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Sterol and genomic analyses validate the sponge biomarker hypothesis

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Molecular fossils (or biomarkers) are key to unraveling the deep history of eukaryotes, especially in the absence of traditional fossils. In this regard, the sterane 24-isopropylcholestane has been proposed as a molecular fossil for sponges, and could represent the oldest evidence for animal life. The sterane is found in rocks ~650–540 million y old, and its sterol precursor (24-isopropylcholesterol, or 24-ipc) is synthesized today by certain sea sponges. However, 24-ipc is also produced in trace amounts by distantly related pelagophyte algae, whereas only a few close relatives of sponges have been assayed for sterols. In this study, we analyzed the sterol and gene repertoires of four taxa (*Salpingoeca rosetta*, *Capsaspora owczarzewski*, *Sphaeroforma arctica*, and *Creolimax fragrantissima*), which collectively represent the major living animal outgroups. We discovered that all four taxa lack C₃₀ sterols, including 24-ipc. By building phylogenetic trees for key enzymes in 24-ipc biosynthesis, we identified a candidate gene (*carbon-24/28 sterol methyltransferase*, or *SMT*) responsible for 24-ipc production. Our results suggest that pelagophytes and sponges independently evolved C₃₀ sterol biosynthesis through clade-specific *SMT* duplications. Using a molecular clock approach, we demonstrate that the relevant sponge *SMT* duplication event overlapped with the appearance of 24-isopropylcholestanes in the Neoproterozoic, but that the algal *SMT* duplication event occurred later in the Phanerozoic. Subsequently, pelagophyte algae and their relatives are an unlikely alternative to sponges as a source of Neoproterozoic 24-isopropylcholestanes, consistent with growing evidence that sponges evolved long before the Cambrian explosion ~542 million y ago.

sponges | Porifera | sterols | steranes | Amorphea

Sterols represent a class of lipid molecules critical to the physiology of eukaryotic cells, thereby providing valuable insight into the evolution of life. With few exceptions (1, 2), sterols are exclusive to eukaryotes and are involved in diverse cellular functions, including membrane structure and fluidity, developmental regulation, and as precursors to signaling and hormone molecules. All sterols share a common structure consisting of a tetracyclic cyclopenta[a]phenanthrene nucleus and a side chain bound to carbon 17 (Fig. 1A). The basic sterol of animals—cholesterol—consists of 27 carbons (or C₂₇), but modifications to the nucleus and/or side chain allow for a diversity of structures, typically ranging from C₂₆–C₃₁. Some of the most exotic sterols are restricted to particular eukaryotic lineages, and because sterols (diagenetically altered into steranes) are stable through deep geological time, they can function as “molecular fossils,” recording the evolution of organisms even in the absence of physically preserved fossils (3).

One presently debated molecular fossil is 24-isopropylcholestane, a sterane found in abundance in certain Neoproterozoic to Early Cambrian rocks ~650–540 million y old (4). This sterane is a diagenetic product of the C₃₀ sterol 24-isopropylcholesterol (24-ipc), one of many rare or unique sterols produced by a subset of modern sea sponges within the clade Demospongiae (4). This sterane has been widely accepted as a “sponge biomarker” and as

the oldest evidence for animals in the geologic record. Subsequently, this biomarker is commonly used as a calibration point when estimating molecular clocks (5–8) and in the interpretation of Precambrian fossils and geology (9–11). However, several recent papers have challenged the sponge affinity of this biomarker (12, 13), arguing that (i) pelagophyte algae also produce 24-ipc, meaning they or their ancestors could be responsible for the sterane, and (ii) there is a general lack of information about the distribution of C₃₀ sterols within the eukaryotes. Accordingly, the geological interpretation of this sterane deserves further investigation.

