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The seasonal variation of the upper layers of the South China Sea (SCS) circulation and the Indonesian through flow (ITF): An ocean model study

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1 **The Seasonal Variation of the Upper Layers of the South China Sea (SCS)**
2 **circulation and the Indonesian Throughflow (ITF): An Ocean Model Study**

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Danya Xu¹ and Paola Malanotte-Rizzoli²

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¹ Center for Environmental Sensing and Modeling (CENSAM)
Singapore-MIT Alliance for Research and Technology (SMART)
1 CREATE Way, #09-03 CREATE Tower, Singapore 138602

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² Department of Earth, Atmospheric and Planetary Sciences
Massachusetts Institute of Technology
Cambridge MA 02139 USA

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20 Corresponding Author: Danya Xu

21 E-mail: danyaxu@smart.mit.edu

22 Phone: +65-6516-5594

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27 **Abstract**

28 The upper layer of the South China Sea (SCS) circulation and the Indonesian Throughflow
29 (ITF) are simulated by using a high resolution Finite-Volume Coastal Ocean Model (FVCOM).
30 Forced by two climatological periods of 60s and 90s which are the decadal averaged simulation
31 results of a global circulation model MITgcm from 1960 to 1969 and 1990 to 1999 respectively
32 to represent pre-warming and warming stages, the seasonal varied upper layer wind driven
33 circulation of the SCS and ITF are successfully simulated. The seasonal variability of the
34 circulation, thermal structure, the volume transport through the Southeast Asian maritime regions
35 are estimated based on the model output. The model results are in good agreement with the
36 available observational data. Numerical experiments shows the upper layer circulation of the
37 SCS are primarily driven by the monsoon winds and reverse its directions with the alternative
38 changing prevailing wind directions. The averaged SCS circulation in 90s is weaker than 60s due
39 to weaker monsoonal winds. But the 90s ITF is stronger than 60s is caused by the greater Sea
40 Surface Hight (SSH) difference between the western Pacific and the eastern Indian Ocean. The
41 southward ITF can be blocked by South China Sea Through Flow (SCSTF) the at the Makassar
42 Strait in upper 50m during boreal winter. Part of ITF water feed SCSTF flow into the SCS
43 through Karimata Strait during summer. The SCSTF exports about 1.4 (2.0) Sv SCS water
44 annually into the Indonesian Seas through the Karimata (Mindoro) Strait. The SCSTF play an
45 important role in regulating the volume transport and water property of the ITF western branch.
46 The annual averaged volume transport of the ITF inflow (flow through Makassar and Lifamatola
47 straits) is about 15 Sv which is very close to the long-term observations. The ITF outflow (flow
48 through Lombok, Ombai and Timor stratis) is about 2 Sv greater than ITF inflow due to the
49 uncertainty of the water passage of the eastern branch of the ITF inflow through Lifamatola

50 Strait. Both the Simple Ocean Data Assimilation (SODA) reanalysis data and model indicate the
51 difference of the sea surface temperature (SST) and thermal structure of shallow shelf region of
52 the SCS between 90s and 60s showing apparent warming signal, this is agree with global upper
53 ocean heat content warming trend (Levitus et al, 2009). The difference of decadal averaged
54 NCEP net heat flux between warming stage (90s) and pre-warming stage (60s) shows the ocean
55 obtain less heat both at the upper stream of the SCSTF (20N where Pacific water enters through
56 Luzon Strait) and the downstream of the SCSTF (Karimata Strait) during 90s, which demonstrate
57 that the warming of the SCS is local and not due to conduit of warmer waters from the Pacific.

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61 **Keywords:** The South China Sea, Indonesian Through Flow, Circulation, Volume Transport,
62 Thermal Structure.

63

63 **1. Introduction**

64 The Southeast Asia Maritime Continent comprises the South China Sea (SCS), the
65 Indonesian Seas (IS) and the complex system of currents known as the Indonesia Through Flow
66 (ITF). The geometry of the region and the bathymetry are shown in Fig. 1a. An extensive
67 number of investigations have been devoted to the ITF, one of the most important pathways in
68 the water exchange between different ocean basins and the major conduit of equatorial Pacific
69 waters into the Indian ocean, of the order of 10-15 Sv (1 Sverdrup = 10^6 m³/sec). The ITF has
70 since long been recognized as playing an important role in the world's climate (Gordon and Fine,
71 1996). It transmits the El Nino-Southern Oscillation (ENSO) signal from the Pacific to the Indian
72 Ocean via changes in the internal energy transport (Tillinger and Gordon et al, 2010) and affects
73 the Indian monsoon (Gordon, 2005). Extensive observational studies have been focused on the
74 ITF in the last decade, in particular during the International Nusantara Stratification and
75 Transport (INSTANT) program, showing that 80% of the flow from the Pacific to the Indian
76 Ocean occurs in the Makassar strait (Gordon et al, 2008, 2010). The Makassar strait (sill depth ~
77 680 m.) together with the deep Lifamatola passage (sill depth ~ 2000m.) are the entrance points
78 of the ITF from the Pacific. The exit points into the Indian ocean are the Lombok, Ombai Timor
79 and Torres straits (Fig. 1a and 1b).

80 Many studies have been focused on the South China Sea (Fig 1a), one of the largest semi-
81 enclosed marginal sea in the world. It is connected in the North to the western Pacific through
82 the Luzon strait, deep (~ 2,700m.) and wide (~ 300 Km.) , and also to the East China Sea
83 through the shallow Taiwan strait (< 100m.).The SCS in the south connects to the Sulu and

84 Java seas through the Mindoro (~ 400m.) and Karimata (< 50 m.) straits. All the straits are
85 marked in Fig. 1a and 1b.

86 Recently however the SCS has been shown to play a major role in the volume and heat
87 exchanges among the various Indonesian seas, crucially affecting the ITF itself. On the basin
88 average, the SCS absorbs heat from the atmosphere in the range from 20 to 50 Watts/m² (Qu et
89 al., 2009). It is also a recipient of heavy rainfall with an annual mean value of 0.2-03 Sv (Qu et
90 al., 2009). For the long term average distribution of properties, the heat and fresh water gains can
91 only be balanced by horizontal advection. The SCS transforms the cold, salty water of northwest
92 Pacific origin inflowing through the northern Luzon strait into warm fresh water out-flowing
93 through the Mindoro and the shallow southern Karimata straits (Qu et al., 2000, 2009; Fang et al.,
94 2009; Du and Qu, 2010). This circulation is called the South China Sea Through Flow (STSTF)
95 and has been shown to actually oppose the ITF in the surface layer of the Makassar strait during
96 winter. The circulation here is the superposition of the southward-flowing ITF in the thermocline
97 layer and the northward-flowing SCSTF at the surface. For an excellent review of the
98 phenomenology, major properties and importance of the SCS for climate see Qu et al (2009).

99 The SCS circulation and seasonal variations were first interpreted as the response to the
100 forcing of the seasonal Asian monsoon in the pioneering study by Wyrтки (1961). Successive
101 studies (Shaw and Chao, 1994; Qu, 2000; Xue et al., 2004; Gan et al., 2006; Fang et al., 2009)
102 confirmed this explanation emphasizing also the importance of Kuroshio intrusions into the sea
103 through the Luzon strait. Wyrтки again (1961,1987) first explained the existence of the ITF as
104 due to the pressure difference between the Pacific and Indian oceans. Contrary to Wyrтки's
105 pressure gradient theory, Mayer et al. (2010), Mayer and Damm (2012) using nested numerical

106 model simulated the 40 year variation of ITF, numerical simulations showed the ITF to exist
107 even when the pressure gradient heads from the Indian to the Pacific ocean, they also suggest
108 that Makassar strait throughflow is a distinct current which is an extension of west boundary
109 current. Modeling studies of the South East Asia region fall within two categories, global and
110 regional ones. In the pioneering investigation of Metzger and Hurlburt (1996), a reduced-gravity
111 version of the global Navy layer model was used to study the coupled dynamics of the SCS, the
112 Sulu sea and Pacific ocean. Tozuka et al. (2007) studied the seasonal and interannual variations
113 of the ITF by comparing two numerical simulations with and without the SCS, again with a
114 global model. They showed the volume and heat transports of the ITF to increase significantly
115 when closing completely the SCS, thus demonstrating the crucial importance of the latter one for
116 the dynamics and balance of properties in the Indonesian seas. Regional, high resolution
117 modeling studies (Shaw and Chao, 1994; Xue et al., 2004; Gan et al., 2006) on the other side
118 focused on the SCS alone.

119 The global modeling studies suffer from the serious limitation of having relatively coarse
120 resolution ($> \frac{1}{2}$ degree) and a very smooth topography. They cannot therefore resolve
121 adequately the numerous, narrow and often very shallow straits connecting the different seas.
122 Hence, they cannot simulate sufficiently realistic ITF and SCSTF transports. The regional
123 modeling studies, even though endowed with high resolution, focusing on the SCS alone, cannot
124 reproduce the crucial interactions between the SCSTF and the ITF. In this study we aim to
125 overcome both limitations. We have at our disposal the global MIT climate model, comprising
126 an ocean and atmospheric components among others. A five decades-long simulation is available
127 to us for the period 1958-2008. We have embedded in the global MIT OGCM a regional, very
128 high resolution model, the Finite Volume Coastal Ocean Model (FVCOM). The FVCOM

129 variable grid covers an extensive region in both the western Pacific and eastern Indian oceans,
130 the entire SCS and ITF system with all the straits interconnecting them finely resolved. The
131 resolution changes from ~ 100 Km at the open Pacific and Indian boundaries to ~ 5 Km. in the
132 straits and over the steep continental slopes, as shown in Fig. 1b. The open boundary conditions
133 and surface forcing functions are provided to FVCOM by the MIT global ocean and atmosphere
134 GCM output.

135 We have three major objectives in the present study.

136 1) We want to reconstruct the wind-driven circulation of the SCS and IS and its
137 seasonality induced by the dominant monsoon system. Focusing on both the SCSTF and ITF, we
138 want to provide quantitative evaluations of the transports through all the straits interconnecting
139 them, hence of their interactions. Whenever possible, we compare these transports to the
140 available observations thus assessing the realism of the simulations.

141 2) We want to reconstruct the horizontal thermal structure of the SCS, its seasonal
142 evolution and the properties of the stratification especially on the shallow southern Sunda shelf.
143 We assess the modeled thermal structure against the reanalysis of the SODA dataset (Carton et
144 al., 2000).

145 3) Having five decades of global simulation from the MIT ogcm, we want to follow the
146 evolution of both the wind-driven circulation and thermal structure of the SCS from the 60s to
147 the 90s. We therefore choose the two decades 1960-1969 and 1990-1999 simulating the
148 climatology of the two decades, comparing them and again comparing the modeled thermal
149 structure with the SODA climatologies for the two decades.

150 To the best of our knowledge, objectives 2 and 3 have not been previously addressed.

151 The paper is organized as follows.

152 Section 2 presents the model used in this study: the MIT global climate model and the
153 regional FVCOM. The model configurations are discussed and details are given of the
154 approximations and parameterizations used. The overall construction of the numerical
155 experiments is presented. Section 3 focuses on the wind-driven circulation, exchanges between
156 the different sub-basins through the interconnecting straits, evaluation of the straits transports
157 and comparison with the available observations. The differences between the wind-driven
158 circulations of the 60s and 90s are also explored. Section 4 is devoted to the evaluation of the
159 horizontal thermal structure and vertical stratification of the shallow shelf of the SCS, its
160 seasonal evolution and the changes between the 60s and 90s assessed against the analogous
161 changes in the SODA reanalysis. Finally, in section 5 we summarize the major findings,
162 deficiencies in the results obtained and prospects to correct them in future research.

163 **2. Model Configuration and Experimental Set-up**

164 We use two models in the simulations, a global OGCM with course horizontal resolution
165 and a regional model covering the domain of Fig. 1b in which the horizontal resolution can be
166 increased in the narrow straits and over steep continental slopes that require a more accurate
167 representation of vertical dynamical processes.

168 The global model is part of the MIT Integrated Earth System Model, specifically the
169 component designed to simulate climate processes. It comprises the ocean GCM, a primitive
170 equation, three-dimensional, hydrostatic, z-level model with the resolution of $2.5^\circ \times 2^\circ$ in

171 longitude and latitude respectively and 22 vertical levels (layer thicknesses ranging from 10 to
172 765 m.). It includes a prognostic carbon model. The atmosphere is represented by a statistical-
173 dynamical two-dimensional model (zonally-averaged over land, ocean and sea ice) with a 4°
174 resolution and 11 vertical levels. Land, sea ice and active chemistry models are also included.
175 The wind stresses used in the global simulations are not provided by the atmospheric model but
176 by the NCEP reanalysis (Kalnay et al., 1996) for the simulation period 1948-2008. The heat and
177 moisture fluxes, on the other side, are provided by the two-dimensional atmosphere. Being
178 zonally averaged, the longitudinal dependence of the fluxes is reconstructed through a
179 “spreading technique” in which the total heat flux $Q(y)$ is modified by adding to it the term
180 $dQ/dT \cdot \Delta T(x,y)$ where ΔT is the difference between the local temperature and the zonal mean.
181 Like most ocean/atmosphere coupled models, the ocean SST suffer from the well known climate
182 drift problem, i.e. when forced by the atmospheric fluxes alone they drift away from the present
183 climate. A flux correction is therefore applied to the surface temperatures and salinities by
184 restoring them to the Levitus climatology through a nudging term. The complex spin-up
185 procedure of the MIT gcm can be found in http://mitgcm.org/public/r2_manual/latest.

186 Embedded in the global MITgcm with one-way coupling is the regional FVCOM
187 developed by Chen et al.(2003,2006b). FVCOM is an unstructured grid, finite-volume, three-
188 dimensional, free-surface primitive equation model. FVCOM solves the momentum and
189 thermodynamic equations using a second-order, finite-volume flux scheme that ensures mass
190 conservation on the individual control volume as well as the entire computational domain (Chen
191 et al, 2006 a,b).The Mellor-Yamada level 2.5 turbulent closure scheme is used for vertical eddy
192 viscosity and diffusivity (Mellor and Yamada, 1982). The Smagorinsky turbulence closure is

193 used for horizontal diffusivity (Smagorinski, 1963). For details see
194 <http://fvcom.smast.umassd.edu/FVCOM/index.html>.

195 FVCOM has been widely used in many different coastal oceanic simulations. FVCOM
196 configured for the SCS model grid shown in Fig. 1b. As evident, the model domain covers the
197 entire SCS and Indonesian archipelago, including large sections of the western Pacific and
198 eastern Indian oceans. The open eastern and western boundaries have purposely been chosen to
199 be in the two oceans interior, far away from the SCS and Indonesian Through Flow, object of the
200 present simulations. The domain covers all the ITF pathways, with its inflow and outflow straits
201 marked in Fig.1b. The straits are well resolved by the variable mesh of the grid. The horizontal
202 resolution varies from ~ 5 Km. in the straits and over the continental slopes; to 18 Km. in the
203 shallow regions such as the SCS southern Sunda shelf, increasing to ~ 150 Km. at the open
204 boundaries. The model is configured with 31 vertical sigma levels with higher resolution at the
205 surface and coarser at depth, providing a vertical resolution of < 1 m. in the surface boundary
206 layer on the shelves and ~ 10 m. in the open ocean. The real topography of ETOPO5 is
207 interpolated to the model mesh with the maximum depth of $\sim 8,000$ m. in the Philippine trench,
208 as shown in Fig. 1a. The minimum water depth is set at 10 m.

