### **Evaluating the Trend and Impact Factors of Southeast Asian Monsoon**

**by**

Warittha Panasawatwong

S.B., Massachusetts Institute of Technology **(2018)**

Submitted to the Department of Earth, Atmospheric, and Planetary Sciences Massachusetts Institute of Technology in partial fulfillment of the requirements for the degree of

Master of Science in Atmospheric science

at the

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September **2018**

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### **Evaluating the Trend and Impact Factors of Southeast Asian**

#### **Monsoon**

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Warittha Panasawatwong

Submitted to the Department of Earth, Atmospheric, and Planetary Sciences Massachusetts Institute of Technology on August **31, 2018,** in partial fulfillment of the requirements for the degree of Master of Science in Atmospheric science

#### **Abstract**

As a global leading agricultural producer, Southeast Asian **(SEA)** economy and livelihood rely on water supply from the monsoon precipitation during the rainy season. However, **SEA** monsoon system is still understudied. Here, we focus on the Mainland **SEA** monsoon because of its geographical simplicity. We find that the total precipitation of the Mainland **SEA** monsoon has experienced a reversing trend from a four-decade-long drying by  $0.18 \text{ mm day}^{-1}$  decade<sup>-1</sup> to increasing by  $0.13$  $day^{-1}$  decade<sup>-1</sup> starting from 1989. The increased energy and moisture post-reversal comes from the strengthened Hadley and Walker cell due to the increasing meridional equivalent potential temperature  $(\theta_e)$  gradient. The meridional  $\theta_e$  gradient shows significant correlation with the precipitation time-series at  $r = 0.52$  ( $p = 0.0015$ ), despite  $\theta_e$  gradient has reversed ahead of precipitation for 4-5 years.

Even though the overall precipitation trend of Mainland **SEA** in recent decades is increasing, the north of Myanmar and the south of China shows a decreasing trend. The surface wind analysis shows that surface southwesterly is weakening in the Northern Hemisphere, so the north of Mainland **SEA** receives less moisture, but also allow more moisture from the South China Sea to access the south of Mainland **SEA.** The surface wind change also corresponds with the rising branch of Hadley cell shifting southward.

Lastly, we find that the Mainland **SEA** monsoon is a mixed convection system, composing of deep, moist convection directly over the region at **10-20'N,** and a shallow, dry convection just north of the region at **35',** aligning with further assessment using zonal-mean precipitation,  $\theta$ , and  $\theta$ <sub>e</sub>. The deep, moist convection coincides with the zonal-mean  $\theta_e$  peak, and the strongest convection corresponds with the zonal-mean precipitation peak. The shallow, dry convection coincides with the zonal-mean  $\theta$ peak.

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### **Chapter 1**

### **Introduction**

Southeast Asia **(SEA)** is located just south of China and spans to just south of the Equator. The region houses over 640 million people. Thanks to its advantageous tropical climate and abundant water supply during the rainy season, agriculture account a significant fraction in many countries' economy, such as 24.8% of their **GDP** in Myanmar (Central Intelligent Agency, **2018),** and put themselves a global leading agriculture goods exporter. Water does not only serve as a necessity but also the backbone of its economy, affecting annual income for millions and food price in the market.

**SEA** water supply relies on the rain. The region does not have glacier melts or large lake as its reservoir. **SEA** water supply solely comes from rainfall during its rainy season, which characterized **by** the onset and the end of monsoon season. The change in monsoon precipitation each season affects the amount of water they have each year, unavoidably affecting their economy for the whole year. The monsoon extremity also causes droughts and floods. The increasing frequency of floods in recent decades has caused millions of dollars and thousands of lives in **SEA** (Loo, Billa, and Singh, **2015).** Monsoon research is crucial for the development of **SEA** countries.

First, we need to understand the nature of the monsoon system. The simple monsoon model is similar to the sea breeze. The different heat capacities of land and sea create the thermal gradient. The air over the warmer land rises and cool air over the sea flows in, creating sea breeze that brought in moisture from over the sea to land. The moisture then precipitates as monsoon rain (Webster and Fasullo, **2003).** However, the monsoon's spatial scale is larger than the sea breeze, and its onset is more sudden than sea breeze's subtle seasonal thermal gradient change. Monsoon system requires larger and stronger wind circulation system, called Hadley circulation. Hadley circulation or Hadley cell is a large-scale tropical circulation in tropics on both hemispheres with air converging toward then rising near equator. During boreal spring, the Hadley cells are almost symmetrical over the equator. Shifting into the boreal summer, air converge toward and rises on the Northern Hemisphere **(NH)** side, so one of the cell become cross-equatorial. In the cross-equatorial cell, air sinks in the Southern Hemisphere **(SH)** side, flows to the **NH** over the surface and rises in the **NH.** The shift of Hadley circulation corresponds with the seasonal shift of maximum heated area on the globe from over the equator to **20N** in the summer. The shift of Hadley cell kickstarts the monsoon onset, and the single, cross-equatorial Hadley cell provides the large-scale surface winds to sustain the monsoon throughout its season (Bordoni and T. Schneider, **2008).**

