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COLORING INTERSECTION GRAPHS OF x-MONOTONE CURVES IN THE PLANE

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A class of graphs \mathcal{G} is χ -bounded if the chromatic number of the graphs in \mathcal{G} is bounded by some function of their clique number. We show that the class of intersection graphs of simple families of x-monotone curves in the plane intersecting a vertical line is χ -bounded. As a corollary, we show that the class of intersection graphs of rays in the plane is χ -bounded, and the class of intersection graphs of unit segments in the plane is χ -bounded.

1. Introduction

For a graph G, the *chromatic number* of G, denoted by $\chi(G)$, is the minimum number of colors required to color the vertices of G such that any two adjacent vertices have distinct colors. The *clique number* of G, denoted by $\omega(G)$, is the size of the largest clique in G. We say that a class of graphs G is χ -bounded if there exists a function $f: \mathbb{N} \mapsto \mathbb{N}$ such that every $G \in G$ satisfies $\chi(G) \leq f(\omega(G))$. Although there are triangle-free graphs with arbitrarily large chromatic number [4,22], it has been shown that certain graph classes arising from geometry are χ -bounded.

Given a collection of objects \mathcal{F} in the plane, the intersection graph $G(\mathcal{F})$ has vertex set \mathcal{F} and two objects are adjacent if and only if they have a nonempty intersection. For simplicity, we will shorten $\chi(G(\mathcal{F})) = \chi(\mathcal{F})$ and $\omega(G(\mathcal{F})) = \omega(\mathcal{F})$. The study of the chromatic number of intersection graphs of objects in the plane was stimulated by the seminal papers of Asplund and Grünbaum [2] and Gyárfás and Lehel [8,9]. Asplund and Grünbaum

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showed that if \mathcal{F} is a family of axis parallel rectangles in the plane, then $\chi(\mathcal{F}) \leq 4\omega(\mathcal{F})^2$. Gyárfás and Lehel [8,9] showed that if \mathcal{F} is a family of chords in a circle, then $\chi(\mathcal{F}) \leq 2^{\omega(\mathcal{F})}\omega(\mathcal{F})^3$. Over the past 50 years, this topic has received a large amount of attention due to its application in VLSI design [10], map labeling [1], graph drawing [5,20], and elsewhere. For more results on the chromatic number of intersection graphs of objects in the plane and in higher dimensions, see [3,5,9,11,12,13,14,16,17,15].

In this paper, we study the chromatic number of intersection graphs of x-monotone curves in the plane. We say a family of curves \mathcal{F} is simple, if every pair of curves intersect at most once and no two curves are tangent, that is, if two curves have a common interior point, they must properly cross at that point. Our main theorem is the following.

Theorem 1.1. The class of intersection graphs of simple families of x-monotone curves in the plane intersecting a vertical line is χ -bounded.

McGuinness proved a similar statement in [16,17], by showing that if \mathcal{F} is a family of curves in the plane with no three pairwise intersecting members, with the additional property that there exists another curve γ that intersects all of the members in \mathcal{F} exactly once, then $\chi(F) < 2^{100}$. Surprisingly, Theorem 1.1 does not hold if one drops the condition that the curves intersect a vertical line. Indeed, a recent result of Pawlik, Kozik, Krawczyk, Larsoń Micek, Trotter, and Walczak [19] shows that the class of intersection graphs of segments in the plane is not χ -bounded. However, it is not clear if the simplicity condition in Theorem 1.1 is necessary. As an immediate corollary of Theorem 1.1, we have the following.

Corollary 1.1. The class of intersection graphs of rays in the plane is χ -bounded.

By applying partitioning [7] and divide and conquer [18] arguments, Theorem 1.1 also implies the following results. Since these arguments are fairly standard, we omit their proofs.

Theorem 1.2. Let S be a family of segments in the plane, such that no k members pairwise cross. If the ratio of the longest segment to the shortest segment is bounded by r, then $\chi(S) \leq c_{k,r}$ where $c_{k,r}$ depends only on k and r.

Theorem 1.3. Let \mathcal{F} be a simple family of n x-monotone curves in the plane, such that no k members pairwise cross. Then $\chi(\mathcal{F}) \leq c_k \log n$, where c_k is a constant that depends only on k.

This improves the previous known bound of $(\log n)^{15\log k}$ due to Fox and Pach [5]. We note that the Fox and Pach bound holds without the simplicity condition.

Recall that a topological graph is a graph drawn in the plane such that its vertices are represented by points and its edges are represented by non-self-intersecting arcs connecting the corresponding points. A topological graph is simple if every pair of its edges intersect at most once. Since every n-vertex planar graph has at most 3n-6 edges, Theorem 1.1 gives a new proof of the following result due to Valtr.

Theorem 1.4 ([21]). Let G = (V, E) be an n-vertex simple topological graph with edges drawn as x-monotone curves. If there are no k pairwise crossing edges in G, then $|E(G)| \le c_k n \log n$, where c_k is a constant that depends only on k.

We note that I recently showed that Theorem 1.4 holds without the simplicity condition [20].

2. Definitions and notation

A curve C in the plane is called a right-flag (left-flag), if one of its endpoints lies on the y-axis, and C is contained in the closed right (left) half-plane. Let \mathcal{F} be a family of x-monotone curves in the plane, such that each member intersects the y-axis. Notice that each curve $C \in \mathcal{F}$ can be partitioned into two parts $C = C_1 \cup C_2$, where C_1 (C_2) is a right-flag (left-flag). By defining \mathcal{F}_1 (\mathcal{F}_2) to be the family of x-monotone right-flag (left-flag) curves arising from \mathcal{F} , we have $\chi(\mathcal{F}) \leq \chi(\mathcal{F}_1)\chi(\mathcal{F}_2)$. Hence, in order to prove Theorem 1.1, it suffices to prove the following theorem on simple families of x-monotone right-flag curves.

Theorem 2.1. Let \mathcal{F} be a simple family of x-monotone right-flag curves. If $\chi(\mathcal{F}) > 2^{(5^{k+1}-121)/4}$, then \mathcal{F} contains k pairwise crossing members.

