Spectroscopic Analysis and Synthesis of Nucleoside Analogs for Lethal Mutagenesis

by

Katherine **J.** Silvestre

Submitted to the Department of Chemistry in partial fulfillment of the requirements for the degree of **JUN 30 201**

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Abstract

The high mutation rate of HIV makes treatment of HIV/AIDS difficult, as the virus can develop resistance to existing therapeutics. **A** novel antiviral nucleoside analog, 5-Aza-5,6-dihydro-2' deoxycytidine (KP1212), employs the mechanism of lethal mutagenesis to avoid the problem of drug resistance and use the virus's high mutation rate against it. KP1212 is incorporated into **DNA by** HIV reverse transcriptase (RT) but mispairs with adenine instead of guanine, increasing the rate of purine transitions. This increased mutation rate can push HIV over its error catastrophe limit, resulting in viral ablation.

To understand how KP1212 induces lethal mutagenesis, VT NMR studies were conducted on KP1212. These experiments revealed that the enol tautomers of KP1212 are more prevalent than the keto tautomers, in contrast to native 2'-deoxycytidine **(dC)** which is predominately found in the keto-amino tautomer. The enol tautomers are able to base-pair with adenine, causing the increase rate of purine transitions observed in tissue culture and in clinical trials of KP1212. The distribution of KP1212 tautomers measured **by** VT **NMR** support tautomerization as the molecular mechanism for KP1212-induced lethal mutagenesis.

VT NMR experiments on a variety of known mutagenic nucleoside analogs found that many of them, including 5-aza-2'-deoxycytidine (decitabine), did not show multiple tautomers and thus had other molecular mechanisms behind their mutagenicity. This suggested that breaking the aromaticity of the nucleobase in KP1212 had a significant effect on the distribution of tautomers. To understand this structural change, the hydrogenated nucleoside analog 5,6-dihydro-2' deoxycytidine **(DHdC)** was synthesized via continuous-flow hydrogenation of **dC.** VT NMR studies show multiple tautomers for **DHdC,** demonstrating that hydrogenated nucleoside analogs could provide a variety of untested lethal mutagens for further study.

Thesis Supervisor: John M. Essigmann

Tide: William R. **&** Betsy P. Leitch Professor in Residence, Professor of Chemistry, Toxicology, and Biological Engineering

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Chapter 1

Introduction to Lethal Mutagenesis

1.1 Modified Nucleosides for the Treatment of HIV/AIDS

Acquired immunodeficiency syndrome (AIDS), the disease caused **by** human immunodeficiency virus (HIV), has become a serious pandemic since it was first reported in **1981.** In 2012, **35.3** million people worldwide were living with HIV, and **1.6** million people died from **AIDS.** Despite efforts to control the spread of HIV through safe sex education and needle-exchange programs, **2.3** million people were newly infected with HIV in 2012.¹ Antiretroviral treatment (ART) uses a combination of multiple antiretroviral (ARV) drugs to suppress the HIV virus in an infected person and prevent progression into AIDS. While ART can give HIV-positive individuals a near-normal life expectancy, there remains no vaccine or cure for HIV/AIDS.²

First-line ART typically consists of two nucleoside reverse-transcriptase inhibitors (NRTIs) and one non-nucleoside reverse-transcriptase inhibitors (NNRTIs), while second-line ART uses a protease inhibitor in addition to NRTIs. NRTIs are analogs of natural deoxynucleosides used to synthesize viral DNA by reverse transcriptase (RT).³ In the cell, NRTIs are phosphorylated by cellular kinases to the corresponding triphosphate, which is an irreversible inhibitor of RT. Once the NRTI is incorporated into growing viral **DNA,** the RT cannot continue **DNA** synthesis, as NRTIs lack the 3'-hydroxyl group required to form the next 5'-3'-phosphodiester bond. This results in chain-termination, preventing viral replication.4 Commonly used NRTIs are zidovudine (azidothymidine, **AZT),** a thymidine-analog; lamivudine (2',3'-dideoxy-3'-thiacytidine, **3TC)** and emtricitabine **(FTC),** cytidine-analogs; tenofovir (tenofovir disoproxil fumarate, TDF), an adenineanalog; and didanosine (2',3'-dideoxyinosine, **DDI)** (Figure **1.1).3** Though ART can successfully

suppress HIV in many patients, the high mutation rate of HIV makes drug resistance a significant problem.

Figure **1.1** Examples of nucleoside reverse-transcriptase inhibitors (NRTIs).

1.2 Lethal Mutagenesis as an Antiviral Strategy

While the high mutation rate of HIV presents a problem to traditional therapeutic approaches, it makes the mechanism of lethal mutagenesis a possibility. Lethal mutagenesis occurs when the mutation rate in **DNA** replication is increased above the error catastrophe limit. At this point, the load of deleterious mutations is too high and the population is ablated.' Due to a variety of proofreading and **DNA** repair mechanisms, the human genome has a relatively low mutation rate and is thus less susceptible to lethal mutagenesis. On the other hand, viruses like HIV with a high mutation rate are already near the error catastrophe limit, and a slight increase in the mutation rate can cause population collapse.⁶ For a lethal mutagen to be a successful antiviral, it must affect the viral genome while sparing the host. Lethal mutagenesis has been proposed as the therapeutic mechanism for multiple antiviral drugs, including ribavirin against hepatitis C virus (HCV)⁷⁻¹⁰,

favipiravir (T-705) against influenza A viruses¹¹⁻¹², and 5-hydroxy-2'-deoxycytidine (5-OH-dC) against HIV^{13} (Figure 1.2).

Figure 1.2 Examples of antiviral drugs believed to act through lethal mutagenesis.

