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Citation: Zhang, Cheng-Zhong et al. "Calibrating Genomic and Allelic Coverage Bias in Single-Cell Sequencing." Nature Communications 6 (April 2015): 6822 © 2015 Macmillan Publishers Limited

As Published: http://dx.doi.org/10.1038/ncomms7822

Publisher: Nature Publishing Group

Persistent URL: http://hdl.handle.net/1721.1/111665

Version: Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

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Calibrating genomic and allelic coverage bias in single-cell sequencing

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Abstract

Artifacts introduced in whole-genome amplification (WGA) make it difficult to derive 1 2 accurate genomic information from single-cell genomes and require different analytical 3 strategies from bulk genome analysis. Here we describe statistical methods to quantitatively 4 assess the amplification bias resulting from whole-genome amplification of single-cell genomic 5 DNA. Analysis of single-cell DNA libraries generated by different technologies revealed 6 universal features of the genome coverage bias predominantly generated at the amplicon level 7 (1-10 kb). The magnitude of coverage bias can be accurately calibrated from low-pass 8 sequencing (~ 0.1x) to predict the depth-of-coverage yield of single-cell DNA libraries 9 sequenced at arbitrary depths. We further provide a benchmark comparison of single-cell 10 libraries generated by multi-strand displacement amplification (MDA) and multiple annealing 11 and looping-based amplification cycles (MALBAC). Finally we develop statistical models to 12 calibrate allelic bias in single-cell whole-genome amplification and demonstrate a census-based 13 strategy for efficient and accurate variant detection from low-input biopsy samples.

14 Introduction

Single-cell sequencing has provided unique insights into the genetic diversity of living organisms and among different cells within the same individual¹⁻³. Recent single-cell analyses have uncovered different clonal populations within a single tumor^{4,5}, revealed genomic diversity in gametes^{6,7} and neurons^{8,9}, and resolved historical cellular lineages during development^{10,11}. Single-cell sequencing also has many potential clinical applications, such as characterization of circulating tumor cells^{12,13} or fine-needle aspirates for clinical diagnostics.

21 A major drawback of single-cell sequencing, however, is the need to amplify genomic DNA prior to genomic characterizations¹⁴⁻¹⁷. Due to the limited processivity (<100 kb) and 22 23 strand extension rate (<100 nt/second) of DNA polymerases, the amplification of large genomes 24 requires priming and extension at millions of loci, each amplified 10,000 to 1,000,000 fold. Such 25 a large number of polymerase reactions inevitably generate amplification errors that confound 26 the detection of genetic variants (Supplementary Fig. 1). Furthermore, differential priming efficiencies and extension rates result in uneven amplifications across the genome^{18,19} and 27 28 skewed representations of homologous chromosomes. These variations both compromise variant detection sensitivity and may lead to incorrect genotypes^{5,12}. Although technological innovations 29 may improve the fidelity of whole-genome amplification (WGA)^{15-17,20-23}, statistical fluctuations 30 31 in the amplifications of millions of different DNA templates will persist.

32 As genetic variants are detected by the relative abundance of variant-containing DNA 33 templates in the library, non-uniformity in genome coverage directly impacts the sensitivity to 34 detect variants. For example, grossly non-uniform libraries emphasize only over-represented 35 regions of the genome, and contain little information on other regions. Current methods to assess 36 the uniformity of WGA rely on either direct visual inspection or various statistical measures of the sequencing coverage at the base-level^{18,22} or the allele-level^{5,12}. These empirical methods and 37 38 metrics generally require substantial sequencing (10x or greater) and only gauge the deviation of 39 amplified DNA from the "uniform" bulk DNA at a particular sequencing depth. They fail, 40 however, to characterize the intrinsic non-uniformity resulting from WGA that is independent of sequencing depth (Fig. 1a,b). Moreover, the nature of the main sources of bias remains poorly 41 42 characterized (Fig. 1c).

43 Here we report a systematic analysis of the coverage bias in single-cell whole-genome 44 amplification. We show that the structure of individual WGA amplicons imparts a dominant 45 amplification bias on length scales longer than the average size of sequencing fragments. 46 Sequencing at low depths (0.1-1x) can effectively reveal this variation in the amplicon-level 47 coverage, and enable accurate predictions of the depth-of-coverage yield when sequencing 48 single-cell libraries to arbitrary depths. We further characterized the amplification bias between 49 homologous chromosomes using analytically solvable models and validated these model 50 predictions of allelic coverage by experimentally observed coverage at heterozygous sites. These 51 results provide a framework for quality assurance of single-cell libraries and for estimating the 52 sensitivity to detect local variants-such as single-nucleotide variants or chromosomal 53 translocations—present in an individual cell at a given sequencing depth. Finally we demonstrate 54 that the amplification bias in multi-strand displacement amplification (MDA) is more random 55 than recurrent. Although such random bias cannot be corrected systematically, it suggests an 56 efficient census-based strategy to accurately determine somatic genetic variants in small biopsy 57 samples by sequencing multiple single cells from the same sample at modest depths.

58 **Results**

59 Information yield from bulk and single-cell sequencing

In bulk DNA libraries, each sequencing fragment represents genomic information from an individual cell; therefore, the information content increases with the sequencing depth until fragments are sequenced to exhaustion. The information content of a DNA library ("library complexity") is thus measured by the total number of distinct molecules (sequencing fragments) in the library²⁴⁻²⁶. This measure is essentially determined by the total number of cells (or the total 65 amount of genomic DNA) used to prepare the library (Fig. 1a, left panel). In single-cell DNA 66 sequencing, whole-genome amplification (WGA) precedes the construction of a DNA library 67 and introduces non-uniformity across the genome: As sequencing depth increases, more genomic 68 regions are uncovered (Fig. 1a, right panel). Hence the fraction of the single-cell's genome 69 uncovered at a given sequencing depth determines the information content of single-cell 70 sequencing. This measure ultimately depends on the uniformity of genome coverage, or the 71 magnitude and spread of whole-genome amplification bias, and is conceptually equivalent to a 72 "single-cell DNA library complexity."