**SEA** monsoon also operates under the thermodynamics of Hadley circulation and thermal gradient, with some nuance due to its local geography. **SEA** monsoon is a sub-system of a larger monsoon system called the Asian-Australian monsoon system, which also includes the Indian monsoon, East Asian monsoon, and Australian monsoon. The **SEA** monsoon actually composed of two systems: The Mainland and Maritime **SEA** monsoon. In this study, we will focus on the Mainland **SEA** monsoon system because it is simpler to study than Maritime **SEA** for two reasons. First, because the monsoon precipitation is driven **by** thermal gradient between land and sea, Maritime SEA's complex islands-and-sea geography makes the monsoon temporal and spatial pattern complicated. On the other hand, Mainland **SEA** is composed simply and largely of the Indochinese Peninsula, making the thermal gradient and the wind simpler. Second, because the monsoon system onset is related to the shift of Hadley cell from almost symmetrical over the equator to asymmetrical with one dominant cell crossing the equator, the latitude of a system also determines the simplicity of the

system. Mainland **SEA** locates from **5N** and up, while Maritime **SEA** lays across the equator. Maritime **SEA** is then subjected to more complicated circulation shift than Mainland **SEA.**

Moreover, Mainland **SEA** monsoon system also has not been adequately explored. It is sometimes undermined as a branch of Indian monsoon system (the textbook monsoon, with rich literature precedent), and thus understudied despite the needs for agriculture planning, economic development, and quality of life improvement. We deem the Mainland **SEA** monsoon to be worthy of further investigation and therefore, we will focus on the Mainland **SEA** monsoon system.

Over the past decades, precedent researches in Mainland **SEA** monsoon precipitation shows a decreasing trend in **1950-1999** (Bollasina, Ming, and Ramaswamy, 2011), and overall increasing trend in 1970-2014 in the South of Mainland **SEA** (Preethi et al., **2017).** The recent increase of monsoon precipitation corresponds with the recent increasing frequency of floods in **SEA** (Loo, Billa, and Singh, **2015),** which causes damaging not only to the economics but to millions of residents in the region. Thus, the questions we want to explore in this study is on the multi-decadal variability of the Mainland **SEA** monsoon precipitation.

The recent increasing monsoon precipitation trends in the **SEA** is not unique to the region. The **NH** monsoon precipitation also shows increasing trend in the past 40 years (B. Wang et al., **2013).** The speculating cause is inevitably on global warming, which can influence the Hadley circulation and subsequently monsoon precipitation. Simple thermodynamics predicts that the overall warming of the global surface should weaken the circulation (Held and Soden, **2006,** Vecchi et al., **2006).** However, observations show there are different heating rates in the **NH** and **SH,** with the **NH** warming faster than the **SH** in the past 40 years, creating the stronger thermal gradient and strengthening the monsoon circulation. This recent increasing thermal gradient along with other factors such as **El** Nino-Southern Oscillation **(ENSO),** explains the increasing precipitation trends over the past 40 years (B. Wang et al., **2013).** However, the thermal gradient has not always been increasing. The gradient was decreasing but reversed to increase in the 1980s, as well as the **NH** monsoon circulation (figure **1-1).** The reversal explains previously found drying monsoon trend (H. Wang, 2001; Yu, B. Wang, and Zhou, 2004; Bollasina, Ming, and Ramaswamy, 2011). The **NH** monsoon precipitation likely went under the reversal in the 1980s following the reversal of the monsoon circulation trend. Locally, the reversal of precipitation trend has been identified in Indian summer monsoon to be in 2002 (Jin and **C.** Wang, **2017)**



Figure **1-1:** Normalized **NH** vertical wind shear (VWS), normalized hemispheric thermal contrast **(HTC,** red). Vertical wind shear, measured **by** 850-hPa minus 200 hPa winds and averaged over **0-20'N,** 120'W-120'E, acts as **NH** summer monsoon circulation index. Normalized **HTC** index measured **by** the 2-m air temperature averaged over the NH  $(0-60°N)$  minus that over the SH  $(0-60°S)$ , significantly correlates with VWS  $(r = 0.63)$ . The thick black line shows 3-year running means of NH monsoon circulation index. Computed from merged ERA-40 **(1958-1978)** and ERAI **(1979-2011)** reanalysis datasets. Adapted from Wang et al. **(2013)** with Copyright **(2013)** National Academy of Sciences.

Thus, in this study, we will explore the Mainland **SEA** monsoon system and its precipitation trend and variability particularly in recent decades. In chapter 2 we will first analyze the Mainland **SEA** monsoon precipitation and its multi-decadal variability, **by** computing area-averaged precipitation time-series. We will also examine the spatial distribution of monsoon precipitation and its trend. In chapter **3,** we will analyze the mean surface wind, and zonal- and meridional-mean circulation of the Mainland **SEA** monsoon system, and explore whether the circulation system corresponds with the observed spatial precipitation pattern. In chapter 4, we will explore the local thermal gradient, **by** creating the map of mean temperature, and evaluate whether it could explain the monsoon circulation system as analyzed in chapter **3.** We will compute zonal-mean temperature parameters We will also compute the thermal gradient timeseries and its correlation with the precipitation time-series, to evaluate whether it shows a similar correlation as shown in the large-scale **NH** monsoon system. Lastly, the major results of this study will be summarized in chapter **5.**

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### **Chapter 2**

# **Precipitation Trend of Sea Monsoon in Recent Decades**

Precedent researches in Mainland **SEA** monsoon precipitation show a decreasing trend in **1950-1999** (Bollasina, Ming, and Ramaswamy, 2011), and overall increasing trend in 1970-2014 in the South of Mainland **SEA** (Preethi et al., **2017).** Thus, there is a discontinuity in the detailed precipitation trend specifically in recent several decades. Therefore, in this chapter, we aim to analyze the Mainland **SEA** monsoon precipitation trend and its variability. We will first describe the datasets used to compute the precipitation time-series. Then, we will compute the statistical monthly mean precipitation, to select pre-monsoon and monsoon season. Precipitation's spatial pattern of pre-monsoon and monsoon season is then plotted and analyzed. Next, the yearly-mean precipitation during monsoon season is plotted to evaluate trend and variability. Lastly, we will plot and analyze the spatial distribution of the precipitation trend.

