STUDIES ON A CATALYTIC CADOGAN CYCLIZATION BY P^{III}/P^v=O REDOX CYCLING

By

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B.S., Chemistry, 2015 University of Massachusetts, Lowell

Submitted to the Department of Chemistry in Partial Fulfillment of the Requirements for the Degree of

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ABSTRACT

Organophosphorus reagents offer potential for developing catalytic protocols by inclusion of a reductant such as hydrosilanes to (re)generate the chemically active phosphine in situ. In our research, we have successfully adapted this concept to the Cadogan reductive cyclization by using a strained 4-membered phosphetane precatalyst, which proved to be more competent than acyclic and 5-membered analogs for P(III)/P(V)=O redox cycling. A variety of substrates were found to successfully undergo catalytic Cadogan indazole cyclization. The mechanism of the cyclization has been expanded. The resting state of phosphorus was determined to be the P^{III} phosphetane, and this phosphetane proved to be 8 times faster than the acyclic n-Bu₃P at driving the reductive cyclization of N-phenyl o-nitrobenzaldimine to 2-phenylindazole. A nitrosoarene, presumed an intermediate in the overall cyclization, was found to undergo cyclization under reaction conditions. In addition, a new unique oxazaphosphetane was observed as an intermediate during the course of cyclization, which may lead to a more complete understanding of other-phosphorus mediated deoxygenations, including nitro reduction. Initial studies in nitro reduction have been undertaken, though further work is necessary to fully develop a phosphorus-mediated catalytic protocol.

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Chapter 1

The Advancement of P^{III}/P^V=O Redox Cycling in Catalysis

Organic phosphorus compounds undergoing the conversion $P^{III} \rightarrow P^{V}=O$ have seen great utility in a variety of chemical transformations. The use of stoichiometric amounts of such phosphorus reagents limits their utility due to the phosphine oxide waste generated, which would be rectified by the development of protocols that reduce the phosphine oxide in situ and therefore close a catalytic $P^{III}/P^{V}=O$ redox cycle. This chapter will detail considerations necessary for designing a $P^{III}/P^{V}=O$ redox cycle and prior successes in the field as a prelude to the studies that are the subject of this thesis.

1.1 – Phosphine Oxides in Organic Synthesis

Organophosphorus compounds have seen extensive utility in the realm of synthetic transformations. Due to the ability for phosphorus to accommodate both +3 and +5 oxidation states while spanning coordination numbers of 1 to 6, organophosphorus compounds can be considered suitable for redox chemistry. Redox chemistry broadly involves the net transfer of electrons from one chemical species to another, resulting in a change of oxidation state. In organophosphorus chemistry, the most common redox reaction a P^{III} species can undergo is oxide formation, which involves the generation a P^V=O bond. This bond is strong, with a bond dissociation energy of about 128-139 kcal/mol,¹ owing in part to the ability for oxygen lone pairs to donate into σ^* orbitals on the phosphorus moiety (Figure 1.1).²



Figure 1.1. Descriptor of relevant orbitals involved in P=O π bonding ($n_0 \rightarrow \sigma^*_{PR3}$).

As a result of this bond strength, the formation of P=O bonds provides the thermodynamic driving force behind a variety of chemical transformations, including the Wittig reaction,³ the Appel reaction,⁴ and the Mitsunobu reaction.⁵ In each of these reactions, a phosphine undergoes net oxygenation, concomitant with the formation of olefins, halides, and functionalized alcohols respectively. The phosphine oxide is frequently discarded as chemical waste, being produced as a stoichiometric byproduct to the reaction of interest. This point demonstrates the limited atom economy⁶ provided by typical organophosphorus-based reactions. Catalytic turnover of the phosphine oxide could, in principle, address these challenges.

1.2 - Reduction of Phosphine Oxides

In order to render an organophosphorus-based reaction catalytic, the generated phosphine oxide must be reduced in situ to reform the chemically active phosphine. A variety of methods to reduce phosphine oxides have been explored in the literature,⁷ including aluminum hydrides, boranes, and silanes.



Polymethylhydrosiloxane (PMHS)

Figure 1.2. Examples of reducing agent classes for the conversion $R_3P=O \rightarrow R_3P$.

Aluminum hydride reagents are strong reducing agents, and as such suffer from poor chemoselectivity—in addition to necessitating a Lewis acid for efficient reduction of arylphosphines under mild conditions,⁸ they undergo unfavorable side reactions with other reducible functionalities (ketones, aldehydes, disulfides)⁹ and facile cleavage of P–O,¹⁰ P–N,¹¹ and P–C_{aryl}¹² bonds.

Borane reagents can be used as a means to produce stable, easy-to-handle borane-protected phosphines from phosphine oxides.¹³ However, reformation of the phosphine from this borane-phosphine complex typically requires treatment with excess amines, such as diethylamine¹⁴ or N,N,N,N-tetramethyldiaminoethane,¹⁵ which could be problematic with acidic functionalities.

Silanes can be used as efficient, chemoselective reductants of arylphosphine oxides, although the reduction of trialkylphosphine oxides require a Lewis basic additive such as trimethylamine.¹⁶ Silanes also range in terms of reducing strength and commercial availability, and an excess is generally required for efficient phosphine oxide reduction, although additives such as Ti(OiPr)₄ have been used to reduce the silane loading.¹⁷

Despite their issues, silanes would appear to offer the most favorable means of reducing phosphine oxides in situ for catalytic turnover. In particular, their chemoselectivity towards phosphine oxide reduction and the generation of the chemically-active phosphine without further work-up are both attractive features for their broad applicability in $P^{III}/P^{V}=O$ redox cycling.

While silanes are suitable phosphine oxide reductants, these reductions are typically kinetically slow. According to the currently accepted mechanism (Figure 1.3),¹⁸ the reduction process first involves the conversion of the pseudotetrahedral phosphine oxide into a trigonal bipyramidal phosphorane by addition of Si–H across the P=O moiety. Collapse of this phosphorane results in the reformation of the phosphine oxide, as well as a silanol. Based on computational data by Krenske,¹⁹ the barrier to this process arises in part from the need for geometric reorganization at phosphorus upon initial addition of Si–H.



Figure 1.3. Mechanistic course of hydrosilane-mediated reduction of phosphine oxides.

Given that a geometric reorganization at phosphorus is involved in the rate-limiting step, constraining the geometry around phosphorus minimizes the energetic barrier, and thereby speeds the rate of phosphine oxide reduction.^{20,21,22} As has been noted by Hudson²³ and Westheimer,²⁴ constraining phosphorus valence angles within a ring increases the electrophilic character at phosphorus, which should assist in phosphine oxide reduction.

1.3 - Approaches to Catalysis in Phosphine-Mediated Transformations

The notion of using geometric constraint at phosphorus to improve reduction has been utilized by a number of researchers in their attempts to achieve phosphorus-based catalysis.

The earliest success in this area was performed by O'Brien and colleagues²¹ in their adaptation of a catalytic protocol to the Wittig reaction by using one of two constrained, 5-membered phosphacyclic catalysts—3-methyl-1-phenylphospholane and 1-phenylphospholane—generated from their oxides by diphenylsilane as an in situ reductant. With only 4-10 mol% of the phosphine oxide precatalyst, they were able to demonstrate the synthesis of olefins in good to excellent yields (66-96%). Further work by O'Brien, in their development of a room temperature variant, has indicated that acyclic phosphine oxides can be utilized, but only with the addition of a protic acid to enhance the rate of reduction.²⁵



(1.1-1.5 equiv) Figure 1.4. Catalytic Wittig reaction developed by O'Brien.

Similarly, van Delft and colleagues²⁶ have achieved success in developing a catalytic Appel reaction using a constrained, 5-membered phosphacyclic catalyst—5-phenyldibenzophosphole—with diphenylsilane as the reductant. The phospholane utilized by O'Brien proved unsuitable, as it reacted with the bromide source utilized (diethyl 2-bromomalonate). Using this protocol, primary alkyl bromides could be synthesized in moderate to good yields (45-72%) with only 10 mol% of the phosphine catalyst, while secondary alkyl bromides generally exhibited poor reactivity.



Figure 1.5. Catalytic Appel reaction developed by van Delft

Radosevich and colleagues²⁷ have successfully adapted a catalytic protocol for the deoxygenative condensation of α -keto esters with carboxylic acids via a Kukhtin-Ramirez reaction using phenylsilane as a reductant and a constrained, 4-membered phosphacyclic precatalyst—*P*-pyrrolidino-2,2,3,4,4-pentamethylphosphetane oxide. These condensation products were synthesized in moderate to excellent yields (65-94%) with a range of electronics, sterics, and functionalities. Despite the general chemoselectivity of silanes towards phosphine oxide reduction, the authors did note that overreduction of their phosphetane catalyst (i.e. formation of P–H from the P–N bond) occurred with high equivalencies of hydrosilane reductant.



developed by Radosevich.

In each of these cases, it was found that, without additional additives, unconstrained phosphine oxides, such as triphenylphosphine and larger (6⁺-membered) phosphacycles, proved unsuitable as catalysts. This lends credence to the notion that constraining the geometry at phosphorus is necessary for reducing phosphine oxides effectively and swiftly in order to utilize P^{III}/P^{V} redox cycling.

1.4 - Summary and Looking Ahead

In view of the vast literature on their stoichiometric reactivity, organophosphorus reagents offer clear potential for developing catalytic protocols. This development would require in situ reduction of a phosphine oxide to regenerate the chemically reactive phosphine, and hydrosilanes are promising chemoselective reductants for this transformation. Several researchers have demonstrated this kind of reactivity with the use of constrained phosphines, which have been noted as potential platforms for facile reduction. Given this precedent, 4-membered phosphacycles appear to be a most promising avenue for further work in developing catalytic phosphine-based protocols of stoichiometric reactions. This thesis will examine our advances in developing $P^{III}/P^{V}=O$ redox catalysis in the deoxygenation reactions of nitroarenes, as well as mechanistic insights into said reaction using 4-membered phosphacycles as the platform of choice.

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Chapter 2

Development of a Catalytic Cadogan Reductive Cyclization

This chapter details the reductive cyclization of *o*-nitrobenzaldimines by trivalent phosphorus reagents, and our success in developing a catalytic protocol.

2.1 - Background

Given the previous success of developing a catalytic protocol for the deoxygenative condensation of α -keto esters and carboxylic acids,¹ we sought to investigate the possibility of rendering catalytic other phosphorus-mediated deoxygenations, leading us to the Cadogan reductive cyclization.² Broadly, this transformation involves double deoxygenation of a nitroarene by a R₃P^{III} reagent, resulting in a nitrene or nitrenoid intermediate which undergoes cyclization with a pendant functionality (Figure 2.1a). The presence of a nitrene intermediate has been postulated by comparison of byproducts also observed when an explicit nitrene is generated by thermolysis of an aryl azide.³ The Cadogan transformation has been used to synthesize a wide variety of heterocycles, such as carbazoles, indazoles, phenazines, phenothiazines, and others (Figure 2.1b).



Figure 2.1. (a) General classical Cadogan indazole heterocyclization. (b) Examples of heterocycles synthesized by Cadogan reductive cyclization.

Most commonly, this transformation uses superstoichiometric amounts of the phosphorus reagents at an elevated temperature (traditionally neat refluxing triethylphosphite).² However, in 2014, Genung reported a mild, one-pot condensation–Cadogan cyclization method towards the synthesis of 2*H*-indazoles.⁴ After first forming the imine substrate from condensation of *o*-nitroaldehydes with aromatic and aliphatic amines in isopropanol at 80 °C, treatment of the reaction mixture with three equivalents of tri-*n*-butylphosphine at 80 °C resulted in the synthesis of 2*H*-indazoles in moderate yields (37-87%). While this modified Cadogan method has advantages over the traditional protocol (i.e. lower reaction temperature, non-phosphite solvent,

one-pot condensation and reductive transformation), the possibility of catalysis was not considered.