209 Ocean-only simulations also suffer from the “climate drift” problem, in which the
210 simulated SST drifts away from the present climatology. As in the MIT ogcm, a flux correction
211 is applied restoring the SST to the observed climatology as in Ezer and Mellor (1997) and, more
212 recently, in Gan, (2006). In fact the MIT heat fluxes, reconstructed through the “spreading
213 technique”, are not only very coarse resolution, needing to be further interpolated to the grid of

214 Fig. 1b, but also incompatible with the SST simulations of FVCOM as proved by the SST drift.

215 Again, we correct the fluxes through a nudging term:

$$216 \quad \tau_h (T^*-T)$$

217 Appended to the prognostic temperature equation, where $T^*(x, y)$ is the monthly-
218 averaged surface temperature provided by the SODA reanalysis (Carton et al, 2000). Differently
219 from Ezer and Mellor (1997), the nudging coefficient τ_h is not constant but depth-dependent. τ_h
220 linearly decreases from 0.2 in the shallow regions to 0.001 when the depth (D) reaches 3,000m.
221 and remains fixed to 0.001 for $D > 3,000$ m. With this formulation in the deep ocean the nudging
222 term is negligible and the SST is determined by the atmospheric heat fluxes and
223 horizontal/vertical dynamical processes. In the shallow regions, where the surface heat flux are
224 most important for the heat budget, the SST, is basically determined by the SODA dataset. Also,
225 as in Gan (2006), we include only temperature in the simulations. Our focus is on the wind-
226 driven circulation of the SCSTF/ITF and on the thermal structure of the upper ocean averaged
227 over a decade, specifically the two decades of the 60s and 90s separately. Over this short time
228 scale, the long-term, centennial evolution of temperature and salinity necessary to balance
229 through horizontal advection the surface heat (warming) and moisture (freshening) fluxes cannot
230 be simulated. Also, on the decadal time scale, temperature is the more dynamically important
231 variable while salinity behaves more like a passive tracer. As a further remark, decadal
232 simulations cannot reconstruct the more-than-centennial evolution of the deep thermal structure
233 and of the associated thermohaline circulation. The deep stratification is hence determined by the
234 initial condition provided by the MIT OGCM. The differences in the average climatologies of
235 the 60s and 90s reconstructed by the regional simulations are therefore due to the differences

236 between the two decades in the surface forcing functions, wind stresses and heat fluxes over the
237 shallow regions only. As over the shallow regions the SST are strongly constrained by the
238 SODA reanalysis, their differences will correspond to the SST-SODA differences between the
239 60s and the 90s. The subsurface thermal structure in the shallow regions will be determined by
240 vertical diffusion in the surface layer.

241 For the two decades of the 60s and 90s, the input from the MIT OGCM to the regional
242 domain is constituted by the decadal weekly averages of

- 243 i) sea level; (T,S) and (u,v) at all levels at the open boundaries
- 244 ii) solar radiation and net heat flux at the surface
- 245 iii) NCEP weekly averaged wind stresses

246 We spin up the regional domain with the perpetual year of the average 60s and 90s. After
247 a 14 year spin-up, the wind-driven circulation has equilibrated as evident from the evolution of
248 the total kinetic energy (spin-up time ~three years, not shown). The thermal structure in the
249 upper layer, above 1000 m., also reaches equilibrium in roughly the same time as evident from
250 the temperature evolution at the sigma-levels (not shown). The initial condition for spin-up is the
251 first week in January of the two decades. Circulation and thermal structure properties are
252 diagnosed and quantified in the one-year after spin-up. Particular attention had to be given to the
253 pressure gradient determined by the sea level distribution at the two open boundaries in the
254 Pacific and Indian oceans respectively. The very coarse horizontal resolution of the MIT OGCM
255 sea surface heights (SSH) provide unrealistic geostrophic currents and unrealistic transports at
256 the open boundaries, especially crucial along the eastern Pacific side with the inflows/ outflows
257 of the tropical Pacific currents, such as the North Equatorial Counter Current (NECC), the North

258 Equatorial Current (NEC) and the northernmost Kuroshio. These boundary pressure gradients
259 compete with the monsoon driven circulation in the interior of the regional domain, and can
260 actually reverse it. An extensive sensitivity study, which we do not report in detail, was therefore
261 carried out adjusting the boundaries SSH to reproduce realistic values of the tropical Pacific
262 currents transports and of their patterns. The Indian boundaries SSH did not prove crucial as they
263 mostly constitute exit points of the interior ITF flow.

264 Finally, tidal forcing is not included either in the MIT OGCM or in FVCOM. Tides are
265 not simulated in global circulation models, devoted to study the ocean circulation and in fact
266 tidal models are rather different form OGCM (see for instance Zu et al., 2008). Tides, being
267 periodic phenomena, are dynamically irrelevant for the general circulation. Their only effect is
268 mixing and energy dissipation over the shelves, effect which is parameterized through bottom
269 and lateral friction.

270 **3. The SCS Wind Driven Circulation**

271 **3.1 Monsoonal Wind Stress**

272 The SCS and Indonesian Seas are under the control of the fairly complex monsoon wind
273 system. Figure 2. shows the decadal averages of the National Centers for Environmental
274 Prediction (NCEP) winter/summer wind stress (N/m^2) of the 60s, Fig.2a and 2b, 90s, Fig.2c and
275 2d, and their difference (90s-60s), Fig.2e and f respectively. In the winter season (DJF), the
276 Northeast (NE) monsoon wind dominates over the SCS and its magnitude reaches the maximum
277 value ($>0.2 N/ m^2$) along the northeast-southwest diagonal axis of the SCS basin. The wind stress
278 magnitude gradually decreases from the SCS interior to the land-coasts and changes direction

279 over the ITF system, becoming mostly zonal and eastward. The NE Indian monsoon has a
280 weaker magnitude ($\sim 0.05 \text{ N/m}^2$) and converges with the Southwest (SW) Australian monsoon
281 over the eastern Indian Ocean equatorial region. The Malaysian-Australian monsoon is eastward
282 from the Java sea to the western Australian coast. During Summer (JJA), the Australian monsoon
283 keeps a northwestward direction and intensifies in magnitude reaching $\sim 0.15 \text{ N/m}^2$. Both the
284 Asian and Indian monsoons reverse from NE to SW. The magnitude of the Asian monsoon over
285 the SCS is weaker (0.05 to 0.08 N/m^2) compared with the Indian and the Australian monsoons
286 ($\sim 0.15 \text{ N/m}^2$). The Malaysian-Australian monsoon reverses with a magnitude of $\sim 0.02 \text{ N/m}^2$
287 greater than its winter counterpart. The Spring (MAM) and Fall (SON) are the monsoon
288 transient seasons with relative small wind speed (not shown).

289 Compared to the 60s, (Fig.2 a & b), the wind stress field of the 90s (Fig.2 c & d) shows a
290 very similar pattern, but there are noticeable differences between the two as shown in Fig. 2 e-f.
291 Overall, the differences (90s-60s) both in Winter and Summer are opposite to the general wind
292 direction in that season (NE in Winter and SW in Summer), indicating that the 60s winds are
293 stronger than the 90s. This is particularly clear for Summer, Fig. 2f, with the greatest difference
294 reaching a maximum of $\sim 0.05 \text{ N/m}^2$ over the SCS interior and NE Indian ocean. The Winter
295 difference, Fig. 2e, is more complex, showing a small cyclonic gyre in the southern half of the
296 SCS, indicating a stronger cyclonic tendency in the 90s. In the northern SCS the 60s Winter wind
297 stress is again stronger than in the 90s.

298 This picture is confirmed by the wind stress curl evaluated for the two seasons and the
299 two decades. Fig 3 shows the Winter/Summer curls for the 60s, Fig 3a and 3b, and for the 90s,
300 Fig 3c and 3d, respectively. All the patterns show the line of zero wind-stress curl crossing the

301 SCS along its longest diagonal axis in northeast to southwest direction. The curl consists of two
302 lobes of opposite sign on either side of the zero line. In the Winter season a cyclonic (positive)
303 curl is present over the eastern side and an anticyclonic (negative) one on the western side. The
304 pattern is reversed in Summer, with the eastern side now characterized by a negative curl and the
305 western side by a positive one. The two regions of opposite curl show the presence of various
306 centers of high intensity. In Winter 60s two strong centers of $\sim 2.5 \times 10^{-7} \text{ N/m}^3$ are present in the
307 northern and southern SCS separated by a third, weaker cyclonic center. In Winter 90s the
308 southern center is more intense reaching a maximum of $3 \times 10^{-7} \text{ N/m}^3$ and the northern one
309 weakens to $1.5 \times 10^{-7} \text{ N/m}^3$, confirming the patterns of Fig.2e indicative of a stronger southern
310 cyclonic tendency. The western, anticyclonic region has a weaker negative curl in the 90s than in
311 the 60s.

312 The reversed Summer pattern shows consistently weaker curls in the 90s than in the 60s,
313 both in the cyclonic and anticyclonic regions. The difference in the centers intensity however
314 does not exceed $+1 \times 10^{-7}$ and $-0.5 \times 10^{-7} \text{ N/m}^3$, of the same order as the Winter ones. The NCEP
315 curl patterns of Fig 3 are very similar, both in shape and intensity, to the curl patterns of Qu
316 (2000) for the Hellermann and Rosestein (1983) climatology; and to the patterns of Liu et al
317 (2001); Xue et al (2004) for the COADS climatology. This similarity indicates that the
318 differences between the two decades in the NCEP winds do not reflect long-term average trends
319 but are rather a manifestation of interdecadal variability around a stable climatology. We
320 therefore expect to find similar wind-driven circulations in both decades, with minor differences
321 due to decadal variability.

322 **3.2 SCS Seasonal Circulation**

323 Two competing forces drive the circulation in the upper layers of the Southeast Asia
324 Maritime Continent, the wind stress and the pressure gradients determined by the SSH
325 distribution at the open boundaries. The crucial boundary is the one in the eastern Pacific where
326 the ITF inflow is generated. In the SCS the dominant driving force is the wind stress and the
327 surface circulation reflects the seasonality of the monsoon system. The circulation reverses from
328 Winter to Summer, with a net cyclonic tendency in Winter and an anticyclonic one in Summer,
329 reflecting the corresponding seasonal wind curls of Fig.3. This is evident in Fig.4, showing the
330 Winter surface currents, Fig. 4a, and the Summer ones, Fig. 4b, for the 60s. These circulation
331 patterns are consistent with previous modeling results (Metger and Hurlburt, 1996; Xue et al.,
332 2004; Gan et al., 2006; Fang et al., 2009) In Winter, Fig.4a, western Pacific water enters the SCS
333 through the northern Luzon strait, merges with the coastal water of the northern shelf and turns
334 southward when reaching the eastern Vietnam coast. This western boundary current bypasses the
335 southern Vietnam coast splitting into two branches. A small branch turns northwestward and
336 flows into the Gulf of Thailand. The major current flows southward out of the SCS through the
337 Karimata strait, thus becoming part of the Pacific to Indian throughflow. In the Karimata strait
338 the current reaches a maximum speed of 0.7 m/sec. This SCSTF in Winter proceeds eastward in
339 the Java sea blocking the southward flow of the ITF in the Makassar strait. The surface
340 circulation reverses in Summer, Fig.4b. After entering the SCS through the Karimata strait, a
341 small part of the SCSTF turns northwestward into the Malacca strait, while the major SCSTF
342 branch turns eastward to form an anticyclonic gyre in the southern part of the basin. The northern
343 limb of this gyre forms a broad eastward current detaching from the Vietnam coast. In the
344 northern SCS the current flows northeastward out of the SCS through the Luzon and Taiwan

345 straits into the Pacific ocean. Due to the weaker wind speed, (Fig. 2), the Summer surface
346 currents are also generally weaker than the winter ones.

347 We do not show the corresponding circulation patterns for the decade of the 90s as they
348 are extremely similar to those of the 60s and no differences are apparent upon a simple visual
349 inspection. Rather, figs. (4c,d) give explicitly the difference in currents between the 90s and the
350 60s. It is evident that the circulation differences are highly correlated with the wind differences
351 between the two decades, Fig 2e, f. In Winter, Fig.4c, the difference (90s-60s) shows a broad
352 cyclonic gyre in the southern SCS, reflecting the stronger cyclonic tendency in the 90s winds.
353 The current difference in this gyre is about 0.1 m/sec. The differences in the remainder of the
354 SCS are very small (<0.01 m/sec), indicating an overall stable climatology of the surface Winter
355 circulation.

356 In Summer, Fig.4d, the Karimata strait surface velocity difference is southward and
357 reaches 0.1 m/sec, indicating that the 90s Summer northward current is smaller than in the 60s.
358 The (90s-60s) differences in the surface circulation are overall reversed with respect to the
359 Summer current directions, reflecting the weaker currents of the 90s. The surface circulation
360 patterns of the two decades and their differences are reproduced in the patterns at 50 and 100 m.
361 depths. We therefore present only the results for the 60s as they are representative of a stable
362 wind-driven circulation in the SCS with only minor differences due to decadal variability.

363 The currents at 50 m. depth are presented in Figs.5a,b. Due to the shallowness of the
364 Karimata strait (< 50 m.), the SCS circulation is closed in the basin interior at this and at deeper
365 depths. Therefore below 50 m. the SCSTF and ITF do not interact any longer. The overall mass
366 balance in the SCS can only be through inflows/outflows in different vertical layers in the Luzon

367 and Mindoro straits. The major difference in the 50m. patterns is that in Winter, Fig. 5a, a branch
368 of the Kuroshio intrudes into the SCS through the Luzon strait forming a loop flow. This
369 Kuroshio intrusion proceeds westward at a speed of ~ 0.15 m/sec along the northern continental
370 slope. Combined with the northern part of the recirculation gyre, it intensifies at the western
371 coast flowing subsequently southward along Taiwan and reaching the southwestern corner of the
372 SCS, with a maximum speed of 0.2 m/sec at the northern Sunda shelf. Two cyclonic gyres are
373 located in the northern and southern basins, with an anticyclonic, weaker one between them. In
374 Summer instead, Fig. 5b, the Kuroshio bypasses the Luzon strait and flows northeastward. There
375 is a cyclonic gyre over the northern half of the SCS and an anticyclonic one in the southern half,
376 the southern boundary of the latter located over the Sunda shelf. The anticyclonic eddy located at
377 the eastern shallow shelf off Malaysia Peninsula seems confirmed by the monthly mean
378 TOPEX/Poseidon SSH anomaly data by recent numerical simulations (Tangang et al., 2011).

379 The circulation at 100m. depth for the 60s is shown in Fig. 6a,b. , and is consistent with
380 the pattern at 50 m. but confined to a smaller basin. It is also consistent with the results of Gan et
381 al. (2006). In Winter, Fig. 6a, most of the Kuroshio water returns to the Pacific ocean at northern
382 part of Luzon strait, part of Kuroshio water still present as a western boundary current inside
383 the SCS. Also present is the northern cyclonic eddy west of Luzon, consistently with Qu's
384 climatological observations (Qu, 2000). Wang et al (2008)'s numerical model suggested that the
385 winter mesoscale eddies in the eastern SCS can only be resolved in high resolution local wind
386 forcing. Driven by NCEP wind data without orographic wind jets resolved in Luzon area, the
387 mesoscale eddies still present in our simulation. The mechanisms of winter eddy genesis in the
388 eastern SCS need further investigation. In Summer, the semi-enclosed SCS circulation consists
389 again of the two opposite gyres observed at 50 m., albeit smaller and weaker, Fig. 6b. A

390 northeastward jet (7-8 cm/sec) veers off central Vietnam and separates the northern cyclone from
391 the southern anicyclone, which are consistent with the ADCP observations of Li and Wu (2006).
392 The life cycle and forming mechanism of this summer dipole circulation structure off central
393 Vietnam coast was studied by Wang et al (2006) using an idealized model. Numerical
394 experiments indicated that the offshore wind jet determined the magnitudes and the core
395 positions of these two eddies.

