to showing that each connected component eventually has a unique leader, it is shown that in certain well-behaved situations, a new leader is not elected unnecessarily; we identify a set of conditions under which the algorithm is "stable" in this sense. We also compare the difference in the stability guarantees provided by the perfect-clocks version of the algorithm and the causal-clocks version of the algorithm. The proofs handle arbitrary asynchrony in the message delays, while the proof in [18] was for the special case of synchronous communication rounds only and did not address the issue of stability.

Leader election has been extensively studied, both for static and dynamic networks, the latter category including mobile networks. Here we mention some representative papers on leader election in dynamic networks. Hatzis et al. [12] presented algorithms for leader election in mobile networks in which nodes are expected to control their movement in order to facilitate communication. This type of algorithm is not suitable for networks in which nodes can move arbitrarily. Vasudevan et al. [28] and Masum et al. [20] developed leader election algorithms for mobile networks with the goal of electing as leader the node with the highest priority according to some criterion. Both these algorithms are designed for the broadcast model. In contrast, our algorithm can elect any node as the leader, involves fewer types of messages than either of these two algorithms, and uses point-to-point communication rather than broadcasting. Brunekreef et al. [2] devised a leader election algorithm for a 1-hop wireless environment in which nodes can crash and recover. Our algorithm is suited to an arbitrary communication topology.

Several other leader election algorithms have been developed based on MANET routing algorithms. The algorithm in [23] is based on the Zone Routing Protocol [10]. A correctness proof is given, but only for the synchronous case assuming only one topology change. In [5], Derhab and Badache present a leader election algorithm for ad hoc wireless networks that, like ours, is based on the algorithms presented by Malpani et al. [18]. Unlike Derhab and Badache, we prove our algorithm is correct even when communication is asynchronous and multiple topology changes, including network partitions, occur during the leader election process.

Dagdeviren et al. [3] and Rahman et al. [24] have recently proposed leader election algorithms for mobile ad hoc networks; these algorithms have been evaluated solely through simulation, and lack correctness proofs. A different direction is randomized leader election algorithms for wireless networks (e.g., [1]); our algorithm is deterministic.

Fault-tolerant leader election algorithms have been proposed for wired networks. Representative examples are Mans and Santoro's algorithm for loop graphs subject to permanent communication failures [19], Singh's algorithm for complete graphs subject to intermittent communication failures [25], and Pan and Singh's algorithm [21] and Stoller's algorithm [26] that tolerate node crashes.

Recently, Datta et al. [4] presented a self-stabilizing leader election algorithm for the shared memory model with composite atomicity that satisfies stronger stability properties than our causal-clocks algorithm. In particular, their algorithm ensures that, if multiple topology changes occur simultaneously after the algorithm has stabilized, and then no further changes occur, (1) each node that ends up in a connected component with at least one pre-existing leader ultimately chooses a pre-existing leader, and (2) no node changes its leader more than once. The self-stabilizing nature of the algorithm suggests that it can be used in a dynamic network: once the last topology change has occurred, the algorithm starts to stabilize. Existing techniques (see, for instance, Section 4.2 in [6]) can be used to transform a self-stabilizing algorithm for the shared-memory composite-atomicity model into an equivalent algorithm for a (static) message-passing model, perhaps with some timing information. Such a sequence of transformations, though, produces a complicated algorithm and incurs time and space overhead (cf. [6,13]). One issue to be overcome in transforming an algorithm for the static message-passing model to the model in our paper is handling the synchrony that is relied upon in some component transformations to message passing (e.g., [14]).

2 Preliminaries

2.1 System model

We assume a system consisting of a set $\mathcal{P}$ of computing nodes and a set χ of directed communication channels from one node to another node. χ consists of one channel for each ordered pair of nodes, i.e., every possible channel is represented. The nodes are assumed to be completely reliable. The channels between nodes go up and down, due to the movement of the nodes. While a channel is up, the communication across it is in first-in-first-out order and is reliable but asynchronous (see below for more details).

We model the whole system as a set of (infinite) state machines that interact through shared *events* (a specialization of the IOA model [17]). Each node and each channel is modeled as a separate state machine. The events shared by a node and one of its outgoing channels are notifications that the channel is going up or going down and the sending of a message by the node over the channel; the channel up/down notifications are initiated by the channel and responded to by the node, while the message sends are initiated by the node and responded to by the channel. The events shared by a node and one of its incoming channels are notifications that

a message is being delivered to the node from the channel; these events are initiated by the channel and responded to by the node.

2.2 Modeling asynchronous dynamic links

We now specify in more detail how communication is assumed to occur over the dynamic links. The state of $Channel(u, v)$, which models the communication channel from node u to node v, consists of a $status_{uv}$ variable and a queue $mqueue_{uv}$ of messages.

The possible values of the $status_{uv}$ variable are Up and $Down$. The channel transitions between the two values of its $status_{uv}$ variable through $ChannelUp_{uv}$ and $ChannelDown_{uv}$ events, called the "topology change" events. We assume that the $ChannelUp$ and $ChannelDown$ events for the channel alternate. The $ChannelUp$ and $ChannelDown$ events for the channel from u to v occur simultaneously at node u and the channel, but do not occur at node v.

The variable $mqueue_{uv}$ holds messages in transit from u to v. An attempt by node u to send a message to node v results in the message being appended to $mqueue_{uv}$ if the channel's status is Up; otherwise there is no effect. When the channel is Up, the message at the head of $mqueue_{uv}$ can be delivered to node v; when a message is delivered, it is removed from $mqueue_{uv}$. Thus, messages are delivered in FIFO order.

When a $ChannelDown_{uv}$ event occurs, $mqueue_{uv}$ is emptied. Neither u nor v is alerted to which messages in transit have been lost. Thus, the messages delivered to node v from node u during a (maximal-length) interval when the channel is Up form a prefix of the messages sent by node u to node v during that interval.

2.3 Configurations and executions

The notion of configuration is used to capture an instantaneous snapshot of the state of the entire system. A *configuration* is a vector of node states, one for each node in $\mathscr{P}$, and a vector of channel states, one for each channel in χ. In an *initial configuration*:

– each node is in an initial state (according to its algorithm),
– for each channel $Channel(u, v)$, $mqueue_{uv}$ is empty, and
– for all nodes u and v, $status_{uv} = status_{vu}$ (i.e., either both channels between u and v are up, or both are down).

Define an *execution* as an infinite sequence $C_0, e_1, C_1, e_2, C_2, \ldots$ of alternating configurations and events, starting with an initial configuration and, if finite, ending with a configuration such that the sequence satisfies the following conditions:

– C_0 is an initial configuration.
– The preconditions for event e_i are true in C_{i-1} for all $i \geq 1$.
– C_i is the result of executing event e_i on configuration C_{i-1}, for all $i \geq 1$ (only the node and channel involved in an event change state, and they change according to their state machine transitions).
– If a channel remains Up for infinitely long, then every message sent over the channel during this Up interval is eventually delivered.
– For all nodes u and v, $Channel(u, v)$ experiences infinitely many topology change events if and only if $Channel(v, u)$ experiences infinitely many topology change events; if they both experience finitely many, then after the last one, $status_{uv} = status_{vu}$.

Given a configuration of an execution, define an undirected graph G_{chan} as follows: the vertices are the nodes, and there is an (undirected) edge between vertices u and v if and only if at least one of $Channel_{uv}$ and $Channel_{vu}$ is Up. Thus G_{chan} indicates all pairs of nodes u and v such that either u can send messages to v or v can send messages to u. If the execution has a finite number of topology change events, then G_{chan} never changes after the last such event, and we denote the final version of G_{chan} as G_{chan}^{final}. By the last bullet point above, an edge in G_{chan}^{final} indicates bidirectional communication ability between the two endpoints.

We also assign a positive real-valued *global time gt* to each event e_i, $i \geq 1$, such that $gt(e_i) < gt(e_{i+1})$ and, if the execution is infinite, the global times increase without bound. Each configuration inherits the global time of its preceding event, so $gt(C_i) = gt(e_i)$ for $i \geq 1$; we define $gt(C_0)$ to be 0. We assume that the nodes do *not* have access to gt.

Instead, each node u has a *causal clock* $\mathscr{T}_u$, which provides it with a non-negative real number at each event in an execution. $\mathscr{T}_u$ is a function from global time (i.e., positive reals) to causal clock times; given an execution, for convenience we sometimes use the notation $\mathscr{T}_u(e_i)$ or $\mathscr{T}_u(C_i)$ as shorthand for $\mathscr{T}_u(gt(e_i))$ or $\mathscr{T}_u(gt(C_i))$. The key idea of causal clocks is that if one event potentially can cause another event, then the clock value assigned to the first event is less than the clock value assigned to the second event. We use the notion of happens-before to capture the concept of potential causality. Recall that an event e_1 is defined to *happen before* [16] another event e_2 if one of the following conditions is true:

1. Both events happen at the same node, and e_1 occurs before e_2 in the execution.
2. e_1 is the send event of some message from node u to node v, and e_2 is the receive event of that message by node v.
3. There exists an event e such that e_1 *happens before* e and e *happens before* e_2.

The causal clocks at all the nodes, collectively denoted $\mathcal{T}$, must satisfy the following properties:

– For each node u, the values of $\mathcal{T}_u$ are increasing, i.e., if e_i and e_j are events involving u in the execution with $i < j$, then $\mathcal{T}_u(e_i) < \mathcal{T}_u(e_j)$. In particular, if there is an infinite number of events involving u, then $\mathcal{T}_u$ increases without bound.
– $\mathcal{T}$ preserves the *happens-before* relation [16] on events; i.e., if event e_i happens before event e_j, then $\mathcal{T}(e_i) < \mathcal{T}(e_j)$.

Our description and proof of the algorithm assume that nodes have access to causal clocks. One way to implement causal clocks is to use perfect clocks, which ensure that $\mathcal{T}_u(t) = t$ for each node u and global time t. Since an event that causes another event must occur before it in real time, perfect clocks capture causality. Perfect clocks could be provided by, say a GPS service, and were assumed in the preliminary version of this paper [15]. Another way to implement causal clocks is to use Lamport's logical clocks [16], which were specifically designed to capture causality.

2.4 Problem definition

Each node u in the system has a local variable lid_u to hold the identifier of the node currently considered by u to be the leader of the connected component containing u.

In every execution that includes a finite number of topology change events, we require that the following eventually holds: Every connected component CC of the final topology graph G_{chan}^{final} contains a node ℓ, the *leader*, such that $lid_u = \ell$ for all nodes $u \in CC$, including ℓ itself.

3 Leader election algorithm

In this section, we present our leader election algorithm. The pseudocode for the algorithm is presented in Figs. 1, 2 and 3. First, we provide an informal description of the algorithm, then, we present the details of the algorithm and the pseudocode, and finally, we provide an example execution. In the rest of this section, variable var of node u will be indicated as var_u. For brevity, in the pseudocode for node u, variable var_u is denoted by just var.

3.1 Informal description

Each node in the system has a 7-tuple of integers called a height. The directions of the edges in the graph are determined by comparing the heights of neighboring nodes: an edge is directed from a node with a larger height to a node with a smaller height. Due to topology changes nodes may lose some of their incident links, or get new ones throughout the execution. Whenever a node loses its last outgoing link because of a topology change, it has no path to the current leader, so it reverses all of its incident edges. Reversing all incident edges acts as the start of a search mechanism (called a reference level) for the current leader. Each node that receives the newly started reference level reverses the edges to some of its neighbors and in effect propagates the search throughout the connected component. Once a node becomes a sink and all of its neighbors are already participating in the same search, it means that the search has hit a dead end and the current leader is not present in this part of the connected component. Such dead-end information is then propagated back towards the originator of the search. When a node which started a search receives such dead-end messages from all of its neighbors, it concludes that the current leader is not present in the connected component, and so the originator of the search elects itself as the new leader. Finally, this new leader information propagates throughout the network via an extra "wave" of propagation of messages.

In our algorithm, two of the components of a node's height are timestamps recording the time when a new "search" for the leader is started, and the time when a leader is elected.