73 Amplicon-level bias dominates coverage variation

74 Visual inspection of single-cell sequencing coverage suggests that the genome coverage 75 varies at many different length scales (Fig. 1b). To systematically evaluate the amplification bias 76 in single-cell libraries, we sequenced multi-strand displacement amplified (MDA) DNA libraries 77 of diploid RPE-1 cells (5-10x) and compared the sequencing coverage to a matched, unamplified 78 bulk DNA library ($\sim 12x$). To eliminate the effects of sequencing depths, we computationally 79 down sampled the bulk and single-cell DNA libraries and calculated the auto-correlation of base-80 level coverage in diploid chromosome 1 at various depths to examine coverage correlations at all 81 length scales (Fig. 2a, Supplementary Fig. 2). Both bulk and MDA libraries exhibited a 82 correlation at length scale $l_c \approx 100$ bp, reflecting the sequencing read length (101 bp). Looking 83 more closely we also identified a correlation at $l_c \approx 250$ bp, corresponding to the average size of 84 the paired-end fragments (Supplementary Fig. 2). As expected, the magnitude of such 85 correlations at the fragment scale decays with increasing sequencing depth.

86 Besides the fragment-level correlations, the bulk DNA sequencing coverage showed 87 minimal correlation between loci separated by more than 1 kb. In contrast, single-cell libraries exhibited a prominent correlation in 1-100 kb that is independent of the sequencing depth. Independent sequencing of the same single-cell library to 0.1x on the Illumina MiSeq platform and to 9x on the HiSeq platform revealed the same correlation with a characteristic length $l_c \approx 33$ kb (**Fig. 2a**). The sequencing-depth-independent correlation reflects the intrinsic non-uniformity in the DNA library and suggests a characteristic length scale of amplification bias.

93 The predominant correlation at l_c suggests adjacent loci within this distance have 94 comparable coverage. This observation implies the primary source of coverage variation (or 95 amplification bias) is at or above the distance l_c . Therefore, statistical variation of coverage at the 96 single-base level should reflect coverage variation at the amplicon level. To test this hypothesis, 97 we computed the cumulative distribution of bin-level coverage (bin size \approx 17Kb, half of l_c). 98 Normalizing the bin-level coverage by the mean depth-of-coverage, we found the cumulative 99 distribution of bin-level coverage to be nearly identical between independent sequencing at 9x or 100 at 0.1x (Fig. 2b), confirming that the amplicon-level coverage variation is intrinsic to the 101 amplified DNA but independent of the sequencing depth. Furthermore, the cumulative 102 distribution of single-base coverage at 9x sequencing depth aligned with the bin-level coverage 103 (Fig. 2b, Supplementary Fig. 2), suggesting that the amplicon-level variation was indeed the 104 dominant source of non-uniformity in single-cell libraries.

To further validate this conclusion, we computed the depth-of-coverage (DoC) curves and the Lorenz curves for the bulk RPE-1 library and a single RPE-1 library by MDA at different bin sizes (**Supplementary Fig. 3**). For the bulk library, the distribution of single-base level coverage is indistinguishable from that evaluated at the bin level when the bin size is smaller than the fragment size (~ 300 bp); above this scale the bin-level distribution is more uniform than the single-base level distribution, reflecting smoothing of coverage non-uniformity. 111 By contrast, for the MDA generated library, the distribution of single-base level coverage 112 remains constant until the bin size exceeds the amplicon size ~ 10 kb. Characterization of coverage non-uniformity by Lorenz curves²² also confirmed that the same bias was observed for 113 114 bin sizes less than or comparable to the amplicon size and was independent of the sequencing 115 depth. In particular, at sequencing depths $\ll 1x$, the majority of the genome is uncovered and 116 shows no variation in the single-base-level coverage; amplification bias, however, is manifested 117 in the correlation between covered loci and can be evaluated by low-pass sequencing. For typical 118 MDA-generated libraries, the amplicon size (~ l_c) is on the order of 10 kb, hence at 0.1x sequencing depth there are $0.1 \times 10^4 / 100 \approx 10$ reads (assuming 100 bp single-end reads) on 119 120 average for each amplicon. As long as the number of reads per amplicon is much larger than the 121 statistical variation due to random selection in sequencing (e.g., assuming poisson distribution, 122 the standard deviation of the observable is given by the square root of the expectation), the 123 percentage of such amplicons can be accurately calculated. At 0.1x sequencing, the amplicon-124 level coverage can accurately predict the fractional genome coverage down to 0.1x mean depth, 125 when there is approximately one read for each of these under-represented amplicons; below this 126 depth, low-pass sequencing at 0.1x cannot distinguish between regions that are severely under-127 amplified (< 0.1x mean depth) and those that dropped out of amplification.

128 Magnitude of amplicon-level variation determines coverage

We tested the validity of the correlation analysis by analyzing DNA libraries generated from different types of cells and by different amplification technologies. For this purpose, we analyzed single-cell sequencing data of additional RPE-1 samples (**Supplementary Fig. 2**) and data from multiple published studies, including frozen glioblastoma nuclei²⁷ (**Supplementary Fig. 4**), single diploid lymphoblastoid cells⁵ (**Supplementary Fig. 5**), frozen single neuron

nuclei⁸ (Supplementary Fig. 6), single sperms⁶ (Supplementary Fig. 7), and SW480 tumor 134 cells²² (Supplementary Fig. 8); all samples were amplified by MDA. SW480 cells were also 135 136 amplified by quasi-linear multiple annealing and looping-based amplification cycles 137 (MALBAC). The amplicon size in MDA-generated libraries ranged from 5 to 50 kb, with the sperm libraries having the lowest $l_c \approx 5$ kb (Supplementary Fig. 7). Interestingly, MDA of 138 139 hundreds or thousands of neurons exhibited similar amplicon sizes between 10-20 kb (Supplementary Fig. 6), consistent with estimates by standard and alkaline gel electrophoresis⁸. 140 141 In contrast, MALBAC showed a much shorter correlation length ~ 600 bp (Supplementary Fig. 8), consistent with the reported average amplicon size $(500-1500 \text{ bp})^{22}$. We also found 142 143 significant correlations at the fragment-size level in one single-cell library and the reference bulk library⁵ that persisted at high sequencing depths (Supplementary Fig. 5); these correlations 144 145 reflected substantial GC bias at the fragment level absent in the other bulk libraries and likely 146 arose during library preparation due to PCR. Despite the vastly different correlation lengths 147 evident in MDA and MALBAC amplifications, our analysis accurately predicted the cumulative 148 coverage distribution in all libraries sequenced to above 10x from computationally down-149 sampled sequencing data at 1x or less (Supplementary Fig. 2, 4-8).

