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**Citation:** Omta, Anne Willem, Bob W. Kooi, George A. K. van Voorn, Rosalind E. M. Rickaby, and Michael J. Follows. "Inherent Characteristics of Sawtooth Cycles Can Explain Different Glacial Periodicities." *Climate Dynamics* 46, no. 1–2 (April 16, 2015): 557–569.

**As Published:** <http://dx.doi.org/10.1007/s00382-015-2598-x>

**Publisher:** Springer Berlin Heidelberg

**Persistent URL:** <http://hdl.handle.net/1721.1/103540>

**Version:** Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

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# **Inherent characteristics of sawtooth cycles can explain different glacial periodicities**

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Received: date / Accepted: date

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1 **Abstract** At the Mid-Pleistocene Transition (MPT) about 1 Ma, the dominant  
2 periodicity of the glacial-interglacial cycles shifted from  $\sim 40$  to  $\sim 100$  kyr. Here,  
3 we use a previously developed mathematical model to investigate the possible  
4 dynamical origin of these different periodicities. The model has two variables, one  
5 of which exhibits sawtooth oscillations, resembling the glacial-interglacial cycles,  
6 whereas the other variable exhibits spikes at the rapid transitions. When applying  
7 a sinusoidal forcing with a fixed period, there emerges a rich variety of cycles with  
8 different periodicities, each being a multiple of the forcing period. Furthermore,  
9 the dominant periodicity of the system can change, while the forcing periodicity  
10 remains fixed, due to either random variations or different frequency components  
11 of the orbital forcing. Two key relationships stand out as predictions to be tested  
12 against observations:

- 13 1. The amplitude and the periodicity of the cycles are approximately linearly  
14 proportional to each other, a relationship that is also found in the  $\delta^{18}\text{O}$  tem-  
15 perature proxy.
- 16 2. The magnitude of the spikes increases with increasing periodicity and ampli-  
17 tude of the sawtooth. This prediction could be used to identify one or more  
18 currently hidden spiking variables driving the glacial-interglacial transitions.  
19 Essentially, the quest would be for any proxy record, concurrent with a dynam-  
20 ical model prediction, that exhibits deglacial spikes which increase at times  
21 when the amplitude/periodicity of the glacial cycles increases. In the specific  
22 context of our calcifer-alkalinity mechanism, the records of interest would be  
23 calcifer productivity and calcite accumulation. We believe that such a falsifi-

1 able hypothesis should provide a strong motivation for the collection of further  
2 records.

3 **Keywords** Sawtooth cycle · glacial-interglacial · Mid-Pleistocene Transition ·  
4 bifurcation · emergent phenomena

## 5 1 Introduction

6 Around 1 Ma, the dominant periodicity of the glacial-interglacial cycles length-  
7 ened from around 40 kyr to around 100 kyr, accompanied by an amplitude in-  
8 crease (Fig. 1). This so-called Mid-Pleistocene Transition (MPT) has been identi-  
9 fied by Crucifix (2012) as a particularly attractive test case for our understanding  
10 of the glacial-interglacial dynamics. Both before and after the MPT, the orbital cli-  
11 mate forcings with the highest amplitude have been precession, with a periodicity  
12 of  $\sim 20$  kyr, and obliquity, with a periodicity of  $\sim 40$  kyr; the  $\sim 100$ -kyr eccentricity  
13 component has always been much weaker (Imbrie et al., 1993). Although the am-  
14 plitudes of these forcings have been varying continuously, they did not consistently  
15 increase or decrease through the MPT (Berger and Loutre, 1991).

16 Most hypotheses to explain the MPT have focused on internal changes in the  
17 responding Earth system. It has been speculated that a gradual  $\text{CO}_2$  decrease  
18 throughout the Pleistocene culminated in the carbon cycle becoming unstable,  
19 leading to the large-amplitude, long-periodicity sawtooth cycles of the Late Pleis-  
20 tocene (Saltzman and Maasch, 1991), but the specific mechanism behind such an  
21 instability is unclear. Various models have assumed that deglaciations are trig-  
22 gered by insolation, after the ice volume or temperature has crossed a threshold  
23 value (Paillard and Parrenin, 2004; Huybers and Wunsch, 2005). The general cool-

1 ing due to a CO<sub>2</sub> decrease during the Pleistocene could have demanded a greater  
2 increase in the insolation to trigger a glacial-interglacial transition (Paillard, 1998;  
3 Berger et al., 1999). Therefore, the transitions would have started to occur only  
4 every 100 kyr. However, some of the glacial-interglacial transitions after the MPT  
5 occurred when insolation was relatively low (particularly Termination 5) and there  
6 does not appear to be a clear relationship between the timing of the deglaciations  
7 and the rate of change of insolation either (Denton et al., 2010). According to a  
8 hypothesis brought forward by Tziperman and Gildor (2003), the cooling climate  
9 would have pushed the system from a regime with a linear response to orbital  
10 forcing to a nonlinear regime with sawtooth cycles in land ice and spikes in sea  
11 ice (Gildor and Tziperman, 2001). Crowley and Hyde (2008) proposed that the  
12 MPT is a step towards a new stable climate state characterized by permanent  
13 mid-latitude Northern Hemisphere glaciation.

14 A different line of thought focuses on the internal dynamics of the Laurentide  
15 ice sheet covering North America during glacial times (Clark and Pollard, 1998).  
16 This ice sheet was first grounded, for the most part, on soft sediments, but gradual  
17 soil erosion allowed more of the ice sheet to be in direct contact with hard bedrock.  
18 It was hypothesized that this solid foundation then allowed the ice sheet to grow  
19 thicker and oscillate more slowly in the Late Pleistocene. Alternatively, the MPT  
20 could simply reflect the increasing importance of the Laurentide ice sheet. Ac-  
21 cording to Raymo et al. (2006), there was a dominant 41-kyr periodicity in marine  
22  $\delta^{18}\text{O}$  records before the MPT, because precession-driven variations in ice volume  
23 in the Northern and Southern Hemispheres cancelled each other. At the MPT,  
24 the Northern Hemisphere ice-sheet fluctuations would have become the primary  
25 control on Antarctic ice volume. Bintanja and van de Wal (2008) argued that the

1 Fennoscandian ice sheet in Northern Europe initially contributed most to the total  
2 Northern Hemisphere ice volume, with the Laurentide ice sheet taking over at the  
3 MPT. Ditlevsen (2009) stated that approximately between 800 ka and 1 Ma, the  
4 ‘deep glacial state’ hypothesized by Paillard (1998) became accessible resulting in  
5 a change in the length of the cycles. This seems consistent with an increase in  
6 the ice volume at peak glacial times around 900 kyr (Mudelsee and Schulz, 1997;  
7 Elderfield et al., 2012), but it remains unclear whether this increase in peak ice  
8 volume is the cause or the consequence of the MPT.

9       Some hypotheses to explain the MPT have focused on the orbital forcing. For  
10 example, Schulz and Zeebe (2006) pointed out that close to each of the last 7  
11 glacial-interglacial transitions, there was a relatively long period of time when  
12 insolation increased in both Northern and Southern hemispheres. This may have  
13 helped produce transitions with a large amplitude. Rial et al. (2013) suggested  
14 that the 100-kyr cycles emerged, because the climate system became frequency-  
15 modulated by the 413-kyr component of the eccentricity of the Earth’s orbit. This  
16 frequency modulation could lead to a power transfer from the 413-kyr to the 100-  
17 kyr band. Indeed, Rial et al. (2013) showed that over the past 2 Myr, the spectral  
18 power of the glacial cycles increases in the 100-kyr band, with a simultaneous power  
19 decrease in the 413-kyr band. However, it needs to be noted that the power increase  
20 in the 100-kyr band is orders of magnitude larger than the power decrease in the  
21 413-kyr band. Furthermore, Rial et al. (2013) provided no explanation why a 413-  
22 kyr frequency modulation should lead to a strong 100-kyr periodicity beyond that  
23 it must be due to a nonlinear mechanism. Nevertheless, the complexity of the Earth  
24 system is such that the Rial et al. (2013) mechanism cannot be excluded. In fact,  
25 this is only one example of the complex behavior of periodically forced systems.

1 Very simple forced systems, such as elementary ice-sheet and ecological models or  
2 even a pendulum, exhibit various bifurcations, phase locking, and chaos (le Treut  
3 and Ghil, 1983; Miles, 1988; Kuznetsov et al., 1992; Rinaldi and Muratori, 1993;  
4 Ghil, 1994; Mitsui and Aihara, 2014).