396 **3.3 ITF Seasonal Circulation**

397 The local wind system over the maritime continent is more complicated compare with the
398 SCS which not only include the Asian Monsoon but also Malaysian-Australian Monsoon and
399 Western Australian Monsoon (Fig.2 and 3). Driven by this complex monsoon wind system, the
400 winter and summer surface circulation in both 60s (Fig.4 a-b) and 90s (not shown) in the
401 Indonesian seas show the same flow pattern: reverse its direction but this phenomenon only
402 confined in the surface layers. In winter, south Pacific surface water enters the Sulawesi Sea then
403 continually flows into the Makassar Strait, this western branch of the ITF is converged with the
404 northward SCSTF at south end of the Makassar strait. The eastern branch of the ITF enters the
405 Malucca sea flow through the Lifamatola strait. Both of these two branches of the ITF are
406 blocked by the eastward SCSTF and no apparent pacific water enters Indian Ocean at the surface.
407 In summer (Fig.4b), the surface flow of Makassar strait turns to northward. Driven by westward
408 wind, the surface Indonesian seas flow drift to northwest, Flores sea and Banda sea water
409 transport to the Indian Ocean through the ITF outflow passages: Lombok, Ombai and Timor
410 straits. Part of the water from southern end of the Makassar Strait turn southwest and join into
411 the westward inflow of the SCSTF. The complete pathway of the ITF is hard to be identified due

412 to strong surface wind driven circulation. This seasonal alternative changed pattern of the surface
413 Indonesian maritime flow is consistent with Gordon's (2005) review and INSTANT observations.

414 Below the surface (50m and 100m, Fig5-6), the ITF is a relative consistent flow sourced
415 from the tropical Pacific Ocean bypass the complex water passages of the Indonesian Seas and
416 flow into the Eastern Indian Ocean with less seasonal variation and keep the same flow direction.
417 At western Pacific low latitude region (7-12°N), the westward NEC split into northward
418 Kuroshio and southward Mindanao Current (MC) when reaching Philippine east coast. The
419 southward MC and some SCS water from the Mindoro strait are the origin of the ITF. The
420 western branch of the ITF forms a steady southward jet stream with a maximum speed over
421 0.5m/s at 50m due to the funnel shape of the Makassar strait. The velocity profiles of the
422 INSTANT observation (Gordon et al, 2008) also demonstrate this character of the subsurface
423 current intensification of the ITF in Makassar strait. A minor part of ITF flow through the
424 Makassar Strait directly enters Indian Ocean through the Lombok Strait. In the winter, part of
425 the ITF outflow at Lombok is from the SCSTF water. This is consistent with Gordon's (2005)
426 conclusion from the water property analysis. In general, the speed of the southward flow at the
427 Lombok Strait can reach 0.15m/s, about two third of the summer outflow velocity (Fig.5b, 6b).
428 The major part of western branch of ITF from the Makassar Strait turns eastward, crosses the
429 Flores Sea and flows all the way to the Banda Sea, then turns sharply southwestward at eastern
430 Timor, flows into the eastern Indian Ocean through the Ombai Strait and the Timor Strait. Once
431 enters the East Indian Ocean, the current flow toward west hugging tightly the southern Java
432 coast. The ITF pathway can be clearly distinguished from the subsurface layer of the velocity
433 field (Fig5-6). Especially during summer, even the wind (Fig.2) and the surface current at Flores
434 Sea (Fig.4) is westward, but the subsurface current flow against the wind direction to form the

435 ITF flow jet. Open boundary of sea level difference between two ocean basins determined this
436 flow. The flow pattern of the main stream of the ITF at 100m (Fig 6 a-b) and 50m (Fig 5 a-b) are
437 quite similar and the current speed of ITF maintains around 0.3m/s.

438 The circulation differences of 90s and 60s around ITF region shows the eastward currents
439 from the Flores sea to the Banda sea are apparently intensified in 90s from surface (Fig 4c-d) to
440 100m (not shown). This intensified current reinforces the ITF outflow transport through Ombai
441 and Timor straits during 90s. The current speed difference can reach 0.15m/s at the surface and
442 0.05m/s at 50m and 100m. Even the overall monsoon wind during the 90s is weaker than 60s,
443 but stronger ITF current during 90s is a result of a greater seal level difference between Pacific
444 Ocean and Indian Ocean in 90s than in 60s (not shown).

445 The modeled flow pattern of the ITF region agrees rather well with the deduced
446 conceptual ITF routes based on long-term observation (Wyrтки, 1961, 1987; Gordon and
447 McClean, 1999; Gordon et al, 2010; Sprintall et al, 2009). The model simulation indicated that
448 unlike the SCSTF, the overall circulation of the ITF around the Indonesian Seas (Fig.4-6) is
449 primarily controlled by the open boundary rather than the monsoon winds. The sea level
450 difference between the Pacific and Indian Ocean generated by the pressure gradients of the open
451 boundary is the major driving force of the ITF (Wyrтки, 1987). However, the monsoon winds can
452 influence the surface circulation of the ITF significantly.

453 **3.4 Volume Transports and Comparison with Observations**

454 In order to quantify the interocean transports and evaluate the interaction between the
455 SCSTF and the ITF, the volume transports through the water passages are calculated from

456
$$F_v = \int_A V_n dA \quad (1)$$

457 The total transport through each transect is the sum of the product of the velocity
458 component perpendicular to the transect (V_n) and the area element dA on the transect. Since the
459 model grid is an unstructured triangle mesh, we interpolate all variables on the line transect
460 crossing the considered strait. The transects of the SCSTF and the ITF passages are labeled in
461 Fig1b.

462 Figure 7 shows the annual, summer (JJA)/winter (DJF) mean transports and the
463 evolution of the monthly averaged volume transport through the SCSTF passages (Luzon and
464 Karimata Strait, fig 7a and 7b, Mindoro and Sibutu Strait, fig 7c and 7d), the ITF inflow
465 (Makassar and Lifamatola Strait, fig7e and 7f) and the ITF outflow (Lombok, Ombai, Timor and
466 Torres straits, fig 7g-i) for both 60s and 90s. There is an obvious relationship between the Luzon
467 strait transport (Fig 7a) and the prevailing monsoon. Westward Ekman transport (negative)
468 apparently enhances the Kuroshio intrusion during Winter. The intrusion of the Kuroshio
469 through the Luzon Strait is the upstream end of the SCSTF and the Luzon transport can increase
470 from 4.6 Sv ($\times 10^6 \text{ m}^3/\text{s}$) in Summer time to ~ 7.0 Sv during Winter giving an annual average
471 value ~ 5.6 Sv. This value is in very good agreement with Tian et al (2006)'s hydrographic
472 observation (6 ± 3 Sv). Because of the lack of synoptic observations, the existing estimates of the
473 Luzon transport are based on the upper layer dynamic calculation (Wyrтки, 1961; Qu et al, 2000)
474 and numerical models (Metzger and Hurlburt, 1996; Xue et al, 2004; Qu et al, 2006; Tozuka et al,
475 2007; Fang et al, 2009). The estimated Luzon annual transport varies from 0.1 to 8.0 Sv with
476 great uncertainty (Fang et al, 2009). Our numerical simulation is very close to the mean of these
477 estimates (~ 4.5 Sv). The 60s and 90s Luzon transports basically the same.

478 In the 60s, about 1.4 Sv annual net SCSTF transport leaves the SCS through the
479 Karimata Strait and enters the Java Sea, in which the outflow (inflow) occurs during Winter
480 (Summer) at about 3.6 (1.1) Sv. Compared with most of the previous numerical estimates, the
481 net yearly transport through the Karimata Strait is larger. For instance, the annual mean transport
482 is 0.6 Sv in Metzger et al (2010)'s simulation. Recently, however, Fang et al (2010) deployed 2
483 velocity moorings in Karimata from 2007 to 2008. They found that the Winter maximum surface
484 current can reach 70cm/s, with the bottom velocities not less than 20cm/s. They estimated the
485 Winter transport to be of 3.7 Sv. In our simulation the Winter outflow from Karimata is ~ 3.6
486 Sv., in excellent agreement with the measured value. Unfortunately, Fang et al. did not retrieve
487 data during Summer. The time series of the Karimata transport (Fig 7b) shows the Summer
488 inflow to be smaller than the Winter outflow which is consistent with the Summer monsoon
489 being weaker than Winter one. As the Karimata strait is very shallow, its transport is controlled
490 by the wind. Consistently, as the Summer monsoon in the 90s is weaker than in the 60s, the 90s
491 Karimata Summer inflow is about half that of the 60s. Overall, our model estimates of the
492 SCSTF inflow through Luzon and outflow through Karimata are in very good agreement with
493 the best available observational estimates.

494 Besides Karimata, Mindoro strait is another important passage for the SCSTF to export
495 Pacific origin water into Indonesian Seas. This branch of the SCSTF combined with westward
496 Pacific water through Philippine islands flow southward through Sibutu strait and finally feed
497 into the upstream of the ITF. For both 60s and 90s, about 2.0 Sv SCS water enter Sulu Sea
498 through Mindoro Strait (Fig 7c), at the same time, about 2.9 Sv water flow southward pass
499 through Sibutu Strait and integrated into ITF (Fig 7d) . These volume transport values are very
500 close to Qu and Song (2009)'s estimations from satellite data (Mindoro transport is 2.4 Sv and

501 Sibutu transport is 2.8 Sv). The modeled seasonal variation of the volume transports of these two
502 straits are nearly in phase: The minimum transport occurred during early spring (March), then
503 gradually increased and reach its maximum in autumn (October). The patterns of the transport
504 seasonal variations are consistent with Qu and Song (2009). Interestingly, this seasonal variation
505 is opposite to the ITF seasonal transport variation phase. Since these two straits contribute
506 significant water to ITF upstream, our model indicates that the transport through Mindoro and
507 Sibutu can regulate the seasonal variation of the ITF. Gordon et al (2012) analyzed the variation
508 of the ITF through Makassar Strait based on observation and HYCOM model simulation, they
509 suggest the weaker Makassar Strait transport during El Nino year may due to stronger SCSTF
510 through Mindoro Strait block the Pacific water westward supply.

511 As the circulation (Fig 4-6) shows, the basic pattern of the total ITF transport and the
512 monthly variation of these two decades are the same but the overall ITF transport of the 90s is
513 greater than the 60s. The modeled total ITF inflow transport is the sum of the Makassar and
514 Lifamatola strait transport with significant seasonal variations (Fig.7e-f). The Makassar Strait is
515 the main route of the ITF and the annual averaged transport is about 9.6 and 10.3 Sv in the 60s
516 and 90s respectively. Tillinger and Gordon (2009, 2010) analyzed Makassar transport from 1948
517 to 2007 total 50-yr variation of the SODA data and they found the averaged 60s ITF transport is
518 smaller than 90s. From the time series of the transport (Fig 7e) a double peak seasonal variation
519 can be observed. The southward transport gradually increases from Winter (DJF) reaching the
520 maximum value of 11.4 Sv (60s) and 12.2 Sv (90s) in March, then decreases to 8.6 Sv (9.6 Sv)
521 from April to June, then increases again and reaches the second peak of 11.9 Sv (12.1 Sv) in
522 August. Afterwards, the transport decreases to its minimum ~ 6 Sv (6.5 Sv) in October and
523 November. The smaller Makassar transport during the Winter season may be due to the strong

524 eastward SCSTF that blocks the southward ITF at the southern entrance of the Makassar strait in
525 the surface layer (upper 50m). On the other side, in Summer part of the ITF turns westward to
526 feed into the reversed SCSTF flow and into the SCS. The interaction between the SCSTF and
527 the ITF manifests itself through this alternating blocking and feeding mechanism that regulates
528 the ITF transport and water property exchanges. The recent published synoptic observations of
529 the INSTANT program shows the 3-year mean Makassar strait transport to be nearly 11.6 ± 3.3
530 Sv with a double peak pattern. The maximum transport occurs towards the end of the northwest
531 (March) and southeast monsoons (August), with the minimum transport during the monsoon
532 transition seasons (May and November) (Gordon et al, 2008). Our modeled Makassar transport
533 seasonal cycle agrees well with the INSTANT observations even though the model annual
534 averaged transport is 1-2 Sv smaller. Numerical experiments of long term integrations of the
535 high resolution model (Shinoda et al, 2012) found that the wind is the key factor in controlling
536 the seasonal variation of the ITF at Makassar Strait. The INSTANT observations also show the
537 eastern routine of the ITF through the Lifamotala strait to be about 3 Sv of which 2.5 Sv is the
538 contribution from the deep flow (1250m to bottom) as upper layer observations are not available
539 (van Aken et al, 2009). The modeled Lifamatola Strait transport is about 5.5 Sv in the 60s and
540 6.3 Sv in the 90s of which about half is from the surface to 1250m and the other half is from the
541 deeper flow (1250m to bottom). A detailed comparison between modeled and observed values is
542 not possible because of the lack of upper layer measurements. Combined with the Makassar
543 transport, the modeled total ITF inflow is 15.1 and 16.6 Sv in the 60s and 90s respectively (Table
544 1), close to the INSTANT observation (13 Sv) and within the uncertainty range.

545 Figure 7g-i is the modeled volume transport of the ITF outflow passages (Lomok, Ombai,
546 Timor and Torres Straits). Unlike the other two, the Lombok transport (Fig 7g) shows less

547 seasonal variations. Compared with the Ombai and the Timor straits, the Lombok strait is the
548 shallowest with the sill depth at about 300m, and the narrowest width of ~ 35 km, both of which
549 limit the transport. The 90s transport is 0.7-0.2 Sv greater than the 60s during January to March,
550 the remaining months being almost the same (Fig 7g). The Winter average transport is ~ 3.4 Sv
551 and the Summer one is ~ 4.1 Sv in the 60s. Even if the annual average ITF inflow increases by
552 1.5 Sv from the 60s to the 90s, the Lombok transport remains the same in the two decades. The
553 model annual average Lombok transport (3.7 Sv) is about 1.1 Sv greater than the INSTANT 3
554 year average value of 2.6 Sv (Springtall et al, 2009). The Ombai strait is a narrow (~35 km) but
555 deep (3250m) channel connecting the Banda and Savu seas. The annual average Ombai transport
556 (Fig. 7h) of the 90s is 0.6 Sv greater than the 60s. The maximum transport occurs during the
557 monsoon seasons with the Winter (DJF) transport greater than the Summer one (JJA). This
558 seasonal variation is consistent with the INSTANT record and the model overestimates the
559 annual average transport by 1.8-2.4 Sv (Springtall et al, 2009). The largest transport difference
560 between the two decades is in the Timor strait (Fig. 7i) with 7.8 Sv in the 90s and 6.4Sv in the
561 60s. The 90s transport is very close to the INSTANT observation (-7.5 Sv). The time series of
562 the Timor transport is in phase with the Makassar one, indicating that the Timor strait is the main
563 outflow path of the ITF. The Torres Strait is the only water channel connecting the Arafura Sea
564 and the South Pacific Ocean. But the transport (Fig. 7j) is negligible because of the shallow
565 water depth. The westward (eastward) flows during Summer (Winter) are less than 0.25 Sv and
566 the annual average of 0.1 is the same in both decades. This value is very close to Metzger et al
567 (2010)'s estimate of 0.2 Sv.

568 Overall, the modeled annual averaged total ITF outflow is nearly 16.8 Sv in the 60s and
569 18.9 Sv in the 90s (Table 1), with the outflow greater than the inflow by ~ 1.7 Sv and 2.3 Sv

570 respectively. There is a 2 Sv difference between the ITF outflow and inflow in the INSTANT
571 observations (Gordon et al, 2010). This difference can be attributed mostly to the uncertainty of
572 the Lifamatola Passage transport estimate. Overall, considering the scarcity of observations, the
573 model transport estimates of the total ITF inflow/outflow are in good agreement with the values
574 shown in Table 1.

575 **4. Thermal structure**

576 As stated in the introduction, one of the major objectives of this work is to reconstruct the
577 interior thermal structure of the SCS and its evolution during four decades. To our knowledge,
578 no previous study has focused on the SCS thermal properties. As we did for the wind-driven
579 circulation, we contrast the two decades of the 60s (1960-69 average) and 90s (1990-99 average)
580 having at our disposal five decades of global simulation from the MITgcm.

