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1 **Sources of iron and phosphate affect the distribution of diazotrophs in the North**  
2 **Atlantic**

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27

28 **Abstract**

29 Dinitrogen fixation supplies nutrient-depleted oceanic surface waters with new  
30 biologically-available fixed nitrogen. Diazotrophs are the only organisms that can fix  
31 dinitrogen, but the factors controlling their distribution patterns in the ocean are not well  
32 understood. In this study, the relative abundances of eight diazotrophic phylotypes in  
33 the subtropical North Atlantic Ocean were determined by quantitative PCR (qPCR) of  
34 the *nifH* gene using TaqMan probes. A total of 152 samples were collected at 27  
35 stations during two GEOTRACES cruises (USGT10 and USGT11) from Lisbon,  
36 Portugal to Mindelo, Cape Verde Islands (USGT10), and from Woods Hole, MA, USA  
37 via the Bermuda Time Series (BATS) to Praia, Cape Verde Islands (USGT11). Seven of  
38 the eight diazotrophic phylotypes tested were detected. These included free-living and  
39 symbiotic cyanobacteria (unicellular groups (UCYN) A, B and C, *Trichodesmium*, the  
40 diatom-associated cyanobacteria *Rhizosolenia-Richelina* and *Hemiaulus-Richelina*) and a  
41  $\gamma$ -proteobacteria (Gamma A, AY896371). The *nifH* gene abundances were analyzed in  
42 the context of a large set of hydrographic parameters, macronutrient and trace metal  
43 concentrations measured in parallel with DNA sampling using the PRIMER-E software  
44 to determine the environmental variables that most influenced the abundances and  
45 distribution of the diazotrophic phylotypes. We observed a geographic segregation of  
46 diazotrophic phylotypes between east and west, with UCYN A, UCYN B and UCYN C  
47 and the *Rhizosolenia-Richelina* symbiont associated with the eastern North Atlantic (east  
48 of 45°W), whereas *Trichodesmium* and Gamma A were detected across the basin.  
49 *Hemiaulus-Richelina* symbionts were primarily found in temperate waters near the North  
50 American coast. The highest diazotrophic phylotype abundance and diversity were

51 associated with temperatures greater than 22 °C in the surface mixed layer and a high  
52 supply of iron and phosphorus from North African aeolian mineral dust deposition and  
53 from remineralized nutrients upwelled at the edge of the oxygen minimum zone off the  
54 northwestern coast of Africa.

55

## 56 **Keywords**

57 Diazotrophs

58 North Atlantic

59 Iron

60 Aerosol

61 *nifH*

62 GEOTRACES

63 qPCR

64

## 65 **Abbreviations**

66 Al Aluminum

67 Anammox anaerobic ammonium oxidation

68 ANOSIM Analysis of Similarities

69 BATS Bermuda Atlantic Time Series

70 BNF Biological Nitrogen Fixation

71	CVOO	Cape Verde Ocean Observatory
72	Fe	iron
73	Gamma A	<i>γ-proteobacteria A</i> , AY896371
74	Het 1	<i>Rhizosolenia-Richelina</i> symbiont
75	Het 2	<i>Hemiaulus-Richelina</i> symbiont
76	MAR	mid-Atlantic ridge
77	OMZ	oxygen minimum zone
78	PCA	Principle Component Analysis
79	qPCR	quantitative PCR
80	SIMPER	Similarity Percentages
81	SML	surface mixed layer
82	UCYN A	Unicellular cyanobacteria Group A (related to <i>Candidatus</i>
83		<i>Atelocyanobacterium thalassa</i> )
84	UCYN B	Unicellular cyanobacteria Group B (related to <i>Crocospaera</i> )
85	UCYN C	Unicellular cyanobacteria Group C (related to <i>Cyanothece</i> )

86

## 87 **1. Introduction**

88 Dinitrogen fixation is an important source of biologically-available nitrogen in the marine  
89 environment, as fixed forms of nitrogen are scarce in most open ocean surface waters.