To benchmark the performance of different single-cell libraries, we compared the fraction of covered genome ($\geq 1x$) when each library was sequenced to 1x. This percentage was either computed directly from down-sampled data (when the original data had higher depths) or inferred from the depth-of-coverage curve when the original data had lower depths. The coverage benchmark was plotted against the magnitude of amplicon-level variation as measured by the plateau correlation strength at the amplicon scale (**Methods**) (**Fig. 2c**). As expected, smaller amplification bias results in a larger fraction of covered genome. Out of the five published single-cell DNA sequencing studies analyzed here, the single-neuron libraries had the best overall uniformity, followed by the two single YH1 libraries; the MALBAC libraries overall had less amplification bias than MDA, although optimized MDA libraries performed equally well. The frozen glioblastoma libraries (59 total) exhibited a range of variations that can be fitted by an empirical relationship

$$y = \frac{0.86}{1.2 + \sqrt{x}} \tag{1}$$

163 where *y* is the percentage of covered genome and *x* is the (dimensionless) correlation magnitude. 164 Except for the single-sperm libraries that exhibited substantial bias, all other analyzed data 165 closely followed this relationship. This result suggested that the uniformity of genome coverage 166 is solely determined by the amplicon-level variation but not the amplicon size. Therefore, one 167 can directly employ this empirical relationship to benchmark the uniformity of single-cell 168 libraries by the correlation magnitude that can be accurately computed from low-pass sequencing 169 $\sim 0.1x$.

We further selected the best single-cell libraries from each study and compared the fraction of genome covered at different depths as observed in the original high-depth sequencing (**Fig. 2d**). Due to the different sequencing depths applied to these libraries, we plotted all cumulative genome coverage against the normalized depth (by the mean depth). The benchmark of amplification uniformity as measured by the depth-of-coverage curve agrees with the computed correlation magnitude (**Fig. 2c** inset).

Finally we also analyzed the base-level coverage in single-cell libraries amplified by degenerate oligonucleotide primed PCR (DOP-PCR)²⁸. The correlation was evident both at the read length level (~ 50 bp) and on a longer scale ~ 200 bp (**Supplementary Fig. 9**) that is 179 consistent with the size of purified DOP-PCR product ⁴. In comparison to MDA or MALBAC 180 generated libraries, the smaller overall correlation magnitude (at the amplicon level) explains the 181 better uniformity of DOP-PCR. Interestingly, even for the MDA generated libraries, shorter 182 amplicon size tends to result in better uniformity (**Supplementary Fig. 9**); the underlying 183 mechanism for this observation requires further characterization.

184 Genome coverage variation reflects allele-level bias

185 Coverage at the locus-level includes contributions from homologous chromosomes (the 186 allele-level coverage). The same non-uniformity in the genome coverage, however, may result 187 from different combinations of non-uniformity at the allelic level (Fig. 3a). Although allele 188 coverage determines the sensitivity to detect heterozygous variants, we rarely consider this 189 aspect in bulk sequencing due to the comparable contributions of all alleles and largely uniform 190 coverage of the genome. In single-cell libraries, however, we often observe disproportionately represented alleles and numerous loci may exhibit "allelic dropout"^{5,12}. Consequently, the 191 192 detection sensitivity of hemizygous variants is measured by the allele coverage and needs to be 193 derived from the genome coverage.

194 To predict the allele coverage from the locus-level genome coverage, we considered two 195 limiting scenarios: a "segregated template model" (STM) assuming completely independent 196 amplification of homologous chromosomes, and a "mixed template model" (MTM) assuming 197 identical coverage of homologous chromosomes (as expected in bulk sequencing) (Fig. 3a). The 198 difference between the two models is most evident in highly amplified regions: STM implies 199 preferential amplification of one allele while MTM suggests that both alleles have been highly 200 amplified. Both models are analytically solvable and can be easily implemented computationally 201 (Methods, Supplementary Fig. 10).

202 We compared the model predictions for allele-level coverage to the observation at 203 germline heterozygous sites detected from bulk DNA sequencing (Fig. 3b, Supplementary Figs. 204 5.11). For glioblastoma libraries (Fig. 3b), both locus- and allele-level coverage was calculated 205 from disomic chromosome 12 at 1x sequencing depth. Coverage at heterozygous sites was 206 evaluated for different disomic chromosomes (5, 12, and 13) from higher-depth sequencing at 9-207 10x. As expected, the total coverage (reference plus alternate bases) at these sites agreed well 208 with the prediction for locus-level coverage, reflecting similar amplification bias for different 209 chromosomes with the same copy number. Meanwhile, coverage of either reference or alternate 210 bases followed the same distribution as predicted by the STM model. These results suggested 211 homologous chromosomes are amplified almost independently during WGA and manifest the 212 same degree of amplification bias. This discovery was further underscored by the agreement 213 between the observed coverage of monosomic chromosome 10 and the STM allele-coverage 214 prediction (Supplementary Fig. 11).

215 We further verified that coverage of alternate or reference alleles was indeed independent 216 of each other in the glioblastoma samples by looking at the distribution of alternate and reference 217 reads at heterozygous sites in disomic chromosome 5 (Supplementary Fig. 12). Interestingly, 218 the two-cell RPE-1 libraries showed positive correlations between the counts of the reference 219 and of the alternate alleles (Supplementary Fig. 12), consistent with the MTM model (Supplementary Fig. 11). Of the two published single YH1 libraries⁵, one agreed better with the 220 221 MTM model and the other agreed with the STM model (Supplementary Fig. 5). Whether this 222 difference resulted from the cell's initial condition (frozen vs. fresh), the stage of cell cycle, or 223 other factors requires further characterization.

224 Census-based strategy enables efficient variant detection

225 Our analytical prediction of the allele coverage measures the average probability of 226 capturing a single variant read in single-cell sequencing. In sequencing analysis, however, more 227 than one observation of the variant is necessary to mitigate sequencing errors. This requirement 228 substantially reduces the percentage of detectable variants at low sequencing depths. In one 229 example (GBM#4, correlation magnitude \approx 4 for disomic chromosomes), the normalized allele 230 coverage implied that only 13.3% of clonal hemizygous variants could be confidently detected at 231 a mean sequencing depth of 1x when requiring at least two reads for each variant 232 (Supplementary Fig. 11). This percentage increased with sequencing depth to a limit of 79% at 233 100x. In contrast, the sensitivity to detect a sub-clonal mutation with allelic fraction of 0.4 in a 234 bulk library at 10x sequencing is $\sim 80\%$ and quickly reaches > 95% at a sequencing depth of 235 $20x^{29}$. The reduced dependence of detection sensitivity on sequencing depth for single-cell 236 libraries suggested that deep sequencing of an individual library is not an efficient approach to 237 increase power for detecting variants from libraries prepared by WGA.

