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Geometry-dependent viscosity reduction in sheared active fluids

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We investigate flow pattern formation and viscosity reduction mechanisms in active fluids by studying a generalized Navier-Stokes model that captures the experimentally observed bulk vortex dynamics in microbial suspensions. We present exact analytical solutions including stress-free vortex lattices and introduce a computational framework that allows the efficient treatment of higher-order shear boundary conditions. Large-scale parameter scans identify the conditions for spontaneous flow symmetry breaking, geometry-dependent viscosity reduction, and negative-viscosity states amenable to energy harvesting in confined suspensions. The theory uses only generic assumptions about the symmetries and long-wavelength structure of active stress tensors, suggesting that inviscid phases may be achievable in a broad class of nonequilibrium fluids by tuning confinement geometry and pattern scale selection.

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I. INTRODUCTION

Self-driven vortical flows in microbial [1,2] and synthesized [3–8] active liquids often exhibit an emergent dominant length scale [9–12], distinctly different from the scale-free spectra of conventional turbulence [13]. Experimentally observed vortices in dense bacterial suspensions typically have diameters $\Lambda \sim 50\text{--}100 \mu\text{m}$ [9,12,14] and decay within a few seconds in a bulk fluid [14]. However, when the suspension is enclosed by a small container of dimensions comparable to Λ , individual vortices become stabilized for several minutes [15,16] and can be coupled together to form magnetically ordered vortex lattices [17]. Another form of confinement-induced symmetry breaking was observed recently in a microfluidic realization of bacterial “racetracks” [18]. For sufficiently narrow tracks of diameter much less than Λ , bacteria spontaneously aligned their swimming directions to form persistent unidirectional currents. These examples illustrate the importance of confinement geometry for flow-pattern formation in nonequilibrium liquids. Conversely, biologically or chemically powered fluids may profoundly affect the dynamics of moving boundaries as active components can significantly alter the effective viscosity of the surrounding solvent fluid [19–21]. In particular, recent shear experiments suggest that *Escherichia coli* bacteria can create effectively inviscid flow if their concentration and activity are sufficiently large to support coherent collective swimming [22]. From a theoretical perspective, it is desirable to identify minimal hydrodynamic models that are analytically tractable and can account for the aforementioned experimental observations.

Previous theoretical work [23–30] identified viscosity reduction mechanisms [19,22] in dilute active suspensions, corresponding to the limit case when steric and near-field interactions between active components and collective dynamical effects become negligible. Important analytical and numerical insights into the dynamics and rheology of dilute suspensions were obtained by considering how individual microswimmers and their force dipoles align with an externally imposed shear flow [23] and by studying kinetic models [26,31] that couple effective one-particle Fokker-Planck equations for the particle dynamics with Stokes flows [32]. Considerably less is known about the viscous properties of concentrated active suspensions, since perturbative approaches become inaccurate when the bulk dynamics is dominated by the vortical flow patterns that are collectively generated by interacting bacteria or sperm cells [2,9–12]. To understand better the rheology of dense pattern-forming active fluids, we investigate here a generalization of the classical

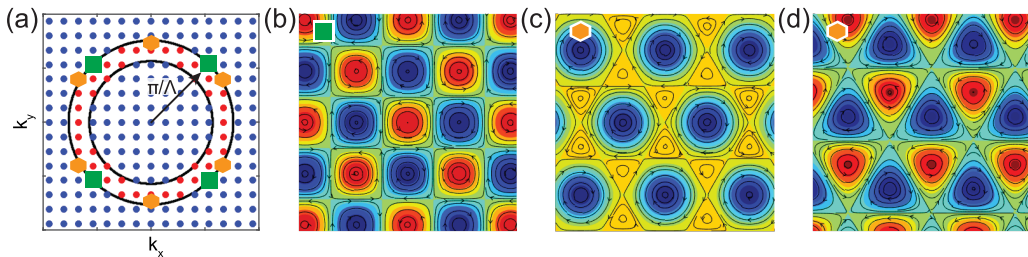


FIG. 1. Exact periodic solutions of Eqs. (3) include inviscid vortex lattices. (a) Linear stability analysis of Eqs. (3), for a square domain with periodic boundary conditions, identifies three types of Fourier modes: dissipative (blue), active (red), and neutral (black circles). Neutral modes can be combined through the superposition (4) to form exact stationary stress-free solutions of the nonlinear equations (3). (b) Square lattice solution $\psi = \cos(\frac{kx}{\sqrt{2}})\cos(\frac{ky}{\sqrt{2}})$, corresponding to the green squares in panel (a). (c) Hexagonal kagome lattice solution $\psi = 2\cos(\frac{ky}{2})\cos(\frac{\sqrt{3}kx}{2}) - \cos(ky)$, corresponding to the orange hexagons in (a). This flow topology is very similar to Abrikosov lattices found in quantum superfluids (cf. figures in Refs. [38,39]). (d) Triangular lattice solution $\psi = -\cos(ky) - \cos(k\frac{\sqrt{3}x-y}{2}) - \sin(k\frac{\sqrt{3}x+y}{2})$, also corresponding to the orange hexagons in (a), but with different mode amplitudes. In all three cases, k can be chosen as the radius of the inner or the outer inviscid circle (black) in (a).

Navier-Stokes (NS) equations [33], based on a phenomenological description of non-Newtonian fluids through higher-order stress tensors [34–36]. As verified recently [37], a three-dimensional (3D) version of this model captures essential aspects of the experimentally measured bulk fluid velocity statistics in bacterial and ATP-driven microtubule suspensions [5,14]. Here we focus on 2D shear geometries relevant to thin-film experiments [10].

After a brief summary of the main physical assumptions underlying the phenomenological model, we will derive exact 2D bulk solutions for the generalized hydrodynamic equations. These marginally stable solutions include stress-free Abrikosov [38,39] lattices (Fig. 1), suggesting the possibility of quasisuperfluid flow states in generic pattern-forming fluids. We then complement the analytical considerations with large-scale simulations, introducing a numerical framework that allows the efficient treatment of higher-order shear boundary conditions. Our results show that a two-parameter extension of the classical Navier-Stokes theory can describe the recently reported spontaneous symmetry-breaking phenomena [18] and inviscid phases of bacterial suspensions [22]. Furthermore, this phenomenological theory yields testable predictions for viscosity resonances mediated by topological defects in the stress field and may provide guidance for the optimal design of Taylor-Couette motors [40] powered by active mesoscale turbulence [10,11,14,41–43]. Generally, our analysis suggests that hydrodynamic low-viscosity modes can be induced by generic scale-selection and pattern-formation mechanisms and that such modes can be selected and exploited by an optimal tuning of active vortex scales and boundary geometry.

II. THEORY

A. Generalized Navier-Stokes model

In contrast to earlier work that studied the velocity field of the active subcomponents [11,14], the discussion below focuses exclusively on the solvent flow dynamics relevant to shear experiments. We describe the incompressible solvent flow field $\mathbf{v}(t, \mathbf{x})$ in the presence of micro-organisms or other active components by the NS equations

$$\nabla \cdot \mathbf{v} = 0, \quad (1a)$$

$$\partial_t \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{v} = -\nabla p + \nabla \cdot \boldsymbol{\sigma}, \quad (1b)$$

where $p(t, \mathbf{x})$ denotes the local pressure. The effective stress tensor $\sigma(t, \mathbf{x})$ comprises passive contributions from the intrinsic fluid viscosity and active contributions representing the forces exerted by the microswimmers on the fluid [44–46]. Active stresses can give rise to instabilities and rich flow dynamics in the solvent fluid [32,45,47–50]. In particular, when the concentration of the active component becomes sufficiently high, the resulting flow patterns can exhibit a dominant finite length scale. For example, bacteria swimming collectively through an ambient fluid create 3D bulk vortices of typical diameter $\Lambda \sim 50\text{--}100 \mu\text{m}$ independent of container size $L \gg \Lambda$ [12,14,15], if the bacterial volume filling fraction exceeds a few percent. Similarly, dense quasi-2D suspensions of swimming sperm cells [2] and motility assays of protein filaments driven by molecular motors [4] form rotating structures with a narrow vortex-size distribution. Phenomenologically, the experimentally observed scale selection [11,15,18] and the vortical flow patterns [5,12,14] can be efficiently described through the stress tensor [33,37]

$$\boldsymbol{\sigma} = (\Gamma_0 - \Gamma_2 \nabla^2 + \Gamma_4 \nabla^4) [\nabla \mathbf{v} + (\nabla \mathbf{v})^\top], \quad (2)$$

where the higher-order derivatives $\nabla^{2n} \equiv (\nabla^2)^n$, $n \geq 2$, account for non-Newtonian effects [51]. The one-dimensional version of Eqs. (1) and (2) is also known as the generalized Nikolaevskiy model [34] and has been studied in the context of soft-mode turbulence and nonlinear seismic waves [35,36]. Below we will extend these studies by investigating Eqs. (1) and (2) in 2D shear geometries.

Intuitively, Eq. (2) is obtained by truncating a long-wavelength expansion of the (unknown) full stress tensor [51]. For $\Gamma_2 = \Gamma_4 = 0$, Eqs. (1) and (2) reduce to the standard NS equations of a passive fluid with kinematic viscosity $\Gamma_0 > 0$. For $\Gamma_0 > 0$, $\Gamma_4 > 0$, and $\Gamma_2 < 0$, the ansatz (2) is the simplest choice of an active stress tensor that is isotropic, selects vortices of a characteristic scale, and yields a stable theory at small and large wave numbers [33]. The transition from an active to a passive fluid, which can be realized experimentally through ATP or nutrient depletion, corresponds to a sign change from $\Gamma_2 < 0$ to $\Gamma_2 \geq 0$, whereas the non-negativity of Γ_0 and Γ_4 follows from general stability considerations.

