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Angular control of optical cavities in a radiation pressure dominated regime: the Enhanced LIGO case

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We describe the angular sensing and control of the 4 km detectors of the Laser Interferometer Gravitational-wave Observatory (LIGO). The culmination of first generation LIGO detectors, Enhanced LIGO operated between 2009 and 2010 with about 40 kW of laser power in the arm cavities. In this regime, radiation pressure effects are significant and induce instabilities in the angular opto-mechanical transfer functions. Here we present and motivate the angular sensing and control (ASC) design in this extreme case and present the results of its implementation in Enhanced LIGO. Highlights of the ASC performance are: successful control of opto-mechanical torsional modes, relative mirror motions of $\leq 1 \times 10^{-7}$ rad rms, and limited impact on in-band strain sensitivity.

I. INTRODUCTION

Over the last decade, a world-wide network of ground based laser interferometers [4] has been constructed and operated in pursuit of the first direct detection of gravitational waves (GWs). The U.S. Laser Interferometer Gravitational-wave Observatory (LIGO) [2] operates detectors in Livingston, LA and Hanford, WA, each consisting of a suspended Michelson interferometer with 4 km Fabry-Perot arm cavities. These detectors attained their best sensitivity yet during the most recent scientific data taking run, known as “S6”, which took place between July 2009 and October 2010, in a configuration called “Enhanced LIGO” [3, 8, 11, 12]. Enhanced LIGO featured several improvements with respect to the earlier Initial LIGO configuration (2001-2007). One of the critical upgrades was the increase in the laser power circulating inside the arm cavities by about a factor four. The 40 kW of laser power stored in the Enhanced LIGO cavities greatly complicated the relative alignment of the interferometer mirrors. For the laser interferometer to operate properly, its mirrors must be aligned to each other with a relative rms misalignment not larger than about a tenth of a microradian. Meeting this stringent requirement is particularly challenging in the presence of radiation pressure effects.

Radiation pressure exerts torque on the suspended mir-

rors, adding to the fixed restoring torque of the suspension. The possibility of this torque to de-stabilize optical cavities was first recognized in 1991 by Solimeno et al. [23]. By 2003, it was clear in the LIGO community that the effect of radiation pressure on angular dynamics was relevant for LIGO [21] and the full details of the effects were described by Sidles and Sigg in 2006 [20]. Fan et al. measured the predicted optical-mechanical torsional stiffness at the Gingin Facility in Australia [10], Driggers et. al. demonstrated its effect at the Caltech 40m prototype [9] and Hirose et al. showed that although the optical torque in Initial LIGO (about 10 kW of laser power circulating in the Initial LIGO arm cavities) was measurable and similar in magnitude to the suspension restoring torque, it was not yet significant enough to require a change to the angular controls [17]. In this paper we show the effect of optical torque in the Enhanced LIGO interferometers and also present the design concept and implementation of an alignment sensing and control scheme (ASC) which allowed us to operate an interferometer with angular mechanics dominated by radiation pressure.

Two of the authors (Barsotti and Evans) created a numerical model of the ASC for Enhanced LIGO that specifically included radiation pressure torque [7]. They showed that, in principle, the radiation pressure torque can be controlled without detrimental consequences to the sensitivity of the detector. The proposed solution rotates the control basis to one that naturally represents the eigenmodes of mirror motions coupled by radiation pressure. We implemented this control scheme on the Enhanced LIGO interferometers with up to 40 kW of circulating power, successfully controlling the angular degrees of freedom in the presence of the radiation pressure instability. The demonstrated solution meets the LIGO requirements and is extensible to the next generation of LIGO detectors currently under construction, Advanced

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LIGO.

The interferometer layout and the control scheme are introduced in Section II. Section III presents the modified design after a review of the physics of radiation pressure induced torque on the mirrors. This section also highlights a direct measurement of the opto-mechanical modes that are controlled. Section IV presents the results of using the new alignment control scheme at high laser powers, including the residual mirror motion and the noise performance. Key differences and implications for Advanced LIGO are outlined in Section V, and Section VI provides a summary. All data presented are from the Livingston Observatory; results from the Hanford Observatory are similar.

II. EXPERIMENTAL SETUP

Each LIGO detector is a power-recycled Fabry-Perot Michelson laser interferometer featuring suspended test masses (mirrors) in vacuum. A stabilized laser beam (with a wavelength of 1064 nm) is directed to the interferometer, whose two arm lengths are set to maintain nearly destructive interference of the recombined light at the Michelson (dark) anti-symmetric port. An appropriately polarized GW differentially changes the arm lengths, producing a signal at the anti-symmetric port proportional to the GW strain. The test masses are suspended by a single loop of steel wire to provide isolation from ground motion, as depicted in Fig. 1. Each mirror is equipped with five magnet-coil actuators to control the mirror's longitudinal and angular position. Furthermore, the carrier laser field is phase modulated by an electro-optic modulator at 24.4 MHz and 61.1 MHz to generate sidebands for use in a modulation-demodulation technique of sensing the interferometer's longitudinal and angular degrees of freedom.

There are several reasons why the interferometer's mirrors must be actively aligned:

- to maximize optical power coupling
- to suppress motion from external disturbances
- to counteract a static instability at high laser power

The requirements for how much residual motion is tolerable [13, 14] stem from the mechanisms by which misalignment couples to strain sensitivity. The most significant coupling of angular motion to cavity length occurs when the beam spot is off-center from the mirror's axis of rotation. The combination of mirror angular motion $\theta_{\text{mirror}}(f)$ and beam spot motion on the test masses $d_{\text{spot}}(f)$ changes the length of the arms by:

$$\Delta L(f) = d_{\text{spot}}^{\text{RMS}} \times \theta_{\text{mirror}}(f) + \theta_{\text{mirror}}^{\text{RMS}} \times d_{\text{spot}}(f) \quad (1)$$

and results in an increase in the sensed longitudinal motion. The relevant quantities for describing the mirror's motion are its root-mean-square (rms) and in-band (audio frequency) noise. It is worth noting that once all of

the interferometer cavities are brought to resonance and the DC pointing no longer contributes to the rms, the rms is dominated by the pendular motion.