Fig. 1 Code triggered by topology changes

When ChannelDown$_{uv}$ event occurs:
```
1.    N := N \ {v}
2.    forming := forming\{v}
3.    if (N = ∅)
4.        ELECTSELF
5.        send Update(height[u]) to all w ∈ forming
6.    else if (SINK)
7.        STARTNEWREFLEVEL
8.        send Update(height[u]) to all w ∈ (N ∪ forming)
9.    end if
```

When ChannelUp$_{uv}$ event occurs:
```
1.    forming := forming ∪ {v}
2.    send Update(height[u]) to v
```

Fig. 2 Code triggered
by Update message

When node u receives *Update*(h) from node $v \in forming \cup N$:

```
        // if v is in neither forming nor N, message is ignored
1.    height[v] := h
2.    forming := forming \ {v}
3.    N := N ∪ {v}
4.    myOldHeight := height[u]
5.    if ((nlts^u, lid^u) = (nlts^v, lid^v)) // leader pairs are the same
6.        if (SINK)
7.            if (∃ (τ,oid,r) | (τ^w,oid^w,r^w) = (τ,oid,r) ∀ w ∈ N)
8.                if ((τ > 0) and (r = 0))
9.                    REFLECTREFLEVEL
10.                else if ((τ > 0) and (r = 1) and (oid = u))
11.                    ELECTSELF
12.                else // (τ = 0) or (τ > 0 and r = 1 and oid ≠ u)
13.                    STARTNEWREFLEVEL
14.                end if
15.            else // neighbors have different ref levels
16.                PROPAGATELARGESTREFLEVEL
17.            end if
            // else not sink, do nothing
18.        end if
19.    else // leader pairs are different
20.        ADOPTLPIFPRIORITY(v)
21.    end if
22.    if (myOldHeight ≠ height[u])
23.        send Update(height[u]) to all w ∈ (N ∪ forming)
24.    end if
```

Fig. 3 Subroutines

ELECTSELF
1. $height[u] := (0,0,0,0, -\mathcal{T}_u, u, u)$

REFLECTREFLEVEL
1. $height[u] := (\tau, oid, 1, 0, nlts^u, lid^u, u)$

PROPAGATELARGESTREFLEVEL
1. $(\tau^u, oid^u, r^u) := max\{(\tau^w, oid^w, r^w) | \ w \in N\}$
2. $\delta^u := min\{ \ \delta^w \ | \ w \in N$ and $(\tau^u, oid^u, r^u) = (\tau^w, oid^w, r^w)\} - 1$

STARTNEWREFLEVEL
1. $height[u] := (\mathcal{T}_u, u, 0, 0, nlts^u, lid^u, u)$

ADOPTLPIFPRIORITY(v)
1.   if $((nlts^v < nlts^u)$ or $((nlts^v = nlts^u)$ and $(lid^v < lid^u)))$
2. $height[u] := (\tau^v, oid^v, r^v, \delta^v + 1, nlts^v, lid^v, u)$
3.   else send Update($height[u]$) to v
4.   end if

In the algorithm in [15], these timestamps are obtained from a global clock accessible to all nodes in the system. In this paper, we use the notion of causal clocks (defined in Sect. 2.3) instead.

One difficulty that arises in solving leader election in dynamic networks is dealing with the partitioning and merging of connected components. For example, when a connected component is partitioned from the current leader due to links going down, the above algorithm ensures that a new leader is elected using the mechanism of waves searching for the leader and convergecasting back to the originator. On the other hand, it is also possible that two connected components

merge together resulting in two leaders in the new connected component. When the different heights of the two leaders are being propagated in the new connected component, eventually, some node needs to compare both and decide which one to adopt and continue propagating. Recall that when a new leader is elected, a component of the height of the leader records the time of the election which can be used to determine the more recent of two elections. Therefore, when a node receives a height with a different leader information from its own, it adopts the one corresponding to the more recent election.

Similarly, if two reference levels are being propagated in the same connected component, whenever a node receives a height with a reference level different from its current one, it adopts the reference level with the more recent timestamp and continues propagating it. Therefore, even though conflicting information may be propagating in the same connected component, eventually the algorithm ensures that as long as topology changes stop, each connected component has a unique leader.

3.2 Nodes, neighbors and heights

First, we describe the mechanism through which nodes get to know their neighbors. Each node in the algorithm keeps a directed approximation of its neighborhood in G_{chan} as follows. When u gets a *ChannelUp* event for the channel from u to v, it puts v in a local set variable called $forming_u$. When u subsequently receives a message from v, it moves v from its $forming_u$ set to a local set variable called N_u (N for neighbor). If u gets a message from a node which is neither in its *forming* set, nor in N_u, it ignores that message. And when u gets a *ChannelDown* event for the channel from u to v, it removes v from $forming_u$ or N_u, as appropriate. For the purposes of the algorithm, u considers as its neighbors only those nodes in N_u. It is possible for two nodes u and v to have inconsistent views concerning whether u and v are neighbors of each other. We will refer to the ordered pair (u, v), where v is in N_u, as a *link* of node u.

Nodes assign virtual directions to their links using variables called heights. Each node maintains a height for itself, which can change over time, and sends its height over all outgoing channels at various points in the execution. Each node keeps track of the heights it has received in messages. For each link (u, v) of node u, u considers the link as incoming (directed from v to u) if the height that u has recorded for v is larger than u's own height; otherwise u considers the link as outgoing (directed from u to v). Heights are compared using lexicographic ordering; the definition of height ensures that two nodes never have the same height. Note that, even if v is viewed as a neighbor of u and vice versa, u and v might assign opposite directions to their corresponding links, due to asynchrony in message delays.

Next, we examine the structure of a node's height in more detail. The height for each node is a 7-tuple of integers $((\tau, oid, r), \delta, (nlts, lid), id)$, where the first three components are referred to as the *reference level* (RL) and the fifth and sixth components are referred to as the *leader pair* (LP). In more detail, the components are defined as follows:

– τ, a non-negative timestamp which is either 0 or the value of the causal clock time when the current search for an alternate path to the leader was initiated.
– oid, is a non-negative value that is either 0 or the id of the node that started the current search (we assume node ids are positive integers).
– r, a bit that is set to 0 when the current search is initiated and set to 1 when the current search hits a dead end.
– δ, an integer that is set to ensure that links are directed appropriately to neighbors with the same first three components. During the execution of the algorithm δ serves multiple purposes. When the algorithm is in the stage of searching for the leader (having either reflected or unreflected RL), the δ value ensures that as a node u adopts the new reference level from a node v, the direction of the edge between them is from v to u; in other words it coincides with the direction of the search propagation. Therefore, u adopts the RL of v and sets its δ to one less than v's. When a leader is already elected, the δ value helps orient the edges of each node towards the leader. Therefore, when node u receives information about a new leader from node v, it adopts the entire height of v and sets the δ value to one more than v's.
– $nlts$, a non-positive timestamp whose absolute value is the causal clock time when the current leader was elected.
– lid, the id of the current leader.
– id, the node's unique ID.

Each node u keeps track of the heights of its neighbors in an array $height_u$, where the height of a neighbor node v is stored in $height_u[v]$. The components of $height_u[v]$ are referred to as $(\tau^v, oid^v, r^v, \delta^v, nlts^v, lid^v, v)$ in the pseudocode.

3.3 Initial states

The definition of an initial configuration for the entire system from Sect. 2.3 included the condition that each node be in an initial state according to its algorithm. The collection of initial states for the nodes must be consistent with the collection of initial states for the channels. Let G_{chan}^{init} be the undirected graph corresponding to the initial states of the channels, as defined in Sect. 2.3. Then in an initial configuration, the state of each node u must satisfy the following:

– $forming_u$ is empty,
– N_u equals the set of neighbors of u in G_{chan}^{init},

– $height_u[u] = (0, 0, 0, \delta_u, 0, \ell, u)$ where ℓ is the id of a fixed node in u's connected component in G_{chan}^{init} (the current leader), and δ_u equals the distance from u to ℓ in G_{chan}^{init},

– for each v in N_u, $height_u[v] = height_v[v]$ (i.e., u has accurate information about v's height), and

– $\mathcal{T}_u$ is initialized properly with respect to the definition of causal clocks.

The constraints on the initial configuration just given imply that initially, each connected component of the communication topology graph has a leader; furthermore, by following the virtual directions on the links, nodes can easily forward information to the leader (as in TORA). One way of viewing our algorithm is that it *maintains* leaders in the network in the presence of arbitrary topology changes. In order to *establish* this property, the same algorithm can be executed, with each node initially being in a singleton connected component of the topology graph prior to any *ChannelUp* or *ChannelDown* events.

3.4 Goal of the algorithm

The goal of the algorithm is to ensure that, once topology changes cease, eventually each connected component of G_{final}^{chan} is "leader-oriented", which we now define. Let CC be any connected component of G_{final}^{chan}. First, we define a directed version of CC, denoted $\overrightarrow{CC}$, in which each undirected edge of CC is directed from the endpoint with larger height to the endpoint with smaller height. We say that CC is *leader-oriented* if the following conditions hold:

1. No messages are in transit in CC.
2. For each (undirected) edge $\{u, v\}$ in CC, if (u, v) is a link of u, then u has the correct view of v's height.
3. Each node in CC has the same leader id, say ℓ, where ℓ is also in CC.
4. $\overrightarrow{CC}$ is a directed acyclic graph (DAG) with ℓ as the unique sink.

A consequence of each connected component being leader-oriented is that the leader election problem is solved.

3.5 Description of the algorithm

The algorithm consists of three different actions, one for each of the possible events that can occur in the system: a channel going up, a channel going down, and the receipt of a message from another node. Next, we describe each of these actions in detail.

First, we formally define the conditions under which a node is considered to be a sink:

– $SINK = ((\forall v \in N_u, LP_u^v = LP_u^u)$ and $(\forall v \in N_u, height_u[u] < height_u[v])$ and $(lid_u^u \neq u))$. Recall that the LP component of node u's view of v's height, as stored in u's height array, is denoted LP_u^v, and similarly for all the other height components. This predicate is true when, according to u's local state, all of u's neighbors have the same leader pair as u, u has no outgoing links, and u is not its own leader. If node u has links to any neighbors with different LPs, u is not considered a sink, regardless of the directions of those links.

ChannelDown event: When a node u receives a notification that one of its incident channels has gone down, it needs to check whether it still has a path to the current leader. If the *ChannelDown* event has caused u to lose its last neighbor, as indicated by u's N variable, then u elects itself by calling the subroutine ELECTSELF. In this subroutine, node u sets its first four components to 0, and the LP component to $(nlts, u)$ where $nlts$ is the negative value of u's current causal clock time. Then, in case u has any incident channels that are in the process of forming, u sends its new height over them. If the *ChannelDown* event has not robbed u of all its neighbors (as indicated by u's N variable) but u has lost its last outgoing link, i.e., it passes the SINK test, then u starts a new reference level (a search for the leader) by setting its τ value to the current clock time, oid to u's id, the r bit to 0, and the δ value to 0, as shown in subroutine STARTNEWREFLEVEL. The complete pseudocode for the *ChannelDown* action is available in Fig. 1.

ChannelUp event: When a node u receives a notification of a channel going up to another node, say v, then u sends its current height to v and includes v in its set $forming_u$. The pseudocode for the *ChannelUp* action is available in Fig. 1.

Receipt of an update message: When a node u receives a message from another node v, containing v's height, node u performs the following sequence of rules (shown in Fig. 2).

First, if v is in neither $forming_u$ nor N_u, then the message is ignored. If $v \in forming_u$ but $v \notin N_u$ then v is moved to N_u. Next, u checks whether v has the same leader pair as u. If v knows about a more recent leader than u, node u adopts that new LP (shown in subroutine ADOPTLPIFPRIORITY in Fig. 3). If the LP's of u and v are the same, then u checks whether it is a sink using the definition above. If it is not a sink, it does not perform any further action (because it already has a path to the leader). Otherwise, if u is a sink, it checks the value of the RL component of all of its neighbors' heights (including v's). If some neighbor of u, say w, knows of a RL which is more recent than u's, then u adopts that new RL by setting the RL part of its height to the new RL value and changing the δ component to one less than the δ component of w. Therefore, the change in u's height does not cause w to become a sink (again) and so the search for the leader does not go back to w and it is thus propagated in the rest of the

connected component. The details are shown in subroutine PROPAGATELARGESTREFLEVEL in Fig. 3.