Resolving questions surrounding 24-ipc necessitates a better understanding of how the underlying sterol biosynthesis pathway has evolved, as well as the distribution of sterols in several critical, understudied eukaryote lineages. Pelagophyte algae and demosponges are distantly related, which suggests that they evolved the ability to produce 24-ipc independently. Additionally, bikonts (such as plants, diatoms, and algae) typically use cycloartenol as their biosynthetic protosterol, whereas most amorpheans (also known as unikonts, which include fungi and animals) use lanosterol, further suggestive of convergent evolution for 24-ipc (14). Within the Amorphea, sterols have been especially well-studied in the fungi, which primarily produce ergosterol (C₂₈), and animals, which—with the exception of sponges—primarily produce cholesterol (C₂₇) (14). But the clades nested between the fungi and animals remain poorly sampled. As sponges represent the earliest or one of the earliest branching animal lineages, elucidating the sterols of animal outgroups remains central to continued testing of the sponge biomarker hypothesis.

Significance

An unusual molecule is found in rocks ~650–540 million y old, and its likely precursor, 24-isopropylcholesterol (24-ipc), is produced by some modern sea sponges. The sterane hydrocarbon analog of 24-ipc offers a potential “molecular fossil” for early animals, but certain algae also produce traces of this molecule, so it is unclear when and how frequently the ability to synthesize 24-ipc evolved. In this study, we connect 24-ipc production to a gene and conclude that algae and sponges independently evolved 24-ipc synthesis through unique gene duplication events. Although the timing of the sponge gene duplication overlaps with the geological record of the molecular fossil, the algal gene duplication occurs significantly later, supporting the connection of 24-ipc to sponges and providing the oldest evidence for animal life.

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C-24, whereas the second copy controls methylation of C-28, generating the ethyl group. The correlation between *SMT* copy number and side chain modification appears broadly applicable across eukaryotes (Fig. 1B). In all cases, the number of *SMT* genes is at least as great as the number of C-24 alkylation steps needed to make the organism's largest sterol. In higher plants, the number of *SMT* genes is greater than the number of carbons added to C-24; the taxa we queried generally have three or four *SMT* copies, but only produce common methyl- and ethyl-group modifications. This finding is consistent with evidence for multiple rounds of whole-genome duplication in plants and is recapitulated in the high plant paralog counts for all genes we analyzed (*Supporting Information*). The overabundance of plant *SMT*s suggests that they exhibit overlapping functions that are not necessarily related to sterol synthesis, a hypothesis supported by evidence from *Arabidopsis thaliana* (22). However, the tight correlation holds for the rest of the bikonts, including a unique third *SMT* in the pelagophyte *A. anophagefferens*. This correlation strongly suggests that most eukaryotes—including pelagophytes—require separate *SMT* genes to perform each round of C-24 sterol modification.