There are additional mechanisms by which misalignment affects displacement sensitivity. First, a high order effect arises because misalignments affect power build-up quadratically which in turn modulates the noise floor in the shot-noise-limited regime. A second mechanism results as a side effect of having active angular alignment. Due to imperfections in the actuators, there will always be a small amount of longitudinal acutation along with the desired angular actuation.

External disturbances that cause misalignment include: seismic noise, pitch/yaw mode thermal noise, length-to-angle coupling, acoustic noise, and radiation pressure torque. Mechanical and electrical design of suspensions and sensors, isolation in vacuum, and periodic balancing of mirror actuators are measures taken to reduce the level of angular motion in the first place. An active control system is used to mediate the motion that remains, which in turn is itself a source of misalignments due to sensing noise. As reflected in the noise budget of one of the alignment sensors in Figure 2, direct seismic and suspension thermal noises are in fact quite small. Above 20 Hz where the seismic isolation platforms strongly isolate, sensor noise dominates. As a result, the angular motions of the cavities above these frequencies are dominated by the control system itself. Sensor noise is thus a primary consideration in servo design.

The alignment of the interferometer is accomplished via feedback and there are several frames of reference to which the mirrors are aligned. Ultimately, the mirrors must be aligned to one another, and this will be presented in detail shortly. Each individual optic also has two servos of its own to provide velocity damping. First, local shadow sensors provide damping around the pitch and yaw eigenfrequencies of the mirrors (0.6 Hz and 0.5 Hz, respectively). This damping is relative to the suspension cage which is already isolated at high frequencies. Second, optical levers mounted to heavy piers on the ground provide a reference to the local ground motion. They are more sensitive than the shadow sensors and serve to suppress the motion which arises from the isolation table stack resonances from 0.2 Hz to 2 Hz. The interaction of these two velocity damping servos with the main alignment servo results in some increased complexity of the main servo design.

The fundamental physical principle behind sensing relative mirror misalignment is the fact that when an optical cavity is misaligned relative to an incident field, a TEM₀₁ Hermite-Gaussian mode is generated with an amplitude proportional to the misalignment [5]. Alignment signals are produced by directing some of this light onto a quadrant photodiode (QPD), where the interference of the TEM₀₀ fundamental mode and TEM₀₁ misalignment mode at the sideband frequency can be compared on each half of the split diode. The QPD together with the resonant RF circuit and demodulation system is called

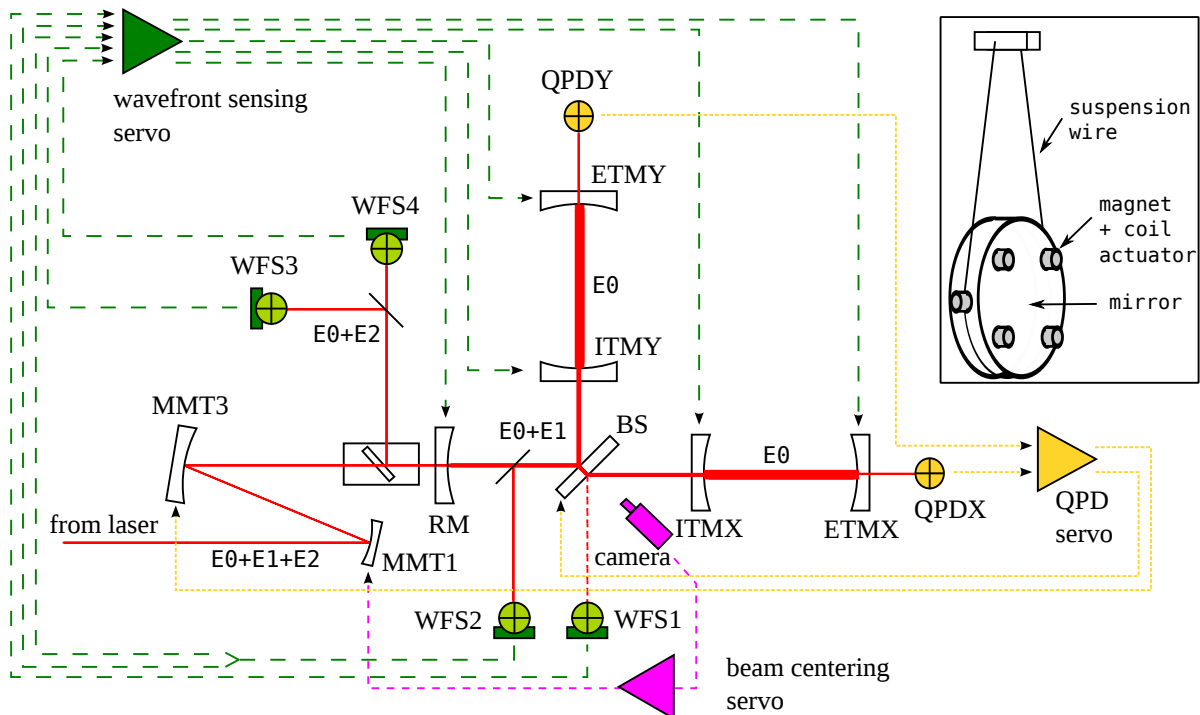


FIG. 1. Power-recycled Fabry-Perot Michelson interferometer layout, with ASC system superimposed. The 8 actively aligned mirrors (ITMs, ETMs, MMTs, BS, and RM) and the ASC sensors (WFS, QPDs, and camera) are shown. All additional optics are omitted for simplicity. The QPD and beam centering servos provide drift control on minute time scales. The wavefront sensing (WFS) servo maintains the alignment of the interferometer mirrors with respect to each other up to several Hz. Both I and Q phases are used from WFS2, whereas only one quadrature is read out from each of the other WFS. The carrier field is E_0 and sideband fields E_1 and E_2 are respectively resonant and non-resonant in the power recycling cavity. All test masses are suspended as single stage pendula as depicted in the upper right corner, and are outfitted with magnet-coil actuators to control angular and longitudinal degrees of freedom.

a wavefront sensor (WFS). The amplitude of the alignment signal is a function of the relative Gouy phase [22] between the TEM_{00} and TEM_{01} modes, which is a function of the longitudinal position of the detector along the optical axis. Angular misalignments of different combinations of mirrors can therefore be distinguished by placing detectors at different locations along the optical path. The basic formalism of how alignment signals are generated is presented in Ref. [16, 18, 19].

