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# Understanding the tumor immune microenvironment (TIME) for effective therapy

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### Abstract

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**Competing interests** 

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The clinical successes in immunotherapy have been both astounding and at the same time unsatisfactory. Countless patients with varied tumor types have seen pronounced clinical response with immunotherapeutic intervention; however, many more patients have experienced minimal or no clinical benefit when provided the same treatment. As technology has advanced, so has the understanding of the complexity and diversity of the immune context of the tumor microenvironment and its influence on response to therapy. It has been possible to identify different subclasses of immune environment that have an influence on tumor initiation and response and therapy; by parsing the unique classes and subclasses of tumor immune microenvironment (TIME) that exist within a patient's tumor, the ability to predict and guide immunotherapeutic responsiveness will improve, and new therapeutic targets will be revealed.

The past decade has seen a revolution in cancer treatments by moving away from drugs that target tumors broadly (for example, chemotherapy and radiation) and toward the use of antibody-based immunotherapies that modulate immune responses against tumors. The first generation of antibody-based immunotherapy, so-called immune-checkpoint blockade (ICB), works by blocking the receptor and/or ligand interactions of molecules, such as CTLA-4 and PD-1, that are involved in dulling T cell activation or function<sup>1</sup>. ICB therapies have shown significant clinical benefit for a minority of patients, who demonstrate durable responses. Unfortunately, there is still an unmet clinical need for the majority of patients, who do not respond to ICB. Retrospective analyses of patient populations treated with ICB have revealed that there are classes of TIME that are associated with those tumors more prone to ICB responsiveness.

Deeper analysis of complexity within the TIME is likely to reveal advanced biomarkers that will prove fruitful in identifying patient populations responsive to current ICB therapy and will benefit the search for novel targets for therapeutic modulation. Past efforts to characterize the TIME have provided a foundation for future efforts in which recent technological advances in techniques such as high-resolution single-cell RNA sequencing, flow cytometry and imaging are expected to provide an unprecedented view of the composition, function and location of immune cells within the TIME. In this Review, we provide a summary of the current knowledge centered around classes of TIME, focusing on the use of new technologies to study the TIME with increased granularity and the roles of systemic immune-and nonimmune-related factors in influencing TIME character and quality, and hence how tumors respond to immunotherapy.

### **Classification of the TIME**

Predicting responsiveness to ICB on the basis of high-resolution data on the character and quality of tumor immune infiltrates is a critical next step in improving the success of current ICB and developing next-generation immunotherapies. To date, large bodies of work have established moderate-resolution TIME data from low-resolution sources, such as bulk tissue microarrays and immunohistochemistry<sup>2,3</sup>. Techniques such as CIBERSOrT<sup>3</sup> and XCell<sup>4</sup> can estimate the abundance of immune infiltrate into the tumor by using gene expression data from bulk tissues. Immunoscore<sup>5</sup> uses a combination of immunohistochemistry and

bulk tissue gene expression data to stratify patients according to immune-related criteria and subsequently predict disease outcome.

Unfortunately, because of the nature of the datasets being used, most of these studies can estimate the immunological frequency and cellular status in the tumor microenvironment, but they lack information related to actual cellular proportions, cellular heterogeneity and deeper spatial distribution. Nonetheless, these techniques have gleaned substantial information that has provided a basis for classifying TIME according to broad criteria—the composition of the immune infiltrate and the character of the inflammatory response. Using next-generation technologies to improve TIME classifications should expand understanding of how the immunological composition and quality vary in tumor types (such as breast) and subtypes (such as luminal B), inform the success or failure of current ICB, and encourage the discovery of new immunotherapeutics. Currently, three broad classes of moderate-resolution TIME can be described according to recent human and mouse data. These three classes almost certainly miss key subclasses that should be revealed by ongoing studies using higher-resolution techniques to uncover heterogeneity in immunological composition, spatial distribution and function.

TIMEs that are broadly populated with immune cells but are relatively void of cytotoxic lymphocytes (CTLs) in the tumor core are termed infiltrated-excluded (I-E) TIMEs herein. I-E TIMEs have CTLs localized along the border of the tumor mass in the invasive margin or 'caught' in fibrotic nests (Fig. 1a). I-E TIMEs are associated with various epithelial cancers such as colorectal carcinoma (CRC)<sup>6</sup>, melanoma<sup>7</sup> and pancreatic ductal adenocarcinoma (PDAC)<sup>8</sup>, in which Ly6C<sup>lo</sup> F4/80<sup>hi</sup> tumor-associated macrophages (TAMs) along the tumor margins have been hypothesized to prevent CTL infiltration into the tumor core<sup>9</sup>. Tumors classified as I-E TIMEs have been hypothesized to be poorly immunogenic or 'cold', although this hypothesis remains to be clearly verified<sup>10</sup>. I-E TIMEs, compared with more inflamed TIMEs, contain CTLs with low expression of the activation markers *GZMB* (*GRZB*) and *IFNG* and poor infiltration of CTLs into the tumor core. A lack of activationmarker expression and exclusion from the tumor core are characteristics indicative of immunological ignorance, an immunological state in which adaptive immunity is unable to recognize or respond to a pathogen or malignancy<sup>11</sup>.

Infiltrated-inflamed (I-I) TIMEs (Fig. 1b) are considered to be immunologically 'hot' tumors and are characterized by high infiltration of CTLs expressing PD-1 and leukocytes and tumor cells expressing the immune-dampening PD-1 ligand PD-L1. A subset of CRC, known as microsatellite instability high (MSI-H), bears a higher rate of nonsynonymous single-nucleotide polymorphisms, thus leading to increased numbers of neoepitopes and of tumor-infiltrating PD-1<sup>+</sup> CTLs, which have significantly higher responses to ICBs than do microsatellite instability low (MSI-L) or microsatellite stable (MSS) CRCs.