238 To overcome this challenge, we devised an approach to sequence a large number of 239 single-cell genomes at only modest depths ($\sim 1x$). We simultaneously controlled for errors 240 resulting from random MDA artifacts or from sequencing by requiring true variants to appear in 241 multiple libraries ("census based") (Fig. 4a). We expected this population-based approach to be 242 effective only when the amplification bias is random, but not recurrent (Fig. 1c). We thus 243 evaluated the correlation between the coverage of reference and alternate alleles in four 244 independent glioblastoma libraries. The small covariance (~ 0.01) between the coverage of each 245 given allele in different libraries is consistent with random MDA bias (Table 1). These data 246 contrasted with recurrent locus-specific amplification bias in degenerate-oligonucleotide-primed PCR methods such as GenomePlex³⁰. 247

We next examined how many single cells sequenced to the same total depth would maximize the total allele coverage by census-based variant detection using a representative library with modest bias (GBM#4, correlation magnitude \approx 4) (**Fig. 4b**). In all cases, our model predicted maximum allele coverage when each individual cell was sequenced to a modest depth (~ 1x). We repeated this calculation using each of the other libraries as the representative, and found that the optimal depth for detecting clonal and sub-clonal variants is always \leq 1x (**Fig. 4c**).

254 To test this experimentally, we sequenced each of the following subsets of single 255 glioblastoma libraries to 20x total depth: 59 libraries (~ 0.33x per library), 22 libraries (~ 1x per 256 library), two libraries (~ 10x each, group A) with minimal bias (correlation magnitude ≈ 0.9 for 257 disomic chromosomes), and two libraries (~ 10x each, group B) with average bias (correlation 258 magnitude = $2 \sim 4$). We genotyped germline heterozygous SNPs and detected somatic single 259 nucleotide variants (sSNVs) and small insertion/deletions (indels) by the census-based strategy 260 and compared the call sets with results from bulk DNA sequencing. For germline SNPs in 261 disomic chromosome 5, we observed that census-based detection in the two pools of single-cell 262 libraries (59 and 22 each) each uncovered more than 80% of all SNPs detected in bulk, while the 263 two sets of two libraries with minimal and average bias uncovered only $\sim 30\%$ and $\sim 5\%$ of the 264 heterozygous sites, respectively (Fig. 4d). A similar improvement in sensitivity was observed for 265 the detection of sSNVs and indels among the single cells sequenced to $\sim 0.33x$ and $\sim 1x$ per 266 library (as opposed to $\sim 10x$ per library), detecting more somatic variants found in bulk whole-267 exome sequencing with fewer private or false positive calls (Fig. 4e, Supplementary Data 1 -268 5). The false positive calls usually occur at low allele frequencies within each library and likely 269 reflect recurrent amplification errors and sequencing errors. Such errors are less frequent when 270 the library is sequenced to a low depth and can be suppressed by requiring more than one read

for each variant. Together, these data validate our statistical estimates of the variant detection sensitivity from a population of single cell libraries and demonstrate that a census-based strategy using only modest depths of sequencing for many single cells can substantially improve both sensitivity and specificity for detecting variants compared to deep sequencing of individual libraries.

276 **Discussion**

277 Here we have established a universal method to characterize the amplification bias in 278 single-cell DNA libraries at both locus and allele levels. Based on our discovery that intrinsic 279 amplification bias occurs predominantly at the amplicon level, we demonstrated that the 280 cumulative distribution of bin-level coverage (with bin size set to the length scale of dominant 281 amplification bias) directly predicts the depth-of-coverage at any sequencing depth. We further 282 derived a quantitative measure of amplification bias that can directly predict locus-level coverage 283 via an empirical relationship. Our analysis thus provides a statistical description of the 284 relationship between the genomic coverage of single-cell DNA libraries and the intrinsic 285 amplification bias. This metric provides a robust benchmark that enables a quantitative 286 prediction of the complexity of single-cell libraries from low-pass sequencing $(0.01 \sim 0.1x)$.

287 We demonstrated that amplification of different chromosomes (including different 288 homologous chromosomes) in a single cell is often independent ("segregated template model"), 289 reflecting random priming and amplification. This biophysical feature is fundamentally different 290 amplification from bulk DNA, where allele-level from coverage is strongly correlated^{31,32}("mixed template model"). We proposed analytically solvable models that can 291 292 quantitatively predict the allele coverage of single-cell libraries at any sequencing depth. These

293 models provide the basic framework for estimating the detection sensitivity of hemizygous294 genetic variants by single-cell sequencing.

295 The characteristic length in the coverage autocorrelation also determines the scale at 296 which the source of amplification bias should be characterized. In bulk DNA libraries, a 297 dominant bias at the fragment length level is shown to be associated with the sequence content 298 (GC%), but such bias quickly decays at longer length scales (Supplementary Fig. 5 and 6). In 299 MDA-generated libraries, however, we observed substantial variation even in regions with 300 similar GC content (Supplementary Fig. 6). This is in sharp contrast to MDAs from bulk samples^{18,31-33}. Such a wide range of variation reflects random priming bias¹⁷ instead of recurrent 301 302 polymerase extension bias, and may also depend on the size of DNA templates after cell lysis, which is known to affect displacement efficiency²¹. Our discoveries of the amplicon-level 303 304 correlation and independent allele amplifications are both consistent with the dominant bias 305 being generated in the early stage of amplification of single DNA templates and reflect the 306 discrete nature of single-molecule biochemical reaction. As early stage bias can be exponentially 307 amplified during subsequent cycles of amplification, limited amplification should result in better uniformity^{27,34}. 308

The random nature of single-cell genome amplification further underscores the necessity of single-cell specific bioinformatic tools and experimental design. Deep sequencing of singlecell libraries to recover measures of variant alleles easily extends the sequencing cost and becomes prohibitive for libraries with extreme bias. Our analyses suggest a more practical approach by (1) preparing individual sequencing libraries from many independent samples, and (2) ranking and selecting the best libraries based on the complexity and the allelic coverage 315 predicted based on low-pass whole-genome sequencing of each library (~0.1x) before extensive
316 sequencing.