A detailed description of the control scheme design for the Initial LIGO configuration is found in Ref. [13] and key aspects relevant for the description of the Enhanced LIGO ASC are provided here. There are 8 mirrors whose pitch (rotation about the mirror's horizontal axis) and yaw (rotation about the vertical axis) angles must be sensed and controlled. The sensing is accomplished through the use of 8 sensors, which can be organized into three types:

- wavefront sensors (WFS1, WFS2[1], WFS3, WFS4) which sense the angular misalignment of the cavities with respect to their input beams
- CCD image of the beam spot on the beam splitter (BS)
- quadrant photodiodes (QPDX, QPDY) which see

the beam transmitted through the arm cavities

Figure 1 shows the basic power-recycled Michelson interferometer layout, highlighting the locations of these angular sensors and the eight mirrors they must control. Two WFS, separated in Gouy phase, are located at the reflected port of the interferometer where common mode signals appear. The third sees a pick-off of light from the recycling cavity which contains common and differential signals, and the fourth gets a pick-off of the light at the anti-symmetric port where differential mode signals are transmitted. The common mode represents motion where the optics of one arm rotate in the same direction as those in the other arm and differential represents rotations in opposite directions. The eight mirrors include the four test masses that make up the Fabry-Perot arm cavities (ITMX, ITMY, ETMX, ETMY), the beam splitter (BS), the recycling mirror (RM), and two input beam directing mirrors that also serve as a mode matching telescope (MMT1 and MMT3).

The CCD image and the QPDs are used in slow feedback loops as part of drift control servos to maintain the beam spot positions at the three corners of the interferometer. Their bandwidths are below a few mHz and below 0.1 Hz, respectively, and are significantly lower than

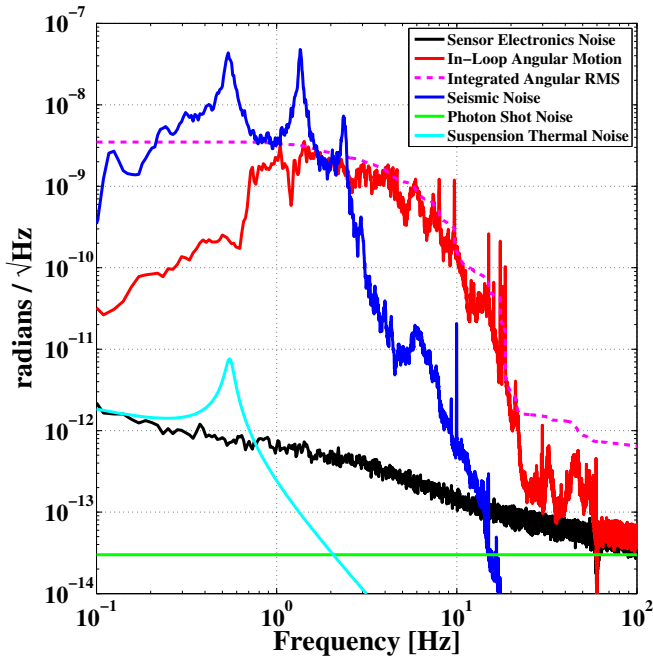


FIG. 2. Noise budget of an alignment sensor (WFS1) for the pitch degree of freedom. Curves for seismic noise, suspension thermal noise, and sensor noise are shown. Note that direct seismic and suspension thermal noises are small in the GW band, and the largest motions are impressed by our control system. Sensing noise dominates above approximately 20 Hz where the seismic isolation stacks strongly isolate.

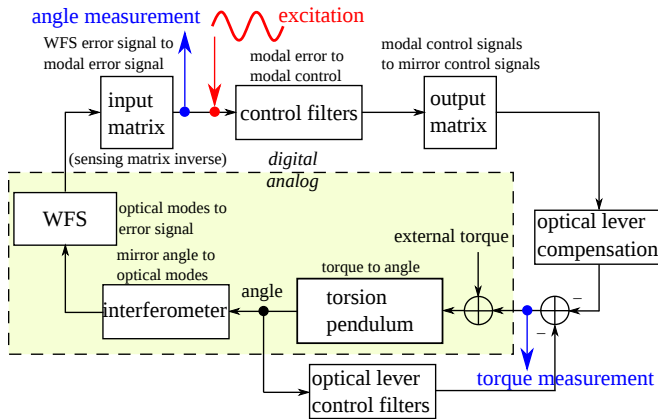


FIG. 3. Block diagram of major components of the angular control servo. The input matrix is the inverse of the sensing matrix presented in Table III. Components within the dashed box are analog.

the bandwidths of the WFS loops, which keep the mirrors aligned to one another from DC up to several Hertz.

Figure 3 shows a simplified block diagram of the WFS servo. The interferometer converts individual mirror motions into optical modes which in turn are converted into error signals by the WFS. The angular error signals are digitized, filtered, and converted into analog control signals for individual mirrors. Two matrices in series rotate

the alignment signals from the WFS basis to the optic basis. Control filters are implemented in the intermediate basis.

In Initial LIGO, the sensing basis was that of common and differential ETM/ITM motion and the RM, and servos were designed in this basis. The input matrix was diagonal and the output matrix was created to send equal or equal and opposite signals to the ETMs and ITMs, respectively. In this work, we describe a change of basis to improve the stability of the interferometer in the presence of radiation pressure torque.

III. ASC DESIGN IN THE PRESENCE OF A RADIATION PRESSURE INSTABILITY

The effectiveness of the Initial LIGO ASC design is limited in the regime of high circulating power where radiation pressure modifies the simple pendulum plant in a way which is power-dependent. As is detailed in this section, torque due to radiation pressure couples the angular motions of the arm cavity mirrors such that the simple single resonance of a given mirror's torque-to-angle transfer function splits into two, with frequency shifts dependent on power. Controlling this new plant could be accomplished with the Initial LIGO system by increasing the gains of the WFS loops, but it would be at the expense of introducing too much control noise in the GW measurement band. An alternative solution is thus required to achieve both adequate angular control and minimal noise impression. In this section, we first review the formalism of radiation pressure torque in cavities. Then, we present a direct measurement of the opto-mechanical modes of the Enhanced LIGO arm cavities for several powers. Finally, we describe the modified control scheme and present its implementation.

