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New insight into the material parameter *B* **to understand the enhanced thermoelectric performance of** $Mg_2Sn_{1-x-y}Ge_xSb_y$

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Historically, material parameter *B* incorporating weighted mobility and lattice thermal conductivity has guided the exploration of novel thermoelectric materials. However, the conventional definition of *B* neglects the bipolar effect which can dramatically change the thermoelectric energy conversion efficiency at high temperatures. In this paper, a generalized material parameter *B ** is derived, which 10 connects weighted mobility, lattice thermal conductivity, and band gap. Based on the new parameter B^* , we explain the successful tuning of the electron and phonon transport in $Mg_2Sn_{1-xy}Ge_xSb_y$, with an improved *ZT* value from 0.6 in $Mg_2Sn_{0.99}Sb_{0.01}$ to 1.4 in $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$. We uncover that the Ge alloying approach simultaneously improves all the key variables in the material parameter B^* , with a ~25% enhancement in the weighted mobility, ~27% band gap widening, and ~50% reduction in the lattice thermal conductivity. We show that higher generalized parameter B^* leads to a higher optimized ZT in $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$, and some common μ ₁₅ thermoelectric materials. The new parameter B^* provides a better characterization of material's thermoelectric transport, particularly at high temperatures, and therefore can facilitate the search of good thermoelectric materials.

Broader Context

Thermoelectric conversion involves the transport of electrons and phonons. It has been very challenging to synergistically tune the macro ²⁰ thermoelectric transport parameters: electrical conductivity, thermal conductivity, and Seebeck coefficient as these properties are coupled to each other. Recently, we have achieved a significant enhancement in the thermoelectric performance of Mg_2Sn by partially substituting Sn with Ge and doping Sb. The new material Mg₂Sn_{0.728}Ge_{0.25}Sb_{0.022} has a high average *ZT* (0.9) and power factor (52 μW cm−1 K −2) in the temperature range of 25–450 °C, with favourably high efficiency and large output power density. The *ZT* improvement is understood through a generalized material parameter B^* , which connects weighted mobility, lattice thermal conductivity, and band gap. 25 A higher B^* is desired for higher *ZT*. The new parameter will help guide the optimizations of known materials by synergistically tailoring these fundamental parameters to enhance their thermoelectric performance, and the search of new materials by using it as new scale.

1. Introduction

- The efficiency of thermoelectric power generation is governed by ³⁰ the Carnot efficiency and dimensionless figure of merit (*ZT*) of the material. *ZT* is defined as $ZT = (S^2 \sigma / \kappa)T$, where *S*, σ , κ , and *T* are the Seebeck coefficient, electrical conductivity, thermal conductivity, and absolute temperature, respectively.¹ ZT value strongly depends on the carrier concentration. Optimization of the carrier concentration leads to optimized reduced Fermi energy (*ξ^f* 35
- $E = E_f / k_B T$ close to the band edge E_c for n-type and E_v for the ptype semiconductor,² where the energy is measured from E_c (E_v) for the n-type (p-type) semiconductor. However, the maximum *ZT* is limited by the interdependence of *S*, *σ*, and $κ$ ³ In evaluating
- ⁴⁰ material's thermoelectric performance, a dimensionless material parameter *B*, proposed first by Chasmar and Strattton from a single parabolic band model in the nondegenerate limit, has proven to be very useful. $4-8$

$$
B = 5.745 \times 10^{-6} \frac{\mu (m^* / m_0)^{3/2}}{\kappa_{\text{tar}}} T^{5/2} , \qquad (1)
$$

- 45 where m^* , m_0 , μ , κ_{lat} , and *T* are the carrier effective mass, free electron mass, carrier mobility, lattice thermal conductivity, and absolute temperature, respectively. All the parameters defined in Eq. (1) are expressed in SI units, i.e., μ in m² V⁻¹ s⁻¹ and κ_{lat} in W m⁻¹ K⁻¹. The product of μ and $(m^*/m_0)^{3/2}$ was commonly called
- ⁵⁰ weighted mobility and will be denoted as *U*. A large material parameter *B* usually corresponds to a high *ZT* value at the optimized *ξ^f* . The power of this parameter lies in that it provides a clear guidance to identify better thermoelectric materials instead of checking all the transport properties (*S*, σ and *κ*), one should ⁵⁵ look for materials with a high weighted mobility *U* and low lattice thermal conductivity κ_{lat} , which are less dependent on each other. Furthermore, the material parameter *B* was generalized to take into account the effect of alloying scattering, 9 as well as additional electron (hole) bands.¹⁰ Despite the insightful 60 understandings gained from the parameter B , Eq. (1) implies that this parameter increases with the temperature continuously, while *ZT* of most real materials drops at high temperatures due to the bipolar effect. It has been known that materials with small energy band gap (E_g) suffer more from the bipolar effect due to the ⁶⁵ decreased Seebeck coefficient and increased thermal conductivity.¹¹ Previous work has shown that the optimized band gap is related to the temperature, *i.e.*, E_g =4-10 $k_B T$. ^{4, 12, 13} For given material parameters *B* and temperature *T*, the optimized *ZT* with respect to the carrier concentration increases with the band 70 gap and becomes saturated as $E_g > 10 k_B T$ for both direct and indirect band gaps.^{13, 14} However, a generalized material parameter similar to *B* is missing that permits the evaluation of material's thermoelectric performance by exploring the tradeoff among *U*, κ_{lat} , and E_g in a more fundamental way rather than ⁷⁵ examining *S*, *σ*, and κ.

Nano approach has worked for most of the thermoelectric materials because the scattering centers scatter phonons more than electrons.15, 16 Recently, a first-principles-based simulations for silicon have shown that the length span of the mean free path

- ⁵ of phonons is much longer than that of electrons, which give a good explanation for enhanced ZT from the nano approach strategy. ¹⁷ However, further reduction of *κ*lat may lead to reduced *U*, when the average distance between two neighbor scattering centers becomes comparable to the electron mean free path.
- ¹⁰ Different strategies have been developed to reduce the decrease of the carrier mobility when applying the nanostructuring approach to reduce κ_{lat} , such as modulation doping,¹⁸ ordered nano inclusion,³ re-oriented grains,¹⁹ and better alignment of band edge offsets between the inclusions and matrix.²⁰ In another
- 15 direction different from the phonon engineering, increased electronic density-of-states due to resonant dopants,²¹⁻²³ and the band convergence effect $^{24, 25}$ has been used to improve the power factor by increasing the effective mass. Furthermore, there is one constraint between E_g and κ_{lat} . For two compounds with similar
- ²⁰ crystalline structures, the one composed of lighter elements usually has larger E_g and higher κ_{lat} due to stronger chemical bonding.^{[26](#page-9-0)} One way to go beyond this limit is to have complex crystalline structure as complex crystal structures have more optical phonons that do not contribute much to heat conduction
- ²⁵ and yet can scatter acoustic phonons, leading to a lower lattice thermal conductivity. [27](#page-9-1) Despite various strategies mentioned above, there does not exist a unified parameter connecting the three fundamental parameters *U*, κ_{lab} and E_g to guide the improvement of *ZT*.
- In this work, we derive a generalized material parameter B^* , which is proportional to U and E_g while inversely proportional to *κlat*, and apply it to study the enhanced thermoelectric performance of alloyed Mg_2Sn . Mg_2Sn is a semiconductor with a narrow band gap of 0.26 eV,²⁸ which has recently been
- ³⁵ investigated in the composition of $Mg_2Sn_{0.6}Si_{0.4}$, showing a *ZT* of 1.1-1.3. $29-34$ In our previous work, 35 we successfully synthesized Mg2Sn using mechanical ball milling technique followed by hot pressing, which showed a high carrier mobility of ~90 cm² V^{-1} s⁻¹ at a high doping concentration ($n \sim 1.8 \times 10^{20}$ cm⁻³). A record *ZT*
- ⁴⁰ of 1.4 at 450 ^oC and a peak power factor (*PF*) of 55 μW cm⁻¹ K⁻² at 350 °C were obtained in $Mg_2Sn_{0.728}Sb_{0.022}Ge_{0.25}$ with a small amount of extra Mg. The thermoelectric performance of this material surpassed other materials' operating in the temperature range of 50-450 $^{\circ}$ C in terms of both the efficiency and output
- ⁴⁵ power. In this paper, we systematically investigated the alloying effect of Ge in $Mg_2Sn_{1-x-y}Ge_xSb_y$ in terms of the generalized material parameter \overline{B}^* . It is found that the ZT enhancement due to Ge alloying can be understood as a synergetic effect of a ~25% enhancement in *U*, ~27% widening in E_g and ~50% reduction in
- *κlat* ⁵⁰ . Furthermore, the connection between the material parameter B^* and peak *ZT* for some classic materials, including CoSb₃, Bi₂Te₃ and PbTe, were also discussed.