317 For clinical samples with a limited number of cells, such as fine-needle aspirates or 318 circulating tumor cells, the most interesting genetic variants are shared among the cells, 319 including both sub-clonal and clonal variants. For this purpose it is most efficient to perform 320 "census-based variant detection" from multiplexed sequencing of independently amplified 321 single-cell DNA libraries each sequenced to modest depths (~ 1x). The census-based variant 322 detection strategy simultaneously controls random errors due to sequencing (0.1-1% per 323 sequenced base) or amplification ($\sim 1\%$ loci with error reads exceeding 10% allele frequency, 324 Supplementary Fig. 7, Refs. 27 and 34) and maximizes the total allele coverage at a given 325 sequencing depth by sampling many independently amplified libraries, thus enabling accurate 326 detection of somatic variants and dissection of clonal heterogeneity.

327 One technical complication in single-cell sequencing is DNA contamination. 328 Contamination of non-human-genomic DNA before whole-genome amplification will result in a 329 large percentage of sequencing reads that are not mapped to the reference assembly, which can 330 be readily identified and excluded by low-pass sequencing. The census-based strategy also 331 effectively controls human genomic DNA contamination limited to one single-cell library. 332 Contaminations to multiple single-cell libraries are usually present at many more copies than a 333 single-cell genome at the affected loci and should be recognizable as they are substantially 334 amplified after whole-genome amplification.

At the current stage, errors introduced during WGA prohibit an accurate characterization of individual genetic variants within a single cell. (This task can be accomplished through independent amplifications of biological replicates after cell division.) It is however possible to infer global features of mutagenesis, such as the mutation rates in tumor progenitor cells or circulating tumor cells, by single-cell sequencing after correcting the total number of detected genetic variants by the statistical power for detecting variants in a single-cell library sequenced to a certain depth. Our analyses have laid the foundation for single-cell genetic variant detection by calibrating the amplification bias at both genomic and allelic levels.

343

344 Methods

345 Amplification and sequencing of RPE-1 cells

346 The hTERT RPE-1 cell line stably expressing GFP-H2B was cultured and treated as 347 previously described³⁶. Briefly, cells were transfected with a pool of siRNAs (Smartpool, 348 Dharmacon) against p53 using RNAiMAX (Invitrogen) according to the manufacturer's 349 instructions. 18-hours later cells were treated with Nocodazole (100 ng/ml; Sigma) for 6 hours. 350 G2/M arrested cells were harvested by mitotic shake-off and replated after three washes with 351 medium. 4h after replating, G1- released cells were sorted into 384-well tissue culture plates and 352 cultured. Confirmed single cells were allowed to divide once, before being washed twice with 353 PBS and lysed and amplified within the 384-well tissue culture plate as outlined above.

Amplified DNA from two RPE-1 cells after one round of cell division was subject to standard whole-genome DNA library preparation and assessed by low-pass sequencing ~ 0.1x using the MiSeq platform (Illumina). DNA libraries of RPE cells (3 total) were then sequenced to 4-9x on the HiSeq2500 platform (Illumina). Bulk RPE-1 DNA was sequenced to ~12x on the HiSeq2500 platform (Illumina).

359 **Processing of single-cell sequencing data**

360 Sequencing reads from published studies were downloaded from the NCBI Short Read 361 Archive. For the diploid YH genome, we downloaded all sequencing runs of the bulk reference 362 (SRR294761) and two single-cell samples, "BGI YH1" (SRR294759), and "BGI YH2" 363 (SRR294760). For diploid neurons, we downloaded all the data from SRP014781, including 364 sequencing data for the bulk DNA, and for the whole-genome amplified products from single-365 cell DNA, 100-cell DNA, and 50,000-cell DNA. For haploid sperms, we downloaded the deep 366 sequencing data of 8 single sperm libraries, "Sperm23" (SRS344176), "Sperm24" (SRS344190), 367 "Sperm 27" (SRS344191), "Sperm28" (SRS344192), "Sperm101" (SRS344222), "Sperm113" 368 (SRS344223), "Sperm135" (SRS344224), "Sperm136" (SRS344225). For SW480 tumor cells, 369 we obtained data corresponding to the bulk reference (SRS374235), a single-cell MDA library 370 (SRS375060), and five single-cell MALBAC libraries (SRS373654, SRS374233, SRS375671, 371 SRS375672, SRS375673). Data of the glioblastoma libraries were generated from a previous 372 study and can be accessible from SRP052627.

Reads were aligned to the human genome reference (hg19/GRCh37) using **bwa** (http://bio-bwa.sourceforge.net/) in the paired-end mode. The RPE and glioblastoma libraries were aligned by "bwa aln" followed by "bwa sampe" with default parameters. The remaining data were aligned by "bwa mem". PCR duplicates were removed by **MarkDuplicates** from PICARD (http://picard.sourceforge.net/). Sequencing data of the glioblastoma libraries and the matching blood were recalibrated and indel-realigned by GATK (http://www.broadinstitute.org/gatk/) before variant detection.

Down-sampling of deep sequencing data to ~1x was done by **DownsampleSam** from PICARD. Base-level sequencing coverage was enumerated by the **DepthOfCoverage** module from GATK with minimum read mapping quality set to 5. 383 To evaluate the allele coverage in RPE-1 MDA libraries, we detected heterozygous SNPs 384 in Chr.1 of the RPE-1 cells from the sequencing of bulk RPE-1 DNA (~12x) and individual 385 MDA libraries by **UnifiedGenotyper** from GATK; only variants with Oual. > 100 and at least 386 three reference and three alternate reads in the bulk sample were selected to evaluate the allele 387 coverage in MDA libraries. For other samples, we genotyped HapMap SNPs (v3.3) to 388 estimate the allelic coverage; only variants found to be heterozygous in the matching blood with 389 Qual. \geq 500 were selected and genotyped in each set of glioblastoma libraries. Somatic single-390 nucleotide variants and small insertions/deletions were detected by HaplotypeCaller from GATK in each set of glioblastoma libraries and in the bulk library, and by **MuTect**²⁹ from bulk whole-391 392 exome sequencing.

393 Computation of auto-correlation function of sequence coverage

394 The dimensionless auto-correlation function of coverage is defined as

$$G(\Delta) = \frac{\langle C(x)C(x+\Delta) \rangle - \langle C(x) \rangle^2}{\langle C(x) \rangle^2}$$
(1)

The brackets denote average over all genomic loci *x* and Δ measures the spread of correlation. In computing the auto-correlation functions we only include regions not adjacent to the assembly gaps. (Adjacency is determined by the step Δ .)