2.2 – Developing a Catalytic Protocol to Cadogan Indazolation

In accord with Figure 2.1a, a potential catalytic Cadogan indazolation would require two phosphorus-based redox reactions operating in tandem: 1) reductive *O*-atom transfer from nitro substrate to phosphorus reagent, and 2) hydrosilane-mediated turnover of the in situ formed phosphine oxide. To validate each of these half reactions independently, we first carried out stoichiometric studies. Specifically, substrate **2.17** was reacted with 3 equiv of 2,2,3,4,4-pentamethyl-1-phenylphosphetane **2.7** (prepared by one-pot McBride⁵ synthesis from 2,4,4-trimethylpent-2-ene and PhPCl₂) in toluene at 75 °C, resulting in formation of indazole **2.18** in 49% isolated yield. Complementarily, treatment of 2,2,3,4,4-pentamethyl-1-phenylphosphetane oxide **2.7**•[O] with phenylsilane cleanly converted to **2.7** within 3 h at 80 °C. Having demonstrated the requisite stoichiometric reactivity, a proof-of-principle catalytic variant was attempted. Reacting substrate **2.17** in the presence of 25 mol% of **2.7**•[O] with 2.5 equiv of phenylsilane in toluene at 100 °C resulted in an isolated indazole **2.18** yield of 83% in 20 h following column chromatographic purification.

Assuming that ready reduction of the phosphine oxide by hydrosilane is a necessary prerequisite for efficient $P^{III}/P^{V}=O$ catalysis, a suite of phosphorus precatalysts (Figure 2.2) including acyclic, 5-membered, and 4-membered phosphine oxides were reacted with a variety of silanes (PhSiH₃, Ph₂SiH₂, (EtO)₃SiH, Me₆Si₂, PMHS) in order to judge their ability to undergo rapid deoxygenation. In each experiment, the phosphine oxide was dissolved in toluene- d_8 (1.0 M) in an NMR tube. The hydrosilane (10 equiv) was added at room temperature, and the NMR tube was placed into a bath set at 100 °C. After 20 h, the reaction mixture was analyzed by ³¹P NMR

spectroscopy to determine whether the phosphine oxide had been reduced; the phosphine oxide was considered successfully reduced if the signals corresponding to the phosphine oxide, determined by independent analysis, were no longer present. To note, these studies were performed with the assistance of Trevor Nykaza.



Figure 2.2. Potential phosphine oxide precatalysts for catalytic Cadogan cyclization.

From this initial triage, several catalyst/reductant combinations underwent full deoxygenation (see Table 2.1 for successful combinations). In general, PhSiH₃, Ph₂SiH₂, and

PMHS were efficient in reducing the majority of the phosphine oxides, with the exception of partial conversion of triphenylphosphine oxide $2.1 \cdot [O]$ and hexamethylphosphoramide $2.3 \cdot [O]$. Notably, however, while PMHS was found to be suitable for reduction, operational issues prevented us from further study—the reaction mixture became a gel, likely due to crosslinking of the siloxane, hindering the efficiency of mixing. Hexamethyldisilane was insufficient in all cases, and $(EtO)_3SiH$ was capable of reducing the majority of the phosphacycles. However, $(EtO)_3SiH$ was not further considered, owing to concerns regarding toxicity and potential production of flammable silane (SiH_4) .

Each of the suitable catalyst/reductant combinations were then subjected to a standard Cadogan cyclization—the synthesis of 2-phenyl-2*H*-indazole **2.18** (Table 2.1). It was found that both phenylsilane and diphenylsilane proved to be competent reductants and could be interchanged without significant effects on GC yield. In addition, the 4-membered phosphacycles proved to have the highest yield. After consideration of the relative phosphine structures, the four highest yielding candidates were advanced for further study (Table 2.1, entries 5, 7, 9, and 13).

| | | R ₃ P=O (2 silane (2. | 25 mol%) 5 equiv) | | |
|------|-------|-------------------------------------|----------------------------------|--------------------|------|
| | | PhMe (100 °C | <i>(1.0 M)</i> 5, 20 h | - | 2.19 |
| 2.17 | | | | | 2.10 |
| | Entry | R ₃ P=O | Silane | Yield ^a | _ |
| | 1 | 2.2• [O] | PhSiH ₃ | 57% | _ |
| | 2 | 2.4• [O] | Ph_2SiH_2 | 21% | |
| | 3 | 2.4• [O] | PhSiH ₃ | 45% | |
| | 4 | 2.7• [O] | Ph ₂ SiH ₂ | 78% | |
| | 5 | 2.7• [O] | PhSiH ₃ | 83% | |
| | 6 | 2.8 •[O] | PhSiH ₃ | 78% | |
| | 7 | 2.8• [O] | Ph ₂ SiH ₂ | 84% | |
| | 8 | 2.11• [O] | PhSiH ₃ | 79% | |
| | 9 | 2.11• [O] | Ph_2SiH_2 | 85% | |
| | 10 | 2.13• [O] | PhSiH ₃ | 74% | |
| | 11 | 2.14• [O] | PhSiH ₃ | 77% | |
| | 12 | 2.16 •[O] | Ph ₂ SiH ₂ | 77% | |

Table 2.1. Successful catalyst/reductant combinations subjected to a standard Cadogan cyclization

2.16•[O] PhSiH₃ ^a Yields determined by GC with respect to dodecane internal standard.

89%

13

Given that the deoxygenations were presumed to proceed through transient, zwitterionic intermediates, a polar high-boiling solvent (i.e. N,N-dimethylformamide) was tested as a potential substitute. This alteration resulted in higher GC yields for 2.7•[O] and 2.8•[O] (89% and 95% respectively), while 2.11•[O] and 2.16•[O] had decreased yields (84% and 85% respectively). Of these two successes, it was observed that 2.8•[O] proceeded much faster than 2.7•[O], with the reaction reaching completion in as little as 2 h with a 85% yield by GC; conversely, 2.7•[O] had undergone 66% conversion with 53% yield by GC in the same time frame. As such, 1,2,2,3,4,4hexamethylphosphetane oxide 2.8•[O] was selected as the precatalyst of choice.

Upon further study, the use of diphenylsilane resulted in an isolable side product that coelutes with desired product 2.18. Single crystals of this byproduct were obtained by slow

evaporation from hexanes, and a crystal structure suitable to establish connectivity showed cyclic 2,2,4,4,6,6-hexaphenylcyclotrisiloxane **2.19** (Figure 2.3). Evidently, the silanediol formed following phosphine oxide reduction undergoes cyclocondensation to form the observed cyclotrisiloxane.⁶ Attempts to remove cyclotrisiloxane **2.19** by chromatography with 10% KF on silica stationary phase⁷ proved unsuccessful. Consequently, we opted to employ phenylsilane, which has similar performance in the catalytic reaction but is more readily removed during purification.



Figure 2.3. Crystal structure of the byproduct 2,2,4,4,6,6-hexaphenylcyclotrisiloxane.

Ultimately, a screen of catalyst loading, silane loading, and temperature led to the use of 15 mol% of **2.8**•[O]and 2 equiv of phenylsilane at 100 °C in toluene (Table 2.2, top).

2.3 – Substrate Scope

The scope of the indazolization method was probed (Table 2.2).⁸ Aromatic substrates of varied substitution proved to be suitable, including electron-rich (2.20) and sterically demanding N-aryl substituted substrates (2.25). Free hydroxyl moieties (2.23, 2.26) did not inhibit deoxygenative cyclization, although such substrates may undergo in situ silylation; a desilylative workup with tetrabutylammonium fluoride ensured recovery of the target compound. A range of reducible functionalities were preserved, including amides (2.27) and esters (2.21, 2.22), but ketones (2.24) were at least partially reduced in situ. Substituents adjacent to the nitro moiety (2.22) hindered reactivity, promoting the reduction of the imine rather than cyclization.

Cyclization was not observed in several cases, although the specific reasons are not presently clear. The thiazole derivative (2.28) may have been unsuccessful due to the naphthalene branches adjacent to the nitro moiety, but experiments to determine the exact nature of incompatibility were not performed. Substrates deriving from (thio)hydrazones (2.29, 2.31) and carboxylic acids (2.32), and substrates where the pendant functionality is an amide (2.30) also appear to not tolerate the cyclization.



^a Yields reported for isolated products. ^b 37% of secondary amine observed. ^c Yield obtained along with intact ketone **2.24**. ^d Yield obtained from authentic secondary alcohol substrate. ^e Treatment with TBAF prior to silica gel chromatography. ^f DMF used as the solvent.

2.4 – Summary

We have successfully developed a catalytic protocol to the Cadogan reductive cyclization by using a strained, 4-membered phosphacyclic precatalyst in the presence of an in situ hydrosilane reductant. These 4-membered phosphetanes proved to be more competent in the reductive cyclization than acyclic phosphines and 5-membered phospholanes. Diphenylsilane was found to undergo cyclization following the phosphine oxide reduction, limiting its utility as a reductant, but phenylsilane proved comparable. A range of substrate sterics, electronics, and functional groups were compatible with the cyclization, though it is unclear why some substrates were not. Insight into the mechanism of the transformation is studied in the next chapter.

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Chapter 3

Mechanistic Investigations into Cadogan Cyclization

This chapter details the studies performed in order to assess the mechanism of Cadogan-type cyclizations, in particular with regard to indazolation and carbazolation. These investigations lead to a direct observance of an unprecedented nitrenoid intermediate, previously proposed but not characterized.

3.1 – Background

While the Cadogan reductive cyclization has been known for over 50 years, there are few explicit details regarding each step of the mechanism. As stated before, the Cadogan cyclization involves a double deoxygenation of a nitroarene by a R₃P^{III} reagent, which generates a nitrene or nitrenoid intermediate that undergoes cyclization. The identity of this intermediate, however, has not been explicitly observed, but rather postulated by comparison of similar byproducts when an explicit nitrene is generated from an aryl azide.¹ In addition, the intermediate formed from the first deoxygenation step, a nitrosoarene, while generally not detected, is considered an obligate intermediate for the transformation.² In fact, Cadogan has demonstrated that 2-nitrosobiphenyl can be converted to carbazole with triethylphosphite stoichiometrically at room temperature.³ Our catalytic variant of the Cadogan cyclization also leads to an additional step to consider—the in situ reduction of phosphine oxide. Given these holes in the understanding of the reaction, we saw opportunity to investigate the mechanism more thoroughly.

3.2 - Catalytic Resting State of Phosphorus

To provide information related to both the mechanistic course as well as the speciation of the active phosphorus compounds during catalysis, in situ spectral monitoring of the catalytic reactions was performed for both the synthesis of indazoles⁴ and carbazoles.⁵

In the synthesis of indazoles,⁴ ¹H NMR spectra of a standard catalytic transformation showed the appearance of **3.3** over the course of approximately 1.5 h, with complete consumption of **3.2**. No long-lived intermediates were observed. Simultaneously, ³¹P NMR spectra show the rapid (ca. 15 min) conversion of phosphetane $P^{V}=O(\delta 53.2 \text{ ppm})$ **3.1**·[O] to an epimeric mixture of the corresponding P^{III} phosphetane diastereomers ($\delta 32.4$ (major, *anti*); $\delta 18.9 \text{ ppm}$ (minor, *syn*)) in a 3:1 ratio, which persist in solution over the remainder of the reaction. Independent synthesis confirms the identity of these diastereomers.



Figure 3.1. Time-stacked in situ ³¹P NMR spectra of cyclization of imine **3.2** during catalysis. (a) t = 0 min; (b) t = 15 min; (c) t = 30 min; (d) t = 60 min. Chemical shifts (δ): *anti*-**3**•[O], 53.2 ppm; *anti*-**3**, 32.4 ppm; *syn*-**3**, 18.9 ppm.

Complementarily, a similar procedure was adapted for the synthesis of carbazoles.⁵ ¹H NMR spectra of the standard catalytic transformation showed the consumption of 2-nitrobiphenyl **3.4** over approximately 4 h with concomitant appearance of carbazole **3.5** as the major product. No long-lived intermediates were observed. Simultaneously, ³¹P NMR spectra show the rapid conversion ($t_{1/2} = 5$ min) of phosphetane P^V=O (δ 53.5 ppm) **3.1**·[O] to an epimeric mixture of the corresponding P^{III} phosphetane diastereomers (δ 32.4 (major, *anti*); δ 18.9 ppm (minor, *syn*)) in a 5:1 ratio, which persist in solution over the remainder of the reaction.