581 The motivation for this investigation stems from the Levitus et al. (2000, 2001, 2005,
582 2009). Levitus et al. (2000) reported that the heat content of the world ocean from the surface
583 through 3000 m depth increased by $\sim 2 \times 10^{23}$ Joules between the mid-50s and mid-90s
584 representing a volume mean warming of 0.06 C° . This trend was attributed to the increase in
585 greenhouse gases in the earth's atmosphere in Levitus et al. (2001). More recently, these
586 estimates have been updated for the upper 700 m. of the world ocean and discarded the
587 unreliable XBT data for the period 1955-2008 giving a linear increasing trend of 0.32×10^{22}
588 Joules/yr starting in 1969 and for the period 1969-2008 (Levitus et al, 2009, Fig. S9). We are
589 therefore interested in investigating if the upper layers of the SCS, and especially its southern
590 shallow Sunda shelf, has also undergone a similar warming. According to the global average
591 heat content of the upper 700 m. given by Levitus et al.(2009), the decade 1960-69 belongs to the

592 pre-warming phase, while the decade 1990-99 is in the full warming phase. The two decades
593 therefore should represent two different climatological regimes.

594 Our reference dataset for the two decades is the SODA reanalysis (Carton et al, 2000)
595 which we examine first to establish thermal trends in our domain. Figure 8 shows the
596 temperature differences between 90s and 60s (90s minus 60s) of the SODA decadal averages
597 during Winter, Summer and over the entire year at the surface, 15m. and 50m. in the SCS and
598 Indonesian seas. It is clear that the 90s yearly averaged temperatures in the SCS are overall
599 warmer than the 60s at all these three levels (Fig 8c, 8f and 8i). The highest temperature
600 differences occur during Summer (JJA) (Fig 8b, 8e and 8h) and the warmest region is in the
601 western part of the SCS, with the 90s $\sim 1-2$ °C warmer from the surface to 50m. In Winter (DJF),
602 the temperature differences are smaller (<0.5 °C), and even cooler in the northern shelf and
603 western Luzon island (Fig 8a, 8b and 8c). The ITF region and southern Java coast actually show
604 cooling between the 90s and 60s, especially at 50m. depth. From the SODA data, there is clear
605 warming in the upper layer of the SCS, consistently with the global estimates of Levitus et al
606 (2009).

607 As already discussed in section 2, we use the surface forcing and open boundary
608 conditions from the global MITogcm multi-decadal simulation. We integrate the two
609 climatological periods for 15 years each and examine the thermal structure of the last year of the
610 two simulations. The modeled temperature differences between the 90s and 60s during Winter,
611 Summer and yearly average at the surface, 15m. and 50m. are shown in Figure 9. Even with a
612 very weak nudging coefficient, the modeled sea surface temperature differences (90s- 60s)
613 (Fig.9a-c) are extremely similar to the corresponding SODA temperature differences (Fig.8a-c).

614 The warming signal is very strong in Summer (Fig.9b) and weaker in Winter (Fig. 9a) in the SCS
615 region. At 15m, the warming trend is still detectable but the magnitude is smaller than in the
616 SODA dataset. The warmest regions again appears in the western part of the SCS and is only 1
617 to 1.5 °C higher in Summer (Fig. 9e). The 50 m. temperature difference on the other side show
618 an overall cooling over most of the SCS even in Summer, with only a small warmer region in the
619 western SCS with a magnitude of about 0.7 °C . The ITF region shows a significant cooling at 50
620 m. depth similar to what observed in SODA. As a conclusion, the warming trend in the SCS is
621 well reproduced at the surface and 15 m depth but is rather weak at 50 m.

622 We focus more thoroughly on the thermal structure evolution on the shallow Sunda shelf
623 of the SCS which should be the region mostly affected by the warming trend due to its
624 shallowness. Two shallow sites at midway of the SCSTF are chosen to analyze the vertical
625 temperature profile variations throughout the year. Figure 10 gives the comparison of the SODA
626 data and the modeled monthly average temperature profiles at site T1 (red circle on Fig.1b) and
627 T2 (red star on Fig.1b) for the 60s. The SODA data shows that both sites are well mixed from the
628 surface to the bottom in most months of the year (Fig.10 a & b). Site T1 is located at the entrance
629 of the Gulf of Thailand with a depth of 72m (SODA smoothed topography is 58m deep). The
630 coldest SST is in February with a temperature of 26.8 °C, afterwards the SST gradually increases
631 to 29.5 °C in May. There is a weak stratification during spring (MAM), with a thermocline at ~
632 20m. The bottom temperature variation is from 25 °C (May) to 27.4 °C (September). Site T2 is
633 located at the entrance of the Karimata Strait with a depth of ~53m (SODA smoothed
634 topography 25m). The water column is always well mixed and no stratification is presented
635 from January to December due to the shallow water depth. SST varies from a minimum of 27.7
636 °C in December to a maximum of 29.4 °C in May. The narrower temperature excursion of SST at

637 site T2 is due to its location closer to the equator ($\sim 0.4\text{N}$) with a more invariant solar radiation
638 during the year. Figures 11 a,b show the same temperature profiles from SODA at sites T1 and
639 T2 for the 90s. Compared to the 60s, the maximum SST of the 90s at both sites increases to 30
640 $^{\circ}\text{C}$. Therefore the warming signal can also be detected at single points in the shallow shelf of the
641 SCS.

642 The FVCOM simulated temperature profiles (Figs 10 c,d and 11 c,d) at these two sites
643 agree rather well with the SODA data. They also show that the 90s are warmer than the 60s. At
644 site T1, as in SODA, a weak thermocline forms at ~ 20 m. during Spring (MAM) and the water
645 column is well mixed during the rest of the year in both decades. The bottom temperature varies
646 from 24.5°C in May to 27°C in September in the 60s and similarly from 24.6°C in May to 27
647 $^{\circ}\text{C}$ in September in the 90s. At site T2, even though the real topography is more than twice
648 greater than in SODA, the simulated temperature profile (Fig. 10 d and 11 d) show that heat is
649 mixed down to 50 m. during the entire year. The model simulation reproduces rather well the
650 SODA temperature profiles in shallow water, which show considerable vertical homogeneity
651 throughout the water column.

652 **5. Conclusion**

653 In this paper we investigate the wind-driven circulation and the thermal structure of the
654 Maritime Continent which comprises the South China Sea (SCS), the Indonesian Seas (IS) and
655 the complex system of islands and straits which determine the Indonesian Throughflow (ITF),
656 the most important conduit of tropical Pacific waters into the Indian Ocean. We use the FVCOM
657 model in the regional configuration of Figs 1a,b embedded in the global MITgcm which provides
658 surface forcing and lateral boundary conditions at the Pacific and Indian open boundaries.

659 Previous modeling studies were of two types. The global simulations suffered from the serious
660 limitation of coarse resolution. The regional simulations, even though endowed with high
661 resolution, focused mostly on the SCS alone, thus not including the crucial interactions between
662 the ITF and the SCSTF. The latter one profoundly affects the ITF and even reverses the ITF in
663 the surface layer during the Winter monsoon. In this study we overcome both limitations.

664 We have at our disposal five decades of a global simulation from the MITgcm, from 1958
665 to 2008. From the work of Levitus, and his most recent global data analysis (Levitus et al., 2009)
666 the global average heat content of the upper 700 m. show a linear increasing trend starting in
667 1969 over the entire period 1969-2008. The decade 1960-69 belongs to the pre-warming phase,
668 while the decade 1990-99 lies in the full warming phase. We choose therefore to simulate and
669 contrast these two decades which represent two different climatological regimes.

670 The decadal averaged NCEP net heat flux (summation of sensible heat, latent heat, short
671 and long wave radiation) difference between 90s and 60s (Fig. 12) shows about 20-30 W/m² heat
672 gained more in the central SCS in 90s. But the ocean gain less heat both at the upper stream of
673 the SCSTF (20N where Pacific water enters through Luzon Strait) and the downstream of the
674 SCSTF (Karimata Strait) during 90s, this means that the warming of the SCS is local and not due
675 to conduit of warmer waters from the Pacific and it does not reflect the large scale circulation.
676 We have three major objectives in this study. First, we want to reconstruct the wind-driven
677 circulation of the SCS and IS and its seasonality induced by the dominant monsoon wind system.
678 We want to provide quantitative estimates of the transports through the straits of the SCSTF and
679 ITF and assess them against the available observations. Second, we want to reconstruct the
680 thermal structure of the basin and the properties of the stratification in the shallow southern

681 Sunda shelf of the SCS where warming trends may be more apparent. We assess the simulated
682 thermal structure against the SODA reanalysis (Carton et al., 2000). Finally, we want to compare
683 the climatology of the two decades, the 60s and the 90s, and determine whether significant
684 changes have occurred in both the wind-driven circulation and the average decadal stratification.
685 To the best of our knowledge, no previous study has addressed these last two objectives.

686 Two major competing forces drive the circulation of the basin, the wind stress and the
687 pressure gradients determined by the SST distribution at the two open boundaries of the Pacific
688 and Indian ocean, specifically the difference in sea level between the two oceans. In the SCS the
689 dominant driving force is the wind stress and the surface circulation reflects the seasonality of
690 the monsoon system, reversing from Winter to Summer, with a net cyclonic tendency in Winter
691 and anticyclonic in Summer. In the deeper layers the circulation show great spatial variability
692 determined by baroclinicity and topographic effects, with mesoscale eddies embedded in the
693 basin-wide circulation. Features such as the western Luzon Eddy, summer dipole off Vietnam
694 are well reproduced in the simulation. Overall, the circulation patterns and eddy features are in
695 good agreement with the observations and previous numerical modeling studies. The SCS
696 circulation differences between the 90s and 60s are highly correlated with the wind stress
697 differences between the two decades. In Winter, the (90s-60s) circulation difference reflects the
698 stronger cyclonic tendency in the 90s wind stress curl. In Summer, the (90s-60s) difference
699 shows weaker currents in the 90s, reflecting the weaker monsoon forcing. However, overall both
700 the wind curls and the circulation patterns are rather similar in the two decades. This similarity
701 indicates that the decadal differences of the wind-driven circulation do not reflect a long-term
702 trend but are rather a manifestation of interdecadal variability around a stable climatology.

703 The wind system over the ITF is more complex, comprising different monsoon systems.
704 These are also characterized by the seasonal reversal from Winter to Summer which is reflected
705 in the circulation of the surface layer. Furthermore, in the upper 50 m. the interaction of the
706 SCSTF and ITF is very important. During Winter the strong southward SCSTF flows out of the
707 Karimata strait and then eastward into the Banda sea blocking the southwestward ITF. In
708 Summer instead the latter one reinforces the reversed SCSTF entering the SCS through Karimata.

709 Below the surface layer however the ITF is consistently southward, indicating that its
710 major driving force is the sea level difference between the Pacific and Indian oceans and the
711 resulting boundary pressure gradients. Even though the overall monsoon system is weaker in the
712 90s, differently from the SCS circulation the ITF current is stronger in the 90s, evidence of a
713 greater sea level difference in the 90s between the two oceans.

714 The interocean volume transports through the main water passages are estimated from
715 the model simulation. On the annual average, there are ~ 5.6 Sv of western Pacific water entering
716 into the SCS through the Luzon Strait and ~ 1.4 Sv exiting from the Karimata Strait into the Java
717 Sea. The main component of the ITF inflow from the Pacific is the westward branch through the
718 Makassar Strait which may comprise over 62% of the total ITF inflow transport. The eastern
719 route of the ITF through the Lifamatola Strait also contributes significantly ($\sim 38\%$) to the total
720 ITF inflow. The monthly variations of the ITF transport show a double peak pattern through the
721 year. The maximum transport occurs during March and August, the minimum transport during
722 the monsoon transition seasons (May and October). The outflow of the ITF through the Lombok,
723 Ombai and Timor straits has an annual net transport of 3.7 (3.8) Sv, 6.7 (7.3) Sv and 6.4 (7.8) Sv
724 in the 60s (90s) respectively. The model transport estimates through the Luzon, Mindoro and

725 Karimata straits (inflow/outflow of the SCSTF) are in very good agreement with the best
726 observational estimates. Also the model transport estimates of the total ITF inflow/outflow are in
727 good agreement with the recent in situ observations, especially for the 90s.

728 Regarding the thermal structure of the basin, the SODA reanalysis dataset clearly shows
729 that the yearly average temperatures of the 90s at different depths in the SCS are overall warmer
730 than those of the 60s, with the warmest region in the western part of the SCS. The ITF region
731 and southern Java basin instead show cooling from the 60s to the 90s. In the model simulation
732 the warming trend from the 60s to the 90s in the SCS is well reproduced at the surface and also
733 at 15 m. depth, even though with a smaller magnitude at the latter level than in the SODA data.
734 In contrast to SODA, however, the 50 m. depth temperature difference (90s-60s) shows an
735 overall cooling over most of the SCS, with only limited exceptions in some areas of the southern
736 shallow shelf. Focusing on the latter one, we choose two shallow sites at midway of the SCSTF
737 to analyze the vertical temperature profile variation throughout the year. The model simulated
738 temperature profiles at these two sites agree rather well with the analogous profiles from SODA,
739 both showing considerable vertical homogeneity throughout the year. In spite of this local
740 agreement, the warming trend observed in SODA in the intermediate/deep layers of the SCS
741 from the 60s to the 90s is not reproduced in the simulation, which instead shows significant
742 cooling from 50 m. downward. We attribute this failure to the parameterization of the vertical
743 diffusion of heat.

744 The vertical mixing parameterization used in this study is the Mellor-Yamada (M-Y)
745 turbulence closure scheme, with the background turbulence value of 10^{-4} m²/s. The vertical
746 Prandtl number is set at 1, giving the same value for the vertical momentum eddy viscosity and

747 the vertical heat diffusivity. With the short wave radiation and the net heat flux used as surface
748 thermal forcing, the M-Y turbulence model mixes heat downward producing the seasonal
749 temperature variation in the mixed layer and the change in the mixed layer depth. Evidently, in
750 the present simulation the M-Y scheme fails to diffuse heat downward from the surface
751 sufficiently to reproduce the observed deep warming. It has been recognized for a long time that
752 the simulated mixed layer is too shallow in large scale wind driven simulations when using the
753 M-Y scheme (Kantha and Clayson, 1994). Ezer (2000) found that high temporal resolution
754 forcing with 6h variable winds and related shortwave radiation can produce sufficient mixing to
755 break the stratification in a north Atlantic simulation. For this climatological study, higher
756 temporal resolution wind data are not available. A number of experiments with different
757 background turbulence value and different Prandtl number were carried out. When vertical
758 mixing was increased, heat was indeed diffused into the deeper layers but also momentum was
759 more strongly diffused producing very unrealistic circulation patterns. We are presently
760 exploring alternative mixing parameterizations such as the recently developed General Ocean
761 Turbulence Model (GOTM, Burchard, 2002) to overcome this deficiency of the simulation.

762

762 Table 1: Annual averaged volume transport (Sv) of ITF (Negative is westward/ southward)

	ITF inflow		ITF outflow			Total ITF inflow	Total ITF outflow	Outflow-inflow
	Makassar	Lifamatola	Lombok	Ombai	Timor			
60s	-9.6	-5.5	-3.7	-6.7	-6.4	-15.1	-16.8	-1.7
90s	-10.3	-6.3	-3.8	-7.3	-7.8	-16.6	-18.9	-2.3
INSTANT	-11.6	-2.5	-2.6	-4.9	-7.5	-13	-15	-2.0

763

764 **Figure Captions**

765 Figure 1a: The South China Sea and the Indonesian Seas geography and bathymetry. The
 766 contour lines with labels represent 50m, 200m, 1000m, 2000m, 3000m and 4000m
 767 isobaths.