If u and all of its neighbors have the same RL component of their heights, say (τ, oid, r), we consider three possible cases:

1. If $\tau > 0$ (indicating that this is a RL started by some node, and not the default value 0) and $r = 0$ (the RL has not reached a dead end), then this is an indication of a dead end because u and all of its neighbors have the same unreflected RL. In this case u changes its height by setting the r component of its height to 1 (shown in subroutine REFLECTREFLEVEL in Fig. 3).

2. If $\tau > 0$ (indicating that this is a RL started by some node, and not the default value 0), $r = 1$ (the RL has already reached a dead end) and $oid = u$ (u started the current RL), then this is an indication that the current leader may not be in the same connected component anymore. In other words, all the branches of the RL started by u reached dead ends. Therefore, u elects itself as the new leader by setting its first 4 components to 0, and the LP component to $(nlts, u)$ where $nlts$ is the negative value of u's current causal clock time (shown in subroutine ELECTSELF in Fig. 3). Note that this case does not guarantee that the old leader is not in the connected component, because some recent topology change may have reconnected it back to u's component. We already described how the leader information of two different leaders is handled.

3. If neither of the two conditions above are satisfied, then it is the case that either $\tau = 0$ or $\tau > 0, r = 1$ and $oid \neq u$. In other words, all of u's neighbors have a different reflected RL or contain an RL indicating that various topology changes have interfered with the proper propagation of RL's, and so node u starts a fresh RL by setting τ to the current causal clock time, oid to u's id, the r bit to 0, and the δ value to 0 (shown in subroutine STARTNEWREFLEVEL in Fig. 3).

Finally, whenever a node changes its height, it sends a message with its new height to all of its neighbors. Additionally, whenever a node u receives a message from a node v indicating that v has different leader information from u, then either if u adopts v's LP or not, u sends an update message to v with its new (possibly same as old) height. This step is required due to the weak level of coordination in neighbor discovery.

3.6 Sample execution

Next, we provide an example which illustrates a particular algorithm execution. Figure 4, parts (a)–(h), show the main stages of the execution. In the picture for each stage, a message in transit over a channel is indicated by a light grey arrow. The recipient of the message has not yet taken a step and so, in its view, the link is not yet reversed.

(a) A quiescent network is a leader-oriented DAG in which node H is the current leader. The height of each node is displayed in parenthesis. Link direction in this figure is shown using solid-headed arrows and messages in transit are indicated by light grey arrows.

(b) The link between nodes G and H goes down triggering action *ChannelDown* at node G (and node H). When non-leader node G loses its last outgoing link due to the loss of the link to node H, G executes subroutine STARTNEWREFLEVEL (because it is a sink and it has other neighbors besides H), and sets the RL and δ parts of its height to $(1, G, 0)$ and $\delta = 0$. Then node G sends messages with its new height to all its neighbors. By raising its height in this way, G has started a search for leader H.

(c) Nodes D, E, and F receive the messages sent from node G, messages that cause each of these nodes to become sinks because G's new RL causes its incident edges to be directed away from G. Next, nodes D, E, and F compare their neighbors' RL's and propagate G's RL (since nodes B and C have lower heights than node G) by executing PROPAGATELARGESTREFLEVEL. Thus, they take on RL $(1, G, 0)$ and set their δ values to -1, ensuring that their heights are lower than G's but higher than the other neighbors'. Then D, E and F send messages to their neighbors.

(d) Node B has received messages from both E and D with the new RL $(1, G, 0)$, and C has received a message from F with RL $(1, G, 0)$; as a result, B and C execute subroutine PROPAGATELARGESTREFLEVEL, which causes them to take on RL $(1, G, 0)$ with δ set to -2 (they propagate the RL because it is more recent than all of their neighbors' RL's), and send messages to their neighbors.

(e) Node A has received message from both nodes B and C. In this situation, node A is connected only to nodes that are participating in the search started by node G for leader H. In other words, all neighbors of node A have the same RL with $\tau > 0$ and $r = 0$, which indicates that A has detected a dead end for this search. In this case, node A executes subroutine REFLECTREFLEVEL, i.e., it "reflects" the search by setting the reflection bit in the $(1, G, *)$ reference level to 1, resetting its δ to 0, and sending its new height to its neighbors.

(f) Nodes B and C take on the reflected reference level $(1, G, 1)$ by executing subroutine PROPAGATELARGEST REFLEVEL (because this is the largest RL among their neighbors) and set their δ to -1, causing their heights to
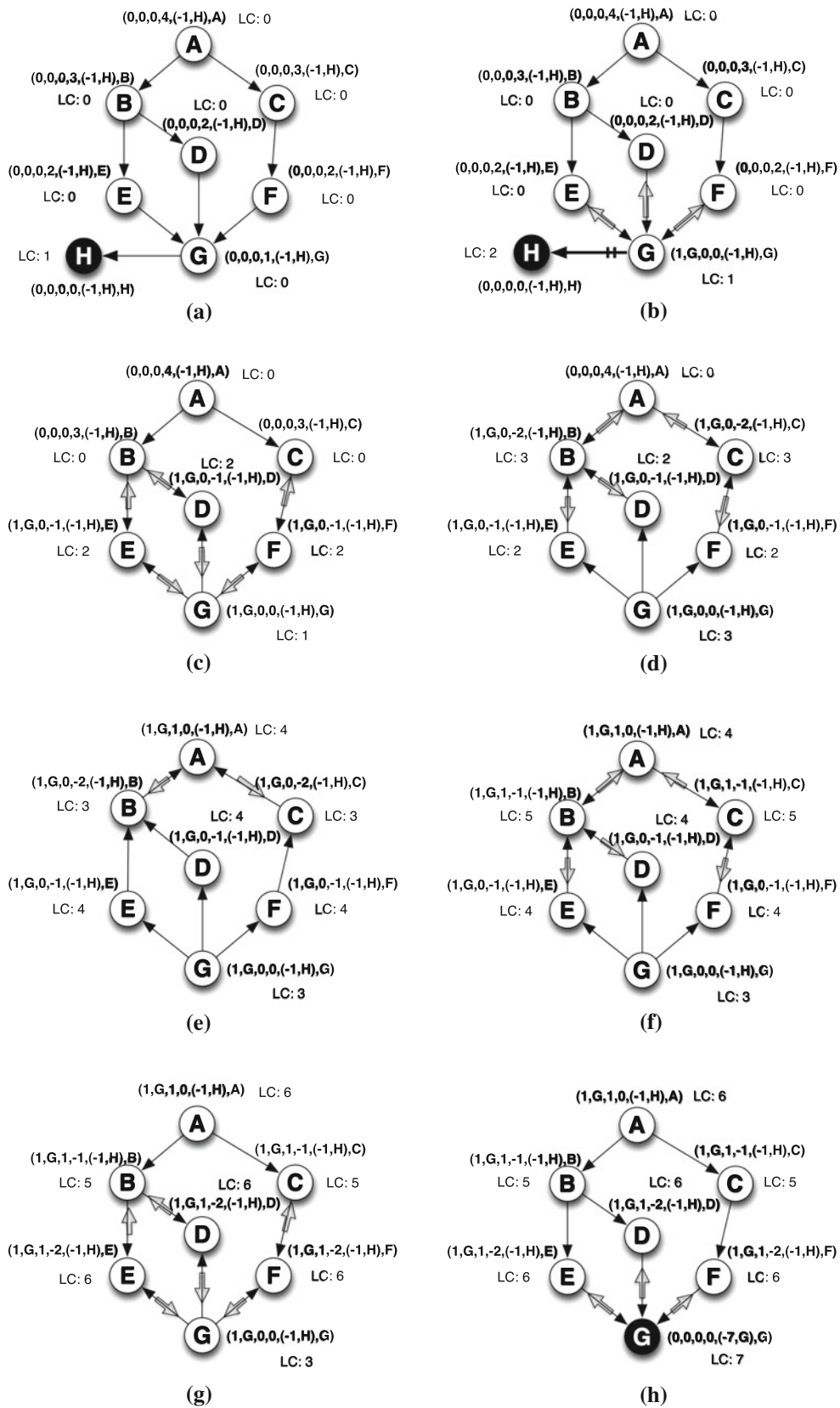
Fig. 4 Sample execution when leader H becomes disconnected (**a**), with time increasing from **a**–**h**. With no other topology changes, every node in the connected component will eventually adopt G as its leader

be lower than A's and higher than their other neighbors'. They also send their new heights to their neighbors.

(g) Nodes D, E, and F act similarly as B and C did in part (f), but set their δ values to -2.

(h) When node G receives the reflected reference level from all its neighbors, it knows that its search for H is in vain. G executes subroutine ELECTSELF and elects itself by setting the LP part of its height to $(-7, G)$ assuming the causal clock value at node G at the time of the election is 7. The new LP $(-7, G)$ then propagates through the component, assuming no further link changes occur. Whenever a node receives the new LP information, it adopts it because it is more recent than the one associated with the old LP of H. Eventually, each node has RL $(0, 0, 0)$ and LP $(-7, G)$, with D, E and F having $\delta = 1$, B and C having $\delta = 2$, and A having $\delta = -3$.

We now explain two other aspects of the algorithm that were not exercised in the example execution just given. First, note that it is possible for multiple searches—each initiated by a call to STARTNEWREFLEVEL—for the same leader to be going on simultaneously. Suppose messages on behalf of different searches meet at a node i. We assume that messages are taken out of the input message queue one at a time. Major action is only taken by node i when it loses its last outgoing link; when the earlier messages are processed, all that happens is that the appropriate height variables are updated. If and when a message is processed that causes node i to lose its last outgoing link, then i takes appropriate action, either to propagate the largest reference level among its neighbors or to reflect the common reference level.

Another potentially troublesome situation is when, for two nodes u and v, the channel from u to v is up for a long period of time while the channel from v to u is down. When the channel from u to v comes up at u, v is placed in u's *forming* set, but is not able to move into u's neighbor set until u receives an Update message from v, which does not occur as long as the channel from v to u remains down. Thus during this interval, u sends update messages to v but since v is not considered a neighbor of u, v is ignored in deciding whether u is a sink. In the other direction, when the channel from u to v comes up at u, u sends its height to v, but the message is ignored by v since the link from v to u is down and thus u is not in v's forming set or neighbor set. More discussion of this asymmetry appears in Sect. 4.1; for now, the main point is that the algorithm simply continues with u and v not considering each other as neighbors.

4 Correctness proof

In this section, we show that, once topology changes cease, the algorithm eventually terminates with each connected component being leader-oriented. As a result, the lid_u variables satisfy the conditions of the leader election problem.

We first show, in Sect. 4.1, an important relationship between the final communication topology and the *forming* and N variables of the nodes. The rest of the proof uses a number of invariants, denoted as "Properties", which are shown to hold in every configuration of every execution; each one is proved (separately) by induction on the configurations occurring in an execution. In Sect. 4.2, we introduce some definitions and basic facts regarding the information about nodes' heights that appears in the system, either in nodes' height arrays or in messages in transit. In Sect. 4.3, we bound, in Lemma 3, the number of elections that can occur after the last topology change; this result relies on the fact, shown in Lemma 2, that once a node u adopts a leader that was elected after the last topology change, u never becomes a sink again. Then in Sect. 4.4, we bound, in Lemma 4, the number of new reference levels that are started after the last topology change; the proof of this result relies on several additional properties. Sect. 4.5 is devoted to showing, in Lemmas 5, 6, and 7, that eventually there are no messages in transit and every node has an accurate view of its neighbors' heights. All the pieces are put together in Theorem 1 of Sect. 4.6 to show that eventually we have a leader-oriented connected component; a couple of additional properties are needed for this result.