399 The correlation function is fitted to an exponential form to estimate the correlation length 400 l_c :

401
$$G(\Delta) = a + be^{-\Delta/l_c}.$$
 (2)

For MDA, the correlation length l_c is on the order of 10 kb and the correlation function $G(\Delta)$ is roughly constant above the fragment length (~300 bp) and below the correlation length l_c . In this regime, $G(\Delta)$ can be written as

$$G(\Delta) \approx \frac{\langle \overline{C}^2 \rangle - \langle \overline{C} \rangle^2}{\langle \overline{C} \rangle^2}$$
(3)

406

405

Here \overline{C} is the average coverage within each bin $[x, x + \Delta)$. It becomes evident that $G(\Delta)$ measures the standard deviation of bin-level coverage. For convenience, we choose to evaluate $G(\Delta)$ at $\Delta = 1$ kb as a quantitative metric of the magnitude of amplification bias (correlation strength).

411 Statistical models for predicting allele coverage from genome coverage

The power to detect a genetic variant is given by the probability that this variant locus (usually of one chromosome) is represented in the sequencing data, or the relative abundance of variant-supporting reads. But the direct observable in sequencing data is the total number of reads covering all possible alleles, i.e.,

416
$$C = m_1 + m_2 + \dots + m_n,$$
 (4)

417

418 where *C* is the total observed coverage at a given locus as a sum of contributions from each allele 419 denoted by m_{i} .

In the presence of amplification bias both C and m_i 's vary across the genome. The distribution of C across different loci can be straightforwardly evaluated from the depth-ofcoverage curve; here we want to infer the statistical distribution of m_i when the distribution of Cis known. The segregated template model (STM) assumes that amplifications of homologous chromosomes are independent. As a consequence, the counts of reference and of alternate bases at heterozygous sites are independent, and one highly amplified allele may dominate over the remaining ones. In the mixed template model (MTM), different alleles are assumed to be 427 amplified to the same extent at every individual locus. As a result, the counts of reference and of428 alternate bases at heterozygous sites follow a symmetric binomial distribution.

In mathematical terms, m_i 's are independent of each other but follow the same distribution in STM. In this scenario, one can numerically compute the distribution of m_i from the characteristic functions C(k) and m(k) (i.e, the Fourier transforms of the probability distribution for *C* and *m*) which satisfy

433
$$C(k) = m(k)^n$$
 (5)

434

Here we present an iterative method to calculate the distribution of m_i and illustrate this method using a diploid genome (i.e., n = 2). At a given sequencing depth, denote the total percentage of loci that are covered $\ge 1x$ by f_i .

438
$$P(C \ge 1) = f_{.}$$
 (6)

439

440 the percentage of loci that are covered in a particular allele is denoted by

$$P(m_i \ge 1) = \lambda_i \tag{7}$$

442

443 It is then straightforward to see that

$$P(C \ge 1) = 1 - \prod_{i} (1 - P(m_{i} \ge 1))$$

$$444$$

$$445$$

$$446 \quad \text{or}$$

$$447 \qquad f = 1 - (1 - \lambda)^{n}.$$

$$(9)$$

Hence in a region with *n* alleles, the probability that a given allele is covered is given by

450
$$\lambda = 1 - (1 - f)^{1/n}$$
 (10)

451

452 For diploid genomes, this becomes

453
$$\lambda = 1 - (1 - f)^{1/2}$$
 (11)

454

455 We can expand this further to compute the coverage at higher depths. For example,

456
$$P(C \ge 2) = P(m_1 = 0)P(m_2 \ge 2) + P(m_1 = 1)P(m_2 \ge 1) + P(m_1 \ge 2)$$
(12)

457 If we denote the percentage of loci where total coverage is at or above two as f_2 , and the 458 percentage of loci covered at or above two for each allele as λ_2 , then we have

459
$$f_2 = (1-\lambda)\lambda_2 + (\lambda - \lambda_2)\lambda + \lambda_2, \tag{13}$$

460 or

461
$$\lambda_2 = \frac{f_2 - \lambda^2}{2(1 - \lambda)}$$
(14)

462

463 The iteration can be continued to calculate the allele coverage at any depth,

464
$$P(C \ge M) = \sum_{k=0}^{M-1} P(m_1 = k) P(m_2 \ge M - k) + P(m_1 \ge M)$$
(15)

465 or (denoting $\lambda_0 = 1$, $\lambda_1 = \lambda$, etc.)

$$f_{M} = \sum_{k=0}^{M-1} (\lambda_{k} - \lambda_{k+1}) \lambda_{M-k} + \lambda_{M}$$

= $\sum_{k=1}^{M-2} (\lambda_{k} - \lambda_{k+1}) \lambda_{M-k} + 2(1 - \lambda)\lambda_{M} + \lambda_{M-1}\lambda$, (16)

466

467 which gives

$$\lambda_M = \frac{1}{2(1-\lambda)} \left[f_M - \lambda \lambda_{M-1} - \sum_{k=1}^{M-2} (\lambda_k - \lambda_{k+1}) \lambda_{M-k} \right]$$
(17)

468

In the mixed template model, we assume that the local coverage C is a mixture of all alleles randomly sampled at the same frequency. In disomic regions, this implies that m follows a binomial distribution B(C, 0.5) at any total coverage C. Under this model we have

$$\lambda = P(m \ge 1) = \sum_{t=1}^{M} P(C = t) \left(1 - 0.5^{t}\right)$$

= $\frac{1}{2} P(C \ge 1) + \frac{1}{2^{2}} P(C \ge 2) + \cdots$
= $\frac{1}{2} f + \frac{1}{4} f_{2} + \cdots + \frac{1}{2^{t}} f_{t} + \cdots$ (18)

where the sum runs over all observed local coverage (t = 1, 2, ..., M). The series converges quickly as both f_t and the exponential prefactor decay quickly. Furthermore, one easily verifies that when f is small, this result is equal to the segregated template model to the leading order (1/2 f_t).