Corollary 2.1. Let \mathcal{F} be a simple family of x-monotone curves, such that each member intersects the y-axis. If $\chi(\mathcal{F}) > 2^{(5^{k+1}-121)/2}$, then \mathcal{F} contains k pairwise crossing members.

The rest of this paper is devoted to proving Theorem 2.1. Given a simple family $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ of n x-monotone right-flag curves, we can assume that no two curves share a point on the y-axis and the curves are ordered from bottom to top. We let $G(\mathcal{F})$ be the intersection graph of \mathcal{F} such that vertex $i \in V(G(\mathcal{F}))$ corresponds to the curve C_i . We can assume that $G(\mathcal{F})$

is connected. For a given curve $C_i \in \mathcal{F}$, we say that C_i is at distance d from $C_1 \in \mathcal{F}$, if the shortest path from vertex 1 to i in $G(\mathcal{F})$ has length d. We call the sequence of curves $C_{i_1}, C_{i_2}, \ldots, C_{i_p}$ a path if the corresponding vertices in $G(\mathcal{F})$ form a path, that is, the curve C_{i_j} intersects $C_{i_{j+1}}$ for $j=1,2,\ldots,p-1$. For i < j, we say that C_i lies below C_j , and C_j lies above C_i . We denote $x(C_i)$ to be the x-coordinate of the right endpoint of the curve C_i . Given a subset of curves $\mathcal{K} \subset \mathcal{F}$, we denote

$$x(\mathcal{K}) = \min_{C \in \mathcal{K}} (x(C)).$$

For any subset $I \subset \mathbb{R}$, we let $\mathcal{F}(I) = \{C_i \in \mathcal{F} : i \in I\}$. If I is an interval, we will shorten $\mathcal{F}((i,j))$ to $\mathcal{F}(i,j)$, $\mathcal{F}([i,j])$ to $\mathcal{F}[i,j]$, $\mathcal{F}((i,j])$ to $\mathcal{F}(i,j)$, and $\mathcal{F}([i,j))$ to $\mathcal{F}[i,j)$.

For $\alpha \geq 0$, a finite sequence $\{r_i\}_{i=0}^m$ of integers is called an α -sequence of \mathcal{F} if for $r_0 = \min\{i: C_i \in \mathcal{F}\}$ and $r_m = \max\{i: C_i \in \mathcal{F}\}$, the subsets $\mathcal{F}[r_0, r_1], \mathcal{F}(r_1, r_2], \ldots, \mathcal{F}(r_{m-1}, r_m]$ satisfy

$$\chi(\mathcal{F}[r_0, r_1]) = \chi(\mathcal{F}(r_1, r_2]) = \dots = \chi(\mathcal{F}(r_{m-2}, r_{m-1}]) = \alpha$$

and

$$\chi(\mathcal{F}(r_{m-1}, r_m]) \le \alpha.$$

Organization. In the next section, we will prove several combinatorial lemmas on ordered graphs, that will be used repeatedly throughout the paper. In Section 4, we will prove several lemmas based on the assumption that Theorem 2.1 holds for k < k'. Then in Section 5, we will prove Theorem 2.1 by induction on k.

3. Combinatorial coloring lemmas

We will make use of the following lemmas. The first lemma is on ordered graphs G = ([n], E), whose proof can be found in [15]. For sake of completeness, we shall add the proof. Just as before, for any interval $I \subset \mathbb{R}$, we denote $G(I) \subset G$ to be the subgraph induced by vertices $V(G) \cap I$.

Lemma 3.1. Given an ordered graph G = ([n], E), let $a, b \ge 0$ and suppose that $\chi(G) > 2^{a+b+1}$. Then there exists an induced subgraph $H \subset G$ where $\chi(H) > 2^a$, and for all $uv \in E(H)$ we have $\chi(G(u,v)) \ge 2^b$.

Proof. Let $\{r_i\}_{i=0}^m$ be a 2^b -sequence of V(G). Then for $r_0 = 1$ and $r_m = n$, we have subgraphs $G[r_0, r_1], G(r_1, r_2], \ldots, G(r_{m-1}, r_m]$, such that

$$\chi(G[r_0, r_1]) = \chi(G(r_1, r_2]) = \dots = \chi(G(r_{m-2}, r_{m-1}]) = 2^b$$

and

$$\chi(G(r_{m-1}, r_m]) \le 2^b.$$

For each of these subgraphs, we will properly color its vertices with colors, say, $1, 2, ..., 2^b$. Since $\chi(G) > 2^{a+b+1}$, there exists a color class for which the vertices of this color induce a subgraph with chromatic number at least 2^{a+1} . Let G' be such a subgraph, and we define subgraphs $H_1, H_2 \subset G'$ such that

$$H_1 = G'[r_0, r_1] \cup G'(r_2, r_3] \cup \cdots$$
 and $H_2 = G'(r_1, r_2] \cup G'(r_3, r_4] \cup \cdots$

Since $V(H_1) \cup V(H_2) = V(G')$, either $\chi(H_1) > 2^a$ or $\chi(H_2) > 2^a$. Without loss of generality, we can assume $\chi(H_1) > 2^a$ holds, and set $H = H_1$. Now for any $uv \in E(H)$, there exists integers i, j such that for $0 \le i < j$, we have $u \in V(G'(r_{2i}, r_{2i+1}])$ and $v \in V(G'(r_{2j}, r_{2j+1}])$. This implies $G(r_{2i+1}, r_{2i+2}] \subset G(u, v)$ and

$$\chi(G(u,v)) \ge \chi(G(r_{2i+1},r_{2i+2}]) = 2^b.$$

This completes the proof of the lemma.

Recall that the distance between two vertices $u, v \in V(G)$ in a graph G, is the length of the shortest path from u to v.

Lemma 3.2. Let G be a graph and let $v \in V(G)$. Suppose G^0, G^1, G^2, \ldots are the subgraphs induced by vertices at distance $0, 1, 2, \ldots$ respectively from v. Then for some d, $\chi(G_d) \ge \chi(G)/2$.

Proof. For $0 \le i < j$, if |i-j| > 1, then no vertex in G^i is adjacent to a vertex in G^j . By the Pigeonhole Principle, the statement follows.

