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Citation: Guo, Alex, and Jesus A. del Alamo. "Positive-Bias Temperature Instability (PBTI) of GaN MOSFETs." 2015 IEEE International Reliability Physics Symposium (April 2015).

As Published: http://dx.doi.org/10.1109/IRPS.2015.7112770

Publisher: Institute of Electrical and Electronics Engineers (IEEE)

Persistent URL: http://hdl.handle.net/1721.1/102322

Version: Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

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Positive-Bias Temperature Instability (PBTI) of GaN MOSFETs

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Abstract — We have investigated the stability of the gate stack of GaN n-MOSFETs under positive gate stress. Devices with a gate dielectric that consists of pure SiO2 or a composite SiO2/Al2O3 bilayer were studied. Our research has targeted the evolution of threshold voltage (V_T) , subthreshold swing (S)and transconductance (gm) after positive gate voltage stress of different duration at different voltages and temperatures. We have also examined the recovery process after the stress is removed. We have observed positive V_T shift (ΔV_T) in both gate dielectrics under positive gate stress. In devices with a SiO₂ gate oxide, we have found that ΔV_T is caused by a combination of electron trapping in pre-existing oxide traps and interface trap generation. In devices with a composite SiO₂/Al₂O₃ gate oxide, on the other hand, ΔV_T is due to electron trapping in pre-existing oxide traps and generation of near interface oxide traps.

Keywords-component; GaN; MOSFETs; BTI; V_T shift

I. INTRODUCTION

GaN power transistors represent a promising alternative to Si devices for power switching applications [1]. Compared to other semiconductor systems, GaN-based materials offer superior characteristics and performance under high-frequency and high-temperature conditions [2-4]. Recently, GaN-high electron mobility transistors with insulated-gate (MIS-HEMTs) have attracted much attention because they offer high current, high breakdown voltage and low gate leakage current, all desirable attributes for power transistors [5-7]. On the other hand, GaN MIS-HEMTs face significant reliability and stability challenges. Among them, instability of the electrical characteristics, in particular V_T , after prolonged high voltage stress at high temperature is a serious problem [8-11].

The problem of V_T instability is not unique to GaN transistors. This phenomenon has been intensively studied in Si, SiC and various III-V MOS systems where it is sometimes referred to as bias-temperature instability (BTI) [12-18]. In Si n-channel MOSFETs with high-k gate dielectric, Positive Bias Temperature Instability (PBTI) is known to be caused by electron trapping in pre-existing oxide traps that causes a positive V_T shift [12, 13]. Negative-bias temperature instability (NBTI) in p-channel MOSFETs is related to interface state generation and hole trapping in the gate dielectric [14]. In SiC MOSFETs, periodic V_T shifts under alternating positive and negative gate stress have been observed and attributed to electron tunneling in and out of near-interface oxide traps [15]. InGaAs n-MOSFETs with a high-k dielectric also have shown positive V_T shifts after positive gate stress. This is believed to

be due to pre-existing oxide traps and electron trap generation near the oxide/InGaAs interface [16-18].

For GaN MIS-HEMTs, a few studies have reported V_T shifts due to PBTI [8-10]. However, its origin is not well understood. This is partly due to the complicated nature of the gate stack of a MIS-HEMT which contains several interfaces.

To better understand the mechanisms responsible for V_T shift under PBTI, a GaN MOSFET structure is desirable because of its single oxide/GaN interface. In [11], the V_T instability under PBTI in GaN MOSFETs with a SiN gate dielectric was studied. This work revealed the importance of the conduction band discontinuity at the oxide-semiconductor interface. However, the study did not include an evaluation of the changes in the subthreshold swing nor the transconductance of the device. These factors are important in themselves and greatly illuminate the relevant physics that affect the stability of V_T .

In this work, we examine the dynamics of V_T , S and g_m in GaN MOSFET before, during and after stress. This allows us to isolate and identify different mechanisms for V_T shift. We examine two different gate dielectrics: SiO₂ and a SiO₂/Al₂O₃ composite.

