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The Contribution of GABAergic Dysfunction to Neurodevelopmental Disorders

Kartik Ramamoorthi1,2,3 and **Yingxi Lin**1,2,*

¹ McGovern Institute for Brain Research, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

² Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

³ Molecular & Cellular Neuroscience Graduate Program, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

Abstract

GABA (γ-Aminobutyric acid) is the major inhibitory neurotransmitter in the brain. The GABAergic system is indispensable for maintaining the balance between excitation and inhibition (E/I balance) required for normal neural circuit function. E/I imbalances that result from perturbations in the development of this system, ranging from the generation of inhibitory neurons to the formation of their synaptic connections, have been implicated in several neurodevelopmental disorders. In this review, we discuss how impairments at different stages in GABAergic development can lead to disease states. We also highlight recent studies which show that modulation of the GABAergic system can successfully reverse cognitive deficits in disease models and suggest that therapeutic strategies targeting the GABAergic system could be effective in treating neurodevelopmental disorders.

The GABAergic system and neurodevelopmental disorders

The basic wiring of our nervous system is established during the early developmental period. Key events during this period - birth and migration of neurons, synapse formation and maturation, and experience-dependent refinement of circuit connections - create functional neural circuits with well balanced excitatory and inhibitory components. Perturbation of these early events can lead to life-long cognitive and emotional disabilities, including epilepsy, autism and schizophrenia, which, for the most part, lack effective treatments. Basic research into early development has promoted advancements in clinical diagnosis. As a result, disorders such as schizophrenia that were traditionally thought to have late onsets are now being linked to deficits during early development [1]. Recently, it was proposed that a disrupted excitatory/inhibitory (E/I) balance in key circuits might underlie many neurodevelopmental disorders [2]. This hypothesis is particular appealing because it provides a theoretical framework within which clinical observations and detailed neural circuit analysis can be integrated. However, although E/I imbalance is often observed in disease conditions such as epilepsy, autism and schizophrenia [1–3], evidence directly

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^{*}To whom correspondence should be addressed. yingxi@mit.edu.

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implicating E/I imbalance as a causal factor in these diseases has just begun to emerge with the use of disease mouse models (Table 1). Although E/I balance can be upset by disrupting either excitation or inhibition within a neural circuit, we focus here on the relationship between dysfunctional inhibition and neurodevelopmental disorders.

The major inhibitory neurotransmitter in mammalian brains is GABA (γ-Aminobutyric acid), which is produced by GABAergic neurons and released at GABAergic synapses formed between GABAergic neurons and their targets. The level of inhibition, generally measured by synaptic GABA release, is modulated by the synaptic drive (both excitatory and inhibitory) received by GABAergic neurons. The development of the GABAergic system coincides with the onset of many neurodevelopmental disorders, pointing to a critical role for inhibition in neural circuit development and function. As an example, the autism spectrum disorder (ASD) Rett syndrome is caused by mutations in the transcriptional regulator *MeCP2* (methyl-CpG-binding protein 2), which result in predominantly neurological symptoms despite MeCP2's ubiquitous expression. Disrupting *MeCP2* in GABAergic neurons recapitulates most Rett phenotypes, indicating an important role for the GABAergic system in the etiology of Rett syndrome, and probably also for at least a subset of ASDs [2]. This study highlights one of many mouse disease models that not only provide support for the E/I balance hypothesis (Table 1), but should also provide insight into the etiology of neurodevelopmental disorders.

Limited by space, we focus here on three major aspects of the GABAergic system: generation and migration of GABAergic neurons, development of GABAergic synapses, and the impact of the GABAergic system on neural circuit function. Our knowledge of the development of the GABAergic system has grown rapidly in recent years thanks to newly developed genetic tools, giving us an unprecedented opportunity to examine the process of GABAergic development in the context of neurodevelopmental disorders and, hopefully, to reevaluate and even redesign therapeutic strategies.

Generation and migration of GABAergic neurons

The generation and migration of GABAergic neurons, the first stage of GABAergic system development, is responsible for producing the appropriate numbers and kinds of GABAergic neurons in the correct locations. This stage probably has the greatest impact on the final neural circuits. Notably, alterations in specific subtypes of GABAergic neurons have been observed in several neurodevelopmental disorders [4–8]. For instance, in Tourette's syndrome the number of parvalbumin (PV)-positive neurons is increased in the striatum, but decreased in the globus pallidus [5].