4. Using the induction hypothesis

The proof of Theorem 2.1 will be given in Section 5, and is done by induction on k. By setting $\lambda_k = 2^{(5^{k+2}-121)/4}$, we will show that if \mathcal{F} is a simple family of x-monotone right-flag curves with $\chi(\mathcal{F}) > 2^{\lambda_k}$, then \mathcal{F} contains k pairwise crossing members. In the following two subsections, we will assume that the statement holds for fixed k < k', and show that if $\chi(\mathcal{F}) > 2^{5\lambda_k+120}$, \mathcal{F} must contain either k+1 pairwise crossing members, or a special subconfiguration. Note that λ_k satisfies $\lambda_k > \log(2k)$ for all k.

4.1. Key lemma

Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a simple family of n x-monotone right-flag curves, such that no k+1 members pairwise cross. Suppose that curves C_a and C_b intersect, for a < b. Let

$$\mathcal{I}_a = \{ C_i \in \mathcal{F}(a, b) \colon C_i \text{ intersects } C_a \},$$

$$\mathcal{D}_a = \{ C_i \in \mathcal{F}(a, b) \colon C_i \text{ does not intersect } C_a \}.$$

We define \mathcal{I}_b and \mathcal{D}_b similarly and set $\mathcal{D}_{ab} = \mathcal{D}_a \cap \mathcal{D}_b \subset \mathcal{F}(a,b)$. Now we define three subsets of \mathcal{D}_{ab} as follows:

$$\mathcal{D}_{ab}^{a} = \{ C_i \in \mathcal{D}_{ab} \colon \exists C_j \in \mathcal{I}_a \text{ that intersects } C_i \},$$

$$\mathcal{D}_{ab}^{b} = \{ C_i \in \mathcal{D}_{ab} \colon \exists C_j \in \mathcal{I}_b \text{ that intersects } C_i \},$$

$$\mathcal{D} = \mathcal{D}_{ab} \setminus (\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b).$$

We now prove the following key lemma.

Lemma 4.1. $\chi(\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b) \leq k \cdot 2^{2\lambda_k + 102}$. Hence, $\chi(\mathcal{D}) \geq \chi(\mathcal{F}(a,b)) - 2^{\lambda_k + 1} - k \cdot 2^{2\lambda_k + 102}$.

Proof. Without loss of generality, we can assume that

(1)
$$\chi(\mathcal{D}_{ab}^a) \ge \frac{\chi(\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b)}{2},$$

since otherwise a similar argument will follow if $\chi(\mathcal{D}_{ab}^b) \geq \chi(\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b)/2$. Let $\mathcal{D}_{ab}^a = \{C_{r_1}, C_{r_2}, \dots, C_{r_{m_1}}\}$ where $a < r_1 < r_2 < \dots < r_{m_1} < b$. For each curve $C_i \in \mathcal{I}_a$, we define A_i to be the arc along the curve C_i , from the left endpoint of C_i to the intersection point $C_i \cap C_a$. Set $\mathcal{A} = \{A_i : \mathcal{C}_i \in \mathcal{I}_a\}$. Notice that the intersection graph of \mathcal{A} is an incomparability graph, which is a perfect graph (see [6]). Since there are no k+1 pairwise crossing arcs in \mathcal{A} , we can decompose the members in \mathcal{A} into k parts $\mathcal{A} = \mathcal{A}_1 \cup \mathcal{A}_1 \cup \dots \cup \mathcal{A}_k$, such that the arcs in \mathcal{A}_i are pairwise disjoint. Then for $i=1,2,\dots,k$, we define

$$S_i = \{C_j \in \mathcal{D}_{ab}^a \colon C_j \text{ intersects an arc from } \mathcal{A}_i\}.$$

Since $\mathcal{D}_{ab}^a = \mathcal{S}_1 \cup \mathcal{S}_2 \cup \cdots \cup \mathcal{S}_k$, there exists a $t \in \{1, 2, \dots, k\}$ such that

(2)
$$\chi(\mathcal{S}_t) \ge \frac{\chi(\mathcal{D}_{ab}^a)}{k}.$$

Therefore, let $A_t = \{A_{p_1}, A_{p_2}, \dots, A_{p_{m_2}}\}$. Notice that each curve $C_i \in S_t$ intersects the members in A_t that lies either above or below C_i (but not

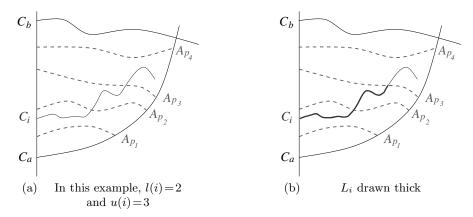


Figure 1. Curves in \mathcal{S}_t^1

both since \mathcal{F} is simple). Moreover, C_i intersects the members in \mathcal{A}_t in either increasing or decreasing order. Let \mathcal{S}_t^1 (\mathcal{S}_t^2) be the curves in \mathcal{S}_t that intersects a member in \mathcal{A}_t that lies above (below) it. Again, without loss of generality we will assume that

(3)
$$\chi(\mathcal{S}_t^1) \ge \frac{\chi(\mathcal{S}_t)}{2},$$

since a symmetric argument will hold if $\chi(\mathcal{S}_t^2) \geq \chi(\mathcal{S}_t)/2$. For each curve $C_i \in \mathcal{S}_t^1$, we define

$$u(i) = \max\{j : \text{arc } A_{p_j} \in \mathcal{A}_t \text{ intersects } C_i\},$$

$$l(i) = \min\{j : \text{arc } A_{p_j} \in \mathcal{A}_t \text{ intersects } C_i\}.$$

See Figure 1.(a) for a small example. Then for each curve $C_i \in \mathcal{S}_t^1$, we define the curve L_i to be the arc along C_i , joining the left endpoint of C_i and the point $C_i \cap A_{p_{u(i)}}$. See Figure 1.(b). Now we set

$$\mathcal{L} = \{L_i \colon C_i \in \mathcal{S}_t^1\}.$$

Notice that \mathcal{L} does not contain k pairwise crossing members. Indeed, otherwise these k curves $\mathcal{K} \subset \mathcal{L}$ would all intersect A_{p_i} where

$$i = \min_{C_i \in \mathcal{K}} u(j),$$

creating k+1 pairwise crossing curves in \mathcal{F} . Therefore, we can decompose $\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2 \cup \cdots \cup \mathcal{L}_w$ into w parts, such that $w \leq 2^{\lambda_k}$, and the set of curves in \mathcal{L}_i are pairwise disjoint for $i=1,2,\ldots,w$. Let $\mathcal{H}_i \subset \mathcal{F}$ be the set of (original)

curves corresponding to the (modified) curves in \mathcal{L}_i . Then there exists an $s \in \{1, 2, ..., w\}$ such that