A subclass of I-I TIMEs, here termed TLS-TIMEs (Fig. 1c), display histological evidence of tertiary lymphoid structures (TLSs), lymphoid aggregates whose cellular composition is similar to that in lymph nodes. TLSs are often<sup>12,13</sup> but not always correlated with a positive prognosis<sup>14</sup>. Similarly to lymph nodes, TLSs can contain a substantial diversity of lymphocytes, including naive and activated conventional T cells, regulatory T (T<sub>reg</sub>) cells, B

cells and dendritic cells (DCs)<sup>15</sup>. TLSs are generally present at the invasive tumor margin and in the stroma, and are thought to act as sites of lymphoid recruitment and immune activation that are typically formed in settings of enhanced inflammation, such as after administration of an autologous tumor vaccine<sup>16</sup>. The ability to characterize a TLS thoroughly (for example, spatially, compositionally and functionally) is an important step in describing the TIME at a high resolution. For example, the TIME can be characterized in terms of not only the total number and type of cells present within a tissue but also the unique spatial collection of cells that may share a common program—in this case, a geographical feature established to recruit and activate adaptive immune cells. Spatial information paired with immunological composition and cellular status can help identify the presence of micro-niches within the TIME.

Broad classifications of immune context within a tumor microenvironment represent the first level of addressing how immunological composition and status (i.e., activated or suppressed) affect overall survival and dictate responsiveness to therapy. Beyond parsing the TIME with higher-resolution techniques, these classifications improve understanding of how mutational burden, oncogenes and distinct tumor types affect the establishment and maintenance of specific immunological compositions.

### Interconnectivity of tumor genotypes and phenotypes and the TIME

It remains to be understood how tumor-produced cytokines and chemokines, tumor oncogenes and mutation landscapes determine the composition of the TIME. There are several examples strong enough to indicate relationships between both tumor genotype/ phenotype and immunological composition, but these examples are not sufficiently strong for this understanding to be immediately applied toward therapeutic intervention (Fig. 2a)

### Tumor genotype contribution to cytokine production

Oncogene-driven expression of cytokines critical for the recruitment and phenotype of immune cells, particularly cells of the myeloid lineage, has been reported. In human melanoma, BRAF<sup>V600E</sup>, a mutated and highly oncogenic form of the MAPK family member BRAF, and STAT3, a potent transcriptional regulator often linked to oncogenic signaling, have been shown to drive expression of IL-6, IL-10 and VEGF, cytokines that promote a tolerogenic monocyte-derived DC phenotype in vitro, a process that would theoretically affect antitumor T cell function in vivo<sup>17</sup>.

Multiple reports have demonstrated that KRAS<sup>G12D</sup>-driven PDAC secretes high levels of the growth factor GM-CSF, which is associated with an increase in tumor-associated Gr-1<sup>+</sup>CD11b<sup>+</sup> myeloid cells of reported immunosuppressive function<sup>18,19</sup>. Interestingly, genetic ablation or neutralization of GM-CSF in mice leads to decreased myeloid infiltration, improved CD8<sup>+</sup> T cell infiltration into tumors and markedly smaller lesion size. These data demonstrate that an oncogene promotes the establishment of an immunosuppressive TIME that supports malignant development. Missing from these studies, however, is an assessment of the character of DC infiltration, because GM-CSF has been shown to induce the generation of CD11b<sup>+</sup> DCs, a DC population ill defined in the tumor<sup>20</sup>.

### **Tumor-derived chemokines**

Secretion of tumor-derived chemokines, driven by specific oncogenes, is another critical point of interaction between tumor genotype and recruited immune cells. Recent data in a BRAF<sup>V600E</sup> and *Pten*-deficient mouse model of melanoma suggest that constitutive tumor-intrinsic WNT/ $\beta$ -catenin signaling is associated with poor immune infiltration and ineffective antitumor T cells, largely because of a decrease in the recruitment and frequency of CD103<sup>+</sup> DCs<sup>21,22</sup>. Transcriptional analysis of tumor cells and in vitro DC migration assays have revealed that constitutive WNT/ $\beta$ -catenin signaling leads to decreased production of *Ccl4*, a potent chemoattractant for a variety of myeloid cells including CD103<sup>+</sup> DCs, thus potentially explaining the decreased recruitment of CD103<sup>+</sup> DCs and the corresponding poor infiltration of CD8<sup>+</sup> T cells into the tumor microenvironment. Although the direct oncogenic determinant of expression is unclear, several studies in mice have reported that tumor-secreted CCL2 causes the recruitment of CCR2<sup>+</sup> classical monocytes to the tumor, where they differentiate into TAMs, a protumoral myeloid population<sup>23</sup>.

### **Humoral factors**

There is also evidence for a role for humoral factors in regulating the TIME. Recent data from mice suggest that TIME-derived PTX3, a critical component regulating complement activation through interaction with factor H, plays an essential role in suppressing tumor growth by indirectly controlling monocyte recruitment and TAM phenotype<sup>24</sup>. Epigenetic profiling of human tumors has revealed hypermethylation of the *PTX3* promoter, thus suggesting that human PTX3 may similarly affect the architecture of the TIME.

### Paracrine feedback loops

Paracrine feedback loops of cytokines between specific immune infiltrates and tumor cells play critical roles in influencing tumor phenotype and ultimately metastasis. TAMs are prominent components of the TIME and are involved in cross-talk with tumor cells, thus resulting in tumorigenic reprogramming<sup>25</sup>. Tumors in both mice<sup>26</sup> and humans<sup>27</sup> have been found to secrete high levels of colony stimulating factor 1 (CSF-1), a potent chemoattractant, survival and differentiation factor for monocytes and macrophages<sup>26</sup>, in addition to CCL2. T helper 2-polarized CD4+ T cells, through secretion of IL-4 and IL-13, have been shown to potentiate the ability of TAMs to secrete angiogenic growth factors, proteases and protumoral survival factors<sup>28</sup>, including VEGF-A, MMP-9, EGF and uPA<sup>26,29</sup>.