With a few exceptions in humans and non-human primates [9], GABAergic neurons are derived from the ventral part of the telecephalon (subpallium) [10]. The subpallium is subdivided into lateral, medial and caudal ganglionic eminences (LGEs, MGEs and CGEs, respectively), as well as the anterior entopeduncula and preoptic area (POA), which are structurally less well defined. Whereas progenitors from LGE give rise to GABAergic neurons in the olfactory bulb and striatum, cortical GABAergic neurons (often called cortical interneurons) are mainly derived from MGEs and CGEs, and perhaps the POA [11, 12].

GABAergic neuronal fate is determined by MASH1 (mammalian achaete-scute homolog 1) and DLX (distal-less homeobox) family members, transcription factors whose expression is restricted to the subpallium [13, 14]. Regional expression of transcription factors in ganglionic eminences further specifies the subgroup of GABAergic neurons generated in those areas [15]. For example, dorsal LGE expresses *Pax6* (paired box gene 6) and produces olfactory bulb interneurons, whereas ventral LGE expresses *Gsh2* (glutathione synthase 2)

A striking feature of GABAergic neurons is their diversity. Although no single parameter can unequivocally differentiate all subtypes of GABAergic neurons, they can be classified by morphology (basket, chandelier, bipolar, double bouquet cells, etc.), physiology (fastspiking, regular firing, bursting, stuttering etc.), and by the molecular markers they express [18]. Molecular markers, in particular, have proven useful for examining postmortem tissues from patients. The most commonly used include calcium-binding proteins such as PV, calretinin (CR) and calbindin (CB) and neuropeptides such as somatostatin (SST), vasoactive intestinal peptide (VIP), neuropeptide Y (NPY) and cholecystokinin (CCK).

The molecular mechanism which specifies the subtype of GABAergic neurons is not fully understood. Gene profiling of cortical interneuron precursors suggested that GABAergic subtypes are already determined at the progenitor stage, long before they are functionally integrated into neural circuits [19]. Genetic fate-mapping studies suggest that subtype specification is regulated by both spatial and temporal factors. For example, neurons from MGE preferentially express PV and SST/CB, whereas CGE-derived neurons tend to be CR/ VIP or NPY positive [15]. Temporally, SST neurons are born before PV neurons, which are followed by VIP neurons [20]. This is consistent with the fact that neurogenesis in MGE (producing SST and PV neurons) happens earlier than in CGE (producing VIP neurons) [21]. The next challenge will be to identify the genetic programs that determine the fates of various GABAergic subtypes.

Newly born cortical GABAergic neurons first migrate tangentially away from the ganglionic eminence, following two migratory streams into regions above and below the developing cortical plate [22]. Once there, they adopt various radial migration modes to settle into specific cortical layers in an inside-out fashion according to their birth order, with older cells taking the deeper layers and younger cells occupying the superficial layers [23].

Many factors regulate the tangential migration of GABAergic neurons. These include a set of attractant and repellent guidance molecules similar to those used by glutamatergic neurons, growth factors and their receptors, and neurotransmitters [24]. Dysregulation of several of these factors has been implicated in neurological disorders [25]. Neuregulin 1 (NRG1), the product of a known schizophrenia susceptibility gene, and its receptor ErbB4 are worth special mention [26]. NRG1 secreted in cortical regions can serve as a potent chemoattactant, guiding ErbB4-expressing GABAergic neurons to migrate tangentially into the cortex [27]. Impaired GABAergic neuron migration resulting from defects in NRG1- ErbB4 signaling might contribute to the pathology of schizophrenia, at least in a subset of patients [28].

Many transcription factors that specify the fate of GABAergic neurons are also involved in their migration, with some even interacting directly with guidance cues [29–33]. For example, Nkx2.1 down-regulates the semaphorin receptor neuropilin 2 (NRP2) [33]. Because striatum expresses the repellent ligands of NRP2, SEMA3A and SEMA3F, neurons expressing low levels of Nkx2.1 avoid the striatum and migrate to the cortex, whereas neurons with high levels of Nkx2.1 migrate into the striatum. Further investigation of migration mechanisms for different subtypes of GABAergic neurons is necessary, given that they could lead to altered distributions of certain subtypes in neurodevelopmental disorders such as Tourette's syndrome [5].