II. EXPERIMENTAL

A. Device structure

The devices studied in this work are sketched in Fig. 1 (not to scale). This is a simple recessed-gate structure with an intrinsic gate stack that consists of either 50 nm of SiO₂ or 40 nm EOT composite SiO₂/Al₂O₃ dielectric (Al₂O₃ next to semiconductor). The devices have channel width/length of 100 μ m/1 μ m. The standard figures of merit in the saturation regime (V_{DS} = 10 V) are for SiO₂ devices: V_T ~ -1.0 V, S ~ 175 mV/dec, g_{m_max} ~ 20 mS/mm; for SiO₂/Al₂O₃ devices: V_T ~ -0.7 V, S ~ 170 mV/dec, g_{m_max} ~ 30 mS/mm.



Figure 1. GaN MOSFET structure studied in this work.

In this study we focus our interest on the stability of the threshold voltage (V_T, defined at drain current $I_D = 1 \mu A/mm$), the subthreshold swing (S, defined at $I_D = 0.1 \ \mu A/mm$) and the maximum transconductance (gm,max) in the linear region (defined at $V_{DS} = 0.1$ V). Virgin devices used in this work exhibit values of $V_T = 0.06$ V with a standard deviation of 0.096 V, S = 110 mV/dec, $g_{m,max}$ = 0.65 mS/mm for SiO₂ MOSFETs and $V_T = 0.16$ V with a standard deviation of 0.06 V, S = 100 mV/dec, $g_{m,max}$ = 0.56 mS/mm for SiO₂/Al₂O₃ MOSFETs. Fig. 2 shows the subthreshold and transconductance characteristics at different temperatures of SiO₂ and SiO₂/Al₂O₃ transistors in the linear regime ($V_{DS} = 0.1$ V).



Figure 2. Subtreshold and transconductance characteristics of (a) SiO_2 and (b) SiO_2/Al_2O_3 GaN MOSFETs in the linear regime ($V_{DS} = 0.1$ V) at different temperatures.

B. Experiment flow

In our studies, we first developed a benign characterization scheme that is used to characterize the devices before, during and after stress experiments. It consists of an I_D-V_{GS} sweep at $V_{DS} = 0.1$ V that starts at $V_{GS} = -0.5$ V and stops at I_D = 1 μ A/mm. From this we extract V_T and the subthreshold swing (S), as defined above. This method is benign as proven by minor changes in device characteristics after 100 sweeps ($\Delta V_T < 30$ mV, $\Delta S < 20$ mV/dec).

In a typical experiment, a device is first "initialized" and then characterized. The device initialization process consists of flushing the device for 5 minutes under microscope light, followed by thermal detrapping (we use a single set of thermal detrapping conditions throughout this work). Initialization typically results in a small change in device characteristics $(\Delta V_T < 30 \text{ mV})$. This step helps us create a "stable" and reproducible initial state for the device which we use as a reference for subsequent stress/recovery experiments. After initialization, we characterize the device with a complete I_D- V_{GS} sweep at $V_{DS} = 0.1$ V that starts at $V_{GS} = -0.5$ V and stops at $V_{GS} = 3$ V. From this sweep we extract the initial V_T , S and $g_{m,max}$. Next, the thermal detrapping step is repeated. We then re-measure the device with a shorter, benign I_D -V_{GS} sweep as described in the previous paragraph to confirm that the device characteristics are stable. At this point, the stress experiments can start.

The PBTI stress phase consists of a series of stress segments of increasing length, t_{stress} , during which the device is subject to a constant positive gate stress ($V_{GS,stress}$), with the source, drain and substrate grounded. $V_{GS,stress}$ between 5 and

15 V and t_{stress} between 10 and 10,000 seconds have been studied at -40°C, room temperature (RT) and 75°C. Immediately after each stress segment, the evolution of V_T and S are tracked through repeated I_D -V_{GS} sweeps that are performed for ~1,000 sec. The first reading comes 1 to 2 sec after the stress is stopped. At the end of each stress segment, the device is reinitialized using thermal detrapping and a complete characterization is performed. To confirm that the thermal detrapping is effective and complete, in several cases we have periodically remeasured a stressed and thermally detrapped device after storage at room temperature of various lengths of time. We have not observed any significant additional recovery of V_T within 10^7 s. We then consider the residual ΔV_T , ΔS and Δg_{m_max} after thermal detrapping permanent. In a typical sequence, the same device is used starting from the lowest $V_{GS,stress}$ and shortest t_{stress} . If permanent damage occurs after a stress step, i.e., V_T and S are not restored to their initial values after thermal detrapping, we switch to а new device with well-matched initial characteristics.