Figure 3.2. Time-stacked in situ ³¹P NMR spectra of cyclization of nitroarene **3.4** during catalysis. (a) t = 0 min; (b) t = 5 min; (c) t = 30 min; (d) t = 60 min. Chemical shifts (δ): *anti*-**3.1**•[O], 53.5 ppm; *anti*-**3.1**, 32.5 ppm; *syn*-**3.1**, 19.0 ppm.

In accord with literature precedent,⁶ the lack of stereospecificity in the phosphetane $P^{V}=O$ reduction in both cases is thought to be indicative of a pentacoordinate phosphorane intermediate with a sufficient lifetime during hydrosilane-mediated phosphine oxide reduction to permit

pseudorotation, leading to stereochemical scrambling. At this time, however, this intermediate was not directly observed.

From this data, we inferred that the P^{III} phosphetane represents the catalytic resting state of both cyclizations, and as such might be representative of other catalytic Cadogan cyclizations. In addition, the data suggests that the turnover-limiting step involves the initial deoxygenation of the nitro substrate by P^{III} phosphetane, and that phosphetane oxide reduction is more rapid than *O*-atom transfer.

3.3 – Rate Improvement of Phosphetanes

It was previously discussed that 4-membered phosphetanes proved more competent than acyclic phosphines in the Cadogan reductive cyclization. To further quantitate this enhancement, a standard cyclization was performed under stoichiometric pseudo-first order conditions with excess phosphine, comparing constrained phosphetane **3** with acyclic *n*-Bu₃P. The phosphetane **3.1** was markedly faster than that of *n*-Bu₃P ($k_{rel} \approx 8$; Figure 3.3) for the cyclization of imine **3.2**.



Figure 3.3. Plot of ln [3.2] vs time for reaction with excess phosphetane 3.1 (•; equation: $y = -1.37 \cdot 10^{-3} x - 3.82$; $R^2 = 0.9978$) and *n*-Bu₃P (•; equation: $y = -1.74 \cdot 10^{-4} x - 3.77$; $R^2 = 0.9811$), respectively, under pseudo-first order conditions.

The differential reactivity of phosphetane **3.1** and *n*-Bu₃P can be rationalized by DFT calculations.⁴ The lowest energy pathway involves a rate-controlling (3+1) cheletropic addition of phosphetane and nitro substrate to give a pentacoordinate azadioxaphosphetane, followed by subsequent retro-(2+2) fragmentation. Further modeling of the transition structure indicates that
the LUMO of the phosphetane resides at a lower energy than the LUMO of the acyclic phosphine, while their HOMOs are of nearly identical energy. Thus, constraining the geometry around phosphorus serves to lower the LUMO energy, which permits a more favorable interaction between the nitro and the phosphorus.

3.4 - Role of Nitroso Intermediate in Cadogan Cyclization

Despite the apparent absence of detectable intermediates during various in situ spectroscopic monitoring, the intermediacy of a nitrosoarene was considered. Due to the difficulty in synthesizing *o*-nitrosobenzaldimines, 2-nitrosobiphenyl **3.6** was considered. Subjection of **3.6** to the catalytic conditions found that carbazole **3.3** was produced in 67% yield. In addition, 2-aminobiphenyl **3.7** was also produced in 11% yield.



Figure 3.4. Subjection of nitroso 3.6 to catalytic conditions leads to carbazole 3.3 and 2-aminobiphenyl 3.7.

This data suggests that 2-nitrosobiphenyl is indeed a viable intermediate en route to carbazole under catalytic conditions. The presence of 2-aminobiphenyl **3.7** suggests that there must be competition between alternative pathways at the relatively high concentration of nitroso **3.6** in this experiment. It remains postulated that the nitroso is a viable intermediate towards other Cadogan-type cyclizations, although this has not yet been formally tested.

To further investigate the role of a nitroso intermediate, low temperature VT-NMR studies were performed. A toluene- d_8 solution of phosphetane **3.1** (0.13 M) was frozen in liquid nitrogen, and a cold (-78 °C) solution of 2-nitrosobiphenyl **3.6** (1.3 equiv, 0.11 M) was layered on top via syringe injection. This heterogeneous mixture was inserted into a -60 °C thermostatted NMR probe where it thawed and underwent diffusional mixing over the course of approximately 80 min.



Figure 3.5. Time-stacked in situ ³¹P NMR spectra of cyclization of nitrosoarene 3.6 by phosphetane 3.1. (a) t = 0 min; (b) t = 120 min; (c) t = 200 min; (d) t = 300 min; (e) t = 390 min. Chemical shifts (δ): *anti*-3.1•[O], 54.1 ppm; *syn*-3.1•[O], 61.8 ppm; *anti*-3.1, 24.2 ppm; *syn*-3.1, 11.3 ppm; *syn*-3.8, -21.8 ppm; *anti*-3.8, -24.4 ppm.

³¹P NMR spectra, recorded subsequently at 10 min intervals, showed the initial consumption of phosphetane **3.1** (δ 24.2 *major*, 11.3 *minor* ppm) and conversion to two new resonances with an upfield chemical shift (δ –24.4 *major*, –21.8 *minor* ppm). Over time, these resonances diminished concomitant with the growth of signals corresponding to the phosphetane oxide **3.1**·[O] (δ 24.2

major, 11.3 *minor* ppm). Upon termination of the experiment, an aliquot subjected to GC/MS analysis showed the presence of carbazole **3.3** and phosphetane oxide **3.1**•[O] as the only observable products. The observed ³¹P NMR resonances at δ –24.4 and –21.8 ppm are postulated to correspond to a metastable intermediate **3.8** towards the production of carbazole from reaction between the diastereomeric phosphetanes **3.1** and 2-nitrosobiphenyl **3.6**.





A similar procedure was followed for the reaction between phosphetane **3.1** and isotopically labeled $2(^{15}N)$ --nitrosobiphenyl **3.6**. The new resonances at δ -24.4 ppm and δ -21.8 ppm exhibited $^{31}P^{-15}N$ scalar couplings with J = 40.8 Hz and J = 39.5 Hz respectively. In the ^{15}N NMR spectrum, complementary doublets centered at δ 239 ppm (*major*, J = 40.6 Hz) and δ 241 ppm (*minor*, J = 39.6 Hz) were simultaneously observed.



Figure 3.7. Heteronuclear NMR spectra of reaction of phosphetane 3.1 and 2-(¹⁵*N*)nitrosobiphenyl **3.6** (-60 °C < T < -50 °C, toluene- d_8). Units are ppm relative to 85% H₃PO₄ (³¹P, δ = 0.0 ppm) and liquid NH₃ (¹⁵N, δ = 0.0 ppm). (a) Annotated ³¹P NMR spectrum. (b) Annotated ¹⁵N NMR spectrum.

The magnitude of this coupling constant falls within the expected range for direct one-bond ${}^{1}J_{P-N}$ coupling,^{7,8} and the upfield ${}^{31}P$ chemical shift is most consistent with a pentacoordinate phosphorane.^{9,10} Thus, we postulated that the P^V oxazaphosphirane structures **3.8** give rise to the observed ${}^{31}P$ NMR resonances. This type of structure, the oxazaphosphirane, has not been previously directly observed to our knowledge, although it has been postulated as a potential nitrenoid intermediate by both Cadogan¹ and Sundberg.¹¹

3.5 – Summary

The mechanism of the catalytic Cadogan reductive cyclization has been expanded. The resting state of phosphorus was determined to be the P^{III} phosphetane by analysis by ³¹P NMR spectroscopy. The strained phosphetane **3.1** appears to react approximately 8 times faster than the acyclic *n*-Bu₃P, and this enhancement arises from the lowering of the LUMO of the phosphetane. A nitrosoarene, presumed to be an intermediate in the overall Cadogan cyclization, was found to successfully undergo reaction to the corresponding carbazole. Low temperature, heteronuclear NMR spectroscopy has also enabled us to observe a unique oxazaphosphirane intermediate over the course of cyclization. The chemistry of this intermediate may assist in our understanding of other phosphorus-mediated deoxygenations.

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Chapter 4

Toward Catalytic Nitro Reduction via P^{III}/P^V=O Redox Cycling

This chapter describes the approaches and initial results toward developing this phosphorusmediated deoxygenation towards other nitro-based transformations, specifically reduction to the aniline.

4.1 – Background

In our investigations of 2-nitrosobiphenyl as a potential intermediate, as noted in Chapter 3, 2-aminobiphenyl was observed as a byproduct. We sought to investigate the origin of this reduced product.

The reduction of nitro compounds to their respective amines has been a continuing source of scientific study since the first report by Béchamp over 150 years ago.¹ Due to the numerous utilities that amines possess in the production of pharmaceuticals, materials, and dyes, a suite of synthetic options to obtain amines is of great interest. In synthetic chemistry, nitro compounds can act as Michael donors² and acceptors,³ electron-deficient arene directors, and carbanion nucleophiles;⁴ as such, they can be used to access different amine derivatives that may be otherwise difficult to prepare, such as chiral amines.

Due to their great interest, numerous methods of reducing nitro groups have previously been developed,⁵ such as hydrogenation, transfer hydrogenation, hydride-transfer, dissolving metal, and metal-free reductions (Figure 4.1). In hydrogenation,⁶ H₂ gas is used in conjunction with a metal such as palladium or nickel to facilitate reduction of the nitro group. However, this method can be unselective towards nitro groups and may result in reductions occurring at olefins, imines, haloarenes, and other reducible functionalities; incomplete hydrogenation of the nitro is also possible, resulting in hydroxylamines.⁷ Transfer hydrogenation shares similarities, but do not

explicitly utilize H₂ gas; instead, compounds like HCO₂NH₄,⁸ *i*-PrOH,⁹ and H₂NNH₂¹⁰ are used as hydrogen sources. In hydride-transfer reactions, reagents such as aluminum hydrides,¹¹ borohydrides,¹² and organosilanes(-oxanes)¹³ are used in conjunction with a metal catalyst to effect reduction. Some of their limitations have been described in Chapter 1, albeit focusing on their utility as phosphine oxide reductants; nevertheless issues with regards to chemoselectivity and reactivity are applicable. Dissolving metal reduction typically involves using a low oxidation state metal (e.g. Al,¹⁴ Fe,¹⁵ Zn,¹⁶ etc.) with a proton source (e.g. water, AcOH, NH₄Cl, etc.) to facilitate reduction. Metal-free reductions have also been studied, leading to the use of sodium dithionite¹⁷ and trichlorosilane in the presence of tertiary amines,¹⁸ among other reagents.



Figure 4.1. Examples of nitro reduction. (a) Hydrogenation. (b) Transfer hydrogenation. (c) Hydride-transfer. (d) Dissolving metal reduction. (e) Metal-free reduction.

Considering these precedents, nitro reduction via $P^{III}/P^{V}=O$ redox cycling presents an attractive avenue for study, potentially providing a metal-free, chemoselective, scalable means to synthesize amines. In addition, given the likelihood of the oxazaphosphirane intermediate described in Chapter 3 also playing a role nitro reduction by $P^{III}/P^{V}=O$ redox cycling, this also represents a useful means to determine conditions that may yield either the cyclized or the reduced product, enabling more synthetic utility, as well as the beginnings of further study into the reactivity of this previously unobserved structure.

4.2 – Initial Optimization

Previous optimization investigations of carbazole synthesis suggested that nitro substrates may be reduced by using methyl phosphetane *P*-oxide **4.1**•[O], phenylsilane, and 2,2,2trifluoroethanol. Considering the previous successes of this phosphetane/silane combination, we sought to determine the generality of this observation as a method for nitro reduction. Experimental investigations began with a test of solvent dependence on the reduction of *p*-nitrotoluene (**4.2**, Table 4.1). After reaction of **4.2** with 15 mol % of **4.1**•[O] and phenylsilane for 22 h, ¹H NMR spectroscopy indicated minor reduction to the amine in *n*-butanol and toluene (entries 2 and 4), but none in other acidic and alcoholic media (entries 3, 5, and 6). In fact, the phosphetane **4.1**•[O] was not observed at all in acetic acid (entry 3) or in TFE at 70 °C (entry 1).