5 Huybers (2009) proposed that switches in the dominant periodicity of the cli-  
6 mate system may occur spontaneously, without a change in the system parameters.  
7 A key assumption behind the Huybers (2009) model is that the climate system  
8 possesses a memory: after a cold glacial period, the ice sheets should melt at a  
9 faster rate than after a relatively mild glacial. Although tantalizing, the memory  
10 hypothesis is not specific enough to be tested against observations. In this pa-  
11 per, we demonstrate that it is not necessary to impose an explicit memory on  
12 the system to obtain changes in the dominant periodicity without a change in the  
13 system parameters. Rather, we argue that such changes are an inherent feature  
14 of sawtooth-spike oscillations, which the glacial-interglacial cycles resemble. We  
15 use the previously developed calcifier-alkalinity model (Omta et al., 2013) as an  
16 illustrative example of a system exhibiting sawtooth-spike behavior. Within the  
17 context of this model, the rapid deglaciations and the different glacial periodicities  
18 need to be related to atmospheric  $\text{CO}_2$ . However, the key relationships may also  
19 apply to models based on ice dynamics, since the different periodicities appear  
20 inherent to externally forced sawtooth-spike cycles. In fact, Crucifix (2013) has  
21 demonstrated that various models for glacial-interglacial cycles can exhibit differ-  
22 ent periodicities when forced with the Berger (1978) insolation curve (although  
23 in very limited parameter ranges in some cases). The periodically forced calcifier-  
24 alkalinity system (briefly described in Section 2) displays cycles with short and  
1 long periodicities, akin to the glacial cycles before and after the MPT (Section 3),



that are investigated through a combination of numerical techniques (described in detail in Appendix A). To investigate whether these periodicities still emerge in a more realistic setup that includes vertical and horizontal structure, we have also performed two simulations with a more elaborate multi-box model (Appendix B); similar relationships are found as with the simplest formulation. In Section 4, we discuss the dynamical origin of the different periodicities and their potential relation to the MPT. We finish with our main conclusions, along with a suggestion how they may be falsified (Section 5).

## 2 Model

We use the calcifier-alkalinity model that has been described and discussed in detail in Omta et al. (2013); the model code, including brief instructions, has been attached as online Auxiliary Material. In its simplest version, the model consists of two differential equations for ocean alkalinity  $A$  and a calcifier population  $C$  (variables and parameter values listed in Table 1):

$$\frac{dA}{dt} = I - kAC \quad (1a)$$

$$\frac{dC}{dt} = kAC - MC \quad (1b)$$

16

Alkalinity  $A$  is added to the ocean at a fixed rate  $I$  through river runoff resulting from weathering and consumed by a population of calcifiers  $C$ , growing at an effective rate  $kA$  and sedimenting at a rate  $M$ . The autocatalytic process described in the set of equations (1) is sufficient to generate sawtooth-shaped oscillations in alkalinity. Alkalinity increases slowly due to a net weathering input, until a spike

6 in the number of calcifiers leads to a rapid alkalinity drop.<sup>1</sup> After the spike, there  
 7 is again a net alkalinity input and the cycle starts anew. For the processes consid-  
 8 ered in the model (silicate/calcite weathering, calcite production/sedimentation),  
 9 alkalinity input is associated with uptake of CO<sub>2</sub> from the atmosphere, while alka-  
 10 linity output is associated with release of CO<sub>2</sub> from the ocean to the atmosphere.  
 11 Thus, the modeled sawtooth cycles in alkalinity correspond to CO<sub>2</sub> variations with  
 12 the characteristic reverse sawtooth shape observed in ice-core records (Augustin  
 13 et al., 2004; Lüthi et al., 2008).

14 The eigenvalues of the Jacobian matrix of the model system are orders of  
 15 magnitude different which can lead to numerical instability when using forward  
 16 integration methods. We use the MATLAB solver `ode15s` specifically designed for  
 17 such ‘stiff’ problems. To further improve numerical stability, we introduce the  
 18 transformation  $P \equiv \ln C$ , so that the equations (1) become:

$$\frac{dA}{dt} = I - kAe^P \quad (2a)$$

$$\frac{dP}{dt} = kA - M \quad (2b)$$

19

1 Various regions of the ocean floor exhibit orbitally paced variations in calcite  
 2 content throughout the past 100 Myr (Herbert, 1997). As in Omta et al. (2013), we

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<sup>1</sup> The change in ocean alkalinity corresponding with the change in atmospheric CO<sub>2</sub> at a typical glacial-interglacial transition is around 0.1–0.2 mol eq/m<sup>3</sup>. To estimate an upper boundary on the needed alkalinity output, consider a very rapid glacial transition, occurring in 2000 years. Even then, the net alkalinity output rate would be about 10<sup>14</sup> mol eq/yr. This number does not seem too extreme, as Milliman et al. (1999) have estimated the current total calcite accumulation at the ocean floor to be about 2\*10<sup>13</sup> mol eq/yr.

3 therefore apply a periodic forcing to the reaction rate parameter  $k$  which directly  
 4 impacts the calcifier population growth rate:

$$k = k_0 \left( 1 + \alpha \cos\left(\frac{2\pi t}{T} + \theta\right) \right) \quad (3)$$

5 with  $k_0 = 0.05 \text{ yr}^{-1} (\text{mol eq/m}^3)^{-1}$ , forcing amplitude  $\alpha$  and phase angle  $\theta$ . If  
 6 not explicitly stated, we take  $\theta = 0$ . Although there exist orbital forcings with  
 7 various periodicities that could influence the dynamics, we start with a single  
 8 forcing period to facilitate a transparent analysis. In all simulations except one,  
 9 we use a period  $T = 20 \text{ kyr}$ , because observations from the Equatorial Indian  
 10 Ocean (Beaufort et al., 1997) have indicated particularly strong precessional cycles  
 11 in coccolithophore productivity over the past 900 kyr. In Beaufort et al. (1997),  
 12 it is argued that this could be due to insolation-forced variations in upwelling  
 13 patterns. Alternatively, the calcifier productivity could be directly impacted by  
 14 temperature or by the light intensity.

15 The climate system exhibits much variation on timescales ranging from the  
 16 weather to multiple millennia which together have the character of red noise (Pel-  
 17 letier, 1998; Huybers and Curry, 2006). If the calcifiers are impacted by orbital  
 18 cycles, then it seems likely that they are also impacted by these higher-frequency  
 1 variations. To investigate the potential impact of such variations, we add a random  
 2 component to the forcing in some of the simulations:

$$k = k_0 \left( 1 + \alpha \cos\left(\frac{2\pi t}{T}\right) + \varepsilon \right) \quad (4)$$

3 with  $\varepsilon$  a small random number that is drawn every 1 kyr from a normal distribution  
 4 with mean 0. Although the observed climatic variations have a red-noise character,

5 we implement a simple white-noise forcing, again because we believe that keeping  
 6 the model as simple as possible facilitates a transparent analysis. The simulations  
 7 including white-noise forcing are performed with an Euler-forward scheme using a  
 8 constant small time step of 0.01 yr.

9 The actual insolation is a complex quasi-periodic function that is different  
 10 for each latitude and each season. To investigate the sensitivity of the system to  
 11 such a complex forcing, we apply the 35-component astronomical forcing used by  
 12 de Saedeleer et al. (2013):

$$k = k_0 \left( 1 + \alpha \sum_{i=1}^{35} \frac{s_i \sin(\omega_i t) + c_i \cos(\omega_i t)}{\sqrt{s_{16}^2 + c_{16}^2}} \right) \quad (5)$$

13 with coefficients  $s_i$ ,  $c_i$ ,  $\omega_i$  taken from de Saedeleer et al. (2013); we have scaled  
 14 the forcing by the amplitude of the strongest component (number 16) to enable a  
 1 comparison with the results obtained under the periodic forcing.

## 2 3 Results

3 We investigate the different behaviors of our forced system and their potential  
 4 relationships with the MPT. We first analyze the emergence of periodicities dif-  
 5 ferent from the forcing, followed by a focus on periodicity shifts. We use concepts  
 6 specific to the theory of dynamical systems (such as limit cycle, attractor, bifur-  
 7 cation) that are explained in various textbooks (Guckenheimer and Holmes, 1985;  
 8 Wiggins, 1990).<sup>2</sup>

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<sup>2</sup> In some cases, applied mathematicians and earth scientists use similar words with some-  
 what different meanings which can cause confusion. To be precise in our terminology, we make  
 a distinction between ‘period’ and ‘periodicity’ that is schematically clarified in Fig. 2. Accord-  
 ing to the mathematical definition, a periodic signal repeats itself exactly. The maximum value

9 In Omta et al. (2013), simulations were performed with the most idealized  
 10 version of the calcifier-alkalinity model under a 20-kyr sinusoidal forcing with  
 11 relative amplitude  $\alpha = 0.0025$  (that is, variations in the parameter  $k$  were a factor  
 12  $0.0025$ , or  $0.25\%$  around the mean). The system exhibited cycles with the same  
 13 periodicity as the forcing. As we now increase the forcing amplitude  $\alpha$ , there emerge  
 14 different new cycles with periodicities that are multiples of the forcing period. The  
 15 stronger forcing tends to ‘kick’ the system further from equilibrium which means  
 16 that the cycles can obtain a larger amplitude and, due to the sawtooth shape, a  
 17 correspondingly longer periodicity. For forcing amplitude  $\alpha = 0.004$ , cycles with  
 18 periodicities of 20, 40, 60, 80, 100 kyr coexist (in Fig. 3, 40- and 100-kyr cycles  
 19 are shown to contrast long and short periodicities); which periodicity the system  
 20 lands upon depends subtly on the initial conditions.