A. Torque induced by radiation pressure

In the limit of no circulating power in a suspended Fabry-Perot cavity, each of the individual mirrors has independent equations of motion. With power circulating in the cavity, however, radiation pressure effects couple the equations of motion of the two mirrors. As a beam impinging a mirror off-center creates a torque, an opto-mechanical angular spring is created due to the geometric relationship of beam displacements and mirror angles [22]. This fact has two important consequences: on one hand, as the torque induced by radiation pressure is proportional to the power stored inside the cavity, the opto-mechanical angular transfer functions of the cavity mirrors change as a function of the stored power. On the other hand, for large powers, radiation pressure can even overcome the restoring torque of the mirror suspension, creating an unstable system.

To understand how the cavity dynamics are affected by radiation pressure, it is useful to diagonalize the coupled

TABLE I. Resonant frequencies (pitch) in Hz for the soft and hard opto-mechanical modes of a typical Initial LIGO circulating power (9 kW) and the highest of Enhanced LIGO powers (40 kW). The soft mode in Enhanced LIGO is unstable.

	P_{circ} [kW]	f_p [Hz]	f_s [Hz]	f_H [Hz]
Initial LIGO	9	0.60	0.19	0.66
Enhanced LIGO	40	0.60	-1.04	0.83

equations of the mirror motion into two normal cavity modes. We refer to Ref. [20] for a complete derivation of the torsional stiffness matrix which couples the static misalignment of the two cavity mirrors, and here we use only the final expressions for the two eigenvalues $k_{S,H}$ and eigenvectors $v_{S,H}$ of that matrix:

$$k_{S,H} = k_0 \frac{(g_1+g_2) \pm \sqrt{(g_1-g_2)^2+4}}{2} \quad (2)$$

$$v_S = \left[1, \frac{k_0}{k_S - k_0 g_1} \right] \quad (3)$$

$$v_H = \left[\frac{k_0}{k_0 g_2 - k_H}, 1 \right] \quad (4)$$

where $k_0 = \frac{2PL}{c(g_1 g_2 - 1)}$ ($L = 3995$ m is the cavity length, c the speed of light, and g_1 and g_2 the geometric g -factors of the cavity).

The resonant frequency of each of the opto-mechanical modes can then be written as:

$$f_{S,H} = \frac{1}{2\pi} \sqrt{\frac{k_p + k_{S,H}}{I}} \quad (5)$$

where I is the mirror moment of inertia ($I=0.0507$ kg m²), and k_p is the restoring torque of the mirror suspension ($k_p = .72$ Nm/rad pitch and 0.5 Nm/rad yaw). For the Initial and Enhanced LIGO interferometers, the g -factors of the cavities are:

$$g_1 = g_{\text{ITM}} = 1 - L/R_{\text{ITM}} = 0.726 \quad (6)$$

$$g_2 = g_{\text{ETM}} = 1 - L/R_{\text{ETM}} = 0.460 \quad (7)$$

so k_S is negative and k_H is positive.

Known as the Sidles-Sigg effect, the radiation pressure torque either softens or stiffens the mechanical springs. We therefore refer to the two modes as “soft (S)” or “hard (H)”. As power increases, the frequency of the hard mode increases, but the frequency of the soft mode decreases until $k_S + k_p < 0$ when there is no longer a real resonant frequency, corresponding to an unstable system.

The values of the opto-mechanical frequencies of the soft and hard modes for Initial and Enhanced LIGO powers are outlined in Table I. The increase of stored power from 9 kW in Initial LIGO to 40 kW in Enhanced LIGO makes radiation pressure torques cross into the realm of significance. The torque due to radiation pressure surpasses the suspension restoring torque such that the soft opto-mechanical mode, which had just approached instability in Initial LIGO, actually becomes unstable in Enhanced LIGO.

B. Measurement of opto-mechanical modes

We directly measured the soft and hard modes for several different powers, as presented in Figure 4, where solid curves indicate fits to the data to the Sidles-Sigg model. By injecting an excitation into the control leg of the servo loop and taking the transfer function from the torque input to the resulting angle as measured by the WFS (in the radiation pressure eigenbasis), we reproduce the transfer function of the opto-mechanical plant, independent of the control system. The measurement points are highlighted in Figure 3. Note that for the measurement to be independent of the control system, the control system must be perfectly diagonalized so that it acts as a collection of single-input single-output loops. Although we periodically tuned the input matrix to keep the system diagonal, some cross-coupling is expected.

The circulating powers listed in the figure legend are calculated as follows:

$$P_{\text{circ}} = P_{\text{in}} \epsilon g_{\text{PRC}} T_{\text{BS}} g_{\phi} \quad (8)$$

where $\epsilon = 0.7$ is the optical efficiency of the optics between the laser and interferometer, $g_{\text{PRC}} = 35$ is the power gain of the power recycling cavity, $T_{\text{BS}} = 0.5$ is the transmission of the BS, $g_{\phi} = 137$ is power gain of the Fabry-Perot arms, and P_{in} is the measured input power. Our error in the estimation of circulating power is $\pm 20\%$.

Figure 4A shows the measurements of the soft opto-mechanical transfer function for four different circulating powers. As power increases from 1.7 to 10 kW, we observe a decrease in the soft mode resonant frequency from 0.6 to 0.4 Hz. As the circulating power is increased to 17 kW and beyond, the resonance disappears as expected: the plant has become statically unstable. Likewise, as shown in Figure 4B, we measured the anticipated increase in resonant frequency as a function of power for the hard mode. The resonant frequency increases from 0.66 to 0.95 Hz as the power increases from 1.7 to 17 kW. We confirm that the plant for which controls must be designed is no longer that of a pendulum with a resonance at 0.6 Hz (pitch) or 0.5 Hz (yaw), but that of the soft and hard opto-mechanical system.