Whereas tangential migration distributes GABAergic neurons longitudinally around the cortical plate, radial migration sorts GABAergic neurons into the correct cortical layers, where they are connected to glutamatergic projection neurons within each layer and can directly influence the E/I balance of the cortical circuits. In contrast to tangential migration, very little is known about regulation of radial migration. The only signaling pathway implicated in this process to date involves the chemokine CXCL12 and its receptors CXCR4 and CXCR7, which affect the timing of switching from tangential to radial migration [34– 36]. In humans, severe defects in cortical radial migration of glutamatergic neurons result in lissencephaly, a disorder associated with mental retardation and seizures [37]. It is not known whether radial migration of GABAergic neurons is also involved in this condition. Abnormal laminar positioning of GABAergic neurons alone seems unlikely to cause gross anatomical changes similar to those seen in lissencephaly, but would still have a profound impact on the function of cortical circuits. Closer examination of the laminar distribution of GABAergic neurons in neurodevelopmental disorders that do not involve gross anatomical abnormalities could generate new insights into their etiology.

Development of GABAergic synapses

Whereas the generation of GABAergic neurons occurs during the mid-embryonic stage, development of inhibitory synapses occurs mostly postnatally. Correct development of GABAergic synapses is critical to achieve an optimal E/I balance within a neural circuit, given that impairments in this process are associated with a wide spectrum of neurodevelopmental disorders [2]. Although we focus here on the inhibitory role of GABAergic synapses, there is an important developmental stage during which these synapses are excitatory, and GABA's role as an excitatory neurotransmitter has been implicated in various seizure conditions in juveniles and adults [38]. Interested readers are referred to several excellent reviews covering this important topic [39, 40].

GABAergic synapse development is regulated by both neuronal activity-independent and dependent mechanisms, but these processes are interdependent and should not be considered in isolation [41]. Similar to glutamatergic synapses, the initial formation of GABAergic synapses is thought to be independent of neuronal activity, occurring through elaborate cell– cell recognition processes mediated by transmembrane cell adhesion molecules such as neurexin (NRXN) and neuroligin (NLGN) family members [42]. NRXNs are thought to be predominantly presynaptic proteins mediating the formation of specializations that contribute to neurotransmitter release; NLGNs are postsynaptic NRXN ligands. Together they form complexes, with specific isoforms localizing to excitatory or inhibitory synapses [42]. Complexes containing NLGN2 are mainly found at GABAergic synapses [43] and mutations in *Nlgn2* cause defective inhibitory synapse development and aberrant inhibitory transmission [42, 44].

Several mutations in *NRXN1*, *NLGN3*, and *NLGN4* have been linked to autism [45–47]. Importantly, mouse models mimicking the human mutations in *NLGN3* and *NLGN4* produce autism-like phenotypes such as impaired social interaction and communication [48, 49]. It is particularly interesting to note that knock-in mice with an Arg451 to Cys substitution in NLGN3, identified in two brothers with ASDs [45], have increased inhibitory synaptic transmission with no obvious changes in excitatory transmission [48]. There are conflicting reports regarding whether these mutant mice exhibit autistic behaviors similar to human patients [48, 50]; nevertheless, it is clear that these mice display at least some degree of developmental and behavioral abnormality, which might result from a GABAergic system impairment.

In addition to the NRXN–NLGN pair, NRG1 and its receptor ErbB4 were recently shown to be important regulators of GABAergic synapse development and, as mentioned earlier, have been repeatedly identified as risk genes for schizophrenia [51]. ErbB4 expression is enriched in PV-positive basket and chandelier cells and contributes to the formation of inhibitory synapses on pyramidal neurons, as well as excitatory synapses on PV inhibitory neurons [52, 53]. These findings suggest that the NRG1–ErbB4 complex has an important role in regulating inhibitory drive, the alteration of which is a defining hallmark of schizophrenia [54]. This might indicate a critical role for the GABAergic system in schizophrenia etiology.

FGF7, a member of the fibroblast growth factor family, and its receptor FGFR2, were recently added to the limited number of receptor–ligand complexes known to function selectively at GABAergic synapses [55]. Expressed in CA3 pyramidal neurons, FGF7 regulates GABAergic synapse development in this region of the hippocampus by selectively promoting the organization of GABAergic presynaptic terminals. *Fgf7*-deficient mice are prone to epileptic seizure, presumably as a result of reduced inhibition [55]. Whether the FGF signaling pathway is affected in epileptic patients has not been examined.