768 Figure 1b. High resolution numerical model Finite Volume Coastal Ocean Model (FVCOM)
 769 unstructured triangle mesh of the simulation domain. Red lines labeled with capital letters
 770 from A to H represent the main pathways of the South China Sea Through Flow (SCSTF)
 771 and the Indonesian Through Flow (ITF), the volume transports are calculated along these
 772 transects. A is the Luzon Strait, B is the Karimata Strait, C is the Mindoro Strait, D is the
 773 Sibutu Strait, E is the Makassar Strait, F is the Lifamatola Strait, G is the Lombok Strait,
 774 H is the Ombai Strait, I is the Timor Strait and J is the Torres Strait. The red circle and the
 775 red cross represent shallow shelf site T1 and T2 respectively. Arab number 1-9 represent
 776 the Sulu Sea, Sulawesi Sea, Molucca Sea, Halmahera Sea, Ceram Sea, Banda Sea, Savu
 777 Sea, Flores Sea and Java Sea respectively. Open boundaries on Pacific side are the line

778 segment a-b and arc segment c-d. Open boundary on Indian Ocean side is the arc segment
779 e-f.

780 Figure 2. Decadal averaged NECP seasonal wind stress vector and magnitude (N/m^2). a) 60s
781 (1960-1969) winter (DJF). b) 60s (1960-1969) summer (JJA). c) 90s (1990-1999) winter
782 (DJF). d) 90s (1990-1999) summer (JJA).

783 Figure 3. Wind stress curl ($\times 10^{-7} Nm^{-3}$) from the NECP data a) 60s winter, b) 60s summer, c) 90s
784 winter and d) 90s summer. Contour interval is $0.5 \times 10^{-7} Nm^{-3}$, dash lines are the negative
785 wind stress curl.

786 Figure 4. Modeled seasonal mean current fields. a) 60s at surface in Winter (DJF). b) 60s at
787 surface in Summer (JJA). c) difference of 90s-60s at surface in Winter (DJF). d) difference
788 of 90s-60s in Summer (JJA).

789 Figure 5. Modeled seasonal mean current fields. a) 60s at 50m in Winter (DJF). b) 60s at 50m in
790 Summer (JJA).

791 Figure 6. same as Figure 5 but at 100m

792 Figure 7. The annual mean, Summer (JJA) and Winter (DJF) mean transport (bar plot on
793 the left panel) and the monthly variation of the volume transport (line plot on right
794 panel) through a) Luzon strait, b) Karimata strait, c) Mindoro strait, d) Sibutu strait, e)
795 Makassar strait, f) Lifamatola strait, g) Lombok strait, h) Ombai strait, i) Timor strait
796 and j) Torres strait. In the bar plot (left panel), the first set bar is 60s and the second is
797 90s, the solid line bar is the annual averaged volume transport, the wide red bar is the
798 Summer (JJA) averaged and the narrow blue bar is the Winter (DJF) averaged. In the

799 line plot (right panel), the 60s volume transport monthly variation is represented by
800 blue line with star marks and the 90s is represented by red line with circle marks. All
801 Y axis with a unit of Sv ($\times 10^6 \text{m}^3/\text{s}$). Negative/ positive value represents Westward
802 (Southward) / Eastward (Northward) transport.

803 Figure 8. Decadal averaged temperature difference between 90s and 60s (90s-60s) of SODA
804 reanalysis data. a) Winter (DJF) at surface, b) Summer (JJA) at surface, c) yearly
805 averaged at surface, d) Winter (DJF) at 15m, e) Summer (JJA) at 15m, f) yearly
806 averaged at 15m, g) Winter (DJF) at 50m, h) Summer (JJA) at 50m and i) yearly
807 averaged at 50m.

808 Figure 9. Same as Figure 8. but for modeled

809 Figure 10. Comparison of the monthly averaged temperature vertical profile at shallow shelf of
810 the SCS in 60s. a) SODA 60s (1960-1969) at site T1. b) SODA 60s (1960-1969) at site T2.
811 c) modeled 60s (1960-1969) at site T1.d) modeled 60s (1960-1969) at site T2. Site T1 is
812 the red circle and T2 is the red star on the figure 1b.

813 Figure 11. Same as figure 10 but for 90s.

814 Figure 12. NCEP reanalysis net heat flux difference of the decadal averaged 90s and 60s,
815 positive means ocean gain heat and negative represents ocean lose heat to atmosphere.

816

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828

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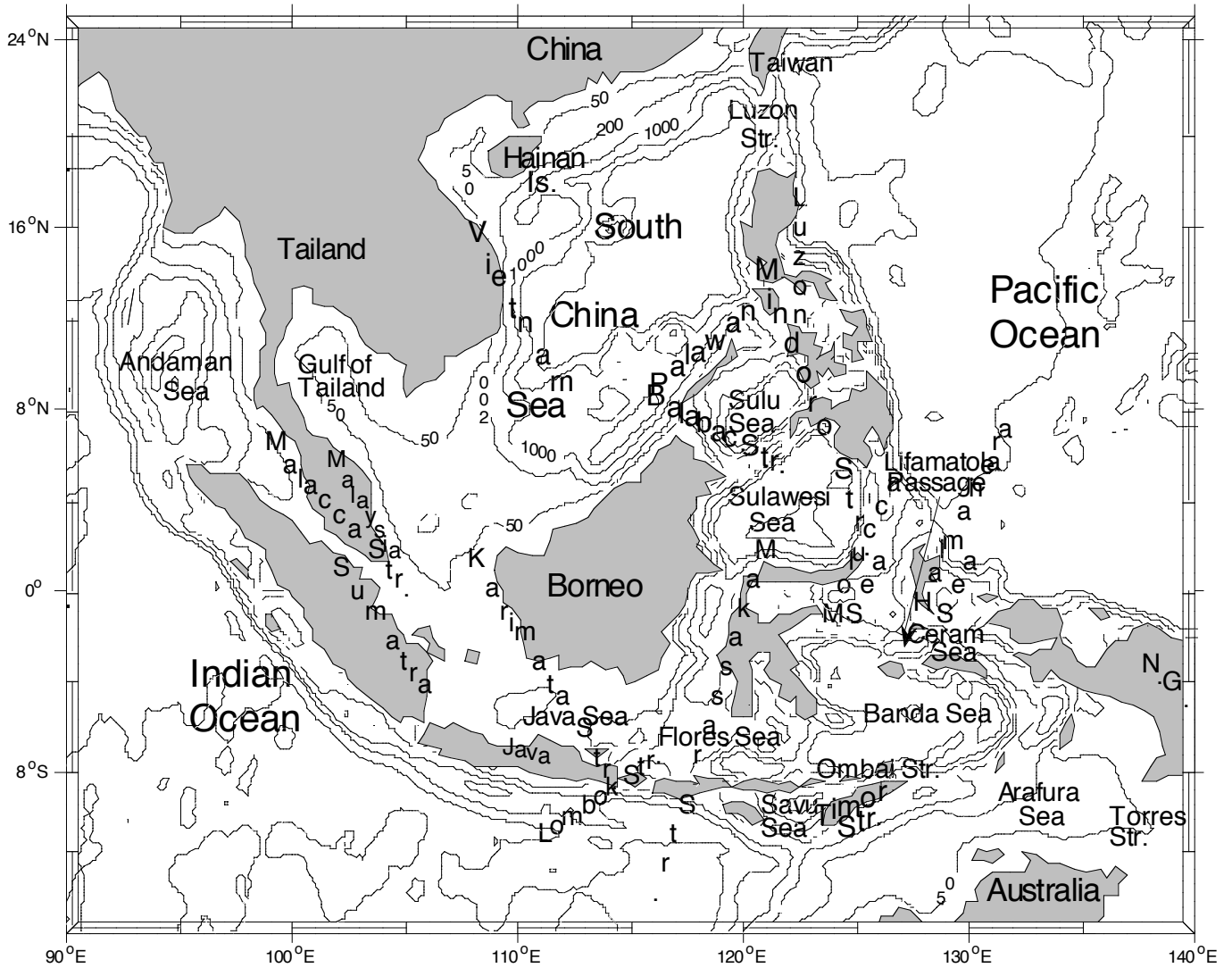
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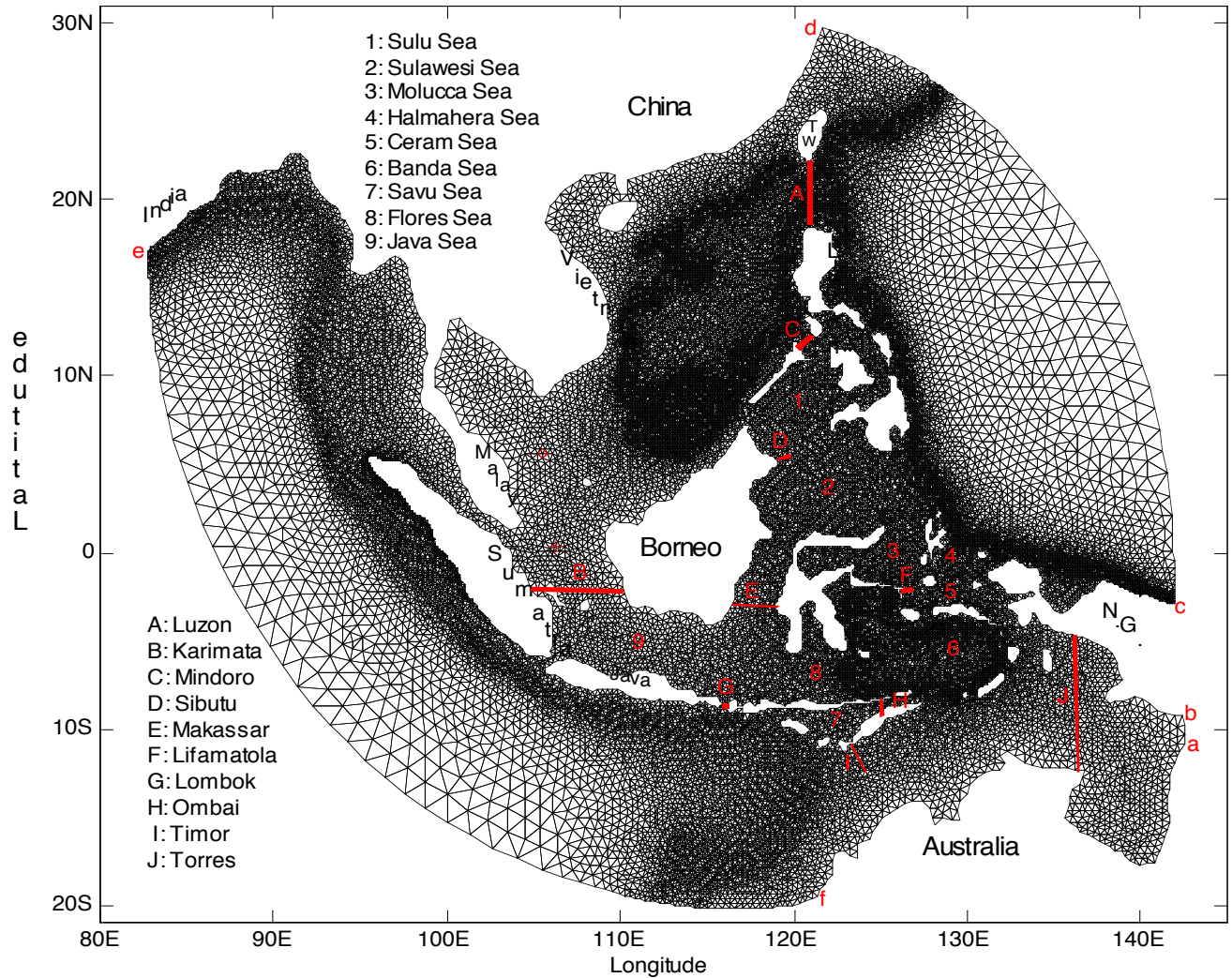
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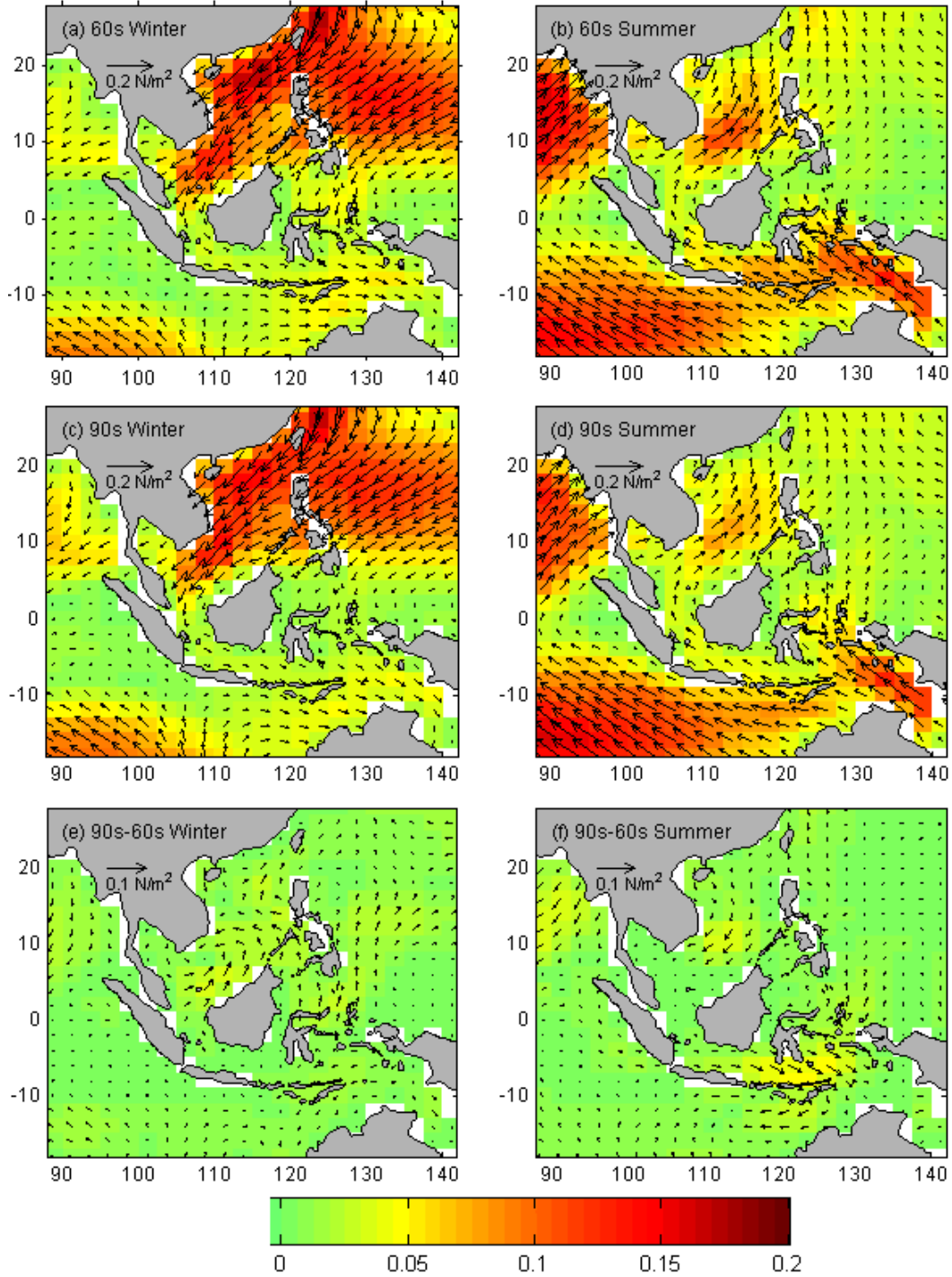
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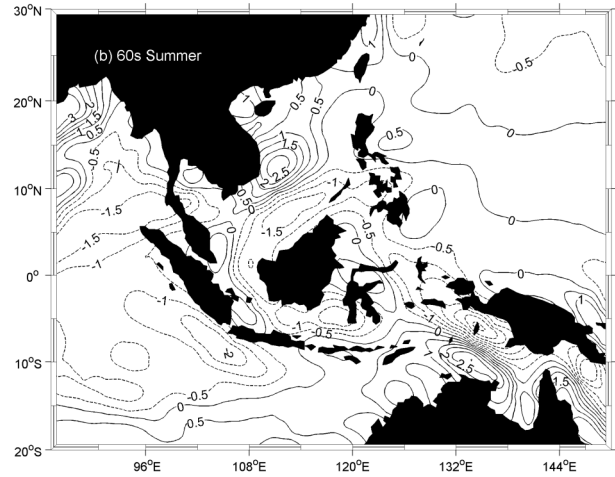
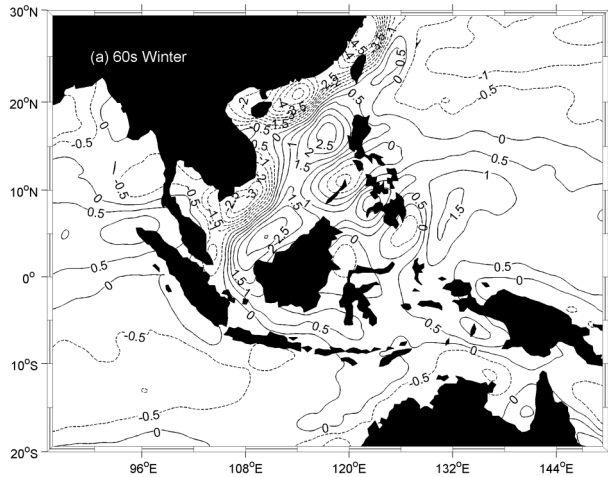
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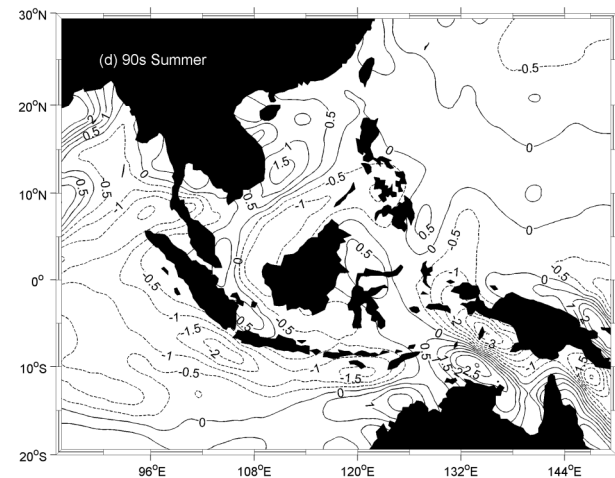
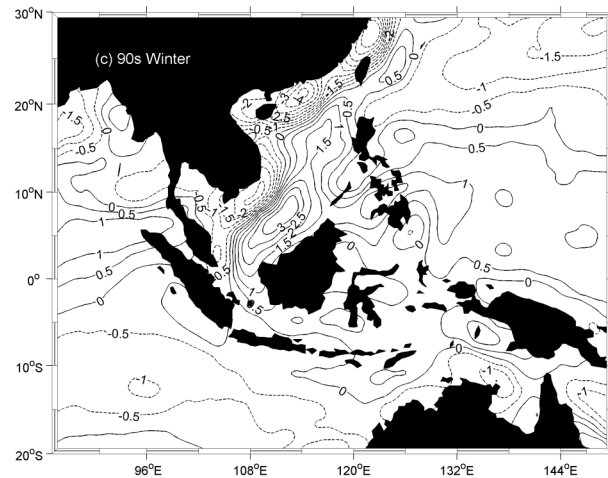
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971 Figure 2. Decadal averaged NECP seasonal wind stress vector and magnitude (N/m^2). a)
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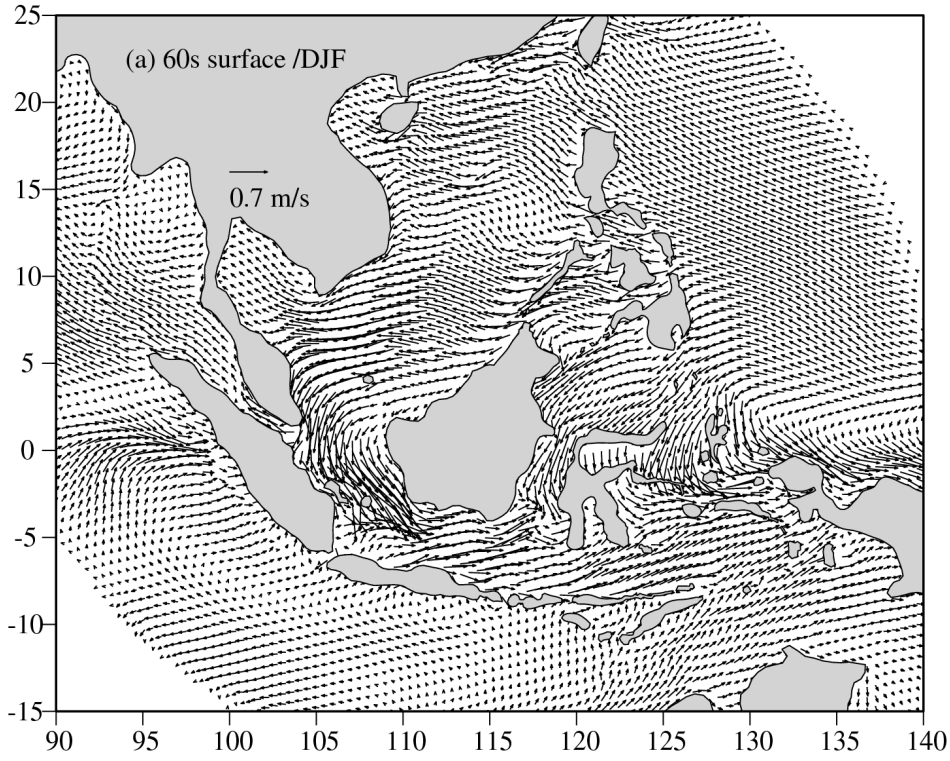