90 This is particularly true in the oligotrophic subtropical gyres (Vitousek and Howarth

91 1991, Karl et al. 2002). Dinitrogen fixation is carried out by a specific group of bacteria  
92 and Archaea called diazotrophs. Until recently it was believed that the majority of  
93 dinitrogen fixation in the ocean was performed by the large, surface bloom-forming  
94 *Trichodesmium*, a non-heterocystous, filamentous cyanobacterium, and by symbiotic  
95 associations between diatoms and the diazotroph *Richelia* sp. (Foster et al. 2007).  
96 Phylogenetic studies using *nifH*, the gene encoding for the iron protein subunit of the  
97 nitrogenase enzyme (Zehr et al. 1998, Langlois et al. 2005, Turk et al. 2011) have  
98 revealed a much more diverse diazotrophic flora that includes unicellular and symbiotic  
99 cyanobacteria, heterotrophic bacteria and Archaea, all potentially contributing  
100 significantly to global oceanic dinitrogen fixation. High-throughput next generation  
101 sequencing studies have further enriched our knowledge of diazotroph phylogenetic  
102 diversity, and have identified the presence of unexplored heterotrophic diazotroph  
103 groups throughout the world's oceans (Farnelid et al. 2011).

104 Although the abundance of the diazotrophs *Trichodesmium* and *Richelia* can be  
105 determined by microscopy counts, many of the diazotrophic unicellular cyanobacteria  
106 and heterotrophic bacteria cannot be visually identified with certainty in marine microbial  
107 communities. Microscopic images of the elusive UCYN A, one of the most widely  
108 distributed diazotrophic cyanobacteria, have been obtained only recently (Krupke et al.  
109 2013, Thompson et al. 2013). To date, most oceanic heterotrophic diazotrophs are  
110 known only by their *nifH* sequences. To further complicate the matter, the abundance of  
111 diazotrophs is generally several orders of magnitude lower than the dominant  
112 phytoplankton and bacterioplankton (e.g., *Prochlorococcus* and *Pelagibacter*). This  
113 presents a challenge for detection and cultivation techniques. Quantitative-PCR (q-

114 PCR) and TaqMan probes have been used to circumvent some of these difficulties  
115 (Langlois et al. 2008), allowing the quantitative detection of diverse phylogenetic clades  
116 defined by specific *nifH* sequences. This approach has already yielded valuable  
117 information on the *nifH* phylotype distribution and abundance in the Pacific (Goebel et  
118 al. 2007, Church et al. 2008, Moisander et al. 2010) and Atlantic Oceans (Langlois et al.  
119 2008, Turk et al. 2011).

120 Marine diazotrophs play a critical role in the oceanic nitrogen cycle, because they  
121 currently provide the most significant source of fixed nitrogen to the ocean through  
122 biological nitrogen fixation (BNF) of dinitrogen gas (Duce et al. 2008). Over geological  
123 time scales, the magnitude of the global oceanic fixed nitrogen inventory has been  
124 determined by the balance between BNF and the combined nitrogen loss processes of  
125 denitrification (Altabet 2006, Codispoti 2006) and anaerobic ammonia oxidation  
126 (anammox)

127 Diazotroph distribution has been utilized to estimate dinitrogen fixation rates and model  
128 the factors controlling BNF. However, the oceans remain vastly under sampled with  
129 respect to diazotroph abundance, distribution and community structure (Luo et al. 2012,  
130 Fernández et al. 2013), making it problematic to validate model-based predictions  
131 concerning the fate of dinitrogen fixation in a changing ocean (Goebel et al. 2007,  
132 Monteiro et al. 2010, Sohm et al. 2011). It is therefore important to understand what  
133 environmental factors control the distribution and activity of marine diazotrophs.