2. Experimental details

Synthesis. The synthesis process of $Mg_2Sn_{1-x-y}Ge_xSb_y$ ($x = 0-0.3$, $55 \text{ y} = 0-0.025$) was similar to our previous work. Elemental powders of Mg, Sn, Ge, and Sb were used for ball milling and then hot pressing at $600-750$ °C for 2 minutes.

Thermoelectric transport properties. The electrical resistivity was measured by a DC-current four-point method, while the

- ⁶⁰ Seebeck coefficient was determined by the slope of the voltage difference versus temperature difference curve based on a static temperature difference method. The simultaneous measurement of electrical resistivity and Seebeck coefficient was conducted on commercial system (ZEM-3, ULVAC). The thermal 65 conductivity was calculated from the relationship $\kappa = DC_p d$, where *D*, C_p , and *d* are the thermal diffusivity, specific heat, and volumetric density, respectively. The thermal diffusivity was measured by the laser flash method with a commercial system (LFA457, Netzsch). The specific heat capacity was determined ⁷⁰ by a differential scanning calorimeter (DSC 404 C, Netzsch). The volumetric density was measured by the Archimedes method. The measurement of Hall coefficient, R_H , was carried out on a
- ⁷⁵ **Band gap measurement.** Fourier transform infrared spectroscopy (FTIR) is performed to derive the optical band gap based on the Krameres-Kronig analysis of the reflectance. The FTIR is conducted on an infrared spectroscopy (iS50, Thermo Nicolet) with DTGS detector at room temperature in the range of μ 4000 to 400 cm⁻¹ with a resolution of 4 cm⁻¹. The reflectance spectra $R(\omega)$ was taken with an angle of 10° near normal incident direction.

commercial system (PPMS, Quantum Design), with a magnetic

field up to 6 T and an electrical current of 10-20 mA.

Theoretical calculation. First principles calculations are performed for the band structures of Mg_2Sn and Mg_2Ge using the as linearized augmented plane-wave (LAPW) method³⁶ as implemented in the WIEN2K simulation package.³⁷ The generalized gradient approximation (GGA) of Perdew, Burke, and Ernzerhof³⁸ is used with a Brillouin zone sampling including more than 200 **k**-points in the irreducible wedge of the Brillouin ⁹⁰ zone. The newly-developed TB-mBJ function³⁹ is also applied for improving the calculation of the band structure and especially the band gap. Basis sets are determined by $R_{MT}K_{max} = 8$, where R_{MT} is the smallest muffin-tin radius, and *Kmax* is the maximum value of reciprocal-lattice vectors. The LAPW sphere radii for Mg, Sn,

 α_9 and Ge are chosen to be 2.2 a_0 , where a_0 is the lattice constant determined from the experiment. Besides, the relativistic effects for the valence electrons are included at the scalar relativistic level.

Fig. 1. Thermoelectric properties of $Mg_2Sn_{1-x-y}Ge_xSb_y$. (a) Electrical resistivity, (b) Seebeck coefficient, (c) power factor, (d) Seebeck coefficient versus carrier concentration, (e) thermal ⁵ conductivity, and (f) *ZT*.

3. Results

Fig. 1 shows the temperature dependent thermoelectric properties of $Mg_2Sn_{1-x-y}Ge_xSb_y$ (x = 0, 0.05, 0.1, 0.15, 0.2, 0.25, and 0.3 , $y = 0.01$, 0.015 , and 0.02). All the samples show almost ¹⁰ linearly increased electrical resistivity and Seebeck coefficient below 300 $^{\circ}$ C, demonstrating a behavior of degenerate semiconductor, as shown in Fig. 1(a-b). The Fermi energy (E_f) calculated from the Seebeck coefficient is 0.031-0.049 eV, equal to 1.2-1.9 $k_B T$ at room temperature, above the bottom of the 15 conduction band, E_c . Above 300 °C, the Seebeck coefficient of $Mg_2Sn_{1-x}^-$, Ge_xSb_y with $x = 0$ and 0.05 shows saturated characteristics while that with $x = 0.25$ and 0.30 continues to be linearly temperature dependent. Among the samples, $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$ shows the highest PF , 43 μ W cm⁻¹ K⁻² near ²⁰ room temperature and over 50 μW cm⁻¹ K⁻² in a wide temperature range, as shown in Fig. 1 (c). Here, Sb was the electron donor. An approximate linear relationship between the carrier concentration and Sb content was observed in $Mg_2Sn_{1-x-y}Ge_xSb_y$, which indicates \sim 1 carr./Sb, as shown in Fig. S1 (a-b) (ESI†). It is noted ²⁵ that the free charge transferring from Sb atom is independent of the Ge content (Fig. S1 (c), ESI†). Fig. 1(d) plots the Seebeck

- coefficient versus carrier concentration near room temperature for $Mg_2Sn_{1-x-y}Ge_xSb_y$. For samples with $y = 0.01$, Seebeck coefficient increases from -116 μ V K⁻¹ for x = 0 to -141 μ V K⁻¹ for x = 0.1. 30 Furthermore, for samples with $x = 0.1$ and $y = 0.01$, $x = 0.15$ and $y = 0.015$, $x = 0.2$ and $y = 0.02$, there is only a small change in the Seebeck coefficient while a significant increase in carrier concentration from 1.68 $\times 10^{20}$ cm⁻³ (x = 0.1, y = 0.01) to 2.70 \times
- 10^{20} cm⁻³ (x = 0.25, y = 0.02). As Ge content increases beyond ³⁵ 0.2, a maximum Seebeck and the highest *PF* were achieved in sample $Mg_2Sn_{1-x-y}Ge_xSb_y$ with $x = 0.25$ and $y = 0.02$. The Ge

content variation in the carrier effective mass of Mg_2Sn_{1-x} . $y\text{Ge}_x\text{Sb}_y$ implies that the band structure significantly changes with Ge, which will be discussed in the next section. Fig. 1 (e) shows a ⁴⁰ significant decrease in thermal conductivity with increased Ge content in $Mg_2Sn_{1-x-y}Ge_xSb_y$. The corresponding thermal diffusivity and specific heat of $Mg_2Sn_{1-x}Ge_xSb_y$ are shown in Fig. S2 (a-b) (ESI†). Due to the increased *PF* and the decreased thermal conductivity, the *ZT* value is significantly enhanced from 45 0.6 for $x = 0$ to around 1.4 for $x = 0.25$, as shown in Fig. 1 (f). The sample with higher Ge content $(x = 0.3)$ shows slightly lower *ZT* value due to a lower *PF*. The reproducibility of samples $Mg_2Sn_{0.75-y}Ge_{0.25}Sb_y$ with two different carrier concentrations of 2.7×10^{20} (y = 0.02) and 3.0×10^{20} cm⁻³ (y = 0.022) is confirmed by ⁵⁰ three batches for each composition, as shown in Fig. S3 (ESI†). The room temperature Seebeck coefficient is around -147 μ V K⁻¹ for the samples with carrier concentration of 2.7×10^{20} cm⁻³, while -137 μ V K⁻¹ for the samples with carrier concentration of 3.0×10^{20} cm⁻³. A good reproducibility was achieved for both ⁵⁵ compositions. The coefficient of variation of thermoelectric transport properties from batch to batch is less than 5%. The average *ZTs* for both compositions are close to 1.4 at 450 °C.