Throughout the proof, consider an arbitrary execution of the algorithm in which the last topology change event occurs at some global time t_{LTC}, and consider any connected component of the final topology.

4.1 Channels and neighbors

Because of the lack of coordination between the topology change events for the two channels going between nodes u and v in the two directions, u and v do not necessarily have consistent views of their local neighborhoods in G_{chan}, even after the last topology change. For instance, it is possible that v is in N_u but u is not in N_v forever after the last topology change. Suppose the channel from u to v remains Up from some time t onwards, so that v remains in N_u from time t onwards. However, suppose that the channel from v to u fluctuates several times after time t, eventually stabilizing to being Up (cf. Fig. 5). Every time the channel to u goes down, u is removed from v's *forming* and N sets. Every time the channel to u comes up, v adds u to $forming_v$ and sends its height in an Update message to u. When u gets the message from v, it updates the entry for v in its height array, but does not send its own height back to v. As long as u's height does not change, u does not send its height to v. Thus v is never able to move u from $forming_v$ into N_v.

However, we are assured by Lemma 1 below that after time t_{LTC}, $N_u \cup forming_u$ does not change for any node u.
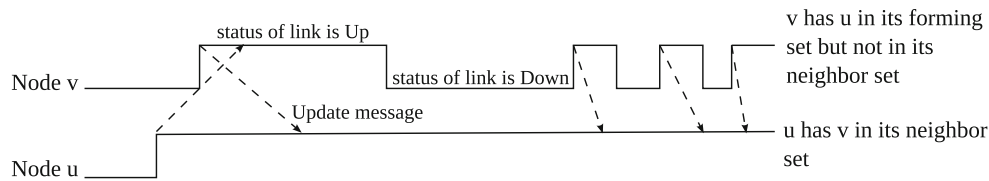
Fig. 5 The status of the channel from u to v remains Up, but the status of the channel from v to u fluctuates

Furthermore, a node u always sends Update messages to all nodes in $N_u \cup forming_u$, which constitutes all the outgoing channels of u.

Lemma 1 *After time t_{LTC}, $N_u \cup forming_u$ does not change for any node u.*

Proof When $ChannelDown_{uv}$ occurs, u removes v from both its N_u and $forming_u$ variables. When $ChannelUp_{uv}$ occurs, u adds v to its $forming_u$ variable and sends an Update message to v. When u receives an Update message from a node v, the only possible change to the N_u and $forming_u$ variables is that v is moved from $forming_u$ to N_u, which does not change $N_u \cup forming_u$.

t_{TLC} is the latest among all the times at which either a *ChannelDown*, or a *ChannelUp* occurs. After this time, the only change to the N set or the *forming* set must be due to receipt of an Update message, causing lines 2 and 3 of Fig. 2 to be executed. Thus the only change to the N set or the *forming* set is that a node which is removed from the *forming* set is added to the N set. This does not affect $N \cup forming$. $\square$

4.2 Height tokens and their properties

Since a node makes algorithm decisions based solely on comparisons of its neighboring nodes' height tuples, we first present several important properties of the tuple contents. Define h to be a *height token for node u* in a configuration if h is in an Update message in transit from u, or h is the entry for u in the height array of any node. Let $LP(h)$ be the leader pair of h, $RL(h)$ the reference level (triple) of h, $\delta(h)$ the δ value of h, $lts(h)$ the absolute value of the (nonpositive) leader timestamp (component $nlts$) of h, and $\tau(h)$ the τ value of h.

Given a configuration in which $Channel(u, v)$ has status Up and $u \in N_v$, the (u, v) *height sequence* is defined as the sequence of height tokens $h_0, h_1, \ldots, h_m$, where h_0 is u's height, h_m is v's view of u's height, and $h_1, \ldots, h_{m-1}$ is the sequence of height tokens in the Update messages in transit from u to v. If the status of $Channel(u, v)$ is Up but $u \notin N_v$, then the (u, v) height sequence is defined similarly except that $h_1, \ldots, h_m$ is the sequence of height tokens in the Update messages in transit from u to v; in these cases, v does not have an entry for u in its height array. If $Channel(u, v)$ is $Down$, the (u, v) height sequence is undefined.

Property A *If h is a height token for a node u in the (u, v) height sequence, then:*

1. $lts(h) \leq \mathcal{T}_u$ and $\tau(h) \leq \mathcal{T}_u$
2. *If h is in v's height array then $lts(h) \leq \mathcal{T}_v$ and $\tau(h) \leq \mathcal{T}_v$.*

Proof By induction on the configurations in the execution.

Basis: In the initial configuration C_0, all the leader timestamps and τ values are 0 and $\mathcal{T} \geq 0$ for all nodes v.

Inductive hypothesis: Suppose the property is true in configuration C_{i-1} and show it remains true in configuration C_i. Since the property is true in C_{i-1}, for every height token h in the (u, v) height sequence, we have:

(i) $lts(h) \leq \mathcal{T}_u(C_{i-1})$ and $\tau(h) \leq \mathcal{T}_u(C_{i-1})$
(ii) If h is in v's height array then $lts(h) \leq \mathcal{T}_v(C_{i-1})$ and $\tau(h) \leq \mathcal{T}_v(C_{i-1})$

Inductive step: If h is a pre-existing height token during event e_i (the event immediately preceding C_i), then by the inductive hypothesis and the increasing property of $\mathcal{T}_u$, it follows that $lts(h) \leq \mathcal{T}_u(C_i)$ and $\tau(h) \leq \mathcal{T}_u(C_i)$. If, on the other hand, h is created during event e_i, then any new values of lts and τ generated by u are equal to $\mathcal{T}_u(C_i)$ and, thus, the property remains true.

If h is a height token for node u at some other node v, then h was either present at v during C_{i-1} or was received at v during event e_i, immediately preceding C_i. In the first case, by the inductive hypothesis and the increasing property of $\mathcal{T}_v$, it follows that $lts(h) \leq \mathcal{T}_v(C_i)$ and $\tau(h) \leq \mathcal{T}_v(C_i)$. In the second case, there exists a message through which v received h from u during event e_i. Since $\mathcal{T}$ preserves causality, by the definition of the *happens before* relation, it follows that the creation of either $\tau(h)$ or $lts(h)$ preceded the receipt of the message by v. Therefore, in configuration C_i it remains true that $lts(h) \leq \mathcal{T}_v(C_i)$ and $\tau(h) \leq \mathcal{T}_v(C_i)$. $\square$

Property B, given below, states some important facts about height sequences. If the channel's status is Up and $m = 1$, meaning that no messages are in transit from u to v, then Part (1) of Property B indicates that v has an accurate view of u's height. If there are Update messages in transit, then the most recent one sent has accurate information. Part (2) of Property B implies that leader pairs are taken on in decreasing order.

Part (3) of Property B implies that reference levels are taken on in increasing order with respect to the same leader pair. Note that Property B only holds if $m > 0$.

Property B *Let $h_0, h_1, \ldots, h_m$ be the (u, v) height sequence for any $Channel(u, v)$ whose status is* Up. *Then the following are true if $m > 0$:*

1. $h_0 = h_1$.
2. *For all l, $0 \leq l < m$, $LP(h_l) \leq LP(h_{l+1})$.*
3. *For all l, $0 \leq l < m$, if $LP(h_l) = LP(h_{l+1})$, then $RL(h_l) \geq RL(h_{l+1})$.*

Proof The proof is by induction on the execution.

Initially in C_0, $Channel(u, v)$ is either *Up* or *Down*. If $Channel(u, v)$ is *Down*, then the (u, v) height sequence is undefined. If $Channel(u, v)$ is *Up*, then the definition of initial configurations states that no messages are in transit and v has an accurate view of u's height, that is, $m = 1$ and $h_0 = h_1$.

Suppose the property is true in configuration C_{i-1} and show it is still true in configuration C_i.

Suppose event e_i is $ChannelDown_{uv}$. Then the (u, v) height sequence is not defined in C_i.

Suppose event e_i is $ChannelUp_{uv}$. By the assumption that the channel up/down events for a given channel alternate, the state of the channel in C_{i-1} is *Down* and there are no messages in transit. Thus in C_i the (u, v) height sequence is h, h, where h is the height of u in C_i, which is stored in u's height array and is in the Update message that u sends to v. Clearly this height sequence satisfies the three conditions.

Suppose event e_i is the receipt by v of an Update message from u. In one case, the (u, v) height sequence changes by dropping the last element, if the oldest message in transit takes the place of v's view of u's height. In the other case, the (u, v) height sequence does not change if the receipt causes v to record u's height and add u to N_v. In both cases, the three conditions still hold.

Suppose event e_i is the receipt by u of an Update message from node w or is a *ChannelDown* event for a channel to some node other than v. If u does not change its height, then there is no change affecting the property.

Suppose u changes its height from h'_0 to h.

Let the (u, v) height sequence in C_{i-1} be $h'_0, h'_1, \ldots, h'_m$. By the inductive hypothesis, $h'_0 = h'_1$. By the code, the (u, v) height sequence in C_i is $h, h, h'_1, \ldots, h'_m$. In each case we just have to show that h has the proper relationship to h'_1, which equals h'_0.

Case 1: e_i calls REFLECTREFLEVEL: All of u's neighbors are viewed as having the same LP as u, having reference level $(t, p, 0)$ for some t and p, and having a larger height than u.

Since u is a sink during the step, $RL(h'_0) \leq (t, p, 0)$. Since $RL(h) = (t, p, 1)$, and the old and new LP are the same, the property holds.

Case 2: e_i calls ELECTSELF: By Property A, lts in $LP(h'_0)$ is less than or equal to $\mathscr{T}'_u$ in configuration C_{i-1}. The new leader pair has $lts \mathscr{T}_u$ in configuration C_i, which is greater than $\mathscr{T}'_u$. So $LP(h) \leq LP(h'_0)$.

Case 3: e_i calls STARTNEWREFLEVEL: By Property A, the τ value in $RL(h'_0)$ is less than or equal to $\mathscr{T}'_u$ at configuration C_{i-1}. The new reference level has τ value $\mathscr{T}_u$ at configuration C_i, which is greater than $\mathscr{T}'_u$ and the LP is unchanged. So $LP(h) = LP(h'_0)$ and $RL(h) \geq RL(h'_0)$.

Case 4: e_i calls PROPAGATELARGESTREFLEVEL: All neighbors of u are viewed as having the same LP as u, but with different RL's among themselves, and as having larger heights than u. By the code, u takes on the largest neighboring RL, which is at least as large as u's old RL, since u is a sink. The LP is unchanged. So $LP(h) = LP(h'_0)$ and $RL(h) \geq RL(h'_0)$.

Case 5: e_i calls ADOPTLPIFPRIORITY: By the code, the new LP is smaller than the previous, so $LP(h) < LP(h'_0)$. □

4.3 Bounding the number of elections

In this subsection, we show that every node elects itself at most a finite number of times after the last topology change.

Define the following with respect to any configuration in the execution. For LP $(-s, \ell)$, where $\mathscr{T}_\ell(t) = s$ and $t \geq t_{LTC}$, let *LP tree* $LT(-s, \ell)$ be the subgraph of the connected component whose vertices consist of all nodes that have taken on LP $(-s, \ell)$ in the execution (even if they no longer have that LP), and whose directed edges are all ordered pairs (u, v) such that v adopts LP $(-s, \ell)$ due to the receipt of an Update message from u. Since a node can take on a particular LP only once by Property B, $LT(-s, \ell)$ is a tree rooted at ℓ.

Property C *For each height token h with $RL(t, p, r)$, either $t = p = r = 0$, or $t > 0$, p is a node id, and r is 0 or 1.*

Proof The proof is by induction on the sequence of configurations in the execution. The basis follows since all height tokens in an initial configuration have RL $(0, 0, 0)$.

