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A remark on boundary level admissible representations

Victor G. Kac* and Minoru Wakimoto†

Recently a remarkable map between 4-dimensional superconformal field theories and vertex algebras has been constructed [BLLPRV15]. This has lead to new insights in the theory of characters of vertex algebras. In particular it was observed that in some cases these characters decompose in nice products [XYY16], [Y16].

The purpose of this note is to explain the latter phenomena. Namely, we point out that it is immediate by our character formula [KW88], [KW89] that in the case of a *boundary level* the characters of admissible representations of affine Kac-Moody algebras and the corresponding W -algebras decompose in products in terms of the Jacobi form $\vartheta_{11}(\tau, z)$.

We would like to thank Wenbin Yan for drawing our attention to this question.

Let \mathfrak{g} be a simple finite-dimensional Lie algebra over \mathbb{C} , let \mathfrak{h} be a Cartan subalgebra of \mathfrak{g} , and let $\Delta \subset \mathfrak{h}^*$ be the set of roots. Let $Q = \mathbb{Z}\Delta$ be the root lattice and let $Q^* = \{h \in \mathfrak{h} \mid \alpha(h) \in \mathbb{Z} \text{ for all } \alpha \in \Delta\}$ be the dual lattice. Let $\Delta_+ \subset \Delta$ be a subset of positive roots, let $\{\alpha_1, \dots, \alpha_\ell\}$ be the set of simple roots and let ρ be half of the sum of positive roots. Let W be the Weyl group. Let $(\cdot | \cdot)$ be the invariant symmetric bilinear form on \mathfrak{g} , normalized by the condition $(\alpha | \alpha) = 2$ for a long root α , and let h^\vee be the dual Coxeter number ($= \frac{1}{2}$ eigenvalue of the Casimir operator on \mathfrak{g}). We shall identify \mathfrak{h} with \mathfrak{h}^* using the form $(\cdot | \cdot)$.

Let $\widehat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}] + \mathbb{C}K + \mathbb{C}d$ be the associated to \mathfrak{g} affine Kac-Moody algebra (see [K90] for details), let $\widehat{\mathfrak{h}} = \mathfrak{h} + \mathbb{C}K + \mathbb{C}d$ be its Cartan subalgebra. We extend the symmetric bilinear form $(\cdot | \cdot)$ from \mathfrak{h} to $\widehat{\mathfrak{h}}$ by letting $(\mathfrak{h} | \mathbb{C}K + \mathbb{C}d) = 0$, $(K | K) = 0$, $(d | d) = 0$, $(d | K) = 1$, and we identify $\widehat{\mathfrak{h}}^*$ with $\widehat{\mathfrak{h}}$ using this form. Then d is identified with the 0^{th} fundamental weight $\Lambda_0 \in \widehat{\mathfrak{h}}^*$, such that $\Lambda_0|_{\mathfrak{g}[t, t^{-1}] + \mathbb{C}d} = 0$, $\Lambda_0(K) = 1$, and K is identified with the imaginary root $\delta \in \widehat{\mathfrak{h}}^*$. Then the set of real roots of $\widehat{\mathfrak{g}}$ is $\widehat{\Delta}^{\text{re}} = \{\alpha + n\delta \mid \alpha \in \Delta, n \in \mathbb{Z}\}$ and the subset of positive real roots is $\widehat{\Delta}_+^{\text{re}} = \Delta_+ \cup \{\alpha + n\delta \mid \alpha \in \Delta, n \in \mathbb{Z}_{\geq 1}\}$. Let $\widehat{\rho} = h^\vee \Lambda_0 + \rho$. Let

$$\widehat{\Pi}_u = \{u\delta - \theta, \alpha_1, \dots, \alpha_\ell\},$$

where $\theta \in \Delta_+$ is the highest root, so that $\widehat{\Pi}_1$ is the set of simple roots of $\widehat{\mathfrak{g}}$. For $\alpha \in \widehat{\Delta}^{\text{re}}$ one lets $\alpha^\vee = 2\alpha/(\alpha|\alpha)$. Finally, for $\beta \in Q^*$ define the translation $t_\beta \in \text{End } \widehat{\mathfrak{h}}^*$ by

$$t_\beta(\lambda) = \lambda + \lambda(K)\beta - ((\lambda|\beta) + \frac{1}{2}\lambda(K)|\beta|^2)\delta.$$

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Given $\Lambda \in \widehat{\mathfrak{h}}^*$ let $\widehat{\Delta}^\Lambda = \{\alpha \in \widehat{\Delta}^{\text{re}} \mid (\Lambda|\alpha^\vee) \in \mathbb{Z}\}$. Then Λ is called an *admissible* weight if the following two properties hold

- (i) $(\Lambda + \widehat{\rho}|\alpha^\vee) \notin \mathbb{Z}_{\leq 0}$ for all $\alpha \in \widehat{\Delta}_+$,
- (ii) $\mathbb{Q}\widehat{\Delta}^\Lambda = \mathbb{Q}\widehat{\Delta}$.