Although the receptor–ligand complexes we have discussed play an important role in creating GABAergic synapses, neuronal activity is critical for their further maturation [41]. For example, postnatal development of inhibitory synapses in brain regions such as the primary sensory cortex is modified by neuronal activity and sensory experience [56, 57]. This positions inhibition to mediate neural circuit development based on experience, but the molecular and cellular mechanisms underlying this process are largely unknown. A recent report showed that the transcription factor neuronal PAS domain protein 4 (NPAS4) plays a critical role in the activity-dependent regulation of inhibitory synapse formation [58]. NPAS4 is rapidly induced by excitatory synaptic activity and regulates a genetic program that triggers the formation and/or maintenance of inhibitory synapses on excitatory neurons. *NPAS4* itself has not been associated with any diseases, but one of its potential transcriptional targets, the $(Na^+, K^+)/H^+$ exchanger *NHE9*, is deleted in some autistic patients [59]. Although it is not clear whether *NHE9* mutations result in reduced levels of inhibition, *NHE9* deficiency often leads to co-morbid epilepsy, suggesting that the genetic program downstream of NPAS4 might contribute to E/I balance.

A genome-wide screen for NPAS4 targets identified several genes with known roles in inhibitory synapse formation, including brain-derived neurotrophic factor (*BDNF)* [58]. Although the role of BDNF in central nervous system (CNS) function seems to be quite broad [60], its function as a mediator of inhibitory synapse formation and maintenance is well documented [57, 61–63]. BDNF is highly regulated by activity and is considered to be a major player in activity-dependent development of GABAergic synapses. Supporting this hypothesis, recent work showed that genetically abolishing the function of one of BDNF's activity-dependent promoters, promoter IV, leads to an impairment of GABAergic synapses, but no change in excitatory synapses, in cortical areas [51, 52].

Impaired BDNF function has been implicated in several neurodevelopment disorders [64]. Remarkably, knock-in mice expressing a mutant version that harbors a common singlenucleotide polymorphism (SNP), Val66Met, recapitulated anxiety-related behavioral phenotypes seen in humans who have the same SNP [65], making this one of the most exciting mouse models of human neurological disorders. However, the mechanism by which the polymorphism gives rise to the disease remains unknown; it would be interesting to examine whether the GABAergic system is affected in this mouse model.

Impact of the GABAergic system on neural circuit function

The ability of neural circuits to modify their connections based on changing external inputs is thought to underlie core processes such as learning and memory [66]. This modification is usually measured by changes in excitatory synaptic transmission, which can exhibit plasticity such as long-term potentiation (LTP) and depression (LTD) [66]. Until recently, the contribution of inhibition to these processes was relatively unknown, but it is becoming evident that altered inhibitory function can profoundly impair excitatory synaptic transmission and subsequent circuit plasticity [67–70]. For example, the onset of visual cortex plasticity can be accelerated or delayed by respectively increasing or decreasing inhibitory transmission [71]. In this section we will highlight several diseases that have recently been shown to involve aberrant inhibitory transmission to show how alterations in the GABAergic system can profoundly affect neural circuit function (Fig 1).

Down syndrome, a triplication of chromosome 21 that results in an extra copy of approximately 300 genes, is the most common aneuploid disorder leading to mental retardation [72]. It is not known which genes in the duplicated region are responsible for the disease pathology. There are several mouse models of Down syndrome, the best characterized being the Ts65Dn line which is trisomic for a large region of chromosome 16 (homologous to human chromosome 21) [73]. These mice exhibit a variety of behavioral deficits that are consistent with learning and memory impairment [70, 73, 74] (Table 1). In addition to structural abnormalities at synapses [75], electrophysiological recordings from the dentate gyrus (DG) of Ts65Dn mice show increased inhibitory transmission and enhanced feedback inhibition, with no change in excitation. Furthermore, these mice exhibit impaired DG LTP [67, 70]. These findings suggest that the plasticity and behavioral impairments seen in the Ts65Dn line could result from an altered E/I balance due to enhanced inhibition.