134 Environmental parameters such as temperature, availability of phosphate, water column  
135 stability, upward diffusive fluxes of nutrients, light, and input of iron (Fe) via atmospheric  
136 mineral dust deposition (Fernández et al. 2013) have all been proposed as factors



137 controlling the distribution of diazotrophs. Although detected in almost every oceanic  
138 environment, diazotrophs are most abundant in the warm tropical and subtropical  
139 oceans where fixed nitrogen is depleted in surface waters (Langlois et al. 2008, Church  
140 et al. 2008, Stal 2009, Moisander et al. 2010). Diazotrophs are not limited by fixed  
141 nitrogen availability, but both phosphorus and dissolved Fe availability have been  
142 implicated in the control of the geographical distribution of diazotrophs and BNF  
143 (Falkowski 1997, Karl et al. 2002, Mills et al 2004, Moore et al. 2009). In the oligotrophic  
144 subtropical North Atlantic gyre, mineral dust deposition is the most significant source of  
145 dissolved Fe to the surface of the ocean (Gao et al. 2001, Jickells et al. 2005). However  
146 in the eastern tropical Atlantic, between the Cape Verde Islands and the northwest  
147 African coast, upwelled regenerated nutrients from the sub-surface oxygen minimum  
148 zone are another potential source of macro- (N, P, Si) and micro-nutrients (e.g. Fe, Co)  
149 to the surface layers (Rijkenberg et al. 2012, Fitzsimmons et al. 2013).

150 We used qPCR and eight phylotype-specific TaqMan probes and primer sets,  
151 representing the most commonly occurring marine diazotrophs in the Atlantic Ocean  
152 (Langlois, et al. 2008) to estimate *nifH* abundances in an East-West transect across the  
153 subtropical North Atlantic Ocean. We compared the distribution and relative abundance  
154 of *nifH* phylotypes with hydrographic parameters, macronutrients and trace metal  
155 distributions from the surface down to 400 m as well as aerosol aluminum (Al) and Fe  
156 concentrations. This was possible through coordinated sampling of nucleic acids, a  
157 suite of trace metals dissolved in the water column and aerosols during the 2010 and  
158 2011 US GEOTRACES research cruises.

159

## 160 **2. Materials and methods**

### 161 **2.1 Cruise track and sample collection**

162 Samples for measuring *nifH* gene abundance were collected during two GEOTRACES  
163 cruises (USGT10 and USGT11) that took place in the subtropical North Atlantic Ocean  
164 from October 16<sup>th</sup> till November 2<sup>nd</sup> 2010 and from November 7<sup>th</sup> till December 10<sup>th</sup>  
165 2011, respectively (figure 1). The cruise track (figure 1) included stations at the  
166 Bermuda Atlantic Time-series (BATS) site, Cape Verde Ocean Observatory (CVOO)  
167 site and the mid-Atlantic ridge (MAR). Seawater samples were collected from the  
168 conventional CTD/rosette at six depths per station ranging from 2-1000 m. Immediately  
169 after collection 1-2 L of seawater were vacuum filtered onto 0.22 µm Durapore filters  
170 (Millipore) to collect the natural microbial communities. The filters were flash frozen  
171 using liquid nitrogen and stored at -80 °C until analysis in the laboratory. In total, 152  
172 samples were collected from 27 stations with an average of 6 depths per station ranging  
173 between 2 and 1000 m. Up to three samples were collected in the surface mixed layer  
174 (SML) at all of the stations sampled. A broad suite of trace metals and other  
175 macronutrients were sampled during these two US GEOTRACES cruises (Deep-Sea  
176 Research special issue), enabling the analysis of the nucleic acid-derived *nifH*  
177 abundance measurements within the context of a large database of chemical and  
178 hydrographic parameters.