If instead of (ii) a stronger condition holds:

$$(ii)' \quad \varphi(\widehat{\Delta}^\Lambda) = \widehat{\Delta} \text{ for a linear isomorphism } \varphi : \widehat{\mathfrak{h}}^* \rightarrow \widehat{\mathfrak{h}}^*,$$

then Λ is called a *principal* admissible weight. In [KW89] the classification and character formulas for admissible weights is reduced to that for principal admissible weights. The latter are described by the following proposition.

Proposition 1. [KW89] *Let Λ be a principal admissible weight and let $k = \Lambda(K)$ be its level. Then*

- (a) *k is a rational number with denominator $u \in \mathbb{Z}_{\geq 1}$, such that*

$$(1) \quad k + h^\vee \geq \frac{h^\vee}{u} \text{ and } \gcd(u, h^\vee) = \gcd(u, r^\vee) = 1,$$

where $r^\vee = 1$ for \mathfrak{g} of type A-D-E, $= 2$ for \mathfrak{g} of type B, C, F, and $= 3$ for $\mathfrak{g} = G_2$.

- (b) *All principal admissible weights are of the form*

$$(2) \quad \Lambda = (t_\beta y) \cdot (\Lambda^0 - (u-1)(k + h^\vee)\Lambda_0),$$

where $\beta \in Q^$, $y \in W$ are such that $(t_\beta y)\widehat{\Pi}_u \subset \widehat{\Delta}_+$, Λ^0 is an integrable weight of level $u(k + h^\vee) - h^\vee$, and dot denotes the shifted action: $w.\Lambda = w(\Lambda + \widehat{\rho}) - \widehat{\rho}$.*

- (c) *For $\mathfrak{g} = \mathfrak{sl}_N$ all admissible weights are principal admissible.*

Recall that the normalized character of an irreducible highest weight $\widehat{\mathfrak{g}}$ -module $L(\Lambda)$ of level $k \neq -h^\vee$ is defined by

$$\text{ch}_\Lambda(\tau, z, t) = q^{m_\Lambda} \text{tr}_{L(\Lambda)} e^{2\pi i h}$$

where

$$(3) \quad h = -\tau d + z + tK, \quad z \in \mathfrak{h}, \quad \tau, t \in \mathbb{C}, \quad \text{Im } \tau > 0, \quad q = e^{2\pi i \tau},$$

and $m_\Lambda = \frac{|\Lambda + \widehat{\rho}|^2}{2(k + h^\vee)} - \frac{\dim \mathfrak{g}}{24}$ (the normalization factor q^{m_Λ} “improves” the modular invariance of the character).

In [KW89] the characters of the $\widehat{\mathfrak{g}}$ -modules $L(\Lambda)$ for arbitrary admissible Λ were computed, see Theorem 3.1, or formula (3.3) there for another version in case of a principal admissible Λ . In order to write down the latter formula, recall the normalized affine denominator for $\widehat{\mathfrak{g}}$:

$$\widehat{R}(h) = q^{\frac{\dim \mathfrak{g}}{24}} e^{\widehat{\rho}(h)} \prod_{n=1}^{\infty} (1 - q^n)^\ell \prod_{\alpha \in \Delta_+} (1 - e^{\alpha(z)} q^n)(1 - e^{-\alpha(z)} q^{n-1}).$$

In coordinates (3) this becomes:

$$(4) \quad \hat{R}(\tau, z, t) = (-i)^{|\Delta_+|} e^{2\pi i h^\vee t} \eta(\tau)^{\frac{1}{2}(3\ell - \dim \mathfrak{g})} \prod_{\alpha \in \Delta_+} \vartheta_{11}(\tau, \alpha(z)),$$

where

$$\vartheta_{11}(\tau, z) = -iq^{\frac{1}{12}} e^{-\pi i z} \eta(\tau) \prod_{n=1}^{\infty} (1 - e^{-2\pi i z} q^n)(1 - e^{2\pi i z} q^{n-1})$$

is one of the standard Jacobi forms ϑ_{ab} , $a, b = 0$ or 1 (see e.g., Appendix to [KW14]), and $\eta(\tau)$ is the Dedekind eta function.