For the inductive step, we consider all the ways that a new RL can be generated (as opposed to copying an existing one). In ELECTSELF, the new RL is $(0,0,0)$. In STARTNEWREFLEVEL, the new RL is $(t, p, 0)$, where t is the current causal clock time, which is positive, and p is a node id. In REFLECTREFLEVEL, the new RL is $(t, p, 1)$, where $(t, p, 0)$ is a pre-existing height token. By the precondition for executing REFLECTREFLEVEL, t is positive. By the inductive hypothesis applied to the pre-existing height token $(t, p, 0)$, p is a node id. □

Property D *Let h be a height token for some node u. If* $LP(h) = (-s, \ell)$, *where for some global time t,* $\mathcal{T}_\ell(t) = s$ *and* $t \geq t_{LTC}$, *then* $RL(h) = (0, 0, 0)$ *and* $\delta(h)$ *is the distance in* $LT(-s, \ell)$ *from* ℓ *to u.*

Proof By induction on the configurations in the execution.

By Property A, the basis is configuration C_j, just after the event at global time t when the first height tokens with LP $(-s, \ell)$ are created. By the code, these height tokens are created by node ℓ for itself and have RL $(0, 0, 0)$ and $\delta = 0$.

Assume the property is true in configuration C_{i-1}, with $i - 1 \geq j$, and show it is true in configuration C_i. Since no further topology changes occur, the only possibility for event e_i is the receipt of an Update message. Suppose node u receives Update(h) from node v.

As a result of the receipt of the message, u records h as v's height in its view. The inductive hypothesis implies that the property remains true for this new height token.

Also as a result of the receipt of the message, u might change its height.

Suppose u changes its height by executing ADOPTLPIF-PRIORITY, adopting the LP in h, where $LP(h) = (-s, \ell)$. By the inductive hypothesis, $RL(h) = (0, 0, 0)$, and $\delta(h)$ is the distance from ℓ to v in $LT(-s, \ell)$ in C_{i-1}. By Property B, since u adopts $(-s, \ell)$, it must be that u's LP is larger than $(-s, \ell)$ in C_{i-1}, and thus v is u's parent in $LT(-s, \ell)$. By the code, u sets its RL to $(0, 0, 0)$ and its δ to $\delta(h) + 1$. But this is exactly the distance in $LT(-s, \ell)$ from ℓ to u. So all height tokens created in this step satisfy the property.

Suppose u changes its height because it becomes a sink and u's new height has LP $(-s, \ell)$. First, we show that u does not take on LP $(-s, \ell)$ as a result of ELECTSELF. By assumption, LP $(-s, \ell)$ is created in configuration C_j (the base case). By the code and the increasing property of causal clocks, it follows that ℓ cannot create a duplicate of LP $(-s, \ell)$ at some later configuration C_i. Therefore, u does not take on LP $(-s, \ell)$ as a result of ELECTSELF.

Thus, the old height of u, call it h', also has LP $(-s, \ell)$. Since u becomes a sink, all its neighbors have LP $(-s, \ell)$ in u's view, and by the inductive hypothesis they all have RL $(0, 0, 0)$ in u's view. Thus the new height of u is not the result of executing REFLECTREFLEVEL (which requires the neighbors' common τ to be positive) or PROPAGATE-LARGESTREFLEVEL (which requires the neighbors to have different RL's). Instead, it must be the result of executing STARTNEWREFLEVEL. Since u is a sink and $(0, 0, 0)$ is the smallest possible RL by Property C, $RL(h') = (0, 0, 0)$. Also, since u is a sink, $u \neq \ell$. Let v be u's parent in the LP-tree $LT(-s, l)$ and let d be the distance in that tree from ℓ to v. By the inductive hypothesis, in u's view of v's height, v's $\delta = d$, but in u's own height, $\delta = d + 1$. Thus the edge between u and v is directed toward v, and u cannot be a sink, a contradiction.   □

Lemma 2 *Any node u that adopts leader pair* $(-s, \ell)$ *for any ℓ and any s, where for some global time t,* $\mathcal{T}_\ell(t) = s$ *and* $t > t_{LTC}$, *never subsequently becomes a sink.*

Proof Suppose in contradiction that u adopts leader pair $(-s, \ell)$ at global time $t_1 > t$ and that at global time $t_2 > t_1$, u becomes a sink. Suppose u does not change its leader pair in the time interval (t_1, t_2). (If u did change its leader pair, the new leader pairs would all be smaller than $(-s, \ell)$ by Property B, and the argument would still hold with respect to the latest leader pair taken on by u in that time interval).

Let v be the parent of u in the LP-tree $LT(-s, \ell)$. Immediately after time t_1, the link (u, v) is directed from u to v in u's view.

In order for u to become a sink at time t_2, there must be some time between t_1 and t_2 when the link (u, v) reverses direction in u's view. Suppose the link reverses because u's height lowers. Recall that u does not change its leader pair in (t_1, t_2) by assumption. By Property D, u's reference level remains $(0, 0, 0)$ in (t_1, t_2) and u's δ stays the same in the interval. That is, u's height does not change, and in particular does not lower. Thus the only way that the link (u, v) can reverse direction in (t_1, t_2) is due to the receipt by u of an update message from v with a new height for v that is higher than u's height.

How can v's height change after v takes on leader pair $(-s, \ell)$? One possibility is that v's leader pair changes. By Property B, any change in v's leader pair will be to a smaller one, which will be adopted by u together with a δ value that keeps the link directed from u to v in u's view.

The other possibility is that v's leader pair does not change but some other component of its height changes. But by Property D, since v's leader pair has timestamp $-s$ with $\mathcal{T}_\ell(t) = s$ and $t > t_{LTC}$, v's RL and δ cannot change.

Thus no change to v's height reported to u after time t_1 can cause the link (u, v) to be directed from v to u in u's view, and u cannot be a sink at time t_2, which is a contradiction.   □

Lemma 3 *No node elects itself more than a finite number of times after global time* t_{LTC}.

Proof Suppose in contradiction that a node u elects itself an infinite number of times after the last topology change. Once it has elected itself the first time, the only way it can become a sink and elect itself again is by adopting a new LP first. Thus, node u needs to adopt new LP's infinitely often after t_{LTC}. By Property B, the leader timestamp of each subsequent LP has to be greater than the previous one, which results in an increasing sequence of leader timestamps that u adopts. Let $\mathcal{T}_{max}$ be the maximum of the clocks of all nodes at time t_{LTC}. In the process of adopting increasing leader timestamps, at some point u will adopt $LP(-s, \ell)$ where $\mathcal{T}_\ell(t) = s$ and for which $s > \mathcal{T}_{max}$.

This follows from the first property of causal clocks which states that for each node u, the values of $\mathcal{T}_u$ are increasing,

i.e., if e_i and e_j are events involving u in the execution with $i < j$, then $\mathscr{T}_u(e_i) < \mathscr{T}_u(e_j)$, and, furthermore, if there is an infinite number of events involving u, then $\mathscr{T}_u$ increases without bound.

Because $\mathscr{T}_{max}$ was the maximum value of all clocks at the time of the last topology change, it follows that $t > t_{LTC}$. By Lemma 2, however, node u does not become a sink after it has adopted $LP(-s, \ell)$ and thus it cannot elect itself again after that time, which is a contradiction. □

If we use perfect clocks to implement $\mathscr{T}$, we can get a stronger bound on the number of times a node elects itself after the last topology change. In fact, with perfect clocks it is guaranteed that no node elects itself more than once after the last topology change, as we now explain.

As stated in the proof of Lemma 3, if a node u elects itself more than once after the last topology change, it must take on a new LP in between each successive pair of elections. Also, by Property B, the timestamps in these LP's must be increasing. As explained in the proof of Lemma 3, there could be multiple LPs already existing at the time of the last topology change whose timestamps are greater than the timestamp of the LP that u takes on the first time it elects itself after the last topology change. The reason is that the clocks are causal, yet are drawn from a totally-ordered set, and thus just because clock value t_1 is less than clock value t_2, it does not follow that the event associated with t_1 happened before the event associated with clock value t_2. However, the number of such misleading timestamps is finite, so eventually, if u keeps electing itself, it will take on a timestamp that is associated with an event that occurred after the last topology change. Then we can apply Lemma 2 to deduce that u will never elect itself again. When clocks are perfect, however, there can be no such misleading timestamps in LP's: if the timestamp in a new LP is greater than the timestamp taken on by u the first time, then this LP was definitely generated after the last topology change and Lemma 2 applies immediately. For more details, refer to Lemma 3 in [15].

4.4 Bounding the number of new reference levels

In this subsection, we show that every node starts a new reference level at most a finite number of times after the last topology change. The key is to show that after topology changes cease, nodes will not continue executing Line 13 of Fig. 2 infinitely and will therefore stop sending algorithm messages. First we show that the δ value of a node does not change unless its RL or LP changes.

Property E *If h and h' are two height tokens for the same node u with $RL(h) = RL(h')$ and $LP(h) = LP(h')$, then $\delta(h) = \delta(h')$.*

Proof Initially, in C_0, the only height tokens for node u are the ones in u and the ones in u's neighbors, and the neighbors have accurate views of u's height.

Suppose the property is true through configuration C_{i-1}. We will show it is still true in the next configuration C_i. The only way that new height tokens can be introduced into the system is if a node u changes its height and sends Update messages with the new height to its neighbors.

Suppose u changes its height through ELECTSELF (resp., STARTNEWREFLEVEL). Since the new height's leader timestamp (resp., τ) is the value of the logical clock of u, Property A implies that there is no pre-existing height token for u in the system with the new leader timestamp (resp., τ). Thus there cannot be two height tokens for u with the same RL and LP but conflicting δs.

Suppose u changes its height through ADOPTLPIF PRIORITY. Then the new height of u has a smaller LP than the old height. By Property B, there is no pre-existing height token for u in the system with the new LP. Thus there cannot be two height tokens for u with the same RL and LP but conflicting deltas.

Suppose u changes its height through REFLECTREFLEVEL. Since u is a sink and in its view all its neighbors have a common, unreflected, RL, call it $(t, p, 0)$, u's RL must be at most $(t, p, 0)$. Since u's new RL is $(t, p, 1)$, Property B implies that there is no pre-existing height token for u in the system with the new RL. Thus there cannot be two height tokens for u with the same RL and LP but conflicting δs.

Suppose u changes its height through PROPAGATE-LARGESTREFLEVEL. The precondition includes the requirement that not all the neighbors have the same RL (in u's view). Since u becomes a sink, u's old RL is less than the largest RL of its neighbors, which is the RL that u takes on in C_i. Property B implies that there is no pre-existing height token for u in the system with the new RL.

Thus there cannot be two height tokens for u with the same RL and LP but conflicting δs. □

The next definition and its related properties are key to understanding how unreflected and reflected reference levels spread throughout the connected component after the last topology change.

Define the following with respect to any configuration in the execution after t_{LTC}. For global time $t' \geq t_{LTC}$, let the *RL DAG* $RD(t, p)$, where $\mathscr{T}_p(t') = t$, be the subgraph of the connected component whose vertices consist of p and all nodes that have taken on RL prefix (t, p) by executing either PROPAGATELARGESTREFLEVEL or REFLECTREFLEVEL in the execution (even if they no longer have that RL prefix). In $RD(t, p)$, the directed edges are all ordered pairs of node ids (u, v) such that $u \in N_v$ and $v \in N_u$ and u has RL prefix (t, p) prior to the event in which v first takes on RL prefix (t, p). We say that node u is a *predecessor* of node v in $RD(t, p)$ and v is a *successor* of u in $RD(t, p)$.

Property F *If there is a height token for node u with RL prefix (t, p), where $\mathcal{T}_p(t') = t$ and $t' \geq t_{LTC}$, then u is in $RD(t, p)$.*

Proof By induction on the sequence of configurations in the execution.

The basis is configuration C_j, where $gt(C_j) = t'$, i.e., the time when node p starts RL $(t, p, 0)$. By Property A, there is no height token with RL prefix (t, p) in C_{j-1}, so the only height tokens we have to consider are those created by p, for p. By definition, p is in $RD(t, p)$.

Suppose the property is true through configuration C_{i-1}. We will show it is true in C_i.