#### **2.1 Precipitaion Datasets**

Five gridded observed precipitation datasets are used to compute the precipitation trend. The datasets are: CRU TS  $v.4.00$   $(0.5\degree \times 0.5\degree)$  from Climate Research Unit **(CRU)** of East Anglia (Harris et al., 2014), **GPCC V7**  $(1^{\circ} \times 1^{\circ})$  from Global Precipitation Climatology Centre (U. Schneider et al., 2014), PREC/L50  $(1^{\circ} \times 1^{\circ})$  from **US** National Oceanic and Atmospheric Administration **(NOAA)** (Chen et al., 2002), Global Precipitation Climatology Project  $(GPCP)$  version 1.2  $(2.5^{\circ} \times 2.5^{\circ})$  (Adler et al., **2003),** and the Tropical Rainfall Measuring Mission (TRMM) version 3B43  $(0.25^{\circ} \times 0.25^{\circ})$  (Huffman et al., 2007).

**CRU TS** precipitation is based on over **5,000** station records. The **GPCC V7** precipitation product is based on **67,200** rain gauge stations. PREC/L global precipitation is based from over 17,000-gauge observations over land. **GPCP** v1.2 merged data from rain gauges, satellite retrievals, and sounding observations. TRMM 3B43 merged the satellite retrieved precipitation using microwave data, infrared radiance, and other satellite data as well as selected surface rain gauge station data. Due to the inclusion of satellite data in **GPCP** and TRMM, these datasets do not go back as long as the other three. **CRU** and **GPCC** have the data available from **1901,** and PREC/L from 1948. While **GPCP** dataset starts their time-series in **1979,** and TRMM datasets start in **1998.** Therefore, for evaluation that requires longer time-series, only **CRU, GPCC** and PREC/L will be used.

#### **2.2 Pre-Monsoon and Monsoon Seasons**

In order to select only the precipitation that is related to monsoons, we need to analyze the time window of the monsoon season. Thankfully, the majority of Mainland **SEA** precipitation is due to monsoons, so its rainy season is the monsoon season. The start of the rainy season then corresponds with the onset of the monsoon, which falls at the beginning of May to mid-June (Kiguchi et al., **2016).** Thus, we define the pre-monsoon season to be May-June. For monsoon season, we select the months where precipitation clearly peak compared with other months. In figure 2-1, we compute the long-term monthly mean from **1948-2016** precipitation datasets. It shows that the precipitation increases sharply in May and June, corresponding with the monsoon onset. The precipitation then continues to increase from June to August, then starts to drop in September. September mean precipitation is still relatively large, compared with the sharp drop in October. Thus, we define the monsoon season to be June-September.



Figure 2-1: Long-term monthly-mean precipitation from **1948-2016.** The monsoon season is from June to September, with peak precipitation in August. Precipitation's mean falls roughly on July 12, and the standard deviations fall roughly on June 22 and August 2. The mean day of precipitation distribution is shown in plus sign, and the standard deviation is shown in cross sign. Computed in the area of **5-25'N** and **90-1100E,** using **CRU, GPCC,** and PREC/L datasets.

### **2.3 Precipitation Spatial Pattern during Pre-Monsoon and Monsoon Seasons**

Geography, such as mountain ranges, introduces spatial deviation for precipitation. The spatial difference is especially important for local government to assess different needs, and for researchers to assess the system further. We will later look at the relationship between the surface wind and the precipitation spatial pattern. The spatial pattern serves as the checkup point for consistency between datasets.

We compute mean precipitation during pre-monsoon and monsoon season for each

dataset from **1948-2016.** As shown in figure 2-2, the heaviest precipitation falls in the west coast, the west side of the Indo-Malayan mountain system which runs from the north of Myanmar to the south of Thailand, for both pre-monsoon and monsoon season. There is a clear difference between the precipitation on the west side and the east side of the Indo-Malayan mountains. During monsoon season, the precipitation intensity in the east side of the Indo-Malayan mountain increases, especially around the Mekong River Basin, albeit still not as strong as in the west coast. The precipitation's spatial pattern is consistent among the three datasets.



Figure 2-2: The spatial pattern of precipitation means of monsoon precipitation in **1948-2016.** The geographical influence of the Indo-Malayan mountain system is apparent, as the precipitation intensity drops from the west side to the east side of the mountains.

#### **2.4 Precipitation Trend and Variabilities**

To examine the precipitation trend and its variability, we computed the total precipitation in Mainland **SEA** during monsoon season for each year from **1948-2016.** The time-series show decadal variability, possibly correlated with the **ENSO.** However, there is also a multi-decadal variability. The time-series shows an overall decreasing from 1948, but reverse to increasing trend over the recent several decades. However, the reversing year is not clear. The possible turning point could be either **1989** or **1999,** where the precipitation drops to local minima, because of a sharp precipitation peak in **1995.**



Figure **2-3:** Total precipitation intensity during the monsoon season from **1948-2016** from **5** observation datasets, with the year **1989** and **1999** marked as the possible reversal year. Blue lines mark the trend if the reversal is in **1989** and red lines mark the trend if the reversal is in **1999.**