For scale-free passive Newtonian fluids, Γ_0 encodes collective molecular interactions and thermal effects, while higher-order effects can typically be neglected. For pattern-forming active fluids, the effective parameters Γ_0 , Γ_2 , and Γ_4 contain contributions from microscopic interactions, thermal and athermal fluctuations, and other nonequilibrium processes. In this case, Γ_0 describes the damping of long-wavelength perturbations on scales much larger than the typical correlation length of the coherent flow structures, whereas Γ_2 and Γ_4 account for the growth and damping of modes at intermediate and small scales. For suitably chosen values of Γ_0 , Γ_2 , and Γ_4 , Eqs. (1) and (2) reproduce the experimentally observed bulk vortex dynamics of bacterial suspensions [10,12,14] (Fig. 2). These nonequilibrium flow structures can be characterized in terms of the typical vortex size $\Lambda = \pi \sqrt{2\Gamma_4/(-\Gamma_2)}$, growth time scale $\tau = \tau(\Gamma_0, \Gamma_2, \Gamma_4)$ (Appendix C) and circulation speed $U = 2\pi \Lambda/\tau$. For example, the parameter choice $\Gamma_0 = 10^3 \mu\text{m}^2/\text{s}$, $\Gamma_2/\Gamma_0 = -1.24 \times 10^2 \mu\text{m}^2$, $\Gamma_4/\Gamma_0 = 3.53 \times 10^4 \mu\text{m}^4$ yields values $\Lambda = 75 \mu\text{m}$, $\tau = 6.6 \text{ s}$, and $U = 72 \mu\text{m}/\text{s}$ that are in the range of those expected for bacterial suspensions [10,12,14]; see the Supporting Information of Ref. [37] for a detailed comparison with 3D experimental systems. More generally, however, truncated polynomial stress tensors of the form (2) can be expected to provide useful long-wavelength approximations for a broad class of pattern-forming liquids, including magnetically [52], electrically [53], thermally [54–56], or chemically [57,58] driven flows.

B. Importance of inertial nonlinearities

Below we will see that pattern-forming active suspensions described by Eqs. (1) and (2) can exhibit frictionless and negative-viscosity dynamics, in qualitative agreement with recent experimental observations [22]. Whenever active and viscous stresses can effectively cancel to realize low-viscosity flow states, the nonlinear advective terms in the hydrodynamic equations must not be neglected. The widely adopted Stokes flow approximation, which is based on computing the Reynolds number using the viscosity of water and the typical length scale and propulsion speed

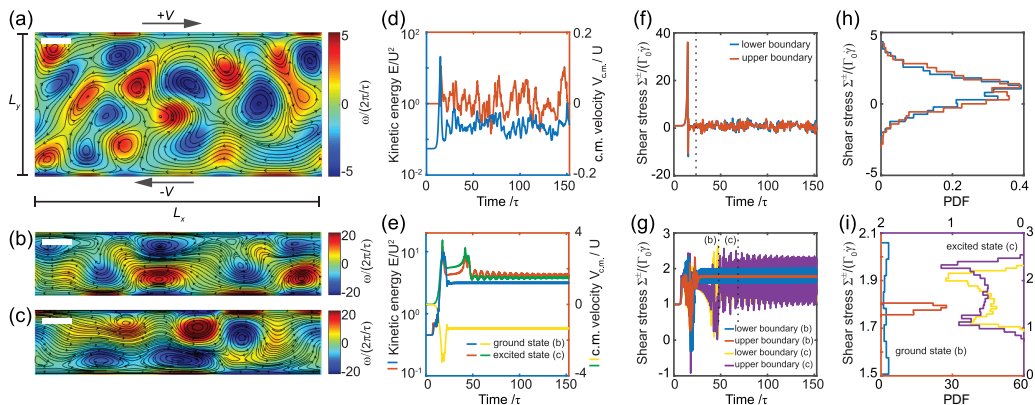


FIG. 2. Active shear flows exhibit qualitatively different dynamics, velocity statistics, and symmetry-breaking behavior depending on confinement geometry (L_x, L_y) and applied shear speed V (see also Fig. 3). (a), For wide channels of width $L_y = 5\Lambda$ and weak shear $V = 0.57U$, typical flow configurations realize advectively mixed vortex lattices (see movie 1 in Ref. [67]). The characteristic circulation speed U and growth-time scale τ of the bulk vortices are defined in Appendix C. The scale bar shows bulk vortex size Λ and the color map encodes vorticity. (b) and (c) For narrow channels with $L_y = 2.2\Lambda$ and strong shear $V = 1.65U$, the active fluid exhibits spontaneous symmetry breaking resulting in unidirectional transport of the fluid's center of mass (see movies 2 and 3 in Ref. [67] and Fig. 4). Depending on initial conditions, qualitatively different (b) low-energy (see movie 2 in Ref. [67]) and (c) high-energy (see movie 3 in Ref. [67]) flow states can arise for identical system parameters. (d) and (e) The kinetic energy time series $E(t)$ for the simulations in (a)–(c) illustrate relaxation to statistically stationary states. The center-of-mass velocity $V_{c.m.}(t)$ indicates persistent macroscopic average flows through the channel (see movies 2 and 3 in Ref. [67] and Fig. 4). (f) and (g) The spatially averaged mean shear stresses $\Sigma^\pm(t)$, rescaled by kinematic viscosity Γ_0 and shear rate $\dot{\gamma}$, reveal top-bottom symmetry breaking in narrow channels (b), (c), and (g) and exhibit large temporal variability, resulting in a substantial variance of the effective shear viscosity (see also Fig. 5). Vertical dotted lines indicate the start time T of the temporal averaging periods for the results depicted in Figs. 3(a) and 3(b). (h) and (i) Shear stress histograms constructed from the time series in (f) and (g) for $t > T$ reflect the top-bottom flow asymmetry in narrow channels.

of a single microswimmer [59], is only valid for sufficiently dilute suspensions. Experimental data for bacterial fluids [10,12,14] imply that at higher concentrations, when collective effects dominate the suspension dynamics, the low-Reynolds-number assumption may be violated for the following reasons. First, collective locomotion speeds of bacteria at moderate to high concentrations (equal to or greater than 5% volume fraction) can be an order of magnitude larger than the self-propulsion speed of an individual bacterium [10]. Second, the typical scale of a vortex is one or two order of magnitudes larger than the length of an individual cell [9,12,14]. Third, two experimental studies show that the collective dynamics can reduce the effective viscosity of a bacterial suspension by an order of magnitude [19,22]. The combination of these three effects means that, in the collective swimming regime, the effective Reynolds number is no longer small and hence nonlinear inertial terms remain important. This is especially true for effectively inviscid (superfluid [22,60]) active suspensions.

C. Analytical solutions and zero-viscosity modes

The generalized NS equations (1) and (2) are valid in arbitrary dimensions. Here we focus on the 2D case relevant to free-standing¹ thin-film experiments [10]. In a planar 2D geometry \mathcal{D} with

¹To describe thin-film experiments performed on a substrate, one could add a linear damping term $-\gamma_0 \mathbf{v}$ in the NS equations to account phenomenologically for the substrate friction. However, such a modification would