1.3 KP1212: a Novel Lethal Mutagen for the Treatment of **HIV/AIDS**

5-Aza-5,6-dihydro-2'-deoxycytidine (KP1212) is a novel nucleoside analog designed to increase the mutation rate of HIV and cause lethal mutagenesis (Figure **1.3).** Unlike existing NRTIs, KP1212 is non-chain-terminating, as the 2'-deoxyribose portion of the nucleoside is unmodified. Instead, the nucleobase portion is altered with a nitrogen heteroatom at the 5-position and saturation of the **5,6** double bond. These changes allow KP1212 to alternately base-pair with guanine and adenine via tautomerization, inducing purine transitions. Serial passaging with KP1212 has been shown to increase the viral mutation rate of HIV, causing viral ablation." Once converted into the corresponding nucleotide triphosphate, KP1212 is a substrate for HIV-1 RT and is incorporated into the growing **DNA.** *In titro* experiments show that HIV-1 RT and human mitochondrial **DNA** polymerase (Pol **y)** incorporate KP1212 with significant efficiency, while mouse **DNA** polymerase @ (Pol **P)** does not recognize KP1212 as a substrate.'5 However, *in vivo* assays demonstrate that KP1212 has a low genotoxicity profile, is not toxic to mitochondria, and does not inhibit mitochondrial DNA synthesis.¹⁴ These results suggest that KP1212 could provide a novel therapeutic approach to treating HIV with low genomic and mitochondrial toxicity.

Figure 1.3 KP1212, its prodrug KP1461, and its triphosphate form.

To validate the mechanism of KP1212, its prodrug KP1461 completed phase II clinical trials in HIV-1 infected volunteers. Over the course of the treatment, plasma viral loads were not reduced and overall viral mutation rates were not increased. This result was not unexpected, given the relatively short duration of the treatment. However, the treated group showed an increase in private mutations and a larger number of purine transitions compared to the control group.'6 These findings validate the proposed mechanism of lethal mutagenesis and suggest that KP1212 has the potential to induce ablation of HIV-1 *in vivo.* Understanding the chemical and structural basis for the mutagenic properties of KP1212 is critical to the development of new therapeutic agents that use this mechanism.

Chapter 2

NMR Spectroscopy of KP1212

The work presented in this chapter is adapted from the following manuscript: Li, **D.*;** Fedeles, B. **I.*;** Singh, V.*; Peng, **C. S.*;** Silvestre, K. **J.;** Simi, **A.** K.; Simpson, **J.** H.; Tokmakoff, **A.;** Essigmann, **J.** M. Tautomerism provides a molecular explanation for the mutagenic properties of the anti-HIV nucleoside analog 5-aza-5,6-dihydro-2'-deoxycytidine. [submitted].

2.1 Introduction

The purpose of the work described here was to determine if the mutagenic properties of KP1212 seen in biological systems could be attributed to the ability of the compound to adopt multiple tautomers in solution. Though tautomerism of KP1212 has been suggested to be the mechanism of its clinical activity, there are no data that directly support this hypothesis. Nuclear magnetic resonance (NMR) spectroscopic methods were used to identify and quantify the array of tautomers exhibited **by** KP1212. Previous work has demonstrated the ability of NMR spectroscopy to distinguish between different tautomers of small molecules, including nucleosides.^{17,18} In proton NMR, the resonant frequency of a proton is determined **by** its chemical environment. As a result, different tautomers show different chemical shifts for the protons involved in tautomerization. In addition, the proportions of different tautomers in solution can be calculated using integration values for the active protons. NMR spectroscopy is thus uniquely suited to identify and quantify multiple tautomers of KP1212.

2.2 Experimental

The NMR experiments on KP1212 were carried out on a Varian Inova-500 NMR spectrometer. **DMF-d,** was selected as the solvent because it afforded good solubility of the compound, was aprotic to prevent deuterium exchange with the active protons, and had a low freezing point (ca. **-61 'C)** to permit low temperature NMR experiments. In order to identify the active protons involved in

tautomerization of KP1212, a full chemical assignment was completed using **1D** ('H, **¹ 3C)** and **2D (gCOSY, HSQC, gHMBC)** NMR experiments. These spectra provided a definitive assignment for all proton and carbon atoms in KP1212, aside from the active protons on the nucleobase. Once all other protons were accounted for, variable temperature **(VT)** NMR was used to study the active nucleobase protons (Figure 2.1). Low temperature **NMR** was used to slow the rate of tautomerization and observe distinct tautomers on the NMR time scale. At 20 °C, the exchangeable nucleobase protons gave rise to three broad peaks. When the temperature was decreased to **-50 *C,** these three broad peaks divided into six distinct peaks (Figure 2.2).

Figure 2.1 VT ¹H NMR spectra of KP1212 in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Figure 2.2 ¹H NMR spectrum of KP1212 in DMF- d_7 at -50 $^{\circ}$ C.

2.3 Results and Discussion

There are only three exchangeable protons on the nucleobase of KP1212. Thus, the presence of six distinct resonances corresponding to these protons at low temperature indicates that multiple tautomers are present in solution. KP1212 has a total of five possible tautomers (Figure **2.3)** These peaks were assigned to imino, amido, enol, and amino protons on the basis of chemical shift. In contrast, when the VT NMR experiment was repeated on the native nucleoside 2'-deoxycytidine **(dC),** only the common keto-amino tautomer was observed (Figure **SI).** The predominance of the keto-amino tautomer for **dC** is expected, as the rarer tautomers of **dC** can mispair with adenine and cause spontaneous mutations.^{19,20}

Figure **2.3** Possible tautomers of KP1212.