^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro). ^b Reaction performed at 70 °C. ^c Reduction of phosphetane *P*-oxide **4.1**•[O] not observed in absence of substrate.

More electronically deficient substrates were then studied. Reduction of p-chloronitrobenzene **4.4** was attempted in TFE and n-BuOH but only trace amounts of the anticipated aniline **4.5** was formed (Table 4.2). By contrast, p-dinitrobenzene **4.6** was observed to undergo a greater degree of conversion when subjected to reaction with phosphetane **4.1**·[O] and phenylsilane (Table 4.3), and alteration to the catalyst and silane identity increased further the amount of observed aniline (Table 4.4).

Table 4.2. Experiments involving electronically deficient *p*-chloronitrobenzene..^a



^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro). ^b Trace aniline observed, but other signals prevent integration.

Table 4.3. Optimization experiments involving *p*-dinitrobenzene without internal standard.^a



^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro).

| O₂N ↓ | | catalyst (25 mo silane (4.0 equ | 1%) O ₂ N_ 1iv) | |
|-------|---------------------------------|---|-------------------------------|---------------------------|
| Ľ | NO ₂ | <i>n</i> -BuOH (<i>1.0 I</i> 100 °C, 21 h | M) | NH ₂ |
| | 4.6 | | | 4.7 |
| Me | Me Me Me Me 4.1•[O] | Me Me Me Me Me Me 4.9•[O] | h O Me 4.8 | 0 P Ph [0] |
| Entry | Catalyst | Silane | Conversion (%) | Yield (%) |
| 1 | 4.1•[O] | PhSiH ₃ | 97 | 36 |
| 2 | 4.1 •[O] | (MeO) ₂ MeSiH | 56 | 7 |
| 3 | 4.1• [O] | (EtO) ₂ MeSiH | 64 | 9 |
| 4 | 4.9 •[O] | PhSiH ₃ | 59 | 28 |

Table 4.4. Optimization experiments involving *p*-dinitrobenzene with internal standard.^a

^a Conversion and yield determined by ¹H NMR with respect to 1,3,5-trimethoxybenzene internal standard. ^b TFE used as solvent.

78

51

PhSiH₃

5^b

4.8•[O]

However, in most cases, observed conversion substantially exceeded the product yield. This discrepancy with respect to mass balance appears to be a general phenomenon, although its origin remains unknown and its magnitude varies with substrate. For instance, attempted reduction of *p*-nitrobenzonitrile leads to conversions that are in excess of the observed yield of aniline (Table 4.5), but to a lesser extent. In this latter case, an additional species was observed by GCMS with m/z = 251 (4.13) whose identity remains under investigation.



Table 4.5. Optimization experiments involving *p*-nitrobenzonitrile.

Control experiments to elucidate the origin of this unknown product were performed (Table 4.6). Having noticed in previous GCMS traces a species that may contain a long alkyl chain, in the absence of the dodecane internal standard a substantial amount of the unknown product was observed. Subjection of the desired reduced product **4.11** to the reaction conditions did result in production of this unknown. Switching the silane to diphenylsilane retained the conversion (99%), and in fact did not lead to the unknown product, but resulted in low yields of the desired aniline derivative (24%). Switching the solvent from *n*-BuOH to toluene, however, resulted in 99% conversion of substrate and 86% yield of the aniline product, with no presence of the unknown product.

^a Conversion and yield determined with respect to 1,3,5-trimethoxybenzene internal standard. ^b Conversion and yield determined with respect to dodecane internal standard. ^c Yield determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

Table 4.6. Control experiments to determine source and identity of unknown product.^a



| Entry | Variation | Conversion (%) | Yield of | Yield of unknown |
|-------|--|-----------------|-----------------|------------------------------|
| | | | 4.11 (%) | 4.13 (%) ^b |
| 1 | No internal standard | 87 ^b | 6 ^b | 81 |
| 2 | 4.11 as substrate | n/a | 43 ^b | 57 |
| 3 | Use of Ph ₂ SiH ₂ as reductant | 99 | 24 | 0 |
| 4 | Use of PhMe as solvent | 99 | 86 | 0 |
| 5 | Use of PhMe as solvent, 2h | 96 | 74 | 0 |

^a Conversion and yields determined by GC with respect to dodecane internal standard.

^b Determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

The reduction of *p*-nitrobenzonitrile was then examined in toluene with other catalysts to determine both the dependence of the unknown on *n*-BuOH and the effectiveness of the catalysts (Table 4.7). As expected, using triphenylphosphine oxide resulted in only trace conversion. *P*-phenyl phosphetane **4.9**•[O] enabled moderate conversion, but only a fraction of the desired aniline **4.11** was observed. Interestingly, phospholane **4.8**•[O] did completely consume the nitro **4.10**, but only 11% of the aniline was observed.

Table 4.7. Optimization in toluene.^a



^a Conversion and yields determined by GC with respect to dodecane internal standard.

^b Determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

4.3 – Future Work

The optimization experiments above provide an initial framework within which to study more thoroughly the phosphorus-catalyzed reduction of nitroarenes. Two immediate avenues appear prudent. The first would be to minimize the loading of both the catalyst and silane, and the second would be to determine how to reduce nitroarenes more electronically-rich than *p*-cyanonitrobenzene. Of the two aims, broadening the scope of nitroarenes is the more challenging but offers the greatest opportunity to better understand catalyst design principles.

Given our previous phosphine oxide reduction studies, the successful catalyst/silane combinations could each be tested against a standard reduction, such as reducing *p*-nitrotoluene. However, this would not necessarily lead us to a system where nitro reduction is most efficient, especially considering that the use of phosphetane $4.1 \cdot [O]$ and PhSiH₃ already appears to give the highest yield, but not the highest conversion. It is presently unknown, when using phospholane $4.8 \cdot [O]$, where the rest of the material is ending up, but investigating this discrepancy will help better understand how nitro reduction differs mechanistically from Cadogan cyclization. This may

also help determine why the 5-membered phosphacycle **4.8**•[O] converts the nitroarene so thoroughly. Previous stoichiometric studies should be consulted to consider other reaction pathways, such as the formation of azepines, which could account for this discrepancy.

In addition, our previous experimentation with nitrosoarene-phosphine reactions at low temperature indicates the presence of an oxazaphosphirane intermediate. Presumably, from the principle of microscopic reversibility, this intermediate is also generated in the reduction of nitroarenes and therefore represents a point at which either nitro reduction or Cadogan cyclization can occur. Since the oxazaphosphirane moiety is not previously reported in the literature, it is not clear under what conditions that reduction or cyclization predominates, but previous experiments seem to indicate that the hydrosilane is responsible for producing the hydrogen involved in nitro reduction. Reaction with deuterated hydrosilane could determine whether this is the case. The lack of experimental precedent of oxazaphosphiranes also presents a wealth of opportunity for new discoveries, despite their apparent thermal instability.

4.4 – Summary and Outlook

We have successfully adapted a catalytic protocol to the Cadogan reductive cyclization that utilizes a strained phosphacycle in the presence of an in situ reductant. Our work has led to a richer understanding of the mechanism of this reaction, in particular the oxazaphosphirane intermediate which has not previously been observed. This intermediate represents a potential branching point between different reactions possible for nitroarenes. Further study of both this intermediate and other nitro deoxygenations will lead to more accurate phosphorus catalyst design principles for applying $P^{III}/P^{V}=O$ redox cycling to a wider variety of chemical systems.

4.5 – References

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Chapter 5

Experimental Section

I. General Notes

All reactions were carried out using dry glassware and standard Schlenk techniques (when applicable) unless otherwise noted. All reagents were purchased from commercial vendors (Sigma-Aldrich, Alfa Aesar, Acros, TCI, or Oakwood Chemical) and used as received unless otherwise noted. All solvents were purified and collected under argon using a Glass Contour Solvent Purification System. Column chromatography was performed using 230-400 mesh silica gel (Silicycle) as the stationary phase unless otherwise noted. ¹H, ¹³C, ¹⁹F and ³¹P NMR spectra were recorded with Varian Mercury 300, Bruker AV-360, DRX-400, or AVANCE-400 spectrometers and processed using MestReNova software. ¹H NMR chemical shifts are given in ppm with respect to the residual CDCl₃ peak (δ 7.26 ppm), residual DMSO-d₆ (δ 2.50 ppm), residual acetonitrile- d_3 (δ 1.94 ppm), or an internal TMS standard (δ 0.00 ppm), ${}^{13}C{}^{1}H$ NMR shifts are given in ppm with respect to $CDCl_3$ (δ 77.16 ppm), DMSO-d₆ (δ 39.52 ppm), acetonitrile d_3 (δ 1.32, 118.26 ppm). ³¹P shifts are given in ppm with respect to 85% H₃PO₄ (δ 0.0 ppm), and ¹⁵N shifts are given in ppm with respect to liquid NH₃ (δ 0.00 ppm). Coupling constants are reported as J-values in Hz. High resolution DART mass spectra were obtained from the Department of Chemistry Instrumentation Facility (DCIF) at MIT using a Bruker Daltonics APEXIV 4.7 Tesla Fourier Transform Ion Cyclotron Resonance Mass Spectrometer. High resolution EI and ESI mass spectra were obtained from the Mass Spectrometry Laboratory at the School of Chemical Sciences, University of Illinois at Urbana-Champaign. Gas chromatography analyses were performed on either an Agilent 7890A gas chromatograph fitted with a J&W DB-1 column (10 m, 0.1 I.D.) or a Shimadzu GC-2010 gas chromatograph fitted with a SHRXI-5MS column (30 m, 0.25 I.D.). Quantitative GC measurements were calibrated with samples of authentic analytes. HPLC analysis was conducted on an Agilent 1200 series chromatograph with Chiralcel AD-H columns at ambient temperature. Additional high-resolution mass spectra were collected at Bristol-Myers Squibb on an Agilent 6200 series TOF/6500 series Q-TOF B.06.01 (B6172 SP1). All yields reported are isolated yields unless stated otherwise.

II. Preparation of Phosphorus Compounds



Figure 5.1. Two-step synthesis of 1,2,2,3,4,4-hexamethylphosphetane 1-oxide (2.8•[O]).