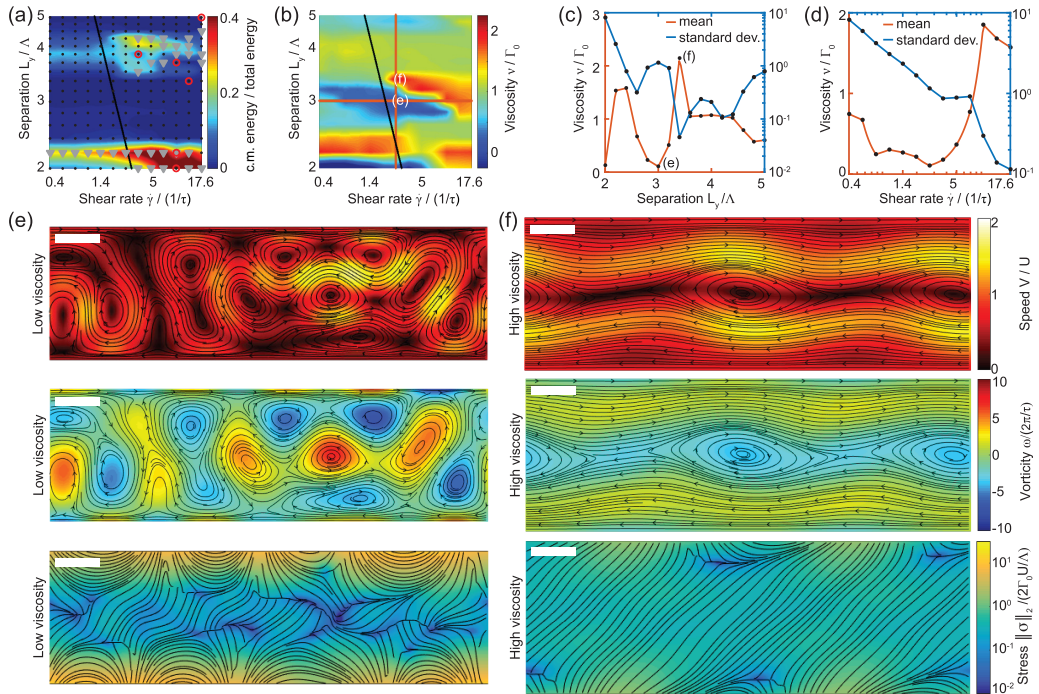


FIG. 3. Numerical simulations of Eq. (3) identify the conditions for spontaneous left-right symmetry breaking and low-viscosity states. (a) The relative mean kinetic energy of the fluid's center of mass signals spontaneous symmetry breaking. Averages over ten simulation runs for each of the 208 simulated parameter pairs (markers) were connected using spline interpolations. Black dots show simulations settled into a single class of statistically stationary kinetic energy ground states. Red circles indicate that, addition to the ground state, long-lived excited states are observed for randomly sampled initial conditions (Appendix A). Gray triangles indicate the occurrence of dynamical symmetry breaking characterized by unidirectional fluid transport with mean speed greater than $0.25U$ persisting over time scales greater than 100τ . Here Λ and τ are, respectively, the characteristic bulk vortex size and the characteristic time scale in an equivalent system with periodic boundary conditions. The black diagonal line marks the corresponding characteristic flow speed U , separating the low-shear from the high-shear regime. (b) The dark blue domains in the effective viscosity phase diagram correspond to quasi-inviscid parameter regimes. (c) Vertical cut through (b) at constant shear rate $\dot{\gamma} = 2.7\tau^{-1}$ showing oscillatory behavior of the shear viscosity with boundary separation L_y . Viscosity fluctuations are maximal when an integer number n of vortices fits between the boundaries, $L_y \approx n\Lambda$. (d) Horizontal cut through (b) at constant separation $L_y = 3\Lambda$, illustrating the suppression of viscosity fluctuations at high shear (see also Fig. 5). (e) and (f) Representative flow fields, with local speed (top) and vorticity (middle) shown as background, and corresponding stress fields (bottom) in (e) the low-viscosity regime (see movie 4 in Ref. [67]) and (f) the high-viscosity regime (see movie 5 in Ref. [67]). These simulations were performed at the same shear rate but different boundary separations, as indicated in (b) and (c). The spectral norm $\|\sigma\|_2$, corresponding to the largest eigenvalue of the stress tensor σ , and the associated director field reveal the presence of zero-stress defects in the bulk as well as half loops in the stress-field lines along the edges for the low-viscosity states [bottom of (e); see also movie 4 in Ref. [67]].

merely lead to a trivial shift of the dispersion relation. Therefore, if the damping is not supercritical and active vortical flows are not completely suppressed, then one can expect that the main results of this study remain valid qualitatively for films on substrates.

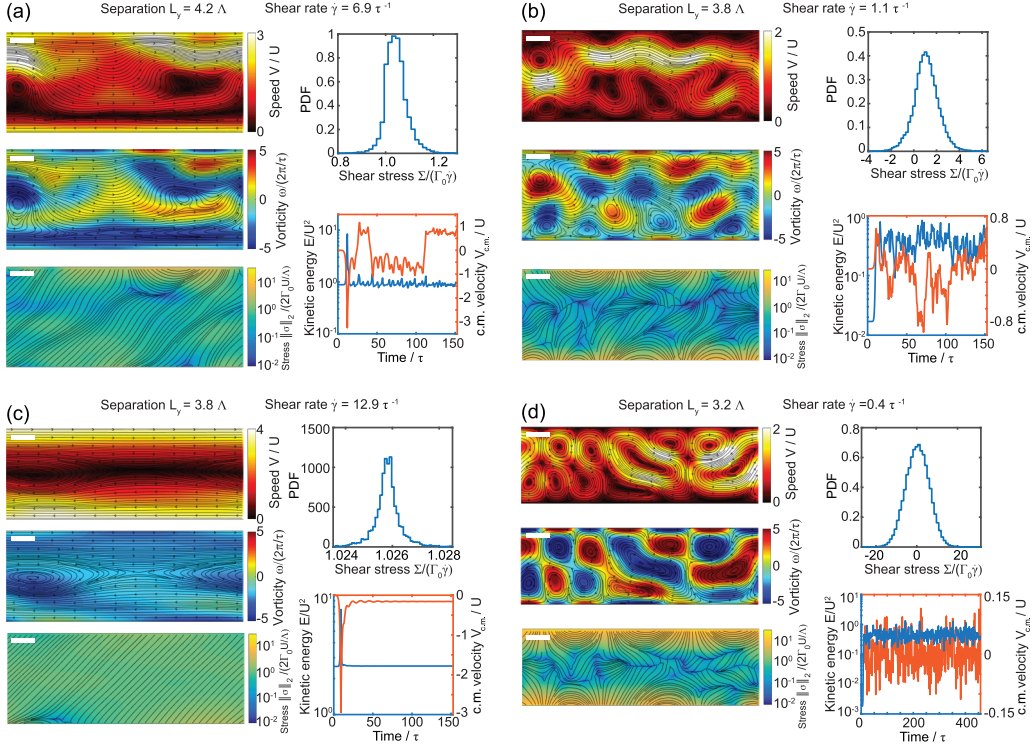


FIG. 4. Additional flow examples for various channel widths L_y and shear rates $\dot{\gamma}$. The shear stress histograms represent averages over ten or more runs.

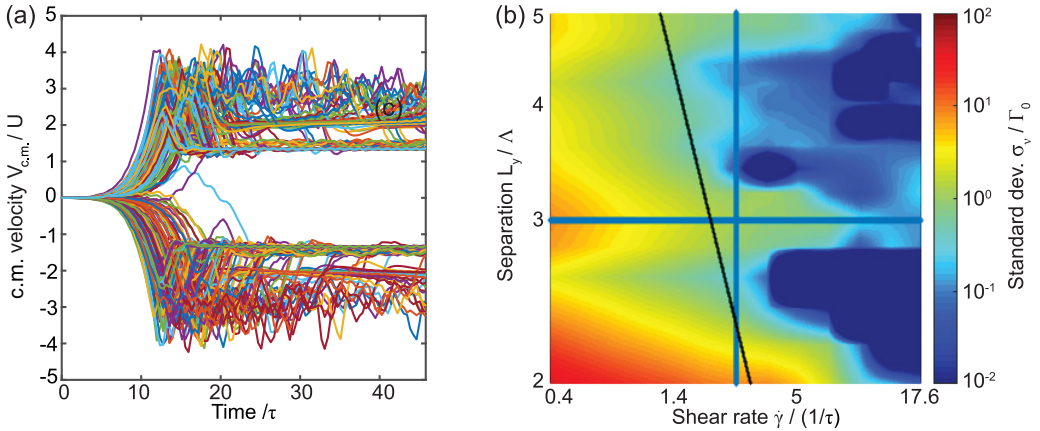


FIG. 5. (a) Validation that the flow symmetry breaking is observed with equal probability for both directions. The parameters are the same as in Figs. 2(b) and 2(c) ($L_y = 2.2\Lambda$ and $V = 1.65U$). For 300 runs, we obtained 46.3:53.7 for the relative proportions of left-right symmetry breaking. (b) Standard deviation of the effective viscosity shown in Fig. 3(b). We distinguish between two regimes whose boundary (black line) is defined by the shear speed V being equal to the characteristic vortex speed U . At small shear $V < U$, the standard deviation is inversely proportional to the shear rate. That is, in the weak-shear regime, the fluctuations of the shear stress Σ depend only on the channel width L_y . At large shear $V > U$, the flow becomes more stable and the standard deviation quickly becomes orders of magnitude smaller than Γ_0 . Blue lines indicate horizontal and vertical cuts shown in Figs. 3(c) and 3(d).

boundary $\partial\mathcal{D}$, we may rewrite Eqs. (1) and (2) in vorticity–stream-function form (Appendix B)

$$\partial_t\omega + \nabla\omega \wedge \nabla\psi = -\mathbf{H} \cdot \nabla\omega + \Gamma_0\nabla^2\omega - \Gamma_2\nabla^4\omega + \Gamma_4\nabla^6\omega, \quad (3a)$$

$$\nabla^2\psi = -\omega, \quad (3b)$$

where the vorticity $\omega = \nabla \wedge \mathbf{v} = \epsilon_{ij}\partial_i v_j$ is defined in terms of the 2D Levi-Civita tensor ϵ_{ij} , ψ is the stream function, and \mathbf{H} is a harmonic field related to the fluid’s center-of-mass (c.m.) motion. The components of the flow field $\mathbf{v} = (v_1, v_2)$ are recovered from the Hodge decomposition [61] as $v_i = \epsilon_{ij}\partial_j\psi + H_i$ (Appendix B).

We construct a family of exact nontrivial stationary solutions of the nonlinear partial differential equations (PDEs) (3) in free space. To this end, we focus on the center-of-mass frame with $\mathbf{H} = 0$ and consider the stream-function ansatz

$$\psi(r, \theta) = \int_0^{2\pi} d\phi \hat{\psi}(\phi) e^{-ikr \cos(\phi - \theta)}, \quad (4)$$

where $k = \sqrt{k_x^2 + k_y^2}$ is a fixed wave-number radius and (r, θ) are polar position coordinates. The superposition (4) yields the vorticity

$$\omega = -\nabla^2\psi = k^2\psi \quad (5)$$

and hence eliminates the nonlinear advection term in Eq. (3a) because

$$\nabla\omega \wedge \nabla\psi = k^2\nabla\psi \wedge \nabla\psi = 0. \quad (6)$$

Thus, to obtain a stationary solution of Eqs. (3), we need to fix k such that the right-hand side of Eq. (3a) vanishes. This criterion can be fulfilled if k satisfies the polynomial equation

$$k^2(\Gamma_0 + \Gamma_2k^2 + \Gamma_4k^4) = 0, \quad (7)$$

which has real roots if $\Gamma_2 < 0$ and $\Gamma_2^2 > 4\Gamma_0\Gamma_4$.