In a separate set of experiments, we use a fresh collection of devices to study the behavior of $g_{m,max}$. We perform similar stress and recovery experiments but immediately after each stress segment we perform a one-time, downward sweep of I_{D} - V_{GS} in the linear region ($V_{DS} = 0.1$ V) that starts at $V_{GS} = 5$ V and stops at $V_{GS} = -0.5$ V. Because $V_{GS,stress}$ is at least 5 V, this sweep should not introduce additional damage to the device. Since the maximum transconductance point tends to occur between V_{GS} =2.5 and 4 V, this sweep yields a measurement of $g_{m,max}$ in the linear regime.

III. RESULTS

A. Positive gate stress at room temperature (RT)

Fig. 3 (a) shows the stress time evolution of ΔV_T under positive gate stress for SiO₂ and SiO₂/Al₂O₃ GaN MOSFETs at RT. Data points are extracted 1 sec after the stress is stopped. For both dielectrics, we observe positive ΔV_T that increases with t_{stress} and V_{GS,stress}. The composite dielectric generally shows more V_T shift than the pure SiO₂ gate. The evolution of ΔS is shown in Fig. 3 (b). For the SiO₂ devices, we see minimal change in S for V_{GS,stress} = 5 and 10 V, but a clear increase for V_{GS,stress} = 15 V. For the SiO₂/Al₂O₃ device, we see minimal change in S at all V_{GS,stress}.

Fig. 4 shows the dynamics of V_T and S recovery at RT after $V_{GS,stress} = 5$ V and 15 V for $t_{stress} = 10,000$ s. We observe partial ΔV_T recovery and no ΔS recovery

Introducing a final detrapping step following the recovery phase allows us to assess the introduction of permanent damage during the stress phase. The open symbols in Figs. 3 and 4 show final values of ΔV_T and ΔS after 10,000 sec stress segments followed by thermal detrapping. The devices experience a nearly complete recovery after 5 V stress, but only partial recovery after 15 V stress. Notably, ΔS after 15 V stress did not recover at all in the SiO₂ MOSFETs. I_D-V_{GS} curves before stress and after stress and thermal detrapping for V_{GS_stress} = 15 V are shown in Fig. 5.

In general, in all studied devices, we find no significant permanent V_T shift after $V_{GS,stress} \leq 10$ V, but a permanent component for $V_{GS,stress} = 15$ V. For the SiO₂/Al₂O₃ devices,

This work is sponsored in part by a United States National Defense Science & Engineering Graduate Fellowship.

we observe no significant permanent S degradation. For SiO_2 devices, S degrades after 15 V stress and is not recoverable. This also suggests the introduction of permanent damage to these devices.



Figure 3. (a) Stress time evolution of $\Delta V_{T_{c}}$ (b) stress time evolution of ΔS , for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs stressed at three different voltages at room temperature. Data points are taken 1 sec after the stress. The last set of points (open symbols) are ΔV_{T} and ΔS after thermal detrapping following a stress experiment with t_{stress} = 10,000 s.



Figure 4. Recovery of ΔV_T and ΔS after a 10,000 sec stress at RT for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs at V_{GS,stress} = 5 and 15 V. The last set of points (open symbols) are ΔV_T and ΔS after thermal detrapping following the recovery phase.

During each stress segment, we also monitor the evolution of the gate current (I_G). Fig. 6 shows I_G as a function of stress

time for $t_{stress} = 10,000$ sec experiments. I_G tends to slightly decrease with stress time. This is consistent with other studies on charge trapping in pre-existing oxide traps. It also indicates that no new trap generation is occurring [19].