1-Chloro-2,2,3,4,4-pentamethylphosphetane 1-oxide (5.1): A round-bottom Me Me flask equipped with a stir bar and septum was charged with 6.7 g (50 mmol) of AlCl₃, then evacuated and back-filled with nitrogen. Methylene chloride Me (30 mL) was added via syringe and the mixture was cooled to 0 °C with major isomer stirring. Phosphorus trichloride (4.4 mL, 50 mmol) was added via syringe and stirred for 5 min. 2,4,4-Trimethyl-2-pentene (7.79 mL, 50 mmol) was then added via syringe over a period of 10 min and stirring was continued at 0 °C for 2 h. The reaction was quenched by the slow addition of distilled water (30 mL) over 30 min. The organic phase was separated and the aqueous layer was extracted with methylene chloride (2 x 50 mL). After setting the aqueous layer aside, the organic layer was washed with an additional portion of water (30 mL) and then the combined aqueous phases were extracted with methylene chloride (50 mL). The combined organic phases were dried over anhydrous sodium sulfate, filtered, and concentrated by rotary evaporation. The product was further dried in vacuo giving product S1 as a white solid (8.957 g, 92% yield, dr > 15:1), which could be used in the next step without additional purification. ¹H NMR (400 MHz, CDCl₃) δ 1.78 (qd, *J* = 7.1, 3.9 Hz, 1H), 1.39 – 1.27 (m, 12H), 0.92 (d, *J* = 7.1 Hz, 3H). ¹³C NMR¹ (101 MHz, CDCl₃) δ 56.92 (d, *J*_{PC} = 56.8 Hz), 42.39 (d, *J*_{PC} = 1.5 Hz), 25.99 (d, *J*_{PC} = 6.8 Hz), 18.24 (d, *J*_{PC} = 3.4 Hz), 7.32 (d, *J*_{PC} = 30.5 Hz). ³¹P NMR (162 MHz, CDCl₃) δ 81.49 (major), 80.63 (minor). This compound will slowly hydrolyze in the presence of moisture; it may be stored in a sealed container in a -20 °C freezer for an extended period.



major isomer condenser. The top of the reflux condenser and the second neck were both fitted with a rubber septum. Following evacuation and back-filling with nitrogen, diethyl ether (32 mL) was added via syringe and the mixture was cooled to 0 $^{\circ}$ C (ice bath) with stirring. A solution of MeMgBr (3 M in diethyl ether, 16.11 mL, 48.33 mmol, 1.05 equiv.) was added over a period of 10 min. After the addition, the mixture was heated at 35 $^{\circ}$ C for 4 h then cooled to 0 $^{\circ}$ C (ice bath). The reaction was quenched by addition of saturated aqueous ammonium chloride (8 mL) at which time solids precipitated. The organic and aqueous layers were decanted into a separatory funnel and an additional 25 mL of water was added; the layers were then partitioned and the aqueous phase was extracted with methylene chloride (2 x 20 mL). The precipitated solids in the reaction vessel were triturated with methylene chloride (2 x 100 mL), then filtered. The resulting methylene chloride phases was then transferred to a separatory funnel and washed with water (2x30 mL). The combined organic phases were dried over anhydrous sodium sulfate, filtered, and concentrated by rotary evaporation. The isolated off-white solid was slurried with diethyl ether (40

mL) and collected by vacuum filtration with rinsing by an additional 50 mL of diethyl ether. Phosphetane oxide **2.8**•[O] was obtained as a white solid (3.474 g, 43%, dr 25:1). ¹H NMR (400 MHz, CDCl₃) δ 1.54 – 1.44 (m, 4H), 1.28 – 1.05 (m, 12H), 0.85 (d, *J* = 8.8 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 45.55 (d, *J*_{PC} = 59.6 Hz), 42.75 (d, *J*_{PC} = 5.9 Hz), 24.83 (d, *J*_{PC} = 3.5 Hz), 17.53 (d, *J*_{PC} = 4.3 Hz), 9.98 (d, *J*_{PC} = 41.0 Hz), 7.07 (d, *J*_{PC} = 23.5 Hz). ³¹P NMR (162 MHz, CDCl₃) δ 62.98 (minor), 59.38 (major).

major isomer benzene (13 mL, 0.5 M) was added via syringe and stirring was commenced. At room temperature, triethylamine (0.92 mL, 1.05 equiv.) was added, followed by trichlorosilane (0.67 mL, 1.05 equiv.) of over 5 min. The reaction mixture was heated at 75 °C for 20 h, then quenched with aqueous NaOH (8 mL, 10% w/v) and cooled to room temperature. The benzene layer was transferred via cannula to a nitrogen-purged vessel containing anhydrous sodium sulfate. The aqueous phase was extracted additional times with benzene and transferred similarly. The combined organic phases were dried by stirring with sodium sulfate for 20 min, then the benzene supernatant was removed via syringed into a clean, dried Schlenk flask under nitrogen. Solvent was removed in vacuo to give the desired product as an air-sensitive light yellow oil (397 mg, dr 35:1, 40%). The vessel was brought into an inert atmosphere glovebox for storage. ¹H NMR (400 MHz, CDCl₃) δ 2.61 (q, *J* = 7.2 Hz, 1H), 1.23 (s, 6H), 1.19 (s, 3H), 0.95 (d, *J* = 7.3 Hz, 6H), 0.74 (d, *J* = 7.2 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 51.41 (d, *J*_{PC} = 4.2 Hz), 26.91 (d, *J*_{PC} = 9.9 Hz), 25.22, 24.93, 9.41, 6.88 (d, *J*_{PC} = 29.7 Hz). ³¹P NMR (162 MHz, CDCl₃) δ 28.77 (major), 16.11 (minor).



814-29-9, LOT W11A007) and stored/handled in an inert atmosphere glovebox *n*Bu due to hygroscopicity. Used without further purification.

Me₂N[™], Me₂N NMe₂ 2.3.[0]

2.2•[O]

Hexamethylphosphoramide (2.3•[O]): Purchased from Sigma-Aldrich (99%, CAS: 680-31-9, LOT MKBF1080V) and stored/handled in an inert atmosphere glovebox due to hygroscopicity. Used without further

purification.

3-Methyl-1-phenylphospholane 1-oxide: Prepared according to the literature procedure² via hydrogenation (Pd/C) of commercially available 3-methyl-1-phenyl-2-phospholene 1-oxide. Spectral data are in agreement with the literature reported values.



5-Phenylbenzo[b]phosphindole 5-oxide (2.5•[O]): According to the literature procedure,^{2,3} triphenylphosphine oxide was treated with phenyllithium, and the corresponding phosphine (5-phenyl-5Hbenzo[b]phosphindole) was oxidized using H_2O_2 . The phosphine can be columned prior to oxidation (silica, 20% ethyl acetate - 80% hexanes) and

recrystallized in ethyl acetate if necessary. Spectral data are in agreement with the literature reported values.



2,2,3,4,4-Pentamethyl-1-(purrolidin-1-yl)phosphetane 1-oxide (2.6•[O]): Prepared according to the literature procedure⁴ by phosphoramidation of 1-chloro-2,2,3,4,4-pentamethylphosphetane 1-oxide (5.1) with 1.1 equiv. of pyrrolidine and 1.2 equiv. of trimethylamine in dry toluene (1.0 M) at 60 °C overnight. Spectral data are in agreement with the literature reported

2,2,3,4,4-Pentamethyl-1-phenylphosphetane 1-oxide: Prepared according

values.



to a modification of the literature procedure.⁵ In a round-bottom flask equipped with a stir bar and septum, AlCl₃ (2.7 g, 20 mmol) was charged under inert nitrogen atmosphere. Methylene chloride (20 mL) was added via syringe and stirring was commenced. After cooling to 0 °C, dichlorophenylphosphine (2.7 mL, 20 mmol) was added via syringe. The mixture was stirred for 5 min, then 2,4,4-trimethyl-2-pentene (3.2 mL, 20 mmol) was added via syringe over a period of 10 min. Stirring was continued at 0 °C for 2 h. Distilled water (20 mL) was then added slowly over 30 min. The organic phase was separated and the aqueous layer was extracted with CH_2Cl_2 (2 x 20 mL). After setting the aqueous layer aside, the organic layer was washed with an additional 20 mL of water and the combined aqueous phases were back-extracted with CH₂Cl₂ (20 mL). The combined organic phase was dried over anhydrous sodium sulfate, filtered, then concentrated by rotary evaporation to give an oil. Cyclohexane (25 mL) was added to the residue and the volatiles removed by rotary evaporation to give product xx•[O] as an off-white solid (3.741 g, 79%, 6:1 dr, δ 52.8 ppm (major)) which can be recrystallized in cyclohexane if necessary. Multiple fractional recrystallizations give a single isomer if desired. Spectral data are in agreement with the literature reported values.



1-Phenyl-2,2,3-trimethylphosphetane 1-oxide (**2.11**•[O]): Prepared according to the literature procedure.⁶ Spectral data are in agreement with the literature reported values.



2.13.[0]

1-tert-Butyl-2,2,3-trimethylphosphetane 1-oxide (**2.11**•[O]): Prepared according to a modification of the literature procedure.⁷ Spectral data are in agreement with the literature reported values.



1-(4-Fluorophenyl)-2,2,3,4,4-pentamethylphosphetane
1-oxide
(2.14•[O]): Prepared according to the literature procedure.⁶ Spectral data are in agreement with the literature reported values.

2.14•[O]



1-(4-Methoxyphenyl)-2,2,3,4,4-pentamethylphosphetane 1-oxide
(2.16•[O]): Prepared according to the literature procedure.⁸
Spectral data are in agreement with the literature reported values.

2.16•[O]

III. Optimization of Catalytic Cadogan Reaction Conditions

A. General Procedures

Reduction of Organophosphorus Catalysts:

Selected phosphine oxide (0.5 mmol, 1 equiv.) was added to an oven-dried NMR tube equipped with a septum. After exchange of atmosphere for nitrogen, toluene (0.5 mL, 1.0 M) was added via syringe, and the NMR tube was briefly heated to dissolve the phosphine oxide. Selected silane (5.0 mmol, 10 equiv.) was then added via syringe. The NMR tube was then placed into a 100 °C sand bath. After 20 h, the NMR tube was removed from the bath, and the reaction mixture was analyzed by ³¹P NMR.

Cyclization of (2-nitrobenzylidene)aniline 2.17:



Imine 2.17 (113 mg, 0.5 mmol), selected phosphine oxide (0.125 mmol, 25 mol%), and dodecane (~85 mg, 0.5 mmol) were added to an oven-dried 4 mL screw cap vial equipped with a septum and a stir bar. After exchange of atmosphere for nitrogen, solvent (0.5 mL, 1.0 M) was added via syringe, followed by silane (1.25 mmol, 2.5 equiv.) The sample was heated for 3-20 h, then cooled to 0 °C and diluted with CH_2Cl_2 (1.0 mL). After stirring, 20 µL of the diluted reaction mixture was added to 1.5 mL of dichloromethane inside of a GC vial, and the sample was analyzed (0.7 µL

injection) using a Shimadzu GC-2010 gas chromatograph fitted with a SHRXI-5MS column (30 m, 0.25 I.D.). Retention times: 9.53 min (dodecane), 17.50 min (2*H*-indazole **2.18**), 18.10 min (imine **2.17**). Calibration curves were made using authentic analytes prior to the start of this study.

B. Catalyst/Silane Screen and Data





^a Yields determined by GC with respect to dodecane internal standard.

Chromatographs for data tabulated in Table 2.1. Table 2.1, Entry 1



Table 2.1, Entry 2





Table 2.1, Entry 3









Table 2.1, Entry 7









Table 2.1, Entry 11








C. Polar Solvent Screen and Data

 Table 5.1. Test of polar solvents in catalytic Cadogan cyclization.



^a Yields determined by GC with respect to dodecane internal standard.

PhSiH₃

85%

2.16•[O]

Chromatographs for data tabulated in Table 5.1.

4









D. Reaction Time Screen and Data

Table 5.2. Screen of reaction time in catalytic Cadogan cyclization.





Chromatographs for data tabulated in Table 5.2. **Table 5.2, Entry 1** Intensity



Table 5.2, Entry 3





E. Isolation of Cyclotrisiloxane 2.19

To an oven-dried 4 mL screw cap vial equipped with a magnetic stir bar was added imine **2.17** (0.5 mmol, 1.0 equiv.) and phosphetane oxide **2.8**•[O] (9 mg, 0.05 mmol, 10 mol%). The vial was then sealed with a Teflon-wrapped septum cap, and the atmosphere was replaced with nitrogen. Toluene (0.5 mL, 1.0 M), then diphenylsilane (0.19 mL, 1 mmol, 2.0 equiv.) were added sequentially. The vial was heated to 100 °C for 3 h. The siloxane was isolated by column chromatography (100% hexanes on silica gel) and subsequently recrystallized in hexanes twice. Crystals were brought to the Penn State X-Ray Crystallography Facility for analysis.



Figure 2.3 (from text)

IV. Catalytic Reductive Cyclization Reactions

A. Preparation of Substrates and Characterization Data

Imine preparation – general procedure: Nitrobenzaldehyde (1.0 equiv.), absolute ethanol (1.0-2.0 M), and the aniline or hydrazine (1.05 equiv.) were added sequentially to a 20 mL vial equipped with a stir bar. The vial was then sealed and heated to 70-80 °C with monitoring by TLC on alumina until completion. Following slow cooling, crystals commonly formed that could be collected by filtration. If further purification was needed, recrystallization in ethanol or column chromatography using neutral or basic alumina (often 25% ethyl acetate in hexanes) provided quality imine substrates.