4. Discussion

In the previous section, it is shown how to chemically tune the ω composition of $Mg_2Sn_{1-x-y}Ge_xSb_y$ to achieve high *ZT* and *PF*. In this section, we will discuss the physical mechanism of the enhanced *ZT* due to the alloying of Ge in Mg₂Sn. The generalized material parameter *B ** will be derived, and the *ZT* enhancement is then understood in terms of the fundamental parameters U , κ_{lat} , 65 and E_g .

Fig. 2. Theoretical ZT as a function of ξ_f , ξ_g , and B^* . (a) A 3D plot of ZT versus ξ_f and ξ_g with a fixed $B^* = 3$, (b) *ZT* versus ξ_g with fixed $B^* = 3$ and *ξf* = -1, -0.5, 0, 0.5, 1; (c) *ZT* versus *ξ^f* with fixed *B ** = 3 and *ξ^g* = 4, 6, $70\,$ 8, 10, 12; (d) maximum *ZT* as a function of \overline{B}^* .

4.1 Generalized Material parameter *B **

The original definition of material parameter *B* was firstly introduced by Chasmar and Stratton through expressing the figure of merit using Fermi–Dirac statistics with non-degenerate approximation as follows, 4, 5

$$
\text{proximation as follows, } ^{4,5} \text{ as } \frac{\sqrt{5}}{2} \text{ or } \frac{S^2}{K_{\text{lat}} + K_{\text{corr}}} = \frac{S^2}{K_{\text{lat}} / \sigma T + L} = \frac{\left[(s + 5/2) - \xi_f \right]^2}{\left[B \exp\left(\xi_f\right) \right]^{-1} + L} \tag{2}
$$

$$
B = \left(\frac{k_B}{e}\right)^2 \frac{\sigma_0}{\kappa_{lat}} T
$$
 (3)

$$
\sigma_0 = 2e\mu \left(\frac{2\pi m^* k_B T}{h^2}\right)^{3/2}
$$
 (4)

where *s*, *L*, κ_{lar} , κ_{carr} are the scattering factor, Lorenz number, lattice thermal conductivity and carrier thermal conductivity. The definition of *s* is based on the relaxation time approximation for the electronic transport. For example, $s = -1/2$ for the acoustic ¹⁰ phonon dominant scattering case. By using the SI units for the

- free electron charge (*e*), free electron mass (*m⁰*), Boltzmann constant (k_B) , Plank constant (h) , Eq. (3) turns into Eq. (1). In Eq. (2), *ZT* is only considered as a function of the reduced Fermi level *ξ^f* , while material parameter *B* was considered as an
- independent parameter of *ξ^f* ¹⁵ . A higher *B* usually corresponds to a higher *(ZT)max* at optimized *ξ^f ** . It is noted that the original definition of *B* includes variable *T*, which incorrectly indicates that *B* increases with temperature *T* continuously. However, the *ZT* of a real material drops at high temperatures due to the bipolar
- ²⁰ effect. To take into account the bipolar effect, it is necessary to use a two band model.¹¹ For an artificial material with electrons as the major carrier while holes as the minor carrier, Eq. (2) can be generalized into the following form **(**see details in ESI†),

$$
ZT = \frac{\left(\delta_e - \xi_{f_e e} - \frac{\delta_e + \delta_h + \xi_g}{1 + 1/\gamma}\right)^2 (1 + \gamma)}{\left(B \frac{F_{1/2}(\xi_{f_e e})}{\Gamma(3/2)}\right)^{-1} + \frac{\left(\delta_e + \delta_h + \xi_g\right)^2}{1 + 1/\gamma} + L_e + \gamma L_h}
$$
(5)

²⁵ for which we have defined

$$
\delta_{i} = \frac{(s+5/2)F_{s+3/2}(\xi_{f-i})}{(s+3/2)F_{s+1/2}(\xi_{f-i})}, i = e, h
$$
\n(6)

$$
\delta_{i} = \frac{\left(s + 3/2\right) F_{s+1/2} \left(\xi_{f_{-i}}\right)}{\left(s + 3/2\right) F_{s+1/2} \left(\xi_{f_{-i}}\right)}, i = e, h
$$
\n
$$
L_{i} = \left(\frac{k_{B}}{e}\right)^{2} \left(\frac{\left(s + 7/2\right) F_{s+3/2} \left(\xi_{f_{-i}}\right)}{\left(s + 3/2\right) F_{s+1/2} \left(\xi_{f_{-i}}\right)} - \left(\frac{\left(s + 5/2\right) F_{s+3/2} \left(\xi_{f_{-i}}\right)}{\left(s + 3/2\right) F_{s+1/2} \left(\xi_{f_{-i}}\right)}\right)^{2}\right)
$$
\n
$$
L_{N} = \mu_{h} \left(m_{h}^{*}\right)^{3/2} F_{1/2} \left(\xi_{f_{-i}}\right) - U_{h} F_{1/2} \left(\xi_{f_{-i}}\right)
$$
\n(8)

$$
\gamma = \frac{\mu_h \left(m_h^* \right)^{3/2}}{\mu_e \left(m_e^* \right)^{3/2}} \frac{F_{1/2} \left(\xi_{f-e} \right)}{F_{1/2} \left(\xi_{f-h} \right)} = \frac{U_h}{U_e} \frac{F_{1/2} \left(\xi_{f-e} \right)}{F_{1/2} \left(\xi_{f-h} \right)}
$$
(8)

where $F_n(\xi)$ is the nth-order Fermi integral defined as

$$
F_n\left(\xi_f\right) = \int_0^\infty \frac{\chi^n}{1 + e^{\chi - \xi_f}} d\chi\tag{9}
$$

and $\zeta_g = E_g / k_B T$ is the reduced band gap. The subscript $i = e$ or *h* represents the electrons and holes respectively, with the reduced Fermi level *ξf_e* (*ξf_h*) measured from conduction band edge *E^c* (valence band edge E_v). According to Simon's early work,^{[11](#page-9-2)} ζ_{f_e}

and *ξf_h* can be described by the reduced Fermi level (*ξ^f* ³⁵) of the major carrier band and the reduced band gap *ξ^g* . For an artificial n-type semiconductor, we have $\zeta_{f,e} = \zeta_f$ and $\zeta_{f,h} = -\zeta_f - \zeta_g$. This relation will be used to replace *ξf_e* and *ξf_h* hereafter. Furthermore, the ratio U_h/U_e and scattering factor *s* are considered as constants ⁴⁰ for convenience in this paper. It is noted that the form of Eq. (5)

is different from the one Mahan derived, in which a concept of minimum electrical conductivity was adopted. 13 13 13 Eq. (5) suggests that the negative impact of the bipolar effect comes from the terms containing *ξ^g* . If we fix the parameters of *B*, *T*, and *s*, and 45 consider E_g and ζ_f as the tuning variables for ZT, we reproduced Mahan's results: the optimized *ZT* with respect to *ξ^f* increases with the band gap and saturates around $E_g \sim 10 \text{ kgT}$ as shown in Fig. S4 (ESI†). It is noted that the saturated *ZT* depends not only on material parameter *B*, but also on U_h/U_e and *s*.