Fig. 7 shows the evolution of $g_{m,max}$ degradation $(\Delta g_{m,max}/g_{m,max}) \sim 1$ sec after stress (closed symbols) and after subsequent thermal detrapping (open symbols) in a separate set of experiments from those of Figs. 2-6. For both dielectrics, we see higher $g_{m,max}$ degradation with higher $V_{GS,stress}$ and longer t_{stress} . At $V_{GS,stress} = 5$ V, g_m degradation is minimal. After thermal detrapping, g_m completely recovers in SiO₂ MOSFETs, and only partially recovers in SiO₂/Al₂O₃ MOSFETs.



Figure 5. Room temperature subthreshold and transconductance characteristics before and after $V_{GS_stress} = 15$ V stress followed by thermal detrapping (TD) of (a) SiO₂ and (b) SiO₂/Al₂O₃ GaN MOSFETs in the linear regime ($V_{DS} = 0.1$ V).



Figure 6. Stress time evolution of I_G for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs stressed at three different voltages at room temperature.



Figure 7. Stress time evolution of $\Delta g_{m,max}/g_{m,max0}$ for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs stressed at three different voltages at room temperature. Data points are taken ~1 sec after the stress is stopped. The last set of points (open symbols) are $\Delta g_{m,max}/g_{m,max0}$ after thermal detrapping following a t_{stress} = 10,000 s stress experiment.



Figure 8. Stress time evolution of (a) ΔV_T and (b) ΔS for SiO₂ vs SiO₂/Al₂O₃ GaN MOSFETs at different T. V_{GS,stress} = 10 and 15 V. Data points are taken 1 sec after the stress. The last set of points (open symbols) are ΔV_T and ΔS after a thermal detrapping step that follows stress experiments with t_{stress} = 10,000 s.

B. Impact of stress temperature

We have also studied the role of temperature during electrical stress. Fig. 8 shows ΔV_T and ΔS for $V_{GS,stress} = 10$ and 15 V as a function of stress time at different temperatures. The stress and recovery phases take place at the same temperature. For SiO₂, ΔV_T and ΔS increase with T, and the increase is more prominent at 75°C. On the other hand, the effect of T is small for SiO₂/Al₂O₃ transistors at V_{GS_stress} = 10 V, while at V_{GS_stress}

= 15 V, it becomes more prominent. However, this T dependence is less than in SiO_2 transistors.

The recovery dynamics of V_T and S after $V_{GS,stress} = 10$ V and 15 V at different T are graphed in Fig. 9. The recovery time constants of both SiO₂ and SiO₂/Al₂O₃ devices appear rather independent of temperature. The final values of ΔV_T and ΔS after thermal detrapping for t_{stress} = 10,000 sec at various T are marked as open symbols (also summarized in Fig. 8 (open symbols)). Device characterization after thermal detrapping is made at the same temperature as the stress and recovery phases of the experiment.

For $V_{GS,stress} = 10$ V, after thermal detrapping, ΔV_T mostly recovers for the SiO₂/Al₂O₃ transistors at all T. The SiO₂ transistors recover for T \leq RT and only partially recover for T = 75°C. Also at T = 75°C, S exhibits some permanent damage for SiO₂ transistors. The SiO₂/Al₂O₃ transistors, on the other hand, exhibit minor permanent damage in S.

For $V_{GS,stress} = 15$ V, after thermal detrapping, ΔV_T partially recovers for all transistors at all T. For the SiO₂ transistors,



Figure 9. Recovery of (a) ΔV_T and (b) ΔS after a 10,000 sec stress at $V_{GS,stress} = 10$ and 15 V for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs at - 40°C, RT and 75°C. The last set of points (open symbols) are ΔV_T and ΔS after subsequent thermal detrapping step.

there is more recovery for $T \leq RT$ than for $T=75^{\circ}C$. Also for SiO₂ transistors, S exhibits permanent damage at all T, and the permanent damage increases with T. The SiO₂/Al₂O₃ transistors again exhibit small permanent S damage. Again, I_G during stress tends to slightly decrease with stress time (not shown here).