21 To gain a deeper understanding of how the different cycles relate to each  
 22 other, we perform a bifurcation analysis with the numerical package AUTO (see  
 23 Appendix A for a technical explanation). We use the forcing amplitude  $\alpha$  as a  
 24 bifurcation parameter; the results are presented in the form of a bifurcation dia-  
 25 gram (Fig. 4). The attractor branch originating at the vertical axis corresponds  
 1 with a limit cycle with a 20-kyr period and an approximately sinusoidal shape. At  
 2 forcing amplitude  $\alpha \approx 0.004$ , this branch bends backward at a tangent bifurcation

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reached during one period is exactly the same as the maximum value during the next period.  
 Thus, a periodic signal has an infinite number of global maxima. With ‘period’ we mean the  
 time from one global maximum to the next (top arrow in Fig. 2). Between two global maxima,  
 a periodic signal may also exhibit lower maxima. With ‘periodicity’ we mean the average time  
 from one local maximum to the next (lower arrow in Fig. 2). For regular periodic functions,  
 such as sinusoids, the period and periodicity are the same and the two terms can be used  
 interchangeably.

3 point, becoming a saddle limit cycle. When the saddle branch has almost reached  
4 the vertical axis, it bends forward at a second tangent bifurcation point and be-  
5 comes again an attractor limit cycle. This limit cycle still has a 20-kyr period,  
6 but its amplitude is much larger, with the alkalinity variations having more of a  
7 sawtooth shape. Depending on the initial state, the system can land in either the  
8 small-amplitude 20-kyr cycle or the larger-amplitude 20-kyr cycle. Following the  
9 20-kyr branch further in the system, it goes through an infinite number of period  
10 doublings, before it becomes chaotic at  $\alpha \approx 0.008$ . In the chaotic regime, there are  
11 scattered points that indicate local maxima instead of recognizable branches, be-  
12 cause the maximum calcifier concentration varies all the time. The various cycles  
13 with periodicities that are multiples of the forcing period (Fig. 3) are represented  
14 by the unconnected upper branches in the bifurcation diagram (Fig. 4). These cy-  
15 cles do not emerge from period-doubling bifurcations, but are rather due to non-  
16 linear resonance. For a large range of forcing amplitudes (i.e.,  $0.0035 < \alpha < 0.007$ ),  
17 stable branches of the 20-, 40-, 60-, 80-, and 100-kyr cycles all exist, and a 120-kyr  
18 branch emerges at  $\alpha \approx 0.006$ . This suggests that a shift from one periodicity to  
19 another could occur because of a temporary disturbance or some noise without a  
20 parameter change.

21 To investigate the likelihood of such periodicity shifts, we determine the basins  
22 of attraction of the different limit cycles. We perform a large set of 80-Myr simu-  
23 lations in which the initial calcifier concentration  $C_0$  and the initial phase of the  
24 forcing  $\theta$  are varied, while the initial alkalinity  $A_0$  is kept fixed at  $2.0 \text{ mol eq/m}^3$   
25 (Fig. 5a). The basins of attraction are intricately curved which means that the  
1 asymptotic behavior is highly sensitive to the initial conditions. Moreover, the  
2 patchwork of periodicities makes periodicity shifts driven by disturbances likely.

3 A key observation is that adjacent basins of attraction correspond to limit cycles  
4 with widely different periodicities. For example, there exist 60-kyr (dark blue) limit  
5 cycles right next to the 100-kyr (light blue) regions in Fig. 5a. Minor noise can  
6 already result in the system switching between these limit cycles, without the need  
7 to step through 20-kyr periodicity increments. To investigate the potential role of  
8 obliquity, we increase the forcing period to 40 kyr (Fig. 5b). There are robust 40-,  
9 80-, and 120-kyr periodicities, but no robust 100-kyr period or periodicity, simply  
10 because 100 is not a multiple of 40. Hence, it appears unlikely that the 100-kyr  
11 cycles after the MPT are primarily driven by the obliquity variations.

12 Clearly, the system possesses a rich variety of limit cycles between which it  
13 could switch. In general, it transiently goes between different dominant period-  
14 icities before it lands upon its asymptotic limit cycle. If a random component is  
15 added to the forcing, the system can keep switching between periodicities. In the  
16 10-Myr simulation shown in Fig. 6, no steady limit cycle is reached. Furthermore,  
17 the dominant periodicity is often a multiple of 20 kyr, but not always, even after  
18 many Myr of simulation.<sup>3</sup> In the Earth system, there is a red-noise background  
1 (due to the weather and climatic variations on scales from years to multiple mil-

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<sup>3</sup> Random variations or noise in relation to the MPT have been considered earlier by Saltzman and Maasch (1991) and Ditlevsen (2009), but both studies are fundamentally different from our work. Ditlevsen (2009) inferred a bifurcation structure that would lead to periodicity shifts and from there constructed a model with this bifurcation structure. Our model was constructed to describe the sawtooth shape of the glacial cycles. It exhibits periodicity shifts as an emergent property, rather than as an imposed feature. Saltzman and Maasch (1991) suggested that the Earth System went through a bifurcation at the MPT due to the decreasing average atmospheric pCO<sub>2</sub>. Our simulations indicate, similar to Huybers (2009), that a sawtooth system can exhibit a periodicity shift without going through a bifurcation.

lennia) which is why truly stable cycles probably do not exist. The system may spend a considerable amount of time in one domain of attraction, but random variations will inevitably shoot it to a different state at some point. Further simulations using the quasi-periodic forcing of de Saedeleer et al. (2013) indicate that the presence of multiple frequency components in the orbital forcing can have an impact similar to noise (which has an infinite number of frequency components), helping the system switch between various periodicities (Fig. 7).

Throughout our simulations, the limit cycles with various periodicities possess two key features that stand out as testable predictions:

1. The periodicity and the amplitude of the cycles are approximately linearly proportional to each other. This is essentially due to the sawtooth geometry: a longer duration of the slow linear increase implies a proportionately larger amplitude of the cycle and vice versa. To test this relationship against the observations, we have first visually identified the glacial minima and interglacial optima of the last 3 Myr from the Lisiecki and Raymo (2005) record (crosses in Fig. 1). Subsequently, we have determined the amplitude of each cycle as the difference in terms of ‰  $\delta^{18}\text{O}$  between the glacial minimum and the preceding interglacial optimum, and the length or periodicity as the amount of time between subsequent interglacial optima. In Fig. 8, we show the amplitudes plotted against the periodicities of the cycles according to the model and according to the  $\delta^{18}\text{O}$  record: both exhibit approximately linear relationships, with slopes corresponding with the slow part of the sawtooth.
2. The magnitude of the calcite accumulation spikes increases with increasing periodicity and amplitude of the sawtooth. To test this relationship, we have



5 searched for records of calcite accumulation, C<sub>37</sub> alkenones, and total organic  
6 carbon<sup>4</sup> spanning across periods of time when the amplitude of the glacial  
7 cycles increased (Early Pleistocene, MPT, Mid-Brunhes Event). Some records  
8 do not show clear deglacial spikes (Liu and Herbert, 2004; Sexton and Barker,  
9 2012), but the records that exhibit spikes also tend to show an increase in the  
10 magnitude of the spikes when the amplitude of the glacial-interglacial cycles  
11 increases. This is observed for total organic carbon at ODP Site 1143 (South  
12 China Sea) across the Early Pleistocene (Tian et al., 2006), C<sub>37</sub> alkenones  
13 at ODP Site 1077 (Angola Basin) across the MPT (Schefuss et al., 2005),  
14 and calcite accumulation at ODP Site 1094 (Southern Ocean) across the Mid-  
15 Brunhes (Jaccard et al., 2013). Hence, there is observational support for this  
16 prediction, although many more records of calcite accumulation and calcifier  
17 productivity will need to be collected for a thorough test.

18 Both in Omta et al. (2013) and in the current study (Appendix B), we included  
19 simulations with a multi-box model for comparison. We found it reassuring that the  
20 more explicit model gave similar predictions as our simple formulation. However,  
21 we had to make many more assumptions about parameter values for the multi-  
22 box model, while the added complexity did not lead to further testable predictions  
1 beyond the ones provided by the simple model. This provided further motivation  
2 to focus our extensive analysis on the most idealized formulation.

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<sup>4</sup> Calcite accumulation records reflect both calcite production and preservation, whereas C<sub>37</sub> alkenones are a proxy for coccolithophore productivity specifically (Marlowe et al., 1990). Total organic carbon is a proxy for total export production, although it is also impacted by variations in preservation and dilution with other sediment components (Schoepfer et al., 2015).

### 3 **4 Discussion**

4 Our forced system exhibits limit cycles with periodicities that are integer multi-  
5 ples of the forcing period, as well as periodicity shifts triggered by either random  
6 variations or different frequency components of the orbital forcing. The various  
7 periodicities originate in the interaction between the forcing, the sawtooth alka-  
8 linity and the spiking calcifiers. The calcifiers do better at forcing maxima, but  
9 this is not a sufficient condition for a spike: the alkalinity needs to be high enough  
10 for the calcifiers to increase. If the alkalinity is low, then the calcifiers will ‘wait’  
11 for another forcing maximum. Even after the alkalinity has increased above the  
12 mean value, the calcifiers can be at a too low level to spike quickly, so they may  
13 ‘skip’ yet more forcing cycles before finally spiking and inducing a rapid drop in  
14 the alkalinity.