### Modulating the stroma

In addition to tumor-intrinsic factors directly affecting immune cells within the TIME, tumor cells can elicit profound phenotypic changes in nonimmune stromal components that reside within the local tumor microenvironment and affect the immune component of the TIME. Indeed, oncogenic BRAF<sup>V600E</sup> signaling in human melanoma cells has been shown to perturb T cell-mediated antitumor responses by modulating the phenotype of cancerassociated fibroblasts. BRAF<sup>V600E</sup> in melanoma drives production of IL-1**a** and IL-1**β**, thereby enhancing the ability of cancer-associated fibroblasts to suppress melanoma-specific CTLs, in part through COX-2 secretion and upregulation of the PD-1 ligands PD-L1 and PD-L2 (ref. <sup>30</sup>). Interestingly the loss of specific tumor suppressors in stromal cell types, has

also been shown to influence the type and character of immune cells present within the TIME. For example, in a mouse model of chronic liver damage, p53-deficient hepatic stellate cells, a stromal cell type, secrete factors that polarize TAMs toward a more protumorigenic M2-like phenotype often associated with immunosuppression<sup>31</sup>. Interestingly, that study has also revealed that natural killer cells, TAMs and resident Kupffer cells are less able to eliminate p53-deficient proliferating hepatic stellate cells in vitro, although the mechanism of dampened elimination of hepatic stellate cells is unclear.

#### The mutational landscape of the tumor and the TIME

Beyond the effects of tumor-derived cytokines, chemokines and nonimmune cells on the character of the TIME, the overall mutational landscape of tumor cells, a direct reflection of tumor immunogenicity, can dictate the extent and phenotype of immune infiltrate. A particularly strong example of this influence is in CRC (Fig. 2b). As mentioned briefly in the previous section, CRC can be stratified through gene-expression-based subtyping into four consensus molecular subtypes (CMS1-4) (ref. 32). For example, in CMS1 CRC, there are DNA mismatch-repair defects, as indicated by microsatellite instability or hypermutation rates. CMS1 tumors have been found to be deeply infiltrated with CD8<sup>+</sup> T cells and to display global gene expression patterns consistent with a high number of T helper 1 (T<sub>H</sub>1) cells<sup>6</sup>, as is indicative of an antitumor immune response. However, the antitumor response is likely to be moderated by the presence of immunosuppressive cell types, a protumor cytokine milieu and/or the expression of immune-checkpoint proteins including CTLA-4, PD-1, PD-L1 and IDO-1 (refs <sup>33–35</sup>). The expression of immune-checkpoint proteins by CMS1 CRC is notable, because those tumors show substantial responses to anti-PD-1 ICB, thus suggesting that the large mutational burden and high frequencies of tumor-infiltrating CD8<sup>+</sup> CTLs and T<sub>H</sub>1 cells has opened up the potential for many T cell clones to become potently antitumor after tolerance is broken<sup>36</sup>.

CMS4 CRC, characterized by tumor cells with a mesenchymal-like phenotype, is associated with poor prognosis and high expression of protumoral genes, including those associated with T helper 17 cells, the TGF- $\beta$  pathway and the monocyte/macrophage lineage<sup>34</sup>, on the basis of bulk tissue RNA expression. Hence, CMS4 CRC antitumor responses might be easily overwhelmed by a TIME skewed toward immunosuppression. CMS2 and CMS3 CRCs, tumors that are microsatellite stable, nonhypermutated and epithelial according to their gene expression, exhibit low-immune and low-inflammatory signatures and are typically PD-L1 negative. CMS2 and CMS3 CRCs have phenotypes suggestive of antigenically cold tumors, and in both cases the tumors have lower lymphocyte infiltration into the tumor than that observed in CMS1. CMS2-4 are thought to respond poorly to ICBs, partly as a result of low antigenic diversity and generally low tumor-infiltrating lymphocyte (TIL) numbers<sup>34,36</sup>.

## Analyzing progressive development of the TIME at primary and metastatic sites

In progressing cancers, neither the tumor nor the TIME is static. Reciprocal interactions between tumor and associated immune and stromal cell types evolve as the tumor grows,

thus allowing for modulation of both tumor cell intrinsic and extrinsic processes<sup>37–39</sup>. The evolution of the TIME during tumor growth and dissemination is surmised to occur broadly and not just at the level of specific T cell clones recognizing variations in antigenic identities.

### The role of the TIME in establishing primary tumors

A major factor determining tumor progression over time is the overall proportion and character of T cells within the TIME (Fig. 3). Several studies in mouse models have revealed that during de novo carcinogenesis, antitumor T cells cannot control tumor growth, owing to tumor-induced tolerance mechanisms<sup>40-42</sup>. Interestingly, T cell dysfunction in cancer shares many features with the T cell exhaustion (Fig. 3a) observed in chronic viral infections<sup>43</sup> and is generally characterized by high surface expression of inhibitory receptors (CTLA-4, PD-1, TIM-3, LAG-3 and 2B4) on T cells; loss of effector functions, such as the production of cytokines IFN $\gamma$ , IL-2 and TNF**a** ; and loss of proliferative capacity<sup>43,44</sup>. The plasticity and reversibility of T cell exhaustion is an important and open question in studies of tumor immunology. Reversible and irreversible states of T cell exhaustion have been identified<sup>45</sup>, and the irreversible exhausted cells are unresponsive to ICB-like anti-PD-1/anti-PD-L1 therapy<sup>46</sup>. Preventing or reversing T cell exhaustion for long-term tumor control will be challenging, and perhaps simultaneous targeting of other tolerance pathways, such as the immunosuppressive TIME, or encouraging the priming of new T cell clones, might be required to obtain durable antitumor T cell responses. T cell exhaustion and establishment of an immunosuppressive TIME are likely to be linked events, such that exhaustion occurs as a result of the combination of chronic exposure to tumor antigen<sup>47</sup>, unproductive interactions from DCs present in the TME<sup>48</sup> and exposure to immunosuppressive cytokines and cell types.