(4)
$$\chi(\mathcal{H}_s) \ge \frac{\chi(\mathcal{S}_t^1)}{2^{\lambda_k}}.$$

Now for each curve $C_i \in \mathcal{H}_s$, we will define the curve U_i as follows. Let T_i be the arc along C_i , joining the right endpoint of C_i and the point $C_i \cap A_{p_{l(i)}}$. We define B_i to be the arc along C_i , joining the left endpoint of C_i and the point $C_i \cap A_{p_{l(i)}}$. See Figure 2.(a). Notice that for any two curves $C_i, C_j \in \mathcal{H}_s$, B_i and B_j are disjoint. We define $U_i = B'_i \cup T_i$, where B'_i is the arc obtained by pushing B_i upwards toward $A_{p_{l(i)}}$, such that B'_i does not introduce any new crossing points, and B'_i is "very close" to the curve $A_{p_{l(i)}}$, meaning that no curve in \mathcal{H}_s has its right endpoint in the region enclosed by $B'_i, A_{p_{l(i)}}$, and the y-axis. See Figure 2.(b). We do this for every curve $C_i \in \mathcal{H}_s$, to obtain the family $\mathcal{U} = \{U_i : C_i \in \mathcal{H}_s\}$, such that

- 1. \mathcal{U} is a simple family of x-monotone right-flag curves,
- 2. we do not create any new crossing pairs in \mathcal{U} (we may lose some crossing pairs),
- 3. any curve U_j that crosses B'_i , must cross $A_{p_{U(i)}}$.

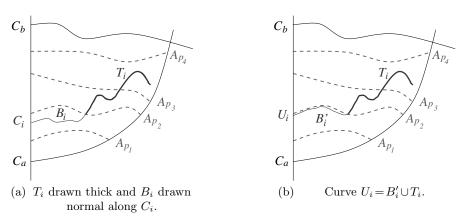


Figure 2. Curves in \mathcal{S}_t^1

Notice that \mathcal{U} does not contain k pairwise crossing members. Indeed, otherwise these k curves $\mathcal{K} \subset \mathcal{U}$ would all cross A_{p_i} where

$$i = \max_{U_j \in \mathcal{K}} l(j),$$

creating k+1 pairwise crossing curves in \mathcal{F} . Therefore, we can decompose $\mathcal{U} = \mathcal{U}_1 \cup \mathcal{U}_2 \cup \cdots \cup \mathcal{U}_z$ into z parts, such that $z \leq 2^{\lambda_k}$ and the curves in \mathcal{U}_i are pairwise disjoint. Let $\mathcal{C}_i \subset \mathcal{F}$ be the set of (original) curves corresponding to the (modified) curves in \mathcal{U}_i . Then there exists an $h \in \{1, 2, ..., z\}$ such that

(5)
$$\chi(\mathcal{C}_h) \ge \frac{\chi(\mathcal{H}_s)}{2^{\lambda_k}}.$$

Now we make the following observation.

Observation 4.2. There are no three pairwise crossing curves in C_h .

Proof. Suppose that the pair of curves $C_i, C_j \in \mathcal{C}_h$ intersect, for i < j. Then we must have $i < p_{u(i)} < j < p_{l(j)}$ and $A_{p_{u(i)+1}} = A_{p_{l(j)}}$. Basically the "top tip" of C_i must intersect the "bottom tip" of C_j . See Figure 3. Hence, if C_i crosses C_j and C_k for i < j < k, then $p_{u(i)} < j < k < p_{l(j)}$, and therefore C_j and C_k must be disjoint. This implies that C_k does not contain three pairwise crossing members.

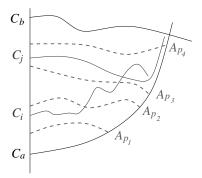


Figure 3. C_i and C_j cross

By a result of McGuinness [16], we know that

$$\chi(\mathcal{C}_h) \le 2^{100}.$$

Therefore, by combining equations (1),(2),(3),(4),(5), and (6), we have

$$\chi(\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b) \le k \cdot 2^{2\lambda_k + 102}$$
.

Since neither \mathcal{I}_a nor \mathcal{I}_b contain k pairwise crossing members, we have $\chi(\mathcal{I}_a), \chi(\mathcal{I}_b) \leq 2^{\lambda_k}$. Therefore,

$$\chi(\mathcal{D}) \ge \chi(\mathcal{F}(a,b)) - \chi(\mathcal{I}_a) - \chi(\mathcal{I}_b) - \chi(\mathcal{D}_{ab}^a \cup \mathcal{D}_{ab}^b)$$

$$\ge \chi(\mathcal{F}(a,b)) - 2^{\lambda_k + 1} - k \cdot 2^{2\lambda_k + 102}.$$

Therefore, if there exists a curve $C_i \in \mathcal{F}$ that intersects C_a (or C_b) and a curve from \mathcal{D} , then i < a or i > b.

4.2. Finding special configurations

In this section, we will show that if the chromatic number of $G(\mathcal{F})$ is sufficiently high, then certain subconfigurations must exist. We say that the set of curves $\{C_{i_1}, C_{i_2}, \dots, C_{i_{k+1}}\}$ forms a type 1 configuration, if

- 1. $i_1 < i_2 < \cdots < i_{k+1}$,
- 2. the set of k curves $\mathcal{K} = \{C_{i_1}, C_{i_2}, \dots, C_{i_k}\}$ pairwise intersects,
- 3. $C_{i_{k+1}}$ does not intersect any of the curves in \mathcal{K} , and
- 4. $x(C_{i_{k+1}}) < x(\mathcal{K})$. See Figure 4.(a).