50 However, the above analysis has to treat E_g separately from the material parameter *B*. Here we would like to explore whether we can define a parameter similar to *B* but including *U*, κ_{lab} and E_g altogether, thereby simplifying the evaluation of material's thermoelectric performance. From experimental results, *ZT* is ⁵⁵ usually considered as a function of the doping concentration and temperature, *i.e.*, $ZT = f_1(N_D, T)$ for a given set of $(U, \kappa_{lat}, \text{ and } E_g)$. In our theoretical formalism, N_D can be described by ζ_f , and hence *ZT* as function of *ξ^f* and *T* is expressed by Eq. (2-4) for a single band model with a non-degenerate approximation, and by Eq. (5- ⁶⁰ 8) for a two band model. Furthermore, we use the reduced band gap $\zeta_g = E_g / k_B T$ to non-dimensionalize the temperature, which changes the independent variables of *ZT* from (ξ_f , *T*) to (ξ_f , ξ_g), and therefore Eq. (5) turns into,

$$
ZT = \frac{\left(\delta_e - \xi_f - \frac{\delta_e + \delta_h + \xi_g}{1 + 1/\gamma}\right)^2 (1 + \gamma)}{\left[\left(B^*\right) \frac{F_{1/2}(\xi_f)/\Gamma(3/2)}{\xi_g}\right)^{-1} + \frac{\left(\delta_e + \delta_h + \xi_g\right)^2}{1 + 1/\gamma} + \left(\frac{e}{k_B}\right)^2 (L_e + \gamma L_h)}
$$
(10)

with

65

$$
B^* = \frac{1}{k_B} \left(\frac{e}{k_B}\right)^2 \frac{\sigma_{0,e}}{\kappa_{lat}} E_{g_joule}
$$
 (11)

where B^* is defined as the generalized material parameter, and E_g *ioule* is the band energy gap in J as SI unit. The new definition of the material parameter B^* overcomes the drawback of the 70 conventional definition (Eq. (3)) that continuously increases with increasing *T*. For a given generalized material parameter *B * , ZT* can be now considered as a function of the reduced Fermi level and the reduced band gap, *i.e.*, $ZT = f_3(\xi_f, \xi_g)$. Fig. 2 plots the theoretical *ZT* as a function of ζ_f and ζ_g with assumptions of s = - $1/2$ and $U_e = U_h$. It is clearly shown that *ZT* has a maximal value with respect to ζ_f and ζ_g for a given generalized material parameter *B*^{*}. At *B*^{*} = 3, the optimized ζ_f and ζ_g for maximizing *ZT* are close to -0.1 and 6, respectively, as shown in Fig. 2(a). If only *ξ^g* is considered as the tuning variable, the optimized *ξ^g* ⁸⁰ changes from 4 to 7 with *ξ^f* varying from 1 to -1 as shown in Fig. 2(b). Here, we want to discuss the origin of the qualitative difference in terms of the optimized *ZT* with respect to the reduced band gap *ξ^g* between our results (an optimal point) and Mahan's work (saturated behavior). In Mahan's work, the ζ ₈ is derived by considering E_g as a variable while *T* is a constant, essentially examining material's properties at a given temperature. In contrast, we optimize ζ_g assuming E_g as a constant while *T* as the variable, identifying the optimal temperature for a given material. Fig. 2(c) shows *ξf -*dependent *ZT* for different *ξ^g* , which suggests that the optimized *ξ^f* is close to *ξ^f* 90 =0 and slightly depends on the *ξ^g* . By maximizing *ZT* with respect to both ζ_f and ζ_g , the final $(TT)_{max}$ shows a monotonous increase with increasing generalized material parameter *B ** , as shown in Fig. 2(d). Combining E_g with U/ κ_{lat} , the new material parameter \overline{B} ^{*} therefore facilitates the search of high-*ZT* materials over the

two-dimensional (doping level and temperature) parameter space. Next, we will consider a simplification of Eq. (11). According to the definition of σ_0 , the main term are $(m^*)^{3/2}$, μ , and $T^{3/2}$. It is noted that the term of $\mu T^{3/2}$ is a temperature independent ⁵ parameter for acoustic phonon scattering dominated electron transport since μ depends on $T^{3/2}$ [.](#page-9-4)⁹ Therefore, we can define a new temperature-independent parameter U^* , and rewrite the dimensionless material parameter *B ** as,

$$
B^* = 6.668 \times 10^{-2} \frac{U^*}{K_{lat}} E_{g_e eV}
$$
 (12)

$$
U^* = \mu (m^* / m_0)^{3/2} T^{3/2}
$$
 (13)

where $E_{g_e eV}$ is band gap in eV as the conventional unit. Furthermore, the expression of B^* clearly suggests that good thermoelectric materials should have higher U^* , larger E_g , and smaller κ_{lat} , which serves as signatures to understand materials['] ¹⁵ thermoelectric performance and also indicators to rationally guide the search of better thermoelectric materials.

Fig. 3. Effect of Ge content on the thermoelectric properties of Mg_2Sn_{1-x} ^yGexSby. (a) Power factor *S 2 σ*, (b) *S 2 n*, (c) carrier mobility μ, (d) carrier $_2$ ⁰ effective mass m^* , (e) parameter U^* , and (f) band edge difference between two sub-conduction bands in $Mg_2Sn_{1-x}Ge_x$. (a-f) is the measured data in this study, while the data in (f) are from the Ref. 28 and Ref. 29.

Fig. 4. Band structure and total density of states (DOS) of Mg₂X (X = Sn, Ge). (a) Mg_2 Sn, (b) Mg_2 Ge. Two sub-conduction band edges were highlighted. The red line is denoted for X_H band, while the blue line is denoted for X_L .

$_{30}$ 4.2 Enhanced power factor due to increased \boldsymbol{U}^*

25

Having derived the generalized material parameter B^* in expression of *U,* κ_{lab} *and* E_g as three fundamental parameters that affect *ZT*, we discuss their changes due to the Ge alloying. Fig. 3 shows thermoelectric transport properties of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as ³⁵ a function of Ge content at room temperature. *PF* at room temperature of $Mg_2Sn_{1-x-y}Ge_xSb_y$ significantly increased with Ge content from $x = 0.05$ to 0.25, and reached a peak value of 45 μ W cm^{-1} K⁻² at x = 0.25, then decreased as Ge content was further increased to $x = 0.3$, as shown in the Fig. 3 (a). Hall measurement ⁴⁰ was conducted to understand the mechanism lying behind. Here, both the Hall coefficient (R_H) and Hall factor (r_H) is used to calculate the real carrier concentration (see details in ESI \dagger). ^{[32,](#page-9-5) [40](#page-10-0)} \overline{a}

Considering the real carrier concentration, we split the *PF* $(S^2\sigma)$ into $S^2 n$ and μ , as shown in the Fig. 3 (b, c). Like PF, $S^2 n$ 45 increases with Ge content from $x = 0.05$ to 0.25, then decreases. On the other hand, we observed a decrease of μ with increasing Ge in the whole range. In other words, the improved *PF*, due to the Ge alloying effect, is resulted from the enhanced S^2n which is mainly determined by the effective carrier mass at a given Fermi ⁵⁰ energy. Fig. 3 (d) plots the calculated carrier effective mass of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a function of the Ge content. Although we have varying content of Sb and extra Mg, m^* of Mg₂Sn_{1-x-y}Ge_xSb_y increases linearly with increasing Ge content from $x = 0$ to 0.2, and reaches a peak value $m^* = 3.5$ m_0 at $x = 0.25$, and then 55 slightly decreases for the sample with $x = 0.3$. The change of m^* could be understood through the contribution of the additional band above the bottom of conduction band. Since the significant enhancement of m^* , the parameter U^* (defined in Eq. (3)) also appears in similar behavior with Ge content, as shown Fig. 3 (e). ⁶⁰ Fig. 3 (f) shows the composition dependent band structure evaluation in the $Mg_2Sn_{1-x}Ge_x$ system, according to the early work^{28, 29}. Here, the E_Δ is the edge difference between two subbands in the conduction band. For Mg₂Sn, the additional band is about 0.16 eV higher than the main band. Since E_A > 5 $k_B T$, the

contribution of additional band to the total electronic transport is negligible near room temperature.¹⁰ However, *E^Δ* changes as Ge gets into Sn-site to form an alloy of $Mg_2Sn_{1-x}Ge_x$. The decreasing $E_{\rm A}$ with Ge content in the Mg₂Sn_{1-x}Ge_x significantly raises the

- ⁵ contribution of the additional band to the electronic transport. Furthermore, a band crossing is predicted around Ge content of x = 0.22 in $Mg_2Sn_{1-x}Ge_x$ according to Zaitsev *et al.*'s work.^{28, 29} First-principles calculation was conducted to understand the mechanism behind the band convergence between the two sub-
- 10 bands near the conduction band edge in Mg_2X (X = Sn, Ge) system. Fig. 4 shows the band structure and total DOS for Mg_2Sn and Mg_2 Ge. It is clearly shown that both Mg_2Sn and Mg_2Se have valance-band top at Γ -point and a conduction-band bottom at the
- X-point, which is consistent with the recently reported 15 calculations.^{41, 42} Furthermore, two sub-bands at the conduction band edges were observed in both compounds, but the position at X point was switched. Here, among these two sub-bands at Xpoint of Mg_2Sn , the bottom one is referred as X_H -band (red color) while the above one is referred as the X_L -band (blue color). The
- 20 calculated E_A between X_H -band and X_L -band at X point is around 0.27 eV. The position switching of X_H -band and X_L -band at Xpoint from Mg_2Sn to Mg_2Ge confirmed the band convergence effect in $Mg_2Sn_{1-x}Ge_x^{28,29}$

