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Context is everything: aneuploidy in cancer

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1 **Context is everything: aneuploidy in cancer**

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16 **Abstract**

17 Cancer is driven by multiple types of genetic alterations, which range in size from point
18 mutations to whole chromosome gains and losses, a condition known as aneuploidy.
19 Chromosome instability, the process that gives rise to aneuploidy, can promote tumorigenesis by
20 increasing genetic heterogeneity and promoting tumor evolution. However, much less is known
21 about how aneuploidy itself contributes to tumor formation and progression. Unlike some pan-
22 cancer oncogenes and tumor suppressor genes that drive transformation in virtually all cell types
23 and cellular contexts, aneuploidy is not a universal promoter of tumorigenesis. Instead, a picture
24 emerges that paints aneuploidy as a context-dependent cancer type-specific oncogenic event. In
25 this Review, we discuss the role of aneuploidy in tumor development, and its clinical relevance
26 as a prognostic marker and as a potential therapeutic target.

27

28 **Introduction**

29 Cancer aneuploidy is a biological enigma and a missed opportunity for cancer treatment.
30 Aneuploidy, an imbalanced number of chromosomes, was identified as a distinct feature of
31 cancer cells more than a century ago¹, decades before DNA sequence alterations were shown to
32 drive tumorigenesis. The process that causes aneuploidy, chromosome instability (CIN), has
33 been studied extensively, and targeted therapies have been developed based on its biological
34 understanding. In contrast, there has been rather limited progress in understanding how
35 aneuploidy contributes to cancer initiation and progression, and therapeutics that exploit this
36 hallmark of cancer have yet to be developed (reviewed in^{2,3}).

37 The challenge to understanding the role of aneuploidy in cancer, and how this disease hallmark
38 can be exploited clinically, stems from the “aneuploidy paradox”⁴: aneuploidy is detrimental for
39 primary cells during organismal and tissue development and when introduced experimentally,
40 and is associated with a substantial fitness cost under most circumstances⁵⁻⁸; at the same time,
41 aneuploidy is well tolerated in cancer cells. ~90% of solid tumors are aneuploid (ranging from
42 26% to 99% across tumor types)⁹. In a typical solid tumor, ~25% of the genome is altered at the
43 copy number level through whole chromosome or chromosome arm changes – a median of 3
44 gains and 5 losses of chromosome-arm length (or longer) per tumor^{10,11}. No other genetic
45 alterations affect cancer genomes to this extent. The existence of distinct, recurrent patterns of
46 aneuploidy across tumor types^{9,11-14} further suggests that specific aneuploidies drive
47 tumorigenesis.

48 Aneuploidy is notoriously difficult to study, for several reasons. First, large chromosomal
49 changes affect, by definition, hundreds (and sometime more) genes at once, complicating the
50 identification of the genes that drive the recurrence of a specific aneuploidy in a particular
51 cancer. Second, as discussed below, aneuploidy can play distinct, often opposite, roles in
52 different contexts. Third, introducing or eliminating specific chromosomes remains technically

53 challenging and laborious, despite tools such as microcell-mediated chromosome transfer^{15,16},
54 Cre-Lox recombination¹⁷ and CRISPR-Cas9 gene editing^{9,18,19}. Consequently, we lack the
55 ability to systematically characterize the consequences of aneuploidy across a wide range of
56 chromosomes and cell types. Last but not least, it is often difficult to disentangle the effects of
57 chromosome instability, the process that generates aneuploidy, from its product, an abnormal
58 karyotype. While CIN is highly correlated with aneuploidy levels, some cancer cells may be
59 highly aneuploid but chromosomally stable²⁰. For example, CIN may be a transient phenomenon
60 that is counterbalanced during tumor evolution (reviewed in²¹), but the resultant aneuploid
61 karyotypes of cancer cells may persist long after CIN has been attenuated. Notwithstanding these
62 challenges, recent progress in our understanding of cancer aneuploidy paves the way towards
63 tackling them, both in the lab and in the clinic.

64 In this Review article, we summarize recent findings that highlight the importance of cellular
65 context for determining the consequences of aneuploidy, and discuss the clinical relevance of
66 aneuploidy in cancer – both as a predictor of clinical outcome and drug response, and as a
67 potential therapeutic target. We note that this Review does not cover the mechanistic basis of
68 aneuploidy formation, which has been reviewed extensively elsewhere^{2,22-27}.

69

70 **Defining aneuploidy**

71 To investigate the importance of aneuploidy in tumorigenesis and its potential prognostic value,
72 we must first define the term in a clinically meaningful way (**Fig. 1a**). Aneuploidy is classically
73 defined as numerical aberrations of whole chromosomes and more recently in the cancer genome
74 literature as chromosome arm gains or losses^{9,11}. These definitions distinguish between
75 aneuploidy and focal copy number alterations (CNAs), a justified distinction based on their
76 distinct mechanistic origins and the biological differences between the two types of copy number
77 changes. Aneuploidy usually results in small (~50%) changes in gene dosage across many genes,
78 whereas focal CNAs frequently lead to much larger changes in gene dosage of a much smaller
79 number of genes.

80 While this qualitative definition of aneuploidy is operationally convenient, it is ambiguous.
81 Most, probably all, aneuploidy-driven phenotypes are caused by copy number changes of genes.
82 It follows that the more genes are affected the greater the phenotypic consequences. In light of
83 this argument, we have to ask whether there is a conceptual or functional difference between a
84 ~16 Mbp gain/loss encompassing the entire chromosome 18p arm – a chromosomal alteration
85 defined as aneuploidy in cancer genome studies – and a similarly sized aberration that occurs
86 within the ~250 Mbp chromosome 2q arm – defined as a CNA. In other words, should
87 aneuploidy be considered a quantitative trait, where the size of the alteration determines whether
88 or not a cell is defined as aneuploid? Already, most analyses of aneuploidy in human cancers do

89 not consider changes involving only the short (p) arm of acrocentric human chromosomes (13,
90 14, 15, 21 and 22) as aneuploid^{9,11}, because they are small and lack functional genetic elements.

91 If such a quantitative approach to defining aneuploidy is adopted, further questions arise. Should
92 the number of CNAs, the fraction of the genome that is altered, or the number of coding genes
93 that are affected, be included in the definition of aneuploidy?

94 Equally important in the cancer aneuploidy field, is the question of where to draw the line
95 between euploidy and aneuploidy. For example, do cells with a single trisomy more closely
96 resemble highly-aneuploid cells, as they already need to survive and proliferate with an abnormal
97 chromosome number? Or do such cells more closely resemble diploid cells, because only a small
98 fraction of their genome is altered? The answer to such questions is not straight-forward. Single
99 trisomies are sufficient to significantly affect cellular functions^{5,16,28} and are, by the classical
100 definition, aneuploid. However, at the same time, when tumors with single chromosome gains or
101 losses are classified in the “diploid” group, the prognostic value of high degree of aneuploidy
102 becomes stronger²⁹. This observation suggests that a threshold of tolerable karyotypic
103 complexity exists, potentially jeopardizing a simple quantitative approach to aneuploidy.

104 How useful, then, is the comparison of highly-aneuploid tumors with near-diploid tumors using
105 arbitrary group definitions (e.g., quartile comparisons)? Such considerations profoundly affect
106 conclusions. For example, an early study identified a gene expression signature of CIN that was
107 associated with poor clinical outcome across human cancers³⁰. More recent analyses called this
108 signature into question^{9,20,31}. It was shown that a refined view – one that considered extreme
109 aneuploidy levels separately – was necessary to more accurately predict clinical outcome: both
110 very high and very low levels of aneuploidy and CIN were found to be associated with response
111 to genotoxic drugs and improved patient survival^{32,33}.

112 So which convention should the field adopt? As mentioned above, historically, numerical
113 aneuploidy was defined as whole chromosome gains or losses⁶. Recent cancer genome analyses
114 included arm-level gains and losses – which would traditionally be called segmental or partial
115 aneuploidies – under the broad umbrella of aneuploidy⁹⁻¹¹. As the molecular mechanisms
116 underlying whole-chromosome and chromosome-arm alterations are different (chromosome
117 missegregation and non-reciprocal translocations, respectively), we propose to adhere to the
118 traditional definition in the context of cell biological studies. However, for quantitative genomic
119 analyses, it does make sense to include chromosome arm-sized alterations under the definition of
120 aneuploidy. Interestingly, large CNAs that encompass as many genes as small chromosome arms
121 (or more) are a frequent occurrence in cancer (**Fig. 1b**), and so a pure quantitative definition of
122 aneuploidy would include these events as well. Nonetheless, for practical reasons we strongly
123 encourage the field to adopt the already prevalent definition of aneuploidy as CNAs that affect
124 entire chromosomes arms (excluding the short arms of acrocentric chromosomes) or whole
125 chromosomes. Such a uniform definition would increase consistency and reproducibility across
126 cancer studies.