Although this correlation between *SMT* copy number and C24 alkylation is strong across the eukaryotes, it does not hold for sponges. Instead, we consistently recovered fewer *SMT* copies than expected for sponges that produce C_{29} and C_{30} sterols. We did not recover *SMT*s from the demosponges *C. elegans* or *P. suberitoides*. This could represent insufficient depth of transcriptome sequencing but could also be genuine, as both species appear to be missing most other genes involved in sterol synthesis (Fig. 1B), their sterol repertoires have not been analyzed, and not all demosponges can synthesize sterols de novo (23). In contrast, the demosponges *C. nucula*, *I. fasciculata*, and *S. lacustris* all produce C_{29} sterols with C-24 ethyl moieties, yet we recovered a single *SMT* from each species. *P. ficiformis*, which produces C_{30} sterols, has two putative *SMT*s (hereafter referred to as *PfiSMT1* and *PfiSMT2*), which is again one less than predicted. There are several ways to interpret this discrepancy. One possibility is that our findings represent an artifact caused by the incompleteness of the sponge transcriptomes. However, genomic data from calcareous and homoscleromorph sponges support the pattern we find in the demosponges. For example, although the calcareous sponge *S. ciliatum* has a single *SMT*, species of *Sycon* tested for sterols contain methylated and ethylated C-24s (24). Similarly, although the homoscleromorph *O. camela* has not been assayed for sterols, C-24 ethyl and methyl moieties have been identified in sterols from *Oscarella lobularis* (25). All sterols reported from this species contain an unusual 5α -hydroxy-6-keto- Δ^7 nucleus, which strongly suggests that they are modified by the animal (it is unclear, however, whether the sterol core is biosynthesized de novo or obtained from diet). An alternate interpretation for the discrepancy is that the gene counts are accurate, but exotic “sponge” sterols are actually produced by symbionts. But here the transcriptomes are informative, as *SMT* genes from putative eukaryotic symbionts living in sponge tissues should have been recovered alongside the sponge genes. This interpretation is also contradicted by significant research demonstrating that demosponges perform de novo sterol synthesis and side chain alkylation (23, 26) and that sterol patterns do not differ dramatically within species collected from different locations or times (19). The protocols used to generate the transcriptomes involved the enrichment of eukaryotic mRNAs (20), meaning that genes from prokaryotic symbionts could have been lost. This is relevant because it has been suggested that a bacterial symbiont of demosponges (phylum Poribacteria) has an *SMT* (27). However, this observation was the result of genome assembly error, and newer versions of the poribacterian genome no longer contain this sequence (see *A Note on the Putative Candidatus Poribacteria SMT Gene*), meaning there is no evidence for *SMT*s

in prokaryotes. Ultimately, neither incomplete sequencing nor symbiont contamination can sufficiently explain the pattern we see in the sponge data.

A third interpretation of our discrepancy, and the one that we prefer, is that sponge *SMT*s are capable of catalyzing multiple rounds of C-24 methylation. This concept is known as enzyme promiscuity and has been demonstrated in other methyltransferases (28, 29). To test our hypothesis, we performed protein structure and function prediction using the I-TASSER server (30), comparing our sponge proteins against a typical *SMT* from the yeast *Saccharomyces cerevisiae* (*ERG6*) and a promiscuous *SMT2* from the plant *A. thaliana*. *ERG6* strictly performs a single C-24 methylation in yeast, producing the C_{28} ergosterol, but if *ERG6* is transgenically replaced with *SMT2*, C-24 methyl and ethyl sterols are produced, meaning *SMT2* is sufficient to perform both alkylation steps (31). Although there is no crystal structure of *SMT* to compare our models against, I-TASSER correctly predicts the presence of seven central parallel β -sheets surrounded by 10 α -helices (32) as well as ligand binding sites for S-adenosyl-modified amino acids and active enzyme sites for methyltransferase activity (Fig. 2A). In support of the yeast manipulation experiments cited above, protein modeling suggests that *ERG6* contains a single active methyltransferase site residue at isoleucine-194, whereas *SMT2* has two predicted binding sites, isoleucine-198 and glutamic acid-209 (Fig. 2A and B). Similar to *SMT2*, *SMT*s from sponges also contain two predicted methyltransferase sites, and despite the fact that sponge *SMT*s are more closely related to *ERG6* (Fig. S3), their 3D structure is more similar to *SMT2* (data for *I. fasciculata* are shown in Fig. 2C and D). This supports our hypothesis that a single sponge *SMT* should be capable of multiple rounds of C-24 alkylation and could be partially responsible for the unprecedented diversity of sterols found in this clade.

If our interpretation of the genomic data is correct, then we can present a working hypothesis for the evolution of 24-ipc production in eukaryotes. Sterol synthesis, including C-24 alkylation, was present in the last common ancestor of eukaryotes (as articulated in ref. 14). It is unclear from the distribution of *SMT* genes whether one or two copies existed in the last common ancestor of eukaryotes. Regardless, several additional events appear critical to 24-ipc evolution: (i) a third *SMT* gene evolved in the bikont lineage leading to pelagophytes, (ii) a