C. High laser power angular controls

We describe an alignment scheme for controlling the mirrors with radiation pressure dominated angular dynamics which makes use of the elegant implication of the purely geometric description of a cavity mode (see Eq. 4): the opto-mechanical degrees of freedom remain uncoupled, independent of the circulating power. This is the core of the ASC work for Enhanced LIGO: to create an input matrix to rotate the WFS control to the basis of common and differential opto-mechanical eigenmodes, implement filters in this newly formed basis, and then increase the gains of only those loops that require it [7].

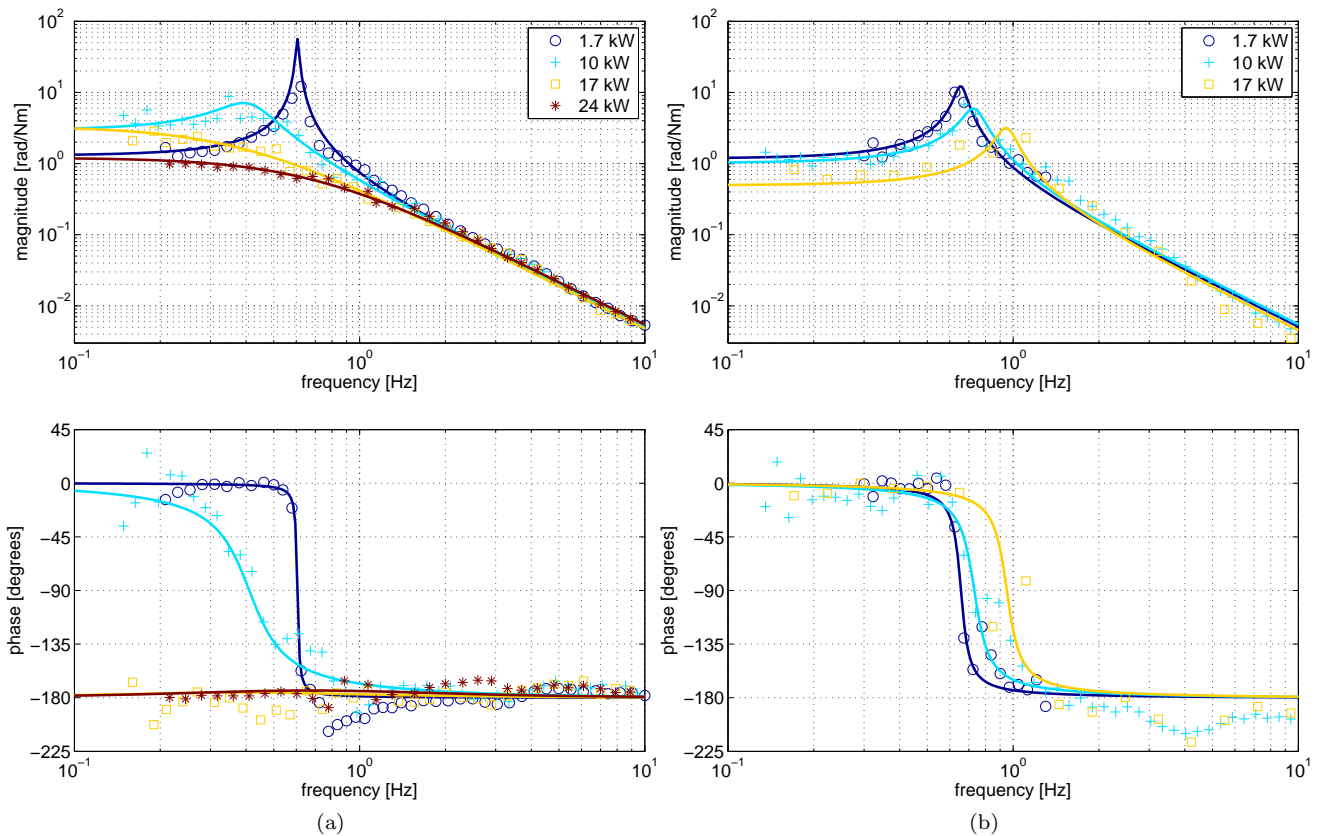


FIG. 4. Measured opto-mechanical transfer functions at different powers for the A) soft and B) hard degrees of freedom. The resonant frequency increases with power for the hard mode and decreases with power for the soft mode, which eventually becomes unstable. $P_{\text{circ}} = 9$ kW was a typical operating power for Initial LIGO and $P_{\text{circ}} = 40$ kW is the highest of powers reached for Enhanced LIGO. Solid curves indicate fits to the measured data points.

The five controlled opto-mechanical degrees of freedom are:

- differential soft (dSoft)
- common soft (cSoft)
- differential hard (dHard)
- common hard (cHard)
- recycling mirror (RM)

Each of the two Fabry-Perot cavity arms has a soft and a hard mode. The use of common/differential degrees of freedom is motivated by the block-diagonal structure of the sensing matrix in this basis. The common mode represents motion where the optics of one FP cavity rotate in the same direction as the other cavity and differential represents rotations in opposite directions. The recycling mirror is sensed separately.

The servos which actively control the soft degrees of freedom must be designed to provide overall stability. This is, in fact, not difficult because the decreasing resonance naturally moves deeper into the control bandwidth towards frequencies where gain is higher. At powers when the resonance disappears, only DC control is necessary. Counter-intuitively, it is the hard, stable mode, which poses the greater control challenge. As the resonance of

the hard mode increases with power, it has the potential of making the overall control loop unstable. The control loop therefore requires a higher bandwidth than that for the soft mode to both be stable and always provide damping of the hard mode resonant frequency.

Moreover, the maximum laser power stored inside the LIGO cavities is reached by progressively increasing the input power. Although the soft and hard modes remain uncoupled, the servo loops still need to provide stability over their respective wide ranges of opto-mechanical transfer functions.