127

128 **Aneuploidy and tumor development**

129 How aneuploidy contributes to tumorigenesis is still being elucidated. In what follows we
130 discuss the many critical questions that remain unanswered, and summarize recent work that has
131 begun to shed light on them.

132 *Is aneuploidy tumor-promoting or tumor-suppressive?*

133 Much like mutagenesis, CIN promotes tumor formation by inducing genetic diversity, which is
134 the substrate for tumor evolution²¹. Recent findings suggest that the product of CIN, aneuploidy,
135 can both promote and suppress tumorigenesis. Systematic introduction of extra chromosomes
136 into yeast genomes revealed that single chromosome gains lead to slower proliferation and
137 various detrimental metabolic and physiological consequences⁷. Studies in mouse and human
138 cell lines reached similar conclusions: single chromosome gains generally impair proliferation,
139 alter metabolism and induce various stress responses^{8,16}. Further, oncogene-transformed trisomic
140 cells exhibit reduced tumorigenicity compared to their diploid counterparts⁵. In cancer too, a
141 similar trend is observed: the frequency of chromosome arm gains and losses is inversely
142 correlated with the number of coding genes on the chromosome arm^{10,34}, suggesting that in most
143 cases aneuploidy confers a fitness penalty.

144 On the other hand, several analyses of clinical tumor samples found positive correlations
145 between degree of aneuploidy and enrichment for proliferation and cell cycle-related
146 transcriptional signatures^{9,31,35}. Studies on mouse and human embryonic stem cells (ESCs)
147 showed that specific single trisomies can be tumor-promoting as well: trisomy of mouse
148 chromosome 8 can spontaneously arise as a sole aneuploidy in mouse ESC cultures^{36,37}, and
149 confers a strong selective advantage on these cells^{36,38}. Similarly, trisomy of human
150 chromosome 12 commonly arises and spreads in cultures of human ESCs, and is associated with
151 increased proliferation and tumorigenicity²⁸. Moreover, a recent study of a near-diploid
152 colorectal cancer cell line and aneuploid clones derived from it, found that single trisomies are
153 able to confer a selective advantage and increase the tumorigenic behavior of human cancer cells
154 cultured under non-standard conditions³⁹, consistent with previous findings from yeast^{40,41}.
155 Similarly, a study of mouse embryonic fibroblasts (MEFs) found that single chromosome losses
156 generally led to a proliferation disadvantage *in vitro*, but allowed tetraploid MEFs to grow better
157 than diploid MEFs upon transplantation into immune-compromised mice¹⁷. These findings are
158 in line with studies that introduced CIN into mice, and found that CIN can promote
159 tumorigenesis in some contexts but inhibits it in others⁴²⁻⁵³.

160 It is generally thought that changes in copy number of specific chromosomes are responsible for
161 increased fitness of cells harboring specific aneuploidies^{28,39,40}. However, genetic interactions
162 between altered chromosomes may also contribute. A key characteristic of aneuploid cells is that
163 they often provoke genomic instability⁵⁴⁻⁵⁶. Cells harboring single trisomies or monosomies

164 often undergo spontaneous karyotype evolution, which can result in their enhanced growth^{5,17}.
 165 Genomic evolution that generates karyotypes that are fitter than their single-aneuploidy
 166 precursors may also explain the co-occurrence of aneuploidies, which is frequently observed in
 167 stem cell cultures^{57,58}, tumors⁹ and yeast cells^{59,60}.

168 Together, these studies indicate that, generally, aneuploidy is detrimental, but under specific
 169 circumstances it can confer a fitness advantage. Future studies are required to address how
 170 variables such as the cell type, the method used to generate a specific aneuploidy, and the
 171 missegregation rate, determine how a chromosome gain or loss affects the fitness of a cell. Such
 172 studies may also reveal whether any pre-existing or co-occurring (epi)genetic alterations are
 173 necessary for aneuploidy to be tolerated and to exert its tumor-promoting or tumor-inhibitory
 174 effects, potentially accounting for the different phenotypic consequences of “naturally-
 175 occurring” vs. “experimentally-induced” aneuploidies.

176 *When does aneuploidy arise during tumorigenesis?*

177 In genetically-engineered mouse models, aneuploidy has been observed at late stages of
 178 tumorigenesis⁶¹⁻⁶³. For example, in mouse models of breast cancer, clonal aneuploidy was
 179 detected only during progression to invasive carcinomas⁶³. Similar observations were made in
 180 human cancer. In colorectal cancer, aneuploidy is present at very low levels in early-stage
 181 tumors, but its prevalence increases in late-stage tumors⁶⁴. In esophageal cancer, aneuploidy
 182 arises during the progression from Barrett’s esophagus to esophageal adenocarcinoma⁶⁵. In
 183 cervical cancer, the recurrent gain of chromosome arm 3q characterizes the transition from
 184 severe dysplasia to invasive carcinoma⁶⁶. These observations indicate that in many cancers,
 185 aneuploidy increases with tumor progression, perhaps marking the transition from local to
 186 invasive disease. However, this may not be true for all cancers. Both in human breast cancer and
 187 in human lung cancer, aneuploidy has been observed already at the stage of carcinoma in situ
 188 (CIS)⁶⁷⁻⁶⁹, suggesting that it may confer selective advantage early on. Furthermore, some tumor-
 189 specific aneuploidies tend to arise earlier in tumorigenesis than others⁷⁰. In sum, while some
 190 specific aneuploidies can arise in pre-malignant lesions^{67,69,71}, the degree of aneuploidy seems to
 191 be much higher in invasive epithelial tumors than in their non-invasive precursors (**Fig. 2**).

192 *Does aneuploidy promote metastasis?*

193 The act of chromosome missegregation can promote metastasis by expanding karyotypic
 194 diversity or through activation of the cGAS-cGAMP-STING pathway⁷², which senses cytosolic
 195 DNA and activates non-canonical NF-κB signaling, potentially triggering immune editing and
 196 immune evasion⁷³. However, once dissemination has occurred, cells must acquire specific
 197 karyotypic compositions compatible with survival and proliferation at the distant site. This idea
 198 that specific karyotypes, distinct from those of the primary tumor, are needed for metastasis, is
 199 supported by the fact that metastatic lesions often represent rare (or completely undetected)
 200 subclones of the primary tumor, and tend to be relatively clonal⁷⁴⁻⁷⁷. Some recurrent

201 aneuploidies become more prominent in metastases compared to primary tumors ¹⁴, whereas
202 others are recurrent only in the metastatic context. For example, loss of chromosome arm 9p is
203 significantly more prevalent in clear-cell renal cancer metastases than in primary tumors ⁷⁸.
204 Recent *in vitro* studies also support the idea of specific recurrent aneuploidies promoting
205 metastasis: while most single trisomies suppress metastatic potential in human cancer cell lines
206 (as evaluated by *in vitro* proxies of metastasis), some promote it ⁷⁹.

207 The metastatic process itself is comprised of various unique sub-processes. Recent data obtained
208 from cell line xenograft experiments suggests that specific karyotypes and aneuploidies promote
209 these distinct metastatic stages. Specific aneuploidies that promote epithelial-to-mesenchymal
210 transition were prevalent during the dissemination stages, followed by additional events that
211 promoted the opposite state transition during metastatic colonization ⁸⁰. Similar adaptive
212 mechanisms also appear to occur in earlier stages of tumorigenesis. For example, metabolic
213 genes were recently suggested to drive recurrent CNAs and contribute to their recurrence in
214 human tumors ⁸¹. As metabolic demands evolve throughout tumorigenesis (e.g. when tumors
215 grow and become more hypoxic), the fitness value of specific aneuploidies may change
216 accordingly (**Fig. 2**). Understanding karyotype dynamics will be critical for determining tumor
217 behavior throughout tumor formation, progression and metastasis. However, most studies that
218 have thus far been undertaken to study this process employ either advanced cancer cell lines
219 (e.g., HCT116), or non-transformed cell lines (e.g., RPE1). Novel human cell-derived model
220 systems to study the role of aneuploidy during distinct stages of tumorigenesis are needed to
221 address this important question.