.0.0 9.5 9.0 8.5 80 7.S **7.0 6.5S 6.0 5.5 5.0** 4.5 4.0 **3.5 3.0** 2.5 2.0 **1.5 1.0 0.5 O.C** IChmical **shiA** *(ppm)* Figure 2.4 2'-Deoxycytidine VT¹H NMR spectra from 20 °C to -60 °C (top to bottom) in DMF-d₇.

In the proton NMR spectrum at -50 °C, multiple active nucleobase proton resonances overlapped, making it difficult to determine integral values for each peak. To integrate these peaks, the spectrum was reconstructed using Varian NMR simulation software. The reconstructed spectrum was integrated and normalized to give relative amounts of each proton resonance (Figure **2.5).** The integral values, normalized to three active protons, were used in a system of linear equations to calculate the relative amounts of tautomers. **A** comprehensive analysis of possible assignments provided a unique solution to the spectroscopic data. This solution gave the relative ratios of the KP1212 tautomers in DMF-d₇ at -50 °C (Figure 2.6).

Figure **2.5** Reconstructed **NMIR** spectra of the exchangeable nucleobase protons of KP1212 in DMF-d₇ at -50 °C and peak assignment based on their chemical shift.

Figure **2.6** Matrix equation of peak integrals, system of linear equations, and distribution of tautomers for KP1212 in DMF-d₇ at -50 °C. X_1 is enol-amino, X_2 is enol-imino, X_3 is keto-amino (2), X_4 is keto-amino (1), and X_5 is keto-imino.

The proportions of tautomers calculated from the NMR spectral data support the proposed mechanism of lethal mutagenesis. Under these conditions, the enol tautomers of KP1212 were the dominant species, while the keto tautomers were the minor species. In contrast, the natural **DNA** nucleosides like **dC** are found almost entirely in their keto tautomers and the enol tautomers are rarely observed.^{19,20} The different keto and enol tautomers of KP1212 can base-pair with either guanosine or adenosine, inducing purine transitions (Figure **2.7).** Thus, the tautomer distribution of KP1212 provided **by** VT NMR experiments supports the putative mechanism for lethal mutagenesis.

 $N-H-$

H H Enol-Imino base-pairedwith guanine

Keto-amino (2) base-paired with guanine Keto-amino **(1)** base-paired with guanine

Figure 2.7 Examples of KP1212 tautomers base-paired with the purine bases guanine and adenine.

Chapter **3**

Spectroscopic Exploration of Mutagenic Nucleoside Analogs

3.1 Introduction

Having confirmed that multiple tautomers are observable for the mutagenic nucleoside analog KP1212 via VT **NMR,** an effort was undertaken to identify other potentially mutagenic modified nucleosides on the basis of their **NMR** spectra. These nucleosides could be the basis for nextgeneration antiviral agents that work **by** the principle of lethal mutagenesis. **A** variety of nucleoside and nucleobase analogs have demonstrated mutagenic effects due to their ability to mispair, including 5-bromo-2'-deoxyuridine, 5-fluoro-2'-deoxyuridine, and 5-azacytidine.²¹ To look for the presence of multiple tautomers, **1D, 2D,** and VT NMR experiments were carried out on a variety of modified nucleoside analogs, several of which are associated with **DNA** damage and mutations (Figure **3.1).**

Figure **3.1** Modified nucleoside analogs studied via VT NMR. X = F, **Cl,** Br, I.

3.2 Experimental

As with KP1212, the NMR experiments on these modified nucleoside analogs were carried out on a Varian Inova-500 NMR spectrometer. DMF-d₇ was used as the solvent for all of the compounds. For each nucleoside, a full chemical assignment was completed using **1D** (1H, **¹ 3 C)** and **2D (gCOSY, HSQC, gHMBC)** NMR experiments. Once all other protons were accounted for, variable temperature (VT) **NMR** was used to study the active nucleobase protons as the temperature was decreased from 20 **'C** to **-60 'C.**

3.3 Results and Discussion

5-Hydroxy-2'-deoxycytidine **(5-OH-dC)** is a product of oxidative **DNA** damage that increases the frequency of cytidine to thymidine mutations *in vivo.2* The observed mutagenic properties of **5-OH**dC against HIV started the search for other mutagenic nucleosides like KP1212.¹³ VT NMR identified four distinct peaks corresponding to the three active protons on the nucleobase, indicating the presence of multiple tautomers (Figure **3.2).** The keto-amino tautomer of **5-OH-dC** is expected to base-pair with guanosine, while the keto-imino tautomer will base-pair with adenosine (Figures **3.3,** 3.4). Thus, the presence of both tautomers in the **NMR** spectrum supports the mechanism of tautomerization-induced lethal mutagenesis. These results are supported **by** other spectroscopy techniques that show a higher frequency of the rare imino tautomer for **5-OH-dC** than for **dC.2** In addition, the 5-OH moiety has a $pK_a = 7.4$, which is close to physiological $pH²³$ Deprotonation of the nucleobase may affect the distribution of tautomers under physiological conditions. VT **NMR** experiments support the putative mechanism for lethal mutagenesis caused **by 5-OH-dC.**

Figure 3.2 VT ¹H NMR spectra of 5-OH-dC in DMF-d₇ from 20 °C to -60 °C (top to bottom).

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Figure **3.3** Possible tautomers of **5-OH-dC.**

Keto-am ino base-paired with guanine

Keto-imino base-paired with adenine

Figure 3.4 **5-OH-dC** tautomers base-paired with the purine bases guanine and adenine.