We evaluate the reversal year **by** applying trend analysis to before and after each possible reversing year, then select the reversing year from the significant level of the trends. The trend analysis is shown in table 2.1. Using **1989** as the reversing year yields a lower p-value for the trend pre- and post-reversal. Thus, we will use **1989** as the reversing year for further analysis

<b>Reversing Year</b>	<b>Pre-Reversal</b>		Post-Reversal	
	Trend $(\text{dec}^{-1})$ MK, p-value Trend $(\text{dec}^{-1})$ MK, p-value			
1989	$-0.18$ mm day <sup>-1</sup> 0.001		$0.13 \text{ mm day}^{-1}$ 0.186	
1999	$-0.13$ mm day <sup>-1</sup> 0.004		$0.12$ mm day <sup>-1</sup> 0.325	

Table 2.1: The trend analysis of precipitation **(1948-2016)** for pre- and post-reversal of **1989** and **1999** as possible reversing year.

## **2.5 Spatial Pattern of Precipitation Trend Pre- and Post-Reversal**

Even though the trend of overall precipitation in Mainland **SEA** is analyzed, the trend may still not be consistent throughout the region. Thus, we compute the precipitation trend for pre- and post-reversal (using **1989** as reversing year) for each point in the datasets. The spatial pattern is plotted in figure 2-4.

The most severe reversal happened along the west coast of Northern Myanmar, which were the area with the highest precipitation (figure 2-2). The west coast went from having the strongest decreasing trend pre-reversal to the strongest increasing trend post-reversal. **CRU** and PREC/L also picked up a reversal of similar degree in Southern Myanmar. Even though **GPCC** does not show the same extreme increasing trend post-reversal (which might be the result of low resolution), the three datasets agree on the significant reversal from decreasing to increasing trend in Central Thailand.

On the other hand, the opposite reversal happened in Northern Myanmar and Southern China, going from having increasing precipitation trend to decreasing after the reversal. In Northern Myanmar, **CRU** and **GPCC** shows the increasing trend pre-reversal significant, while  $\text{PREC}/\text{L}$  shows decreasing trend-post reversal significant, and might prone to signify drying trend pre- and post-reversal than others. Moreover, PREC/L post-reversal trend pattern is also not as spatially consistent as the other two datasets, which might also be the result of low resolution.



#### (a) Precipitation Trend: Monsoon **1948-1989**

**(b)** Precipitation Trend: Monsoon **1989-2016**



Figure 2-4: The spatial pattern of linear trends of precipitation (mm d-1 decade<sup>-1</sup>) during (a) pre-reversal in **1948-1989** and **(b)** post-reversal in **1989-2016.** The black dots indicate trends that are confident at **95%** level.

#### **2.6 Summary**

We found that there is a reversal in the Mainland **SEA** monsoon precipitation trend around or immediately after **1989,** turning from a nearly half-century long drying to a drastic wetting. This reversal is about a decade later than the **NH** monsoon reversal, but earlier than the Indian monsoon reversal. It is not clear how long this recent multi-decadal wetting will persist. **If** the trend persists, the majority of the Mainland **SEA** will need to improve its water management structure for the increased precipitation and its subsequent disasters, i.e. floods and landslides. While the north of Myanmar and the South of China will face persistent drought.

### **Chapter 3**

### **SEA Monsoon Circulation**

The summer monsoon onset has been associated with the shift of Hadley cells from almost symmetric over the equator to one dominant cross-equatorial cell (Bordoni and T. Schneider, **2008).** The monsoon is then driven within the cross-equatorial Hadley circulation. Here we will first describe the data used to analyze the wind circulation. Then, we will analyze the surface wind in relation to the spatial pattern of precipitation, and then the zonal- and meridional-mean of horizontal and vertical wind, as well as inspect the change in the system after the reversal of precipitation trend

#### **3.1 Datasets**

The wind datasets used in this chapter are wind speed at surface as well as **17** pressure levels **(1,000-10** mbar) from **US** National Center for Environmental Prediction **(NCEP)** and National Center for Atmospheric Research (NCAR) reanalysis  $(2.5^{\circ} \times 2.5^{\circ})$  (Kalnay et al., **1996).** However, in the actual zonal- and meridional-mean wind cross-section analyses, we only use the pressure levels wind from **1,000** to **150** mbar. The datasets are available from **1948-2017.**

#### **3.2 Surface Wind**

The surface wind is a part of the dynamics that brought in the moisture from over the sea to inland. The spatial distribution of precipitation is inevitably affected **by** the combination of geography and surface wind pattern. Here, we compute the mean of surface wind during pre-monsoon and monsoon season 1948-2014, shown in figure **3-2.** The general wind direction is similar in both seasons, but the monsoon wind is slightly stronger than pre-monsoon wind. The zonal wind direction changes from easterly in **5-25oS** to westerly in **0-20'N,** due to Coriolis effect. However, the meridional wind is generally southerly, corresponding with the cross-equatorial Hadley circulation. Tracing back the streamline of surface wind, we can find the moisture source of Mainland **SEA** monsoon to be from the Bay of Bengal and the Indian Ocean in **SH.** Over Mainland **SEA,** the wind flowing in is southwesterly, corresponds with the precipitation's spatial distribution (figure 2-2) that the precipitation is higher on the west side of the Indo-Malayan mountains and the precipitation then drops sharply on the lee side of the mountains.