222 *How does aneuploidy interact with the immune system?*

223 Immune recognition is an important force in shaping the genomic landscape of tumors, and its
224 association with aneuploidy is rather complicated. Recent clinical data analyses showed that the
225 degree of tumor aneuploidy correlates with markers of immune evasion and with reduced
226 response to immunotherapy ^{9,31,35}. However, other lines of evidence suggest that aneuploidy is
227 associated with activation of some immune responses: two recent studies demonstrated that
228 micronuclei, which can be byproducts of chromosome missegregation, activate the innate
229 immune response cGAS-cGAMP-STING pathway in non-transformed cells ^{82,83}. Another study
230 found that aneuploid cells with complex karyotypes are cleared by natural killer cells in a co-
231 culture experimental system where RPE-1 cells were made highly aneuploid ⁸⁴. Even cells with
232 very low levels of aneuploidy, such as primary cells harboring discrete trisomies, express pro-
233 inflammatory cytokines ^{84,85}. Furthermore, in mouse models of CIN tumors exhibit elevated
234 expression of the autophagy marker LC3 ³¹, which is also elevated when aneuploidy is
235 introduced in cell culture ⁸⁶. Given that autophagy can induce and modulate inflammation
236 (reviewed in ⁸⁷), this may be another way by which aneuploidy elicits an immune response. It
237 thus appears that aneuploidy induces immune recognition of cancer cells during the early stages
238 of tumorigenesis, but at some point the aneuploid cancer cells successfully evade the immune
239 system (**Fig. 2**). Aneuploidy thus seems to be able to promote both immune detection and

240 immune evasion, depending on the tumorigenic stage and on the milieu of immune cells in the
 241 tumor microenvironment. The mechanism by which this transition occurs, and whether
 242 aneuploidy itself, events that correlate with high level aneuploidy (i.e. mitotic index, time of
 243 detection), or specific aneuploid karyotypes (e.g., by loss of heterozygosity of the human
 244 leukocyte antigen (HLA) ⁸⁸), play an active role in this transition remains to be elucidated.

245

246 **Context matters**

247 Recent studies of the prevalence of aneuploidy across different tumor types and experimental
 248 systems have revealed the strong context-dependence of cancer aneuploidy. It has become
 249 apparent that in order to elucidate how aneuploidy drives tumor formation and progression, and
 250 to identify vulnerabilities associated with specific recurrent aneuploidies, we have to take tumor
 251 type, genetic make-up, tumor grade, and tumor microenvironment into consideration (**Fig. 3a**).

252 *Cell type dictates aneuploidy patterns*

253 Aneuploidy patterns vary widely across tumor types ^{9,11-14}. In some instances, the same
 254 chromosome is commonly gained in one tumor type, but frequently lost in another one. For
 255 example, chromosome arm 13q is recurrently lost in lung squamous cell carcinoma and other
 256 cancer types, but commonly gained in colorectal adenocarcinoma ^{9,13,14}. Similarly, chromosome
 257 arm 17p loss occurs in many tumor types, but is frequently gained in kidney renal papillary cell
 258 carcinoma ^{9,13,14}. Similar tissue specificity is observed in mouse models of CIN. The same CIN
 259 driver gives rise to different karyotypes in different cancer types ⁵². These and many other
 260 studies demonstrate that no single chromosome gain or loss universally promotes tumorigenesis.
 261 Instead, a picture emerges where the tissue of origin dictates aneuploidy patterns. Unsupervised
 262 clustering of tumors based on their aneuploidy patterns reveals that tumors that originate from
 263 the same tissue tend to cluster together ⁸⁹. Moreover, tumors of similar tissue types cluster more
 264 closely together than tumors of unrelated tissues. For example, various gynecological cancers
 265 display similar aneuploidy patterns, as do various gastrointestinal cancers ⁹. Squamous cell
 266 tumors are another case in point: irrespective of tissue or organ origin, they are more related to
 267 one another than to epithelial tumors of the tissue they were isolated from ⁹.

268 Aneuploidy patterns in cancer are thought to be driven by genes that control proliferation:
 269 chromosomes that are recurrently gained tend to be enriched for proliferation-promoting genes
 270 and those that are recurrently lost for genes that repress proliferation ⁹⁰. The tissue-specific
 271 aneuploidy patterns in tumors indicate that these proliferation drivers function in a highly tissue-
 272 specific manner ⁹¹, a result that is highly surprising given the high degree of conservation of cell
 273 cycle control not only across tissues but across the eukaryotic kingdom. A recent study found
 274 that aneuploidy recurrence patterns intensify pre-existing chromosomal gene expression
 275 differences in the respective normal tissues, thus providing another potential explanation for the
 276 tissue specificity ⁷⁰. The observation that cultured stem cells tend to acquire patterns of

277 aneuploidy that resemble those observed in malignancies of their descendants⁹² further suggests
278 that these tissue-specific growth programs are already active well before cells undergo terminal
279 differentiation and/or transformation (**Fig. 3b**).

280 Genomic context shapes the aneuploidy landscape

281 Genetic alterations interact with each other. This is of course also true in cancer. For example,
282 the order in which somatic mutations occur influences cancer evolution⁹³. Acquisition order of
283 *Ras* and *Tp53* mutations defines distinct adrenocortical tumor phenotypes in mouse models⁹⁴.
284 Similarly, the order of occurrence of *TET2* and *JAK2* mutations affects the manifestation of
285 human myeloproliferative neoplasms^{95,96}.

286 Given that the inherent fitness cost of aneuploidy is high and its effects are context-dependent,
287 aneuploidy may be particularly sensitive to other genetic alterations (**Fig. 3c**). Recent evidence
288 suggests that this is the case. Recurrent aneuploidy patterns were found to be associated with
289 specific dysregulated pathways⁹⁷, and even with specific driver mutations⁶³. Evidence for the
290 reciprocal interaction, in which aneuploidy occurs first and dictates the acquisition of point
291 mutations, also exists. Loss of chromosome arm 3p drives clear-cell renal cancer in >90% of
292 patients and is an early event in tumorigenesis, decades before cancer is detected. Secondary
293 mutations in tumor suppressors that reside on that chromosome arm are then selected for in the
294 remaining allele, leading to cancer formation^{71,78}.

295 A genetic alteration of particular interest is whole-genome duplication (WGD). It can occur early
296 during tumorigenesis and affects approximately one third of human cancers^{11,12,98}. WGD is
297 associated with elevated aneuploidy levels, and especially with an increased loss of
298 chromosomes^{9,12,98}, presumably because the tetraploid genome buffers against the adverse
299 consequences associated with chromosome loss. Whereas chromosome losses are rarely tolerated
300 in diploid cells, their acquisition in tetraploid cells is frequent and can promote cancer formation
301^{17,99}. Therefore, WGD is a common macro-evolutionary event that creates an aneuploidy-
302 permissive condition. We conclude that both very small genetic alterations (i.e., point mutations)
303 and very large genetic alterations (i.e., WGD) contribute to shaping the aneuploidy landscape of
304 tumors (**Fig. 3c**).

305 Cellular microenvironment determines aneuploidy evolution

306 Aneuploidy seems to be particularly prone to genomic evolution, as the inherent fitness cost
307 associated with aneuploidy may readily shift from being advantageous to being a burden for the
308 cell, as selection pressures change during tumor evolution¹⁰⁰ (**Fig. 3d**). This importance of
309 cellular environment on chromosome composition is highlighted by recent genomic analyses of
310 patient-derived cancer models (reviewed in¹⁰⁰). Rapid changes in the karyotype composition
311 have been observed in patient-derived xenografts¹⁴, in patient-derived cell lines¹⁴, and in
312 patient-derived organoids^{101,102}. Ongoing CIN that leads to continuous selection of specific
313 aneuploidies has also been detected in single cell-derived cultures of established human cell lines

314 ^{103,104}, further demonstrating the importance of karyotype evolution and the practical challenge
315 that it poses.

316

317 **The prognostic value of aneuploidy**

318 Aneuploidy can be readily detected using multiple technologies, including various methods of
319 conventional and molecular cytogenetics, SNP and CGH arrays, and genome-wide DNA and
320 RNA sequencing (reviewed in ^{105,106}). Some of these methods are already routinely used in the
321 clinic ¹⁰⁵, making aneuploidy an appealing biomarker for patient stratification, should it have a
322 prognostic and/or a predictive value.

323 Despite some confounding factors that are discussed below, it is worth exploring the value of
324 aneuploidy in diagnosis. Similar to the prognostic value of point mutations, aneuploidy could
325 inform prognosis in a quantitative manner, that is through overall aneuploidy burden, or through
326 specific recurrent alterations. An extensive body of evidence supports both types of associations,
327 in multiple cancer types (**Table 1**).

328 *The prognostic value of degree of aneuploidy*

329 The prognostic value of aneuploidy has long been demonstrated for several indications ^{107,108},
330 with high levels of aneuploidy being associated with poorer prognosis in the vast majority of
331 cases. A recent literature survey found cellular DNA ploidy (which served as a proxy for the
332 degree of aneuploidy in this study) to be an independent prognostic marker in patients with
333 invasive breast, early stage endometrial, early stage ovarian, prostate, and colorectal cancers ²⁹.
334 Congruently, a recent analysis of data from The Cancer Genome Atlas (TCGA) revealed that
335 CNA burden (to which aneuploidy is the major contributor) is significantly associated with
336 disease-free and overall survival in primary breast, endometrial, renal clear cell, thyroid, and
337 colorectal cancers ¹⁰⁹. A recent TCGA analysis used more direct aneuploidy scores, that take into
338 account only arm-level and chromosome-level alterations, and found highly-aneuploid tumors to
339 be associated with a significantly worse prognosis in 9 out of 27 tumor types ⁷⁹.