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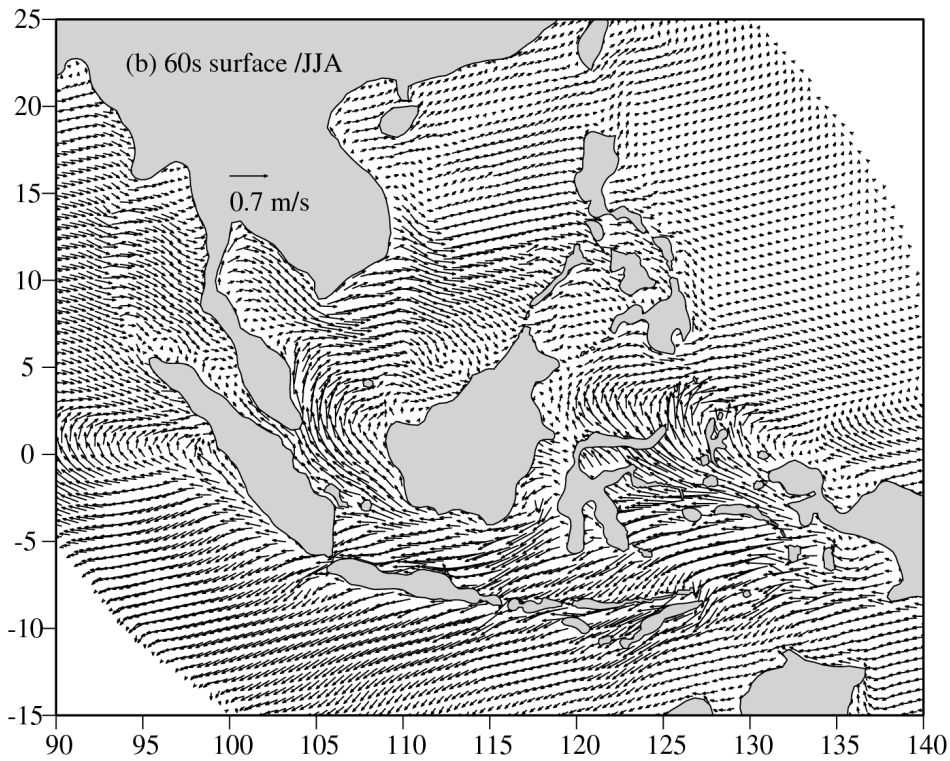
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 979 lines are the negative wind stress curl.

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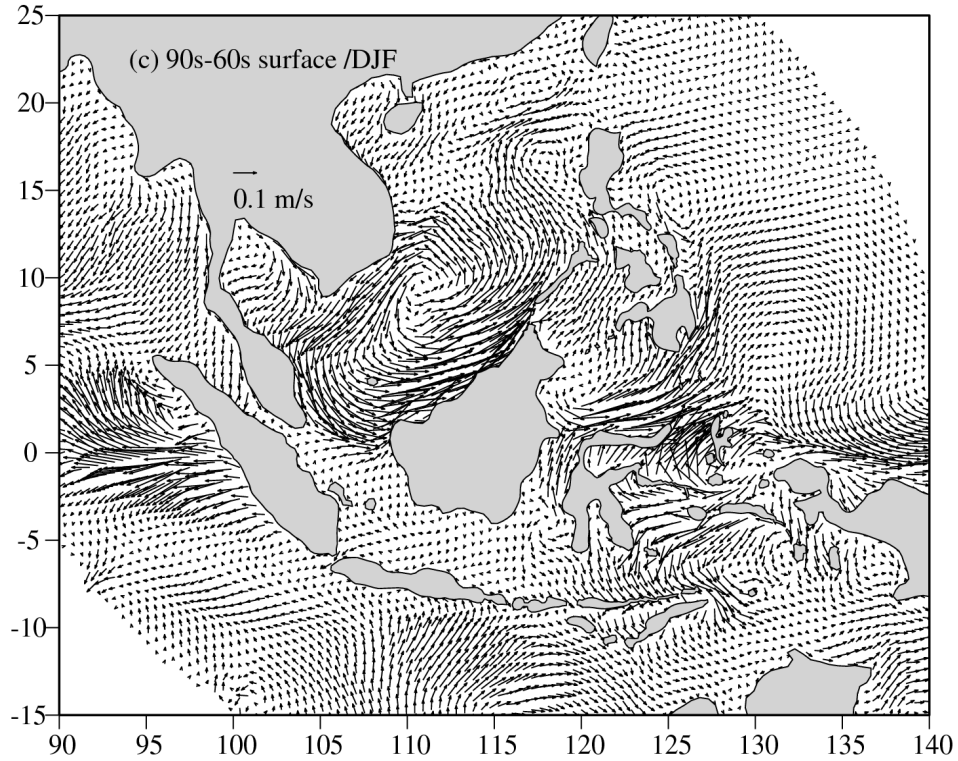
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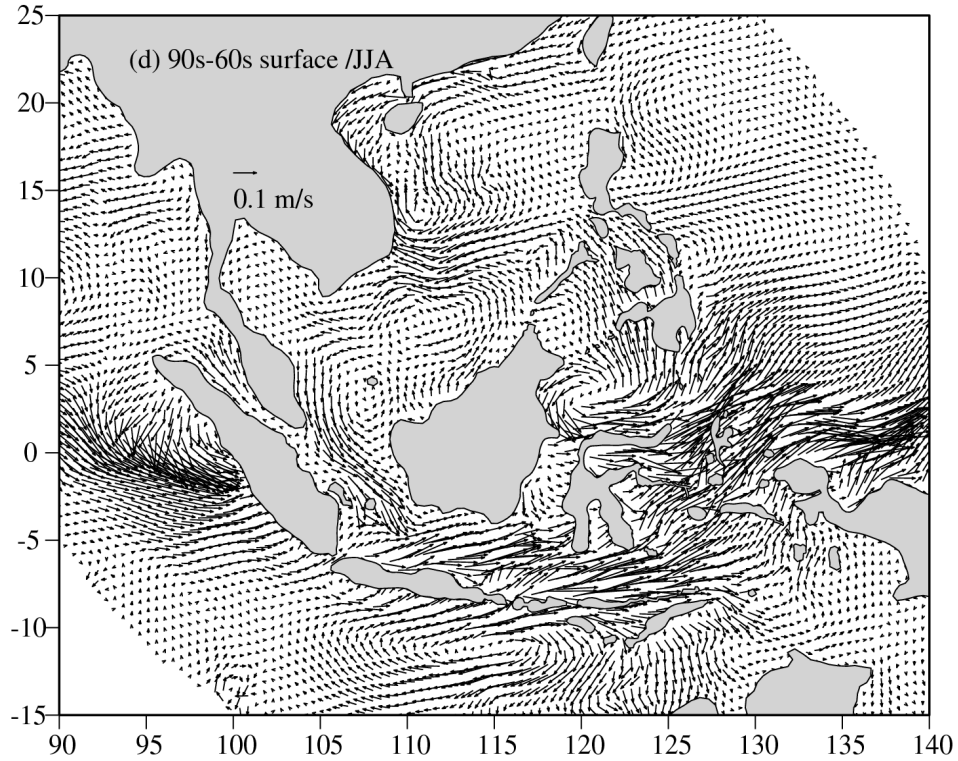
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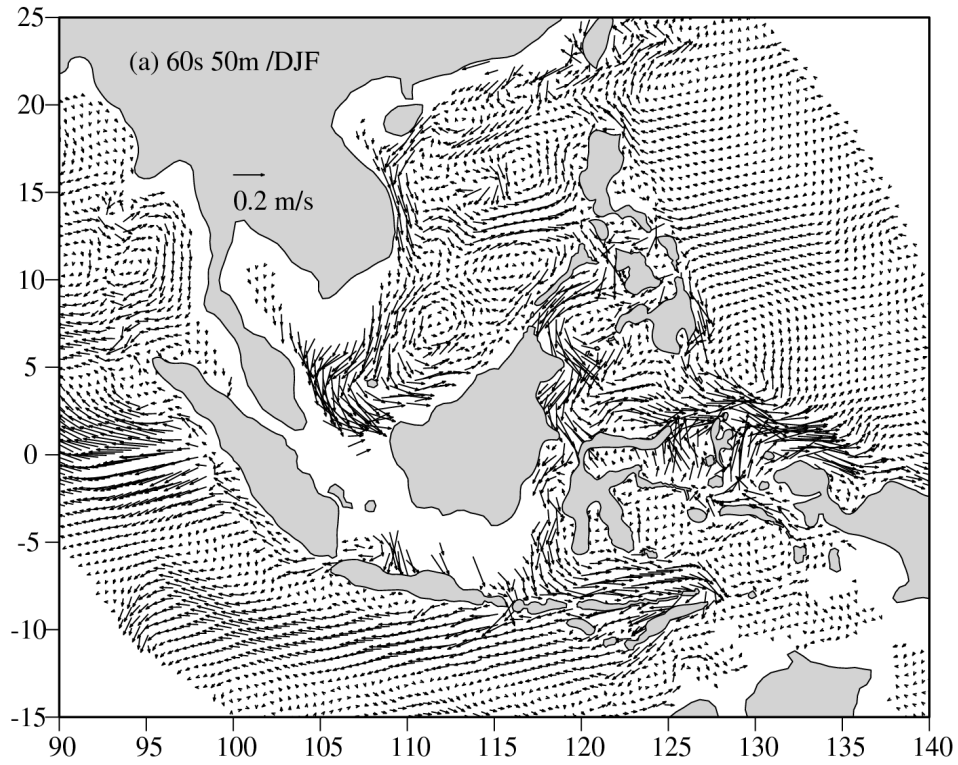


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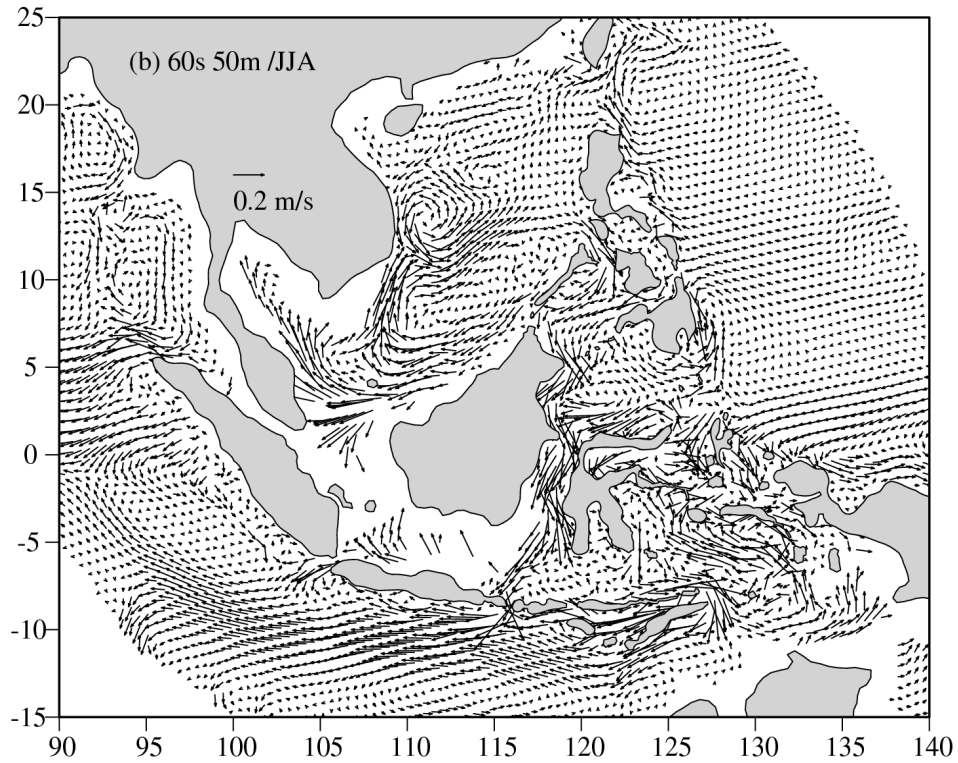


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985 Figure 4. Modeled seasonal mean current fields. a) 60s at surface in Winter (DJF). b) 60s
 986 at surface in Summer (JJA). c) difference of 90s-60s at surface in Winter (DJF). d)
 987 difference of 90s-60s in Summer (JJA).