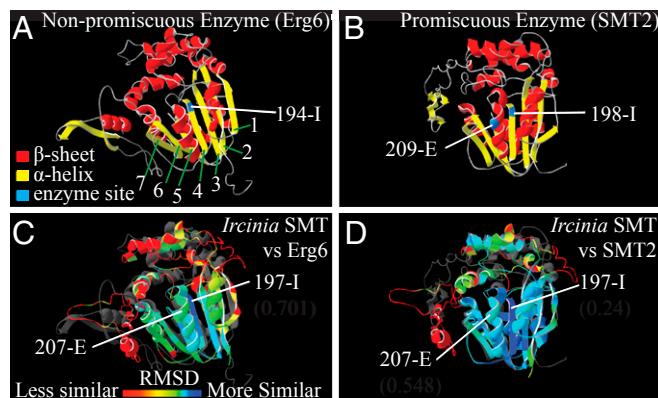


Fig. 2. Protein modeling of *SMT* genes. (A and B) Predicted structure of *S. cerevisiae* *Erg6* (A) and *A. thaliana* *SMT2* (B); α -helices are colored red, β -sheets yellow, and predicted methyltransferase sites blue. The seven central β -sheets are numbered in A. (C and D) *I. fasciculata*'s *SMT* superimposed on *Erg6* (C) and *SMT2* (D). The proteins were aligned in the Swiss-PdbViewer, using the isoleucine active site and its two surrounding peptides as a guide. Coloration signifies the root-mean-square distance (RMSD) between the superimposed structures.

single *SMT* gene promiscuously capable of generating C_{28} and C_{29} sterols evolved in the sponges, and (iii) a second *SMT* evolved in sponge lineages that produce C_{30} sterols, such as *P. ficiformis*. Knowing where and when these evolutionary events occurred in the eukaryote tree should resolve the question of which other organisms, if any, might be responsible for Neoproterozoic 24-isopropylcholestanes.

Molecular Clock Analyses Suggest That Sponge, but Not Algal, C_{30} Sterol Synthesis Evolved Before the Phanerozoic. To test the timing of these hypothesized *SMT* duplication events, we used a molecular clock approach to create a time-calibrated gene tree. Because of uncertainty in the eukaryote phylogeny as well as long-branch attractions in underrepresented clades, we restricted this analysis to sponges, fungi, archaeplastids (plants plus red and green algae), and stramenopiles (other algae—including pelagophytes—and diatoms). Species tree error correction was applied to the *SMT* gene tree, allowing us to differentiate orthologs from paralogs (33) (see *Supporting Information* and *Figs. S3* and *S4* for details). The error-corrected *SMT* tree suggests that the last common ancestor of eukaryotes had a single *SMT* and that independent duplication events have occurred in all major clades (*Fig. S4*). In this corrected tree, *Petrosia*'s second *SMT* (*PfiSMT2*) is placed as sister to the other *SMT*s in the "G3+G4" sponge clade (which includes *PfiSMT1* and *SMT*s from *A. queenslandica* and *S. lacustris*). However, 24-ipc can also be found in sponges from the sister "G2" and "G1" clades (represented in our study by *C. nucula* and *I. fasciculata*, respectively) (4). Subsequently, a

gene duplication event at the base of the G3+G4 clade cannot be solely responsible for demersponge 24-ipc synthesis. Assuming this second *SMT* is in fact necessary for demersponge C_{30} sterol production, the duplication event that led to *PfiSMT2* must predate the split of crown-group demersponges, or else independent gene duplication events occurred in multiple demersponge lineages. These two competing hypotheses of gene evolution are illustrated in *Fig. 3A*. Given this uncertainty, we tried a series of topologies for our molecular clock analysis, including the two topologies in *Fig. 3A*, as well as removing all topology priors for the sponges.