Likewise, we say that the set of curves $\{C_{i_1}, C_{i_2}, \dots, C_{i_{k+1}}\}$ forms a type 2 configuration, if

- 1. $i_1 < i_2 < \cdots < i_{k+1}$,
- 2. the set of k curves $\mathcal{K} = \{C_{i_2}, C_{i_3}, \dots, C_{i_{k+1}}\}$ pairwise intersects,
- 3. C_{i_1} does not intersect any of the curves in \mathcal{K} , and
- 4. $x(C_{i_1}) < x(\mathcal{K})$. See Figure 4.(b).

We say that the set of curves $\{C_{i_1}, C_{i_2}, \dots, C_{i_{2k+1}}\}$ forms a type 3 configuration, if

- 1. $i_1 < i_2 < \cdots < i_{2k+1}$,
- 2. the set of k curves $\mathcal{K}_1 = \{C_{i_1}, \dots, C_{i_k}\}$ pairwise intersects,
- 3. the set of k curves $\mathcal{K}_2 = \{C_{i_{k+2}}, C_{i_{k+3}}, \dots, C_{i_{2k+1}}\}$ pairwise intersects,
- 4. $C_{i_{k+1}}$ does not intersect any of the curves in $\mathcal{K}_1 \cup \mathcal{K}_2$, and
- 5. $x(C_{i_{k+1}}) \leq x(\mathcal{K}_1 \cup \mathcal{K}_2)$. See Figure 4.(c).

Note that in a type 3 configuration, a curve in \mathcal{K}_1 may or may not intersect a curve in \mathcal{K}_2 . The goal of this subsection will be to show that if $G(\mathcal{F})$ has large chromatic number, then it must contain a type 3 configuration. We start by proving several lemmas.

Lemma 4.3. Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a family of n x-monotone right-flag curves. Suppose the set of curves $\mathcal{K} = \{C_{i_1}, C_{i_2}, \dots, C_{i_m}\}$ pairwise intersect with $i_1 < i_2 < \dots < i_m$. If there exits a curve C_j such that C_j is disjoint to all members in \mathcal{K} and $i_1 < j < i_m$, then

$$x(C_i) \leq x(\mathcal{K}).$$

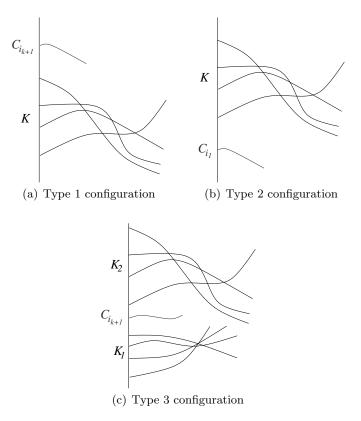


Figure 4. Special configurations

Proof. Suppose that $x(C_{i_t}) < x(C_j)$ for some t. Without loss of generality, we can assume $i_1 < j < i_t$. Since C_{i_1} and C_{i_t} cross and are x-monotone, this implies that either C_{i_1} or C_{i_t} intersects C_j and therefore we have a contradiction. A symmetric argument holds if $i_t < j < i_m$.

Lemma 4.4. Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a family of n x-monotone right-flag curves. Then for any set of t curves $C_{i_1}, C_{i_2}, \dots, C_{i_t} \in \mathcal{F}$ where $t \leq 2k$, if $\chi(\mathcal{F}) > 2^{\beta}$, then either

- 1. \mathcal{F} contains k+1 pairwise crossing members, or
- 2. there exists a subset $\mathcal{H} \subset \mathcal{F} \setminus \{C_{i_1}, C_{i_2}, \dots, C_{i_t}\}$, such that each curve $C_j \in \mathcal{H}$ is disjoint to all members in $\{C_{i_1}, C_{i_2}, \dots, C_{i_t}\}$, and $\chi(\mathcal{H}) > 2^{\beta} 2^{2\lambda_k}$.

Proof.

For each $j \in \{1, 2, ..., t\}$, let $\mathcal{H}_j \subset \mathcal{F}$ be the subset of curves that intersect C_{i_j} . If $\chi(\mathcal{H}_j) > 2^{\lambda_k}$ for some $j \in \{1, 2, ..., t\}$, then \mathcal{F} contains k+1 pairwise

crossing members. Therefore, we can assume that $\chi(\mathcal{H}_j) \leq 2^{\lambda_k}$ for all $1 \leq j \leq t$. Now let $\mathcal{H} \subset \mathcal{F}$ be the subset of curves defined by

$$\mathcal{H} = \mathcal{F} \setminus (\mathcal{H}_1 \cup \mathcal{H}_2 \cup \cdots \cup \mathcal{H}_t).$$

Since $\chi(\mathcal{F}) > 2^{\beta}$, we have

$$\chi(\mathcal{H}) > 2^{\beta} - t2^{\lambda_k} \ge 2^{\beta} - 2^{2\lambda_k},$$

where the last inequality follows from the fact that $\log t < \log 2k < \lambda_k$.

Lemma 4.5. Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a family of n x-monotone right-flag curves. If $\chi(\mathcal{F}) \geq 2^{4\lambda_k + 107}$, then either

- 1. \mathcal{F} contains k+1 pairwise crossing members, or
- 2. \mathcal{F} contains a type 1 configuration, or
- 3. \mathcal{F} contains a type 2 configuration.

Proof. Assume that \mathcal{F} does not contain k+1 pairwise crossing members. By Lemma 3.2, for some $d \geq 2$, the subset of curves \mathcal{F}^d at distance d from curve C_1 satisfies

$$\chi(\mathcal{F}^d) \ge \frac{\chi(\mathcal{F})}{2} \ge 2^{4\lambda_k + 106}.$$

By Lemma 3.1, there exists a subset $\mathcal{H}_1 \subset \mathcal{F}^d$ such that $\chi(\mathcal{H}_1) > 2$, and for every pair of curves $C_a, C_b \in \mathcal{H}_1$ that intersect, $\mathcal{F}^d(a,b) \geq 2^{4\lambda_k + 104}$. Fix two such curves $C_a, C_b \in \mathcal{H}_1$ and let \mathcal{A} be the set of curves in $\mathcal{F}(a,b)$ that intersects either C_a or C_b . By Lemma 4.1, there exists a subset $\mathcal{D}_1 \subset \mathcal{F}^d(a,b)$ such that each curve $C_i \in \mathcal{D}_1$ is disjoint to C_a, C_b, \mathcal{A} , and moreover,

$$\chi(\mathcal{D}_1) \ge 2^{4\lambda_k + 104} - 2^{\lambda_k + 1} - k \cdot 2^{2\lambda_k + 102} > 2^{4\lambda_k + 103}.$$