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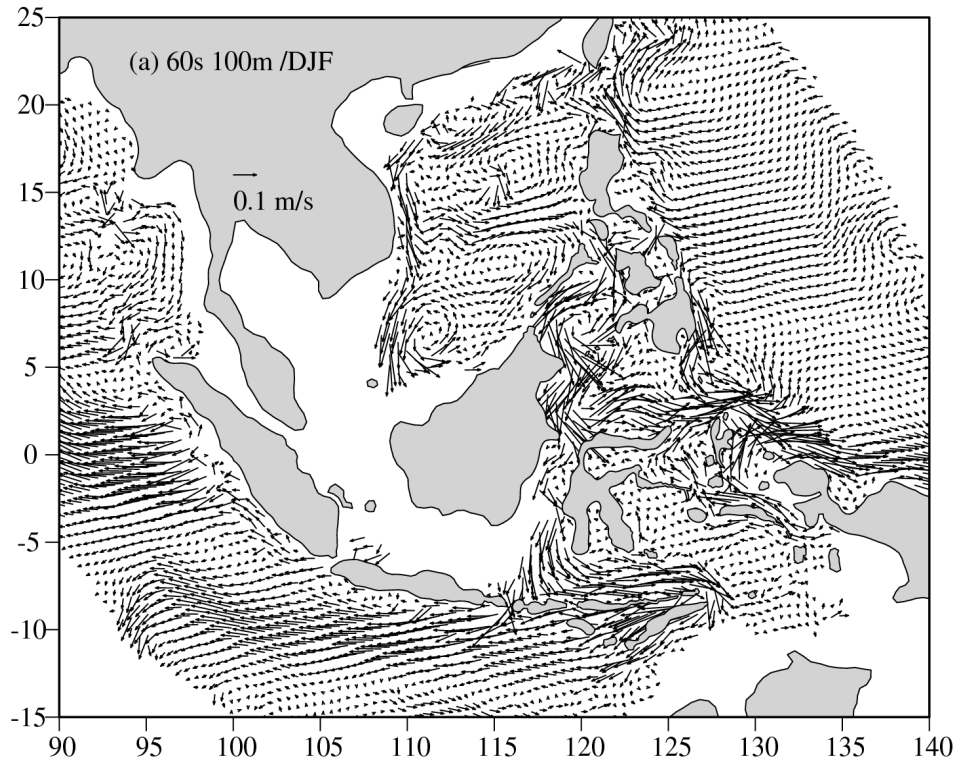


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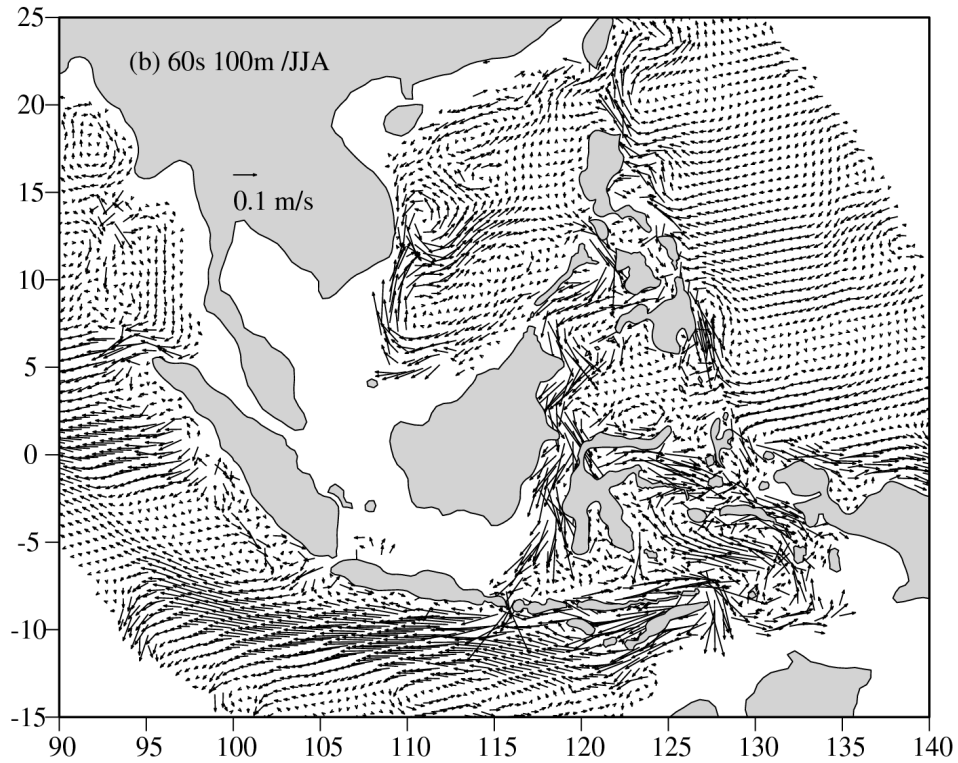
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Figure 5. Modeled seasonal mean current fields. a) 60s at 50m in Winter (DJF). b) 60s at 50m in Summer (JJA).



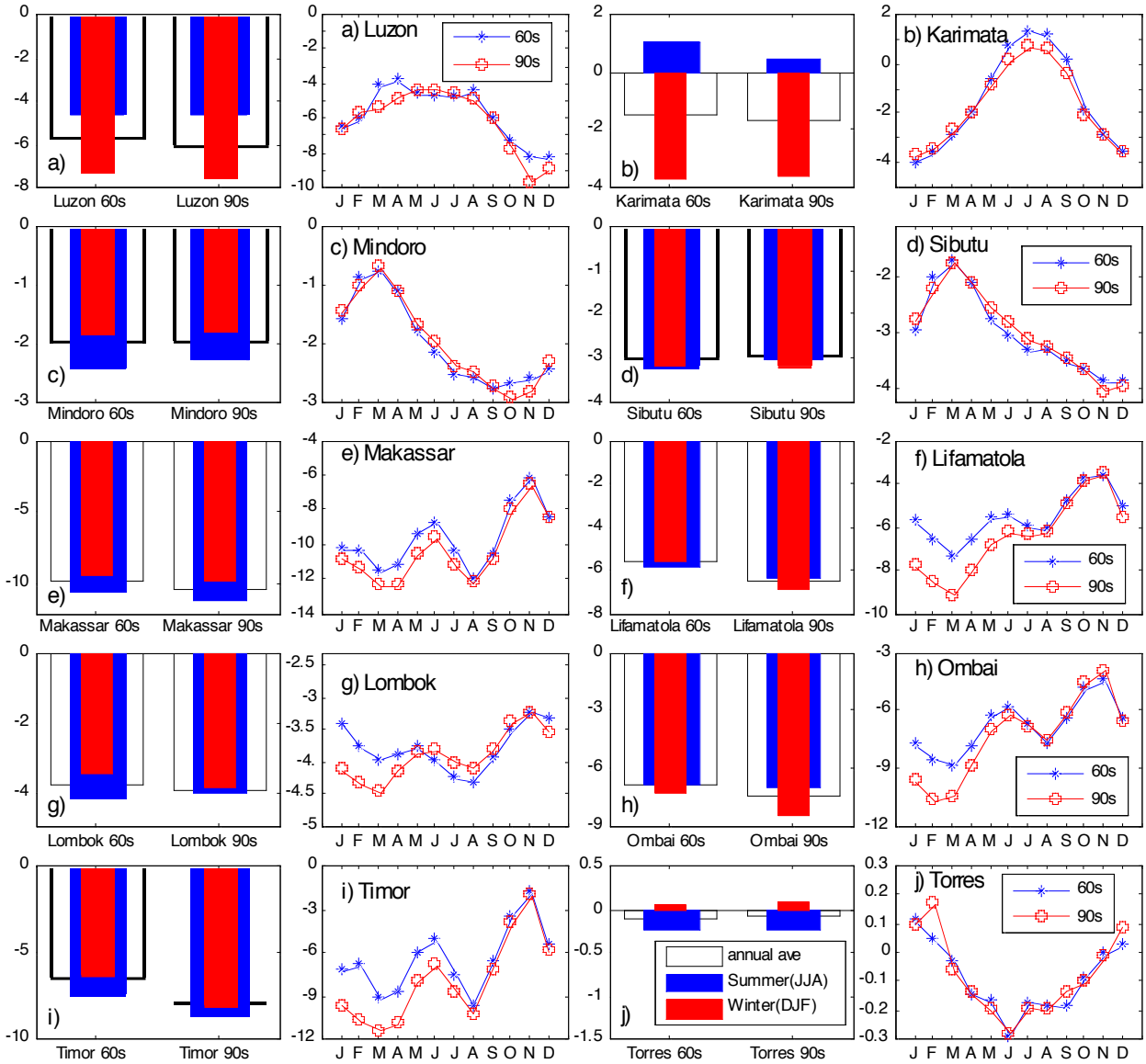
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Figure 6. same as Figure 5 but at 100m



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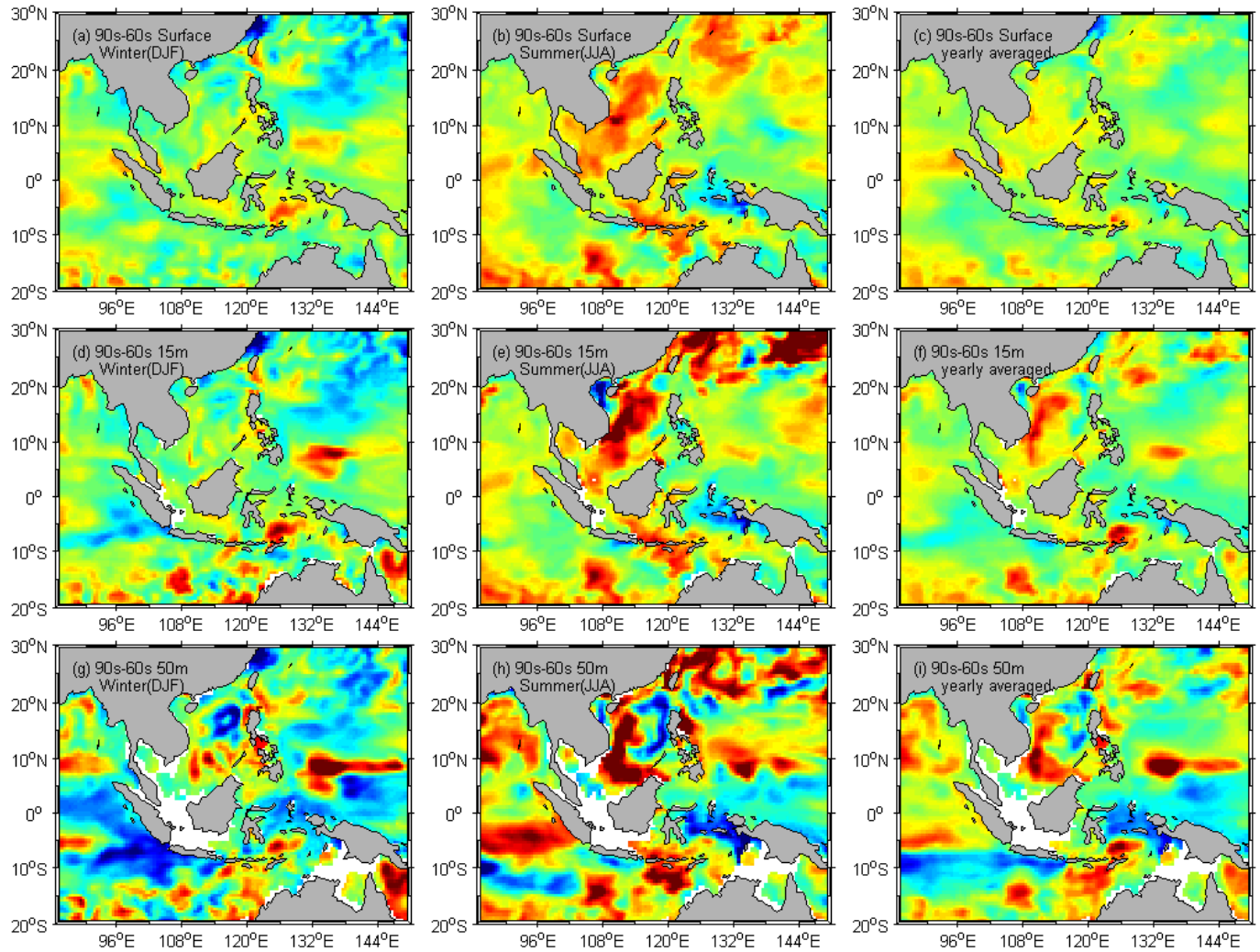
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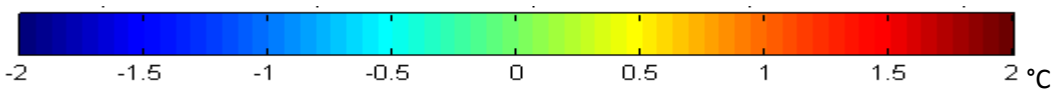
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Figure 7. The annual mean, Summer (JJA) and Winter (DJF) mean transport (bar plot on the left panel) and the monthly variation of the volume transport (line plot on right panel) through a) Luzon strait, b) Karimata strait, c) Mindoro strait, d) Sibutu strait, e) Makassar strait, f) Lifamatola strait, g) Lombok strait, h) Ombai strait, i) Timor strait and j) Torres strait. In the bar plot (left panel), the first set bar is 60s and the second is 90s, the solid line empty bar is the annual averaged volume transport, the narrow red bar is the Summer (JJA) averaged and the wider blue bar is the Winter (DJF) averaged. In the line plot (right panel), the 60s volume transport monthly variation is represented by blue line with star marks and the 90s is represented by red line with circle marks. All Y axis with a unit of Sv ($\times 10^6 \text{m}^3/\text{s}$). Negative/positive value represents Westward (Southward) / Eastward (Northward) transport.