Numerous populations of immune cells have been reported to have suppressive functions in the TIME (for example, neutrophils<sup>49</sup> and  $T_{reg}$  cells<sup>50</sup>); however, TAMs are the most extensively studied and well characterized. Recent data in mice suggest that the immunological origin of TAMs (yolk sac or monocyte derived) can substantially affect their overall suppressiveness. In studies of spontaneous mouse PDAC, volk-sac-derived tumorassociated macrophages (YS-TAMs), which are seeded into tissues in early development and thus before malignant transformation, have been shown to be more tumor supporting than monocyte-derived TAMs<sup>51</sup> (Fig. 3b). Moreover, that study has found comparable expression of immunoinhibitory and immunostimulatory receptors on both YS-TAMs and monocytederived TAMs, but has demonstrated that tumor burden is significantly decreased only by loss of YS-TAMs but not monocyte-derived TAMs, thus suggesting a more immunologically suppressive role for YS-TAMs. Because YS-TAMs are theoretically more dominant during the early stages of malignant development and consequently during the initial adaptive antitumor response, elimination of nascent tumors may be fundamentally different from the targeting of more advanced tumors. Although the results of that paper are intriguing, the origins of TAMs in different tumor types might be ontologically and functionally distinct. In the MMTV-PvMT breast cancer model, the proportion of exhausted PD-1+ CD8+ T cells has been found to increase in parallel with monocyte-derived TAMs<sup>52</sup>. In that study,

depletion of monocyte-derived TAMs and not tissue-resident macrophage equivalents relieved suppression of cytotoxic T cells.

The inconsistencies regarding which ontologically distinct macrophage subset is the dominantly immunosuppressive and tumor-promoting type might have more to do with macrophage phenotype than origin. Although the specific macrophage phenotype or subset most involved in T cell dysfunction in tumor progression is unclear, and the diversity of macrophage states in vivo<sup>53</sup> remains an open question, in vitro macrophages can be generated with two extremes of phenotypes suggested to be tumoricidal (M1) and protumorigenic (M2)<sup>54,55</sup>. The plasticity of these cells makes therapeutic targeting challenging, but recent studies have shed more light on the molecular switches that control macrophage phenotype. One source of phenotypic switching from immunostimulatory to immunoinhibitory transcriptional macrophage programming may be controlled by either BTK<sup>56</sup>, a signal transducer downstream of the bacterial lipopolysaccharide receptor TLR4, or PI3K $\gamma^{57}$ , a complex signaling molecule linked to the regulation of central myeloid transcriptional regulators NF- $\kappa$ B and C/EBP $\beta$ . Inhibition of either BTK or PI3K $\gamma$  has been found to restore antitumor cytotoxic T cell responses, thus demonstrating the involvement of these pathways in promoting immune tolerance. In pancreatic cancer, signaling of the innate immune receptor Dectin-1 promotes a tolerogenic macrophage phenotype and T cell suppression, thereby facilitating tumor progression<sup>58</sup>. Together, these data indicate that therapeutic targeting of macrophages to alter their phenotype may alleviate immunosuppression and improve antitumor immunity.

Although TAM numbers increase in tumors over time in mice and humans, mouse studies have demonstrated a progressive slow loss of CD103<sup>+</sup> DCs, which are potent activators of antitumor CD8<sup>+</sup> T cells<sup>20,48, 59–61</sup>. Migratory DCs, such as CD103<sup>+</sup> DCs, use expression of the chemokine receptor CCR7 to traffic antigen from the periphery to the source of CCR7 ligand, CCL19 and CCL21, in draining lymph nodes. CD103<sup>+</sup> DCs are critical for directing CD8<sup>+</sup> T cell immunity, because depletion of CD103<sup>+</sup> DCs abrogates CD8<sup>+</sup> T cell priming and decreases the response to anti-PD-L1 ICB. Importantly, expansion and activation of  $CD103^+$  DCs has been found to synergize with ICB in multiple experimental models<sup>60,62</sup>. Although these findings demonstrate that enhancing the functionality of these cells can improve the efficacy of immunotherapy, their loss in mouse models over time suggests that the ability to prime T cells will slowly wane. Gene expression analysis of human cancer biopsies has revealed a correlations among a 'CD103-associated gene signature', T cell infiltration into tumors and improved prognosis<sup>20,59</sup>; however, longitudinal studies have not yet confirmed that these cells are also progressively lost in human tumors. As studies advance understanding of how the human TIME correlates with therapeutic response, it will also be interesting to determine whether patients with elevated frequencies of BDCA3<sup>+</sup> DCs, the human equivalent of mouse CD103<sup>+</sup> DCs, have a superior response to ICB. Beyond this possibility, other less well-defined DC populations exist within the TIME in mice and humans, and their function and importance has yet to be fully determined.

### The immune environment in metastasis

Tumor-induced immunological changes affect the progression to metastatic disease, even before disseminated cancer cells have reached a secondary organ. Systemic immune tolerance and changes in the character of circulating myeloid cells can predispose a tumor for success in seeding a metastatic site. As tumor cells metastasize to distant tissue sites, they are immediately swarmed by distinct sets of immune populations that can both aid in and inhibit metastasis formation (Fig. 1b).

An overwhelming amount of data support the prometastatic function of classical inflammatory monocytes as well as macropha ges<sup>23,26,28,63,64</sup>. A seminal study using the *MMTV-PyMT* breast cancer mouse model has found that mice lacking *Csj-1*, which is required for the development of CSF-1-dependent cells, including monocytes and macrophages, exhibit delayed progression of mammary tumors to metastasis<sup>26</sup>. Recent data suggest that, beyond being promoted by TAMs in the primary tumor, metastasis is potently promoted by macrophages and their precursor populations present in premetastatic sites<sup>64,65</sup>.