N-(4-methoxyphenyl)-1-(2-nitrophenyl)methanimine (**2.20s**): ¹H NMR (360 MHz, CDCl₃) δ 8.96 (s, 1H), 8.32 (d, *J* = 7.7 Hz, 1H), 8.06 (d, *J* = 8.1 Hz, 1H), 7.72 (t, *J* = 7.6 Hz, 1H), 7.59 (t, *J* = 7.5 Hz, 1H), 7.32 (d, *J* = 8.9 Hz, 2H), 6.96 (d, *J* = 8.8 Hz, 2H), 3.85 (s, 3H).



*Methyl 4-nitro-3-((phenylimino)methyl)benzoate*⁹ (**2.21s**): ¹H NMR (400 MHz, CDCl₃) δ 8.97 (s, 1H), 8.70 (s, 1H), 8.42 (d, *J* = 8.2 Hz, 1H), 8.36 (d, *J* = 9.6 Hz, 1H), 7.48 – 7.40 (m, 2H), 7.35 – 7.28 (m, 3H), 4.01 (s, 3H). ¹³C NMR (75 MHz, CDCl₃) δ 164.53, 154.69, 150.57, 149.15, 134.40, 133.87, 132.78, 130.09, 129.36, 127.45, 125.76, 121.32, 52.95. HRMS (DART) calculated for C₁₅H₁₂N₂O₄ [M+H]⁺ 285.0870, found 285.0864.



*Methyl 2-nitro-3-((phenylimino)methyl)benzoate*⁹ (**2.22s**): ¹H NMR (360 MHz, Chloroform-*d*) δ 8.54 (dd, *J* = 7.9, 1.5 Hz, 1H), 8.38 (s, 1H), 8.13 (dd, *J* = 7.7, 1.5 Hz, 1H), 7.69 (t, *J* = 7.8 Hz, 1H), 7.42 (t, *J* = 7.6 Hz, 2H), 7.35 – 7.15 (m, 5H), 3.95 (s, 3H).



2-((2-nitrobenzylidene)amino)phenol (**2.23s**): ¹H NMR (360 MHz, CDCl₃) δ 9.17 (s, 1H), 8.26 (d, *J* = 7.7 Hz, 1H), 8.07 (d, *J* = 8.1 Hz, 1H), 7.75 (t, *J* = 7.5 Hz, 1H), 7.64 (t, *J* = 7.7 Hz, 1H), 7.36 (d, *J* = 7.9 Hz, 1H), 7.26 (t, *J* = 8.1 Hz, 1H), 7.04 (d, *J* = 8.0 Hz, 1H), 6.94 (t, *J* = 7.7 Hz, 1H).



I-(*3*-((2-*nitrobenzylidene*)*amino*)*phenyl*)*ethan*-*I*-*one* (**2.24s**): ¹H NMR (400 MHz, Chloroform-*d*) δ 8.98 (s, 1H), 8.30 (d, *J* = 7.8 Hz, 1H), 8.11 (d, *J* = 8.2 Hz, 1H), 7.89 (d, *J* = 7.6 Hz, 1H), 7.84 (d, *J* = 2.3 Hz, 1H), 7.77 (t, *J* = 7.6 Hz, 1H), 7.66 (t, *J* = 7.8 Hz, 1H), 7.58 – 7.44 (m, 2H), 2.66 (s, 3H).



N-(2-*isopropylphenyl*)-*1*-(2-*nitrophenyl*)*methanimine* (**2.25s**): ¹H NMR (400 MHz, Chloroform*d*) δ 8.84 (s, 1H), 8.31 (dd, *J* = 7.8, 1.6 Hz, 1H), 8.06 (dd, *J* = 8.2, 1.3 Hz, 1H), 7.75 (tdd, *J* = 7.8, 1.3, 0.7 Hz, 1H), 7.61 (ddd, *J* = 8.2, 7.4, 1.5 Hz, 1H), 7.37 – 7.31 (m, 1H), 7.29 – 7.20 (m, 2H), 7.04 – 6.97 (m, 1H), 3.54 (p, *J* = 6.9 Hz, 1H), 1.26 (d, *J* = 6.9 Hz, 6H).



2-((2-nitrobenzylidene)amino)-N-phenylbenzamide (**2.27s**): ¹H NMR (400 MHz, CDCl₃) δ 11.04 (s, 1H), 9.06 (s, 1H), 8.41 (dd, *J* = 7.8, 1.6 Hz, 1H), 8.20 (ddd, *J* = 8.1, 3.3, 1.4 Hz, 2H), 7.83 (t, *J* = 7.3 Hz, 1H), 7.74 (t, *J* = 7.7 Hz, 1H), 7.65 (d, *J* = 7.4 Hz, 2H), 7.59 (t, *J* = 7.4 Hz, 1H), 7.49 (t, *J* = 7.7 Hz, 1H), 7.33 (t, *J* = 8.4 Hz, 2H), 7.25 (d, 1H), 7.10 (t, *J* = 7.4 Hz, 1H).



6-(((1-nitronaphthalen-2-yl)methylene)amino)benzo[d]thiazole-2-thiol⁹ (**2.28s**): ¹H NMR (400 MHz, DMSO-d₆) δ 13.86 (s, 1H), 8.78 (s, 1H), 8.35 (d, *J* = 8.7 Hz, 1H), 8.27 – 8.17 (m, 2H), 7.85 – 7.77 (m, 4H), 7.44 (dd, *J* = 8.6, 2.1 Hz, 1H), 7.37 (d, *J* = 8.6 Hz, 1H).



N'-(2-*nitrobenzylidene*)*benzohydrazide* (**2.29s**): ¹H NMR (360 MHz, DMSO-*d*₆) δ 8.82 (s, 1H), 8.15 (d, *J* = 8.0 Hz, 1H), 8.06 (d, *J* = 8.2 Hz, 1H), 7.90 (d, *J* = 7.6 Hz, 2H), 7.81 (t, *J* = 7.8 Hz, 1H), 7.64 (dt, *J* = 20.7, 7.5 Hz, 2H), 7.53 (t, *J* = 7.5 Hz, 2H), 5.65 (s, 2H).



2-(2-*nitrobenzylidene*)*hydrazine-1-carbothioamide* (**2.31s**): ¹H NMR (360 MHz, DMSO-*d*₆) δ 11.74 (s, 1H), 8.52 – 8.33 (m, 3H), 8.13 (s, 1H), 8.02 (dd, *J* = 8.2, 1.3 Hz, 1H), 7.73 (t, *J* = 7.6 Hz, 1H), 7.68 – 7.55 (m, 1H).



4-((2-nitrobenzylidene)amino)benzoic acid (**2.32s**): ¹H NMR (400 MHz, DMSO-*d*₆) δ 12.96 (s, 1H), 8.89 (s, 1H), 8.16 (dd, *J* = 18.2, 7.9 Hz, 2H), 8.02 (d, *J* = 8.1 Hz, 2H), 7.85 (dt, *J* = 36.0, 7.7 Hz, 2H), 7.34 (d, *J* = 8.0 Hz, 2H).



Figure 5.2. Synthetic route to imine 2.26s.



1-(3-aminophenyl)ethan-1-ol (**5.3**): 1-(3-aminophenyl)ethan-1-one **5.2** (2.00 g, 14.8 mmol) was dissolved in ethanol (25 mL, 0.6 M) in a round-bottom flask equipped with magnetic stirring. The flask was cooled to 0 °C, and sodium borohydride (1.44 g, 37.9 mmol) was added in several

portions. The flask was allowed to warm while under a positive nitrogen pressure. The reaction was heated at 30 °C for 4 h. The reaction mixture was poured into ice water and neutralized with 2N HCl to pH 6. The mixture was washed with aqueous sodium bicarbonate, and extracted with several portions of dichloromethane (120 mL), which was then washed with brine and dried over anhydrous sodium sulfate. Filtration and evaporation yielded an off-white solid. ¹H NMR (400 MHz, Chloroform-*d*) δ 7.13 (t, *J* = 7.7 Hz, 1H), 6.79 – 6.69 (m, 2H), 6.60 (ddd, *J* = 7.9, 2.4, 1.0 Hz, 1H), 4.81 (q, *J* = 6.5 Hz, 1H), 3.68 (s, 2H), 1.79 (s, 1H), 1.47 (d, *J* = 6.4 Hz, 3H).



1-(3-((2-nitrobenzylidene)amino)phenyl)ethan-1-ol (**2.26s**): Prepared according to the general procedure. ¹H NMR (300 MHz, Chloroform-*d*) δ 8.87 (d, *J* = 0.6 Hz, 1H), 8.27 – 8.18 (m, 1H), 8.05 – 7.98 (m, 1H), 7.68 (tdd, *J* = 7.9, 1.3, 0.6 Hz, 1H), 7.56 (ddd, *J* = 8.1, 7.4, 1.5 Hz, 1H), 7.38 – 7.29 (m, 1H), 7.24 (dtd, *J* = 7.0, 1.4, 0.7 Hz, 2H), 7.15 – 7.08 (m, 1H), 4.89 (q, *J* = 6.5 Hz, 1H), 1.85 (s, 1H), 1.47 (d, *J* = 6.5 Hz, 3H).



N-benzyl-2-nitrobenzamide (**2.30s**): To a solution of *o*-nitrobenzoyl chloride (2.6 mL, 20 mmol) in ethanol (40 mL, 0.5 M) was added benzylamine (4.2 mL, 40 mmol) dropwise via syringe at 0 °C. The reaction mixture was allowed to warm overnight with stirring. The reaction mixture was

diluted with 1 M HCl and ethyl acetate. After partitioning, the aqueous layer was extracted with ethyl acetate. The combined organics were washed with aqueous saturated sodium bicarbonate and brine, dried with anhydrous magnesium sulfate, filtered, and concentrated in vacuo to a brown solid. Recrystallization from hot ethanol (7 mL) gave a white powder. ¹H NMR (400 MHz, Chloroform-*d*) δ 8.02 (dd, *J* = 8.1, 1.2 Hz, 1H), 7.63 (td, *J* = 7.5, 1.3 Hz, 1H), 7.57 – 7.51 (m, 1H), 7.48 (dd, *J* = 7.5, 1.5 Hz, 1H), 7.39 – 7.26 (m, 5H), 6.27 (s, 1H), 4.59 (d, *J* = 5.7 Hz, 2H).

B. General Procedure for Catalytic Reductive Cyclization Reactions

General procedure: To an oven-dried 4 mL screw cap vial equipped with a magnetic stir bar was added imine substrate (0.5 mmol, 1.0 equiv.) and catalyst **2.8**•[O] (13 mg, 0.075 mmol, 15 mol%). The vial was then sealed with a Teflon-wrapped septum cap, and the atmosphere was replaced with nitrogen. Solvent (0.5 mL, 1.0 M), then phenylsilane (0.12 mL, 1 mmol, 2.0 equiv.) were added sequentially. The vial was heated to 100 °C with monitoring by TLC until completion (3-16 h, 24 h max). The product was isolated via column chromatography (silica gel, 0-50% ethyl acetate in hexanes, most commonly 10%). After cooling, solid occasionally precipitated from toluene; mild heating was necessary in some cases to be able to load the sample for chromatography.

TBAF workup for **2.26**: Following cyclization, the reaction mixture was stirred with 1.5 equiv. of TBAF for 1 h at room temperature. The mixture was then diluted with water and extracted with ethyl acetate, dried, filtered, and concentrated to a residue. The product was isolated via column chromatography (silica gel, 25% ethyl acetate in hexanes).

C. Characterization Data for Reductive Cyclization Products



2-(4-methoxyphenyl)-2H-indazole (2.20): 6 h, 83%. ¹H NMR (300 MHz, CDCl₃) δ 8.29 (s, 1H), 7.86 – 7.74 (m, 3H), 7.69 (d, J = 8.5 Hz, 1H), 7.32 (t, J = 7.7 Hz, 1H), 7.11 (t, J = 7.6 Hz, 1H), 7.01 (d, J = 9.1 Hz, 2H), 3.84 (s, 3H). ¹³C NMR (75 MHz, CDCl₃) δ 159.31, 149.62, 134.12, 126.62, 122.76, 122.43, 122.28, 120.36, 117.81, 114.67, 55.63. HRMS (DART) calculated for C₁₄H₁₂N₂O [M+H]⁺ 225.1022, found 225.1019.