One can further show that the stress tensor defined in Eq. (2) vanishes identically, $\boldsymbol{\sigma} \equiv 0$, for stationary solutions of this type. Thus, these solutions are stress-free modes, describing effectively frictionless flow states [Fig. 1(a)]. An interesting subclass of exact stationary solutions included in Eq. (4) is vortex lattices. By superimposing a small number of k modes that lie on one of the two stress-free rings, with $\hat{\psi}$ being a sum of suitably weighted Dirac δ functions, one can construct rectangular, hexagonal, and triangular lattices [Figs. 1(b)–1(d)], whereas oblique lattices are forbidden by rotational symmetry. The stress-free solutions lie at the interface of the stable and unstable modes [Fig. 1(a)]. We next demonstrate through simulations that effectively inviscid behavior remains observable in shear experiments for optimized geometries.

III. SIMULATIONS

A. Numerical shear experiments

To study the rheology of Eqs. (3), we simulate a typical shear experiment [22] in which two parallel boundaries are moved in opposite directions, both at a constant speed V [Figs. 2(a)–2(c)]. Specifically, we consider a rectangular domain $(x, y) \in \mathcal{D} = [-L_x/2, L_x/2] \times [-L_y/2, L_y/2]$ with periodic boundary conditions in the x direction and nonperiodic shear boundary conditions in the y direction [Fig. 2(a)]. In this case, the harmonic field $\mathbf{H}(t, \mathbf{x}) = V_{\text{c.m.}}(t)\hat{\mathbf{x}}$ coincides with the center-of-mass velocity and hence is governed by Newton’s force-balance law, where the force acting on the fluid is obtained by integrating the stress tensor $\boldsymbol{\sigma}$ over the boundary (Appendix B).

As common in the shear analysis of passive fluids [62], we assume no-slip boundary conditions for the flow field $\mathbf{v}(x, \pm L_y/2) = (\pm V, 0)$, which translate into an overdetermined system [63] for the stream function ψ (Appendix A). In contrast to the classical second-order NS equations, the sixth-order PDE (3a) requires additional higher-order boundary conditions to specify solutions.

Active components in a fluid can form complex boundary-layer structures [15–17], which are poorly understood experimentally and theoretically. To identify physically acceptable boundary conditions, we tested different types of higher-order conditions. These test simulations showed that imposing $\nabla^2\omega = 0$ and $\nabla^4\omega = 0$ at the boundaries reproduces the vortical bulk flow patterns observed in free-standing thin bacterial films [10], whereas stiffer boundary conditions generally do not produce the experimentally observed flow structures. We therefore fix $\nabla^2\omega = 0$ and $\nabla^4\omega = 0$ at the upper and lower boundaries in the following discussion. In a rectangular geometry, these soft higher-order boundary conditions mean that integrated force contributions coming from the higher-order stress terms vanish. Other choices of boundary conditions are discussed and illustrated in Appendix E.

Numerical solution of the coupled nonlinear sixth-order PDEs (3) with nonperiodic boundary conditions for experimentally relevant domain sizes [9–11,14] is computationally challenging. We implemented an algorithm that achieves the required numerical accuracy by combining a well-conditioned Chebyshev-Fourier spectral method [64,65] with a third-order semi-implicit time-stepping scheme [66] and integral conditions for the vorticity field [63] (Appendix A). This computationally efficient code, which runs in real time on conventional CPUs, can be useful in simulations of a wide range of fluid-based pattern-formation processes, including Kolmogorov flows [52].

B. Parameters and observables

We performed systematic large-scale parameter scans of realistic bulk coefficients Γ_0 , Γ_2 , and Γ_4 and boundary conditions $\dot{\gamma}$, L_x , and L_y , where $\dot{\gamma} = V/L_y$ is the shear rate [Figs. 2(a)–2(c)]. Nondimensionalization reduces the effective number of parameters to four, which we chose to be Γ_2 , $\dot{\gamma}$, L_x , and L_y . We explored more than 200 experimentally relevant parameter combinations in total. For a given parameter set, we repeated numerical shear experiments at least ten times, initializing simulations with a randomly perturbed linear shear profile (Appendix A). For each simulation, we recorded the spatial averages of the kinetic energy [Figs. 2(d) and 2(e)]

$$E(t) = \frac{1}{L_x L_y} \int_{\mathcal{D}} dx dy (v^2/2) \quad (8)$$

and the kinematic shear stresses

$$\Sigma^\pm(t) = \frac{1}{L_x} \int_{\partial\mathcal{D}^\pm} dx \sigma_{yx} \quad (9)$$

acting on the top and bottom boundaries [Figs. 2(f)–2(i)]. The statistics of these time series are analyzed for an interval $[T, T + \Delta]$, where T is chosen larger than the numerically determined flow relaxation time. The averaging interval Δ is taken sufficiently long to ensure convergence of statistical observables [Figs. 2(f), 2(g), and 6(a)–6(e)]. For each time series $\mathcal{O}(t)$, we compute mean values

$$\langle \mathcal{O} \rangle = \lim_{T, \Delta \rightarrow \infty} \frac{1}{\Delta} \int_T^{T+\Delta} dt \mathcal{O}(t) \quad (10)$$

and histograms [Figs. 2(h) and 2(i)], by performing additional ensemble averaging over simulation runs with identical parameters but different initial conditions [Figs. 6(a)–6(d)]. Of particular interest for the subsequent analysis are measurements of the total shear stress on the two boundaries, $\langle \Sigma \rangle = \langle \Sigma^+ \rangle + \langle \Sigma^- \rangle$, and the associated mean kinematic viscosity

$$\nu = \langle \Sigma \rangle / \dot{\gamma}. \quad (11)$$

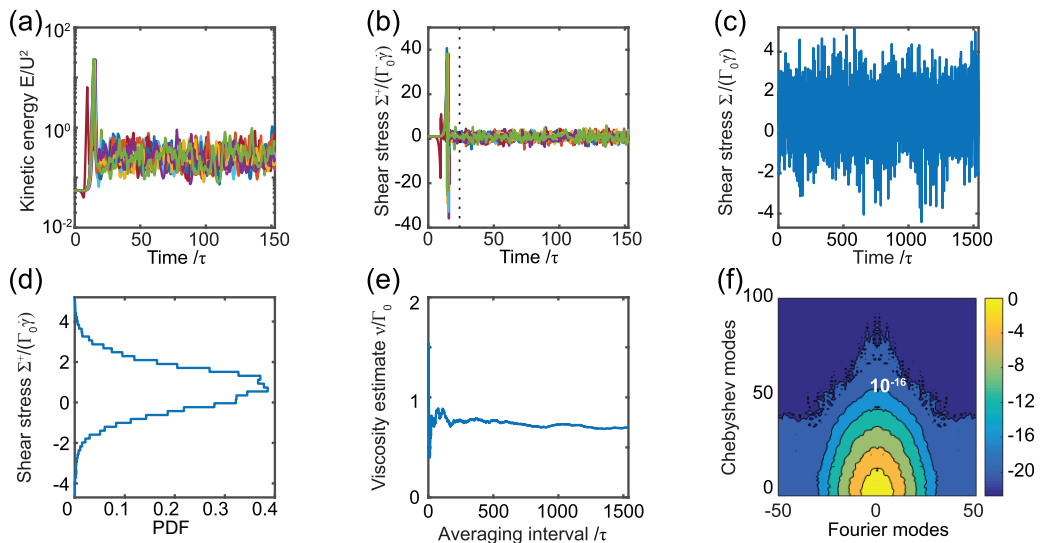


FIG. 6. To estimate the effective viscosity at fixed separation L_y and shear rate $\dot{\gamma}$ from an ensemble average, we generate ten or more simulations with initial data corresponding to a randomly perturbed linear shear profile (Appendix A). (a) Time series of the kinetic energy $E(t)$ for multiple runs. (b) Time series of the shear stress $\Sigma^+(t)$ on the upper boundary for $L_y = 5\Lambda$ and $\dot{\gamma} = 1.4\tau^{-1}$. The vertical dotted line indicates the relaxation time T . (c) Combined time series for $t > T$ from all runs of the shear stress $\Sigma(t) = \Sigma^+(t) + \Sigma^-(t)$ rescaled by the kinematic viscosity Γ_0 and shear rate $\dot{\gamma}$. (d) The histogram corresponding to the combined time series in (c) yields the estimates for the mean viscosity $\nu = \langle \Sigma \rangle / \dot{\gamma}$ and its variance. (e) Convergence of the mean viscosity estimates as a function of the averaging interval Δ . (f) The relative magnitude of the Fourier-Chebyshev coefficients of the vorticity field at a random representative time of the simulation demonstrates geometric convergence to zero, confirming that the number of modes used in the simulations suffices to completely resolve the dynamics at double precision accuracy ($\epsilon \sim 10^{-16}$).

IV. RESULTS

A. Dynamic symmetry breaking and directed transport

Recent experimental studies of bacterial suspensions [18] and ATP-driven active liquid crystals [68] in long narrow channels observed the spontaneous formation of persistent unidirectional macroscale flows [46,69]. Our generalized NS model reproduces a similar dynamical symmetry-breaking effect (Figs. 2 and 5) and predicts optimal geometries that maximize directed transport [Fig. 3(a)]. Fixing $\Gamma_2 < 0$ to realize bacterial vortex structures as described above, we investigate how the boundary separation L_y and the shear rate $\dot{\gamma}$ affect the mean velocity $V_{c.m.}$ of an active fluid modeled by Eqs. (1), which is governed by [see Eq. (B7) in Appendix B]

$$\frac{dV_{c.m.}}{dt} = \frac{1}{L_y}(\Sigma^+ - \Sigma^-). \quad (12)$$

For wide channels with $L_y \gg \Lambda$, the flow structures found in the simulations typically resemble a mixed vortex lattice [Fig. 2(a)]. In this case, the mean flow can fluctuate but is typically undirected [Fig. 2(d); see also movie 1 in Ref. [67]]. By contrast, for narrow channels, the center-of-mass velocity $V_{c.m.}(t)$ can spontaneously select a persistent mean-flow direction [Figs. 2(b), 2(c), and 2(e); see also movies 2 and 3 in Ref. [67]]. Our parameter scans show that this broken-symmetry phase extends over a wide range of shear rates if approximately two ($L_y \sim 2\Lambda$) or four ($L_y \sim 4\Lambda$) rows of vortices fit between the boundaries [Fig. 3(a)]. These results are in good qualitative agreement with recent microfluidic measurements in linearly confined bacterial suspensions; cf. Fig. 4 in Ref. [18].