Mouse studies have indicated that CD4<sup>+</sup> T cell-derived IL-4 indirectly promotes breast cancer metastasis by regulating macrophage phenotype, thus demonstrating a role of both the innate and the adaptive immune system in suppressing productive antitumor effects<sup>28</sup>. A recent study using multiphoton intravital imaging of the lung premetastatic site in mice has revealed that as pioneering metastatic tumor cells arrive and die, distinct waves of myeloid cells ingest tumor material, thereby supplying antigen to both pro- and antitumor immune compartments<sup>65</sup>. However, monocytes engulf most of the tumor material and consequently may sequester valuable tumor antigen from stimulatory DC populations; moreover, a reduction in monocytes result in higher antigen loads in those DCs. Although classical inflammatory monocytes have a known metastatic-promoting function, nonclassical or 'patrolling' monocytes have been shown to have antimetastatic properties<sup>66</sup>.

Like monocytes and macrophages, neutrophils play critical roles in tumor development. Several preclinical mouse cancer models have revealed that, similarly to observations in patients, neutrophil proportions are elevated in the circulation and accumulate in peripheral organs during tumor progression $^{67-70}$ . However, the roles of neutrophils in metastasis remain controversial. Whereas some studies have reported antimetastatic functions of neutrophils<sup>71,72</sup>, others have demonstrated prometastatic properties<sup>67,69,73–76</sup>. In the 4T1 mouse breast tumor model, tumor-entrained neutrophils have been found to inhibit metastatic seeding in the lung via direct cytotoxicity toward disseminated cancer cells<sup>71</sup>. Moreover, a recent study has reported that a subpopulation of neutrophils expressing the MET proto-oncogene protects against the formation of metastasis<sup>72</sup>. In contrast, in the MMTV-PvMT mammary tumor model, neutrophils have been found to facilitate metastasis to the lung by propagating the number of metastasis-initiating cancer cells via the secretion of leukotrienes<sup>67</sup>. Furthermore, in a model of lobular breast cancer, neutrophils have been found to promote metastasis by dampening antitumor immunity<sup>69</sup>. Systemic expansion and polarization of prometastatic neutrophils is driven by tumor-induced IL17-producing  $\gamma\delta$  T cells<sup>69</sup>, thus demonstrating the tight interplay between the innate and the adaptive immune system during metastasis. Although much of what is known about immunological

composition at the metastatic site relates to cells with immunosuppressive functions, emerging evidence suggests that stimulatory myeloid cells can also potentiate antitumor T cell responses.

Although macrophages take up most tumor antigen, they often fail to successfully activate T cells in vitro<sup>20</sup>, in agreement with their previously described protumoral role. However, although their presence in tumors and metastatic lesions is scarce, CD103<sup>+</sup> DCs are far better T cell activators<sup>20,59</sup>, and their loss results in a significant increase in pulmonary metastasis<sup>65</sup>, thus suggesting that even in the metastatic site, CD103<sup>+</sup> DCs are important for eliciting potent antitumor CD8<sup>+</sup> T cell responses. Together, these data support that therapeutic strategies that target myeloid cells to alleviate immunosuppression and reinvigorate T cell responses may be a feasible immunotherapeutic approach to treat patients with metastatic cancer.

### The contribution of systemic factors to the TIME

Understanding the spatiotemporal dynamics of the TIME necessitates dissecting the potential roles that systemic factors may have in predisposing certain TIMEs to be fostered. As the broad effects of factors such as exercise<sup>77</sup>, age<sup>78</sup>, diet<sup>79</sup>, adiposity<sup>80</sup>, the microbiome<sup>81</sup> and sex<sup>82</sup> on the immune system have become clearer, an understanding of how these factors directly affect the quality of the antitumor immune response has also emerged. Both these patient-intrinsic and tumor-dependent effects intersect on many levels and will be important considerations in improving the efficacy of existing therapies or developing orthogonal immunotherapeutic approaches.

The systemic inflammatory state of an individual can affect the character of the TIME in premalignancies, thus leading to an occult tumor's eventual elimination or supporting progression to advanced disease. A recent study has found that patients with atherosclerosis treated with anti-IL-1 $\beta$  had lower incidence of lung cancer than did patients who had received placebo<sup>83</sup>. IL-1 $\beta$  has been shown to induce synthesis of COX-2, which in turn leads to high-level production of PGE<sub>2</sub>, a potent immunosuppressive molecule, in a subset of cancers. Aspirin, a COX inhibitor, has only very modest protective benefits when viewed across all cancers<sup>84</sup>, although its use is associated with lower disease incidence in patient populations predisposed to develop specific types of cancer<sup>85</sup>. These findings may indicate key differences in the TIMEs of patient populations. Interestingly, COX inhibition may also have utility in cancer treatments, because it has been shown to synergize with anti-PD-1 therapy in established tumors<sup>86</sup>.

As discussed above, tumors can make numerous cytokines and chemokines that attract and inform specific components of the immune system. Although these factors affect the local TIME itself, they can also become systemic, inducing broader changes in the tumor macroenvironment. Tumor cell production of the growth factors G-CSF<sup>70</sup> and GM-CSF, as well as of IL-6 (ref. <sup>87</sup>), can affect bone marrow myeloid progenitor expansion, thus leading to enhanced release of myeloid cells into circulation, and ultimately affect the number of circulating and tumor-infiltrating immunosuppressive myeloid cells and contribute to more severe disease and greater metastatic burden<sup>74,88</sup>. Tumor-induced systemic factors can affect

the bone marrow and in turn promote tumor infiltration of cancer-promoting immune components, including neutrophils<sup>89</sup>, monocytes<sup>90</sup> and platelets<sup>91</sup>.