Suppose in contradiction, in event e_i, some node u takes on RL prefix (t, p) by calling ADOPTLPIFPRIORITY after receiving an update message from neighbor v containing height h with RL prefix (t, p). By the inductive hypothesis, v is in $RD(t, p)$.

Let $(-s, \ell)$ be $LP(h)$. We are going to show that when v takes on RL prefix (t, p), it already has LP $(-s, \ell)$. We know that v must have a path to node p in G_{chan}^{final} that has been in place since p started the new RL prefix at time t', by the assumption that topology changes have stopped by real time t'. Just before time t', all the neighbors of p had LP $(-s, \ell)$ and RL prefix lower than (t, p), by Property B, or p would not have started a new reference level for LP $(-s, \ell)$. Since the neighbors of p had LP $(-s, \ell)$, they would have sent messages containing that LP to their neighbors prior to time t'. Likewise, those neighbors would have messages in transit to their neighbors containing the LP $(-s, \ell)$ and so on. In short, if the LP $(-s, \ell)$ is adopted by any nodes that have a path to p at t', then the LP would have been adopted when that LP spread through the network with a lower RL prefix.

Thus, when v puts h in transit to u, there is already ahead of it in the (v, u) height sequence a height token for v's old height, with LP $(-s, \ell)$. Since the channels are FIFO and no messages are lost after time t', u has already received the old height from v before e_i. So in C_{i-1}, u has a LP that is $(-s, \ell)$ or smaller already, before handling the Update message with height h. Thus u does not execute ADOPTLPIFPRIORITY in e_i, contradiction. $\qquad\square$

Property G *If there is a height token for node u with RL $(t, p, 1)$, where for some global time t', $\mathcal{T}_p(t') = t$ and $t' \geq t_{LTC}$, then all neighbors of u are in $RD(t, p)$.*

Proof By induction on the sequence of configurations in the execution.

The basis is the configuration C_j with $gt(C_j) = t'$, i.e., the time when the new RL is started at node p. By Property A, there is no height token in C_{j-1} with RL $(t, p, 1)$, and in C_j we only add height tokens for node p with RL $(t, p, 0)$. So the property is vacuously true.

Suppose the property is true through configuration C_{i-1} and show it is true in C_i, $i > j$.

By Property F and the definition of $RD(t, p)$, the only way that u can take on RL $(t, p, 1)$ is by REFLECTREFLEVEL or PROPAGATELARGESTREFLEVEL.

Suppose u takes on RL $(t, p, 1)$ due to REFLECTREFLEVEL. Then all u's neighbors have RL $(t, p, 0)$ in its view. By Property F, then, they are all in $RD(t, p)$.

Suppose u takes on RL $(t, p, 1)$ due to PROPAGATELARGESTREFLEVEL. Thus there is a height token in C_{i-1} for some neighbor v of u with RL $(t, p, 1)$. By the inductive hypothesis applied to v, all of v's neighbors, including u, are in $RD(t, p)$. Thus u's RL prefix at some earlier time is (t, p). By Property B (since the LP does not change in this interval), u's RL prefix in C_{i-1} is at least (t, p). Since u is a sink during event e_i, u's RL prefix in C_{i-1} is at most (t, p), so it is exactly (t, p) in C_{i-1}. Since u is a sink, every neighbor of u (in u's view) has RL prefix at least (t, p), and since $(t, p, 1)$ is the maximum of the neighboring RL's, every neighbor of u (in u's view) has RL prefix exactly (t, p). Thus by Property F, every neighbor of u is in $RD(t, p)$. $\qquad\square$

Property H *Suppose that u and v are two nodes such that $u \in N_v$ and $v \in N_u$ after t_{LTC}. Consider two height tokens, h_u for node u with $RL(h_u) = (t, p, r_u)$ and $\delta(h_u) = d_u$, and h_v for node v with $RL(h_v) = (t, p, r_v)$ and $\delta(h_v) = d_v$, where $\mathcal{T}_p(t') = t$ and $t' \geq t_{LTC}$. Then the following are true:*

1. *If $r_u < r_v$, then u is a predecessor of v in $RD(t, p)$. If u is a predecessor of v in $RD(t, p)$ then $r_u \leq r_v$.*
2. *If $r_u = r_v = 0$, then $d_u > d_v$ if and only if u is a predecessor of v.*
3. *If $r_u = r_v = 1$, then $d_v > d_u$ if and only if u is a predecessor of v.*

Proof By induction on the sequence of configurations in the execution.

Basis: Consider configuration C_j, where $gt(C_j) = t'$, that is, when node p starts the new reference level $(t, p, 0)$. By Property A, in configuration C_{j-1}, there are no height tokens with RL prefix (t, p). The only new height tokens introduced by event e_j are those for p with RL $(t, p, 0)$, and the RL DAG $RD(t, p)$ consists solely of node p. Thus all parts of the property are vacuously true.

Induction: Assume the property holds through configuration C_{i-1} and show it is true in C_i, $i > j$.

By Property E, it is sufficient to consider the height tokens in u's view, since there cannot be other height tokens with the same RL and LP but different δs.

Suppose new height tokens with RL prefix (t, p) are created by node u during event e_i. The only ways this

can happen are via REFLECTREFLEVEL and PROPAGATE-LARGESTREFLEVEL, by Property F.

CASE 1: REFLECTREFLEVEL. During the execution of e_i, all of u's neighbors are viewed by u as having RL $(t, p, 0)$ and the new height tokens created for u have RL $(t, p, 1)$.

We now show that u's RL prefix is less than (t, p) in C_{i-1}. Suppose in contradiction u has RL $(t, p, 0)$ in C_{i-1}. By the inductive hypothesis, part (2), u's δ value cannot be the same as that of any of its neighbors. This is true since u and all its neighbors are in $RD(t, p)$ by Property F, and, for any pair of neighboring nodes in $RD(t, p)$, one is the predecessor of the other, since two events cannot happen simultaneously. Since u is a sink, its δ value must be smaller than those of all its neighbors. By the inductive hypothesis, part (2), u is a successor of all its neighbors, of which there is at least one.

Then at some previous time $t'' < gt(C_{i-1})$, u executed PROPAGATELARGESTREFLEVEL and took on RL $(t, p, 0)$. This must be how u took on $(t, p, 0)$ since, by Property F, u cannot take on RL $(t, p, 0)$ by running ADOPTLPIFPRIORITY, and, if $u = p$, u has no predecessors in $RD(t, p)$, contradicting the deduction that u is a successor of at least one neighbor. At t'', u has (in its view) at least one neighbor with RL $(t, p, 0)$, $(t, p, 0)$ is the maximum RL of all u's neighbors, and at least one neighbor, say v, has a smaller RL than $(t, p, 0)$, albeit larger than u's (since u is a sink).

Suppose u has height h_u at time t'', and its view of v's height is h_v at time t''. Since u is a sink, h_u and h_v have the same leader pair, say lp_1, we have

$$RL(h_u) < RL(h_v) < (t, p, 0) \tag{1}$$

This means that there was a previous time $t''' < t''$ when v actually took on height h_v (with leader pair lp_1). We also know that v has taken on $(t, p, 0)$ before time t'', since u is a successor of all its neighbors and it takes on RL $(t, p, 0)$ at time t''. Note that v could not have taken on RL $(t, p, 0)$, with leader pair lp_1 before t'''. This is because at t''' its leader pair is also lp_1 and its height $RL(h_v) < (t, p, 0)$. By Property B two height tokens with the same leader pair must have increasing reference levels. Hence, v took on $(t, p, 0)$ after t''' and before t''. Suppose v took on $(t, p, 0)$ at time s such that $t''' < s < t''$. We know that v has to be a sink at time s in order to do so. Thus at time s all v's neighbors in v's view have the same leader pair as itself, and v takes on $(t, p, 0)$ with leader pair lp_1 either by PROPAGATELARGESTREFLEVEL or STARTNEWREFLEVEL. Suppose v's own height is h'_v at time s and its view of u's height is h'_u. Both h'_v and h'_u have leader pair lp_1 and, since v is a sink we have

$$h'_v < h'_u \tag{2}$$

Note that h_v, h_u, h'_v, and h'_u all have leader pair lp_1. We also know that $h_u < h_v$ from (1). Now from Property B

$$h'_u \leq h_u \tag{3}$$

Also from Property B

$$h_v \leq h'_v \tag{4}$$

Hence, from (1), (3) and (4), we have

$$h'_u \leq h_u < h_v \leq h'_v \tag{5}$$

This is in contradiction to (2).

Part (1): All neighbors of u are its predecessors in $RD(t, p)$ and in C_i, the predecessors of u have $r = 0$ and u has $r = 1$ so this part continues to hold.

Part (2): The creation of the new height tokens does not affect this part, since the new tokens do not have $r = 0$.

Part (3): Since u is not in $RD(t, p)$ in C_{i-1}, Property G implies that there cannot be a height token for any of u's neighbors with RL $(t, p, 1)$, and this part is vacuously true.

CASE 2: PROPAGATELARGESTREFLEVEL. In this case, u's neighbors have at least two different RLs so we need to consider which RL u propagates, $(t, p, 0)$ or $(t, p, 1)$.

Case 2.1: Suppose u's new height has RL $(t, p, 0)$. We first show that u has RL less than $(t, p, 0)$ in C_{i-1}. By the precondition for PROPAGATELARGESTREFLEVEL, in u's view, $(t, p, 0)$ is the largest neighboring RL, at least one neighbor has RL less than $(t, p, 0)$, and u is a sink. Thus u's RL must be less than $(t, p, 0)$.

Part (1): Since the new height tokens of both u and its predecessors have reflection bit 0, this part is not invalidated in C_i.

Part (2): Each of u's neighbors for which u has a height token h' with RL $(t, p, 0)$ is a predecessor of u in $RD(t, p)$, since u is not yet in $RD(t, p)$. By the code, u's new height h has a δ calculated so that $h' > h$.

Part (3): The new height tokens do not have reflection bit 1 so this part is unaffected.

Case 2.2: Suppose u's new height has RL $(t, p, 1)$. Then the largest RL among u's neighbors has, in u's view, RL $(t, p, 1)$. Property G implies that u is in $RD(t, p)$. So the RL prefix of u is at least (t, p). Since u is a sink, its RL prefix is (t, p) in C_{i-1}. So all neighbors (in u's view) have RL $(t, p, 0)$ or $(t, p, 1)$ and there is at least one neighbor with each RL.

Consider any neighbor v of u with RL $(t, p, 1)$ in u's view. By the inductive hypothesis, part (1), v must be a successor of u in C_{i-1}. Consider any neighbor w of u with RL $(t, p, 0)$ in u's view. By the inductive hypothesis, part (2), w must be a predecessor of u in C_{i-1}.

Part (1): Since u's new height causes it to have the same reflection bit as its successors, and a larger reflection bit than its predecessors, this part continues to hold in C_i.

Part (2): Since the new height tokens do not have reflection bit 0, this part is not affected.

Part (3): As argued above, each of u's neighbors v for which u has a height token h' with RL $(t, p, 1)$ is a

successor of u in $RD(t, p)$. By the code, u's new height h has a δ calculated so that $h' > h$.

□

Lemma 4 *Every node starts a finite number of new RLs after* t_{LTC}.

Proof Suppose in contradiction that some node u starts an infinite number of new RLs after t_{LTC}.

Now we show that u takes on a new LP infinitely often. Suppose in contradiction that u does not do so. Let t_{LLP} be the latest time at which u takes on a new LP. Consider the first and second times that u starts a new RL (for the same LP) after $\max\{t_{LTC}, t_{LLP}\}$; call these times t_1 and t_2.

At global time t_1, u sets its τ to τ_1. Since u does not take on any more LPs, Property B implies that at the beginning of the step at time t_2, u's τ is at least τ_1, which is positive.

At the beginning of the event at time t_2, let (t, p, r) be u's RL and let (t_c, p_c, r_c) be the common RL of all u's neighbors (in u's view). Thus the precondition for starting a new RL cannot be that $t_c = 0$, otherwise u would not be a sink. So it must be that $t_c > 0$, $r_c = 1$, and $p_c \neq u$.