477 It is also straightforward to calculate the allele coverage at higher depths.

472

478
$$\lambda_k = P(m \ge k) = \sum_{t=k}^M P(C=t) \left(1 - 2^{-t} \sum_{s=0}^{k-1} \frac{t!}{s!(t-s)!} \right).$$
(19)

479 Census-based detection sensitivity from a pool of single-cell libraries

As the percentage of genome that is covered at or above 1x at any sequencing depth can be estimated, we can also predict the census-based detection power for hemizygous variants in a pool of single-cell libraries. Consider a total number of *Y* libraries having similar amplification bias and the probability of observing a hemizygous variant in any of the *Y* libraries is given by λ , then the probability for observing this variant in a subset of libraries (*X* out of *Y*) is given by

485
$$P(\text{Covered in} \ge X \text{ libraries}) = 1 - \sum_{m=0}^{X-1} \frac{Y!}{m!(Y-m)!} \lambda^m (1-\lambda)^{Y-m}$$
(20)

We can then compute this for a sub-clonal variant at clonal fraction *y* in a total of *Z*libraries from

$$P(\text{Covered in} \ge X \text{ libraries}) = 1 - \sum_{Y=0}^{X-1} \frac{Z!}{(Z-Y)!Y!} y^{Y} - \sum_{Y=X}^{Z} \frac{Z!}{(Z-Y)!Y!} y^{Y} \sum_{m=0}^{X-1} \frac{Y!}{m!(Y-m)!} \lambda^{m} (1-\lambda)^{Y-m}, \quad (21)$$

488

489 where random selection of cells containing the sub-clonal variant follows a binomial distribution 490 B(Z,y).

491

492

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569 Acknowledgements

570 We thank Dr. David Pellman for sharing the sequencing data on RPE cells, M. Leibowitz, X. 571 Cai, and G. Evrony for discussions, and the Koch Institute Swanson Biotechnology Center 572 (specifically the BioMicro Center) for technical support. C.-Z.Z. was supported by the National Cancer Institute (U24CA143867 to M.M.). V.A.A. was supported in part by a graduate 573 574 fellowship from the National Science Foundation. J.C.L. is a Camille Dreyfus Teacher-Scholar. 575 This work was supported by the Bridge Project, a collaboration between Koch Institute for 576 Integrative Cancer Research at MIT and the Dana-Farber/Harvard Cancer Center (DF/HCC) to 577 (J.C.L, K.L.L and M.M.), and the National Brain Tumor Society. This work was also supported 578 in part by Janssen Pharmaceuticals, Inc., and the Koch Institute Support (core) Grant P30-579 CA14051 from the National Cancer Institute.

580 Author contributions

581 C.Z.Z. and V.A.A. initiated the project and carried out the analysis. C.Z.Z. performed analysis of 582 amplification bias; V.A.A. performed analysis of census-based detection sensitivity with help 583 from C.Z.Z. J.F., H.C., C.M., and K.L. prepared sequencing libraries for the RPE cell line and 584 glioblastoma samples. C.Z.Z., V.A.A., J.C.L., and M.M. wrote the manuscript with help from all 585 authors. M.M. and J.C.L. supervised the study.

586 **Competing interests**

587 M.M. is a founder and equity holder of Foundation Medicine, a for-profit company that provides588 next-generation sequencing diagnostic services.

589 **Data access**

590 The sequence data have been deposited in the Short Read Archive from NCBI under the 591 following accession codes: RPE-1 bulk (SRX858057); two-cell RPE libraries (SRX858832, 592 SRR1779331 for RPE#1, SRR1779329 for RPE#2, SRR1779330 for RPE#3); single RPE 593 libraries (SRX858836, SRX858838, SRX858840, SRX858841); glioblastoma bulk whole-594 genome sequencing (SRX848889); glioblastoma bulk whole-exome sequencing (SRX857666); 595 single-glioblastoma nuclei pool #1 (59 nuclei, SRX858332); single-glioblastoma nuclei pool #2 596 (22 nuclei, SRR1778915, SRR1779027, SRR1779078, SRR1779079, SRR1779080, 597 SRR1779083, SRR1779085, SRR1779088, SRR1779089, SRR1779091, SRR1779092, 598 SRR1779093, SRR1779095, SRR1779098, SRR1779157, SRR1779161, SRR1779163, 599 SRR1779167, SRR1779172, SRR1779174, SRR1779175, SRR1779177); deeply sequenced 600 single-glioblastoma nuclei (SRX858848, SRR1779345 for GBM #1, SRR1779347 for GBM 601 #2; SRR1779348 for GBM #3; SRR1779350 for GBM #4); whole-genome sequencing of 602 blood reference for the glioblastoma patient (SRX851083); whole-exome sequencing of the 603 blood reference for the glioblastoma patient (SRX857684).

604 Figure legends:

605 Figure 1 | Non-uniformity in genome coverage and its impact on the sequencing yield (a) 606 Dependence of the information yield on the sequencing depth. Deeper sequencing of bulk 607 libraries yields information on a larger population of cells; deeper sequencing of whole-genome 608 amplified single-cell libraries reveals information on a larger fraction of the genome (thick lines). 609 (b) Genome coverage bias at different levels. "Amplification bias" (top): Whole-genome 610 amplification generates coverage bias at the amplicon level, which is around 10-50 kb for multi-611 strand displacement amplification. "Sequencing bias" (bottom): Non-uniformity in the selection 612 of sequencing fragments can be caused by multiple sources of bias including whole-genome 613 amplification: the variation in sequencing coverage can be observed from 100 bp to multiple 614 megabases. (c) Schematic representations of recurrent and random amplification bias from 615 multiple independent amplifications of the same DNA material.

616

617 Figure 2 | Statistical analysis of whole-genome amplification bias and coverage uniformity 618 (a) Autocorrelation in the genome coverage of a two-cell RPE-1 DNA library (RPE#1) amplified 619 by multi-strand displacement amplification (MDA). The same library independently sequenced 620 to 0.1x (open triangles) and to 8x (solid triangles) exhibits a correlation above 1kb that is 621 invariant at intermediate depths (shaded triangles) from downsampling of the 9x sequencing 622 data. Black dashed curve represents exponential fitting of the autocorrelation in the 1-100 kb range as $2 + 0.17e^{\Delta/lc}$ with a correlation length $l_c = 33$ kb. This correlation is absent in the bulk 623 624 library sequenced to different depths. Both the bulk and the MDA-generated libraries show a 625 sequencing-fragment-level correlation ($l_c = 100$ bp) that decays with the sequencing depth. (b) 626 The identical normalized cumulative coverage at bin size $1/2 l_c$ evaluated from the 9x (solid) and 627 from the 0.1x sequencing (dashed) reflects the same amplicon-level variation due to MDA. The 628 agreement between bin-level (dashed and solid lines) and base-level (red dots) depth-of-coverage 629 curves further suggests that the bin-level variation contributes the dominant amplification bias. 630 See Supplementary Figs. 2.4-8 for more examples of the correlation (a) and coverage (b) 631 analysis of single-cell sequencing data from different studies. (c) Relationship between genome 632 coverage (% covered at 1x mean sequencing depth) and amplification bias (measured by the

amplitude of the amplicon-level correlation) of single-cell libraries from different studies. Coverage is evaluated at Chr.1 for both haploid sperms and diploid cells, as well as the SW480 tumor cells (disomic in Chr.1), and at Chr.10 (monosomic), Chr.12 (disomic), and Chr.13 (disomic) for glioblastoma nuclei. The inverse dependence is fitted with an empirical formula, *y* $= 0.86/(1.2+\sqrt{x})$. (d) Comparison of the cumulative coverage in the most uniform single-cell library from each study. Data were directly evaluated from high-depth sequencing of all samples except the neuron library for which the curve was interpolated from 0.5x sequencing as in (b).