Certain aspects that affect the tumor microenvironment predate tumor establishment. Both aging<sup>92,93</sup> and obesity<sup>94</sup> have been reported to produce a proinflammatory state and to lead to an increase in the number of suppressive immature myeloid cells in circulation. Moreover, sex hormones may lead to altered TIME responses in male as compared with female patients, because estrogen has been shown to activate the STAT3 pathway in human and mouse bone marrow myeloid progenitor cells, thereby leading to an increased presence of potentially suppressive myeloid cells in circulation<sup>95</sup>. In contrast, estrogen may also induce a more tolerogenic phenotype or subset in tumor DC populations<sup>96</sup>, thus partially explaining the difference in tumor growth between male and female mice. There is an added uncertainty, at present, of the heterogeneity of the myeloid lineage as it exists in circulation and whether each of these features of patients may influence the exact same or different subpopulations of cells.

More clearly, the microbiome has been found to have an important role in determining DC functionality. Two recent studies have reported that responses to checkpoint blockade are dependent on the microbiomes of the mice studied<sup>97,98</sup>. Moreover, patients can be stratified according to their microbiomes, and this stratification is predictive of the response to anti-CTLA-4 therapy<sup>98</sup>. Both of these studies have hypothesized that this effect may be at least partly due to improvements in DC functionality either through improved maturation and cross-presentation leading to improved CD8<sup>+</sup> T cell priming<sup>97</sup> or through improved CD11b<sup>+</sup> DC migration from the tumor and improved T<sub>H</sub>1 responses<sup>98</sup>. DC phenotype is also affected by the temperature of the animal being studied: placing mice under mild cold stress in laboratory conditions leads to increased tumor growth and reduced immune control<sup>99</sup>, effects at least partly due to decreased DC functionality<sup>100</sup>. As such, the immune macroenvironment of a patient can dramatically affect the tumor microenvironment.

Tumor-derived factors, as well as those affecting myeloid cell production from the bone marrow, can also alter patient metabolic status, which in turn can influence antitumor immunity. Recent research has revealed that in the CT26 and KPC tumor models, tumor-derived IL-6 alters liver metabolism and consequently, in the context of caloric restriction, leads to increased corticosterone and suppressed antitumor immunity<sup>101</sup>. In this setting, tumor-derived factors alter systemic metabolic tone and consequently lead to alterations in the tumor microenvironment. Interestingly in other models, caloric restriction<sup>101</sup> or the administration of a fasting mimetic<sup>102</sup>, both of which trigger autophagy, have led to improved antitumor immunity in mice. These fasting-related effects have been linked to potentiated responses to chemotherapy, partially as a result of increased TIL infiltration<sup>103</sup> and loss of tumoral  $T_{reg}$  cells<sup>102</sup>.

These findings indicate that there may be more complexity to uncover regarding the effects of nutrition on tumor immunity and that the effects may be model dependent, in a manner analogous to the opposing effects of fasting on responses to bacterial and viral disease<sup>104</sup>. These factors should thus be taken into account when considering potential orthogonal immunotherapeutic approaches as well as when deciding upon appropriate animal models

for preclinical evaluation. The use of sex-matched, young and lean mice in most animal studies may explain some of the failures of mouse studies to predict therapeutic responses in the more diverse human population. Indeed, given that population obesity rates are increasing and that most tumors develop in elderly patients, understanding these factors is likely to prove critical for understanding of the tumor microenvironment. Moreover, other factors such as housing temperature (although this factor may not affect patient treatment, because hospitals are kept relatively thermoneutral) may greatly affect the findings from experimental systems.

### **Future directions**

### Further characterization of the tumor immune microenvironment

Major successes with ICB and the potential for substantial clinical effects are driving thousands of clinical trials. These successes include alternative ICB-like targets and drugs that modulate myeloid biology<sup>105</sup>, which may be paired with nonimmunological drug approaches. Pharmaceutical companies and clinical investigators alike are well aware of the value of tracking biomarkers associated with tumor growth, but more attention must be paid to how the TIME of a specific patient is altered before, during and after a trial. Using high-dimensional techniques to characterize patients with improved granularity should reveal as much about human immunology in an in vivo setting as any experiment in a mouse could. Similarly to the cases of anti-CTLA-4 and anti-PD-1/PD-L1, breakthroughs will occur when basic-science discoveries are translated into actionable improvements in human disease. Therefore, the fastest route to demonstrable successes will depend on asking useful questions and using applicable animal models and valuable, innovative tools.

Because immunotherapeutic intervention is attempted in disparate tumor types, there is a growing need to identify the unifying features and critical differences that define distinct classes and subclasses of TIME, which relate to the likelihood of response to immunotherapeutics. For substantial progress to occur in this area, use of the highestresolution methods will be critical to assess total cellular composition (for example, flow cytometry versus mass cytometry), functional status (for example, bulk RNA sequencing versus single-cell RNA sequencing) and cellular localization (for example, immunohistochemistry versus multidimensional immunohistochemistry) in parallel to define highly granular classes and subclasses of TIME. Major advances have already been made in stratifying patients according to tumor type. We believe that further stratification of patients on the basis of not only their tumor type but also their TIME type will yield better insight into overall survival and the likelihood of response to immunotherapeutics, and will provide vast datasets to help identify new druggable targets. This progress will be garnered by using the most cutting-edge techniques in multiparametric imaging<sup>106</sup>, mass cytometry<sup>107,108</sup> and single-cell RNA sequencing<sup>109</sup>. Critical to this goal is that improved resolution of cellular composition and analysis of functional status and spatial distribution must be paired with relevant patient outcomes (Fig. 1b). In particular, by casting a wider unbiased net, it will be possible to detect subtle changes in rare populations while also appreciating prominent effector activation states in situ.