340 In colorectal cancer, a systematic meta-analysis of >7,000 patients revealed that later-stage
341 tumors were more frequently aneuploid than early-stage tumors (odds ratio 1.51, p=0.0007),
342 indicating that aneuploidy could be a marker of disease stage ⁶⁴. Importantly, over half of the
343 studies that were analyzed in this meta-analysis reported a significant prognostic impact of
344 aneuploidy for overall, disease-specific, and recurrence-free survival, independent of tumor stage
345 ⁶⁴. Similar conclusions were reached in additional meta-analyses of clinical colorectal studies
346 ^{29,110,111}. Of particular note are large studies that demonstrated an independent prognostic value
347 of aneuploidy in multivariate analyses of defined cohorts of colorectal patients (mostly patients
348 with stage II disease) ¹¹²⁻¹¹⁴. In these studies, diploidy was found to be an even stronger marker

349 of favorable prognosis than microsatellite instability (MSI), a well-known favorable prognostic
350 marker in this disease ¹¹²⁻¹¹⁴.

351 High degree of aneuploidy was also found to be associated with poor overall patient survival in
352 serous ovarian cancer ³⁴. In multivariate analysis, aneuploidy was the strongest independent
353 prognostic factor of recurrence-free survival in stage I ovarian carcinomas ¹¹⁵. Moreover, specific
354 copy number signatures could predict both overall survival and the probability of platinum-
355 resistant relapse in high-grade serous ovarian cancer ¹¹⁶. In breast cancer, several studies
356 confirmed aneuploidy as a multivariate indicator of poor survival ^{29,109,117-119}. Aneuploidy was
357 also associated with various clinical and histopathological parameters in squamous cell
358 carcinomas of the tongue ¹²⁰. In lung cancer, CIN and high CNA burden were associated with
359 progression of pre-malignant lesions to cancer ⁶⁹. Similarly, in esophageal cancer, higher levels
360 of aneuploidy are observed in Barrett's esophagus of patients that will progress to esophageal
361 carcinoma¹²¹, and aneuploidy can be combined with other biomarkers to identify disease that will
362 progress to high-grade dysplasia and/or carcinoma ^{29,122}. In prostate cancer, aneuploidy was
363 associated with prostate-specific antigen (PSA)-recurrence free interval ¹²³, and prostate tumors
364 that contain aneuploid cells are more likely to recur after resection ^{124,125}. Most recently, it was
365 found that the degree of aneuploidy is associated with overall survival of prostate cancer patients
366 ¹²⁶, and is a better predictor of patient outcome than Gleason score ^{29,109}.

367 Assessment of the degree of aneuploidy has also been shown to augment traditional diagnostic
368 tools. In cervical cancer, the detection of aneuploid cells can improve the sensitivity and the
369 positive predictive value of the cytological analysis of Pap smears, making it a reliable, cost-
370 effective indicator of the early stages of cancer progression ^{29,127}. Similarly, aneuploidy detection
371 can potentially reduce erroneous diagnosis of non-small cell lung cancer (NSCLC) based on
372 cytology findings alone ¹²⁸, and improve the sensitivity of cytology in identifying early-stage
373 NSCLC in high-risk populations, such as heavy smokers ^{29,129-131}.

374 Interestingly, in multiple myeloma (MM), a plasma cell malignancy, high degree of aneuploidy
375 predicts positive patient outcome and is, in fact, among the most important prognostic factors in
376 this disease. MM is divided into two major subgroups based on aneuploidy: "hyperdiploid" MM
377 is characterized by high degree of aneuploidy, whereas "non-hyperdiploid MM" is characterized
378 by smaller deviations from a diploid or a tetraploid karyotype, and can be further sub-divided
379 based on the chromosome number ¹³². Hyperdiploidy is associated with a favorable prognostic
380 value, but this association is not necessarily directly related to aneuploidy level, given the high
381 number of other genetic alterations ¹³³. Hyperdiploidy has also been associated with a favorable
382 prognosis in acute lymphoblastic lymphoma (ALL), whereas hypodiploid ALL is associated with
383 poor prognosis ¹³⁴⁻¹³⁶. In summary, high degree of aneuploidy has been associated with a worse
384 clinical outcome in many different tumor types, but, curiously, it is also associated with a better
385 prognosis under specific circumstances.

386 An important question that is not yet fully answered is why aneuploidy is generally associated
387 with adverse prognosis. One reason is that highly aneuploid cancer cells are generally less
388 sensitive to chemotherapies. Decreased sensitivity of aneuploid cancer cells to genotoxic agents
389 has been reported in cancer cell lines^{137,138}, patient-derived xenograft models¹⁴ and human
390 tumors³². This increased drug resistance has been attributed to heterogeneity in tumor
391 karyotypes, which is prevalent in aneuploid cancers³². Similarly, high degree of aneuploidy
392 induced by transient CIN can lead to resistance to oncogene withdrawal in genetic mouse models
393^{42,43}. Karyotype heterogeneity is of course caused by CIN, so it is possible that it is CIN rather
394 than aneuploidy that causes drug resistance. Importantly, the relationship between aneuploidy
395 levels and drug resistance is not a simple linear relationship, as there is a limit to the karyotypic
396 complexities that cells can tolerate (**Fig. 4**). In fact, extreme levels of aneuploidy/CIN were
397 reported to render cells more sensitive – rather than more resistant – to anticancer drugs
398^{14,32,33,139-141}, in line with the notion of optimal karyotypic heterogeneity and chromosome
399 missegregation rate¹⁴². Nevertheless, it is generally true that higher levels of aneuploidy are
400 associated with resistance to chemotherapy. Thus, overall degree of aneuploidy has not only a
401 prognostic value, but a predictive value as well.

402 *The prognostic value of specific recurrent aneuploidies*

403 In some cancers, specific recurrent aneuploidies have long been recognized to be of prognostic
404 value. Moreover, specific aneuploidies can, in some cases, inform clinical patient management.
405 The best example for this is myelodysplastic syndrome (MDS), a clonal disorder of
406 hematopoietic stem cells that can progress to acute myeloid leukemia (AML)^{143,144}. The current
407 risk classification of MDS patients defines five risk groups based on specific aneuploidies. For
408 example, monosomy of chromosomes 5 and 7, or loss of the long arms of one of these
409 chromosomes (del5q/del7q), are highly recurrent in this hematopoietic disorder¹⁴⁵. However,
410 while patients with monosomy 5/5q have a good prognosis, patients with monosomy 7/7q are
411 classified as being in a “poor prognosis” group^{143,144}. This aneuploidy-based classification has a
412 very strong prognostic value, as it is very significantly associated with relapse and mortality
413 following hematopoietic stem cell transplantation¹⁴⁶. Moreover, this cytogenetic classification
414 determines the course of treatment of MDS patients: most notably, the apoptosis-inducing drug
415 lenalidomide is specifically indicated for the treatment of MDS patients with a loss of
416 chromosome arm 5q (reviewed in^{147,148}).

417 Gliomas are another prominent example of a strong prognostic value associated with specific
418 aneuploidies. In grade III anaplastic oligodendrogliomas in particular, the co-occurring loss of
419 chromosome arms 1p and 19q marks a clinically distinct molecular subtype within this
420 histologically-defined tumor type¹⁴⁹⁻¹⁵¹. 1p/19p co-loss is associated with a lower rate of relapse
421 and improved overall survival following treatment with the alkylating agent temozolomide¹⁵²,
422 and was shown to be associated with a favorable prognosis irrespective of whether patients were
423 receiving radiotherapy, chemotherapy, or both¹⁵³⁻¹⁵⁶. Furthermore, the status of these co-

424 occurring aneuploidies directs treatment: 1p/19p co-loss predicts benefit from the addition of a
425 chemotherapy regimen to radiotherapy^{155,156}.

426 Both in MDS and in low-grade gliomas the characteristic aneuploidies exist in an otherwise quiet
427 karyotype, indicative of low levels or no CIN. However, the occurrence of specific aneuploidies
428 can be prognostic in highly-aneuploid CIN tumors as well ⁷⁹. For example, loss of specific
429 chromosomes was identified as an independent prognosis factor in colorectal cancer ¹⁵⁷; losses
430 and gains of specific chromosome arms are also associated with poor outcome in Multiple
431 Myeloma (MM)^{133,158}; and loss of chromosome arm 17p predicts more aggressive disease and
432 lower drug response in Chronic Lymphocytic Leukemia (CLL; reviewed in ¹⁵⁹). In fact, a recent
433 analysis of the TCGA data set identified 160 significant associations between specific
434 aneuploidies and patient survival ⁷⁹. It thus appears that in almost any tumor type, specific
435 aneuploidies have context-dependent prognostic value.