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Figure 8. Decadal averaged temperature difference between 90s and 60s (90s-60s) of

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SODA reanalysis data. a) Winter (DJF) at surface, b) Summer (JJA) at surface, c) yearly

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averaged at surface, d) Winter (DJF) at 15m, e) Summer (JJA) at 15m, f) yearly

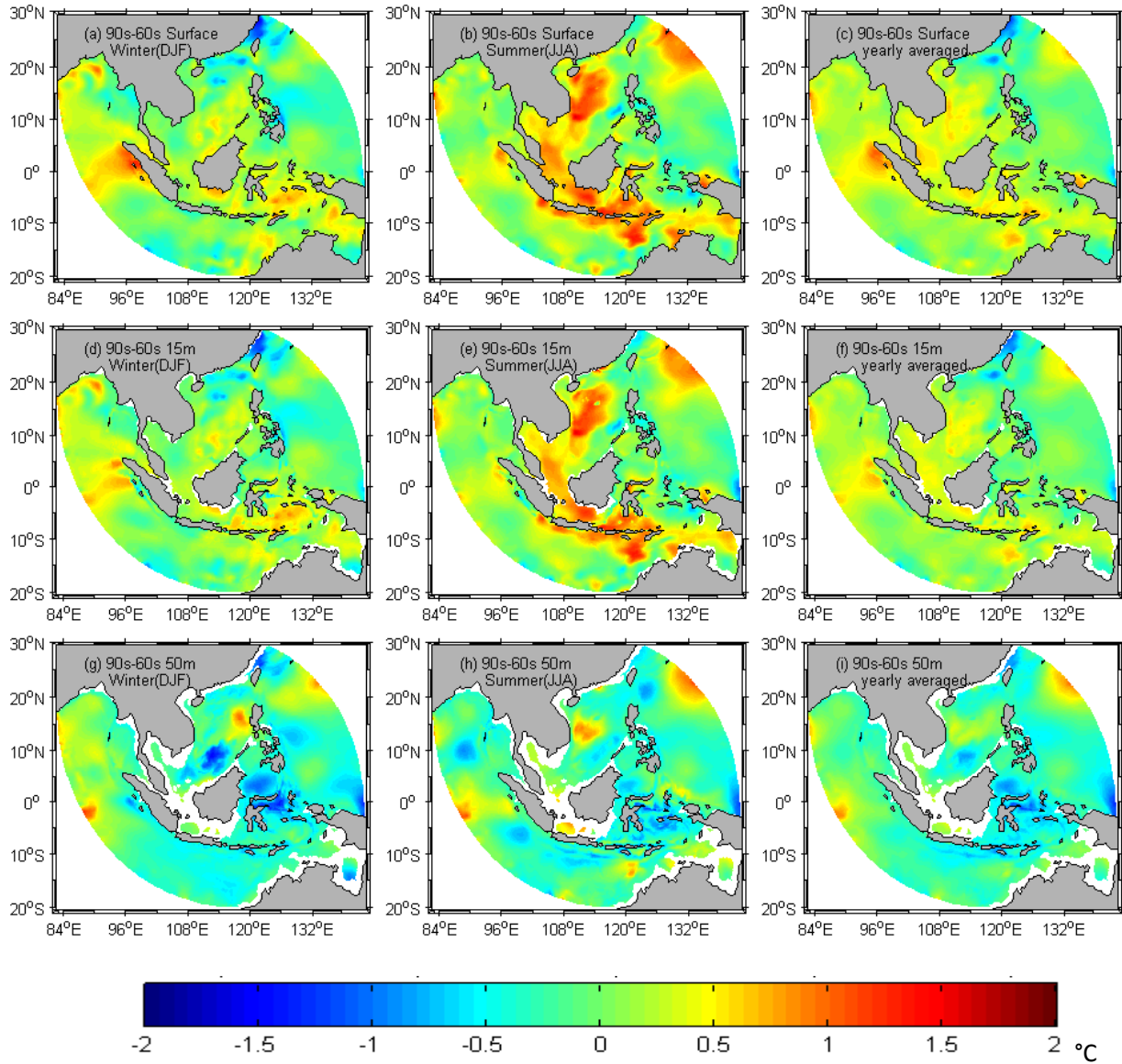
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averaged at 15m, g) Winter (DJF) at 50m, h) Summer (JJA) at 50m and i) yearly

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averaged at 50m.

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Figure 9. Same as Figure 8. but for modeled

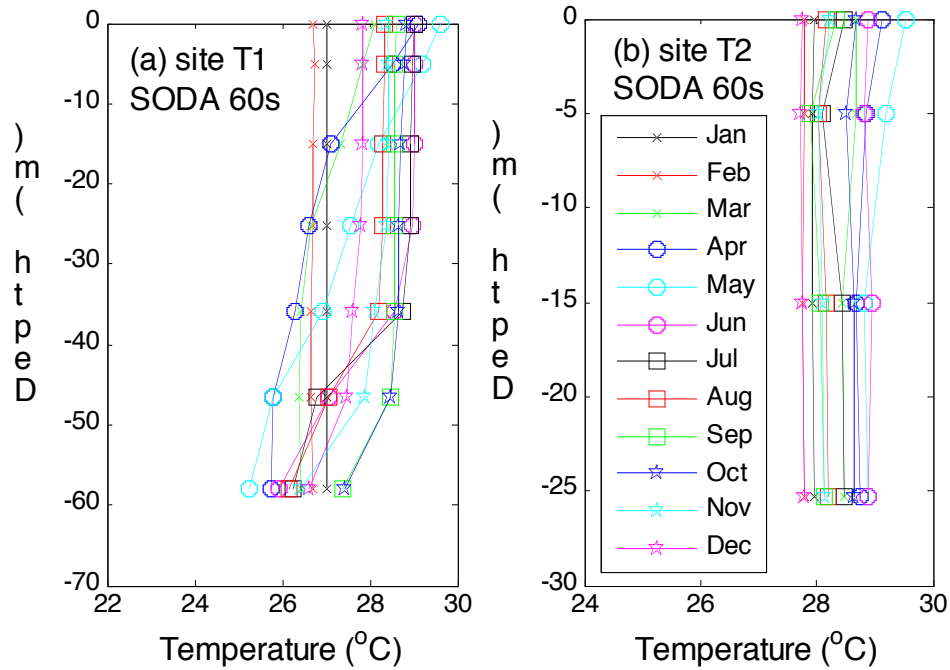
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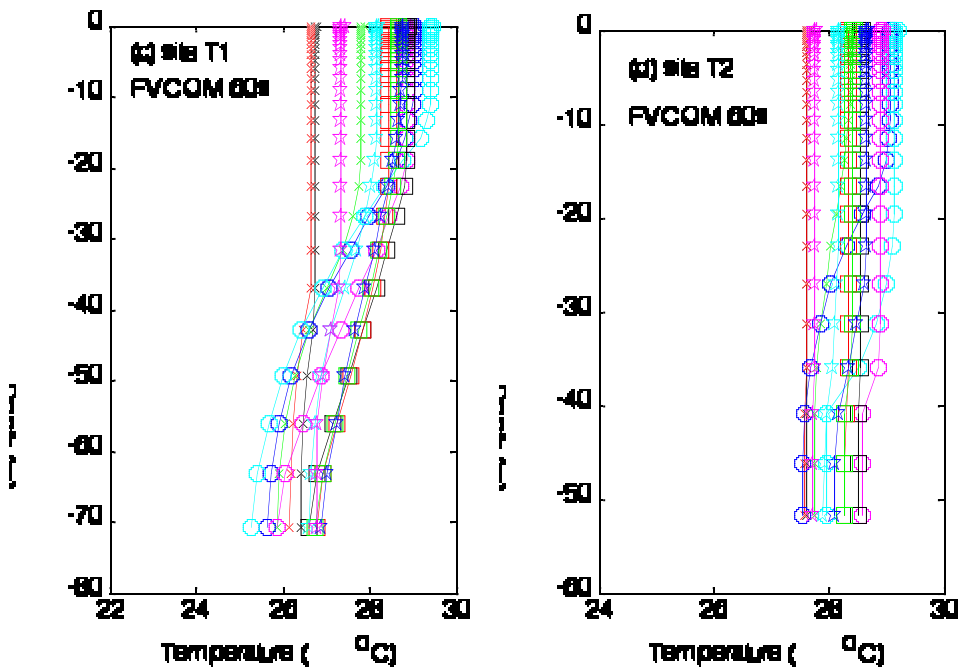
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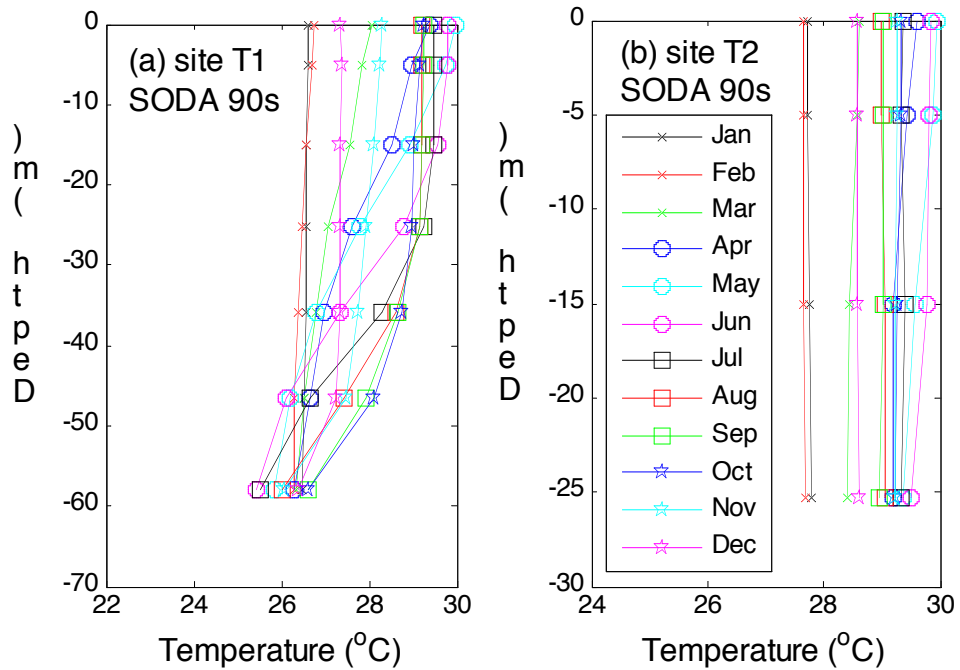


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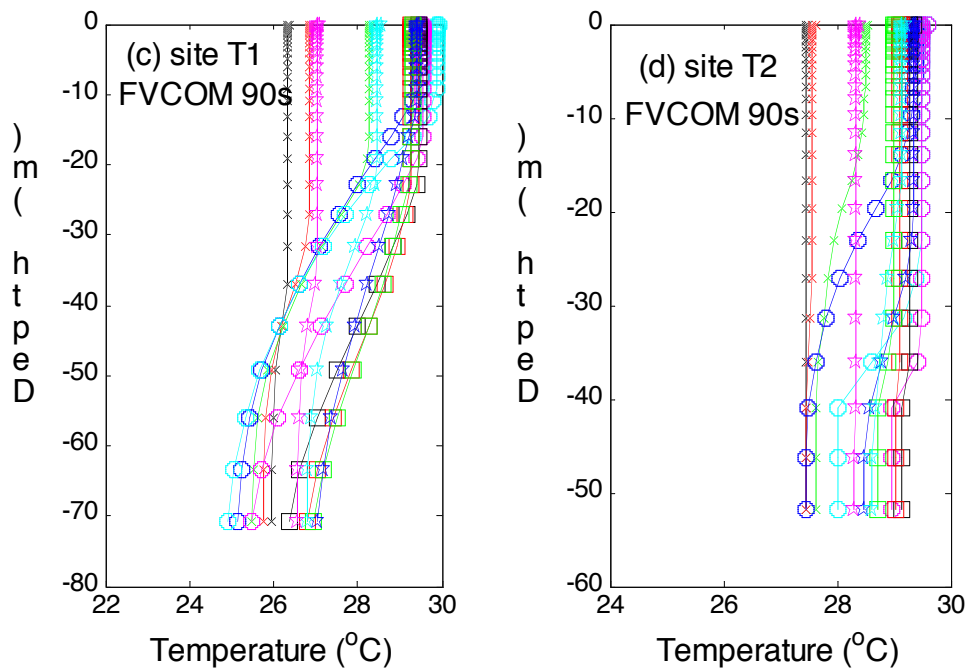


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1023 Figure 10. Comparison of the monthly averaged temperature vertical profile at shallow
1024 shelf of the SCS in 60s. a) SODA 60s (1960-1969) at site T1. b) SODA 60s (1960-1969) at
1025 site T2. c) modeled 60s (1960-1969) at site T1. d) modeled 60s (1960-1969) at site T2. Site
1026 T1 is the red circle and T2 is the red star on the figure 1b.



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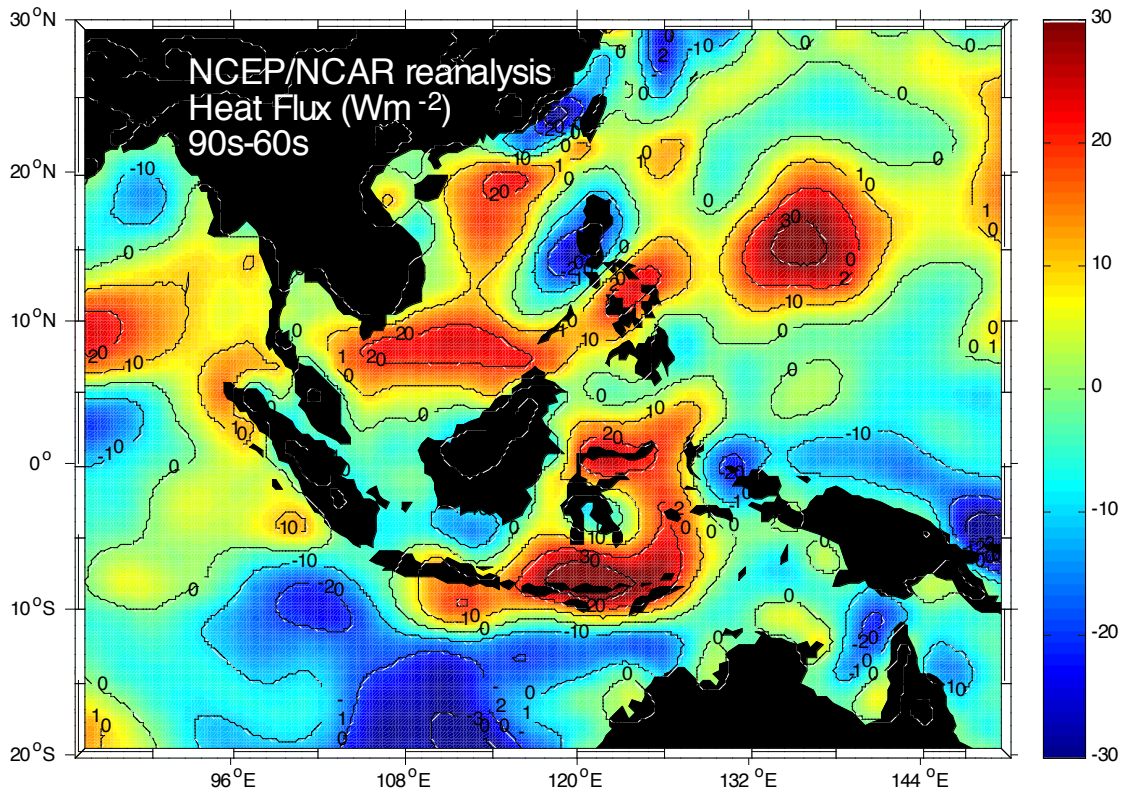


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Figure 11. Same as figure 10 but for 90s.

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Figure 12. NCEP reanalysis net heat flux difference of the decadal averaged 90s and 60s, positive means ocean gain heat and negative represents ocean lose heat to atmosphere.

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