640

641 Figure 3 | Amplification bias of homologous chromosomes. (a) Schematic illustration of the 642 "mixed template model" and the "segregated template model" reflecting different allele-level 643 contributions to the same locus-level coverage. (Methods, Supplementary Fig. 10). (b) 644 Comparison of the allele coverage predictions ("Pre.") from 1x sequencing depth with the 645 observed coverage at heterozygous sites ("Obs.") at 9x sequencing depth in three single 646 glioblastoma libraries. The combined coverage of reference and alternate bases (red dots) at 9x 647 sequencing validates the prediction from 1x sequencing (dashed curve). The allele coverage 648 (reference or alternate) is then predicted from the combined coverage assuming mixed templates 649 (MTM, blue dotted lines) or segregated templates (STM, green dotted lines) and compared to the 650 coverage of reference (blue triangles) or alternate (green triangles) bases at heterozygous sites. 651 The predictions were made from the sequence coverage in disomic Chr. 12 but the agreement 652 with observations in different disomic chromosomes demonstrate that amplification bias is 653 consistent in all chromosomes.

654

655 Figure 4 | Variant detection in single-cell genomes. (a) Census-based variant calling requires 656 that acceptable variants be observed in at least two independent single-cell libraries. (b) 657 Estimates of the census-based detection sensitivity for a population of independently amplified 658 single-cell libraries all assumed to have similar amplification bias as GBM#4 (Supplementary 659 Fig. 11). Optimal detection sensitivity is achieved at roughly 0.5x depth-per-library regardless of 660 the sub-clonal fraction or the total sequencing depth. (c) Optimal depth-per-library for census-661 based variant detection in a population of independently amplified single-cell libraries assumed 662 to have similar coverage bias. The range of the optimal depths is calculated based on the

amplification bias observed in single glioblastoma libraries in Fig. 2b. For libraries with more 663 664 bias or for the detection of variants with lower clonal fractions it is optimal to sequence more 665 libraries at modest depths (0.1-0.5x). (d) Observed coverage of reference and alternate bases at 666 heterozygous SNP sites in disomic Chr.5 as an estimate of the census-based detection sensitivity 667 for clonal variants. A varying number of single glioblastoma nuclei (59, 22, and 2) were 668 sequenced to the same total depth (20x) and genotyped at germline heterozygous SNP sites. 669 Group (A) included two cells with the best uniformity and group (B) included two cells with 670 average uniformity. For either heterozygous coverage or the detection of alternate bases, the 671 larger pools offer better sensitivity than the two groups of two cells. (e) Comparison between 672 somatic non-synonymous variants detected in different sized pools of single cells sequenced to 673 the same total depths (20x). The truth set (48 variants in total) included 43 variants that were 674 detected in both 30x whole-genome and 120x whole-exome sequencing of bulk tumor DNA, 675 plus five additional variants detected in bulk whole-genome and single-cell sequencing. At the 676 same overall sequencing depth, census-based detection from a population of cells (59 and 22) 677 offers higher sensitivity and better specificity over deep sequencing of two libraries. A larger 678 number of private/false positive mutations are observed when individual samples are sequenced 679 to higher depths, and these private calls often arise from sporadic sequencing errors that coincide 680 with amplification errors.

681

682 **Tables:**

683 **Table 1** | Overlap and correlation between allele coverage in independent single-cell libraries by 684 multi-strand displacement amplification. Allele coverage in each library is evaluated by the 685 number of covered HapMap heterozygous SNP sites in disomic chromosome 5 detected in bulk 686 sequencing (combining blood and bulk tumor) by UnifiedGenotyper (Qual. \geq 500). (a) In each 687 single-cell library, coverage of A and B alleles is almost equal and the expected overlap 688 assuming random A or B allele coverage—the estimated coverage of heterozygous sites—is 689 comparable to the observed number of heterozygous sites. (b) The overlap between different 690 single-cell libraries' coverage of each allele is also close to the expected overlap based on 691 random allele coverage.

a Library complexity and sequencing yield



b Coverage bias at different levels



C Recurrent and random amplification bias





9x MDA

9x MDA

10





b Allele coverage predictions for single glioblastoma libraries



а



d observed census-based sensitivity (germline/clonal)





40x _ Δ (B) # cells sequenced

е observed census-based sensitivity (somatic/subclonal)



	Depth	Total	Reference	Alternate	Allelic %	Hets (est.)	Hets (obs.)
(i)	9.2x	49,457	40,345	40,356	72%	28,931	29,336
(ii)	8.1x	48,745	39,569	39,521	70%	27,787	28,149
(iii)	6.6x	35,765	22,163	21,549	39%	8,486	7,950
(iv)	9.0x	37,507	23,763	23,883	42%	10,084	10,144

Table 1a | Coverage at heterozygous sites in single glioblastoma nuclei libraries

Total germline heterozygous SNPs in Chr. 5: 56,278 (qual. ≥ 500, HapMap)

Table 1b | Overlap between independent single-nuclei libraries (Covariance = $p_{AB} - p_A \cdot p_B$)

	Allele A	Allele B		Allele A	Allele B		Allele A	Allele B
Cell (i)	40,345	40,356	Cell (i)	39,569	39,521	Cell (i)	40,345	40,356
Cell (ii)	39,569	39,521	Cell (ii)	22,163	21,549	Cell (ii)	23,763	23,883
Overlap	28,912	28,953	Overlap	15,290	15,195	Overlap	17,420	17,521
Covariance	0.010	0.011	Covariance	0.006	0.001	Covariance	0.007	0.007