For a principal admissible Λ , given by (2), formula (3.3) from [KW89] becomes in coordinates (3):

$$(5) \quad (\hat{R}\text{ch}_\Lambda)(\tau, z, t) = (\hat{R}\text{ch}_{\Lambda_0}) \left(u\tau, y^{-1}(z + \tau\beta), \frac{1}{u}(t + (z|\beta) + \frac{\tau|\beta|^2}{2}) \right).$$

It follows from (5) that if $\Lambda^0 = 0$ in (2) (so that $\text{ch}_{\Lambda_0} = 1$), which is equivalent to

$$(6) \quad k + h^\vee = \frac{h^\vee}{u} \text{ and } \gcd(u, h^\vee) = \gcd(u, r^\vee) = 1,$$

the (normalized) character ch_Λ turns into a product. The level k , defined by (6), is naturally called the *boundary principal admissible* level in [KRW03], see formula (3.5) there. We obtain from Proposition 1, (4) and (5)

Proposition 2. (a) *All boundary principal admissible weights are of level k , given by (6), and are of the form*

$$(7) \quad \Lambda = (t_\beta y) \cdot (k\Lambda_0),$$

where $\beta \in Q^*$, $y \in W$ are such that $(t_\beta y)\hat{\Pi}_u \subset \hat{\Delta}_+$. In particular, $k\Lambda_0$ is a principal admissible weight of level (6).

(b) *If Λ is of the form (7), then*

$$\text{ch}_\Lambda(\tau, z, t) = e^{2\pi i (kt + \frac{h^\vee}{u}(z|\beta))} q^{\frac{h^\vee}{2u}|\beta|^2} \left(\frac{\eta(u\tau)}{\eta(\tau)} \right)^{\frac{1}{2}(3\ell - \dim \mathfrak{g})} \prod_{\alpha \in \Delta_+} \frac{\vartheta_{11}(u\tau, y(\alpha)(z + \tau\beta))}{\vartheta_{11}(\tau, \alpha(z))}.$$

Remark 1. For the vacuum module $L(k\Lambda_0)$ of the boundary principal admissible level k the character formula from Proposition 2(b) becomes

$$\text{ch}_{k\Lambda_0}(\tau, z, t) = e^{2\pi i kt} \left(\frac{\eta(u\tau)}{\eta(\tau)} \right)^{\frac{1}{2}(3\ell - \dim \mathfrak{g})} \prod_{\alpha \in \Delta_+} \frac{\vartheta_{11}(u\tau, \alpha(z))}{\vartheta_{11}(\tau, \alpha(z))}.$$

Example 1. Let $\mathfrak{g} = \mathfrak{sl}_2$, so that $h^\vee = 2$. Then the boundary levels are $k = \frac{2}{u} - 2$, where u is a positive odd integer, and all admissible weights are

$$\Lambda_{k,j} := t_{-\frac{j}{2}\alpha_1} \cdot (k\Lambda_0) = (k + \frac{2j}{u})\Lambda_0 - \frac{2j}{u}\Lambda_1, \quad j = 0, 1, \dots, u-1,$$

and the character formula from Proposition 2(b) becomes:

$$(8) \quad \text{ch}_{\Lambda_{u,j}} = e^{2\pi i(kt - \frac{j}{u}z)} q^{\frac{j^2}{2u}} \frac{\vartheta_{11}(u\tau, z - j\tau)}{\vartheta_{11}(\tau, z)}.$$

For $u = 3$ and 5 some of these formulas were conjectured in [Y16].

Example 2. Let $\mathfrak{g} = \mathfrak{sl}_N$, so that $h^\vee = N$, let $N > 1$ be odd, and let $u = 2$. Then the boundary admissible level is $k = -\frac{N}{2}$, and the boundary admissible weights of the form $t_{\beta \cdot}(k\Lambda_0)$ are:

$$\Lambda_{N,p} = -\frac{N}{2}\Lambda_p, \quad p = 0, 1, \dots, N-1,$$

where Λ_p are the fundamental weights of $\widehat{\mathfrak{g}}$. Letting $z = \sum_{i=1}^{N-1} z_i \bar{\Lambda}_i$, where $\bar{\Lambda}_i$ are the fundamental weights of \mathfrak{g} , the character formula from Proposition 2 (b) becomes:

$$\begin{aligned} \text{ch}_{\Lambda_{N,p}}(\tau, z, t) &= i^{p(N-p)} e^{-\pi i N t} \left(\frac{\eta(2\tau)}{\eta(\tau)} \right)^{-\frac{(N-1)(N-2)}{2}} \\ &\times \frac{\prod_{\substack{1 \leq i \leq j < p \\ \text{OR } p < i \leq j < N}} \vartheta_{11}(2\tau, z_i + \dots + z_j) \prod_{1 \leq i \leq p \leq j < N} \vartheta_{01}(2\tau, z_i + \dots + z_j)}{\prod_{1 \leq i \leq j < N} \vartheta_{11}(\tau, z_i + \dots + z_j)}, \end{aligned}$$

where

$$\vartheta_{01}(\tau, z) = \prod_{n=1}^{\infty} (1 - q^n)(1 - e^{2\pi i z} q^{n-\frac{1}{2}})(1 - e^{-2\pi i z} q^{n-\frac{1}{2}}).$$

This follows from Proposition 2(b) by applying to ϑ_{11} an elliptic transformation (see e.g. [KW14], Appendix). In particular

$$\text{ch}_{-\frac{N}{2}\Lambda_0} = e^{-\pi i N t} \left(\frac{\eta(2\tau)}{\eta(\tau)} \right)^{-\frac{(N-1)(N-2)}{2}} \prod_{1 \leq i \leq j < N} \frac{\vartheta_{11}(2\tau, z_i + \dots + z_j)}{\vartheta_{11}(\tau, z_i + \dots + z_j)}.$$

The latter formula was conjectured in [XYY16].

Remark 2. For principal admissible weights $\Lambda = (t_{\beta y}) \cdot (k\Lambda_0)$ and $(t_{\beta' y'}) \cdot (k\Lambda_0)$ of boundary level $k = \frac{h^\vee}{u} - h^\vee$ the S -transformation matrix $(a(\Lambda, \Lambda'))$, given by [KW89], Theorem 3.6, simplifies to

$$a(\Lambda, \Lambda') = |Q/uh^\vee Q^*|^{-\frac{1}{2}} \varepsilon(yy') \prod_{\alpha \in \Delta_+} 2 \sin \frac{\pi i u(\rho|\alpha)}{h^\vee} e^{-2\pi i((\rho|\beta + \beta') + \frac{h^\vee(\beta|\beta')}{u})}.$$

Remark 3. If $\mathfrak{g} = \mathfrak{sl}_2$ and k is as in Example 1, then

$$a(\Lambda_{k,j}, \Lambda_{k,j'}) = (-1)^{j+j'} e^{-\frac{2\pi i j j'}{u}} \frac{1}{\sqrt{u}} \sin \frac{u\pi}{2}.$$

One can compute fusion coefficients by Verlinde's formula:

$$N_{\Lambda_{k,j_1}, \Lambda_{k,j_2}, \Lambda_{k,j_3}} = (-1)^{j_1+j_2+j_3} \text{ if } j_1 + j_2 + j_3 \in u\mathbb{Z}, \text{ and } = 0 \text{ otherwise.}$$

Example 3. Let $\mathfrak{g} = sl_3$, so that $h^\vee = 3$, and let u be a positive integer, coprime to 3. Then all (principal) admissible weights have level $k = \frac{3}{u} - 3$ and are of the form (7), where

$$\beta = -(-1)^p(k_1\bar{\Lambda}_1 + k_2\bar{\Lambda}_2), \quad y = r_\theta^p, \quad p = 0 \text{ or } 1, \quad k_i \in \mathbb{Z}, \quad k_i \geq \delta_{p,1}, \quad k_1 + k_2 \leq u - \delta_{p,0}.$$

Denote this weight by $\Lambda_{u;k_1,k_2}^{(p)} = (t_\beta y) \cdot (k\Lambda_0)$. Using Remark 2, one computes the fusion coefficients by Verlinde's formula:

$$N_{\Lambda_{u;k_1,k_2}^{(p)} \Lambda_{u;k'_1,k'_2}^{(p')} \Lambda_{u;k''_1,k''_2}^{(p'')}} = (-1)^{p+p'+p''} \text{ if } (-1)^p k_i + (-1)^{p'} k'_i + (-1)^{p''} k''_i \in u\mathbb{Z} \text{ for } i = 1, 2,$$

and $= 0$ otherwise.