Then, we compute the mean wind change from pre- to post-reversal for pre-monsoon and monsoon season, **by** subtracting the mean surface wind of **1989-2017** with the mean surface wind of **1948-1989** (figure **3-2).** The result shows that the wind in the **NH** generally become more northerly, while the wind in the **SH,** especially near the equator, becomes more southerly. For Mainland **SEA,** this means the southwesterly from Bay of Bengal has weakened, and moisture is not carried far in land as before. The change reflect in the north of Myanmar and the south of China's drying trend, where the area is farther in land. In the same time, the northeasterly anomaly over the South China Sea allows more moisture to access the south of Indochinese Peninsular, corresponding with the wetting trend in the rest of Mainland **SEA.** However, the weakening landward wind from the Bay of Bengal does not correspond with the significantly increasing trend along the west coast of the peninsula.



Figure **3-1:** Mean surface wind during pre-monsoon and monsoon 1948-2014. The general direction of the wind is similar, but the monsoon wind is slightly stronger than pre-monsoon. The reference wind is  $5 \text{ m s}^{-1}$ .



Figure **3-2:** Mean surface wind difference from pre- to post-reversal, computed from the mean surface wind of **1949-1989** subtract from the mean of 1989-2014 for each season. The reference wind is  $1 \text{ m s}^{-1}$ . The blue and red box mark the area used to compute the zonal- and meridional-mean in section **3.3.**

### **3.3 Zonal- and Meridional-Mean Wind Cross-Section**

Monsoon system does not only consist of horizontal flows but also vertical wind. As the monsoon circulation resides within the large-scale Hadley circulation, it is important to look at the large-scale vertical convection together with the horizontal flows to understand the monsoon dynamics, location, and intensity.

The monsoon convection system can be divided into two types: the deep convection system, and the mix between deep, moist convection and shallow, dry convection system (Nie, Boos, and Kuang, 2010). **By** taking the zonal mean of meridional and vertical wind over each monsoon region during monsoon season, we can analyze the convection type of each regional monsoon system. The deep convection system, such as the Indian monsoon, has only one strong rising branch in the Hadley circulation. On the other hand, the mixed convective system, such as North African monsoon, has one strong rising branch and another smaller, shallower branch.

To inspect the convection of Mainland **SEA** monsoon system, we take the zonal mean of meridional and vertical wind over Mainland **SEA** during monsoon season **1948-2017.** The result, as shown in figure **3-3,** shows the large convection arises from **10** to **20'N,** directly over Mainland **SEA,** and flows over the equator in the upper atmosphere. This convection branch is likely corresponding to the Mainland monsoon convection. There is also another convection resides within deep Hadley convection. This smaller system comprises of the air rising from the equator to the upper troposphere, flows southward, and starts sinking around **15'S** near if not the same as the sinking branch of Hadley cell. This shallow convection is possibly due to the mass of lands in Maritime **SEA** and might be related to the Maritime-Continent Monsoon system. Still, the near-surface wind overall is driving cross-equatorial from **SH** to **NH** toward Mainland **SEA.** In the subtropics, there is another convection peaking at **30'N,** but the rising is shallower, and the flow seems to join into the larger convection.

The meridional mean plot shows the near-surface wind driving eastward from the Bay of Bengal to the Indochinese Peninsula. The wind pattern also explains precipitation's spatial pattern (figure 2-2) and corresponds with the surface wind (figure **3-1),** where the strongest precipitation is along the west coast of Myanmar, fully baring the wind from the Bay of Bengal.



Figure **3-3:** Mean wind during monsoon season **1948-2016.** Computed from **NCEP** reanalysis dataset. The zonal mean is taken from the coordinates of **5-25'N,** 80-140 **'E,** marked **by** blue box in figure **3-2.** The meridional mean is taken from the coordinates of **30'S-40'N, 90-110 0E,** marked **by** red box in figure **3-2.** The vertical velocity is multiplied by 100 for visibility in the plot. The reference vector is  $5 \text{ m s}^{-1}$ .

To inspect the circulation change after the reversal of the precipitation trend, the mean monsoonal wind from pre-reversal **(1948-1989)** is subtracted from the mean monsoonal wind from post-reversal **(1989-2017).** As shown in figure 3-4, the zonalmean change shows that the southward cross-equatorial flow in the upper troposphere is strengthened. Although the usual rising branch over **10-20'N** is slightly weakening, the rising branch of the shallower circulation over the Maritime **SEA** on the equator is clearly strengthening, as well as the surface convergent toward the new rising branch. However, the cross-equatorial flows in the upper-troposphere does not show the divergence anomaly in respect to the rising branch over the equator. It maintains the direction and also strengthen its magnitude.

The meridional-mean of wind change also shows the strengthening of the Walker cell with two rising branches: one over the over the South China Sea and one over the Philippine Sea in the far east of the plot. The two rising branches join in the upper troposphere flow eastward. Near-surface, the easterly wind from the South China Sea is present, corresponding with the surface wind. On the other hand, both near-surface westerly wind and the rising branch from the Bay of Bengal is weakening. It corresponds with the surface wind change and likewise does not corresponding with the increasing precipitation trend on the west coast of the peninsula.



Figure 3-4: Changes in the mean wind during monsoon season before and after the reversal, with the mean of 1948-1989 subtracted from the mean of 1989-2017. Computed from NCEP reanalysis dataset. The zonal mean is taken from the coordinates of  $5-25$  °N,  $80-140$  °E, as marked in the blue box in figure 3-2. The meridional mean is taken from the coordinates of 30  $\textdegree$ S - 40  $\textdegree$ N, 90-110 $\textdegree$ E, marked in the red box in figure 3-2. The vertical velocity is multiplied by 100 for visibility. The reference vector is  $1 \text{ m s}^{-1}$ .