The results from the molecular clock analyses are provided in *Table 1*, with one analysis illustrated in *Fig. 3B*. Large error bars are present on most nodes—as expected given the limited amount of sequence data within the *SMT* gene—but all analyses support the same general conclusion. Consistent with species-level molecular clocks (8), demersponge *SMT*s diverged in the Neoproterozoic. In all analyses, the 95% confidence interval for the origin of *PfiSMT2* overlaps with the age range for Neoproterozoic 24-isopropylcholestanes (*Table 1*). By contrast, the gene duplication event that led to the algal *SMT2/SMT3* split fails to overlap with Neoproterozoic 24-isopropylcholestanes. All analyses suggest a Phanerozoic origin for this event, and even the oldest estimates postdate the oldest evidence of 24-isopropylcholestanes by over 100 million y.

Conclusion

Our study provides evidence against the two most pressing challenges to the sponge biomarker hypothesis: that pelagophytes or

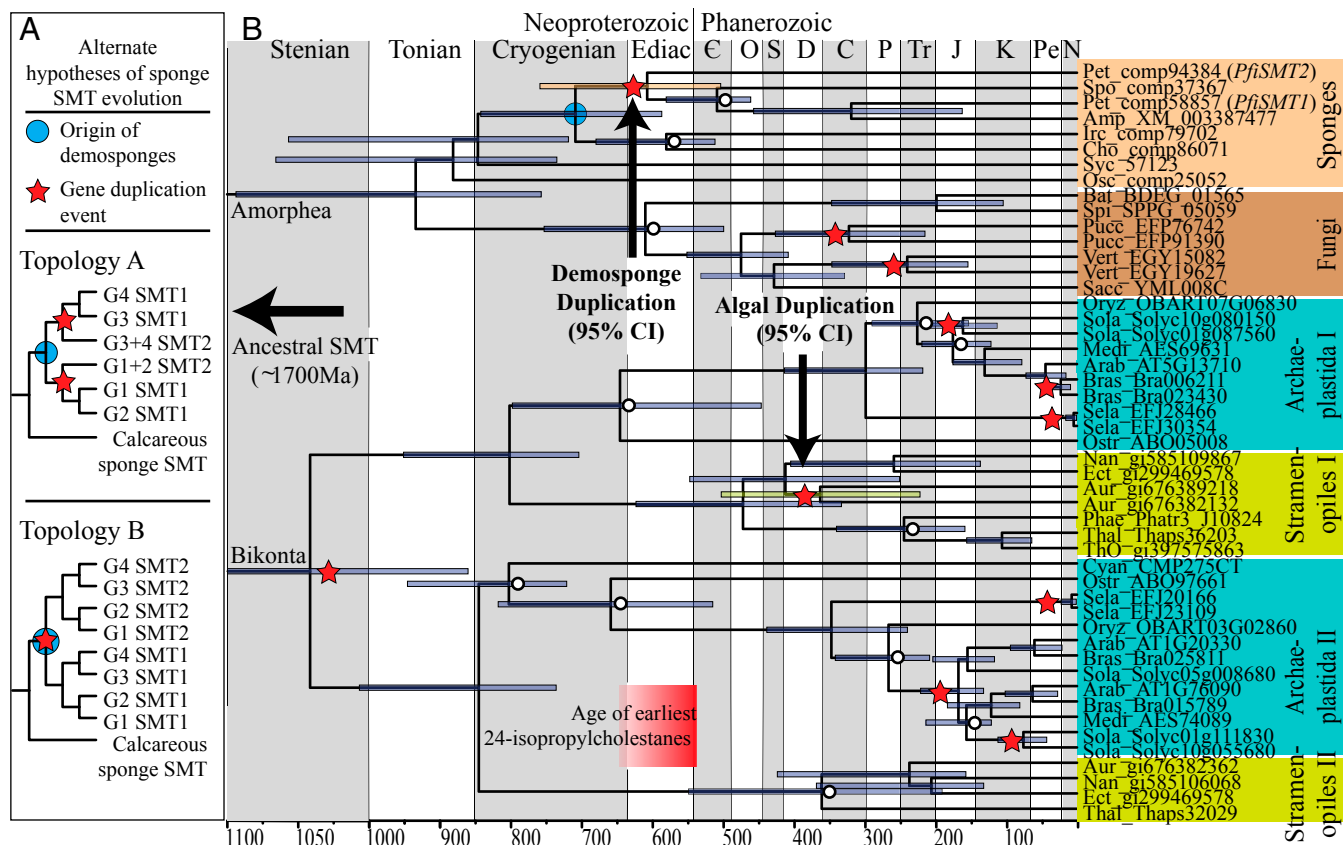


Fig. 3. (A) Two competing hypotheses for the origin of the second demersponge *SMT*. Topology A is the preferred methodology we used to discern orthologs from paralogs, but topology B is also consistent with our data. (B) Molecular clock of *SMT* divergence times, based on topology A with a long root prior (see *Table 1* and *Dataset S1* for all time-calibrated trees). Gene duplication events are labeled with stars. The gene duplication events relevant to sponge and pelagophyte C_{30} sterol synthesis are noted with arrows. The age estimate of Neoproterozoic 24-isopropylcholestane is provided in the red box. White circles indicate calibration points, which are described in *Tables S3*.

Table 1. Age ranges (in millions of years) for relevant gene duplication events according to different topology priors and the discrepancy between the origin of algal *SMT3* and the oldest biomarker evidence

Topology prior	Origin of demersponge <i>SMT</i>	Origin of demersponge <i>SMT2</i> , <i>PfiSMT2</i>	Origin of algal <i>SMT3</i>	Discrepancy between algal <i>SMT3</i> and oldest sterane
Topology A	560–747	499–668	264–520	162
Topology B	608–771	608–771	264–520	130
No topology prior	561–750	472–645	124–514	136
Topology A + long root	587–843	504–760	222–503	147
Topology B + long root	605–937	605–937	37–452	198