436 Factors confounding aneuploidy's prognostic value

437 As aneuploidy is most pervasive in the late stages of tumorigenesis, its detection would be
438 associated with more advanced stage of disease. This in turn could generate an apparent
439 association between aneuploidy and clinical outcome, simply because more advanced tumors
440 would tend to be both more aneuploid and more aggressive. Therefore, it is extremely
441 challenging to interpret the relationship between aneuploidy and patient prognosis based on
442 studies that do not stratify patients according to the clinical stage or grade of their tumors. To
443 establish a direct link between aneuploidy and aggressiveness, the timing of diagnosis, as well as
444 proliferation rate, should also be controlled for.

445 Another potential caveat is that aneuploidy levels are associated with high degree of CIN, which
446 are in turn associated with inactivation of p53 ^{9,98}. Recently, it was suggested that chromothripsis
447 is another major source of aneuploidy in human cancer ¹⁶⁰⁻¹⁶². This generates an inherent
448 challenge to disentangle these variables when attempting to analyze the prognostic value of
449 aneuploidy *per se* ³. The clinical relevance of CIN, of chromothripsis, and of p53 status, have
450 been extensively reviewed ^{22,26,73,163,164}. It is important to bear in mind that, while these variables
451 can be disentangled experimentally ¹⁶⁵, it is often impossible to entirely control for them when
452 studying aneuploidy in a clinical context, rendering some of the literature ambiguous with
453 respect to the causal relationships underlying observed associations.

454 A third confounding factor is intra-tumor heterogeneity (ITH), which has been studied
455 extensively in recent years, largely thanks to the advances in single-cell “omics” technologies.
456 These studies revealed the importance of ITH for cancer progression and for response to
457 therapeutics (reviewed in ^{166,167}). Histological ITH and tumor proliferation rates were found to
458 reflect genetic ITH ³². Interestingly, recent evidence suggests that numerical and structural CIN
459 drive the development and maintenance of ITH more strongly than point mutations ³².
460 Furthermore, CNA heterogeneity – but not point mutation heterogeneity – is strongly associated

461 with clinical outcome ¹⁶⁸. Stratification of tumors based on ITH and CNA burden revealed that it
462 is the interaction between these two parameters that determines clinical outcome: high CNA
463 burden with low ITH was associated with best overall survival ³². While this study did not
464 examine aneuploidy specifically, CNA burden was defined as the fraction of the genome affected
465 by CNAs, and was therefore largely determined by aneuploidy. These findings highlight the
466 importance of controlling for ITH when assessing the association between aneuploidy and
467 clinical outcome. Recent developments in single cell sequencing now enable more
468 comprehensive analyses of ITH and its association with aneuploidy ¹⁰⁶.

469 It is impressive that despite the inherent challenges, both the degree of aneuploidy and specific
470 aneuploidies have been successfully and convincingly associated with clinical outcome, to the
471 point that they can inform clinical management in some specific cases. Accounting and
472 controlling for potentially confounding factors is expected to further improve our understanding
473 of the prognostic and predictive value of cancer aneuploidy.

474

475 **Aneuploidy as a therapeutic target**

476 The overwhelming prevalence of aneuploidy in human cancer, along with the tumor clonality of
477 some of the specific events and their prognostic value, leads to the conclusion that aneuploidy
478 should be considered as a therapeutic target.

479 For aneuploidy, like for all other genetic lesions in cancer, such as point mutations, a
480 fundamental distinction ought to be made between the tumorigenic role of the process – CIN and
481 mutagenesis, and its outcomes – aneuploidy and mutations. Both the process and its outcomes
482 may present therapeutic opportunities. For example, inhibitors of DNA damage response
483 proteins, such as poly ADP-ribose polymerase (PARP), are used to target genomically unstable
484 cells that are deficient in homologous recombination and DNA repair ¹⁶⁹, and can therefore be
485 considered drugs targeting the mutagenic process. In contrast, inhibitors of epidermal growth
486 factor receptor (EGFR) signaling are used to target *EGFR*-mutant tumors ¹⁷⁰, and are thus
487 considered therapies that target a recurrent molecular alteration. The clinical relevance and
488 putative therapeutic value of CIN has recently been reviewed elsewhere ^{22,73} and will not be
489 discussed here. Instead, we will focus on aneuploidy *per se*.

490 Consistent with the abovementioned definitions, exploiting aneuploidy for cancer therapy merits
491 consideration in two distinct ways: targeting the cellular consequences induced by a high degree
492 of aneuploidy (independently of CIN), and targeting unique vulnerabilities induced by specific
493 recurrent aneuploidies. The potential targeting of specific aneuploidies could be further divided
494 into two conceptual approaches: (a) identifying and targeting drivers of recurrent aneuploidies,
495 which might be considered a particular class of cancer genes; and (b) identifying genes linked to
496 these drivers that do not contribute to, but are invariably associated with, the specific aneuploidy.

497 Targeting the aneuploid state per se

498 High levels of aneuploidy elicit cellular stress, as cells need to rewire their basic physiological
499 functions to cope with the broad consequences of an imbalanced karyotype. The cellular stresses
500 induced by aneuploidy have been recently summarized elsewhere^{171,172}. They can be divided
501 broadly into five categories: proteotoxic, metabolic, replicative, mitotic and hypo-osmotic^{171,173}.
502 These cellular stresses may induce unique vulnerabilities that are shared by many if not all
503 highly aneuploid cells regardless of which chromosome's copy number is altered. In line with
504 this notion, different aneuploidies were found to induce similar transcriptional programs in
505 mammalian cell lines genetically manipulated to harbor aneuploidies^{85,174}.

506 The cellular stresses of aneuploidy could be exploited therapeutically by identifying genetic
507 alterations or compounds that are synthetic lethal with the condition. For example, proteotoxic
508 stress appears especially wide-spread amongst aneuploid cells. Aneuploidy leads to
509 stoichiometric imbalance among members of protein complexes, increasing aggregation and the
510 need for protein degradation¹⁷⁵. This increased burden on the protein quality control machinery
511 leads to increased sensitivity to conditions that adversely impact cellular protein quality control.
512 In budding yeast, aneuploid strains are uniquely sensitive to proteasome inhibition⁷, and to
513 inhibition of *Ubp3*, a deubiquitylating enzyme involved in protein homeostasis¹⁷⁶. However,
514 the generalizability of these findings and their applicability to human cancer remains an open
515 question. On the one hand, depletion of *USP10*, the human homolog of *Ubp3*, was detrimental to
516 the fitness of aneuploid human cells¹⁷⁶. On the other hand, trisomic mouse and human cells,
517 although being more sensitive to HSP90 inhibitors, were not more sensitive to proteasome
518 inhibitors compared to their diploid counterparts^{177,178}. A recent analysis of TCGA data found
519 that the agreement between DNA copy number levels and protein levels is lower than that
520 between DNA and mRNA levels, especially for the subset of proteins that function as subunits of
521 protein complexes¹⁷⁵. In human cancer cell lines, this "protein attenuation" was regulated at
522 least partly by proteome degradation. Surprisingly, however, this was suggested to be associated
523 with increased resistance (rather than sensitivity) of cell lines with high CNA burden to
524 proteasome inhibition¹⁷⁵. Therefore, the potential vulnerability of aneuploid human cancer cells
525 to different classes of antagonists of protein homeostasis, and the specific contexts in which such
526 dependence might be therapeutically relevant, remains to be elucidated.

527 Dysregulated sphingolipid metabolism is another example of a potentially-actionable
528 aneuploidy-induced vulnerability. Ceramide levels are increased in aneuploid budding yeast, and
529 genetic and chemical interventions that further upregulate ceramide levels could slow down their
530 proliferation¹⁷⁹. Elevated levels of ceramide were found in aneuploid mammalian cells as well
531¹⁸⁰. Increasing levels of this lipid further, either genetically or pharmacologically, induced
532 apoptosis in aneuploid mouse MEFs and in highly aneuploid human colorectal cancer cell lines
533¹⁸⁰. Last but not least, the growth disadvantage caused by aneuploidy-induced cellular stresses
534 could of course also lend itself to therapeutic exploitation.

535 In addition to vulnerabilities associated with the stress response to aneuploidy, genes that enable
 536 aneuploid cells to tolerate such stress comprise another class of potential targets. Such genes
 537 have been identified in aneuploid yeast¹⁸¹ and in aneuploid human cells¹⁷⁸. Inhibiting these
 538 genes may exacerbate the cellular stresses induced by aneuploidy, thereby reducing their
 539 viability and proliferation, or making them more sensitive to drugs that target these stress
 540 pathways. For example, a recent study found that p38 α stress-induced MAP kinase is activated
 541 following chromosome missegregation and promotes apoptosis¹⁸². p38 α inactivation induces
 542 aneuploidy tolerance and facilitates the expansion of aneuploid clones¹⁸². Moreover, p38 α
 543 inhibitors can potentiate the CIN-inducing effects of taxanes¹⁸³, providing a rationale for this
 544 combination therapy. Similarly, over-expression of the anti-apoptotic protein BCL-XL was
 545 recently found to enable the survival of aneuploid human pluripotent stem cells¹⁸⁴. Targeting
 546 p38 α or anti-apoptotic proteins in aneuploid cells could therefore suppress aneuploidy tolerance.