Fig. 5 shows the projected DOS for Mg: s, Mg: p, Sn: s, Sn: p, Sn: 25 d-eg and Sn: d-t_{2g} orbitals for Mg₂Sn, and Mg: s, Mg: p, Ge: s, Ge: p, Ge: d-e_g and Ge: d-t_{2g} orbitals for the Mg₂Ge. At the first glance, Mg2Sn has the conduction band mainly composed of unoccupied Mg: 3s orbital $(X_H$ -band) followed by the unoccupied Mg: 3p-Sn: 6s hybridized orbital $(X_L$ -band), while Mg₂Ge has the

- ³⁰ conduction band mainly consisting of unoccupied Mg: 3p-Ge: 5s hybridized orbital (X_L -band) followed by the Mg: 3s orbital (X_H band) as shown in the Fig. 5 (a, b, e, f). In order to get more details, the value of d(p-DOS)/dE versus energy was plotted in the Fig. 5 (c, g) for Mg_2Sn and Mg_2Ge , respectively. We found
- 35 that the unoccupied Sn: 5d orbital in Mg₂Sn and Ge: 4d orbital in Mg2Ge are split due to the hexahedral crystalline field into twicedegenerated d- e_g orbital and triple-degenerated d- t_{2g} . Furthermore, in Mg₂Sn, both the d(p-DOS)/dE of Sn: d-t_{2g} and Mg: 3s orbital show a peak at the energy of 0.27 eV, while the d(p-DOS)/dE of

 40 Sn: d-e_g, Mg: 3p and Sn: 6s shows a peak at an energy of 0.6 eV, as shown in Fig. 5 (c, d). Similar coincidence was also observed in the Mg_2Ge , as shown in Fig. 5 (g, h) . These results suggested a new scenario for the conduction band formation of Mg₂Sn and Mg₂Ge. In the Mg₂X (X = Sn, Ge), X_H-band is formed by the 45 hybridized Mg: 3s and Sn: $5d-t_{2g}/Ge:4d-t_{2g}$ orbitals while X_L band results from the hybridized Mg: 3p, Sn 6s, and Sn: 5d-e_g/Ge: 4d-e_g orbitals. The change of the ionic size r^{\dagger}/r ratio could be the most likely reason for the composition band crossing in the $Mg_2Sn_{1-x}Ge_x$ systems. The increased carrier effective mass due to ⁵⁰ the band crossing is finally responsible for the significant enhancement of the weighted mobility *U*.

4.3 Decreased bipolar thermal conductivity due to increased E_g

Bipolar effect owing to the intrinsic carrier excitation has a ⁵⁵ deleterious influence on the thermoelectric performance of materials, which adds a new term in the total thermal conductivity to significantly reduce *ZT* at high temperature. The thermal conductivity due to the bipolar effect is given by the following, $5, 11$

$$
\kappa_{\text{bipolar}} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} \left(S_e - S_h \right)^2 T \tag{14}
$$

where σ_e , σ_h , S_e , and S_h are the electron conductivity, hole conductivity, electron Seebeck coefficient, and hole Seebeck coefficient, respectively. Conventionally, widening of band gap E_g significantly suppresses the intrinsic excitation, reduces minor carrier, and hence the *κbipolar* ⁶⁵ .

Fig. 6 (a, b) shows the estimated bipolar thermal conductivities of $Mg_2Sn_{1-x}Ge_xSb_y$. The detailed numerical calculation about the bipolar thermal conductivity is shown in the ESI†. It is found that adding Ge obviously reduces *κbipolar*.

Fig. 5. Projected density of states (DOS) for Mg₂Sn (a-d) and Mg₂Ge (e-h). (a, b, e, and f) Energy versus p-DOS, (c, g) Energy versus d(p-DOS)/dE, (d, h) band structure.

Fig. 6. Calculated bipolar thermal conductivity of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a function of (a) temperature and (b) Ge content, (c) band gap of Mg_2Sn_{1-x} y Ge_xSb_y derived from the absorption edge. The reference data in figure (c) ⁵ was from the Ref. 28.

As an example, the bipolar thermal conductivity at 450 $^{\circ}$ C is 0.2 W m⁻¹ K⁻¹ for Mg₂Sn_{0.728}Ge_{0.25}Sb_{0.022}, but 1.2 W m⁻¹ K⁻¹ for $Mg_2Sn_{0.99}Sb_{0.01}$. The significant suppression of $\kappa_{bipolar}$ shifts the peak *ZT* of $Mg_2Sn_{1-x}Ge_xSb_y$ towards higher temperature. In order 10 to investigate the effect of Ge content on the band gap in Mg_2Sn_1 . $_{x}Ge_{x}Sb_{y}$, the Fourier transform infrared spectrum of selected samples were measured to derive the optical band gap. For nearnormal incidence, the complex refractive index *n(ω)* and the extinction coefficient $k(\omega)$ with both the real and imaginary parts ¹⁵ can be obtained from the Krameres-Kronig analysis (see details in ESI†).^{44, 45} Fig. 6 (c) plots the measured band gap of Mg_2Sn_1 . $_{x}Ge_{x}Sb_{v}$ as a function of Ge content. It shows a linear increase

from $\vec{E_g}$ = 0.25 eV to 0.34 eV with increasing Ge content from x $= 0$ to 0.3. At a given Ge content, our measured band gap is ²⁰ slightly smaller than the values obtained in the early work in $Mg_2Sn_{1-x}Ge_x^{28,29}$ which could be due to the heavy doping level of Sb dopant. Additionally, there is an absorption band in the

- lower energy range in $Mg_2Sn_{1-x}Ge_xSb_y$ with Ge content $x \ge 0.1$. As an example, such absorption band is centered at 0.17 eV (Fig. 25 S5, ESI[†]), which may be related to the electronic transition between multiple conduction bands. ^{45, 46} Furthermore, from our new viewpoint suggested by Eq. (10), the widening band gap contributes to the enhancement in the new material parameter *B** and hence increase of maximum *ZT* with respect to doping
- ³⁰ concentration and temperature.

Fig. 7. Calculated lattice thermal conductivity of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a function of (a) temperature and (b) Ge content, and (c) μ / κ _{lat} of Mg₂Sn_{1-x} _yGe_xSb_y as function of Ge content. The unit is cm² V⁻¹ s⁻¹ for μ, W m⁻¹ K⁻¹ ³⁵ for *κlat*.

In the case of $Mg_2Sn_{1-x}Ge_xSb_y$, the E_g of $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.2}$ $(E_g = 0.318 \text{ eV})$ is 27% higher than that of $Mg_2Sn_{0.99}Sb_{0.1}$ ($E_g =$ 0.250 eV), which partially contributes to the enhancement in *B** from 0.85 to 2.73, as shown in Table S1. The different *B ** make ⁴⁰ materials corresponding maximum *ZT* occur at different optimized doping concentration and temperature.

4.4 Decreased lattice thermal conductivity due to alloying effect

Fig. 7 (a, b) shows the lattice thermal conductivity (κ_{lat}) 45 dependence on temperature and Ge content in $Mg_2Sn_{1-x-y}Ge_xSb_y$, respectively. Here, the κ_{lat} was estimated by subtracting the parts contributed by both the charge carriers (*κcarr*) and *κbipolar*. The details about the numerical calculation were provided in the ESI†. The κ_{lat} at room temperature of $Mg_2Sn_{1-x-y}Ge_xSb_y$ is 4.96, 3.59, 50 3.10, 2.70, 2.35, 2.33, and 2.27 W m⁻¹ K⁻¹ for x = 0, 0.05, 0.10, 0.15, 0.20, 0.25, and 0.30, respectively. The continuous decrease in κ_{lat} with increasing Ge content demonstrates a strong alloying scattering to phonon transport because of the mass difference between Ge and Sn. It is noted that similar decrease in μ with 55 increasing Ge was shown before. Fig. 7 (c) plots the ratio of μ (unit: cm² V⁻¹ s⁻¹) to κ_{lat} (unit: W m⁻¹ K⁻¹) as a function of Ge content in $Mg_2Sn_{1-x-y}Ge_xSb_y$. It shows an increased μ/κ_{lat} ratio with increasing Ge content from $x = 0$ to 0.2, and then a decreased μ/κ_{lat} ratio with x > 0.2.