15 Although threshold models can generate glacial cycles with a different periodic-  
16 ity than the forcing (Paillard, 1998; Huybers, 2007), the periodicity and amplitude  
17 of the glacial cycles remain approximately the same, unless the threshold or an-  
18 other system parameter changes. Huybers (2009) demonstrated that spontaneous  
19 changes in the periodicity are possible, if the system remembers the preceding  
20 glacial during the glacial-interglacial transition. In the model system presented  
21 here, the calcifiers provide a possible mechanistic underpinning to the memory  
22 hypothesized by Huybers (2009), because they tend to spike to a higher level at  
23 the end of a large glacial period with a particularly high alkalinity. In our view,  
1 this finding provides evidence for the presence of a spiking variable in the climate  
2 system that plays an active role in glacial dynamics. Moreover, we think that  
3 the presence of a key spiking variable is likely, simply because the derivative of a

4 sawtooth oscillation is a series of spikes. This is also why many models for glacial-  
5 interglacial cycles exhibit sawtooth-spike behavior. In the sea-ice switch model  
6 (Gildor and Tziperman, 2000, 2001; Tziperman et al., 2006), land ice exhibits  
7 a sawtooth, whereas sea ice exhibits spikes. In Paillard and Parrenin (2004), ice  
8 volume resembles a sawtooth, while the ‘salty bottom waters formation efficiency’  
9 ( $F$ ) shows spikes. For the biased Van der Pol oscillator (introduced in Crucifix  
10 (2011) and investigated in detail in de Saedeleer et al. (2013)), sawtooth cycles in  
11 the ice volume variable  $x$  occur when the variable  $y$  exhibits spikes. A strategy to  
12 discriminate between different models would consist of the following:

- 13 1. Identify proxy records corresponding to each of the variables in the different  
14 models. For the sawtooth variable, the relevant proxy record will generally be  
15 marine  $\delta^{18}\text{O}$ ; the relevant proxy record for the spiking variable depends on the  
16 specific interpretation of the model.
- 17 2. Test the amplitude-periodicity relationship for the sawtooth variable in each  
18 of the different models. In our view, the marine  $\delta^{18}\text{O}$  record clearly indicates  
19 that the amplitude and periodicity of the sawtooth are approximately linearly  
20 proportional to each other (see Fig. 8b). This relationship probably holds for  
21 every model in which the slope of the slowly increasing part of the sawtooth is  
22 held constant, for example Huybers (2007). However, one would rather expect a  
23 square-root dependence, if the slow part of the sawtooth were due to a random-  
24 walk process, as suggested by Wunsch (2003).
- 1 3. Collect proxy records corresponding to the spiking variable(s) that span across  
2 a time period when the periodicity/amplitude of the glacial cycles increased  
3 (Early Pleistocene, MPT, Mid-Brunhes). In our model context, the records of

4 interest would be calcifier productivity and calcite accumulation. That said,  
5 any record that shows systematic deglacial spikes that increase in magnitude  
6 whenever the amplitude of the glacial cycles increases could correspond to the  
7 actual spiking variable(s) driving the glacial-interglacial transitions. However,  
8 it is crucial that there is a compelling reason (such as a model prediction) to  
9 interpret the observed spikes as corresponding with a driver of the transition,  
10 rather than a responsive feedback.

11 If the forcing acts on the alkalinity input, rather than on the calcifiers directly,  
12 then the spikes are essentially triggered by the alkalinity alone. In this case, the  
13 response has the same periodicity as the forcing, unless the forcing amplitude is  
14 very large ( $\alpha \sim 0.7$ ) and the initial state is very far from equilibrium. Although  
15 we do not know whether  $\alpha \sim 0.7$  is unreasonably high, we think that the actual  
16 forcing probably operates on the spiking variable, because the cycles with different  
17 periodicities appear most robust in that case. Moreover, there exists more direct  
18 observational evidence for large variations in calcite accumulation (Beaufort et al.,  
19 1997; Herbert, 1997) than for strong variations in the alkalinity input.

20 We have performed further simulations to investigate the sensitivity of the  
21 model to its key parameters. It turns out that some specific features are impacted  
22 rather strongly by the choice of parameter values. For example, increasing the  
23 calcifier mortality  $M$  (while keeping the other parameters constant) leads to:

- 24 1. a smaller average calcifier population
- 1 2. a higher average alkalinity
- 2 3. a transition to chaotic behavior at a lower forcing amplitude

3 Nevertheless, the basic sawtooth-spike behavior of the model is robust: it does  
4 not depend on specific combinations of parameter values. Moreover, the two key  
5 relationships identified in Section 3 are independent of parameter values, since  
6 these relationships emerge as inherent characteristics of the sawtooth-spike cycles.

7 Under the 20-kyr forcing, we obtained cycles with the well-known 20-, 40-,  
8 and 100-kyr periodicities, but we also found 60- and 80-kyr cycles: periodicities  
9 that are generally not found when spectral analysis is applied to paleoclimate  
10 records (Muller and MacDonald, 1997; Petit et al., 1999; Ridgwell et al., 1999).  
11 We nevertheless focused our analysis on the results obtained under a 20-kyr forcing,  
12 because we did not find a robust 100-kyr periodicity under a 40-kyr forcing (as 100  
13 is not a multiple of 40). However, the  $\delta^{18}\text{O}$  data (Lisiecki and Raymo, 2005) appear  
14 to suggest a dominant  $\sim 40$ -kyr forcing. In fact, the lengths of individual glacial  
15 cycles identified from the Lisiecki and Raymo (2005) stack cluster into 3 distinct  
16 groups around 40, 80, and 120 kyr (Fig. 8b). These multiples of 40 kyr correspond  
17 with the periodicities that we obtained under the 40-kyr forcing (Fig. 5b). Perhaps,  
18 the primary forcing of the glacial-interglacial transitions is obliquity rather than  
19 precession, as has been argued before (Huybers and Wunsch, 2005; Huybers, 2007;  
20 Daruka and Ditlevsen, 2014). Under this hypothesis, the post-MPT cycles are in  
21 fact alternating between  $\sim 80$ - and  $\sim 120$ -kyr modes, giving a 100-kyr periodicity  
22 on average.

1 The modeled periodicity shifts go in both directions, from short to long and  
2 from long to short, whereas the observations (Fig. 1) indicate a structural increase  
3 of the glacial periodicity throughout the Pleistocene. A structural increase in the  
4 periodicity of sawtooth cycles can be achieved in the model, if there is some positive  
5 feedback that increases the amplitude of the cycles over time. Again, this is due to

6 the geometry of sawtooth cycles: a larger amplitude corresponds to a longer peri-  
7 odicity. One positive feedback within the context of the calcifier-alkalinity model  
8 would be a higher net input of alkalinity into the open ocean during glacial times.  
9 However, it is unclear whether there exist significant glacial-interglacial variations  
10 in the alkalinity input; it may actually have stayed approximately constant over  
11 glacial-interglacial cycles (Munhoven, 2002; Foster and Vance, 2006). On one hand,  
12 silicate weathering increases with temperature (Walker et al., 1981; White et al.,  
13 1999) which should lead to a lower alkalinity input into the oceans during glacial  
14 times. On the other hand, a larger continental shelf area is above sea level during  
15 glacial times which should lead to a higher input of alkalinity due to carbonate  
16 weathering (Gibbs and Kump, 1994; Jones et al., 2002) and a lower alkalinity out-  
17 put due to fewer coral reefs (Berger, 1982). In any case, the cycles are enhanced if  
18 it is assumed that the net alkalinity input increases during glacial times, whereas  
19 the assumption of a decreased alkalinity input during glacial times leads to more  
20 damping of the cycles.

## 21 **5 Conclusion**

22 A forced calcifier-alkalinity model exhibits shifts in the dominant periodicity, akin  
23 to the MPT. These shifts result from an interplay between the different elements  
24 of the system, but the spiking variable (calcifiers) plays a key role, as it provides  
1 the memory hypothesized by Huybers (2009). In our view, this is further evidence  
2 that a spiking variable in the climate system must be playing an active role in  
3 glacial dynamics. Nevertheless, we wish to emphasize that our model features  
4 one mechanism that, in the real world, complements a host of processes. Based

5 on these various processes, very different explanations for glacial dynamics have  
6 been proposed. To distinguish between different explanations, we believe that it  
7 is crucial to formulate predictions that can be tested against observations. In this  
8 study, we have provided two such predictions:

9 1. The amplitude and the periodicity of the cycles are approximately linearly  
10 proportional to each other, due to the constant slope of the slowly increas-  
11 ing part (set by the alkalinity input). The benthic  $\delta^{18}\text{O}$  proxy data (Fig. 8b)  
12 indeed show such a linear proportionality which provides a clear constraint  
13 on the dynamics underlying the slow part of the sawtooth between the rapid  
14 transitions.