547 The identification of cellular dependencies induced by aneuploidy itself, by the general stresses
 548 caused by aneuploidy, or by the cellular changes that enable aneuploidy tolerance, has so far
 549 been based mostly on small- and medium-scale chemical screens in isogenic model systems of
 550 diverse karyotypes^{177,180}. These proof-of-concept efforts should now be expanded to include
 551 large-scale chemical screens and genome-wide loss-of-function and gain-of-function screens
 552 (e.g., CRISPR, CRISPRi and CRISPRa) across a large repertoire of isogenic diploid/aneuploid
 553 mammalian models, to ensure the generalizability of identified differential vulnerabilities.
 554 Importantly, it is unlikely that any single drug could kill aneuploid cells selectively and potently
 555 across all cancer contexts, so even “general” dependencies should not be expected to be
 556 universal. It therefore remains crucial to dissect the molecular mechanisms underlying such
 557 dependencies, in order to elucidate the most promising cellular contexts for their targeting.

558 Targeting specific aneuploidies

559 *Targeting drivers of aneuploidy*

560 While the successful therapeutic targeting of recurrent point mutations and specific gene
 561 amplifications should certainly inspire research aimed at targeting recurrent aneuploidies, there
 562 are critical differences between these types of genomic aberrations (**Fig. 5**). First, although
 563 cellular context always matters, it seems to be more important in the case of aneuploidy. Indeed,
 564 perturbation of specific oncogenes and tumor suppressor genes (e.g., loss of RB1) can drive
 565 tumorigenesis in a cell type specific manner¹⁸⁵⁻¹⁸⁷. Furthermore, many genetic alterations are
 566 cancer type-specific^{89,91}. However, specific genes can be universally tumor-promoting (e.g.,
 567 *KRAS*) or tumor-suppressive (e.g., *TP53*)¹⁸⁸, whereas no chromosome is known to be universally
 568 oncogenic or tumor-suppressive; specific chromosome gains or losses are invariably tissue-
 569 specific^{9,11,13}. Second, recent analyses demonstrate that positive selection overwhelmingly
 570 outweighs negative selection during cancer development, and the vast majority (~99%) of coding
 571 mutations are tolerated and escape negative selection¹⁸⁹. In contrast, aneuploidy comes with a
 572 strong fitness cost (reviewed in^{4,6}), and experimentally-induced aneuploid cells are often

573 selected against and are outcompeted by their diploid counterparts^{5,9}. Third, whereas point
574 mutations and focal CNAs, such as multi-copy amplification or a complete deletion, can lead to
575 drastic changes in the expression of affected genes, aneuploidy usually involves only a single
576 copy gain/loss, thus leading to much milder changes in the expression of the affected genes¹⁹⁰⁻
577¹⁹⁴. At the same time, however, aneuploidy affects the expression of many more genes than the
578 other aforementioned genetic alterations, thus exerting a quantitatively larger overall effect on
579 global gene expression¹⁹⁰⁻¹⁹⁴.

580 Together, these considerations suggest that targeted therapeutics should focus on the genes that
581 drive the gain or loss of a specific chromosome. Identifying these driver genes is thus critical, but
582 far from trivial. It has recently been suggested that aneuploidies are largely driven by the
583 cumulative effects of oncogenes and tumor suppressors that reside within the aberrant
584 chromosome arms^{90,91}. Consistent with this idea, even when a bona-fide oncogene or tumor
585 suppressor gene resides within a highly recurrent aneuploidy, it is likely that other genetically-
586 linked genes contribute to the selective advantage of the aneuploidy^{195,196}. For example,
587 inactivation of *p53* is a major driver of chromosome arm 17p loss in multiple cancer types.
588 However, even in the context of *TP53* loss, reduced dosage of neighboring tumor suppressor
589 genes exacerbates the severity of the phenotype¹⁹⁵. Therefore, identifying the sets of genes that
590 drive recurrent aneuploidies, as well as understanding the relative importance of such aneuploidy
591 drivers to various aspects of tumorigenesis (e.g., proliferation, migration, immune evasion, etc.),
592 will be critical for their therapeutic exploitation.

593 How can we identify drivers of recurrent aneuploidies? Several complementary strategies could
594 be combined (**Fig. 5a**). First, driver genes are expected to reside within the minimal recurrent
595 aberrant region (**Fig. 5a; I**; ^{10,97,197}). Second, driver genes may be altered in additional ways, such
596 as focal CNAs, point mutations, and/or epigenetic alterations (**Fig. 5a; II**). For example, the most
597 common *TP53* configuration involves a missense mutation in one allele and loss of the other
598 through a 17p chromosome arm loss¹⁴⁷. Similarly, mutations in the genes *FUBP1* and *CIC*,
599 which reside on chromosome arms 1p and 19q, respectively, are very common in a subtype of
600 low-grade gliomas with 1p/19q co-loss, implicating them as drivers of these chromosome arm
601 losses^{150,198,199}. Third, as coding genes typically exert their impact via gene expression, drivers
602 are expected to be differentially expressed when genetically altered (**Fig. 5a; III**). Differential
603 gene expression analyses can therefore help prioritize candidate driver genes within aneuploid
604 chromosomes, as has been recently shown in luminal and *HER2*-enriched breast cancer subtypes
605^{63,97}. Fourth, cross-species comparative oncogenomic approaches can be used to identify
606 evolutionarily-conserved drivers within syntenic chromosomal regions (**Fig. 5a; IV**). Aneuploidy
607 landscapes of genetically-engineered mouse models have been shown to be similar to those that
608 characterize human cancer¹⁵⁰, and the incomplete synteny between the mouse and human
609 genomes could thus help to focus the regions of interest within recurrent aneuploidies^{63,200-202}.
610 Fifth, systematic loss-of-function and gain-of-function genetic screens can reveal genes whose

611 perturbation phenocopies the aneuploidy, or that can rescue the disease phenotype, thus
612 implicating them as drivers of these events (**Fig. 5a**; V)^{203,204}.

613 Identifying drivers of specific aneuploidies will be important for revealing their functional role in
614 the particular context of their prevalence. It may also spark efforts to target these aneuploidy
615 drivers. Encouragingly, because these cancer drivers function through single copy number gain
616 or loss they may be especially susceptible to subtle manipulations of their expression levels.

617 *Targeting passengers of aneuploidy*

618 The genetic linkage that is inherent to chromosomes presents a unique opportunity to eliminate
619 aneuploid cells (**Fig. 5b**). Genes that are linked to genes that drive a particular aneuploidy may
620 enable the targeting of cells that harbor that aneuploidy. Such targetable passenger genes could
621 be identified by unbiased genetic and chemical screens of isogenic cell models (e.g., cell lines
622 with and without an aneuploidy that is characteristic of that particular tumor type). Unlike
623 screens to identify general aneuploidy-induced vulnerabilities¹⁷⁷, identified liabilities would be
624 unique to a specific karyotypic composition of interest. For example, a chemical screen of
625 isogenic cell lines against 4,000 compounds revealed that loss of the chromosome arm 8p is
626 associated with increased sensitivity to autophagy inhibitors, potentially due to the
627 downregulation of the acid ceramidase gene *ASAH1*²⁰⁵. A smaller-scale chemical screen
628 suggested that pluripotent stem cells and germ cell tumor cells with trisomy 12 may be more
629 sensitive to replication inhibitors²⁸.

630 Haploinsufficient genes within recurrent chromosomal losses are of particular interest in this
631 context. Between 27% to 45% of essential genes are estimated to be haploinsufficient⁹⁰. Copy-
632 number loss, such as occurs in monosomies, renders cells more sensitive to further suppression
633 of these genes²⁰⁶. For example, the splicing factor *SF3B1* is partially lost in 11% of human
634 cancers, most often (in 81% of cases) due to a loss of a chromosome arm 2q²⁰⁷. Breast and
635 hematopoietic cell lines with this particular aneuploidy are consequently more sensitive to
636 *SF3B1* inhibition²⁰⁷. Importantly, this type of vulnerability has been recently predicted to be
637 common in human cancer²⁰⁷. Interestingly, the opposite of haploinsufficiency – overexpression
638 toxicity – may also be targetable. Overexpression of many genes reduces cell viability and
639 proliferation^{91,208}. Not surprisingly, copy number landscapes in cancer evolve to avoid the gain
640 of such genes²⁰⁹. When dosage-sensitive genes reside within a recurrent trisomy, their genetic or
641 epigenetic silencing (e.g., by promoter hypermethylation²¹⁰) may be required for the tolerance or
642 positive selection of this trisomy. Reversing these inactivation mechanisms (e.g., by
643 demethylation) will antagonize the fitness advantage conferred by a particular trisomy. In
644 budding yeast, most, perhaps all haploinsufficient genes are also toxic when overexpressed²⁰². If
645 this finding holds true in human cancer cells, it would raise the intriguing possibility that some
646 dosage-sensitive cancer genes could be targeted through both inhibition and activation.