Fig. 8. (a) Ge content dependent peak $(ZT)_{max}$ for $Mg_2Sn_{1-x-y}Ge_xSb_y$, (b) Ge content dependent material parameter B^* for $Mg_2Sn_{1-x-y}Ge_xSb_y$, (c) *(ZT)max* versus *B ** for Mg2Sn1-x-yGexSby, (d) *(ZT)max* versus B* for reported 5 materials. (e) $(ZT)_{max}$ versus conventional *B* for Mg₂Sn_{1-x-y}Ge_xSb_y, (d) *(ZT)max* versus conventional *B* for reported materials.

4.5 Relationship between *B** **and** *ZT*

With the fundamental parameters U^* , κ_{lab} and E_g examined, here we show how the *ZT* enhancement can be understood as a ¹⁰ synergistic effect combining all the benefits in regards to the generalized material parameter B^* . Fig. 8 (a) plots the peak $(ZT)_{\text{max}}$ of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a function of Ge content. It shows a peak value around the Ge content of $x = 0.25$. In order to explore the connection between *ZT* and the fundamental 15 parameter B^* , defined in Eq. (11), B^* is calculated using U^* and E_g at room temperature and κ_{lat} at temperature corresponding to peak $(ZT)_{max}$, as shown in Fig. 8 (b). The calculated B^* shows a continuous increase from 0.85 to 2.73 with increasing Ge content from $x = 0$ to 0.25. Such an enhancement in B^* is contributed by $_{20}$ ~25% enhancement in weighted mobility U^* , ~27% increase in $\vec{E_g}$,

- and ~50 % decrease in κ_{lat} . As compared with x = 0.25, the sample with Ge of $x = 0.3$ has smaller B^* of 2.56 due to a smaller U^* . The Ge content dependent behavior of B^* is quite similar to that of *ZT*. Fig. 8 (c) plots peak $(ZT)_{max}$ of $Mg_2Sn_{1-x-y}Ge_xSb_y$ as a
- 25 function of their corresponding B^* , where it is seen that higher B^* corresponds to a higher $(TT)_{max}$. In order to further confirm the connection between the $(ZT)_{max}$ and B^* , $(ZT)_{max}$ of selected materials, including CoSb_3 , $\overset{43}{}$, $\overset{47}{}$ Bi_2Te_3 , $\overset{48,49}{}$, PbTe ⁵⁰⁻⁵¹ and FeNbSb⁵² was plot as a function of their estimated B^* in Fig. 8 (d).
- ³⁰ The detailed estimated U^* , E_g , and $κ_{lat}$ are summarized in Table S1 (ESI†). It is noted that the reported *(ZT)max* could be either lower or higher than theoretical *(ZT)max* with optimized (*ξ^f* , *ξ^g*) (or (N_D, T)) according to Eq. (10), because of the limited investigated dopant points or the measurement error. A 10% error bar is

 σ ₃₅ therefore added in the $(TT)_{max}$. On the other hand, the estimated *B ** could be less accurate since we do not have the measured *m ** and μ for U^* , and measured E_g . In this case, the term of μ $(m^*)^{3/2}$ is estimated from the measured σ and S, while E_g is estimated using formula $E_g = 2eS_{max}T^{.53}$ A 15% error bar is therefore added \overline{B}^* . A similar conclusion that a higher \overline{B}^* leads to a higher $(ZT)_{max}$ is seen in Fig. 8 (d). For comparison, the $(ZT)_{max}$ versus conventional material parameter *B* was shown in Fig. 8(e, f). Here, T=300K was used to estimate the *B* according to Eq. (1). Fig. 8(e) suggested that the conventional material parameter B 45 was still a good guidance for $Mg_2Sn_{1-x-y}Ge_xSb_y$, but not good enough for other materials. It is therefore reasonable to consider the generalized material parameter *B ** as a new fundamental parameter for exploring better thermoelectric materials, which is directly proportion to \overline{U}^* and E_g , while inversely proportional to κ_{lat} . Eq. (12) suggests that widening of E_g could have equivalent importance as increased *U ** and decreased *κlat*. Recently, Zhao *et al.* reported a high *ZTmax* of 2.0 in PbTe with 6%MgTe. One of the favorable effects from MgTe is the suppressed biplolar thermal conductivity due to the widening of band gap as 55 compared with pure PbTe. The material parameter B^* for PbTe: 6%MgTe was calculated and included in Fig. 8 (d), which also follows the trend. It is noted that the error bar of B^* for PbTe may be even bigger due to the temperature dependent m^* and E_g [.](#page-9-4) 9 Besides of the alloying effect for widening E_g , the carrier filtering ⁶⁰ effect due to the grain boundary could have a similar band gap widening effect. For example, E_g of nano-BiSbTe (0.184 eV) is slightly larger than that of ingot-BiSbTe (0.167 eV), which is consistent with the reported notable reduction in the bipolar thermal conductivity in nano-BiSbTe.⁴⁸ The grain boundary κ s scattering is also responsible the significant decrease in κ_{lat} from ingot-BiSbTe (0.88 W m⁻¹ K⁻¹) to nano-BiSbTe (0.52 W m⁻¹ K⁻¹). However, in most cases, the grain boundary has notorious effect on the carrier mobility, compromising the benefit from the widening band gap and decreased lattice thermal conductivity. A ⁷⁰ selective scattering mechanism for the carriers is desired for such kind of carrier filtering grain boundaries. Similar selective carrier filtering effect was noted in half-Heusler materials.⁵⁴ As we pointed out, increasing U^* , reducing κ_{lat} , or widening E_g can be achieved readily. However, it is challenging to synergistically 75 achieve all of them. $Mg_2Sn_{1-x-y}Ge_xSb_y$ is one good example, which shows a simultaneous $\sim 25\%$ enhancement in *U*^{*}, $\sim 27\%$ increase in E_g , and ~50 % decrease in κ_{lat} by tuning the Ge content, boosting the *ZT* from 0.6 for $Mg_2Sn_{0.99}Sb_{0.01}$ to 1.4 for $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$. The new material parameter B^* opens ⁸⁰ opportunities to further boost the performance of existing materials, and to discover new materials by quantitatively identifying the roles of the fundamental parameters \hat{U}^* , E_g and κ_{la} that affects the thermoelectric performance. It is worthy to point out that the components (U^*, E_g) , and κ_{lat}) among the material \mathbf{s} parameter \mathbf{B}^* are still interconnected with each other from more fundamental point of view. In the ESI†, we show a simply discussion about the relation between U^* and E_g based on \hat{k} p perturbation theory,^{[55](#page-10-1)} and explain why most thermoelectric materials have narrow instead of wide band gap. Further ⁹⁰ explorations into the relation among the parameters in generalized material parameter B^* , would be more insightful to guide the researcher to atomically construct ideal materials with optimized atomic sizes, bonding strengths, and crystalline structures.

⁹⁵ **Conclusion**

In summary, the significant enhancement in the *ZT* values of $Mg_2Sn_{1-x-y}Ge_xSb_y$ from 0.6 at $x = 0$ to 1.4 at $x = 0.25$ was understood through a generalized material parameter *B * ,* proposed to be applicable over a wider temperature range, ⁵ especially taking into account the bipolar effect at high temperatures. This generalized material parameter connects the weighted mobility, lattice thermal conductivity, and the band gap, to characterize material's thermoelectric performance in the twodimensional (doping level and temperature) parameter space. It is

- 10 found that the Ge alloying approach leads to a $\approx 25\%$ enhancement in weighted mobility \hat{U}^* , ~27% increase in E_g , and ~50 % decrease in *κlat*, which resulted in ~220% increase in the material parameter *B ** . The increased weighted mobility corresponds to a significant enhancement in *PF*. The band gap
- ¹⁵ widening leads to an appreciable suppression of the bipolar thermal conductivity. $Mg_2Sn_{1-x-y}Ge_xSb_y$ presents a good example to simultaneously tune the three fundamental parameters U^* , κ_{lab} and E_g . By using the generalized material parameter B^* , the fundamental parameters $(U^*, \kappa_{lat}$ and E_g) can be evaluated on the
- ²⁰ same footing, which we believe will help to better identify new thermoelectric material systems in the future.