The structure of the angular control loop is to use input and output matrices before and after the control filters, respectively. The input matrix realizes the change of basis from the WFS sensors to the opto-mechanical eigenmodes, and the output matrix changes the basis once again, from the eigenmodes to individual mirrors. The output matrix is shown in Table II. It is the analytical basis transformation matrix that diagonalizes the coupled equations of motion (see Eq. 4), and is repeated with appropriate sign changes to form differential and common soft and hard modes of the two arms. The matrix is arbitrarily normalized so the largest element is 1, and r is 0.91 for Livingston and 0.87 for Hanford (a result of

TABLE II. WFS output matrix (pitch). The geometry of the arm cavities dictates the necessary relative magnitudes of actuation on each mirror to create the soft and hard modes. For Livingston $r = 0.91$ and for Hanford $r = 0.87$.

	dSoft	dHard	cSoft	cHard	RM	
1	r	1	r	0	ETMX	
-1	$-r$	1	r	0	ETMY	
r	-1	r	-1	0	ITMX	
$-r$	1	r	-1	0	ITMY	
0	0	0	0	1	RM	

TABLE III. Sensing matrix in units of $[V/\mu\text{rad}]$ (pitch). All elements measured at 9.7 Hz in the closed-loop system, but with the feedback at 9.7 Hz notched out. Numbers in gray are the measurement results that have coherence less than 0.9. Boxes highlight the elements actually used for computing the control servo's input matrix (inverse of sensing matrix); all other elements are set to zero.

	WFS1Q	WFS2Q	WFS2I	WFS3I	WFS4I	
2.0	0.03	0.06	-0.008	0.01	dSoft	
0.31	-0.03	-0.04	0.002	-0.01	dHard	
0.02	-0.01	0.18	-0.02	-0.10	cSoft	
0.17	-0.01	-0.21	-0.007	-0.12	cHard	
0.09	-0.01	-0.21	0.04	-0.21	RM	

different mirror radii of curvature at each site).

The input matrix is determined experimentally by measuring its inverse, a sensing matrix which details what combinations of WFS sense specifically the hard mode or the soft mode. A calibrated sensing matrix for the radiation pressure eigenbasis as taken during a 17 kW lock is shown in Table III. Rows represent hard/soft eigenmode excitation and columns are the WFS signals. Before inverting the sensing matrix to create the input matrix, the smallest of the elements (which are equivalent to the elements for which optical gain is expected to be weak), are set to zero. The elements that remain are highlighted by boxes. Note that the sensing matrix is in fact composed of two sub-matrices: one for the differential degrees of freedom, and one for the common degrees of freedom. Also, due to geometric reasons, WFS1Q has a particularly strong signal compared to the other WFS which allows us to provide more control to the dSoft mode than to the other modes.

IV. RESULTS

In this section we present measurements of the performance of the ASC system with up to 27 kW circulating power and demonstrate that the ASC design meets the LIGO requirements.

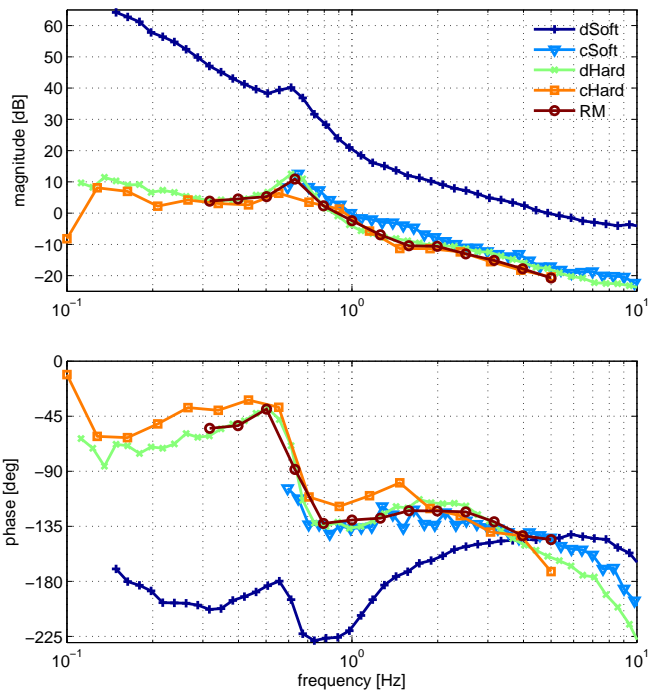


FIG. 5. Open loop gains (pitch) of the five WFS loops as measured with 10 kW circulating power. All have a phase margin of 40 to 50°. All UGFs are around 1 Hz with the exception of the dSoft dof whose UGF is 5 Hz.

A. Open loop gain

The open loop transfer function of each of the WFS loops is the product of the radiation-pressure-modified pendulum and the control filters. Figure 5 shows the open loop transfer functions of each of the WFS loops as measured during a 10.3 kW lock with the loops closed. As anticipated from the large dSoft signal seen by WFS1 in the sensing matrix measurement (Table III), that is the mode for which we can and do provide the strongest suppression. In order to achieve this much suppression, it is necessary to make the feedback loop conditionally stable. As shown here, the dSoft unity gain frequency (UGF) is at 5 Hz. All of the other degrees of freedom have UGFs of ~ 1 Hz and are designed to be unconditionally stable.

The dSoft loop could have a higher gain compared to the other loops because it caused no harm in strain sensitivity above 60 Hz, as is presented later in Section IV C. The UGFs of all other loops were selected as a necessary minimum.