Again by Lemma 3.1, there exists a subset $\mathcal{H}_2 \subset \mathcal{D}_1$ such that $\chi(\mathcal{H}_2) > 2^{\lambda_k}$, and for each pair of curves $C_u, C_v \in \mathcal{H}$ that intersect, $\chi(\mathcal{D}_1(u,v)) \geq 2^{3\lambda_k+102}$. Therefore, \mathcal{H}_2 contains k pairwise crossing curves C_{i_1}, \ldots, C_{i_k} such that $i_1 < i_2 < \cdots < i_k$. Since $\chi(\mathcal{D}_1(i_1, i_2)) \geq 2^{3\lambda_k+102}$, by Lemma 4.4, there exists a subset $\mathcal{D}_2 \subset \mathcal{D}(i_1, i_2)$ such that every curve $C_l \in \mathcal{D}_2$ is disjoint to the set of curves $\{C_{i_1}, \ldots, C_{i_k}\}$ and

$$\chi(\mathcal{D}_2) \ge 2^{3\lambda_k + 102} - 2^{2\lambda_k} > 2^{3\lambda_k + 101}.$$

By applying Lemma 3.1 one last time, there exists a subset $\mathcal{H}_3 \subset \mathcal{D}_2$ such that $\chi(\mathcal{H}_3) > 2^{\lambda_k}$, and for every pair of curves $C_u, C_v \in \mathcal{H}_3$ that intersect, we have $\chi(\mathcal{D}_2(u,v)) \geq 2^{2\lambda_k+100}$. Therefore, \mathcal{H}_3 contains k pairwise intersecting curves $C_{j_1}, C_{j_2}, \ldots, C_{j_k}$ such that $i_1 < j_1 < j_2 < \cdots < j_k < i_2$. Since $\chi(\mathcal{D}_2(j_{k-1}, j_k)) \geq$

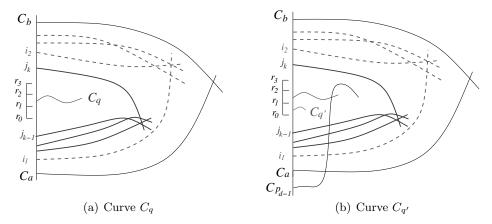


Figure 5. Lemma 4.5

 $2^{2\lambda_k+100}$, by Lemma 4.4, there exists a subset $\mathcal{D}_3 \subset \mathcal{D}_2(j_{k-1}, j_k)$ such that every curve $C_l \in \mathcal{D}_3$ is disjoint to the set of curves $\{C_{j_1}, \ldots, C_{j_k}\}$ (and disjoint to the set of curves $\{C_{i_1}, C_{i_2}, \ldots, C_{i_k}\}$) and

$$\chi(\mathcal{D}_3) \ge 2^{2\lambda_k + 100} - 2^{2\lambda_k} > 2^{2\lambda_k + 99}.$$

Now we can define a $2^{2\lambda_k+1}$ -sequence $\{r_i\}_{i=0}^m$ of \mathcal{D}_3 such that $m \geq 4$ (recall the definition of an α -sequence of \mathcal{F} from Section 2). That is, we have subsets $\mathcal{D}_3[r_0,r_1],\mathcal{D}_3(r_1,r_2],\ldots,\mathcal{D}_3(r_{m-1},r_m]$ that satisfies

1. $j_{k-1} < r_0 < r_1 < \dots < r_m < j_k$, and

2.
$$\chi(\mathcal{D}_3[r_0, r_1]) = \chi(\mathcal{D}_3(r_1, r_2]) = \dots = \chi(\mathcal{D}_3(r_{m-2}, r_{m-1}]) = 2^{2\lambda_k + 1}$$
.

Fix a curve $C_q \in \mathcal{D}_3(r_1, r_2]$. See Figure 5.(a).

Since $C_q \in \mathcal{D}_2(r_1, r_2] \subset \mathcal{F}^d$, there is a path $C_1, C_{p_1}, C_{p_2}, \ldots, C_{p_{d-1}}, C_q$ such that C_{p_t} is at distance t from C_1 for $1 \leq t \leq d-1$. Let R be the region enclosed by the y-axis, C_a and C_b . Since C_q lies inside of R, and C_1 lies outside of R, there must be a curve C_{p_t} that intersects either C_a or C_b for some $1 \leq t \leq d-1$. Since $C_a, C_b \in \mathcal{F}^d$ and $C_q \in \mathcal{D}_1$, $C_{p_{d-1}}$ must be this curve and we must have either $p_{d-1} < a$ or $p_{d-1} > b$. Now the proof splits into two cases.

Case 1. Suppose $p_{d-1} < a$. By Lemma 4.3, we have

$$x(C_q) \le x(\{C_{j_1}, C_{j_2}, \dots, C_{j_{k-1}}\}),$$

which implies that the set of k curves $\mathcal{K} = \{C_{p_{d-1}}, C_{j_1}, C_{j_2}, \dots, C_{j_{k-1}}\}$ are pairwise crossing. Now recall that $\chi(\mathcal{D}_3[r_0, r_1]) = 2^{2\lambda_k + 1}$. By Lemma 4.4,

there exists a curve $C_{q'} \in \mathcal{D}_3[r_0, r_1]$ such that the curve $C_{q'}$ intersects neither $C_{p_{d-1}}$ nor C_q . See Figure 5.(b). By construction of $\mathcal{D}_3[r_0, r_1]$, $C_{q'}$ does not intersect any of the curves in the set $\mathcal{K} \cup \{C_q\}$. By Lemma 4.3, we have

$$x(C_{q'}) \le x(C_q) \le x(\mathcal{K}).$$

Hence, $\mathcal{K} \cup \{C_{q'}\}$ is a type 1 configuration.

Case 2. If $p_{d-1} > b$, then by a symmetric argument, \mathcal{F} contains a type 2 configuration.