8-Oxo-7,8-dihydro-2'-deoxyguanosine (8-oxo-dG) is a biomarker of oxidative stress induced by free radicals.²² The 8-oxo-dG lesion can mispair with adenine, causing guanine to thymine transversions during DNA replication.²³ One possible explanation for the mutagenicity of 8-oxo-dG is mispairing **by** rare tautomers. However, VT NMR experiments on 8-oxo-dG showed no evidence of multiple tautomers, indicating that this is not likely the mechanism. An alternative explanation for the mutagenic properties of 8-oxo-dG is the use of low-fidelity bypass polymerases. The normal replicative **DNA** polymerases are inefficient at extending past an 8-oxo-dG lesion, and a bypass polymerase is recruited for translesion **DNA** synthesis. However, the bypass polymerases used to extend past the 8-oxo-dG lesion have low fidelity and often incorporate the wrong base opposite **8** oxo-dG.24 In addition, the ability of 8-oxo-dG to base-pair with cytidine requires that both nucleosides are in their anfi-conformations. **If** 8-oxo-dG rotates around the glycosidic linkage, the resulting syn-conformation of 8-oxo-dG can base-pair with the *anti*-conformation of adenosine (Figure **3.5).21** Thus, the use of low fidelity bypass polymerases and rotation around the glycosidic linkage are likely the mechanisms behind the mutagenicity of 8-oxo-dG.

Figure 3.5 8-oxo-dG conformers base-paired with the bases cytosine and adenine.

Ribavirin is mutagenic nucleoside analog used in the clinical treatment of hepatitis **C.** Though there are multiple proposed mechanisms for *its* antiviral properties, one theory is that ribavirin causes lethal mutagenesis of HCV. $^{7\text{-}10}$ VT NMR experiments on ribavirin showed a single tautomer at all temperatures, meaning that tautomerization is unlikely to cause mispairing and lethal mutagenesis. Unlike natural purines, the nucleobase-like portion of ribavirin contains a triazole heterocycle with a formamide attached to the C^3 carbon. Rotation around the C^3 -carbonyl bond forms two conformers with different hydrogen bonding patterns. One conformer resembles guanosine and is able to base-pair with cytidine, while the other conformer resembles adenosine and can base-pair with uridine (Figure **3.6).** This unique ability to rotate allows ribavirin to base-pair with either pyrimidine and increases the mutation rate of HCV, thereby causing lethal mutagenesis.

Ribavirin base-paired **with cytosine Ribavirin base-paired with uracil**

Figure 3.6 Ribavirin rotamers base-paired with the pyrimidine bases cytosine and uracil.

The halogenated analogs 5-X-2'-deoxyuridine and 5-X-uridine, where X is fluorine, bromine, or iodine, induce mutations in a variety of biological systems.²⁵ However, evidence of multiple tautomers is not observed for the halogenated 2'-deoxyuridine analogs via VT NMR spectroscopy. An alternative explanation is that the electron-withdrawing halogen lowers the pK_a of the nucleobase, allowing the N⁶ position to be deprotonated at physiological pH. For example, while the

pK, of uridine is **9.25,** the *pK,* of 5-fluorouridine is **7.57.26** Resonance structures of the conjugate base can pair with either adenosine or guanosine, increasing the rate of purine transitions (Figure **3.7).** Ionization induced **by** electron-withdrawing groups is most likely the mechanism behind the mutagenic properties of halogenated nucleoside analogs.

Non-Ionized form base-paired with adenine Ionized form base-paired with guanine

Figure **3.7 5-X-dU** base-paired with the purine bases guanine and adenine.

5-Aza-2'-deoxycytidine (decitabine, 5-aza-dC) is a nucleoside analog used clinically in the treatment of myelodysplastic syndrome **(MDS).** It acts as a hypomethylating agent that inhibits DNA methyltransferases and is highly cytotoxic.^{29,30} However, both decitabine and its ribose analog azacytidine also induce lethal mutagenesis at lower cellular concentrations.^{31,32} Unlike KP1212, decitabine shows a single tautomeric species in VT NMR experiments, suggesting that tautomerization does not explain its mutagenic properties. An alternative mechanism is chemical rearrangement of the nucleobase. Once decitabine or azacytidine are incorporated into the corresponding nucleic acid, hydration occurs across the **N5 -C'** double bond. The ring opens and formic acid is lost, allowing the remainder of the structure to base-pair with cytidine (Figure **3.8).** As a result, decitabine increase the rate of guanosine to cytidine transversions (Figure 3.9).³² Though similar in their chemical structure, decitabine and KP1212 use very different mechanisms to cause lethal mutagenesis.

Figure **3.8** Chemical reaction of decitabine.

Figure **3.9** Decitabine base-paired with the bases guanine and cytosine.

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Chapter 4

Synthesis and Spectroscopic Analysis of Dihydronucleosides

4.1 Introduction

From a structural standpoint, there are two differences between the natural nucleoside **dC** and KP1212. First, the carbon atom in position **5** is replaced **by** a nitrogen atom. Second, the 5,6-double bond in **dC** is reduced to a single bond in KP1212. In order to understand why KP1212 is able to readily tautomerize, while **dC** shows no evidence of tautomerization, each of these changes was substituted independently. As previously stated, decitabine, the unsaturated analog with a nitrogen atom substituted on the ring, shows no evidence of tautomerization via VT **NMR** experiments. This suggests that the effect of substituting a nitrogen atom at position **5** is not enough to explain the multiple tautomers observed with KP1212.