IV. DISCUSSION

A. Mechanisms responsible for V_T shift under PBTI

Our experiments allow us to postulate the mechanisms responsible for PBTI in GaN MOSFETs. Under benign stress ($V_{GS,stress} \leq 10 \text{ V}, \text{ T} \leq \text{RT}$), the V_T shift is consistent with



Figure 10. Final values of (a) ΔV_T and (b) ΔS after thermal detrapping vs. stress time for SiO₂ vs. SiO₂/Al₂O₃ GaN MOSFETs stressed at three different voltage at room temperature.



Figure 11. Evolution of final values of ΔV_T vs. ΔS for SiO₂ transistors after $V_{GS,stress} = 15$ V at different temperatures. All measurements were taken at room temperature after a thermal detrapping step.

electron trapping in pre-existing oxide traps which is characterized by a recoverable ΔV_T . We conclude this from Figs. 8(a) and 9(a) that show ΔV_T 1 sec after different stress times. Within such a short time, little recovery takes place (Fig. 9 shows long recovery time constant). Stress time evolution of V_T follows a saturating log-time dependence, as observed in other MOS systems [15-17,19]. The slight decrease in I_G also indicates that no additional traps are generated in the oxide bulk [19].

Under harsher stress (V_{GS,stress} = 15 V), there is an additional permanent V_T shift for both gate dielectrics. Fig. 10 summarizes the final ΔV_T and ΔS after thermal detrapping for each stress segment at room temperature. Notably, SiO₂ exhibits a significant permanent increase in S that suggests the generation of interface states. This is clearer in Fig. 11 that graphs the correlation between the final values of ΔV_T and ΔS after thermal detrapping following electrical stress at V_{GS,stress} = 15 V. We see a strong linear correlation between the two. The proportionality constant seems to depend on T. Subthreshold swing degradation under PBTI has also been reported in Al₂O₃/InGaAs and HfO₂/GaAs MOSFETs [18,20]. In our composite oxide samples, the permanent degradation of S is minor and a clear correlation with ΔV_T does not emerge.

An additional interesting observation in our work is that the evolution of $g_{m,max}$ and V_T also seem correlated. This can be seen in Fig. 12 that graphs $\Delta g_{m,max}/g_{m,max0}$ as a function of ΔV_T after different stress periods for both dielectrics at room temperature. With the exception of long t_{stress} in SiO₂/Al₂O₃ transistors, we observe a strong linear correlation between



Figure 12. Evolution of $(\Delta g_{m,max}/g_{m,max0})$ vs. ΔV_T for (a) SiO₂ and (b) SiO₂/Al₂O₃ transistors during stress experiments of various durations at room temperature. The open symbols correspond to measurements after a thermal detrapping step following stress experiments with t_{stress} = 10,000 s.

 $\Delta g_{m,max}/g_{m,max0}$ and ΔV_T at all $V_{GS,stress}$ for both dielectrics. This suggests that ΔV_T mostly originates in charge trapping in the oxide near the oxide/GaN interface or at the interface itself in interface states. Both are known to affect the mobility [17, 22]. For long stress times, trapping further away from the interface eventually takes place producing an additional V_T shift without a corresponding decrease in $g_{m,max}$.

 $\Delta g_{m,max}/g_{m,max0}$ vs. ΔV_T after thermal detrapping following stress experiments with t_{stress}=10,000 s are also reported in Fig. 12 (open symbols). We see permanent degradation of $\Delta g_{m,max}/g_{m,max0}$ for the SiO₂/Al₂O₃ transistors after V_{GS,stress} = 15 V, which suggests new trap generation in the oxide near the oxide/GaN interface that causes permanent mobility degradation [17, 23, 24]. Because these trap sites are far from the gate metal, no significant changes are observed in the evolution of I_G. This oxide trap generation close to the oxide/GaN interface also explains the incomplete recovery after thermal detrapping of V_T for the SiO₂/Al₂O₃ transistor after V_{GS,stress} = 15 V that is apparent in Figs. 3(a), 8 and 12.