#### **3.4 Summary and Discussions**

The surface wind shows strong cross-equatorial southeasterly in **SH** and northeasterly in **NH,** bringing the air from the Indian ocean in **SH** and Bay of Bengal to Mainland **SEA.** The shift of the surface wind shows the weakening of the southerly wind in **NH,** especially in the north of **SEA** and south of China, explaining the drying in the area. On the other hand, the strengthened landward wind from the South China Sea explains the increasing precipitation other regions of **SEA.**

The cross-section wind analysis shows the Mainland **SEA** monsoon is like a mixed convection system, with a deep, moist convection over the Mainland **SEA,** and a shallow, dry convection in the subtropics. The cross-section wind change shows slight weakening of the usual rising branch of Hadley cell over Mainland **SEA.** However, another rising branch of the Hadley cell over the equator is strengthened, as well as the surface convergent toward the new rising branch. The cross-equatorial flows in the upper-troposphere is strengthened as well. Overall, the Hadley circulation seems to be strengthened. The Hadley cell's rising branch moving southward corresponds with precedent research on the southward shift of the Intertropical Convergence Zone (ITCZ) due to different anthropogenic aerosols distribution in the **NH** and **SH (C.** Wang, **2015;** Chung and Soden, **2017).**

Iwt is speculated that the anthropogenic aerosols and greenhouse gas weaken Hadley and Walker circulation, leading to weakening of South Asian monsoon. Bollasina, Ming, and Ramaswamy, 2011). Here, the change in circulation is in the opposite direction in recent several decades, and the precipitation trend is reversing from decreasing to increasing. Even though we cannot give conclusions about the change in anthropogenic aerosols and greenhouse gas, in this case, we can exhibit the relationship between the circulations and monsoon precipitation intensity.

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### **Chapter 4**

# **Driver and Its Correlation With Precipitation**

Thermal contrast is sometimes said to be the primary driver of monsoon dynamics, driving the surface wind and bringing the energy and moisture from over the oceans to inland during the monsoon season (Webster and Fasullo, **2003).** The monsoon precipitation is found to be correlated with the pre-monsoon thermal gradient (Li and Yanai, 1996). However, the land-sea thermal contrast is found not to be the key driver for monsoon onset, as the gradual change of the thermal contrast does not explain the sudden nature of monsoon onset. On the other hand, the rapid transition of Hadley circulation from nearly symmetrical over the equator to one dominant cell rising in the **NH** is enough to produce monsoon-like precipitation even in aquaplanet simulations (Bordoni and T. Schneider, **2008).** Still, the observed temperature parameter is related to the circulation dynamics. The zonal-mean equivalent potential temperature  $(\theta_e)$ , not sensible temperature, is found to qualitatively correspond with the vertical convection of the monsoon system (Nie, Boos, and Kuang, 2010). We will investigate the relationship the temperature has with monsoon precipitation and convection in this chapter. First, we will describe the datasets used to analyze temperature. Then, we will compute the yearly mean temperature time-series during pre-monsoon season, and investigate its correlation with monsoon precipitation. Lastly, we will analyze the

zonal-mean potential temperature and equivalent potential temperature in relation to the zonal-mean circulation found in chapter **3.**

#### **4.1 Datasets**

The surface temperature data is composed of sea surface temperature from HadISST  $(1^\circ \times 1^\circ)$  from the Hadley Centre of UK Met Office (Rayner et al., 2003) and surface air temperature from University of Delaware  $(0.5^{\circ} \times 0.5^{\circ})$  (Legates and Willmott, 1990). The HadISST dataset is available from 1870-present. However, the UDel **TS** is only available from 1901-2014. Here, we will use select the time-series from 1948-2014, **so** the starting year is consistent with the precipitation datasets.

For the surface  $\theta_e$ , we compute the surface specific humidity for land and sea differently. For over land, we compute surface specific humidity using available **NCL** package on monthly-mean surface pressure and dew-point temperature from the European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis ERAinterim  $(0.75^{\circ} \times 0.75^{\circ})$  (Dee et al., 2011). For over sea, we compute surface specific humidity according to Byrne and O'Gorman **(2018).** Firstly, the surface relative humidity is computed using available **NCL** package on ERA-interim's monthly-mean 2m temperature and dew-point temperature data, and average result for each month from **1979-2001.** Then, we compute the specific humidity using the averaged monthlymean relative humidity, and ERA-interim's surface pressure and 2m temperature data. Then, the surface  $\theta_e$  is computed using available NCL package on the computed specific humidity, monthly-mean 2m temperature, and surface pressure from ERA-interim.

### **4.2 Thermal Gradient and Its correlation with Precipitation**

The monsoon precipitation is found to be correlated with the pre-monsoon temperature gradient in the Indian monsoon (Li and Yanai, **1996).** For thermodynamics to work, thermal gradient should have the land surface temperature higher than sea surface

temperature. However, the simply observed temperature does not show the thermal contrast required to drive the monsoon in **SEA** (figure 4-1). The surface temperature over the Indochinese Peninsula is as warm as the sea surface temperature over the body of water surrounding it. The surface temperature up North over China is even cooler. However, if the monsoon onset does not require the land-sea thermal gradient as in 'sea breeze' model but instead the shift of the Hadley circulation, we can extend the spatial scale of thermal gradient from land-sea gradient to cross-equatorial meridional temperature gradient. The meridional gradient would correspond with the meridional surface wind in the Hadley cell. Still, the meridional temperature gradient is found to be relatively weak. Instead, we use the equivalent potential temperature  $\theta_e$  which represents not only sensible heat but also the latent heat energy of the moisture in the air. The spatial pattern of  $\theta_e$  shows required thermal gradient from the Indian ocean in **SH** over to Indochinese Peninsula, Bay of Bengal, and South China Sea (figure 4-2).