647 Homozygous deletions of passenger genes may represent additional therapeutic opportunities.
648 Loss of both copies of an autosome or autosome arm is rare, but monosomies can contribute to
649 the complete inactivation of genes whose other allele is mutated or focally deleted (such as in the
650 abovementioned example of *TP53*). Such focal deletions could encompass genes that are
651 irrelevant for tumorigenesis but provide cancer-cell specific synthetic lethality. For example,
652 deletion of the enzyme MTAP, which is a common event in multiple cancers due to its genetic
653 proximity to the tumor suppressor *CDKN2A*, increases the sensitivity of cells to PRMT5
654 inhibition^{211,212}.

655 Given the importance of the loss of chromosome arms 5q and 7q in the pathogenesis of MDS,
656 many attempts were made to identify vulnerabilities conferred by these chromosome arm
657 losses^{203,204}. As mentioned above, lenalidomide is specifically used for the treatment of MDS
658 with chromosome arm 5q loss. Haploinsufficiency of several genes within chromosome arm 5q –
659 in particular *CSNK1A1*, *RPS14*, *EGRI*, *miR-145* and *miR-146a* – was suggested to underlie this
660 increased lenalidomide sensitivity^{148,203,213}. Loss of some of these genes, e.g. *RPS14*, likely
661 drives the disease²⁰³, whereas loss of others, e.g. *CSNK1A1*, is merely a passenger event²⁰⁶. The
662 case of lenalidomide and chromosome arm 5q loss demonstrates that identification of selective
663 vulnerabilities of recurrent aneuploidies can be exploited therapeutically – importantly, even
664 without a precise understanding of the mechanism that underlies this selectivity.

665

666 **Concluding remarks / Future perspective**

667 The last five years have seen substantial progress towards understanding how aneuploidy
668 influences and shapes tumorigenesis. Yet, many questions remain unanswered. Not only is the
669 biology of chromosome- and arm-level gains and losses challenging to dissect, we face
670 (unnecessary) hurdles because as a field we have yet to decide on how we define aneuploidy, its
671 causes and its consequences.

672 A generally accepted convention of defining aneuploidy would greatly facilitate the comparison
673 of studies, especially those that investigate aneuploidy in cancer genomes. Many recent
674 publications have adopted a chromosome arm definition of aneuploidy. We urge the field to
675 adopt this convention. A clear distinction must also be made between the aneuploid state of a cell
676 and chromosome instability as its underlying mechanism. Third, when describing the phenotypic
677 consequences of the phenomenon or its therapeutic relevance, a clear distinction between high
678 degree of aneuploidy and specific recurrent aneuploidies is warranted. We believe that clarity in
679 terminology is important to facilitate a fruitful scientific discussion and avoid unnecessary
680 ambiguities.

681 A major conceptual advance in the field is the realization that aneuploidy plays a context-
682 dependent and dynamic role in cancer initiation and progression. Due to the general fitness
683 penalty of aneuploidy, tumor aneuploidy landscapes are likely the product of both positive and

684 negative selection, determined by the cell type, the genomic context, and the microenvironment.
685 It is therefore not surprising that both the degree of aneuploidy and the presence of specific
686 aneuploidies have been associated both with adverse and with favorable clinical outcomes. These
687 recent discoveries argue that we need to be cautious not to over-generalize context-dependent
688 experimental and clinical observations.

689 A refined view of cancer aneuploidy, which considers the complex relationship between
690 aneuploidy and various spatial, temporal and context-dependent variables, is more likely to
691 expose therapeutic vulnerabilities of this hallmark of cancer. Given the prevalence and
692 recurrence patterns of aneuploidy across tumor types, tapping the potential of aneuploidy for
693 cancer prognosis and treatment is urgently needed. Targeting the aneuploid state, specific
694 aneuploidy drivers, or specific aneuploidy passengers, have all been demonstrated useful in
695 selectively killing aneuploid cells. However, translation of such approaches into the clinical care
696 of cancer patients has so far been very limited. Thanks to the conceptual, methodological and
697 technical advances that the field of cancer aneuploidy has recently seen, we predict that the
698 uniquely large “attack surface” inherent to large chromosomal alterations, make this approach
699 increasingly feasible.

700 **Table 1: The prognostic value of aneuploidy**

Biomarker type	Specific biomarker	Tumor type	Association with clinical outcome		References
			Directionality	Associated feature	
High degree of aneuploidy	Various estimates of aneuploidy levels	Colorectal cancer	Adverse	OS, DSS, RFS	29,64,109-114
		Serous ovarian cancer	Adverse	RFS	29,34,79,115
		Breast cancer	Adverse	OS, RFS	29,79,109,117-119
		Squamous cell carcinoma of the tongue	Adverse	OS	120
		Esophageal carcinoma	Adverse	Disease progression	29,121,122
		Prostate cancer	Adverse	OS, PSA-recurrence, RFS	29,79,109,123-125
		Cervical cancer	Adverse	Disease progression	29,127
		Non-small cell lung cancer	Adverse	Disease progression	29,128-131
	Hyperdiploid subgroup	Multiple myeloma	Favorable	PFS, OS	133
	Hypodiploid subgroup	Acute lymphoblastic lymphoma	Adverse	OS, RFS	134-136
Hyperdiploid subgroup	Favorable				
Specific aneuploidy	5 or 5q loss	Myelodysplastic syndrome	Favorable	Disease progression, relapse, mortality following stem cell transplantation	143-148
	7 or 7q loss		Adverse		
	1p and 9p loss	Gliomas	Favorable	RFS, OS	152-156
	4 loss	Colorectal cancer	Adverse	RFS	157
	1q gain or 1p or 12p or 17p loss	Multiple myeloma	Adverse	PFS, OS	133,158
	17p loss	Chronic lymphocytic leukemia	Adverse	PFS, OS	159

701

702 OS, overall survival; DSS, disease-specific survival; RFS, recurrence-free survival; PSA, prostate-specific antigen; PFS, progression-free survival.

703

704

705 **Figure Legends**

706 **Figure 1: Definitions of aneuploidy**

707 (a) The classic definition of aneuploidy refers to changes in the copy number of whole
 708 chromosomes. Recent genomic analyses of aneuploidy in cancer have extended this definition to
 709 include chromosome arm gains and losses. A quantitative approach to aneuploidy would ideally
 710 take into account parameters such as the fraction of the genome that is altered, the number of
 711 genes affected, and the number of discrete events. However, given that most cancer surveys have
 712 defined aneuploidy as chromosome arm gains or losses, it would be most practical to continue to
 713 use this definition.

714 (b) Bar plots showing the number of recurrent DNA copy number gains (left) and losses (right)
 715 that encompass ≥ 104 genes, the number of genes residing on chromosome arm 18p, across 12
 716 cancer types. $\sim 1/3$ of these recurrent alterations are not chromosome arm-level events. These
 717 CNAs are expected to have similar effects on cellular fitness as chromosome arm alterations in
 718 the size range of chromosome 18p, demonstrating the limitation of an arm-focused definition of
 719 aneuploidy. Data were extracted from the GISTIC 2.0 analysis of TCGA data, provided by the
 720 GDAC portal (<http://fire-browse.org/>).

721 **Figure 2: Aneuploidy during tumor development**

722 (a) The degree of aneuploidy increases with tumor progression. Initially, a complex and yet to be
 723 fully elucidated immune response limits the prevalence of aneuploid cells. For example, the
 724 cGAS-STING pathway recognizes DNA that leaks from micronuclei into the cytoplasm and
 725 activates an innate immune response. As cancer development progresses, tumors evolve
 726 mechanisms to evade immune recognition. There is evidence to suggest that this evolution
 727 occurs in bursts⁶⁷, which may be associated with the development of aneuploidy immune-
 728 tolerance. Later in tumorigenesis the cGAS-STING pathway takes on a tumor-promoting role.
 729 The pathway activates a noncanonical NF- κ B transcriptional response that promotes the
 730 epithelial-to-mesenchymal transition (EMT), thereby directly contributing to tumor progression.

731 (b) At different stages of tumorigenesis, different specific karyotypes provide a selective
 732 advantage and therefore become the dominant tumor karyotype. For example, while the degree
 733 of aneuploidy remains high in metastases, the aneuploidy landscapes of metastases would be
 734 different from that of the primary tumor, and might also be different from one another.

735 **Figure 3: The importance of context for shaping aneuploidy landscapes**

736 (a) The major variables that determine the adaptive value of aneuploidy are presented in the
 737 circle. The interactions between aneuploidy and these variables are reciprocal.