The unsubstituted, saturated analog, 5,6-dihydro-2'-deoxycytidine **(DHdC),** was not commercially available and there was no existing literature on its medicinal properties against viruses. Hydrogenation of the carbon-carbon double bond in **dC** may contribute significantly to the efficacy of KP1212 as a mutagenic nucleoside analog. Hydrogenation breaks the aromaticity of the cytosine base moiety, causing the electron density on the ring to become more localized. In addition, the heterocyclic ring may become puckered at the C⁵ and C⁶ positions when its aromaticity is broken. This could contribute to the low cytotoxicity of KP1212 relative to decitabine, as human **DNA** polymerases may reject KP1212 due to its unnatural puckered shape. Based on the dissimilar results from NMR studies of KP1212 and decitabine, as well as the structural differences between KP1212 and **dC, DHdC** had the potential to display multiple tautomers, similar to KP1212, and thus be a lethal mutagenic agent.

4.2 Experimental

2'-Deoxycytidine **(284.8** mg, **1.253** mmol) was dissolved in **25** mL MeOH via sonication to form a 0.05 M solution. Three stainless steel cartridges were packed with ~400 mg of Rh/alumina (5%) each and connected in series. The solution was loaded into an **8** mL stainless steel syringe in a syringe pump and connected to the cartridges and a hydrogen gas tank via a Y-linker. The solution and hydrogen gas were pumped through the connected cartridges at a flow rate of $80 \mu L/min$ with hydrogen gas pressure of **175** psi. The outflow was connected in **-5** mL fractions and checked via **TLC (30%** MeOH-NH3 in **DCM** on silica, starting material Rf **= 0.29,** product Rf **=** 0.14). **All** fractions showed similar conversion rates and were combined and concentrated via rotary evaporation to **282** mg of a white, sticky solid. Proton NMR showed **-80%** conversion to product with anomerization of the ribose sugar. To purify the product, a column of silica gel was prepared using **10%** MeOH-NH3 in **DCM.** The product was dissolved in a minimal amount of MeOH-NH3 in **DCM** and loaded onto the column. The column was eluted with a gradient of **10-30%** MeOH-**NH3** in **DCM.** Fractions that appeared to contain the desired product on **TLC** were combined and concentrated via rotary evaporation to yield **61.3** mg of a light yellow solid. Proton NMR showed \leq 10% of the undesired α -anomer and $>$ 90% of the desired β -anomer. The product was repurified using a pipette column prepared with silica gel using **10%** MeOH-NH3 in **DCM.** The product was dissolved in a minimal amount of MeOH-NH3 in **DCM** and loaded onto the column. The column was eluted with a gradient of 10-20% MeOH-NH3 in **DCM.** Fractions that appeared to contain the desired product on **TLC** were combined and concentrated via rotary evaporation to yield **32.1** mg of a light yellow solid. Proton NMR showed full conversion to the desired product with no starting material remaining and $\leq 5\%$ of the undesired α -anomer.

4.3 Results **and Discussion**

Previous work on the hydrogenation of **dC** found that rhodium on alumina was an effective catalyst for the reduction of the 5,6-double bond (Figure 4.1).³⁴⁻³⁶ However, the authors noted that

overhydrogenation and hydrolysis of the 4-amino group presented difficulties.^{35,36} To address these concerns, the hydrogenation reaction was carried out via flow chemistry to control the amount of time the nucleoside spends in contact with the catalyst and hydrogen gas, thereby avoiding overhydrogenation (Figure 4.2). The nucleoside was dissolved in methanol instead of water to prevent hydrolysis of the amino group.

Figure 4.1 Hydrogenation of **dC.**

Figure 4.2 Continuous-flow set-up for the hydrogenation of **dC.**

DHdC was synthesized from **dC** via hydrogenation with **80-90%** conversion in several flow reactions. One challenge presented **by** the reaction and purification processes was anomerization of the ribose sugar to form the α -anomer. The α -anomer of nucleoside derivatives cannot be easily used **by** RT or **DNA** polymerases and thus is unlikely to have medicinal relevance. Anomerization appears to be catalyzed **by** acidic conditions, as anomerization occurs to a great extent when Rh/alumina is used as the catalyst instead of Rh/C. However, **slightly** acidic conditions typically

increase the rate of hydrogenation reactions, as more protons are available to react with the starting material. As a result, Rh/alumina displays a higher conversion rate than Rh/C **(80-90%** compared to 20-30%). The increase in conversion rate provided **by** Rh/alumina was great enough that it was determined to be a better catalyst for the hydrogenation reaction than Rh/C, even though the undesired α -anomer would have to be separated from the desired β -anomer. To prevent further anomerization during purification on acidic silica gel, MeOH saturated with ammonia (MeOH-NH3) was used as the polar solvent in the mobile phase.

To determine whether **DHdC** displays multiple tautomers that could allow it to base pair with both adenosine and guanosine, **1D, 2D,** and VT **NMR** experiments were carried out on the purified **DHdC** product (Figure 4.3). At **20'C,** exchangeable protons on the base moiety are observed at **7.58** ppm and 4.14 ppm. At **-60*C,** exchangeable protons on the base moiety are observed at **8.70** ppm, **8.08** ppm, and 4.68 ppm. Protons with a chemical shift of **8.70, 8.08,** and **7.58** ppm likely correspond to enolic, amido, or imino protons, while protons with a chemical shift of 4.68 and 4.14 ppm likely correspond to amino protons. The expected chemical shifts for enolic, amido, and imino protons are relatively close, and thus these species cannot be assigned at this stage. However, VT **NMR** clearly shows three resonances at low temperature, though only two active protons are present on the base moiety. Only the existence of multiple tautomeric species would explain the presence of three exchangeable protons resonances. Furthermore, the chemical shifts observed suggest that both the keto-amino and keto-imino/enol-imino tautomers occur. Based on their chemical structures, the keto-amino tautomer will pair with guanine and the keto-imino/enolimino tautomers can pair with adenine. Due to the existence of multiple tautomers, **DHdC** may be able to alternatively base pair and cause adenine to guanine and guanine to adenine mutations, similarly to KP1212.