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New insight into the material parameter *B* **to understand the enhanced thermoelectric performance of Mg2Sn1-x-yGexSb^y**

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Note: a) estimated from measured σ and S according to the Ref. [*Chem. Mater.* 2008, **20**, 7526-7531.] , and hence no carrier effective mass m^{*} available; b) estimated from $E_g = 2eS_{max}T$; c) this study.

Fig. 1S. Carrier concentration as a function of Sb content and Ge content for sample $Mg_2Sn_{1-x-y}Ge_xSb_y$. It is clearly shown that the carrier concentration varies with Sb rather than Ge in $Mg_2Sn_{1-x-y}Ge_xSb_y$.

Fig. 2S. Temperature dependent diffusivity and specific heat of $Mg_2Sn_{1-x-y}Ge_xSb_y$. (a) Thermal diffusivity, (b) specific heat.

Fig. S3. Reproducibility of thermoelectric properties of $Mg_2Sn_{0.75-y}Ge_{0.25}Sb_y$. (a-e) Samples of $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$ with carrier concentration of 2.7×10²⁰ cm⁻³, and (f-j) samples of $Mg_2Sn_{0.728}Ge_{0.25}Sb_{0.022}$ with carrier concentration of 3.0×10²⁰ cm^{-3} .

Fig. S4. Optimized *ZT* regarding with Fermi energy as a function of reduced band gap for the traditional material parameter $B = 0.2$ (bottom curve), 0.4, 0.6, and 0.8 (top curve) for the assumption of $s = -1/2$, $\zeta_f = 0$, $U_e = U_h$ and $T =$ ⁵ 300K. This shows the effect of band gap using the traditional material parameter *B* and is consistent with Mahan's previous work [*J. Appl. Phys.*, 1989, **65**, 1578-1583.]

Fig. S5. (a) Reflectance spectrum of Mg_2Sn_{1-x} , Ge_xSb_y , (b) absorption spectra of Mg_2Sn_{1-x} , Ge_xSb_y where the across point of the dash line with *hv* axis was used to determine the band gap.

A. Expression of *ZT* **by using Fermi–Dirac statistics in a two-band model**

We consider two simple band, i.e. one parabolic conduction band and one parabolic valence band. By applying Simon's ⁵ theory [J. Appl. Phys. 33, 1830 (1962)] the electrical conductivity σ, Seebeck coefficient S, and thermal conductivity κ could expressed by the contribution of both bands as following,

$$
\sigma = \sigma_e + \sigma_h, \tag{1S}
$$

$$
S = \frac{\sigma_e S_e + \sigma_h S_h}{\sigma_e + \sigma_h},
$$
\n(2S)

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$$
\kappa = \kappa_{\text{lat}} + \kappa_{\text{carr}} + \kappa_{\text{bipolar}}\,,\tag{3S}
$$

$$
\kappa_{\text{carr}} = L_e \sigma_e T + L_h \sigma_h T \tag{4S}
$$

$$
\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} \left(S_e - S_h \right)^2 \,, \tag{5S}
$$

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where the subscript *e* and *h* represent the conduction band and valence band, respectively. Furthermore, the σ_i and S_i could be expressed by using Fermi–Dirac statistics,

$$
\sigma_i = \sigma_{0,i} \frac{F_{1/2}(\xi_{f_i})}{\Gamma(3/2)}, i = e, h,
$$
\n(6S)

$$
S_{i} = \pm \frac{k_{B}}{e} \left(\frac{(s+5/2)F_{s+3/2}(\xi_{f_{-i}})}{(s+3/2)F_{s+1/2}(\xi_{f_{-i}})} - \xi_{f_{-i}} \right),
$$
\n(7S)

$$
\delta_i = \frac{(s+5/2)F_{s+3/2}(\xi_{f-i})}{(s+3/2)F_{s+1/2}(\xi_{f-i})}.
$$
\n(8S)

By introducing a new parameter $\gamma = \sigma_{e} / \sigma_h$ for simplification and the relationships of $\zeta_{f} = \zeta_f$ and $\zeta_{f} = -\zeta_f - \zeta_g$, the definition

$$
z_{1} \text{ of } Z \text{T, } i.e., Z \text{T} = S^{2} \sigma \text{T/K, could turns into following}
$$
\n
$$
Z \text{T} = \frac{\left(\delta_{e} - \xi_{f} - \frac{\delta_{e} + \delta_{h} + \xi_{g}}{1 + 1/\gamma}\right)^{2} (1 + \gamma)}{\left(\left(B^{*}\right)^{F_{1/2}\left(\xi_{f}\right) / \Gamma\left(3/2\right)}\right)^{-1} + \frac{\left(\delta_{e} + \delta_{h} + \xi_{g}\right)^{2}}{1 + 1/\gamma} + \left(\frac{e}{k_{B}}\right)^{2} (L_{e} + \gamma L_{h})},
$$
\n(9S)

$$
B^* = \frac{1}{k_B} \left(\frac{e}{k_B}\right)^2 \frac{\sigma_{0,e}}{\kappa_{lat}} E_{g_joule} \,,\tag{10S}
$$

Eq. (9S-10S) is just the exactly form we used in main text.

B. Calculation of m* from Hall coefficient and Seebeck coefficient.

⁵The carrier effective mass *m** was derived from the carrier density relationship with Fermi Dirac integral,

$$
n = 2\left(\frac{2\pi m^* k_B T}{h^2}\right)^{3/2} F_{1/2}\left(\xi_f\right),\tag{11S}
$$

$$
F_n\left(\xi_f\right) = \int_0^\infty \frac{\chi^n}{1 + e^{\chi - \xi_f}} d\chi\tag{12S}
$$

Here, *ξ^f* was calculated from the measured Seebeck coefficient (*S*) with acoustic phonon scattering as dominant scattering ¹⁰ mechanism, *i.e*., s = -1/2.

$$
S = \pm \left(\frac{(s+5/2)F_{s+3/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)} - \xi_f \right).
$$
\n(13S)

The carrier concentration *n* was calculated from measured Hall coefficient (R ^{*H*}) and Hall factor r ^{*H*},

$$
n = \frac{r_H}{eR_H},\tag{14S}
$$

$$
r_{H} = \frac{3}{2} \frac{F_{1/2}(\xi_{f}) F_{-1/2}(\xi_{f})}{\left(F_{-1/2}(\xi_{f})\right)^{2}}.
$$
\n(15S)

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C. Calculation of Lattice and bipolar thermal conductivity

Owing to the intrinsic excitation, the contribution of bipolar effect to the contribution of thermal conductivity needs to be taken into account to explain the widely observed raising tail of thermal conductivity at high temperature for most thermoelectric materials. The total thermal conductivity is composed of three parts as following,

$$
\kappa_{tot} = \kappa_{lat} + \kappa_{carr} + \kappa_{bipolar} \quad , \tag{16S}
$$

$$
\kappa_{\text{carr}} = L\sigma T \quad , \tag{17S}
$$

$$
\kappa_{\text{bipolar}} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} \left(S_e - S_h \right)^2 T \quad , \tag{18S}
$$

where the κ_{tot} , κ_{lat} , κ_{carr} , and κ_{bipolar} are the total, lattice, carrier, and bipolar thermal conductivity, respectively. The Lorenz number *L* is Fermi energy related parameter,

$$
L = \left(\frac{k_B}{e}\right)^2 \left(\frac{(s+7/2)F_{s+5/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)} - \left(\frac{(s+5/2)F_{s+3/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)}\right)^2\right),
$$
\n(19S)