Neurofibromatosis type 1, caused by mutations in *NF1*, is characterized by similar increases in inhibition that potentially contribute to plasticity and behavioral impairments [68]. In humans, *NF1* mutations in lead to deficits in learning and memory, attention, and visuospatial tasks [76]. These phenotypes have been successfully recapitulated in a mouse model of neurofibromatosis in which one copy of *Nf1* is deleted (Table 1) [68, 77]. At the synaptic level, *Nf1* mutant mice exhibit an overall increase in inhibitory synaptic transmission and no change in excitatory synaptic transmission. Possibly as a consequence of heightened inhibition, these mice exhibit attenuated excitatory synaptic plasticity as measured by hippocampal LTP [68, 77]. Remarkably, deleting one copy of *Nf1* from inhibitory neurons, but not excitatory neurons or glial cells, is sufficient to reproduce the inhibitory transmission, LTP, and behavioral phenotypes seen in the global *Nf1* mutant, suggesting that dysfunction of the GABAergic system is responsible for the cognitive phenotypes seen in neurofibromatosis [68]. It is believed that the loss of NF1 leads to hyperactive Ras-extracellular signal-regulated kinase (ERK) signaling, which causes an increase in GABA release and a suppression of the plasticity required for cognitive function (Fig 1) [68]. Consistent with this model, reducing Ras activity, either genetically or pharmacologically, leads to amelioration of the behavioral and plasticity impairments in *Nf1* mutant mice [77].

Down syndrome and neurofibromatosis mouse models provide examples of disease states that feature excessive levels of inhibition. Decreases in inhibition are also associated with neurodevelopmental disorders. One of the most consistent observations in schizophrenia is a decreased inhibitory drive on to glutamatergic neurons [54]. Postmortem studies of schizophrenia patients show decreases in NMDA (N-Methyl-D-aspartic) receptor expression on PV neurons [78, 79], which would result in a decreased inhibitory drive, decreases in

overall GABA levels, as well decreases in levels of GABA-producing enzymes GAD65 and GAD67 (65 kDa and 67 kDa isoforms of gluatmic acid decarboxylase), as well as other presynaptic components of the GABAergic system [80].

Several behavioral and molecular (decreased GAD67 and PV expression) phenotypes similar to those seen in schizophrenic patients have been reported in mice in which NMDA receptor subunit 1 (*Nr1)* is deleted in GABAergic neurons, resulting in decreased inhibition in the cortex and hippocampus (Table 1) [81]. Similarly, the deletion of *ErbB4* from hippocampal PV-positive GABAergic neurons (PV-ErbB4), which results in impaired inhibitory synapse formation [52], produced schizophrenia-like phenotypes and impaired GABAergic modulation of hippocampal LTP [82, 83]. Treatment of the PV-ErbB4 mutant mice with diazepam, a GABA agonist, ameliorated some of the behavioral deficits, suggesting that impairment of inhibition might contribute to the behavioral phenotypes [83].

Despite the range of cognitive and behavioral phenotypes seen in Down syndrome, neurofibromatosis, and schizophrenia, the studies described here strongly support the idea that deficits in the GABAergic system could be a common underlying factor contributing to the etiology of these diseases. However, these observations are mostly limited to mouse models and further investigation is required to establish these links in human patients. Additionally, before applying these findings in the clinical setting, it will be necessary to determine whether changes in GABAergic system are the primary pathological cause of the disease or merely a secondary outcome of the disease process.

Concluding remarks and future perspectives

It is clear from the studies we have reviewed here that altering the level of inhibition can have profound effects on circuit function. Although the various disease states we have considered are different in their causes and phenotypes, a common theme is the improper regulation of inhibitory function. Any perturbation in the development of the GABAergic system, from the generation and migration of these neurons to the formation and refinement of their synaptic connections, can lead to severe neurological impairment. Furthermore, selectively modulating the function of susceptibility genes such as *MeCP2*, *NF1*, and *ErbB4* in GABAergic neurons can be sufficient to cause deficits previously seen in global knockouts. As a consequence of having a basic understanding of the general mechanisms mediating GABAergic development, there is potential for generating targeted and specific therapeutic interventions.