Figure 4.3 VT ¹H NMR spectra of DHdC in DMF-d₇ from 20 °C to -60 °C (top to bottom).

As a comparison, 5,6-dihydro-2'-deoxyuridine **(DHdU)** was synthesized from 2'-

deoxyuridine **(dU)** via a similar continuous-flow hydrogenation reaction (Figure 4.4). This reaction showed full conversion to **DHdU** using Rh/C as the catalyst, with no starting material apparent on **TLC** or proton NMR. However, VT NMR experiments showed a single tautomer of **DHdU** exists at low temperatures (Figure 4.5). The lack of tautomerization is unsurprising, given the chemical stability of the imide moiety in the uridine base. The low reactivity of **DHdU** is further supported **by** the literature, which reports no problems with overhydrogenation.³⁷ Ionization of the imide proton, the alternative mechanism of lethal mutagenesis observed with **5-F-dU,** 5-Br-dU, and 5-I-dU, is unlikely to occur for **DHdU.** The *pIK* of **DHdU** is expected to be greater than that of **dU,** as the

non-aromatic ring would provide less resonance stabilization to the conjugate base. Thus, it is unlikely that **DHdU** will cause lethal mutagenesis through tautomerization or ionization.

Figure 4.4 Hydrogenation of **dU.**

Figure 4.5 VT ¹H NMR spectra of DHdU in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Attempts were made to synthesize hydrogenated purine nucleosides using the same flow hydrogenation methodology. As bicyclic rings, purines could be hydrogenated in multiple positions: the C^2 -N³ double bond, the C^4 -C⁵ double bond, and the N⁷-C⁸ double bond, as well as the N¹-C⁶

 $\frac{G}{\langle \Phi \rangle}$

double bond found in adenine but not in guanine (Figure 4.6). **A** solution of 2'-deoxyadenosine **(dA)** in MeOH was prepared and run through the flow reactor under the same conditions as **dC.** However, no reaction occurred under these conditions. The low reactivity of dA compared to **dC** and **dU** is unsurprising, as more energy would be required to overcome the stability of a bicyclic aromatic ring system. If hydrogenation were to occur on a purine derivative, it would most likely reduce the N⁷-C⁸ double bond, as this would break the aromaticity of the smaller imidazole ring while leaving the larger pyrimidine ring intact. In order to hydrogenate the purine nucleosides, an alternative, **highly** active catalyst, such as palladium on carbon, Raney nickel, or Wilkinson's catalyst, could be utilized. Though increasing the temperature would not be advisable, as it would likely cause decomposition of the starting material, the pressure of hydrogen gas in the flow reactor could be increased. In addition, the flow rate could be decreased to give the starting material more time to interact with the catalyst and undergo hydrogenation. Though hydrogenation of **dA** and **dG** will likely be a challenging endeavor, their hydrogenated analogs are worth exploring, as they may show mutagenic tautomerization similar to KP1212 and **DHdC.**

Figure 4.6 Possible hydrogenated purine nucleosides, **DHdA** and **DHdG.**

Chapter 5

Conclusions and Future Directions

Despite major advances in the treatment of HIV/AIDS with existing therapeutics, the high mutation rate of the virus makes drug resistance a constant problem. Lethal mutagenesis of HIV provides an alternative mechanism to traditional therapeutic strategies that uses the virus's high mutation rate against it. KP1212 is a nucleoside analog under study for the treatment of HIV. The molecule increases the rate of mutation, specifically adenine to guanine and guanine to adenine transitions in HIV with low cellular and mitochondrial toxicity. It is hypothesized that KP1212 exists in multiple tautomeric forms, which alternatively base-pair and induce mutations once the nucleoside is incorporated into the HIV genome **by** RT.

VT NMR spectroscopy was used in conjunction with **1D** and **2D NMR** experiments to demonstrate the existence of multiple tautomers of KP1212 in DMF at **-50 *C.** Unlike **dC,** where the keto tautomers are the dominant species, the majority of KP1212 is found as enol tautomers. The presence of multiple tautomers for KP1212 supports the hypothesis behind its mutagenic properties. VT NMR also showed multiple tautomers for **5-OH-dC,** another mutagenic nucleoside thought to increase adenine to guanine and guanine to adenine transitions through tautomerization. Other mutagenic nucleosides, such as 8-oxo-dG, ribavirin, **5-X-dU,** and decitabine, did not display evidence of multiple tautomers via VT NMR. However, in all of these cases, other mechanisms have been proposed to explain their mutagenicity, suggesting that the results of VT NMR spectroscopy are consistent with *in vitro and in vivo* experiments.

NMR studies on KP1212 and decitabine suggested that hydrogenation of the 5,6-double bond in **dC** nucleoside analogs could create nucleosides that display multiple tautomers. Such tautomeric nucleoside analogs have the potential to cause viral decay acceleration and thus could be studied as lead compounds for the treatment of viral diseases. In order to study **DHdC,** we

developed a protocol for the selective hydrogenation of **dC.** Hydrogenation of **dC** to **DHdC** was successfully carried out using a continuous-flow reaction set-up that will likely translate well into efficient large-scale preparation. VT NMR studies suggest that **DHdC** adopts multiple tautomers, which could allow it to alternatively base pair with adenine and guanine. These preliminary NMR experiments indicate that **DHdC** is a promising antiviral agent and further studies should be done to measure its pharmacologic properties *in vitro and in tivo.*

Given the potential mutagenic properties of **DHdC,** a **full** library of hydrogenated nucleoside analogs should be prepared. Synthesis of 5-substituted **DHdC** derivatives such as **5-OH-DHdC** and **5-X-DHdC** should be possible using the methodology for **DHdC. DHdU** was synthesized via a similar continuous-flow hydrogenation of **dU.** However, this modified nucleoside showed no evidence of tautomerization in VT NMR experiments. The hydrogenated purine derivatives, **DHdA** and **DHdG,** have not yet been synthesized, but these products should be pursued as possible novel viral mutagens.