B. Frustrated vortex packings

In addition to unidirectional center-of-mass motions, our simulations predict another secondary top-bottom symmetry-breaking phenomenon. When the boundary separation is close to 2Λ , the stress statistics for the two boundaries can be substantially different at high shear $V > U$ [Figs. 2(g) and 2(i)]. Intuitively, this statistical asymmetry can be explained by the fact that two counterrotating vortices cannot simultaneously satisfy the externally imposed shear boundary conditions. Thus, one of the two vortices will be effectively pushed away from the boundary. The resulting asymmetric vortex alignment produces unequal shear forces on upper and lower boundaries even after long-time averaging [Fig. 2(i)], illustrating that the rheological analysis of active fluids requires more sensitive measures than in the case of passive fluids.

C. Low-viscosity phases and edge stresses

Recent experiments [22] reported the observation of zero- and negative-viscosity states in concentrated *Escherichia coli* suspensions. Adopting typical bacterial parameters Λ , τ , and U as described above, we investigate how the boundary separation L_y and the shear rate $\dot{\gamma}$ affect the effective viscosity ν in the general NS model [Figs. 3(b)–3(f)]. Consistent with the experimental observations [22], the numerically obtained $(\dot{\gamma}, L_y)$ -phase diagram confirms the existence of an effectively inviscid phase with $\nu/\Gamma_0 \ll 1$ at low to intermediate values of the shear rate $\dot{\gamma}$, when the boundary separation is around 3Λ [blue domain in Fig. 3(b)]. Varying the shear rate $\dot{\gamma}$ at constant separation 3Λ , one observes a viscosity minimum when $\dot{\gamma}$ matches approximately the inverse vortex growth rate $1/\tau$ [Fig. 3(d)]. In this quasi-inviscid regime, three counterrotating vortices fit between the boundaries, so the flow near the top and bottom aligns optimally with the boundary velocity [Fig. 3(e), top; see also movie 4 in Ref. [67]]. The nematic field lines of the associated stress field (2) are defined by the eigenspace axis of the largest eigenvalue $\|\sigma\|_2$. In the low-viscosity state, these director field lines connect primarily to the same boundary and they are separated by stress-free defects concentrated in the bulk region [Fig. 3(e), bottom; see also movie 4 in Ref. [67]]. Thus, only a few stress-carrying strings connect the two boundaries, resulting in a significantly reduced shear viscosity.

D. Viscosity resonances

In contrast to a passive Newtonian fluid, the effective viscosity ν of the active fluid generally depends nonlinearly on both the shear rate $\dot{\gamma}$ and boundary separation L_y [Figs. 3(b)–3(d)]. Qualitatively, we can distinguish between two characteristic regimes, corresponding to shear speeds $V = \dot{\gamma}L_y$ larger or smaller than the characteristic bulk vortex speed U [black lines in Figs. 3(a) and 3(b)]. At small shear speeds $V < U$, the effective viscosity ν and its fluctuations depend primarily on the boundary separation L_y , exhibiting oscillatory behavior as L_y increases [Figs. 3(a) and 3(b)]. Viscosity minima occur at selected integer multiples of the characteristic bulk vortex size Λ and are separated by maxima that can exceed Γ_0 by more than a factor 2 [Fig. 3(c)]. In such high-viscosity states, the stress field is nearly defect-free and similar to that of a laminar Newtonian fluid, with most of the stress field lines connecting the two boundaries [Fig. 3(f), bottom; see also movie 5 in Ref. [67]]. At supercritical shear speeds $V > U$, the viscosity ν depends on both L_y and $\dot{\gamma}$, and viscosity fluctuations decrease strongly with $\dot{\gamma}$, signaling that the bulk dynamics becomes dominated by the no-slip boundary conditions at high shear [Figs. 3(b) and 3(d)].

V. DISCUSSION

A. Inviscid transition

The $(\dot{\gamma}, L_y)$ -parameter scans confirm the existence of low-viscosity phases when confinement geometry and shear-rate resonate with the natural bulk vortex size and circulation time scale of an active fluid [Fig. 3(b)]. The presence of an active driving mechanism is essential for the emergence

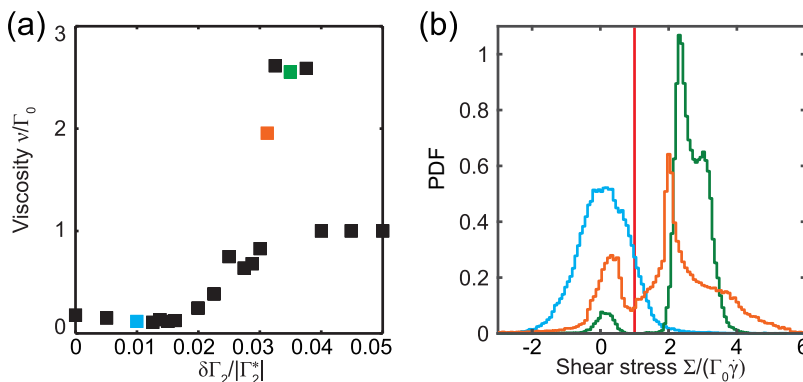


FIG. 7. Transition from a low-viscosity to a normal fluid by changing the activity parameter $\Gamma_2 = \Gamma_2^* + \delta\Gamma_2$, starting from the quasi-inviscid state with $\Gamma_2^* < 0$ in Fig. 3(e) and keeping $L_y = 3\Lambda$ and $\dot{\gamma} = 2.7\tau^{-1}$ fixed. (a) Increasing Γ_2 via $\delta\Gamma_2$ corresponds to an effective reduction in activity. As the activity is decreased, the effective shear viscosity first increases before dropping to the value $\nu/\Gamma_0 = 1$, expected for a passive fluid with kinematic viscosity Γ_0 . (b) Shear-stress histograms for the colored points in (a) show the transition from low-viscosity flow (blue) to normal laminar flow (red) through a highly viscous state (green). In the vicinity of the critical point (orange) the fluid can fluctuate between low-stress and high-stress states. Histograms and mean values were sampled from 12 long runs for each value of $\delta\Gamma_2$.

of intrinsic length and time scales in the statistically stationary nonequilibrium flow states [14]. It is therefore interesting to explore how a decrease in the activity, which can be realized experimentally through oxygen or nutrient depletion [14,22], affects the quasi-inviscid behavior. We study this process numerically through a systematic change of Γ_2 , while keeping all other parameter fixed. Starting from the low-viscosity state with $\Gamma_2^* < 0$ shown in Fig. 3(e), we increase Γ_2 by adding an increment $\delta\Gamma_2 > 0$ to Γ_2^* , corresponding to a decrease in activity. As $\delta\Gamma_2$ increases, the average viscosity undergoes a rapid increase before dropping to the value $\nu/\Gamma_0 = 1$ expected for a passive fluid with kinematic viscosity Γ_0 [Fig. 7(a)]. The viscosity peak separating the active from the passive phase can be explained by studying the stress distributions [Fig. 7(b)]: Away from the transition region, the system remains locked in the quasi-inviscid or the laminar ground state [blue and red curves in Fig. 7(b)]. In the critical transition regime, large fluctuations can cause the dynamics to oscillate between a low-stress ground state and excited higher-stress states, resulting in a bimodal stress distribution and a higher average viscosity [green and orange curves in Fig. 7(b)].

B. Active fluids as motors

Work extraction from active suspensions has been investigated both theoretically [40,43,70] and experimentally [42,71,72] in recent years, resulting in a number of promising design proposals for bacteria-powered motors [73] and rectification devices [74,75]. Moreover, recent experiments [22] report long-lived (greater than 25 s) negative viscosity flows in bacterial suspensions, supporting theoretical predictions that suggested the possibility of extracting work from polar active fluids [40]. Equations (1) offer an alternative mechanism for constructing microbial motors by exploiting long-lived turbulent states that perform work on the boundaries. Conditions for the existence of such states can be deduced analytically from energy balance considerations (Appendix D), which yield for the power input

$$P = \sum_k k^2(\Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4)\varepsilon(k), \quad (13)$$

where $\varepsilon(k)$ is the energy spectrum at wave number k . For active fluids with $\Gamma_2 < 0$, the power input P can become negative if the boundary conditions are tuned such that the energy spectrum $\varepsilon(k)$ favors