1 2. The magnitude of the spikes increases with increasing periodicity and ampli-  
2 tude of the sawtooth. This prediction could be used to identify one or more  
3 currently hidden spiking variables driving the glacial-interglacial transitions.  
4 Essentially, the quest would be for any proxy record, concurrent with a dynam-  
5 ical model prediction, that exhibits deglacial spikes which increase at times  
6 when the amplitude/periodicity of the glacial cycles increases. In the specific  
7 context of our calcifier-alkalinity mechanism, the records of interest would be  
8 calcifier productivity and calcite accumulation. We believe that such a falsifi-  
9 able hypothesis should provide a strong motivation for the collection of further  
10 records.

## 11 **Acknowledgments**

12 We would like to thank Adina Paytan, Michel Crucifix, and an anonymous reviewer  
13 for helpful comments and Alexis Yelton for suggesting the title of the article. Anne

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14 Willem Omta and Mick Follows are grateful for support from the National Science  
15 Foundation (NSF) under grant OCE-1155295. The work of George van Voorn was  
1 part of the strategic research program Knowledge Base IV (KBIV) ‘sustainable  
2 spatial development of ecosystems, landscapes, seas and regions’ funded by the  
3 Netherlands Ministry of Economic Affairs. Ros Rickaby was supported through Eu-  
4 ropean Research Council (ERC) grant SP2-GA-2008-200915. Furthermore, Mick  
5 Follows is grateful for support from the NSF under grant OCE-1259388.



## 6 Appendix A Bifurcation analysis

7 The phenomena observed in the simulations are underpinned by bifurcations in the  
 8 model. Specialized numerical software such as AUTO (Doedel and Oldeman, 2009)  
 9 exists to perform bifurcation analysis. As a start-up it requires an equilibrium or a  
 10 periodic orbit. Using so-called test functions the software then monitors the steady  
 11 state from which one starts and the stability properties of this steady state under  
 12 parameter change. The software indicates bifurcations by type.

13 When the parameter  $k$  changes periodically as given in Equation (3), the sys-  
 14 tem is nonautonomous and equilibria do not exist: there are only periodic and  
 15 chaotic dynamics. To be able to use AUTO, an autonomous system is formulated  
 16 through the introduction of two additional equations:

$$\frac{dx}{dt} = x + \omega y - x(x^2 + y^2), \quad (\text{A.1a})$$

$$\frac{dy}{dt} = y - \omega x - y(x^2 + y^2). \quad (\text{A.1b})$$

17 These equations asymptotically converge to a sinusoidal oscillation:

$$x = \sin(\omega t), \quad y = \cos(\omega t), \quad (\text{A.2a})$$

$$\dot{x} = \omega \cos(\omega t), \quad \dot{y} = -\omega \sin(\omega t), \quad (\text{A.2b})$$

18 which can be verified by substitution of the second set of equations into the first  
 19 set, and by using  $\cos^2(\nu) + \sin^2(\nu) = 1$ . Thus, the periodic forcing is introduced  
 20 with  $\omega = 2\pi/T$  and  $\theta = 0$ :

$$k = k_0 (1 + \alpha y) \quad (\text{A.3})$$

21 The forcing amplitude  $\alpha$  is now effectively used as a bifurcation parameter, and the  
22 model is rewritten as a periodic orbit continuation in AUTO, starting at  $\alpha = 0$ . Al-  
23 though these equations are not identical to the ones used for the time series simula-  
24 tions, they have the same asymptotic solutions. Moreover, the periodic asymptotic  
25 solutions have the same stability properties, because the forcing oscillator system  
1 is stable and is independent of the response of the calcifier-alkalinity system. These  
2 are the relevant criteria, because AUTO is for the analysis of asymptotic behav-  
1 iors. We compared asymptotic solutions from both implementations and they are  
2 indeed the same.

3 The period-1 orbit can be found directly with AUTO. However, the other orbits  
4 have to be found by using MATLAB for numerical simulations, which after sufficient  
5 simulation time give approximated periodic orbits. The approximated periodic  
6 orbits can be converted into starting files for AUTO, where AUTO is able to obtain  
7 precise periodic orbits with the use of Newton corrections. Each of these periodic  
8 orbits is now continued as a function of  $\alpha$  up to and beyond period-doubling  
9 bifurcations. The double-periodic orbits can in turn be continued in  $\alpha$  up to period  
10 doubling bifurcations, eventually leading to a chaotic attractor. Close to chaos,  
11 there are many different periodic orbits, each with its own domain of attraction.

12 Unlike limit cycles, chaotic attractors have no single global maxima or minima  
13 but continuously different local maxima or minima. Chaotic attractors are there-  
14 fore depicted by plotting dots that represent the value of the desired state variable  
15 whenever its time derivative changes from positive to negative (for maxima, or  
16 vice versa for minima) in a simulation with a fixed bifurcation parameter value.  
1 The transient phase of such a simulation is discarded. To avoid cluttering, the  
2 simulation is terminated once the dot density is sufficient for visualization. The

- 
- 3 whole procedure is then repeated for a new simulation with a slightly different
  - 4 bifurcation parameter value, and so forth.

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## 5 **Appendix B Multi-box simulations**

6 To investigate the behavior of the calcifier-alkalinity system in a more realis-  
7 tic setup, we perform simulations with a multi-box model (described in detail  
8 in Omta et al. (2013)), including explicit competition between two plankton func-  
9 tional groups and a treatment of the carbonate compensation feedback as proposed  
10 by Zeebe and Westbroek (2003). In Omta et al. (2013), cycles were obtained with  
11 the same 20-kyr periodicity as the forcing. As in the simulations with the simplest  
12 calcifier-alkalinity model, we now apply a stronger periodic forcing to investigate  
13 whether longer cycles can arise; results are shown in Fig. 9. Overall, the simula-  
14 tions with a more complex and explicit model correspond with the basic result  
15 that under a sinusoidal forcing with a fixed period, the system response exhibits a  
16 variety of sawtooth cycles with different periodicities, each being a multiple of the  
17 forcing periodicity. As in the simulations with the more idealized model, a longer  
18 periodicity corresponds with a larger amplitude of the cycles (compare Figs. 9a  
19 and b). Moreover, a large periodicity and amplitude of the sawtooth correspond  
20 to a large magnitude of the spikes (primarily reflected in a longer duration of the  
21 spikes in Fig. 9b compared to Fig. 9a).

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**Fig. 1** Marine  $\delta^{18}\text{O}$ , a proxy for global ice volume and ocean temperature, over the past 3 Myr (Lisiecki and Raymo, 2005). The MPT is clearly visible as a shift in the periodicity and amplitude of the glacial-interglacial cycles. Note that time goes forward to the right. The crosses indicate glacial and interglacial minima and maxima used in the determination of the lengths and amplitudes of the cycles for Fig. 8b.

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**Fig. 4** Bifurcation diagram with the forcing amplitude  $\alpha$  on the horizontal axis and  $\ln(C_{max})$  on the vertical axis, with  $C_{max}$  ( $\text{mol/m}^3$ ) the global maximum calcifer concentration reached. Red branches are stable limit cycles, blue branches are unstable limit cycles. The numbers next to the branches refer to the periodicities of the limit cycles in terms of integere multiples of the forcing period, that is, 1 means  $1*20 = 20 \text{ kyr}$ , 2 means  $2*20 = 40 \text{ kyr}$ , etc.

**Fig. 5** Periodicity of the asymptotic limit cycle as a function of the initial calcifer concentration and phase of the forcing, with the initial alkalinity kept at  $2.0 \text{ mol eq/m}^3$ , for (a) forcing period  $T=20 \text{ kyr}$  and  $\alpha = 0.004$ : red=20 kyr, green=40 kyr, dark blue=60 kyr, purple=80 kyr, light blue=100 kyr; (b) forcing period  $T=40 \text{ kyr}$  and  $\alpha = 0.008$ : green=40 kyr, purple=80 kyr, yellow=120 kyr. At the lower horizontal axis, the initial calcifer concentration  $C_0$  is depicted, with the initial phase of the forcing  $\theta$  on the vertical axis. Note the intricately curved basins of attraction.

**Fig. 6** Shifts in the dominant periodicity can occur due to a random component in the forcing. Simulation results for  $\alpha = 0.004$  with noise forcing  $\varepsilon$  with standard deviation 0.005, with initial conditions  $A_0 = 2.0 \text{ mol eq/m}^3$ ,  $C_0 = 1.0 * 10^{-4} \text{ mol/m}^3$ : alkalinity as a function of time (a) and Fourier periodogram (b) of  $A$  for 0–300 kyr (blue), 7.4–7.7 Myr (green), 8.0–8.3 Myr (light blue), 9.7–10 Myr (red); the spectra have been rescaled for easy comparison.

**Fig. 7** Shifts in the dominant periodicity can also occur due to the presence of various frequency components in the orbital forcing. Simulation results with the quasi-periodic forcing described by equation (5) for  $\alpha = 0.0046$ , with initial conditions  $A_0 = 2.0 \text{ mol eq/m}^3$ ,  $C_0 = 1.0 * 10^{-4} \text{ mol/m}^3$ : alkalinity as a function of time (a) and Fourier periodogram (b) of  $A$  for 300–600 kyr (blue), 3.0–3.3 Myr (green), 6.4–6.7 Myr (light blue), 9.7–10 Myr (red); the spectra have been rescaled for easy comparison.