In addition to using hydrogenation of nucleosides to find new lead compounds, work on the next generation of KP1212-derived molecules is ongoing. One limitation of KP1212 as a drug is that, in order to be incorporated into **DNA,** the nucleoside analog must be phosphorylated into the corresponding nucleotide triphosphate. However, the first phosphorylation step is extremely slow. Sofosbuvir, a nucleoside analog recently approved for the treatment of Hepatitis **C,** found a way to bypass this step. The nucleoside is converted into a protected phosphoramidate prodrug, which is hydrolyzed in the liver to release the active monophosphate derivative at the desired site of action.³⁸ To increase the efficacy of KP1212, the KP1212 nucleoside should be converted to the protected phosphoramidate, based on the synthetic route used to prepare sofosbuvir. The phosphoramidate of KP1212 can then be studied in *in vitro and in vivo* assays to see how its pharmacokinetic properties compare to those of the unphosphorylated nucleoside analog KP1212. This modification may

increase the bioavailability of KP1212 in its active triphosphate form and improve its efficacy against HIV.

Figure 5.1 Conversion of the phosphoramidate prodrug sofosbuvir to the monophosphate uridine derivative.

Figure **5.2** Proposed synthesis of the phosphoramidate prodrug derivative of KP1212, based on the synthesis of sofosbuvir.

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Appendix

This appendix contains spectral data for all nucleosides studied. **All** spectra were acquired on a Varian Inova-500 NMR spectrometer. ¹H NMR spectra were referenced to DMF-d₆ (aldehyde proton) at **8.03** ppm. **¹ 3C NMR** spectra were referenced to **DMF-d,** (carbonyl carbon) at **163.15 ppm.**

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and Coupling Constant (Hz)
6 a	4.57	Quartet, $J = 11.5, 2.0$
6 b	4.57	Quartet, $J = 11.5, 2.0$
1'	6.27	Triplet, $J = 7.3$
2^{\prime} a	2.15	Multiplet
2' b	1.87	Multiplet
3'	4.26	Singlet (br)
$3'$ -OH	5.20	Singlet (br)
4'	3.71	Multiplet
5° a	3.57	Singlet (br)
5' b	3.57	Singlet (br)
$5'$ -OH	4.91	Singlet (br)

Table A.1 ¹H chemical shift assignments of KP1212 in DMF-d₇ at 20 ^oC.

Figure A.1 VT ¹H NMR spectra of 2'-deoxycytidine in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Table A.3 ¹H chemical shift assignments of 2'-deoxycytidine in DMF-d₇ at 20 °C.

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$4-NH2$ a	7.34	Singlet (br)
$4-NH2$ a	7.14	Singlet (br)
5	5.86	Doublet, $J = 7.5$
6	7.96	Doublet, $J = 7.5$
1'	6.33	Triplet, $J = 6.0$
2° a	2.25	Multiplet
2° b	2.10	Multiplet
3'	4.40	Multiplet
$3'$ -OH	5.34	Doublet, $J = 4.0$
4°	3.91	Quartet, $J = 3.5$
$5'$ a	3.72	Multiplet
5 ^h	3.71	Multiplet
$5'$ -OH	5.16	Triplet, $J = 5.3$

¹³ C Position	¹³ C Chemical Shift (δ)
2	156.64
4	167.25
5	94.84
6	142.32
1'	86.68
2°	41.89
3'	72.16
4'	88.94
5'	63.06

Table A.4 ¹³C chemical shift assignments of 2'-deoxycytidine in DMF-d₇ at 20 °C

Table A.5 'H chemical shift assignments of **5-OH-dC** in DMF-d7 at 20 **'C.**

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$4-NH2$	8.52	Singlet (br)
$4-NH$	10.40	Singlet (br)
$5-OH$	9.57	Singlet (br)
6	7.94	Singlet
1'	6.33	Triplet, $J = 7.0$
2^{\prime} a	2.30	Multiplet
2 b	2.20	Multiplet
3'	4.44	Multiplet
$3'$ -OH	5.41	Singlet (br)
4'	3.97	Quartet, $J = 3.0$
5' a	3.75	Multiplet
5 ^h	3.75	Multiplet
$5'$ -OH	5.26	Singlet (br)

Table A.6 1 3C chemical shift assignments of **5-OH-dC** in DMF-d, at 20 **"C.**

Figure A.2 VT¹H NMR spectra of 8-oxo-dG in DMF-d₇ from 20 °C to -60 °C (top to bottom).

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$1-NH$	11.01	Singlet (br)
$2-NH2$ a	6.77	Singlet (br)
$2-NH2 b$	6.77	Singlet (br)
$7-NH$	10.91	Singlet (br)
1°	6.23	Quartet, $J = 6.5, 2.5$
2° a	3.09	Multiplet
2 ^b	2.06	Multiplet
3'	4.51	Multiplet
$3'$ -OH	5.27	Doublet, $J = 3.5$
4°	3.94	Multiplet
5' a	3.70	Multiplet
5 ^h	3.59	Multiplet
$5'$ -OH	5.04	Doublet of doublets, $J = 5.0, 4.0$

Table A.7¹H chemical shift assignments of 8-oxo-dG in DMF-d₇ at 20 °C.