Methyl 2-phenyl-2H-indazole-5-carboxylate (**2.21**): 5 h, 73%. ¹H NMR (300 MHz, CDCl₃) δ 8.59 (s, 1H), 8.43 (s, 1H), 7.91 (d, *J* = 8.4 Hz, 2H), 7.74 (d, *J* = 1.1 Hz, 2H), 7.54 (t, *J* = 7.6 Hz, 2H), 7.43 (t, *J* = 7.6, 6.8 Hz, 1H), 3.96 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.53, 149.12, 140.43, 129.82, 128.76, 128.59, 124.80, 122.21, 121.99, 121.28, 120.81, 120.58, 52.38. HRMS (DART) calculated for C₁₅H₁₂N₂O₂ [M+H]⁺ 253.0972, found 253.0962.



Methyl 2-phenyl-2H-indazole-7-carboxylate (**2.22**): 12 h, 12%. ¹H NMR (360 MHz, Chloroform*d*) δ 8.55 (s, 1H), 8.15 (dd, *J* = 7.0, 1.0 Hz, 1H), 7.98 (ddd, *J* = 8.4, 5.9, 1.3 Hz, 3H), 7.54 (t, *J* = 7.9 Hz, 2H), 7.43 (t, *J* = 7.4 Hz, 1H), 7.20 (dd, *J* = 8.3, 7.1 Hz, 1H), 4.06 (s, 3H).



2-(2*H*-indazol-2-yl)phenol (**2.23**): 3 h, 63%. ¹H NMR (300 MHz, CDCl₃) δ 12.13 (s, 1H), 8.48 (s, 1H), 7.74 (d, *J* = 10.5 Hz, 2H), 7.59 (d, *J* = 8.1 Hz, 1H), 7.46 – 7.34 (m, 1H), 7.33 – 7.24 (m, 1H), 7.22 – 7.16 (m, 2H), 6.98 (t, *J* = 7.7 Hz, 1H). ¹³C NMR (101 MHz, CDCl₃) δ 150.61, 147.74, 129.21, 127.82, 124.96, 123.08, 121.45, 120.41, 120.34, 119.76, 119.56, 119.34, 116.95. HRMS (DART) calculated for C₁₃H₁₀N₂O [M+H]⁺ 211.0866, found 211.0860.



1-(3-(2H-indazol-2-yl)phenyl)ethan-1-one (**2.24**): 4 h, 49%. ¹H NMR (360 MHz, Chloroform-*d*) δ 8.48 (dd, *J* = 4.4, 1.7 Hz, 2H), 8.15 (ddd, *J* = 8.1, 2.3, 1.1 Hz, 1H), 7.96 (dt, *J* = 7.8, 1.2 Hz, 1H), 7.79 (d, *J* = 8.6 Hz, 1H), 7.75 – 7.68 (m, 1H), 7.63 (t, *J* = 7.9 Hz, 1H), 7.34 (ddd, *J* = 8.7, 6.6, 1.1 Hz, 1H), 7.17 – 7.08 (m, 1H), 2.69 (s, 3H). HRMS (DART) calculated for C₁₅H₁₂N₂O [M+H]⁺ 237.1022, found 237.1012.



2-(2-*isopropylphenyl*)-2*H*-*indazole* (**2.25**): 5 h, 75%. ¹H NMR (400 MHz, Chloroform-*d*) δ 8.09 (d, *J* = 1.0 Hz, 1H), 7.83 (dq, *J* = 8.6, 1.1 Hz, 1H), 7.76 (dt, *J* = 8.4, 1.1 Hz, 1H), 7.54 – 7.46 (m,

2H), 7.41 – 7.28 (m, 3H), 7.16 (ddd, J = 8.5, 6.6, 0.9 Hz, 1H), 2.83 (p, J = 6.9 Hz, 1H), 1.19 (d, J = 6.9 Hz, 6H). HRMS (DART) calculated for C₁₆H₁₆N₂ [M+H]⁺ 237.1386, found 237.1374.



1-(3-(2H-indazol-2-yl)phenyl)ethan-1-ol (**2.26**): 6 h, 62%. ¹H NMR (400 MHz, Chloroform-*d*) δ 8.43 (d, J = 1.0 Hz, 1H), 7.98 – 7.92 (m, 1H), 7.79 (ddq, J = 8.0, 3.1, 1.0 Hz, 2H), 7.71 (dt, J =8.4, 1.1 Hz, 1H), 7.49 (t, J = 7.8 Hz, 1H), 7.44 – 7.37 (m, 1H), 7.33 (ddd, J = 8.8, 6.6, 1.1 Hz, 1H), 7.12 (ddd, J = 8.5, 6.6, 0.9 Hz, 1H), 5.02 (q, J = 5.9 Hz, 1H), 2.07 (d, J = 2.4 Hz, 1H), 1.57 (d, J =6.5 Hz, 3H). ¹³C NMR (101 MHz, Chloroform-*d*) δ 149.92, 147.98, 140.81, 129.84, 127.05, 124.99, 122.90, 122.64, 120.67, 120.54, 119.99, 118.25, 118.06, 70.14, 25.54. HRMS (DART) calculated for C₁₅H₁₄N₂O [M+H]⁺ 239.1179, found 239.1156.



2-(2*H*-indazol-2-yl)-*N*-phenylbenzamide (**2.27**): 16 h, 50%. ¹H NMR (400 MHz, DMSO-*d*₆) δ 10.43 (s, 1H), 8.70 (s, 1H), 7.82 (d, *J* = 7.6 Hz, 1H), 7.79 – 7.69 (m, 3H), 7.64 (t, *J* = 7.4 Hz, 1H), 7.59 (d, *J* = 8.7 Hz, 1H), 7.54 (d, *J* = 7.6 Hz, 2H), 7.30 – 7.22 (m, 3H), 7.10 – 7.01 (m, 2H). ¹³C NMR (101 MHz, DMSO-*d*₆) δ 165.27, 148.85, 138.96, 137.80, 133.13, 130.66, 128.93, 128.60,

128.58, 126.40, 125.52, 124.49, 123.63, 122.04, 121.79, 120.96, 119.87, 117.36. HRMS (DART) calculated for C₂₀H₁₅N₃O [M+H]⁺ 314.1288, found 314.1277.

V. Mechanistic Experiments

A. In situ NMR experiments

i. Speciation of Phosphorus in Indazolation

To an oven-dried purged septum-sealed NMR tube was added **3.2** (113 mg, 0.5 mmol, 1 equiv) and **3.1**•[O] (13 mg, 0.075 mmol, 15 mol%) in toluene- d_8 (0.5 mL). The tube was inserted into the NMR probe thermostatted at 100°C and a t = 0 spectrum was obtained. The tube was ejected from the probe, phenylsilane (0.12 mL, 1.0 mmol, 2 equiv) was added via syringe, and the NMR tube was reinjected into the probe. The decrease of the aldimine C-H signal for **3.2** (δ 8.76 ppm) and appearance of a heteroaromatic C-H for **3.3** (δ 7.86 ppm) were monitored by ¹H NMR spectroscopy at 100°C with data acquired every 15 min. In these experiments, substrate **3.2** is completely consumed in 90 min. ³¹P NMR spectra, both proton-coupled and -decoupled, were also obtained contemporaneously. Phosphetane **3.1**•[O] (δ 53.2 ppm) is consumed within 30 min and replaced by two signals (δ 32.4 and 18.9 ppm, 3:1 *anti:syn*) which were shown by independent synthesis to be the epimers of tricoordinate phosphetane **3.1**.



Figure 3.1 (from text)

ii. Speciation of Phosphorus in Carbazolation

To an oven-dried purged septum-sealed NMR tube was added biphenyl **3.4** (99 mg, 0.5 mmol, 1 equiv) and **3.1**·[O] (17 mg, 0.1 mmol, 20 mol%) in toluene- d_8 (0.5 mL). The tube was inserted into the NMR probe thermostatted at 100°C and a t = 0 spectrum was obtained. The tube was ejected from the probe, phenylsilane (0.12 mL, 1.0 mmol, 2 equiv) was added via syringe, and the NMR tube was reinjected into the probe. The appearance of a heteroaromatic C-H for **3.5** (δ 7.49 ppm) was monitored by ¹H NMR spectroscopy at 100°C with data acquired every 15 min. ³¹P NMR spectra, both proton-coupled and -decoupled, were also obtained contemporaneously. Phosphetane **3.1**·[O] (δ 53.5 ppm) is consumed within 5 min and replaced by two signals (δ 32.4 and 18.9 ppm, 5:1 *anti:syn*) which were shown by independent synthesis to be the epimers of tricoordinate phosphetane **3.1**.



Figure 3.2 (from text)

iii. Low Temperature VT-NMR of Nitrosoarene (3.6)

To an oven-dried purged septum-sealed NMR tube was added **3.1** (8.4 mg, 0.053 mmol, 1 equiv.) in toluene- d_8 (0.4 mL, 0.13 M). The tube was frozen in liquid nitrogen, and a cold (-78 °C) solution of 2-nitrosobiphenyl (**3.6**, 12.3 mg, 0.067 mmol) in toluene- d_8 (0.6 mL, 0.11 M, 1.3 equiv) was layered on top via syringe injection. The resulting heterogeneous mixture was inserted into a -60 °C thermostatted NMR probe, where it underwent thawing and slow diffusional mixing over the course of ca. 80 min. ³¹P NMR spectra, both proton-coupled and –decoupled, were recorded subsequently at 10 minute intervals. Phosphetane **3.1** (δ 24.2 major, 11.3 minor ppm) is converted to two new resonances (δ -24.4 major, -21.8 minor ppm) over the course of 2 h. At subsequent time points, these resonances diminish, concomitant with growth of signals corresponding to **3.1**·[O] (δ 54.1 major, 61.8 minor ppm). Upon termination of the experiment after 9 h, an aliquot of the mixture was analyzed by GCMS, with the presence of phosphetane *P*-oxide **3.1**·[O] and carbazole **3.5** as the only observable products.



Figure 3.4 (from text)

iv. Low Temperature VT-NMR of ¹⁵N-Nitrosoarene (3.6-¹⁵N)

To an oven-dried purged septum-sealed NMR tube was added **3.1** (9.0 mg, 0.057 mmol, 1 equiv) in toluene- d_8 (0.4 mL, 0.14 M). The tube was frozen in liquid nitrogen, and a cold (-78 °C) solution of 2(¹⁵N)-nitrosobiphenyl¹⁰ (14.7 mg, 0.080 mmol) in toluene- d_8 (0.6 mL, 0.13M, 1.4 equiv) was layered on top via syringe injection. The resulting heterogeneous mixture was inserted

into a -60 °C thermostatted NMR probe, where it underwent thawing and slow diffusional mixing over the course of ca. 20 min. ³¹P{¹H} and ¹⁵N NMR spectra were obtained periodically. By 60 min, phosphetane **3.1** (δ 24.4 *major*, 11.5 *minor* ppm) is converted to two new doublets (δ -24.5 [J = 40.8 Hz] *major*, -21.9 [J = 39.5 Hz] *minor* ppm). Concomitantly, labeled nitrosobiphenyl (δ 922.3 ppm) is converted to two doublets (δ 238.9 [J = 40.6 Hz] *major*, 240.5 [J = 39.6 Hz] *minor* ppm). At subsequent time points, each of these resonances diminish, along with growth of signals corresponding to **3.1**·[O] (δ 54.1 *major*, 61.8 *minor* ppm) and ¹⁵N-carbazole (δ 926.6 ppm). Upon termination of the experiment, an aliquot of the mixture was analyzed by GCMS, with the presence of phosphetane *P*-oxide **3.1**·[O] and ¹⁵N-carbazole as the only observable products.