A major goal in moving into truly orthogonal pathways to treat cancers is to understand the fundamental conditions in which TIMEs are arrayed; these conditions almost certainly reflect genetic programs engaged by the tumors themselves, if not also by the tissue in which the tumor is located. Distinct collections of stroma, epithelium and immune cell types present nearly countless ways to parse a TIME, but it is still unknown how many of these cellular combinations help permit the rapid cellular proliferation and disorganization associated with a growing tumor. To that end, parallel studies to characterize tissue-specific responses to pathogens, healing wounds, chronic viral infections and tolerance in the gut may provide powerful datasets for comparison with the classes of TIME, because they are all analyzed at this detail.

### Using preexisting drugs to modulate immune-associated targets

As immune-immune and immune-tumor interaction networks are better characterized, it will become possible to define classes of TIME and determine which cells, molecules and pathways are essential for suppressing antitumor immunity, and in what tumor contexts. In some cases, such definition may already be possible, because of the existence of failed, orphan, poorly efficacious drugs or drugs without an obvious direct application as immunotherapeutic agents.

To advance immunotherapy, the state of thinking must be revamped in terms of the treatment goals (i.e., decreasing disease incidence versus combating advanced disease), and drugs that have had previous marginal success should be revisited. After paltry early clinical success, recent preclinical data in a mouse model of PDAC suggest that a combination of chemotherapy and anti-CD40 agonistic antibody unleashes a potent antitumor immune response; moreover, early data in humans show enhanced lymphocyte infiltration. Although investigations are still in their early days, drugs to normalize vasculature, alter metabolism and suppress individual components of the immune system may find new life in the clinic, either as single agents or in combination with ICB, for long-term use as prophylactic measures.

### Mouse to human and back again

Translating clinical insights into improvements in mouse models is necessary to ensure that discoveries made at the bench can derive applicable and high-quality therapeutics. As classes of human TIME are elaborated (as described above), it is critical that parallel efforts take place to ascertain the best ways to generate reflective TIME in mouse models. Solid human tumors develop in situ and over long periods of time, characteristics not reflected in ectopic mouse tumor models, which very often grow in the subcutaneous space and are formed through bolus injection of thousands of highly malignant tumor cells. Ectopic mouse tumor models have been invaluable for preclinical validation of countless therapeutics but have fallen short of being good indicators of therapeutic efficacy in humans. Although genetically engineered mouse models of cancer have brought immuno-oncology research a step closer toward recapitulating the stepwise progression of human disease, the resultant spontaneous tumors still leave something to be desired. The discovery of CRISPR-Cas9 now allows for rapid and parallel introduction of numerous mutations or engineered constructs into a single mouse<sup>110,111</sup>, thus changing how genetically engineered mouse models can be

created, with less of an emphasis on severe oncogenic drivers and more of an emphasis on tunable oncogenic induction and mutational landscapes more similar to those in human disease.

Furthermore, major advances in the development of humanized mouse models have made xenografts with matching patient tumor and immune compartments possible, thereby enabling studies in which a patient's own adoptively transferred TILs can be used to recapitulate the exhaustion or the introduction of targeted gene reporter loci to visualize intravital tumor immune interactions. Although these models have downsides, being able to implant human tumor tissue with a native mutational landscape into a partially reconstituted human immune repertoire represents major progress. Even if mouse models fail to ever fully recapitulate human disease, it is important to understand the minutiae that make the most difference in dictating therapeutic response versus nonresponse. Distilling a disease into a few critical parameters is challenging, but understanding what cell types can be modulated and when may enable the next biggest improvements in immunotherapy.

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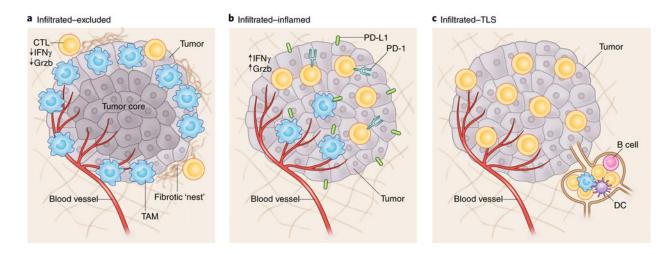
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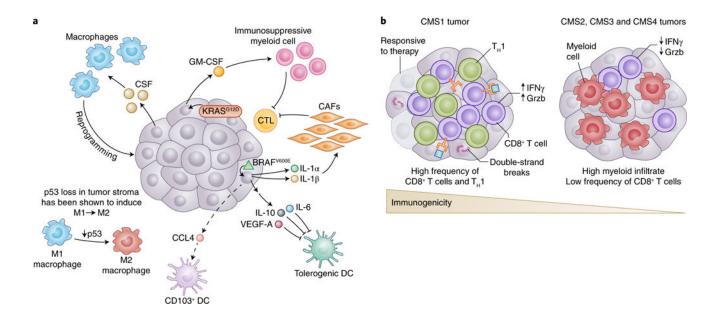
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### Fig. 1. General classes of TIME