**Fig. 8** The periodicity and the amplitude of the cycles are approximately linearly proportional to each other, both in the calcifier-alkalinity model (a) and in the data (b). To create panel (a), we have performed 6 simulations with  $\alpha=0.0063$  and initial conditions  $A_0=2.0 \text{ mol eq/m}^3$  (all),  $C_0=0.0003 \text{ mol/m}^3$  (20 kyr),  $C_0=0.001 \text{ mol/m}^3$  (40 kyr),  $C_0=0.004 \text{ mol/m}^3$  (60 kyr),  $C_0=0.010 \text{ mol/m}^3$  (80 kyr),  $C_0=0.016 \text{ mol/m}^3$  (100 kyr),  $C_0=0.014 \text{ mol/m}^3$  (120 kyr) and determined the amplitudes corresponding with the various periodicities. To create panel (b), we have determined the length and the amplitude of each individual glacial cycle over the past 3 Myr in the Lisiecki and Raymo (2005) data set. The crosses in Fig. 1 indicate glacial and interglacial minima and maxima used in the determination of the lengths and amplitudes of the cycles. The red line in panel (a) corresponds with the constant weathering input of alkalinity  $I=0.004 \text{ mol eq m}^{-3}/\text{kyr}$ . The red line in panel (b) is a linear fit to the data ( $r^2=0.6$ ); the fitted slope of  $0.015\text{‰} \delta^{18}\text{O}/\text{kyr}$  (or  $1.5\text{‰}$  per 100 kyr) is similar to the typical rate of decline going from an interglacial into a glacial period.

**Fig. 9** Results from the multi-box model: atmospheric  $\text{pCO}_2$  (blue) and calcifiers in the high-latitude box (red) as a function of time with  $T=20 \text{ kyr}$  and  $\alpha=0.002$  (a) and with  $\alpha=0.005$  (b).

**Table 1** Description of variables (var) and parameters (par) of the calcifer-alkalinity model, including respective units and meaning, along with parameter values.

Var	Units	Meaning	
$t$	yr	Time	
$A$	$\text{mol eq m}^{-3}$	Alkalinity	
$C$	$\text{mol m}^{-3}$	Calcifer population size	
Par	Units	Meaning	Value
$I$	$\text{mol eq m}^{-3} \text{ yr}^{-1}$	Alkalinity input	$4 \cdot 10^{-6}$
$k$	$(\text{mol eq})^{-1} \text{ m}^3 \text{ yr}^{-1}$	Reaction rate	0.05
$M$	$\text{yr}^{-1}$	Mortality rate	0.1
$\alpha$	–	Periodic forcing amp.	Variable
$\varepsilon$	–	Random forcing amp.	0.005

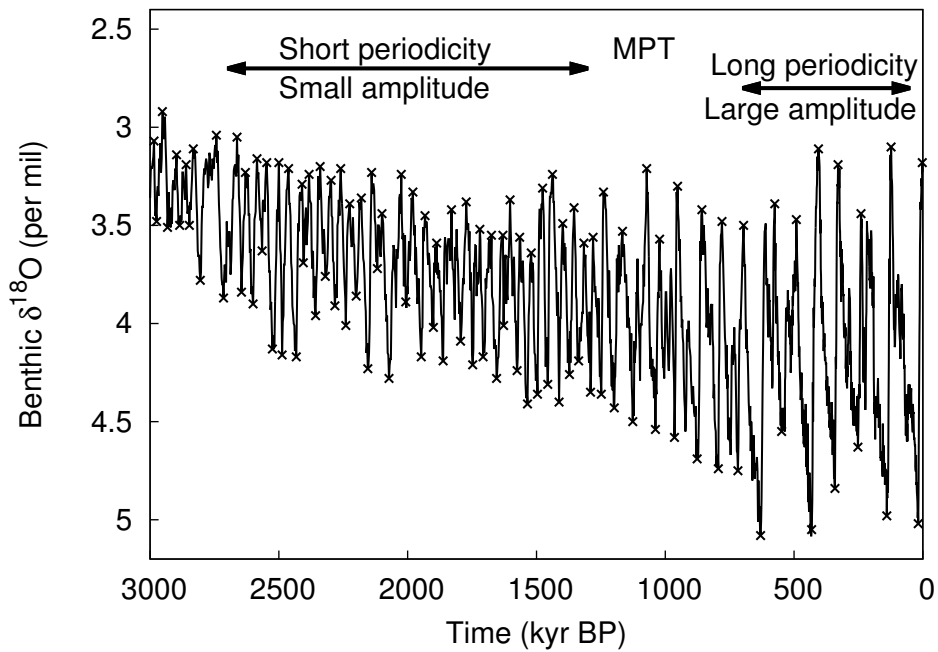


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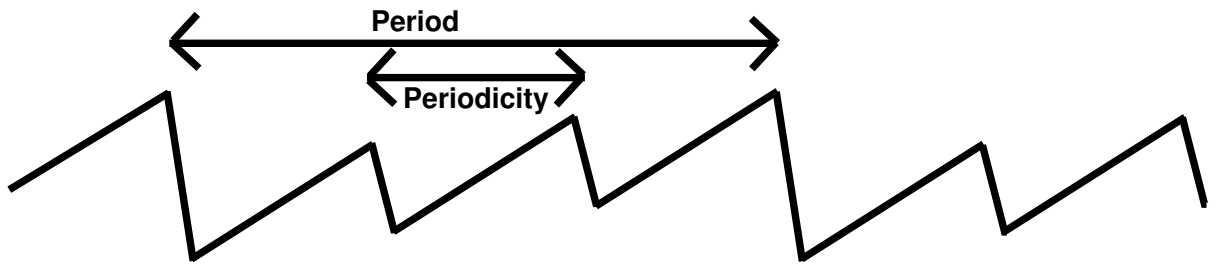


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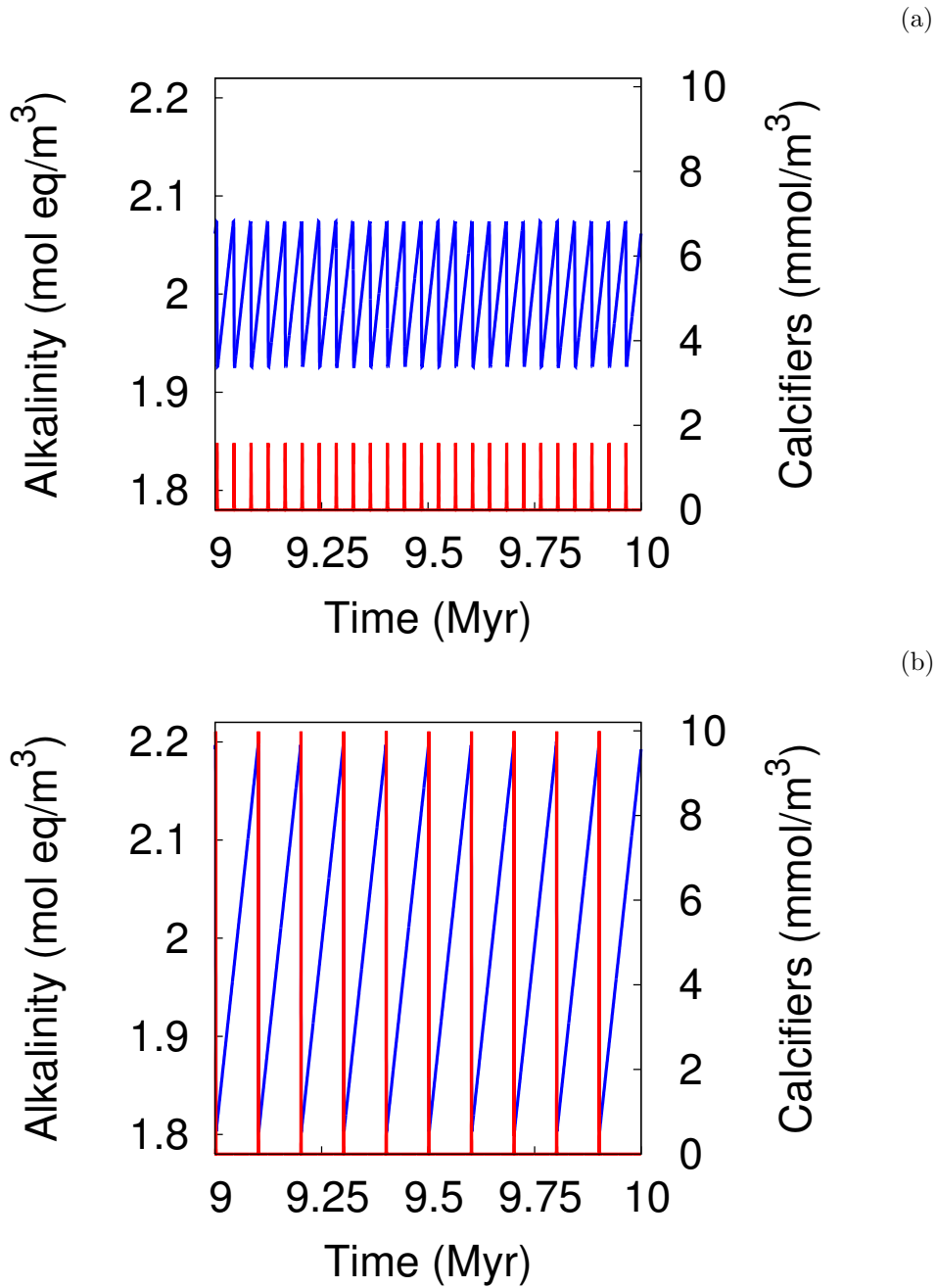