B. Pseudo-first Order Kinetics with Excess Phosphine Reagent

In a nitrogen-filled glovebox, a stock solution of imine **3.2** (22.63 mM) and 1,3,5trimethoxybenzene (31.96 mM) was prepared in toluene- d_8 . To a volumetric flask was added 0.10 mL (0.002 mmol, 1 equiv.) of the prepared imine/internal standard stock solution and 0.37 mL of a 1.0 M solution of phosphetane **3.1** (0.32 mmol, 160 equiv.) or 0.36 mL of a 1.0 M solution of phosphine **2.2** (0.32 mmol, 160 equiv) and the resulting mixture was diluted to 1 mL with toluene d_8 . The diluted solution (0.5 mL) was then transferred to a septum-sealed NMR tube, and the loss of **3.2** was monitored with ¹H NMR at 80 °C (2 scans collected each minute). The concentrations of **3.2** were obtained from the ratio of the area of the imine methine (set to 1.00) relative to that of the internal standard. Duplicate runs were performed for each phosphine, which were used to determine the average and standard deviation of error.



Figure 3.3 (from text)

VI. Control Experiment



Figure 3.4 (from text)

2-nitrosobiphenyl **3.6** (92 mg, 0.5 mmol), **3.1**•[O] (13 mg, 0.075 mmol), and dodecane (84 mg, 0.49 mmol) were added to an oven-dried 4 mL screw cap vial equipped with a septum and a stir bar. After exchange of atmosphere for nitrogen, toluene (0.5 mL, 1.0 M) was added via syringe, followed by the silane (0.12 mL, 1.0 mmol). The sample was heated at 100 °C for 16 h, then cooled to room temperature and diluted with ethyl acetate (2 mL). After stirring, 40 μ L of the diluted reaction mixture was added to 1.5 mL of ethyl acetate inside of a GC vial and the sample was analyzed (1.2 μ L injection) using an Agilent 7890A gas chromatograph fitted with a J&W DB-1 column (10 m, 0.1 I.D.). Retention times: 2.8 min (dodecane), 3.9 min (2-aminobiphenyl **3.7**), 4.6 min (carbazole **3.3**). Calibration curves were made using authentic analytes prior to the start of this study.



VII. Initial Optimization of Catalytic Nitro Reduction Conditions

A. General Procedure A (NMR, no standard)

To a 4 mL oven-dried vial equipped with magnetic stirring was added nitro (1.0 equiv.) and phosphine oxide precatalyst (15 mol%). The vial was then sealed with a Teflon-wrapped septum cap, and the atmosphere was replaced with nitrogen. Solvent (1.0 M), then silane (2.0 equiv.) were added sequentially. The vial was heated to 100 °C for 21-22 h. Following cooling, an aliquot was removed and diluted with CDCl₃. The mixture was then analyzed by ¹H NMR, with yields determined by relative ¹H integration (integration of amine / integration of amine and nitro).

B. General Procedure B (NMR, internal standard)

To a 4 mL oven-dried vial equipped with magnetic stirring was added nitro (1.0 equiv.), phosphine oxide precatalyst (25 mol%) and 1,3,5-trimethoxybenzene internal standard (1.0 equiv.). The vial was then sealed with a Teflon-wrapped septum cap, and the atmosphere was replaced with nitrogen. Solvent (1.0 M), then silane (4.0 equiv.) were added sequentially. The vial was heated to

100 °C for 21 h. Following cooling, an aliquot was removed and diluted with CDCl₃. The mixture was then analyzed by ¹H NMR, with yields determined with respect to the internal standard.

C. General Procedure C (GC/MS, internal standard)

To a 4 mL oven-dried vial equipped with magnetic stirring was added nitro (1.0 equiv.), phosphine oxide precatalyst (25 mol%) and dodecane internal standard (1.0 equiv.). The vial was then sealed with a Teflon-wrapped septum cap, and the atmosphere was replaced with nitrogen. Solvent (1.0 M), then silane (4.0 equiv.) were added sequentially. The vial was heated to 100 °C for 17-21 h, then cooled to room temperature and diluted with ethyl acetate (2.0 mL). After stirring, 20 μ L of the diluted reaction mixture was added to 1.5 mL of ethyl acetate inside of a GC vial, and the sample was analyzed (0.7 μ L injection) using a Shimadzu GC-2010 gas chromatograph fitted with a SHRXI-5MS column (30 m, 0.25 I.D.). Calibration curves were made using authentic analytes prior to the start of this study.

D. Optimization with *p*-Nitrotoluene

Table 4.1 (from text). Solvent screen for reduction of *p*-nitrotoluene.^a



^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro). ^b Reaction performed at 70 °C. ^c Reduction of phosphetane *P*-oxide **4.1**•[O] not observed in absence of substrate.

Reactions were performed according to general procedure A. Chemical shifts: nitro 4.2 & 2.46

ppm; aniline **4.3** δ 2.23 ppm.

¹H NMRs for data tabulated in Table 4.1. **Table 4.1, Entry 1**







Table 4.1, Entry 3



104

Table 4.1, Entry 5



Table 4.1, Entry 6



E. Optimization with *p*-Chloronitrobenzene

Table 4.2 (from text). Experiments involving electronically deficient p-chloronitrobenzene..^a



^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro). ^b Trace aniline observed, but other signals prevent integration.

Reactions were performed according to general procedure A. Chemical shifts: nitro 4.4 & 8.19,

7.53 ppm; aniline **4.5** δ 7.06, 6.53 ppm.

¹H NMRs for data tabulated in Table 4.2.



F. Optimization with *p*-Dinitrobenzene

Table 4.3 (from text). Optimization experiments involving *p*-dinitrobenzene without internal standard.^a



^a Conversion determined by relative ¹H NMR integration (integration of amine / integration of amine and nitro).

Reactions were performed according to general procedure A. Chemical shifts: nitro 4.6 & 8.43

ppm; aniline **4.7** δ 8.07, 6.62 ppm.
¹H NMRs for data tabulated in Table 4.3.





Table 4.4 (from text). Optimization experiments involving *p*-dinitrobenzene with internal standard.^a

| O ₂ N | catalyst (25 mol%) silane (4.0 equiv) | O ₂ N |
|------------------|---|------------------|
| NO2 | <i>n</i> -BuOH (<i>1.0 M</i>) 100 °C, 21 h | NH2 |





4.7

| Entry | Catalyst | Silane | Conversion (%) | Yield (%) |
|----------------|-----------------|--------------------------|----------------|-----------|
| 1 | 4.1 •[O] | PhSiH ₃ | 97 | 36 |
| 2 | 4.1 •[O] | (MeO) ₂ MeSiH | 56 | 7 |
| 3 | 4.1·[O] | (EtO) ₂ MeSiH | 64 | 9 |
| 4 | 4.9•[O] | PhSiH ₃ | 59 | 28 |
| 5 ^b | 4.8•[O] | PhSiH ₃ | 78 | 51 |

^a Conversion and yield determined by ¹H NMR with respect to 1,3,5-trimethoxybenzene internal standard. ^b TFE used as solvent.

Reactions were performed according to general procedure B. Chemical shifts: nitro $4.6 \delta 8.23$

ppm; aniline **4.7** δ 7.91, 6.40 ppm; 1,3,5-trimethoxybenzene δ 5.99 ppm.

¹H NMRs for data tabulated in Table 4.4.





G. Optimization with *p*-Nitrobenzonitrile



 Table 4.5. Optimization experiments involving p-nitrobenzonitrile.

^a Conversion and yield determined with respect to 1,3,5-trimethoxybenzene internal standard. ^b Conversion and yield determined with respect to dodecane internal standard. ^c Yield determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

Reactions were performed according to general procedure B and C. Chemical shifts: nitro **4.10** δ 8.35, 7.89 ppm; aniline **4.11** δ 7.37, 6.62 ppm; 1,3,5-trimethoxybenzene δ 6.07 ppm. Retention times: 5.83 min (dodecane), 7.03 min (nitro **4.10**), 7.67 min (aniline **4.11**).

¹H NMRs and chromatographs for data tabulated in Table 4.5. **Table 4.5, Entry 1**



C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_192-17.qgd





C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_193-17.qgd





C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_194-17.qgd



| Peak# | R. Lime | I. Lime | F.Time | Area | Area'z | Fleight | Height% | A/H | Mark | Name | |
|-------|---------|---------|--------|-----------|--------|-----------|---------|------|------|------|--|
| 1 | 5.838 | 5.795 | 5.845 | 39127895 | 23.39 | 34014704 | 31.12 | 1.15 | MI | | |
| 2 | 7.020 | 6.980 | 7.070 | 5587421 | 3.34 | 5651094 | 5.17 | 0.99 | MI | | |
| 3 | 7.302 | 7.280 | 7.340 | 322217 | 0.19 | 335672 | 0.31 | 0.96 | MI | | |
| 4 | 7.685 | 7.645 | 7.740 | 6073429 | 3.63 | 5281761 | 4.83 | 1.15 | MI | | |
| 5 | 8.323 | 8.275 | 8.350 | 1319573 | 0.79 | 1303970 | 1.19 | 1.01 | MI | | |
| 6 | 8.408 | 8.360 | 8.455 | 37668374 | 22.52 | 27118494 | 24.81 | 1.39 | MI | | |
| 7 | 9.950 | 9.900 | 10.070 | 56185724 | 33.59 | 27913858 | 25.53 | 2.01 | MI | | |
| 8 | 11.345 | 11.325 | 11.370 | 1260359 | 0.75 | 1171419 | 1.07 | 1.08 | MI | | |
| 9 | 11.395 | 11.370 | 11.420 | 1369543 | 0.82 | 915142 | 0.84 | 1.50 | MI | | |
| 10 | 11.491 | 11.420 | 11.535 | 18350767 | 10.97 | 5612517 | 5.13 | 3.27 | MI | | |
| | | | | 167265302 | 100.00 | 109318631 | 100.00 | | | | |

| product. | | | |
|------------------------------------|----|---|--|
| Ме | | | |
| | | | |
| Me Me 4.1 •[O] (25 mol%) | NC | • | |

PhSiH₃ (4.0 equiv)

n-BuOH (1.0 M)

100 °C, 17 h

NH₂

4.11

NO₂

4.10

 Table 4.6 (from text). Control experiments to determine source and identity of unknown product. ^a

| Entry | Variation | Conversion (%) | Yield of | Yield of unknown |
|-------|--|-----------------|-----------------|------------------------------|
| | | | 4.11 (%) | 4.13 (%) ^b |
| 1 | No internal standard | 87 ^b | 6 ^b | 81 |
| 2 | 4.11 as substrate | n/a | 43 ^b | 57 |
| 3 | Use of Ph ₂ SiH ₂ as reductant | 99 | 24 | 0 |
| 4 | Use of PhMe as solvent | 99 | 86 | 0 |
| 5 | Use of PhMe as solvent, 2h | 96 | 74 | 0 |

^a Conversion and yields determined by GC with respect to dodecane internal standard.

^b Determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

Reactions were performed according to general procedure C. Retention times: 5.83 min (dodecane), 7.03 min (nitro **4.10**), 7.67 min (aniline **4.11**), 8.40 min (unknown **4.13**).

Chromatographs for data tabulated in Table 4.6. Table 4.6, Entry 1

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_198-17.qgd



Table 4.6, Entry 2

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_199-17.qgd



Table 4.6, Entry 3

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_200-17.qgd



Table 4.6, Entry 4

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_201-17.qgd



Table 4.6, Entry 5

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_201-2.qgd



Table 4.7 (from text). Optimization in toluene.^a



| Entry | Catalyst | Conversion (%) | Yield (%) |
|-------|------------------|----------------|----------------|
| 1 | 4.12• [O] | 1 ^b | 1 ^b |
| 2 | 4.9 •[O] | 68 | 19 |
| 3 | 4.8 •[O] | 100 | 11 |

^a Conversion and yields determined by GC with respect to dodecane internal standard.

^b Determined by relative GC integration (area of interest / sum of unknown, nitro, aniline areas).

Reactions were performed according to general procedure C. Retention times: 5.83 min (dodecane), 7.03 min (nitro **4.10**), 7.67 min (aniline **4.11**), 8.40 min (unknown **4.13**).

Chromatographs for data tabulated in Table 4.7. Table 4.7, Entry 1

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_202.qgd



Table 4.7, Entry 2

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_203.qgd



Table 4.7, Entry 3

C:\GCMSsolution\Tyler\Nitro to Aniline\tsh_205.qgd





Spectral Data VIII.
















































































IX. References

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