The change of  $\theta_e$  is computed by subtracting the mean  $\theta_e$  during 1989-2014 with the mean  $\theta_e$  during 1948-1989. The plotted difference, shown in figure 4-3, shows that the land surface  $\theta_e$  is overall warming faster than sea surface  $\theta_e$ . Because the majority of the land is in the NH, we expect the  $\theta_e$  in the NH to be warming faster than the  $\theta_e$  in the SH. Therefore, the thermal gradient should be strengthened post-reversal. The land  $\theta_e$  over the equator also warms faster than its neighbor. The local warming explains the strengthened convection over the equator (figure 3-4). However, because the wind analysis is from re-analysis dataset **(NCEP)** and we also use pressure and 2m dew-point temperature from re-analysis dataset (ERA-interim) to compute  $\theta_e$ , it is possible that this could be the regional warming outlier that presents in both systems.



Mean Surface Temperature : Pre-Monsoon 1948-2014

Figure 4-1: Mean surface temperature during pre-monsoon season 1948-2014. The land-sea temperature gradient is not strong enough to drive the monsoon onset. Using the merged of UDel for over land surface temperature and HadISST for sea surface temperature.



Figure 4-2: Mean equivalent potential temperature during pre-monsoon season 1948- 2014. The meridional cross-equatorial thermal gradient is stronger. The white and black boxes show the area used to compute meridional  $\theta_e$  gradient.



Figure 4-3: The spatial pattern of pre-monsoon surface  $\theta_e$  difference between pre- and post-reversal. Computed by subtracting the pre-monsoon mean  $\theta_e$  during pre-reversal (1948-1989) from the mean  $\theta_e$  during post-reversal (1989-2017). The warming over land is faster than the warming over the sea.

We then compute the meridional surface  $\theta_e$  gradient between the NH (5-20<sup>o</sup>N, **85-115 OE,** marked **by** the white box in figure 4-2) and **SH (5-25'S, 85-145<sup>0</sup> E,** marked by the black box in figure 4-2). figure 4-4 shows the mean  $\theta_e$  computed in each box. The SH  $\theta_e$  which consists mostly of sea surface does not warm as the NH  $\theta_e$  which has more land area, as expected. The NH  $\theta_e$  increasing rate also accelerated in the last decade. If the trend persists, the thermal gradient would get even stronger, resulting in even more increasing precipitation. The  $\theta_e$  gradient is then computed and plotted along with monsoon precipitation in figure 4-5. The  $\theta_e$  gradient seems to have reversed in **1984-85,** a couple years ahead of precipitation reversal in **1989.** The two time-series show significant correlation of  $r = 0.52$  ( $p = 0.0015$ ).



Figure 4-4: Mean surface  $\theta_e$  computed in the NH box (5-20°N, 85-115 °E, marked **by** the white box in figure 4-2) and the **SH** box **(5-25'S, 85-145<sup>0</sup> E,** marked **by** the black box in figure 4-2). The reversing year 1989 is marked. The SH  $\theta_e$  which consists mostly of sea surface does not warm as the NH  $\theta_e$  which has more land area. The NH  $\theta_e$  increasing rate also accelerated in the last decade.



Figure 4-5: Pre-monsoon meridional  $\theta_e$  gradient (dashed line) and total monsoon precipitation over Mainland **SEA** (solid line). The thermal gradient is in **1984-85,** ahead of precipitation reversal in 1989. The correlation  $r = 0.52$  ( $p = 0.0015$ ).

### **4.3 Zonal-Mean Potential Temperature and Convection**

It has been proposed that the depth of monsoon convention is reflected in the zonal mean of precipitation and potential temperatures (Nie, Boos, and Kuang, 2010). The deep, moist convection would correspond with near-surface  $\theta_e$  and precipitation peak, and the shallow, dry convection would correspond with near-surface  $\theta$ . From the zonal mean circulation (figure **3-3),** the Mainland **SEA** monsoon is likely a mixed convection system with the deep, moist convection in **10-20'N** and shallow, dry convection at around  $35^{\circ}$ N. To further attest to this model, the zonal mean precipitation,  $\theta$ , and  $\theta_e$  are computed and plotted in figure 4-6. The zonal-mean of  $\theta$  and  $\theta_e$  is rather not smooth because of the peninsula and islands nature of the region. Nevertheless, the zonal-mean parameters correspond with the convections in figure **3-3.** The strongest wind in the deep, moist convection latitude coincides with the precipitation peak latitude. The zonal-mean  $\theta_e$  peak spans from 10°S-30°N, and roughly corresponds with the overall deep convection branch from **10'S-20'N.** However, the smaller convective peak over the equator does not show in the zonal-mean  $\theta_e$ . The shallow, dry convection location corresponds with the zonal-mean  $\theta$  peak at  $35^{\circ}$ N.