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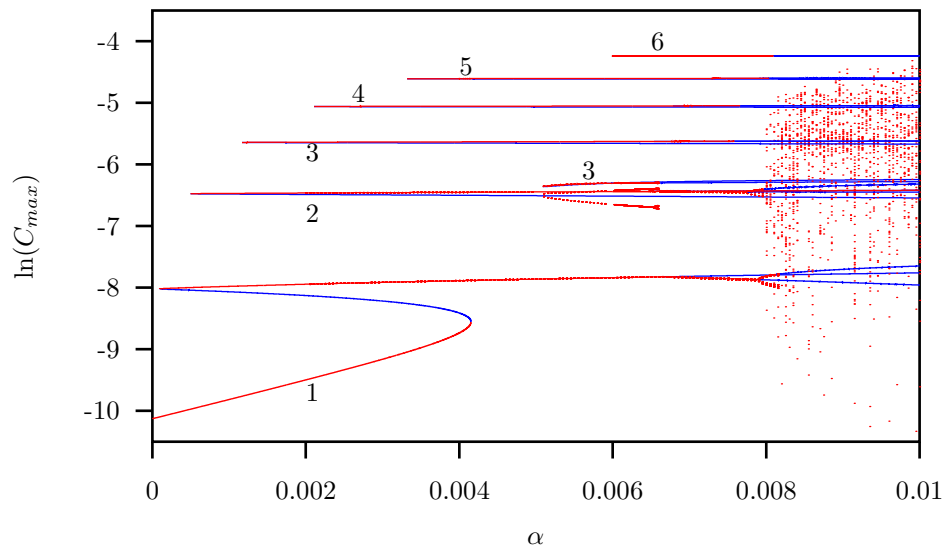
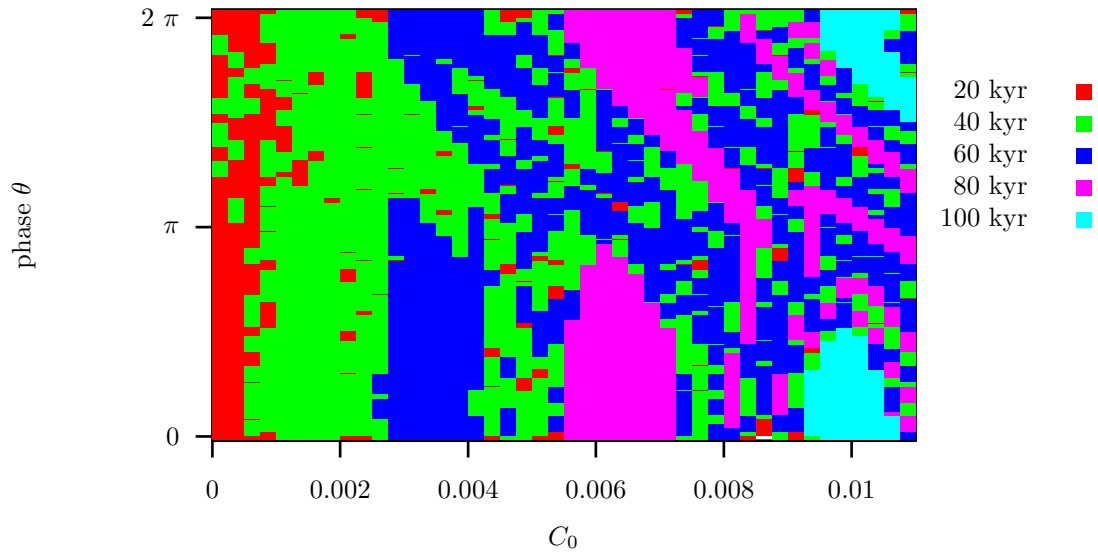
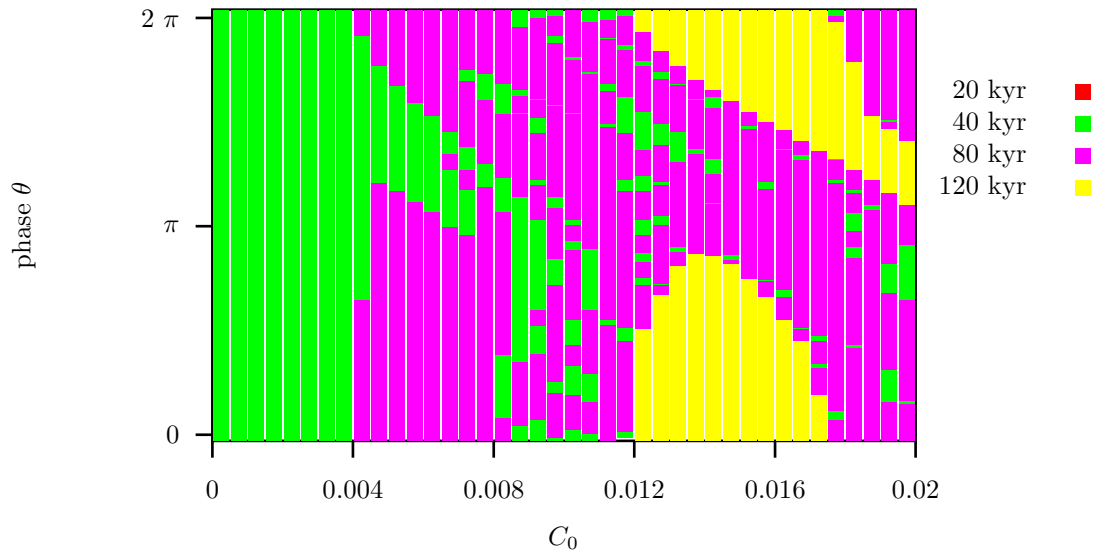


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(a)



(b)

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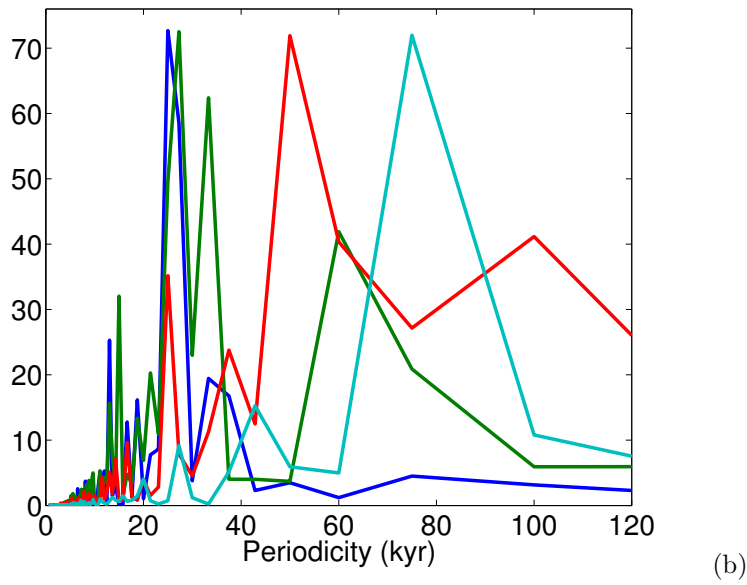
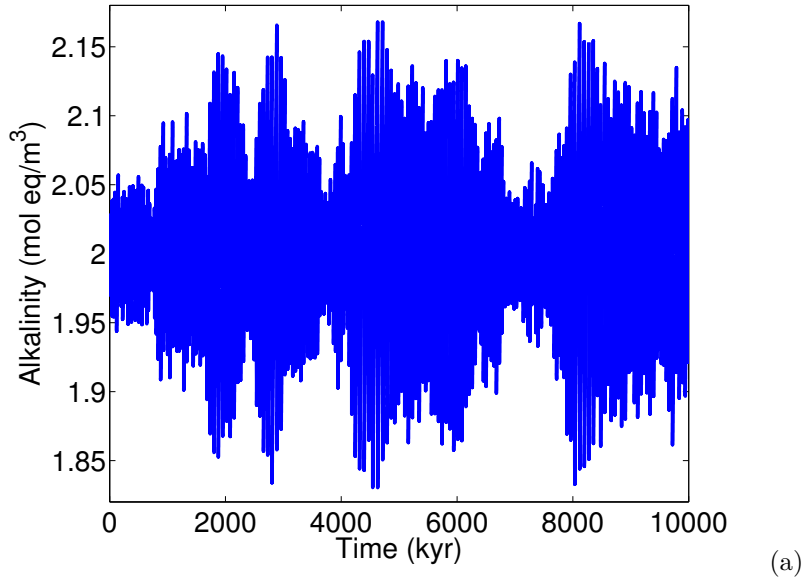