There are two cases, depending on the relationship between (t, p) and (t_c, p_c) (note that (t, p) cannot be larger than (t_c, p_c) since u is a sink).

Case 1: $(t, p) < (t_c, p_c)$. Since u has a height token with RL $(t_c, p_c, 1)$ for each neighbor v, we can apply Property G to deduce that all neighbors of v, including u, are in $RD(t_c, p_c)$. Thus, at some previous time, u has RL prefix (t_c, p_c). But Property B implies that it is not possible for u to have RL prefix (t_c, p_c) and then later to have RL prefix (t, p), since $(t, p) < (t_c, p_c)$.

Case 2: $(t, p) = (t_c, p_c)$. By Property F, node u is in $RD(t, p)$. Thus u has a neighbor v that is a predecessor of u in $RD(t, p)$.

Here we know that v is in N_u. Also, since v is a predecessor of u in $RD(t, p)$ u is in N_v. Hence, we can apply Property H.

Since in u's view, v has RL $(t, p, 1)$, Property H, Part (1), implies that u's reflection bit must also be 1, and Property H, Part (3), implies that u's height must be greater than v's. But this contradicts u being a sink.

Since u takes on a new LP infinitely often, by Property B, the *lts* values of the LP's that u adopts are increasing without bound. Let $\mathscr{T}_{max}$ be the maximum of the clocks of all nodes at time t_{LTC}. Since u is adopting LPs with bigger leader timestamps, at some point in time it will adopt $LP(-s, \ell)$ where for some global time t, $\mathscr{T}_\ell(t) = s$ and for which $s > \mathscr{T}_{max}$. Because $\mathscr{T}_{max}$ is the maximum of all clocks at the time of the last topology change, we can conclude that $t > t_{LTC}$. But then by Lemma 2, u is never again a sink after that time, contradicting the assumption that u starts a new RL infinitely often.

□

4.5 Bounding the number of messages

In this subsection we show that eventually no algorithm messages are in transit.

Lemma 5 *Eventually all nodes in the same connected component of graph* G_{chan}^{final} *have the same leader pair.*

Proof Choose a connected component of G_{chan}^{final}. Lemma 3 implies that there are a finite number of elections. Thus there is some smallest LP that ever appears in the connected component at or after t_{LTC}, say $(-s, \ell)$. Suppose in contradiction, it is not true that eventually all nodes in the same connected component of G_{chan}^{final} have the same leader pair. We know that causal clocks have the property that for each node u, the values of $\mathscr{T}_u$ are increasing (i.e., if e_i and e_j are events involving u in the execution with $i < j$, then $\mathscr{T}_u(e_i) < \mathscr{T}_u(e_j)$), and, furthermore, if there is an infinite number of events involving u, then $\mathscr{T}_u$ increases without bound. We also know from Lemma 3 that no node elects itself more than a finite number of times after global time t_{LTC}. From this and from Property B we know that eventually every node in the connected component will stop changing its leader pair. We can then partition the connected component into two sets of nodes, those that have adopted $(-s, \ell)$ and those that have not. Thus there exist two nodes u and v such that there is an edge in G_{chan}^{final} between u and v, and u's final leader pair is $(-s, \ell)$, whereas v's final leader pair is not $(-s, \ell)$.

Case 1: If $(-s, \ell)$ originated at or after t_{LTC} then both communication channels (from u to v and v to u) exist in G_{chan}^{final}. Suppose the last $ChannelUp_{uv}$ event occurs at time $t \leq t_{LTC}$. After time t, v is in $forming_u$ and, by the code, v is not removed from $forming_u$, since no $ChannelDown_{uv}$ event occurs after this time. By Lemma 1 there is no change in $N_u \cup forming_u$ after t_{LTC}, hence v is either in N_u or $forming_u$ after t_{LTC}. In either case, when u adopts $(-s, \ell)$, v gets an Update from u and adopts $(-s, \ell)$. This leads to a contradiction.

Case 2: Suppose $(-s, \ell)$ originated before t_{LTC}. We know that there is a last *ChannelUp* event at u for v (since the channel is eventually *Up* after t_{LTC}). Suppose this *ChannelUp* event occurs at time t. If at time t node u has already taken on leader pair $(-s, \ell)$, then u will send an Update message to v with $(-s, \ell)$. If node u takes on leader pair $(-s, \ell)$ at time $t' > t$, then u will send an Update message to v with $(-s, \ell)$ at time t'. In either case node v will receive this Update message. Since node v does not take on leader pair $(-s, \ell)$, it must be that v ignores this message, because the $Channel_{vu}$ is down and u is neither in $forming_v$ nor in N_v. However, in this case there will be at time $t'' > t'$, a last *ChannelUp* event at v for u (since the channel is eventually *Up* after t_{LTC}). At time $t''v$ will send its height h (with a leader pair older than $(-s, \ell)$) to u. At this time node u detects that v has an older leader pair (since v has not taken on $(-s, \ell)$) and node u

sends an Update message with $(-s, \ell)$ to v. When v receives this message with a more recent leader pair $(-s, \ell)$, v adopts this leader pair. This is a contradiction to the assumption that u and v have different leader pairs. □

Lemma 6 *Eventually there are no messages in transit.*

Proof By Lemma 5, eventually every node in the connected component has the same LP, say $(-s, \ell)$. Lemma 4 states that there are a finite number of new RLs started. Thus there is a maximum RL that appears in the connected component associated with the common LP $(-s, \ell)$. Let t be some global time after the last RL has been started and the last leader has been elected.

Assume in contradiction that messages are always in transit. Since every message sent is eventually received, there must be an infinite number of Update messages sent. Thus, infinitely often after time t, an Update message is received that causes the recipient to (temporarily) become a sink, change its height, and send new Update messages. Since there are no more elections or new RLs started after time t, the actions taken by the recipients are REFLECTREFLEVEL and PROPAGATELARGESTREFLEVEL . Thus eventually every node has the same, maximum, RL. Once all nodes have the same RL, the only possible action when a node becomes a sink is to run ELECTSELF or STARTNEWREFLEVEL . But this contradicts the fact that after time t these events do not happen. □

The previous lemma, together with Property B, gives us this corollary:

Lemma 7 *Eventually every node has an accurate view of its neighbors' heights.*

4.6 Leader-oriented DAG

This subsection culminates in showing that eventually the algorithm terminates (i.e., no messages are in transit), with each connected component being leader-oriented.

Property I *A node is never a sink in its own view.*

Proof By induction on the sequence of configurations in the execution.

In the initial configuration, every node in every connected component is assumed to have RL $(0,0,0)$, LP $(\ell, 0)$ where ℓ is a node in the same component, and a δ value such that it has a directed path to ℓ.

Assume the property is true in configuration C_{i-1} and show it is true in C_i, $i > 0$. Let u be the node taking the step e_i.

First consider the case when e_i is the receipt of an Update message from a neighbor. If the neighbor's new height causes u to become a sink, then either u elects itself (in which case, by definition it is no longer a sink) or u reflects a reference

level, starts a new reference level, or propagates a reference level. In each of the latter three cases, the code ensures that u is no longer a sink, as reflection manipulates the reflection bit, starting a new reference level manipulates the τ component, and propagation manipulates the δ value appropriately. If the neighbor's new height causes u to adopt a new leader pair, then the code ensures that u is no longer a sink by manipulating the δ value appropriately (the new δ value is greater than that of the node which sent the Update message).

If e_i is a *ChannelDown* event, then any change to u's height through electing itself or starting a new reference level does not cause u to become a sink, as explained above. If e_i is a *ChannelUp* event, then no change is made to any of the heights stored at u. □

Property J *Consider any height token h for node u. If $RL(h) = (0, 0, 0)$, then $\delta(h) \geq 0$. Furthermore, $\delta(h) = 0$ if and only if u is a leader.*

Proof By induction on the sequence of configurations in the execution. The basis follows by the definition of the initial configuration.

Assume the property is true in configuration C_{i-1} and show it is true in C_i, $i > 0$. Let u be the node taking the step e_i.

Suppose u elects itself. Then by the code, it sets its RL and δ to all zeroes, so the property holds.

Now consider all the ways that u can change its RL and/or δ, other than by electing itself. Reflection causes u to have a non-zero reflection bit, so the property holds vacuously. Starting a new reference level causes u to have a positive τ, so the property holds vacuously.

Consider the situation when u propagates the largest reference level, say RL. The precondition for propagation is that u's neighbors have different reference levels, and thus RL must be larger than the reference level of another of u's neighbors. By Property C, then u's RL cannot be $(0,0,0)$. Thus u's new height does not have reference level $(0,0,0)$ and thus the property holds vacuously.

Consider the situation when u adopts a new LP, because of the receipt of height h. If $RL(h) = (0, 0, 0)$, then the inductive hypothesis shows that $\delta(h) \geq 0$, and thus u's new height has positive δ and the property holds. If $RL(h) \neq (0, 0, 0)$, then the property holds vacuously. □

Theorem 1 *Eventually the connected component is leader-oriented.*

Proof By Lemma 5, eventually all nodes in the component have the same LP, say $(-s, \ell)$. By Lemma 7, every node eventually has an accurate view of its neighbors' heights.

First, we show that node ℓ must be in the component. Suppose in contradiction that node ℓ is not in the component. Since cycles are not possible, there is some node in the component that has no outgoing links. But this node is not

ℓ, since we are assuming ℓ is not in the component, and thus the node is a sink, violating Property I.

Now that we know that node ℓ is in the component, we can proceed to show that the component is ℓ-oriented. Property J states that node ℓ, and only node ℓ, has RL $(0,0,0)$ and zero δ. Property C implies no node has a negative number in its RL. Thus Property J implies that ℓ has the smallest height in the entire component and therefore ℓ has no outgoing links. Property I tells us that there are no sinks, so every node other than ℓ has an outgoing link. Since there are no cycles, the component is leader-oriented, where ℓ is the leader. □

5 Leader stability

In this section, we consider under what circumstances a new leader will be elected. For some applications of a leader election primitive, changing the leader might be costly or inconvenient, so it would be desirable to avoid doing so unless it is necessary. In fact, with perfect clocks, without some kind of "stability" condition limiting when new leaders can be elected, we could solve the problem with a much simpler algorithm: whenever a node becomes a sink because of a channel going down, it elects itself; a node adopts any leader it hears about with a later timestamp.

The algorithm of Derhab and Badache [5] achieves stability by using inferences on the overlap of time intervals, included in messages, to ensure that an older, possibly viable, leader is maintained rather than electing a new one. Their inferences require a more complicated set of rules and messages than our algorithm, which elects a new leader whenever local conditions indicate that all paths to an older leader have been lost. While topology changes are taking place, our algorithm may elect new leaders while paths still exist, in a global view, to old leaders. However, we show that new leaders will not be elected by our algorithm if execution starts from a leader-oriented state in which the channels between one pair of nodes fail, while the old leader is still a part of the connected component.

While the correctness proof of our algorithm uses a general notion of time, $\mathcal{T}$, for the stability proof we need a stricter requirement on the temporal order of events. Because it is of critical importance to determine which leaders are older and which ones are newer, we need the clock times of non-causality-related events to be ordered consistently with the global times at which the events occur in order to achieve stability. If perfect clocks are used to implement $\mathcal{T}$, then Theorem 2 provides the stability proof of the algorithm. Note that with perfect clocks nodes have an accurate notion of the current time, which is equivalent to having access to global time.

Theorem 2 *Suppose at global time t' a connected component CC' of G_{chan} is leader-oriented with leader ℓ.*

Furthermore, suppose the two channels between a single pair of nodes in CC' go down, the latter of these two Channel-Down events occurs at time $t > t'$, and no other topology changes occur between t' and t. Let the resulting connected component containing ℓ be CC. Then, as long as there are no further topology changes in CC, no node in CC elects itself.