Remark 4. If Λ is an arbitrary admissible weight, then $\hat{\Delta}^\Lambda$ decomposes in a disjoint union of several affine root systems. Then Λ has *boundary level* if restrictions of it to each of them has boundary level, and formula (3.4) from [KW89] shows that ch_Λ decomposes in a product of the corresponding boundary level characters. Note also that all the above holds also for twisted affine Kac-Moody algebras [KW89].

Remark 5. The product character formula for boundary level affine Kac-Moody superalgebras holds as well, see [GK15], formula (2).

Recall that to any sl_2 -triple $\{f, x, e\}$ in \mathfrak{g} , where $[x, f] = -f$, $[x, e] = e$, one associates a W -algebra $W^k(g, f)$, obtained from the vacuum $\hat{\mathfrak{g}}$ -module of level k by quantum Hamiltonian reduction, so that any $\hat{\mathfrak{g}}$ -module $L(\Lambda)$ of level k produces either an irreducible $W^k(g, f)$ -module $H(\Lambda)$ or zero. The characters of $L(\Lambda)$ and $H(\Lambda)$ are related by the following simple formula ([KRW03] or [KW14]):

$$(9) \quad \left(\overset{W}{R} \text{ch}_{H(\Lambda)} \right) (\tau, z) = \left(\hat{R} \text{ch}_\Lambda \right) \left(\tau, -\tau x + z, \frac{\tau}{2}(x|x) \right).$$

Here $z \in \mathfrak{h}^f$, the centralizer of f in \mathfrak{h} , and

$$(10) \quad \overset{W}{R}(\tau, z) = \eta(\tau)^{\frac{3}{2}\ell - \frac{1}{2} \dim(\mathfrak{g}_0 + \mathfrak{g}_{1/2})} \prod_{\alpha \in \Delta_+^0} \vartheta_{11}(\tau, \alpha(z)) \left(\prod_{\alpha \in \Delta_{1/2}} \vartheta_{01}(\tau, \alpha(z)) \right)^{1/2},$$

where $\mathfrak{g} = \bigoplus_j \mathfrak{g}_j$ is the eigenspace decomposition for $\text{ad } x$, $\Delta_j \subset \Delta$ is the set of roots of root spaces in \mathfrak{g}_j and $\Delta_+^0 = \Delta_+ \cap \Delta_0$ (we assume that $\Delta_j \subset \Delta_+$ for $j > 0$). If k is a boundary level (6), we obtain from Proposition 2(b) and formulas (9), (10) the following character formula for $H(\Lambda)$ if Λ is a principal admissible weight (7) ($z \in \mathfrak{h}^f$):

$$(11) \quad \text{ch}_{H(\Lambda)}(\tau, z) = (-i)^{|\Delta_+|} q^{\frac{h^\vee}{2u} |\beta-x|^2} e^{\frac{2\pi i h^\vee}{u} (\beta|z)} \times \frac{\eta(u\tau)^{\frac{3}{2}\ell - \frac{1}{2} \dim \mathfrak{g}}}{\eta(\tau)^{\frac{3}{2}\ell - \frac{1}{2} \dim(\mathfrak{g}_0 + \mathfrak{g}_{1/2})}} \frac{\prod_{\alpha \in \Delta_+} \vartheta_{11}(u\tau, y(\alpha)(z + \tau\beta - \tau x))}{\prod_{\alpha \in \Delta_+^0} \vartheta_{11}(\tau, \alpha(z)) \left(\prod_{\alpha \in \Delta_{1/2}} \vartheta_{01}(\tau, \alpha(z)) \right)^{1/2}}.$$

Remark 6. A formula, similar to Proposition 2(b) and to formula (11), holds if \mathfrak{g} is a basic Lie superalgebra; one has to replace the character by the supercharacter, \dim by sdim , and the factor ϑ_{ab} , corresponding to a root α , by its inverse if this root is odd. Also, the character is obtained from the supercharacter by replacing ϑ_{ab} by $\vartheta_{a,b+1 \bmod 2}$ if the root α is odd.

Remark 7. An example of (11) is the minimal series representations of the Virasoro algebra with central charge $c = 1 - \frac{3(u-2)^2}{u}$, obtained by the quantum Hamiltonian reduction from the boundary admissible \hat{sl}_2 -modules from Example 1. For $j = u - 1$ one gets 0, for $u = 3$ and $j = 0, 1$ one gets the trivial representation, but for all other j and $u \geq 5$ the characters are the product sides of the Gordon generalizations of the Rogers-Ramanujan identities (the latter correspond to $u = 5$). Another example is the minimal series representations of the $N = 2$ superconformal algebras, see [KRW03], Section 7.

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