738 (b) The aneuploidy landscapes of human tumors are tissue type-specific. Each organ (shown here
 739 are liver, lung and brain) exhibits a tissue-specific gene expression pattern. These differences in

740 gene expression can determine aneuploidy patterns during oncogenic transformation and during
 741 culture *in vitro*. Interestingly, the aberrations that arise frequently in a given tumor type are often
 742 similar to those that arise during the *in vitro* culturing of stem cells of the same lineage.

743 (c) The genomic context is important for determining the adaptive value of aneuploidy. A
 744 specific aneuploidy that occurs in diploid cells may be detrimental and thus be selected against
 745 or be fitness neutral (top). However, the same aneuploidy occurring in a tetraploid cell (middle),
 746 or preceded by a specific point mutation (bottom), may become advantageous and be selected
 747 for.

748 (d) The environmental context shapes the aneuploidy landscape. When cancers are removed
 749 from their natural environment and are cultured as cell lines, organoids or PDXs, the selection
 750 pressures change. As a result, karyotypes evolve. This is conceptually similar to the aneuploidy
 751 evolution seen in metastases, where tumor cells also need to cope with selection pressures that
 752 are different from those of the primary tumor environment.

753 **Figure 4: The relationship between karyotype and fitness**

754 (a) Normal mammalian cells are diploid; they have two chromosomal complements (2C).
 755 Changes in ploidy decrease the fitness of cells, and fitness is expected to decrease with
 756 increasing number of complements⁴. Nonetheless, compared to aneuploid cells, polyploid cells
 757 are still relatively fit, because their gene expression remains balanced²¹⁴. The higher the degree
 758 of aneuploidy, that is the more a karyotype deviates from a euploid state, the more imbalanced
 759 their gene expression is, and consequently the lower their fitness is. The relative fitness penalty
 760 of aneuploidy decreases with increase in ploidy²¹⁴. Polyploidy buffers against the adverse effects
 761 of aneuploidy because the degree of gene expression imbalance is greater when a chromosome is
 762 gained or lost in a diploid cell than in a polyploid cell.

763 (b) DNA content analysis does not necessarily inform karyotype composition. A highly
 764 aneuploid cell can have a 3N DNA content just like a triploid cell with exactly three
 765 complements.

766 **Figure 5: Comparison between aneuploidy and gene-focused genetic changes**

767 Gene-focused genetic alterations, such as point mutations and focal CNAs, differ from
 768 aneuploidy in their effects on cellular fitness. In both cases, context matters. However, some
 769 oncogenes and tumor suppressor genes are universal, whereas the adaptive value of aneuploidy is
 770 always context-dependent. The advantage conferred by aneuploidy drivers is counterbalanced by
 771 the fitness penalty associated with the simultaneous dysregulation of the many other genes
 772 located on the aneuploid chromosome. Consequently, most passenger point mutations are
 773 tolerated and escape negative selection, whereas most aneuploidies are expected to be selected
 774 against in most contexts.

775 **Figure 6: Strategies to target recurrent aneuploidies in cancer**

776 (a) Several strategies can be combined to identify driver genes that underlie recurrent
 777 aneuploidies. These include: I) minimal recurrence analysis, II) integrative analysis with
 778 alternative modes of gene activation/inactivation (e.g., point mutations, focal CNAs and
 779 promoter methylation), III) gene expression analysis, IV) cross-species synteny comparison, and
 780 V) loss-of-function and gain-of-function genetic screens.

781 (b) Recurrent aneuploidies can be exploited therapeutically either by targeting the driver CNAs
 782 or genetically-linked passenger CNAs. For example, monosomy 10 is extremely common in
 783 glioblastomas. The loss of the tumor suppressor *PTEN* is thought to be a major driver of this
 784 monosomy²¹⁵. Cells that harbor this monosomy could be targeted either by exploiting
 785 vulnerabilities caused by *PTEN* loss (e.g., using PI3K inhibitors)²¹⁶ or by haploinsufficiency of
 786 other chromosome 10 encoded genes. Due to the large number of mis-regulated genes in specific
 787 aneuploidies, opportunities to target “passenger CNAs” might be greater than of targeting driver
 788 CNAs.

789

790 **Glossary**

791 **Complement (C):** Set of all chromosomes. The haploid complement consists of one
 792 chromosome each, the diploid of two, and so forth.

793 **Aneuploidy:** Chromosome number that is not a multiple of the haploid complement. In cancer
 794 genomics the term often includes copy number alterations of chromosome arms. Note that the
 795 mechanisms that lead to whole chromosome mis-segregation are very different from those that
 796 cause arm-level copy number changes.

797 **Euploidy:** A chromosome number that is an exact multiple of the haploid complement. Diploid,
 798 triploid, tetraploid and polyploid cells are all euploid.

799 **Polyploidy:** A euploid genome comprising more than two sets of chromosomes.

800 **Chromosome instability:** High rate of chromosome mis-segregation that gives rise to
 801 aneuploidy.

802 **Chromothripsis:** The shattering of an individual chromosome into many pieces and its
 803 religation in random order, with amplification of some segments (those that provide a growth
 804 advantage, including oncogenes) and loss of others (e.g., tumor suppressors).

805 **Whole-genome duplication (WGD):** A duplication of the entire genome, which results in
 806 polyploidy.

- 807 **Microcell-mediated chromosome transfer:** A technique to transfer a chromosome from a
808 donor cell line into a recipient cell line.
- 809 **Cre-Lox recombination:** A technique to introduce deletions, insertions, translocations or
810 inversions at specific chromosomal locations.
- 811 **CRISPR-Cas9 gene editing:** A technique to introduce precise genetic alterations, ranging in
812 size from point mutations to deletion of entire chromosome arms.
- 813 **The Cancer Genome Atlas (TCGA):** A cancer genomics repository that contains sequence
814 information of over 20,000 primary cancers and matched normal samples across 33 cancer types.
- 815 **Copy number alteration (CNA) burden:** The prevalence of CNAs within a tumor, commonly
816 defined by the proportion of the genome that is affected by CNAs.
- 817 **Microsatellite instability (MSI):** Predisposition to mutations (hypermutable) due to impaired
818 DNA mismatch repair.
- 819 **cGAS-cGAMP-STING pathway:** An immune response pathway that is activated by
820 cytoplasmic DNA.
- 821 **Human leukocyte antigen (HLA):** A gene complex encoding the major histocompatibility
822 complex (MHC) proteins, responsible for the regulation of the immune system.
- 823 **Overall survival:** The length of time from diagnosis or start of treatment during which patients
824 remain alive.
- 825 **Disease-specific survival:** The length of time from diagnosis or start of treatment during which
826 patients have not died from that specific disease.
- 827 **Recurrence-free survival:** The length of time from treatment during which no sign of cancer is
828 found.
- 829 **Progression-free survival:** The length of time from treatment during which patients live with
830 the disease but it does not get worse.
- 831 **Prostate-specific antigen (PSA):** A protein produced by prostate cells. Its levels in the blood are
832 elevated in prostate cancer. PSA is therefore used as a prostate cancer screening tool.
- 833 **Gleason score:** A commonly used system to stage prostate cancers.
- 834 **Pap smear:** The Papanicolaou test, a commonly used histological method to screen for cervical
835 cancer.
- 836 **Hyperdiploid multiple myeloma:** A subtype of multiple myeloma that is characterized by
837 trisomy of eight specific chromosomes (3, 5, 7, 9, 11, 15, 19 and 21).

838 **Non-hyperdiploid multiple myeloma:** A subtype of multiple myeloma that can be further
839 subdivided into hypodiploid (≤ 44 chromosomes), pseudodiploid (45–46 chromosomes) and near
840 tetraploid (>75 chromosomes) subtypes.

841 **Hyperdiploid acute lymphoblastic lymphoma (ALL):** A subtype of ALL that is characterized
842 by a chromosome count of 51-65 chromosomes, often involving an additional copy of
843 chromosomes X, 4, 6, 10, 14, 17, 18, and two additional copies of chromosome 21.

844 **Hypodiploid acute lymphoblastic lymphoma (ALL):** A subtype of ALL that can be further
845 divided into near haploid (24-31 chromosomes), low-hypodiploid (32-39 chromosomes) and
846 high hypodiploid (40-43 chromosomes) subtypes.

847 **Intra-tumor heterogeneity (ITH):** Genomic and/or phenotypic cell-to-cell variability within a
848 tumor.

849 **Synteney:** The conservation of chromosomal regions between two species.

850 **Haploinsufficiency:** A state where deletion of one copy of a gene in a diploid organism results
851 in a phenotype.

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861 **Author contributions**

862 Both authors researched data, discussed content, wrote, reviewed and edited the manuscript.

863

864 **Competing interests**

865 The authors declare no competing financial interests.

866

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