B. Residual beam spot and mirror motion

Figure 6 shows spectra of the control signal and residual angular motion in each of the eigenbasis degrees of freedom during a 17 kW lock. The typical residual rms

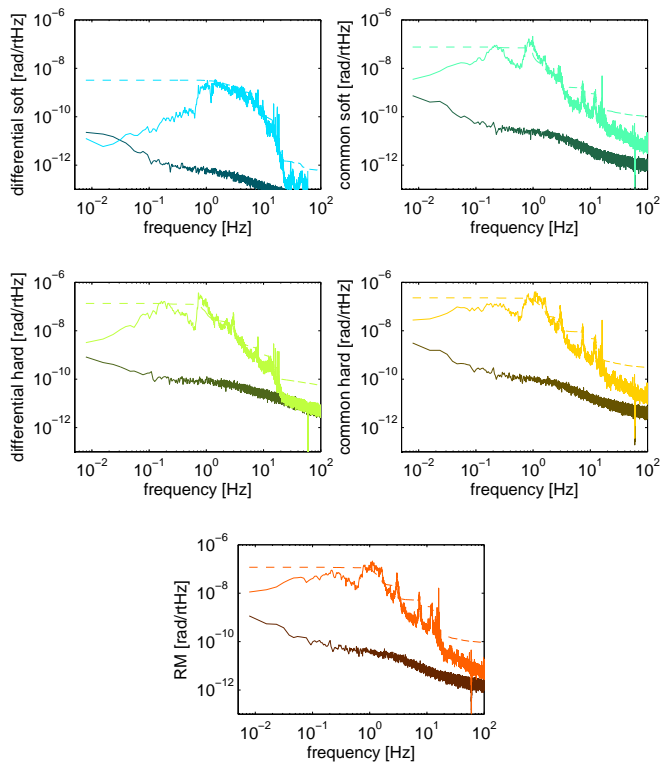


FIG. 6. Residual motion of the opto-mechanical degrees of freedom during a 17 kW lock. Dashed lines are the rms integrated from the right; note that the rms is dominated by the approximately 1 Hz pendular motion. Sensor noise represented in the opto-mechanical eigenbasis is also shown.

angular motion is 10^{-7} rad/ $\sqrt{\text{Hz}}$.

Above 20–25 Hz, the WFS signals do not represent true angular motion but instead are limited by a combination of optical shot noise, photodetector electronics noise and acoustic noise. Unless sufficiently filtered, the control signal derived from frequencies in this band will increase the mirror motion. The resulting need for low-pass filters limits the achievable bandwidth of the loops. Because reducing the design UGF allows us to reduce the corner frequency of the (steep) low pass filters, the reduction of noise in the GW band is inversely proportional to the UGF raised to the third or even fourth power.

The residual beam spot motion on the test masses is shown in Figure 7. The rms beam spot motion on the ETMs is 1 mm and on the ITMs it is 0.8 mm. These measurements are acquired from the pitch and yaw signals of the QPDs in transmission of the ETMs and the pitch and yaw DC signal from WFS2 for the ITMs. The magnitudes of the beam spot motion and the residual mirror motion are consistent. For example, for 10^{-7} rad of soft or hard mode motion in one arm, we expect the maximum cavity tilt and displacement to be 0.1 prad and 1 mm, respectively.

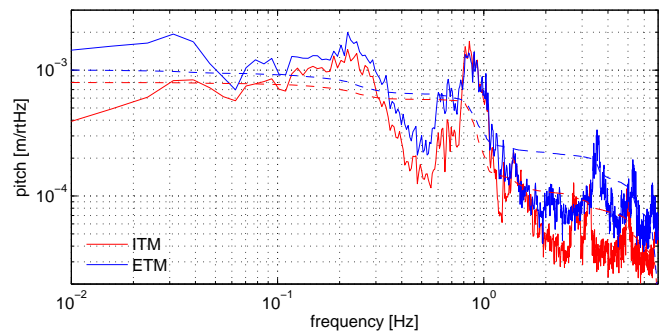


FIG. 7. Beam spot motion (pitch) on the ITM and ETM mirrors during a 27 kW lock at night. Dashed lines are the integrated spectral density. For both pitch and yaw, the rms beam spot motion is 1 mm on the ETMs and 0.8 mm on the ITMs.

C. Angle-to-length coupling (noise performance)

One of the most important figures of merit for the control system is how much noise it contributes to the GW strain signal. As described in Section II, the dominant way in which angular motion creates a change in cavity length is the convolution of beam spot motion with angular mirror motion. Ideally, we want the length displacement due to this coupling to be an order of magnitude below the desired displacement sensitivity.

The effective transfer function magnitude of the angle-to-length effects can be estimated with a broadband noise injection that amplifies the mirror motion. This non-linear technique is necessary because the linear coupling of torque to cavity length is minimized by periodically balancing the mirror actuators. Due to the near-elimination of the linear coupling, the remaining dominant angle-to-length process (refer to Eq. 1) has a coupling coefficient of mean 0 and the traditional coherent transfer function measurement would therefore also yield 0. To arrive at an estimate of the magnitude of the remaining time-dependent angle-to-length coupling, the broadband excitation must be averaged over some time. We injected a 40 to 110 Hz broadband excitation into the error point after the input matrix and computed a transfer function between the hard/soft eigenmode error point and the GW signal. The transfer function may be multiplied by an ASC signal at any time to estimate a noise budget.

The WFS noise budget in the eigenmode basis for pitch at a time when the interferometer was locked with 24 kW power is shown in Figure 8A. Each degree of freedom's contribution of control noise to displacement sensitivity is the same within about a factor of two, except for the RM, which is not included in these plots. We were not able to measure the transfer function for RM motion to displacement sensitivity because so large of an excitation was required to see an effect that the interferometer would lose lock. The soft modes contributes more length noise than the hard modes.