Ranges represent 95% confidence intervals. Topologies A and B refer to the evolutionary scenarios shown in Fig. 3A, and “no topology prior” means all sponge-related priors were removed from the analysis. “Long root” analyses fix the ancestral *SMT* at 1679–1866 Ma, consistent with estimates by ref. 7 of unikont/bikont divergence dates. Notice that in all scenarios, the origin of *PfiSMT2* falls within the estimated age range of the Neoproterozoic 24-isopropylcholestanes. In contrast, all predictions for the origin of algal *SMT3* occur more than 100 million y after the oldest evidence of 24-isopropylcholestanes.

their ancestors could be responsible for Neoproterozoic 24-isopropylcholestane and that C_{30} sterol synthesis evolved in amorphans before the sponges. The tight linkage between *SMT* copy number and C-24 alkylation strongly suggests that pelagophytes such as *Aureococcus* require three *SMT* genes to generate C_{30} sterols. However, the evolution of the third algal *SMT* did not occur until the Phanerozoic, demonstrating that neither pelagophytes nor their ancestors are viable candidates for Neoproterozoic C_{30} steranes. A Phanerozoic origin for this gene duplication event is consistent with the absence of C_{30} sterols in all other studied stramenopiles (34) as well as molecular clock analyses that suggest a Mesozoic to Cenozoic origin for crown-group pelagophytes (35). Our results similarly demonstrate that pelagophytes are unlikely to be responsible for Neoproterozoic 24-*n*-propylcholestanes, a biomarker that has generally been attributed to this group. However, 24-*n*-propylidenecholesterol is also found in sponges as well as the foraminiferan *Allogromia laticollaris* (36), which is part of the “Monothalamid” clade thought to have Neoproterozoic origins (37, 38). The late emergence of algal 24-*n*-propylidenecholesterol (24-*npc*) is also consistent with the geological record. Although the C_{30} sterane contents of petroleum and source rocks remain very low in the Cambrian to Early Ordovician, the ratio of 24-isopropylcholestanes to 24-*n*-propylcholestanes remains high (39–41), consistent with a primary source from sponges. A rise in 24-*n*-propylcholestane contents thereafter suggests that algae sources appeared between the Early Ordovician and Devonian (40). We therefore conclude that sponges and/or foraminiferans are better candidates for 24-*n*-propylcholestane in the Neoproterozoic, which requires a reappraisal as to whether “*npc*/*ipc*” ratios are necessary for identifying sponge biomarkers.