Lemma 4.6. Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a family of n x-monotone right-flag curves. If $\chi(\mathcal{F}) > 2^{5\lambda_k+116}$, then \mathcal{F} contains k+1 pairwise crossing members or a type 3 configuration.

Proof. Assume that \mathcal{F} does not contain k+1 pairwise crossing members. By Lemma 3.2, for some $d \geq 2$, the subset of curves \mathcal{F}^d at distance d from C_1 satisfies

$$\chi(\mathcal{F}^d) \ge \frac{\chi(\mathcal{F})}{2} > 2^{5\lambda_k + 115}.$$

Recall that for each curve $C_i \in \mathcal{F}^d$, there is a path $C_1, C_{p_1}, C_{p_2}, \dots, C_{p_{d-1}}, C_i$ such that C_{p_t} is at distance t from C_1 . Now we define subsets $\mathcal{F}_1, \mathcal{F}_2 \subset \mathcal{F}^d$ as follows:

$$\mathcal{F}_{1} = \{C_{i} \in \mathcal{F}^{d}: \text{ there exists a path } C_{1}, C_{p_{1}}, C_{p_{2}}, \dots, C_{p_{d-1}}, C_{i} \\ \text{ with } p_{d-1} > i.\}, \\
(7) \quad \mathcal{F}_{2} = \{C_{i} \in \mathcal{F}^{d}: \text{ there exists a path } C_{1}, C_{p_{1}}, C_{p_{2}}, \dots, C_{p_{d-1}}, C_{i} \\ \text{ with } p_{d-1} < i.\}.$$

Since $\mathcal{F}_1 \cup \mathcal{F}_2 = \mathcal{F}^d$, either $\chi(\mathcal{F}_1) \ge \chi(\mathcal{F}^d)/2$ or $\chi(\mathcal{F}_2) \ge \chi(\mathcal{F}^d)/2$. Since the following argument is the same for both cases, we will assume that

$$\chi(\mathcal{F}_1) \ge \frac{\chi(\mathcal{F}^d)}{2} \ge 2^{5\lambda_k + 114}.$$

By Lemma 3.1, there exists a subset $\mathcal{H}_1 \subset \mathcal{F}_1$ such that $\chi(\mathcal{H}_1) > 2$, and for every pair of curves $C_a, C_b \in \mathcal{H}_1$ that intersect, $\mathcal{F}_1(a,b) \geq 2^{5\lambda_k + 112}$. Fix two such curves $C_a, C_b \in \mathcal{H}_1$ and let \mathcal{A} be the set of curves in $\mathcal{F}(a,b)$ that intersects either C_a or C_b . By Lemma 4.1, there exists a subset $\mathcal{D}_1 \subset \mathcal{F}_1(a,b)$ such that each curve $C_i \in \mathcal{D}_1$ is disjoint to C_a , C_b , \mathcal{A} , and moreover,

$$\chi(\mathcal{D}_1) \ge 2^{5\lambda_k + 112} - 2^{\lambda_k + 1} - k \cdot 2^{2\lambda_k + 102} > 2^{5\lambda_k + 111}.$$

Again by Lemma 3.1, there exists a subset $\mathcal{H}_2 \subset \mathcal{D}_1$ such that $\chi(\mathcal{H}_2) > 2^{\lambda_k}$, and for every pair of curves $C_u, C_v \in \mathcal{H}_2$ that intersect, $\chi(\mathcal{D}_1(u, v)) \geq 2^{4\lambda_k + 110}$.

Therefore, \mathcal{H}_2 contains k pairwise crossing members $C_{i_1}, C_{i_2}, \ldots, C_{i_k}$ for $i_1 < i_2 < \cdots < i_k$. Since $\chi(\mathcal{D}_1(i_1, i_2)) \ge 2^{4\lambda_k + 110}$, by Lemma 4.4, there exists a subset $\mathcal{D}_2 \subset \mathcal{D}_1(i_1, i_2)$ such that

$$\chi(\mathcal{D}_2) > 2^{4\lambda_k + 110} - 2^{2\lambda_k} > 2^{4\lambda_k + 109},$$

and each curve $C_l \in \mathcal{D}_2$ is disjoint to the set of curves $\{C_{i_1}, C_{i_2}, \dots, C_{i_k}\}$. Now we define a $2^{4\lambda_k+107}$ -sequence $\{r_i\}_{i=0}^m$ of \mathcal{D}_2 such that $m \geq 4$. Therefore, we have subsets

$$\mathcal{D}_2[r_0, r_1], \mathcal{D}_2(r_1, r_2], \dots, \mathcal{D}_2(r_{m-1}, r_m]$$

such that

$$\chi(\mathcal{D}_2[r_0, r_1]) = \chi(\mathcal{D}_2(r_1, r_2]) = 2^{4\lambda_k + 107}.$$

By Lemma 4.5, we know that $\mathcal{D}_2[r_0, r_1]$ contains either a type 1 or type 2 configuration.

Suppose that $\mathcal{D}_2[r_0,r_1]$ contains a type 2 configuration $\{\mathcal{K}_1,C_q\}$, where \mathcal{K}_1 is the set of k pairwise intersecting curves. See Figure 6.(a). $C_q \in \mathcal{D}_2[r_0,r_1] \subset \mathcal{F}^d$ implies that there exists a path $C_1,C_{p_1},C_{p_2},\ldots,C_{p_{d-1}},C_q$ such that $p_{d-1}>q$. Let R be the region enclosed by the y-axis, C_a and C_b . Since C_q lies inside of R, and C_1 lies outside of R, there must be a curve C_{p_t} that intersects either C_a or C_b for some $1 \le t \le d-1$. Since $C_a,C_b \in \mathcal{F}^d$, $C_{p_{d-1}}$ must be this curve. Moreover, $C_q \in \mathcal{D}_1 \subset \mathcal{F}_1$ implies that $p_{d-1} > b$. Since our curves are x-monotone and $x(C_q) \le x(\mathcal{K}_1)$, $C_{p_{d-1}}$ intersects all of the curves in \mathcal{K}_1 . This creates k+1 pairwise crossing members in \mathcal{F} and we have a contradiction. See Figure 6.(b).