¹³ C Position	¹³ C Chemical Shift (8)
$\overline{2}$	152.59
$\overline{4}$	148.53
5	100.17
6	155.03
8	153.31
1'	83.25
2°	37.64
3'	73.52
4'	89.43
5'	64.30

Table A.8¹³C chemical shift assignments of 8-oxo-dG in DMF-d₇ at 20 °C.

Figure A.3 VT ¹H NMR spectra of ribavirin in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Table **A.9 'H** chemical shift assignments of ribavirin in **DMF-d,** at 20 ***C.**

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
	8.96	Singlet
$6-NH$, a	7.91	Singlet (br)
$6-NH$ ₂ b	7.66	Singlet (br)

1'	5.95	Doublet, $J = 3.5$
2^{\prime} a	4.55	Quartet, $J = 5.0, 4.0$
2° -OH	5.74	Doublet, $J = 5.5$
3'	4.35	Quartet, $J = 5.0$
$3'$ -OH	5.25	Doublet, $J = 5.5$
4'	4.10	Quartet, $J = 4.5$
5° a	3.78	Multiplet
5 ^h	3.69	Multiplet
$5'$ -OH	5.06	Triplet, $J = 5.5$

Table A.10 ¹³C chemical shift assignments of ribavirin in DMF-d₇ at 20 °C.

Figure A.4 VT¹H NMR spectra of 5-F-dU in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Figure A.5 VT ¹H NMR spectra of 5-Cl-dU in DMF-d₇ from 20 °C to -60 °C (top to bottom).

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$3-NH$	11.86	Singlet (br)
6	8.48	Singlet
1'	6.29	Triplet, $J = 7.0$
2° a	2.32	Multiplet
2' b	2.29	Multiplet
3'	4.47	Multiplet
$3'$ -OH	5.40	Doublet, $J = 4.0$
4'		Quartet, $J = 3.0$
5' a	3.80	Multiplet
5 ^h	3.78	Multiplet
$5'$ -OH	5.37	Triplet, $J = 4.5$

Table **A.13** 'H chemical shift assignments of **5-Cl-dU** in **DMF-d7** at 20 **'C.**

Figure A.6 VT¹H NMR spectra of 5-Br-dU in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Table A.14¹H chemical shift assignments of 5-Br-dU in DMF-d₇ at 20 °C.

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$3-NH$	11.83	Singlet (br)
6	8.56	Singlet
1'	6.28	Triplet, $J = 7.0$
2^{\prime} a	2.32	Multiplet
2 ^b	2.28	Multiplet
3'	4.46	Multiplet
$3'$ -OH	5.40	Doublet, $J = 4.0$
4'	3.96	Quartet, $J = 3.0$
5' a	3.80	Multiplet
5 ^h	3.78	Multiplet
$5'$ -OH	5.36	Triplet, $J = 5.0$

Table A.15 ¹³C chemical shift assignments of 5-Br-dU in DMF-d₇ at 20 °C.

1 3C Position **' 3 C** Chemical Shift **(8)**

Table A.16¹H chemical shift assignments of 5-I-dU in DMF-d₇ at 20 °C.

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$3-NH$	11.73	Singlet (br)
6	8.59	Singlet
1°	6.28	Triplet, $J = 7.0$
2^{\prime} a	2.31	Multiplet
2 ^b	2.26	Multiplet
3'	4.46	Multiplet

Figure A.8 VT¹H NMR spectra of 5-aza-dC in DMF-d₇ from 20 °C to -60 °C (top to bottom).

Table **A.17** 'H chemical shift assignments of 5-aza-dC in **DMF-d7** at 20 ***C.**

¹ H Position	¹ H Chemical Shift (δ)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$4-NH2$ a	7.52	Singlet (br)
$4-NH, b$	7.52	Singlet (br)
6	8.66	Singlet
1'	6.19	Triplet, $J = 6.5$
2^{\prime} a	2.28	Multiplet
2' b	2.35	Multiplet
3'	4.46	Multiplet
$3'$ -OH	5.37	Singlet (br)
4'	3.96	Quartet, $J = 3.5$
5' a	3.78	Multiplet

¹³ C Position	¹³ C Chemical Shift (δ)
2	154.65
4	167.77
6	157.21
1°	86.94
2°	37.15
3'	71.79
4'	89.47
51	62.66

Table A.19 'H chemical shift assignments of **DHdC** in DMF-d7 at 20 ***C.**

Table A.20 1 3 C chemical shift assignments of **DHdC** in DMF-d7 at 20 **'C.**

¹ H Position	¹ H Chemical Shift (8)	¹ H Peak Multiplicity and
		Coupling Constant (Hz)
$3-NH$	10.16	Singlet (br)
5a	2.60	Multiplet
5 _b	2.60	Multiplet
6 a	3.54	Multiplet
6 b	3.44	Multiplet
1°	6.28	Quartet, $J = 6.5, 2.0$
2° a	2.19	Multiplet
2' b	1.93	Multiplet
3'	4.32	Multiplet
$3'$ -OH	5.26	Doublet, $J = 4.0$
4'	3.77	Multiplet
5' a	3.61	Multiplet
5 ^h	3.61	Multiplet
$5'$ -OH	4.92	Triplet, $J = 5.5$

Table **A.21** ¹H chemical shift assignments of DHdU in DMF-d₇ at 20 °C.

Figure **A.9** VT 'H NMR spectra of 2'-deoxyuridine in **DMF-d7** from 20 **'C** to **-60 *C** (top to bottom).

Table A.22 'H chemical shift assignments of 2'-deoxyuridine in DMF-d7 at 20 ***C.**

 \sim σ