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¹⁵ Here, the *s* is the scattering parameter based on the relaxation time approximation for the electronic transport. For acoustic phonon dominant scattering mechanism, *s* is -1/2. The reduced Fermi energy *ξf* near room temperature could be estimated from the Seebeck coefficient on the basis of single band approximation formula Eq. (13S). The reduced Fermi energy $\zeta_f(T)$ at the higher temperature is required to solve the generalized charge neutrality equations (Eq. (20S-22S)) at a given temperature

$$
n = p_0 + N_D, \tag{20S}
$$

$$
n = 2 \left(\frac{2 \pi m_e^* k_B T}{h^2} \right)^{3/2} F_{1/2} \left(\xi_f \right), \tag{21S}
$$

$$
p = 2 \left(\frac{2 \pi m_e^* k_B T}{h^2} \right)^{3/2} F_{1/2} \left(-\xi_f - \xi_g \right),
$$
 (22S)

For the estimation of the $\kappa_{bipolar}$ according to Eq. (18S), we need estimated S_e , S_h , σ_e and σ_h . We can get S_e and S_h from Eq. (13S) by using the estimated ζ_f *(T)*, respectively. The estimation of σ_e and σ_h are based on the calculated carrier ²⁵ concentration and the fitting carrier mobility. *m*^e* was obtained from the measured carrier concentration and Seebeck coefficient of Mg₂Sn_{1-x-y}Ge_xSb_y in this study, while m^* _h = 1.3 m₀ was used according to the Ref. [V. K. Zaitsev, CRC Press, New York, 2005]. μ_e was estimated from (σ_e +σ_h)/(e(n+p)), while u_h was used to fitting the temperature dependent *σ* and *S*. The temperature coefficient of the band gap of Mg_2Sn_{1-x} , Ge_xSb_y were estimated from interpolation between the reported value for Mg_2Sn ($dE_g/dT = 3 \times 10^4 eV/K$) and Mg_2Ge ($dE_g/dT = 1.8 \times 10^4 eV/K$) from Ref. [V. K. Zaitsev, CRC Press, New

³⁰ York, 2005]. The effect of the dopant Sb and extra Mg on the *dEg/dT* was neglected.

D. Calculation of band gap from the Fourier transform infrared spectrum

The Fourier transform infrared spectrums of selected samples were measured to derive the optical band gap. For near-normal incidence, the complex refractive index *n(ω)* and the extinction coefficient *k(ω)* with both the real and imaginary parts can be obtained from the Krameres-Kronig analysis as following,

$$
n(\omega) = \frac{1 - R(\omega)}{1 + R(\omega) - 2[R(\omega)]^{1/2} \cos[\Theta(\omega)]} \tag{23S}
$$

$$
k(\omega) = \frac{2[R(\omega)]^{1/2} \sin[\Theta(\omega)]}{1 + R(\omega) - 2[R(\omega)]^{1/2} \cos[\Theta(\omega)]},
$$
\n(24S)

where $\Theta(\omega)$ is the phase shift, which is,

$$
\Theta(\omega) = \frac{\omega}{\pi} \int_0^\infty \frac{\ln[R(\omega)] - \ln[R(\omega)]}{\omega^2 - {\omega'}^2} d\omega' \,. \tag{25S}
$$

The extinction coefficient $k(\omega)$ is used to calculate the frequency dependent absorption coefficient $\alpha(\omega)$ through the relation,

$$
a(\omega) = 4\pi \omega k(\omega) \quad . \tag{26S}
$$

Finally, the $(ahv)^{1/2}$ was plotted as a funcation of the energy *hv*, as shown in Fig. S7. The across point of the dash line with *hv* axis was used to determine the band gap

¹⁵ **E. Connections among the components of** *B**

It is worthy pointing out that the components (U^*, E_g) , and κ_{lat}) determining the material parameter B^* are still interconnected with each other from a more fundamental point of view. Here, we show a simple discussion about the deeper relation between U^* and E_g . Firstly, Let us to recall the defination of U^* in the main body,

$$
U^* = \mu \big(m^* / m_0 \big)^{3/2} T^{3/2} \tag{S27}
$$

Then, we replace the carrier effective mass term $(m^*)^{3/2}$ in the defination of U^* with $N_v(m_b^*)^{3/2}$ where m_b^* and N_v are the band effective mass m_b^* and the number of degenerate band valley N_v , respectively.

$$
U^* = \mu N_v \left(m_b^* / m_0 \right)^{3/2} T^{3/2}
$$
 (S28)

²⁵ Considering the acoustic phonon as dominant carrier scattering mechanism for most thermoelectric materials, *μ* in Eq. (S28) could be further evolved using the deformation potential E_d , elastic constant C_l (Similar treatment could be found in Ref[. 9.](#page-9-4)), and then Eq. (S27) turns into,

$$
U^* \propto \frac{N_v C_l}{\left(m_b^*/m_0\right)E_d} \tag{S29}
$$

According to Eq. S29, a larger N_v and smaller m_b^* are favorable for higher U^* . Now the material parameter B^* could be ³⁰ rewritten as,

$$
B^* \propto \frac{N_v C_l}{E_d \kappa_{lat}} \frac{E_g}{(m_b^* / m_0)}
$$
(S30)

Based on the k p perturbation theory, m_b^* is related to E_g , conduction band wave function Γ_c , and valance band wave function *Γ^c* .

$$
\frac{1}{m_b^*} = \frac{1}{m_0} + \frac{2\left|\left\langle \Gamma_c \left| k \cdot p \right| \Gamma_v \right\rangle\right|^2}{m_0^2 k^2 E_g} \tag{S31}
$$

- ⁵ This relation essentially describes the fact that the effective mass of a free electron in one band changes due to the coupling to the electrons in other bands. For non-degenerate conduction band electrons, it has been shown that a material with larger E_g usually has a heavier m_b^* that corresponds to a lower mobility, according to Ref. 55. According to the data for typical V (Ge), III-V (GaN, GaAs, GaSb, InP, InP and InAs), II-VI (ZnS, SnSe, ZnTe, CdTe) semiconductor, the ratio of $E_g/(m_b^*/m_0)$ decreases with increase of band gap. This simplified picture partly explains why most of the well-known thermoelectric
- ¹⁰ materials are narrow band semiconductor. However we want to caution that for thermoelectric materials where heavy atoms are usually involved, spin-orbit coupling needs to be considered and the above formalism needs to be carefully examined with more details. Additionally, the controllable doping for many semiconductors with wide band-gap are still a technical challenge which also prevents people from more widely investigating their thermoelectric performance. Further explorations into the relation among the parameters in generalized material parameter *B**, described in Eq. S28, would be
- ¹⁵ more insightful to guide the researcher to atomically construct ideal materials with optimized atomic sizes, bonding strengths, and crystalline structures.

F. **Estimated U* from measured S and σ**

For the samples without data from Hall measurement in the Table S1, including CoSbTe, CoSbTeSn, ingot-BiSbTe, nano-²⁰ BiSbTe, and PbTe-1, U^{*}s were estimated from the measured Seebeck coefficient *S* and electrical conductivity *σ* at room temperature as following,

$$
S = \pm \frac{\kappa_B}{e} \left(\left(s + \frac{5}{2} \right) - \xi_f \right) \tag{S32}
$$

$$
e \left(\left(2 \right)^{-1} \right)
$$

$$
\sigma = 2e \left(\frac{2\pi m_0 k_B T}{h^2} \right)^{3/2} \left(m^* / m_0 \right)^{3/2} \mu \exp \left(\xi_f \right) = A \cdot U^* \exp \left(\xi_f \right)
$$
 (533)

Here, A is a constant for simplification,

$$
S = \pm \frac{\kappa_B}{e} \left(\left(s + \frac{5}{2} \right) + \ln A + \ln U^* - \ln \sigma \right)
$$
 (S34)

$$
U^* = \exp\left\{ \left(|S| \frac{e}{k_B} \right) + \ln \sigma - \left(s + \frac{5}{2} \right) + \ln A \right\}
$$
 (S35)

The error bar for U* by using this method should be similar to that of the term $\mu(m^*/m_0)^{3/2}$, which has been discussed in *Chem. Mater.* 2008, **20**, 7526-7531.