B. Model

The observations that we have made here are largely consistent with similar experiments on Si, SiC and III-V MOSFETs [15-18]. ΔV_T appears to take place due to two mechanisms. One component, ΔV_{Tox} , arises from electron trapping in the oxide. A second component, ΔV_{Tit} , which correlates to ΔS , originates in interface state generation and population.

We postulate that, to the first order, for SiO₂ MOSFETs, ΔV_{Tit} is the permanent portion of ΔV_T that is left after thermal detrapping. This hypothesis is supported by the linear dependence that we observe between the permanent portion of ΔV_T and of ΔS (Fig. 11) which strongly suggests the generation of interface states. Similar observation of interface state generation has been made in Si systems after radiation [25], and also in FinFETs [26] where the [110] Si surface has a much higher Si-H bond density compared to the [100] surface [27]. It is well known that hydrogen used to passivate dangling bonds at the oxide-semiconductor interface can escape leading to the creation of interface states [28]. The remainder of ΔV_T for the SiO₂ transistors is ΔV_{Tox} .

For SiO₂/Al₂O₃ MOSFETs, ΔV_{Tox} dominates and the permanent portion of ΔV_T is oxide trap generation close to the oxide/GaN interface, as observed in InGaAs n-MOSFETs [17]. The interface state generation in SiO₂/Al₂O₃ appears negligible, which is consistent with literature on high-K dielectrics [19].

With this interpretation, we find that ΔV_{Tox} in both transistor types follows a classic saturating log-time dependence, as observed in other MOS systems. The model of this power law relationship is described by [19]:

$$\Delta V_{Tox} = \Delta V_{max} \cdot \left\{ 1 - exp \left(- \left(\frac{t_{stress}}{\tau_0} \right)^{\beta} \right) \right\}$$
(1)

where ΔV_{Tox} is the V_T shift due to oxide trapping. ΔV_{max} is a function of total trap density and the centroid of the trap-charge distribution in space, β describes the trap energy distribution, and τ_0 is the time constant of the traps. For the SiO₂/Al₂O₃ system, an exponent $\beta = 0.22 \sim 0.25$ and $\tau_0 = 200$ s gives an excellent fit to the entire data set at all T (Fig. 13). For the SiO₂ system, $\beta = 0.25$ and $\tau_0 = 150$ s provides a reasonable match at

all T. The β values extracted here are close to those reported in the literature: $\beta = 0.2 \sim 0.32$ [15-18].

For $V_{GS,stress} = 15$ V in SiO₂ MOSFETs, there is an additional component of ΔV_T that we attribute to interface state generation (ΔV_{Tit}) [25]. As we can see in Fig. 11, there is a linear dependence between ΔV_{Tit} and ΔS which is precisely what is expected from a simple model [25].

For SiO₂/Al₂O₃ MOSFETs, there is also a non-recoverable ΔV_T component for $V_{GS,stress} = 15$ V. This permeant ΔV_T is accompanied by a non-recoverable $g_{m,max}$ degradation as shown in Fig. 7. This indicates permanent mobility degradation. Studies have attributed this to the generation of oxide traps close to the oxide/semiconductor interface [17,22].

V. CONCLUSIONS

In conclusion, we have studied PBTI of GaN MOSFETs with SiO₂ and SiO₂/Al₂O₃ as gate dielectric. For SiO₂ MOSFETs, a positive ΔV_T is caused by a combination of electron trapping in pre-existing oxide traps and interface trap generation. For SiO₂/Al₂O₃ MOSFETs, a positive V_T shift is



Figure 13. Model vs. experiments of ΔV_{Tox} evolution with stress time, for $V_{GS,stress} = 5$ V, 10 V, and 15 V, at different temperature.

due to trapping in the pre-existing oxide traps and oxide trap

generation near the oxide/GaN interface. Permanent damage after harsh stress is induced in both oxide systems but of a different nature. In SiO₂ transistors, non-recoverable interface state generation takes place. In SiO₂/Al₂O₃ MOSFETs, trap states in the oxide close to the semiconductor interface appear to be created. These findings are consistent with studies on other semiconductor material systems.

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