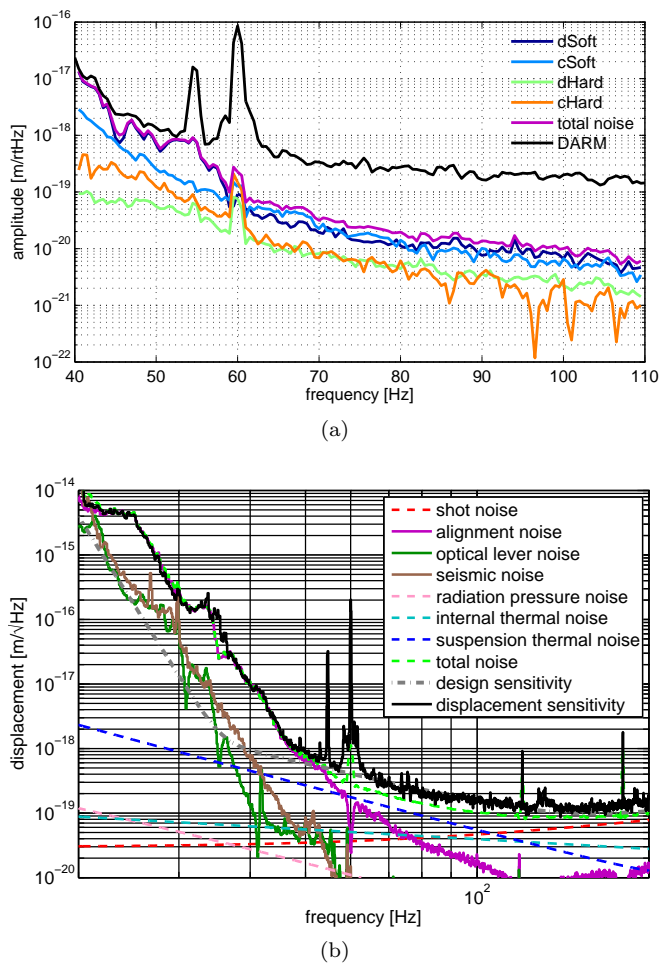


FIG. 8. Displacement sensitivity noise budgets during locks with 24 kW circulating power. A) Break down of the noise budget of the alignment feedback (pitch) dofs to displacement sensitivity. The two soft dofs contribute more than the hard dofs. The RM alignment feedback is not shown because its contribution is insignificant. B) Noise budget of interferometer displacement sensitivity, showing several key noise sources. Angular control limits the sensitivity up to 55 Hz. Pitch and yaw contributions are added in quadrature because they are de-coupled.

The ASC is, in fact, the limiting noise source for frequencies up to 55 Hz and it becomes less and less of a primary noise source as frequency increases. By 100 Hz the ASC noise floor is a factor of 10 below displacement sensitivity. The specific structure of the noise contributions, including the apparent notches, is a direct result of the shape of the control filters. Imperfections in the estimate of displacement noise below 50 Hz arise because the transfer function is not perfectly stable in time.

Figure 8B shows a broader view of the role of angular control noise with respect to other primary noise sources. The alignment noise shown is the quadrature sum of the pitch and yaw contributions. Measured seismic and optical lever noises are also shown, in addition to models of thermal, shot, and radiation pressure noises. In this

example, ASC noise hinders the interferometer sensitivity up to 55 Hz by about an order of magnitude. At a later time, steeper and lower frequency low pass control filters were made (at the expense of reduced stability) to reduce the alignment noise to a level similar to that of seismic noise.

V. ADVANCED LIGO ALIGNMENT CONSIDERATIONS

The LIGO detectors are currently being upgraded to a configuration known as Advanced LIGO to achieve up to a factor of ten improvement in broadband sensitivity [15]. The noise performance of the angular control scheme in the Advanced LIGO detectors must meet the most stringent requirements to date, as imposed by the improved sensitivity and the goal that the displacement noise produced by the ASC is no greater than 10% of the design sensitivity. Given the ASC was a limiting noise source below 55 Hz in Enhanced LIGO, some additional steps must be taken to achieve the Advanced LIGO goal. For instance, in order to mitigate the largely acoustic dominated WFS noise above 10 Hz, the WFS will be placed in vacuum for Advanced LIGO. In addition, the angle-to-length coupling at low frequencies will be reduced through the use of a seismic feed-forward scheme.

The Sidles-Sigg effect will not be as important in Advanced LIGO despite the laser power stored in the arm cavities being as high as 800 kW, 20 times higher than in Enhanced LIGO. A number of design changes have made the impact of radiation pressure less dramatic:

- four times heavier mirrors (40 kg instead of 10 kg);
- arm cavity g -factor chosen to suppress the soft mode [20]
- a larger restoring angular torque due to new multi-stage pendulum suspensions

Figure 9 shows a plot of soft and hard mode frequency as a function of stored power in the arms for the Enhanced and Advanced LIGO configurations. It can be seen that although the hard mode is hardly affected by the Advanced LIGO changes, the new g -factor greatly pushes out the power at which the soft mode becomes unstable. Nevertheless, the control strategy developed for Enhanced LIGO gives us confidence that we can control the hard and soft modes. The Advanced LIGO ASC design detailing the effects of the above changes to the design presented here is found in Ref. [6].

VI. CONCLUSION

The Enhanced LIGO interferometer is a complex opto-mechanical system whose angular mechanics are dominated by radiation pressure effects. We show that radiation pressure shapes the angular dynamics of the suspended mirrors and plays an important role in the design

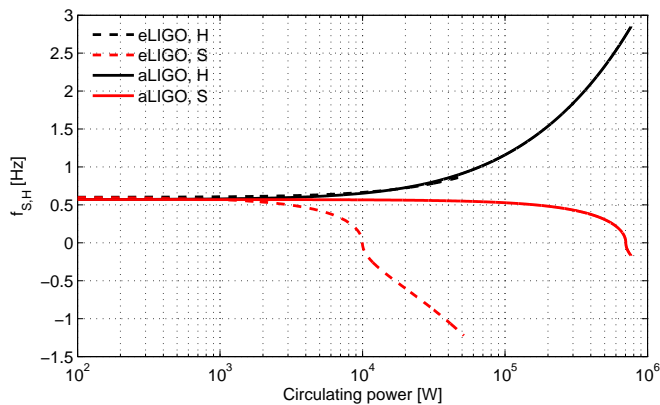


FIG. 9. Resonant frequency of the opto-mechanical modes for pitch as a function of circulating power, comparing Advanced and Enhanced LIGO. Only at the highest of Advanced LIGO powers (about 800 kW circulating power, or 125 W input power) will the soft mode become unstable. Models are plotted up to the highest of their respective design powers.

of an angular control system. We implemented and char-

acterized a novel control scheme to deal with the instabilities that radiation pressure causes to the angular degrees of freedom of the interferometer, without compromising the strain sensitivity of the detector. The alignment control scheme that we describe allowed the LIGO detectors to operate at their best sensitivity ever, as achieved during the scientific run S6. The solution that we demonstrate here is extensible to the next generation of LIGO detectors, Advanced LIGO, and is more broadly applicable in systems in which radiation pressure torques are dominant over mechanical restoring forces.

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