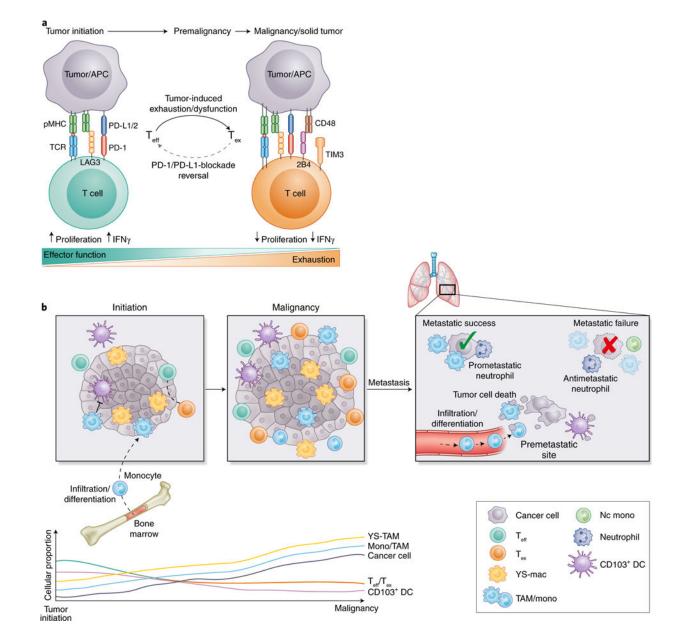
Three classes of TIME are displayed. **a**, I-E TIMEs are characterized by the exclusion of CTLs from the tumor core. CTLs in I-E TIMEs are instead present along the tumor periphery, where they can be found in contact with  $Ly6C^{lo}$  F4/80<sup>+</sup> tumor-associated macrophages or 'stuck' in fibrotic nests. **b**, In comparison, I-I TIMEs are defined by an abundance of PD-L1 expression on tumor and myeloid cells and highly activated CTLs characterized by expression of Grzb, IFN $\gamma$  and PD-1. In some subsets of I-I TIME, tumor cells will have defects in DNA mismatch repair (MSI-H), thus resulting in an increased number of neoepitopes. **c**, TLS-TIMEs have histological evidence of containing TLSs, aggregates of immune cells with a composition similar to that in lymph nodes, including B cells, dendritic cells and T<sub>reg</sub> cells.

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### Fig. 2. How tumor genotypes and phenotypes shape the TIME

a, Tumors are known to establish protumoral and immunosuppressive environments to support their growth and promote immune evasion. Central to building an immunosuppressive TIME are oncogenes and aberrant signaling pathways that lead to the production of cytokines and chemokines with potent effects. The tumor shown is representative of a spectrum of cancer types. In melanoma, BRAF<sup>V600E</sup> (green triangle) has been shown to induce constitutive WNT/β-catenin signaling, which in turn decreases production of CCL4, a chemokine important for the recruitment of CD103<sup>+</sup> DCs. Additionally, BRAF<sup>V600E</sup> has been shown to induce expression of factors such as IL-10 and IL-1a, which can induce tolerogenic forms of DC and cancer-associated fibroblasts (CAFs), respectively. Oncogenic KRAS<sup>G12D</sup> in PDAC leads to the secretion of GM-CSF, corresponding to increased development of CD11b<sup>+</sup> myeloid cells with reported immunosuppressive function. Deficiency in p53 in hepatic stellate cells, a stromal population, leads to production of factors that polarize TAMs from the immunoactivating M1 phenotype to the immunosuppressive M2 phenotype. Interestingly, many tumors have been shown to secrete high levels of the monocyte/macrophage-promoting cytokine CSF-1. b, The mutational landscape of tumors can profoundly affect the quality and character of the TIME. In CRC, there are four consensus molecular subtypes (CMS1-4). CMS1 is defined by defects in DNA mismatch repair leading to microsatellite instability or hypermutation rates. Because of the abundance of possible neoepitopes, CTL infiltration is generally high, and CTLs display gene expression patterns indicative of an ongoing immune response. Patients with CMS1 tumors have generally more favorable outcomes with checkpoint-blockade treatment than do patients with CMS2-4. Although there are differences in the histological and immunological character of CMS2, 3 and 4 CRC subtypes, they are generally less immune infiltrated, as is suggestive of antigenically cold tumors.



### Fig. 3. Character of the TIME during progressive tumor development

**a**, A major contributing factor in the failure to immunologically reject tumors stems from induction of T cell exhaustion, a state in which T cells become less responsive to antigens and are ineffective at providing T cell help or eliminating appropriate targets. Recent evidence suggests that T cell exhaustion occurs rapidly after oncogenic initiation, possibly because of chronic antigen exposure on tumor cells. As a T cell transitions from effector ( $T_{eff}$ ) to exhausted ( $T_{ex}$ ), there is increased expression of exhaustion-associated molecules such as LAG3, 2B4 and TIM3, and downregulation of effector cytokines such as IFN $\gamma$ . From a therapeutic standpoint, epigenetic evidence suggests that there are types of T cell exhaustion that are irreversible, thus potentially explaining why some patients are completely unresponsive to some forms of ICB. APC, antigen-presenting cell; pMHC, peptide-bound major histocompatibility complex; TCR, T cell receptor. **b**, During tumor

development, progressive changes in the character and quality of the TIME occur that coincide with disease severity and influence responsiveness to therapeutics. Recent evidence in mice suggests that YS-TAMs are more potently immunosuppressive than their monocytederived counterparts (TAMs). Whereas TAMs have been shown to suppress CD103<sup>+</sup> DCs through secretion of IL-10, only depletion of YS-TAMs in a model of PDAC has been found to lessen tumor burden. Because YS-TAMs are theoretically present in the tumor site during oncogenic initiation, they may make the first contact with antitumor T cells and may be responsible for early T cell exhaustion. As tumors progress, TAMs of both types expand, whereas T<sub>eff</sub> cells slowly become T<sub>ex</sub> cells, and stimulatory CD103+ DCs become a more marginal and rare population. During metastatic seeding, pioneering metastatic tumor cells arrive and die. Their debris is taken up by distinct waves of myeloid cells, with monocytes and macrophages being the dominant phagocytes in the premetastatic site. CD103<sup>+</sup> DCs have been shown to uptake minimal tumor debris/antigen, and this process is thought to partially contribute to reduced antitumor T cell priming. Whereas populations such as neutrophils have been associated with both metastatic success and failure, macrophages are often ascribed a prometastatic function, because their absence leads to pronounced metastatic failure. Mac, macrophage; mono, monocyte; nc mono, nonclassical monocyte.