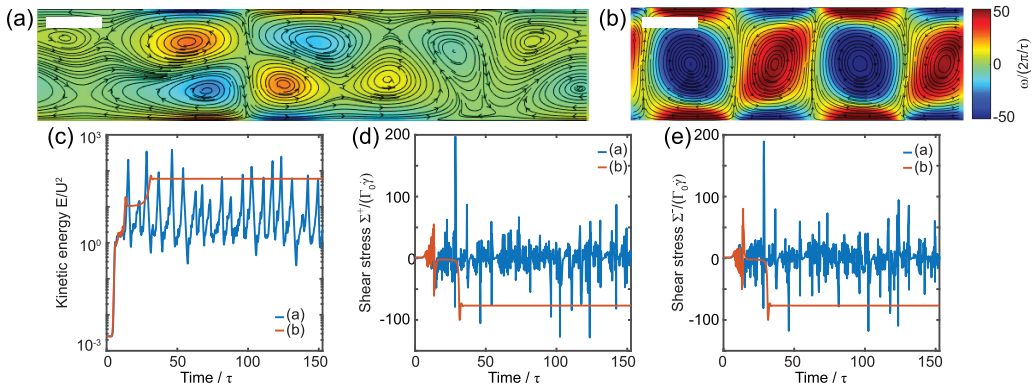


FIG. 8. Decreasing the aspect ratio $\alpha = L_x/L_y$ stabilizes flow states capable of performing mechanical work. (a) and (b) Steady-state flows for a narrow channel $L_y = 2\Lambda$ and moderate shear $\dot{\gamma} = 0.77\tau^{-1}$, shown for two different aspect ratios: (a) $\alpha = 5$ and (b) $\alpha = 3$. (c) The kinetic energy time series indicates that, for $\alpha = 3$, the flow locks into a time-independent steady state, in which fluctuations are completely suppressed by the no-slip shear boundary conditions. (d) Shear stresses $\Sigma^\pm(t)$ acting on the top (+) and bottom (−) boundary for the simulations in (a) and (b) yield a negative effective viscosity in both cases, implying that the fluid is pushing the boundaries. This negative-viscosity effect is enhanced for the stationary state observed at smaller aspect ratios (b).

modes that produce a negative right-hand side in Eq. (13). Spectra of this type allow the extraction of mechanical work from the active fluid. We tested this idea by scanning different spectra $\varepsilon(k)$ through variation of the aspect ratio $\alpha = L_x/L_y$ of the simulation domain. Our numerical results confirm the existence of long-lived work-performing states in the low-shear regime $V < U$ (Fig. 8). In particular, when the aspect ratio is not too large, $\alpha \sim 3$, and the boundary separation matches twice the bulk vortex scale, $L_y \sim 2\Lambda$ [Fig. 8(b)], then the active flow is found to lock into a stationary state, in which the shear forces exerted on the boundaries remain constant and have negative sign. In this case, a simple active fluid motor is obtained by connecting the ends of the domain in Fig. 8(b) to form a cylindrical film. Such a setup could, in principle, be realizable with bacterial soap films [19].

C. Superfluid analogy

The observation of frictionless flow states in *E. coli* suspensions [22], which have been termed superfluid [22,60], raises the question whether there might exist certain phenomenological similarities between the flow dynamics in quantum superfluids [76] and active suspensions. Effective hydrodynamic models as in Eq. (3) can provide a useful starting point for systematic future investigations that explore the parallels and differences at the mean-field level. Such a comparison is made possible by the fact that quantum fluids can also be effectively described in terms of hydrodynamic equations after applying a Madelung transformation [77–79] to the complex order parameters in the Ginzburg-Landau [38,39] and Gross-Pitaevskii [80,81] equations. An important physical and mathematical difference between the incompressible active suspension model (3) and the quantum hydrodynamic equations is that the latter are compressible and feature a quantum pressure that depends nonlinearly on the density [79]. It is interesting that, despite such differences, the periodic bulk solutions of Eqs. (3) include inviscid vortex lattices [Figs. 1(b)–1(d)] reminiscent of those in Ginzburg-Landau quantum fluids [38,39,82–84]. In particular, the lattice shown in Fig. 1(c) is of Abrikosov-type (cf. figures in Refs. [38,39]), suggesting that frictionless flow states may share universal vortex signatures despite fundamental differences in the microscopic details and in the form of the governing equations. Similar to quantum vortex lattices, the marginally stable lattice solutions of our model are exact only in the quasi-infinite fluid and they become replaced by cavity

modes in the presence of confinement. Yet lattice remnants remain visible in simulations with shear boundaries (see movie 1 in Ref. [67]).

Another interesting observation is that the half loops in the stress-field lines that form along moving boundaries in the low-viscosity state [Fig. 3(e), bottom; see also movie 4 in Ref. [67]] bear a resemblance to the presumed edge-current structure in solid-state quantum Hall devices [cf. Fig. 1(c) in Ref. [85]]. The role played by the stress tensor for force transmission in an active fluid is comparable to that of the conductivity tensor for charge current transport in a quantum superfluid [86–88]. The superfluid defects in the stress field of an active fluid reflect an interruption of force transmission lines between the boundaries giving rise to low-viscosity states [Fig. 3(e), bottom; see also movie 4 in Ref. [67]]. The suggested phenomenological similarities between active and quantum fluids can likely be traced back to the fact that these two distinct classes of systems share two key features: (i) The governing equations describe collective low-energy excitations in the form of coherent vortex structures and (ii) unlike classical turbulence, the emergent flow structures have a dominant length scale [89]. In the quantum case, vortices can be supported by an external magnetic field, whereas in active fluids vortices arise spontaneously from the microscopic and hydrodynamic interactions of bacteria [12,14], ATP-driven microtubule bundles [5], or other active components. In the future, it will be interesting to investigate whether, in the quasi-incompressible limit, quantum hydrodynamic equations can be systematically approximated by equations similar to Eqs. (3) through a suitably truncated Madelung transformation [77] or by eliminating one of the two velocity fields in two-fluid models [90]. Moreover, it would be interesting to explore both theoretically and experimentally whether biologically or chemically driven nonequilibrium flows described by Eqs. (1) and (2) can mimic other defining characteristics of conventional quantum superfluids, such as wall-climbing Rollin films [91,92] or the Hess-Fairbank effect [86,93].

VI. CONCLUSION

Phenomenological stress tensors of the type (2) provide a simplified description of nonlocal stresses in non-Newtonian fluids [34–36,51]. In pattern-forming liquids, such higher-order stresses arise naturally from diagrammatic expansions [94]. Although quantitatively more accurate stress tensors for complex active fluids likely include nonlinear correction terms, it is expected that the generic long-wavelength expansion (2) captures essential stability properties, similar to the success of Landau-type polynomial approximations for order-parameter potentials in equilibrium phase-transition theories. In particular, many pattern-forming liquids can be expected to have damped and growing modes that are separated by a zero-stress manifold in Fourier space. Nonlinear advection and confinement can bias the flow dynamics towards spending substantial time periods in the vicinity of effectively frictionless states, suggesting that quasi-inviscid phases may be a quite generic feature of active fluids. If the predicted nonmonotonic viscosity behavior in Fig. 3(b) can be confirmed in future experiments, then the practical challenge reduces to designing fluids and confinement geometries that realize stress fields similar to that in Fig. 3(e).

ACKNOWLEDGMENTS

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APPENDIX A: NUMERICAL METHODS

We simulate typical shear experiments [22] in which two parallel boundaries move in opposite directions, both at a constant speed V [Fig. 2(a)]. After rescaling by $L_x/2\pi$ and $L_y/2$, the simulation domain is a rectangle $(x, y) \in \mathcal{D} = [-\pi, \pi] \times [-1, 1]$ with periodic boundary conditions in the x

direction and nonperiodic conditions in the y direction. The usual no-slip boundary conditions for the velocity field \mathbf{v} translate into $\partial_y \psi(x, \pm 1) = \pm V - V_{\text{c.m.}}$ and $\psi(x, \pm 1) = 0$.

A well-known challenge when working in the vorticity–stream-function formulation is that the Poisson equation (3b) is overdetermined by the combined Dirichlet [$\psi(x, \pm 1) = 0$] and Neumann [$\partial_y \psi(x, \pm 1) = \pm V - V_{\text{c.m.}}$] boundary conditions for ψ . For the standard incompressible NS equations with no-slip boundary conditions, this issue was resolved by Quartpelle and Valz-Gris [63], who proposed to reinterpret the Neumann data for ψ as a set of integral conditions for the vorticity ω . In practice, the implementation of these integral conditions involves computing all the harmonic functions on a given domain.

To solve Eqs. (3) numerically, we translate the integral conditions from the corresponding classical Navier-Stokes problem, which specifies two boundary conditions. Because Eq. (3a) is a sixth-order PDE, we need four more constraints to determine the solution. We therefore additionally impose $\nabla^2 \omega = 0$ and $\nabla^4 \omega = 0$ at $y = \pm 1$. This phenomenological choice corresponds to the assumption that the total force on the boundary coming from the higher-order terms (proportional to Γ_2 and Γ_4) vanishes in a rectangular geometry. Combined with the no-slip assumption, these higher-order conditions suffice to close the system (3).

To evolve Eqs. (3) in time, we use a third-order semi-implicit backward differentiation formula time-stepping scheme introduced by Ascher *et al.* [66], calculating the nonlinear advection term explicitly, while inverting the linear part implicitly. The instantaneous center-of-mass velocity is computed by integrating Eq. (B7) with the forward Euler method. For the spatial discretization, we adopt a spectral method, expanding functions in a basis composed of Fourier modes and Chebyshev polynomials of the first kind. The implicit inversion is discretized using the well-conditioned scheme introduced by Olver and Townsend [64,65]. Since the system is periodic in the horizontal direction, the linear operator separates into one-dimensional operators, one for each Fourier mode. The resulting one-dimensional discretized linear operators augmented with integral and boundary conditions are sparse and almost banded and therefore can be efficiently inverted. The explicit calculation of advection is done by collocation, that is, the relevant derivatives of ω and ψ are evaluated on the Fourier-Chebyshev grid using the discrete Fourier transform (DFT) and the discrete cosine transform (DCT), then multiplied, and subsequently converted back to the expansion coefficients using the inverse DFT and the inverse DCT. Furthermore, the 3/2-zero-padding rule [95] is applied during the explicit step, to ensure that no spurious terms arising from the finite discretization affect the collocation calculation. Advection is the most expensive part with a complexity of $O(n \log n)$ when using the computationally optimal fast Fourier transform for a discretization with $n = n_C \times n_F$, where n_C and n_F are the numbers of Chebyshev and Fourier modes, respectively. In our simulations, a discretization size of $n_C, n_F \sim 10^2$ suffices to obtain geometric convergence to double-precision accuracy [Fig. 6(f)].