Despite strong evidence that sponges are responsible for Neoproterozoic 24-isopropylcholestanes, the precise genetic history leading to sponge 24-*ipc* synthesis remains unclear and will require additional research. Our data suggest that a single sponge *SMT* is sufficient to generate a variety of C_{28} – C_{29} sterols. Such promiscuity could help explain the unparalleled diversity of sterols produced by sponges, although more work—particularly functional enzymatic studies—will be necessary to test this hypothesis. We have demonstrated that the correlation between C-24 alkylation and *SMT* count is sustained in animal outgroups such as choanoflagellates, filastereans, and ichthyosporeans, which suggests that *SMT* enzyme promiscuity is restricted to sponges. Our results similarly suggest that *Petrosia*, the one sponge in our study known to make C_{30} sterols, is also the only sponge to have a second copy of *SMT*, implicating a gene duplication in the evolution of sponge C_{30} sterol biosynthesis. However, even if future data complicates our interpretation of sponge *SMT* evolution, our primary hypothesis regarding the probable sponge origin

of Neoproterozoic biomarkers remains valid. In contrast to pelagophyte algae (35), molecular clocks indicate that crown-group demersponges radiated in the Neoproterozoic (this conclusion is robust even when 24-*ipc* is not used as a calibration point; see ref. 6 and figure S8 in ref. 8). Because 24-*ipc* is found in all major demersponge clades (4), their ability to produce 24-*ipc* most likely evolved by this time, regardless of the molecular mechanism required to perform it.

Taken collectively, our data provide strong evidence for the sponge biomarker hypothesis. We cannot rule out the possibility that 24-*ipc* convergence occurred in an independent, extinct branch of eukaryotes—an unavoidable consequence of studying the deep past—or that some living taxon might be discovered in the future that also produces 24-*ipc*. We can say that no other lineage of eukaryotes besides sponges is known to have the genetic toolkit necessary to generate such sterols and to have lived in the Neoproterozoic. Combined with recent rebuttals against putative sample contamination and alternate hypotheses of sterol diagenesis (42), there appears to be no current viable alternative to a sponge origin for Neoproterozoic 24-isopropylcholestanes. Perhaps the last major challenge against the sponge biomarker hypothesis is the “ghost lineage” linking this sterane to the oldest unambiguous fossils of siliceous sponges, which has previously been estimated at >100 million y (8). But the recent description of a 600-million-y-old putative sponge fossil dramatically shortens this gap (43). Such discoveries illustrate the significant incompleteness remaining in the early fossil record and reinforce the utility of biomarkers for understanding this critical period of biological evolution.

Methods

See [Supporting Information](#) for a detailed description of methods and [Dataset S1](#) for all relevant input files, bioinformatics commands, and output trees. Briefly, lipid extractions were performed using a modified Bligh–Dyer method (44). The total lipid extracts (TLEs) were saponified by mild alkaline methanolysis, generating fatty-acid methyl-esters (45). Five-fraction column chromatography on SiO_2 was used to separate the sterols from other cellular lipids, which were derivatized to form trimethylsilyl ethers. GC–MS analyses were carried out on an Agilent GC-MSD 5975C equipped with a programmable temperature vaporizing injector (36). For comparative genomic analyses, proteomes were queried using candidate sequences (provided in [Dataset S1](#)) using BLASTp, with a *P* value cutoff of $10e-5$. Proteins were vetted using tree-building algorithms and InterProScan (46). For the molecular clock analyses, an *SMT* gene tree was species tree error-corrected using NOTUNG (33). Molecular clock analyses were performed using the BEAST package (v.1.7.5) (47).

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