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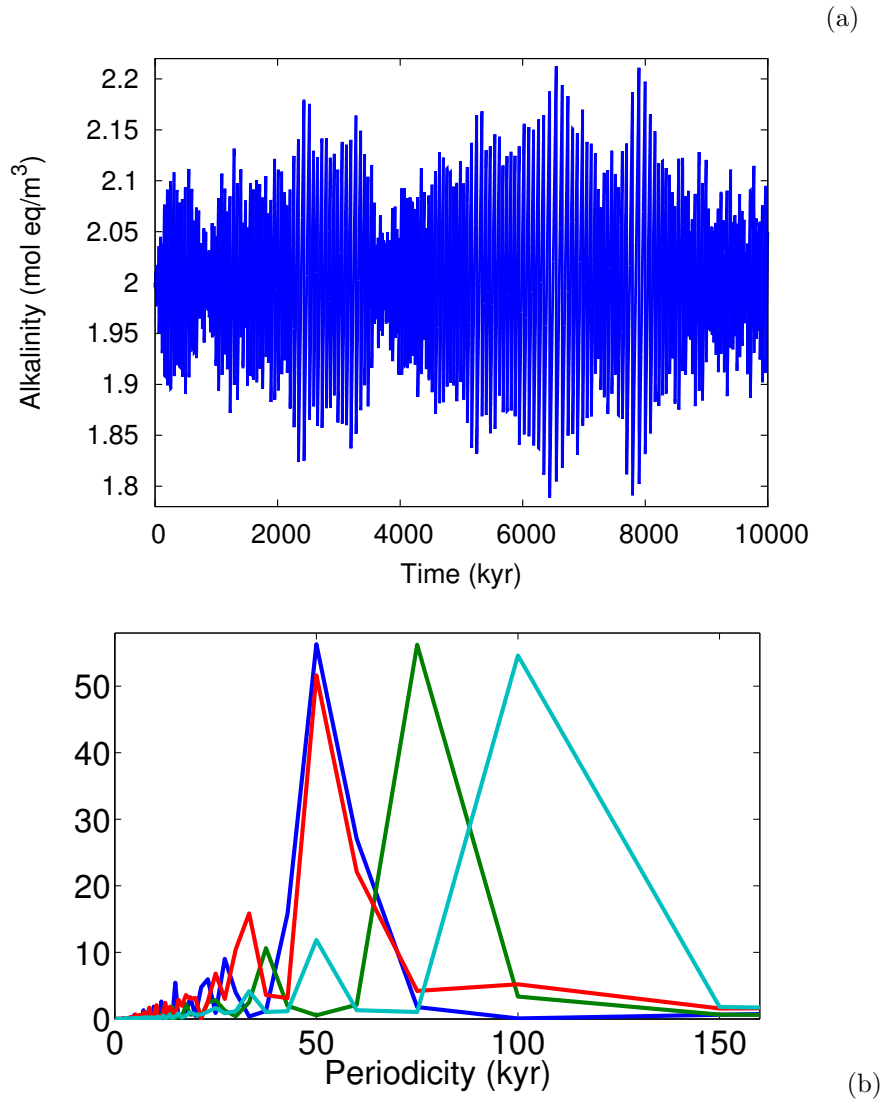


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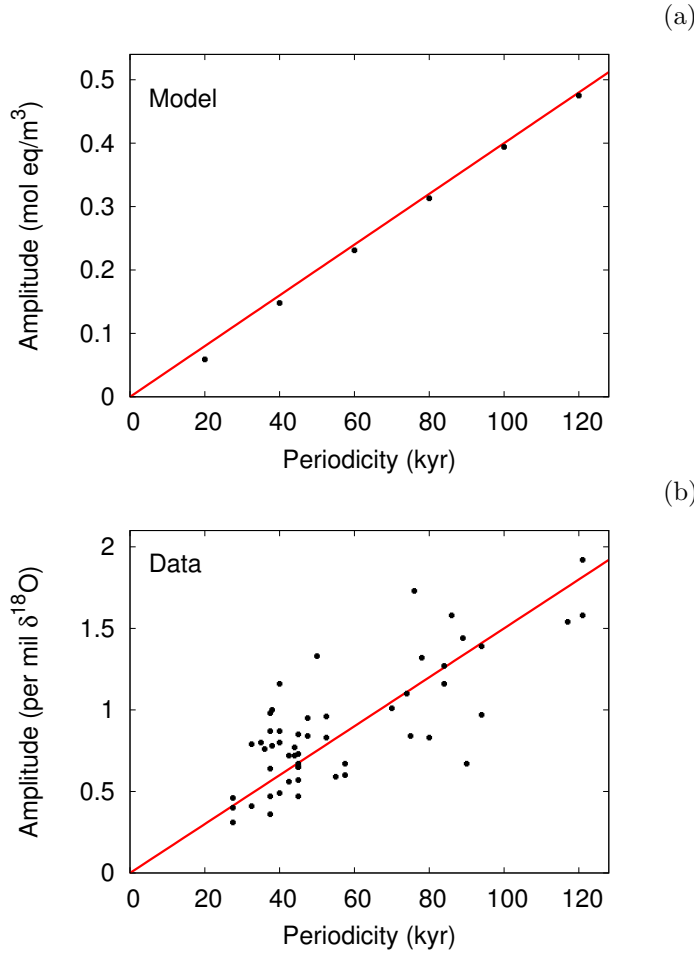
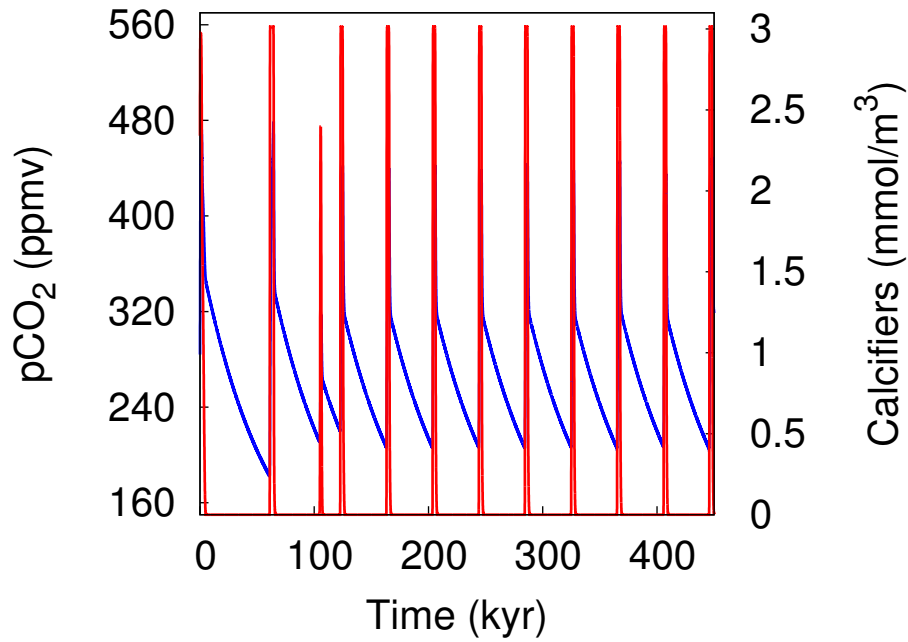
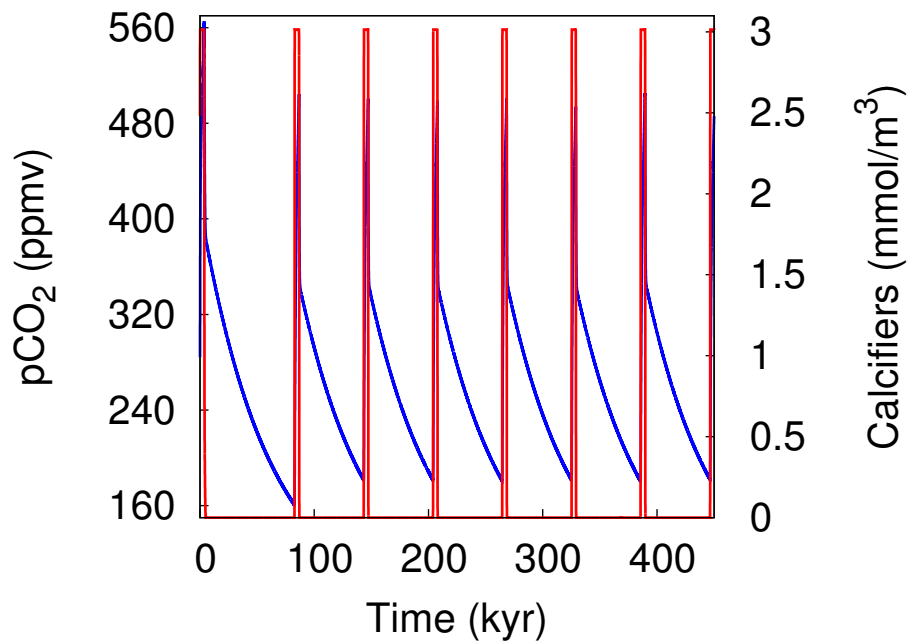


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(a)



(b)

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