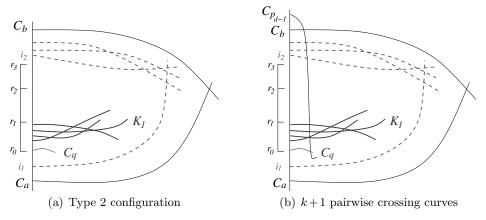


Figure 6. Lemma 4.6

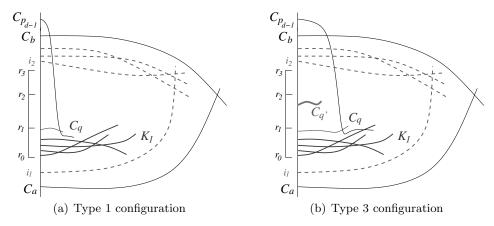


Figure 7. Lemma 4.6

Therefore, we can assume that $\mathcal{D}_2[r_0, r_1]$ contains a type 1 configuration $\{\mathcal{K}_1, C_q\}$ where \mathcal{K}_1 is the set of k pairwise intersecting curves. See Figure 7.(a). By the same argument as above, there exists a curve $C_{p_{d-1}}$ that intersects C_q such that $p_{d-1} > b$. Hence, $\mathcal{K}_2 = \{C_{i_2}, C_{i_3}, \dots, C_{i_k}, C_{p_{d-1}}\}$ is a set of k pairwise intersecting curves. Since $\chi(D_2(r_1, r_2]) = 2^{4\lambda_k + 107}$, Lemma 4.4 implies that there exists a curve $C_{q'} \in \mathcal{D}_1(r_1, r_2]$ that intersects neither C_q nor $C_{p_{d-1}}$. By construction of $\mathcal{D}_2(r_1, r_2]$ and by the definition of a type 1 configuration, $C_{q'}$ does not intersect any members in the set $\{\mathcal{K}_1, \mathcal{K}_2, C_q\}$. By Lemma 4.3, we have

$$x(C_{q'}) \le x(C_q) \le x(\mathcal{K}_1 \cup \mathcal{K}_2),$$

and therefore $\mathcal{K}_1, \mathcal{K}_2, C_{q'}$ is a type 3 configuration. See Figure 7.(b).

5. Proof of the Theorem 2.1

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The proof is by induction on k. The base case k=2 is trivial. Now suppose that the statement is true up to k. Let $\mathcal{F} = \{C_1, C_2, \dots, C_n\}$ be a simple family of n x-monotone right-flag curves, such that $\chi(\mathcal{F}) > 2^{(5^{k+2}-121)/4}$. We will show that \mathcal{F} contains k+1 pairwise crossing members. We define the recursive function λ_k such that $\lambda_2 = 1$ and

$$\lambda_k = 5\lambda_{k-1} + 121$$
 for $k \ge 3$.

This implies that $\lambda_k = (5^{k+1} - 121)/4$ for all $k \ge 2$. Therefore, we have

$$\chi(\mathcal{F}) > 2^{(5^{k+2}-121)/4} = 2^{\lambda_{k+1}} = 2^{5\lambda_k+121}.$$

Just as before, there exists an integer $d \geq 2$, such that the set of curves $\mathcal{F}^d \subset \mathcal{F}$ at distance d from the curve C_1 satisfies

$$\chi(\mathcal{F}^d) \ge \frac{\chi(\mathcal{F})}{2} > 2^{5\lambda_k + 120}.$$

Now we can assume that \mathcal{F}^d does not contain k+1 pairwise crossing members, since otherwise we would be done. By Lemma 3.1, there exists a subset $\mathcal{H} \subset \mathcal{F}^d$ such that $\chi(\mathcal{H}) > 2$, and for every pair of curves $C_a, C_b \in \mathcal{H}$ that intersect, $\mathcal{F}^d(a,b) \geq 2^{5\lambda_k+118}$. Fix two such curves $C_a, C_b \in \mathcal{H}$, and let \mathcal{A} be the set of curves in $\mathcal{F}(a,b)$ that intersects C_a or C_b . By Lemma 4.1, there exists a subset $\mathcal{D} \subset \mathcal{F}^d(a,b)$ such that each curve $C_i \in \mathcal{D}$ is disjoint to C_a , C_b , \mathcal{A} , and moreover,

$$\chi(\mathcal{D}) \ge 2^{5\lambda_k + 118} - 2^{\lambda_k + 1} - 2^{2\lambda_k + 102} \ge 2^{5\lambda_k + 117}$$

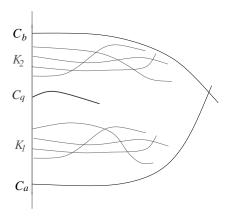


Figure 8. Type 3 configuration

By Lemma 4.6, \mathcal{D} contains a type 3 configuration $\{\mathcal{K}_1, \mathcal{K}_2, C_q\}$, where \mathcal{K}_t is a set of k pairwise intersecting curves for $t \in \{1, 2\}$. See Figure 8. Just as argued in the proof of Lemma 4.6, since $C_q \in \mathcal{D} \subset \mathcal{F}^d$, there exists a path $C_1, C_{p_1}, C_{p_2}, \ldots, C_{p_{d-1}}, C_q$ in \mathcal{F} such that either $p_{d-1} < a$ or $p_{d-1} > b$. Since our curves are x-monotone and $x(C_q) \leq x(\mathcal{K}_1 \cup \mathcal{K}_2)$, this implies that either $\mathcal{K}_1 \cup C_{p_{d-1}}$ or $\mathcal{K}_2 \cup C_{p_{d-1}}$ are k+1 pairwise crossing curves. See Figures 9.(a) and 9.(b).

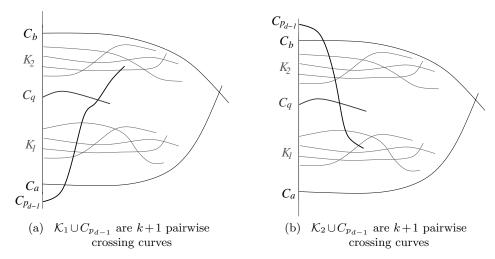


Figure 9. k+1 pairwise crossing curves

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