Simulation runs are initiated as follows. For fixed shear rate $\dot{\gamma}$, a linear shear profile corresponds to a constant vorticity field $\omega_0 = -2\dot{\gamma}$. We set $\omega = \omega_0 +$ (small noise) and then correct ω by projection so that it obeys the integral and boundary conditions. We then solve the Poisson equation (3b) for ψ . The such generated pair (ω, ψ) is then used to start the time-stepping scheme.

Prior to scanning the parameter space relevant to the shear experiments, we validated our algorithm against results obtained earlier [33] for the periodic case. When the separation between the boundaries is large compared to the vortex size, the effect of the boundaries becomes negligible and we recover energy spectra consistent with those obtained for periodic boundary conditions as well as with corresponding analytical results. After this cross validation, we applied the Chebyshev-Fourier spectral method to simulate shear experiments in active fluids.

APPENDIX B: HODGE DECOMPOSITION

In a two-dimensional planar region \mathcal{D} with boundary $\partial\mathcal{D}$, the Hodge decomposition for a vector field \mathbf{v} reduces to

$$\mathbf{v} = \nabla\phi + \nabla \wedge \psi + \nabla g + \mathbf{H}, \quad (\text{B1})$$

where ϕ and ψ are scalar functions satisfying the boundary conditions $\phi|_{\partial\mathcal{D}} = \psi|_{\partial\mathcal{D}} = 0$, g is a harmonic function $\nabla^2 g = 0$ with arbitrary boundary data, and \mathbf{H} is a harmonic vector field ($\nabla \cdot \mathbf{H} = 0$ and $\nabla \wedge \mathbf{H} = 0$) that is tangential to the boundary ($\mathbf{H}^\perp = 0$). For divergence-free flow fields, Eq. (B1) simplifies to

$$\mathbf{v} = \nabla \wedge \psi + \nabla g + \mathbf{H}, \quad (\text{B2})$$

because $\nabla \cdot \mathbf{v} = 0$ makes ϕ harmonic with zero boundary data, implying $\phi = 0$ throughout \mathcal{D} . Moreover, imposing no penetration through the boundary ($\mathbf{v}^\perp = 0$) fixes Neumann data for g as $\mathbf{n} \cdot \nabla g = 0$ on $\partial\mathcal{D}$ and therefore $g = \text{const}$ throughout \mathcal{D} . We are then left with

$$\mathbf{v} = \nabla \wedge \psi + \mathbf{H}. \quad (\text{B3})$$

Given that ψ vanishes on the boundary, the physical interpretation of the harmonic field \mathbf{H} is that it accounts for the center-of-mass motion of the fluid. This follows from

$$\int_{\mathcal{D}} \mathbf{v} = \int_{\mathcal{D}} \mathbf{H}, \quad (\text{B4})$$

since $\int_{\mathcal{D}} \nabla \wedge \psi$ vanishes because of $\psi|_{\partial\mathcal{D}} = 0$.

Now consider a rectangle with periodic boundary conditions in the x direction. We write the harmonic field as $\mathbf{H} = H_x \hat{\mathbf{x}} + H_y \hat{\mathbf{y}}$. Since \mathbf{H} is harmonic, both H_x and H_y satisfy Laplace's equation. Additionally, $\mathbf{H}^\perp = 0$ requires that $H_y = 0$ on the boundary and hence $H_y = 0$ throughout the domain. The divergence-free condition $\nabla \cdot \mathbf{H} = 0$ requires that H_x is a function of t and y only, $H_x(t, y)$. The curl-free condition $\nabla \wedge \mathbf{H} = 0$ further reduces H_x to be solely a function of time. From Eq. (B4) we see that H_x represents the center-of-mass speed

$$\mathbf{H} = V_{\text{c.m.}}(t) \hat{\mathbf{x}}, \quad (\text{B5})$$

where $\hat{\mathbf{x}}$ is the unit vector along the x axis. The dynamical equation for $V_{\text{c.m.}}$ follows from Newton's second law

$$M \frac{dV_{\text{c.m.}}}{dt} = F^+ - F^-, \quad (\text{B6})$$

where M is the total fluid mass and $\mathbf{F}^+ = F^+ \hat{\mathbf{x}}$ and $\mathbf{F}^- = -F^- \hat{\mathbf{x}}$ are the forces on the upper and lower boundaries (i.e., $F^+ = F^-$ if the boundaries are pulled in opposite directions with equal force). Since $M = \rho L_x L_y$, where ρ is the constant two-dimensional fluid density, we obtain

$$\frac{dV_{\text{c.m.}}}{dt} = \frac{1}{L_y} (\Sigma^+ - \Sigma^-), \quad (\text{B7})$$

where $\Sigma^\pm(t) = \frac{1}{L_x} \int dx \sigma_{yx}(x, y = \pm 1)$ are the mean kinematic stresses as defined in the main text.

The Hodge decomposition is also quite natural from an energetic perspective, for it provides an orthogonal splitting of the kinetic energy. In the present case, we have for the total kinetic energy

$$\mathcal{E}(t) = \frac{1}{2} \int_{\mathcal{D}} dx dy \mathbf{v}^2 = \frac{1}{2} \int_{\mathcal{D}} dx dy [(\partial_y \psi + V_{\text{c.m.}})^2 + (\partial_x \psi)^2] = \mathcal{E}_\psi + \mathcal{E}_{\text{c.m.}}, \quad (\text{B8})$$

where the cross term $\int_{\mathcal{D}} dx dy \partial_y \psi V_{\text{c.m.}}$ vanishes by virtue of the boundary conditions imposed on ψ . Thus, the total kinetic energy splits into the vortical kinetic energy

$$\mathcal{E}_\psi = \frac{1}{2} \int_{\mathcal{D}} dx dy [(\partial_y \psi)^2 + (\partial_x \psi)^2]$$

and the center-of-mass kinetic energy

$$\mathcal{E}_{\text{c.m.}} = \frac{1}{2} \int_{\mathcal{D}} dx dy V_{\text{c.m.}}^2.$$

Figure 3(a) shows the proportions of how the total kinetic energy splits between the two components.

APPENDIX C: CHARACTERISTIC SCALES

To derive characteristic length, time, and velocity scales for the generalized Navier-Stokes model, consider the linearized vorticity equation

$$\partial_t \omega = \Gamma_0 \nabla^2 \omega - \Gamma_2 \nabla^4 \omega + \Gamma_4 \nabla^6 \omega. \quad (\text{C1})$$

In Fourier space, this equation reads

$$\partial_t \hat{\omega} = -k^2 (\Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4) \hat{\omega} \quad (\text{C2})$$

and has solutions of the form

$$\hat{\omega}_k(t) = \hat{\omega}_k(0) e^{\sigma(k)t}, \quad (\text{C3})$$

where $\sigma(k) = -k^2 (\Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4)$. For $\Gamma_2 < 0$, the peak of the spectrum is well approximated by the maximum k_p of the function $f(k) = \Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4$, yielding

$$k_p^2 = \frac{-\Gamma_2}{2\Gamma_4}. \quad (\text{C4})$$

The associated wavelength is $\lambda_p = 2\pi/k_p$. This wavelength represents two vortices, one with positive and one with negative vorticity, each of characteristic diameter

$$\Lambda = \frac{\lambda_p}{2} = \pi \sqrt{\frac{2\Gamma_4}{-\Gamma_2}}. \quad (\text{C5})$$

The corresponding growth rate is

$$\sigma(k_p) = \frac{\Gamma_2}{2\Gamma_4} \left(\Gamma_0 - \frac{\Gamma_2^2}{2\Gamma_4} + \frac{\Gamma_2^2}{4\Gamma_4} \right) = \frac{\Gamma_2}{2\Gamma_4} \left(\Gamma_0 - \frac{\Gamma_2^2}{4\Gamma_4} \right), \quad (\text{C6})$$

which sets the time scale

$$\tau = \frac{1}{\sigma(k_p)}. \quad (\text{C7})$$

If we roughly expect that, within time τ , a fluid particle can travel around the vortex pair, then the characteristic speed is

$$U = \pi \lambda_p \sigma(k_p) = 2\pi^2 \sqrt{\frac{-\Gamma_2}{2\Gamma_4}} \left(\frac{\Gamma_2^2}{4\Gamma_4} - \Gamma_0 \right). \quad (\text{C8})$$

APPENDIX D: ENERGY BALANCE

We derive the energy balance (13) by considering how the total kinetic energy $\mathcal{E}(t) = \frac{1}{2} \int_{\mathcal{D}} dx dy v^2$ changes with time (using an Einstein summation convention),

$$\begin{aligned} \frac{d\mathcal{E}}{dt} &= \int_{\mathcal{D}} dx dy v_i \partial_t v_i = \int_{\mathcal{D}} dx dy v_i (-v_j \partial_j v_i - \partial_i p + \partial_j \sigma_{ji}) \\ &= \int_{\mathcal{D}} dx dy \left\{ -\partial_i \left[v_i \left(\frac{1}{2} v^2 + p \right) \right] + v_i \partial_j \sigma_{ji} \right\} = - \int_{\partial \mathcal{D}} dx \left[v_{\perp} \left(\frac{1}{2} v^2 + p \right) \right] + \int_{\mathcal{D}} dx dy v_i \partial_j \sigma_{ji} \\ &= \int_{\mathcal{D}} dx dy v_i \partial_j \sigma_{ji}. \end{aligned} \quad (\text{D1})$$