Proof Only one of the two *ChannelDown* events can create a sink in CC. This is the *ChannelDown* event that occurs at the node with the greater height (say v). Suppose that this is the latter of the two *ChannelDown* events, and it occurs at time t, (since, even if it is the first of the two *ChannelDown* events, by the code, Update messages received by v on the incoming channel will be ignored after its outgoing channel goes down).

If the loss of the channel at time t does not create a sink in CC, then no Update messages are sent in CC and no node in CC elects itself.

Otherwise, suppose the loss of the channel causes some node u in CC to become a sink. Then u starts a new RL $(t, u, 0)$.

Suppose in contradiction some node in CC elects itself after time t. Suppose the first time this happens is time t_e. □

Claim 1 *Every message in transit after t has either $\tau \geq t$ or $lts \leq -t_e$.*

Claim 1 follows from Property B and the assumption that no messages are in transit just before the *ChannelDown* event at time t.

Claim 2 *After time t and before t_e no new RL prefix is started.*

Proof Suppose in contradiction a new RL prefix is started after t and before t_e. Let t_r be the first time this happens. Since there are no topology changes or elections in this interval, the new RL prefix must be started because some node, call it i, executes Line 13 of Fig. 2 in response to the receipt of an Update message at t_r.

There are two cases in which a node executes Line 13 of Fig. 2:

Case 1: After updating the height of one its neighbors, in response to the message received, node i views all its neighbors as having RL $(0, 0, 0)$. By Claim 1 and Property A, the Update message received must have $\tau \geq t$, and, since $t > 0$, this is a contradiction.

Case 2: After updating the height of one its neighbors in response to the message received, node i views all neighbors as having the same reflected RL $(s, j, 1)$, but $j \neq i$. Since at t_r (the time when node i receives the Update message that causes it to start a new RL), the newest RL prefix is (t, u), this common reflected RL has $s \leq t$. By Claim 1, $s \geq t$, so $s = t$. Since only one node loses its last outgoing link at time t, no node besides u takes a step at time t and thus $j = u$.

Thus, in i's view, all the neighbors of i have RL $(t, u, 1)$ but $i \neq u$. By Property F, all neighbors of i are in $RD(t, u)$. By Property G with respect to a neighbor of i, i is also in $RD(t, u)$. Since i is a (temporary) sink during the execution of this step, i must still have RL (t, u).

Since $i \neq u$, i must have a neighbor j that is its predecessor in $RD(t, u)$. Property H, part (1), implies that i's reflection bit must also be 1. But then Property H, part (3), implies that the height token for j in i's view must be smaller than i's height, contradicting i being a sink. (End of Proof of Claim 2).

By Claim 2, the node that elects itself at time t_e must be u.

Note that during (t, t_e), the only way a node in CC can change its height is by becoming a sink, since there is only one leader pair present in CC. Thus in the following, we will use "becoming a sink" interchangeably with "changing height".

From the hypothesis of the theorem, at time t' the connected component CC' is ℓ-oriented. By definition of ℓ-oriented, $\overrightarrow{CC'}$ is a DAG with the unique sink being ℓ. Thus every node in CC' has a (directed) path in $\overrightarrow{CC'}$ to ℓ. Let $\overrightarrow{CC}$ be the result of removing the directed edge corresponding to $\{u, v\}$ from $\overrightarrow{CC'}$. Let A be the set of nodes in CC that have a (directed) path to ℓ in $\overrightarrow{CC}$ (i.e., after the *ChannelDown* at time t), and let B be the set of nodes in CC that no longer have a (directed) path to ℓ in $\overrightarrow{CC}$. Clearly ℓ is in A and u is in B. □

Claim 3 *No node in A becomes a sink during (t, t_e).*

Proof By induction on the distance d from the node to ℓ in CC.

Basis: $d = 0$. By definition, the leader ℓ is never a sink.

Induction: $d > 0$. Consider a node $a \in A$ at distance d from ℓ in CC. At time t, a has a neighbor a' whose distance to ℓ in CC is $d - 1$ such that the edge in CC between a and a' (in the views of both a and a') is directed from a to a'. By the inductive hypothesis, a' is never a sink during $[t, t_e]$ and thus keeps the same height. Since the height of a cannot decrease (by Property B, since there is no new leader pair), the edge in CC between a and a' (in the views of both a and a') remains directed from a to a'. (End of Proof of Claim 3.)

Next, we are going to show, by induction on the distance from u in RD (t, u), that at time t_e all nodes in RD (t, u) (except for node u) have RL $(t, u, 1)$. The base case is true because by the precondition for node u to elect itself at time t_e, all its neighbors must have RL $(t, u, 1)$. Therefore, all nodes at distance 1 from u in RD (t, u) have RL $(t, u, 1)$. Suppose all nodes at distance k from u in RD (t, u) have RL $(t, u, 1)$. We need to show that all nodes at distance $k + 1$ from u in RD (t, u) have RL $(t, u, 1)$ too. Let x be an arbitrary node at distance $k + 1$ from u in RD (t, u). By the definition

of RD, x is a descendant of some node at distance k from u in RD (t, u). By the inductive hypothesis and Property H, Part (1), it follows that x has RL $(t, u, 1)$.

Therefore, we know that at time t_e there can be no height tokens in the system with RL $(t, u, 0)$. Then by Property G, every node that has RL $(t, u, 1)$ must view all its neighbors as having RL $(t, u, 1)$. But since some node with RL $(t, u, 1)$ is a neighbor of some node in A, this contradicts Claim 3 and Property G. □

The stability condition above is no longer true if we use logical clocks to implement $\mathcal{T}$, instead of perfect clocks. Because logical clocks ensure only a happens-before relation between events, it is not possible to distinguish old leaders from new ones if there is no causal chain between their elections. Figure 6 shows an example situation in which the use of logical clocks leads to a node electing itself despite the hypotheses of Theorem 2 holding. However, if we add an extra requirement to Theorem 2 that the RL prefixes at all nodes are $(0, 0, 0)$ before the last topology change, then no pre-existing RL's are present and we can guarantee that no node will elect itself, using a proof similar to the one of Theorem 2. This, however, is a weaker stability condition.

6 Conclusion

We have described and proved correct a leader election algorithm for dynamic networks. To provide for the temporal ordering of events that the algorithm requires, we use a generic notion of time–causal clocks–which can be implemented using, for instance, perfect clocks or logical clocks. Note that the algorithm is correct in the case of complete synchrony between clocks (perfect clocks) and also in the case of clocks with no bound on skew (logical clocks), but it is not correct for approximately synchronized clocks (which assume an upper bound on skew) unless they preserve causality. Notably, our definition of causal clocks does not include vector clocks (e.g., [8]), since vector clock values do not form a totally-ordered set in order to capture non-causality as well as causality[1] An open question is how to extend our algorithm and its analysis to handle a wider range of clocks, such as approximately synchronized clocks and vector clocks.

We identified different sets of circumstances under which the algorithm does not elect a leader unnecessarily. Depending on the types of clocks used to implement causal time and the amount of synchrony they provide, however, these circumstances tend to be different. It would be interesting to introduce different types of clocks, which not only preserve causality but also have some upper bound on skew, and see

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[1] If two reference levels with incomparable timestamps started in different parts of the network and then met at a node, our current algorithm would not be able to choose the one that is later in real time.
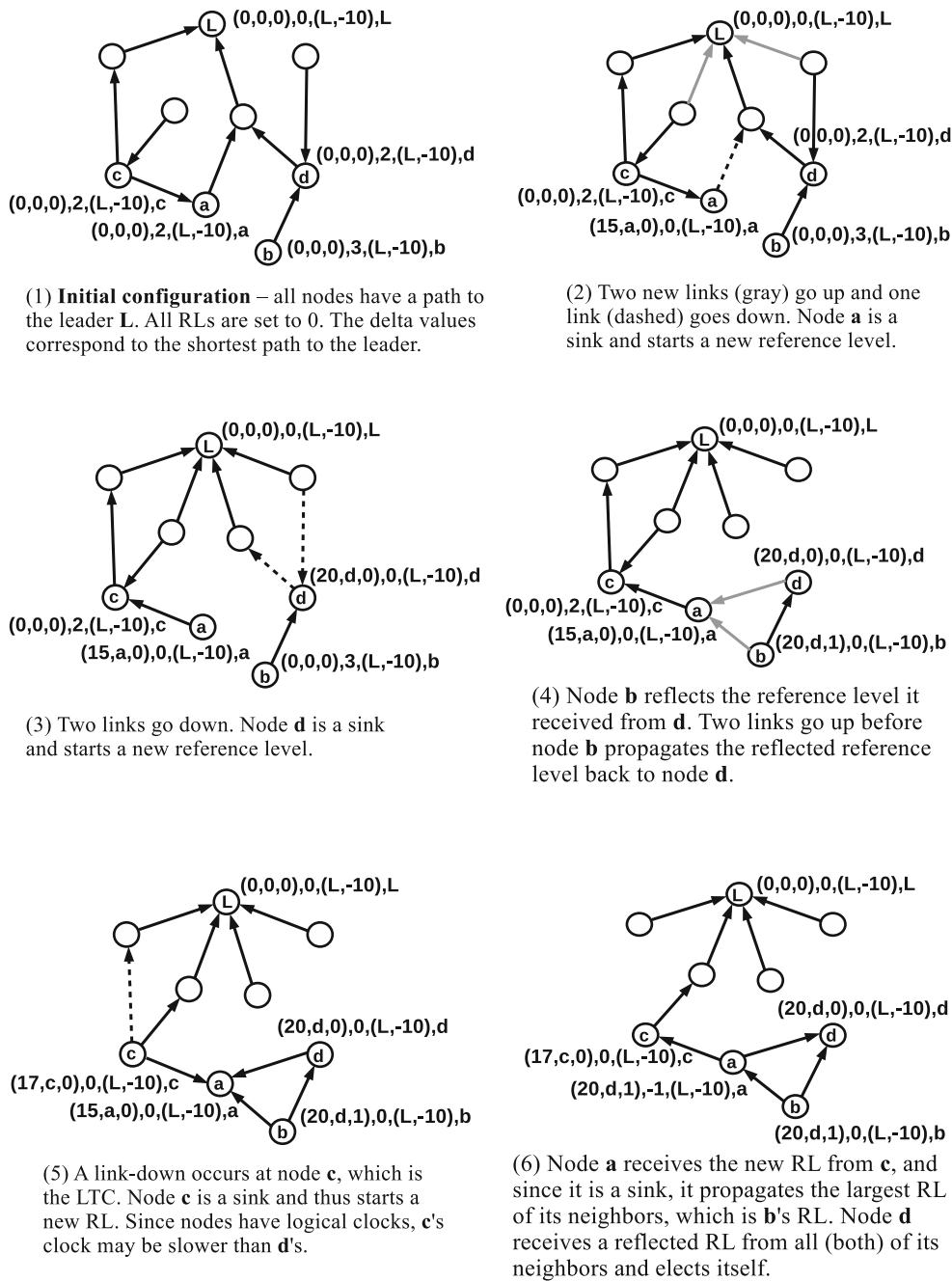
(1) **Initial configuration** – all nodes have a path to the leader **L**. All RLs are set to 0. The delta values correspond to the shortest path to the leader.

(2) Two new links (gray) go up and one link (dashed) goes down. Node **a** is a sink and starts a new reference level.

(3) Two links go down. Node **d** is a sink and starts a new reference level.

(4) Node **b** reflects the reference level it received from **d**. Two links go up before node **b** propagates the reflected reference level back to node **d**.

(5) A link-down occurs at node **c**, which is the LTC. Node **c** is a sink and thus starts a new RL. Since nodes have logical clocks, **c**'s clock may be slower than **d**'s.

(6) Node **a** receives the new RL from **c**, and since it is a sink, it propagates the largest RL of its neighbors, which is **b**'s RL. Node **d** receives a reflected RL from all (both) of its neighbors and elects itself.

Fig. 6 Example of a node electing itself after the last topology change

how they affect the stability condition of the algorithm. Moreover, an analysis of the time and message complexity needs to be performed, taking into account that using some clocks to implement causal time will be more efficient compared to others.

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