Figure 4-6: Zonal-mean of precipitation,  $\theta$ , and  $\theta$ <sub>e</sub> during monsoon-season 1979-2014. The precipitation peak location of all four datasets corresponds with the strong wind peak (10-20°N) in the deep, moist convection in figure 8. The  $\theta_e$  also roughly corresponds with the overall deep, moist convection  $(10^{\circ}S-20^{\circ}N)$ , although the vertical wind peak over the equator does not show in the zonal-mean  $\theta_e$ . The  $\theta$  peak corresponds with the shallow, dry convection ( $\sim 35^{\circ}$ N).  $\theta$  and  $\theta_e$  is represented in z-score due for easier read. TRMM precipitation dataset is left out here because the time-series is available from **1998.**

#### **4.4 Summary**

We find that the pre-monsoon, meridional, surface  $\theta_e$  gradient correlates with the monsoon precipitation for Mainland SEA monsoon. The change of surface  $\theta_e$  spatial pattern corresponds with the change in surface wind and meridional circulation. The zonal-mean precipitation,  $\theta$ , and  $\theta_e$  confirms that the Mainland SEA monsoon is a system mixed with moist and dry convection.

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### **Chapter 5**

### **Conclusions**

#### **5.1 Summary of Major Conclusions**

In this study, we have analyzed the Mainland **SEA** monsoon precipitation trends as well as associated surface wind and convection, and evaluated thermal gradient as a part of drivers of the system.

We find that the total precipitation of the Mainland **SEA** monsoon has experienced a reversing trend from a four-decade-long drying by  $0.18 \text{ mm day}^{-1}$  decade<sup>-1</sup> to increasing by  $0.13 \text{ day}^{-1}$  decade<sup>-1</sup> starting from 1989. The cross-section wind analysis shows that the Hadley and Walker cell is strengthened post-reversal, bringing more energy and moisture from the seas. The strengthened circulation can be explained **by** the increasing meridional  $\theta_e$  gradient. Because  $\theta_e$  warms faster over land than sea, and the NH is consisting of more land area than SH, the meridional  $\theta_e$  gradient increases. The meridional  $\theta_e$  gradient shows significant correlation with the precipitation timeseries at  $r = 0.52$  ( $p = 0.0015$ ), despite  $\theta_e$  gradient has reversed ahead of precipitation for 4-5 years.

Even though the overall precipitation trend of Mainland **SEA** in the past decade is increasing, the north of Myanmar and the south of China shows a decreasing trend. The surface wind analysis shows that surface southwesterly wind is weakening in the **NH,** so the north of Mainland **SEA** inevitably receives less moisture. On the other

hand, the weakening southwesterly allow more moisture from the South China Sea to access the south of Mainland **SEA,** so the area does not get as dry as the northern part. The weakening of surface southwesterly over **SEA** also with the rising branch of Hadley cell shifting southward, as shown in meridional, cross-section wind analysis.

Lastly, we find that the Mainland **SEA** monsoon is a mixed convection system, composing of deep, moist convection directly over the region at **10-20'N,** and a shallow, dry convection just north of the region at **35'N,** as shown in the meridional, cross-section wind analysis. Further assessment with zonal-mean precipitation,  $\theta$ , and  $\theta_e$  confirms that it is a mixed convection system. The deep, moist convection coincides with the zonal-mean  $\theta_e$  peak, and the strongest convection corresponds with the zonal-mean precipitation peak. The shallow, dry convection coincides with the zonal-mean  $\theta$  peak at 35°N. The effect of having a mixed convection system is not apparent in this study.

#### **5.2 Implications for Future Work**

This study serves as the preliminary research for the Mainland **SEA** monsoon system. Here, we review some questions for future work to understand the system.

#### **5.2.1 Near-Surface Temperature and Wind Analysis**

In this study, we use surface temperature,  $\theta$ , and  $\theta_e$ , as well as surface wind to analyze the monsoon system due to its simplicity. However, surface parameters alone do not accurately represent the boundary layer activity and local elevation. Further study that analyzes these parameters using near-surface or boundary-layer parameter instead might yield a clearer and more significant result.

The wind analyses in this study also use rather coarse-resolution wind re-analysis datasets. It might not accurately depict dynamics between land and sea in the region with complicated shoreline such as **SEA.** Further study using higher-resolution wind datasets would add to the detailed dynamics in the **SEA** monsoon system.

#### **5.2.2 ENSO and Multi-Decadal Variabilities**

In this study, we only look at the meridional thermal gradient as a factor that influenced the precipitation trend. However, other factors must be influencing decadal- and multi-decadal variability in precipitation. There is an apparent decadal-variability in Mainland **SEA** Monsoon precipitation, such that it introduces complication in analyzing the reversal (the precipitation peak between **1989** and **1999).** Other factors that have been shown to correlate with the **NH** monsoon system are **ENSO** and Atlantic Multidecadal Oscillation (AMO) **(C.** Wang, **2015).** In Maritime monsoon system, the **ENSO** has been shown to be a significant interannual and seasonal variability for monsoon rainfall in East Java, Indonesia (Aldrian and Djamil, **2008),** and warm **ENSO** year is found to be associated with monsoon weakening (Moron, Robertson, and Qian, 2010).

#### **5.2.3 Anthropogenic Aerosols and the ITCZ's Southward Shift**

As introduced in chapter **3,** albeit the overall strengthen change of Hadley circulation, there is a possible south-shift in its rising branch. Globally, the rain band has shown to shift southward due to the spatial distributions of different anthropogenic forcings **(C.** Wang, **2015;** Chung and Soden, **2017).** Since the shift seems to be influenced in regional scale, further study in the **SEA** region on anthropogenic aerosols and the rain band shift could illuminate the reasons or factors behind the change in precipitation trend, and whether the trend will sustain or not. Especially with newly arised concerns of aerosols in **SEA** urban area, projecting possible variation of precipitation trend due to growing cities are indeed difficult but interesting.

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