Several recent studies have used the transplantation of GABAergic neuron precursors (from embryonic MGEs) as a strategy to increase inhibition [84–87]. MGE precursors transplanted into the postnatal mouse brain mature into inhibitory neurons that effectively increase inhibition within preexisting circuits [84]. This procedure is sufficient to induce ocular dominance plasticity after the closing of the critical period, even at ages when pharmacological augmentation of inhibition is insufficient to induce this type of plasticity. [71, 87]. These findings suggest that the developmental program of inhibitory neurons can be exploited to regulate and induce plasticity in visual cortex. Furthermore, transplantation of MGE precursors into the postnatal brain has shown promise in suppressing seizures in mouse models of epilepsy [85, 88] and improving motor impairment in rodent models of Parkinson's disease [86]. Although it is still in its early days, transplantation of inhibitory neuron precursors may provide a novel therapeutic intervention to treat neural circuit impairment by modulating levels of inhibition.

Pharmacological modulation of GABAergic synapses has also been shown to restore circuit plasticity [68, 70, 74]. Several groups have shown a possible causal relationship between the regulation of inhibition and impaired neural circuit function. For example, treatment of the

 $Nf1$ mutant mouse with a subthreshold dose of a $GABA_A$ antagonist rescues both the behavioral and plasticity deficits [68]. This finding is particularly significant because NF1 is a developmental disorder, yet the cognitive impairments can be reversed in adult mice with acute modulation of the GABAergic system. Similarly, in the mouse model of Down syndrome, chronic treatment with GABA_A antagonists improved both plasticity and memory [67, 70, 74]. These studies show that pharmacological modulation of the GABAergic system could be a useful treatment for a number of neurodevelopmental disorders. Studying how specific GABAergic regulators (Figure 1) modulate inhibition should help to identify targets for specific therapeutic interventions.

In conclusion, we note that our understanding of the GABAergic system is still in its infancy. The advent of new molecular tools will provide the ability to further examine and modulate the GABAergic system with exquisite specificity. This will surely lead to a greater understanding of the GABAergic system, thereby providing key insights into disease states and their potential treatments.

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Glossary

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Figure 1. Sites of action of molecules implicated in neurodevelopment disorders

The figure shows a pair of interconnected pyramidal (orange) and GABAergic (blue) neurons. The pyramidal neuron also receives an excitatory input from another excitatory neuron (green). Details of the nuclei of the neurons and synapses formed between them are shown, according to their color codes, in expanded boxes. Depending on their subcellular localizations, disease-relevant molecules and pathways are depicted in the boxes and related disorders indicated next to them. (i) Excitatory synapse formed on a pyramidal neuron. Mutations in *NRNX1A* and *NLGN4* have been reported in autistic patients; mutant mice exhibit similar phenotypes[42, 89, 90]. (ii) Nucleus of a pyramidal neuron. Suppression of *FMR1* expression leads to Fragile X disease, whereas triplication of chromosome 21 leads to Down Syndrome. *MeCP2* mutations cause alterations in gene expression (e.g. BDNF) contributing to Rett Syndrome, an autism spectrum disorder. [73, 93, 107]. (iii): Excitatory synapse formed on an inhibitory neuron. Alterations in NRG1–ErbB4 complexes, as well as NMDA receptor function, have been reported in schizophrenic patients and produce schizophrenia-like phenotypes in mutant mice[52, 81, 108]. (iv): Nucleus of an inhibitory neuron. Mouse models with selective impairment in *Mecp2* function in inhibitory neurons produces Rett syndrome-like phenotypes and a decrease in GABA transmission[96]. (v): Inhibitory synapse on a pyramidal neuron. Selective deletion of *Nf1* from GABAergic neurons results in hyperactive GABA release. Mutations in *NLGN3* have been reported in autistic patients, and mutant mice exhibit enhanced inhibitory transmission and autistismlike phenotypes. Deletion of *ErbB4* in inhibitory neurons results in a decrease in the number of GABAergic synapse on pyramidal neurons and produces schizophrenia-like phenotypes in mouse models. Impairments in the GABAergic system seem to be a major factor in several seizure disorders[48, 52, 68, 101].

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*a*Knock-out

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 b vesicular inhibitory amino acid transporter *b*vesicular inhibitory amino acid transporter

 $\stackrel{c}{\epsilon}$ protein phosphatase 1, regulatory (inhibitor) subunit 2 $\mathcal C$ protein phosphatase 1, regulatory (inhibitor) subunit 2

 $d_{\rm disrupted\ in\ schizophrenia\ 1}$ d _{disrupted in schizophrenia 1}

 e potassium voltage-gated channel, KQT-like subfamily member *e*potassium voltage-gated channel, KQT-like subfamily member