In the second line, we used the equation of motion [Eq. (1b)], in the third the incompressibility condition [Eq. (1a)], in the fourth the divergence theorem (v_{\perp} is the normal component to the

boundary $\partial\mathcal{D}$), and in the last the fact that there is no penetration of the fluid through the walls ($v_\perp = v_y = 0$ at $y = \pm 1$). Integration by parts further gives

$$\begin{aligned} \frac{d\mathcal{E}}{dt} &= \int_{\mathcal{D}} dx dy [\partial_j (v_i \sigma_{ji}) - (\partial_j v_i) \sigma_{ji}] = \int_{\partial\mathcal{D}} dx \sigma_{yi} v_i - \int_{\mathcal{D}} dx dy (\partial_j v_i) \sigma_{ji} \\ &= V(F^+ + F^-) - \int_{\mathcal{D}} dx dy (\partial_j v_i) \sigma_{ji}. \end{aligned} \quad (\text{D2})$$

In the second line we used the divergence theorem and in the last line the no-slip boundary condition. Further, F^+ and F^- are the magnitudes of the force acting on the upper and lower boundaries, as defined above, and V is the speed of the boundaries. We recognize $V(F^+ + F^-)$ as the power input P and therefore, for steady states with $d\mathcal{E}/dt = 0$, we have

$$P = \int_{\mathcal{D}} dx dy (\partial_j v_i) \sigma_{ji}. \quad (\text{D3})$$

Using the explicit form of the stress tensor (2), we obtain

$$P = \int_{\mathcal{D}} dx dy (\partial_j v_i) (\Gamma_0 - \Gamma_2 \partial_{nn} + \Gamma_4 \partial_{nn}^2) \partial_j v_i.$$

In terms of Fourier modes $\mathbf{v} = \sum_{\mathbf{k}} \hat{\mathbf{v}}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}}$, the balance reads

$$P = \sum_{\mathbf{k}} k^2 (\Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4) |\hat{\mathbf{v}}(\mathbf{k})|^2, \quad (\text{D4})$$

where $k = |\mathbf{k}|$. We now introduce the energy spectrum $\varepsilon(k) = \sum_{\mathbf{k}': |\mathbf{k}'|=k} |\hat{\mathbf{v}}(\mathbf{k}')|^2$ to recover Eq. (13),

$$P = \sum_k k^2 (\Gamma_0 + \Gamma_2 k^2 + \Gamma_4 k^4) \varepsilon(k). \quad (\text{D5})$$

APPENDIX E: BOUNDARY CONDITIONS

The dynamical system described by Eqs. (3) is of sixth order in the spatial derivatives. One therefore needs to specify two more boundary conditions in addition to the usual no-slip conditions. In contrast to passive flows [62] or passive liquid crystal models, the physically correct boundary conditions for continuum models describing active polar and/or active nematic suspensions are generally not well understood, as they may depend on swimmer type [96,97], details of cell-cell and cell-surface interactions [16], boundary geometry [15], etc. The boundary conditions considered in the main text (no slip plus $\nabla^2 \omega = 0$ and $\nabla^4 \omega = 0$ at the upper and lower boundaries) were selected because they produce a bulk flow dynamics similar to those observed in recent bacteria experiments [18]. In this section we illustrate how changing the higher-boundary conditions affects the bulk flow solutions. Examples are shown in Fig. 9.

Keeping no-slip boundary conditions throughout, we consider separately the different higher-order contributions to the stress tensor as well as the behavior of the Laplacian and bi-Laplacian of the vorticity and its normal derivative on the boundary. In the vorticity–stream-function formulation, the shear component of the stress tensor given in Eq. (2) reads

$$\sigma_{xy} = (\Gamma_0 - \Gamma_2 \nabla^2 + \Gamma_4 \nabla^4) (-\partial_{xx} + \partial_{yy}) \psi \equiv \sigma_{xy}^{\Gamma_0} + \sigma_{xy}^{\Gamma_2} + \sigma_{xy}^{\Gamma_4}, \quad (\text{E1})$$

where $\sigma_{xy}^{\Gamma_i}$ represents the contribution to stress proportional to Γ_i . Thus, one way of generating higher-order boundary conditions is to fix the various stress contributions separately or combination of them. Figure 9(a) shows the flow structures obtained by setting $\sigma^{\Gamma_2} = 0$ and $\sigma^{\Gamma_4} = 0$ on the boundary $\partial\mathcal{D}$ with the same bulk flow parameters as in Fig. 3(e). The half-loop topology of the stress field lines is still present in these case, although it appears less regular than in Fig. 3(e).

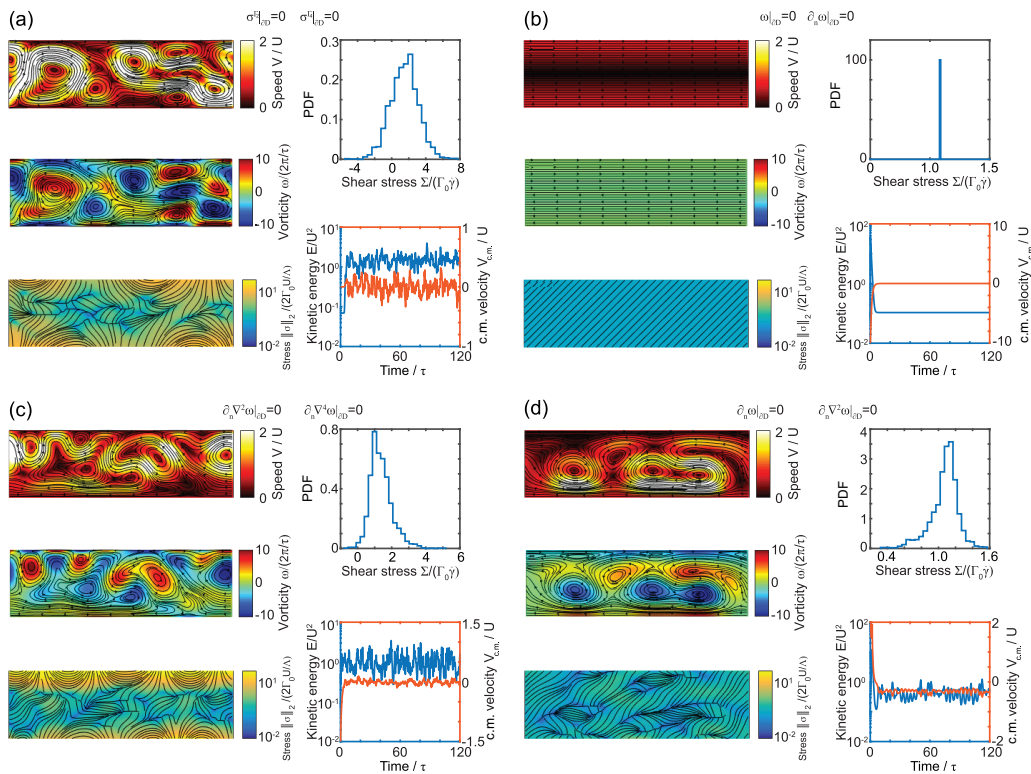


FIG. 9. Flow structures for four other choices of higher-order boundary conditions. The following quantities were set to zero pointwise on the boundary: (a) higher-order stress contributions $\sigma^{\Gamma_2}|_{\partial D}$ and $\sigma^{\Gamma_4}|_{\partial D}$, (b) vorticity and its normal derivative ω and $\partial_n \omega$, (c) normal components of Laplacian and bi-Laplacian of vorticity $\partial_n \nabla^2 \omega|_{\partial D}$ and $\partial_n \nabla^4 \omega|_{\partial D}$, and (d) normal components of vorticity and the Laplacian of vorticity $\partial_n \omega|_{\partial D}$ and $\partial_n \nabla^2 \omega|_{\partial D}$. The parameters are the same as in Fig. 3(e).

An alternative way of specifying boundary conditions is to control the Laplacian and bi-Laplacian of the vorticity, $\nabla^2 \omega$ and $\nabla^4 \omega$, and/or their normal derivatives, $\partial_n \nabla^2 \omega$ and $\partial_n \nabla^4 \omega$, respectively. The boundary conditions adopted in the main text, $\nabla^2 \omega = 0$ and $\nabla^4 \omega = 0$, fall into this category. This choice implies that the integrated higher-order stress contributions vanish, that is, $\int_{\partial D} \sigma_{xy}^{\Gamma_2} = 0$ and $\int_{\partial D} \sigma_{xy}^{\Gamma_4} = 0$. Figures 9(b)–9(d) show flow structures for three other possibilities, again using the same parameters ($L_y, \dot{\gamma}$) as in Fig. 3(e). The stiff combination $\omega = 0$ and $\partial_n \omega = 0$ enforces an essentially linear shear profile [Fig. 9(b)]. By contrast, the softer choice $\partial_n \nabla^2 \omega = 0$ and $\partial_n \nabla^4 \omega = 0$ yields vortical structures with half loops in the stress lines [Fig. 9(c)]. Finally, the semistiff condition $\partial_n \omega = 0$ and $\partial_n \nabla^2 \omega = 0$ [Fig. 9(d)] produces a more linear stress field topology without the half loops but still allows a directed motion of the fluids center of mass. For bacterial suspensions, the stiffer boundary conditions appear to be ruled out by the experimental results in Ref. [18].

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