179

### 180 **2.2 DNA extraction and qPCR**

181 In the laboratory, liquid nitrogen-frozen filters were crushed with plastic homogenizers  
182 and incubated for five min with a 5 mg mL<sup>-1</sup> lysozyme in TE buffer solution. DNA was

183 extracted using the AllPrep RNA/DNA Mini Kit (Qiagen) following the manufacturer's  
184 protocol, except that DNA was eluted twice with 40  $\mu$ l TE buffer and incubated for five  
185 minutes before centrifuging. DNA was stored in small aliquots to avoid freeze/thaw  
186 cycles. DNA concentrations were determined using the Quanti-iT PicoGreen dsDNA  
187 reagent (Molecular Probes, Life Sciences). The abundances of eight *nifH* phylotypes  
188 were determined by qPCR using the specific TaqMan probes and primers for Het 1  
189 (*Rhizosolenia-Richelia* symbionts; Church et al. 2005) and Het 2 (*Hemiaulus-Richelia*  
190 symbiont; Foster et al. 2007), *Trichodesmium*, UCYN A (*Candidatus*  
191 *Atelocyanobacterium thalassa*), UCYN B (*Crocospaera*), UCYN C (*Cyanothece*),  
192 Gamma A (*gamma-proteobacteriaA*) and Cluster III (Langlois et al. 2008). Universal  
193 TaqMan mastermix and concentrations of primers, probes and BSA were as in Langlois  
194 et al. (2008) in a reaction volume of 25  $\mu$ l, which included either 5  $\mu$ l of plasmid  
195 standard, DNA sample or PCR water as template. Plasmid standards, samples and no-  
196 template controls were run in duplicate on the Roche LightCycler 480 using clear 384-  
197 well plates. Samples were amplified using the following program: 95  $^{\circ}$ C for 10 min, 45  
198 cycles of [95  $^{\circ}$ C for 15 sec, 60  $^{\circ}$ C for 1 min]. Data was collected at 60  $^{\circ}$ C. A ramp of  
199 1.6  $^{\circ}$ C  $\text{sec}^{-1}$  was used at each step. Amplification curves were analyzed using LinReg  
200 (version 2013.0) (Ramakers et al. 2003). All qPCR reactions amplified with efficiencies  
201 greater than 97%. Average primer efficiencies (Langlois et al. 2012) were 97% for  
202 *Rhizosolenia-Richelia* symbiont, *Hemiaulus-Richelia* symbiont and UCYN A, 91% for  
203 *Trichodesmium*, UCYN B and UCYN C, 92% for Gamma A and 95% for Cluster III. As it  
204 is not yet known how the *nifH* copy numbers relate to diazotroph biomass or cell  
205 density, the qPCR results are reported throughout the manuscript as *nifH* copies  $\text{mL}^{-1}$

206 and represent the number of *nifH* copies detected in environmental DNA samples in a  
207 known volume of seawater. All phylotypes except Cluster III were detected. Hence  
208 Cluster III is not included in the analysis.

209

## 210 **2.3 PRIMER-E analysis**

### 211 *2.3.1 Preparing the matrices*

212 The *nifH* gene abundances of the seven detected diazotrophic phylotypes  
213 (*Rhizosolenia-Richelía* symbiont, *Hemiaulus-Richelía* symbiont, *Trichodesmium*,  
214 UCYN A, UCYN B, UCYN C and Gamma A) and their corresponding environmental  
215 variables were analyzed in all 152 samples or a subset of the surface mixed layer  
216 samples (SML) only (52 samples) using the PRIMER-E software V.6 (Clarke and  
217 Gorley, 2006). Environmental metadata was obtained from BCO-DMO (Biological and  
218 Chemical Oceanography Data Management Office; links to all data sets are listed in  
219 Supplemental Table 2). The dataset was first divided into a community data matrix  
220 (containing gene abundances of the *nifH* phylotypes) and a corresponding matrix of  
221 environmental measurements (including dissolved metal concentrations, nutrients,  
222 organic material and physical parameters).

223 Missing values in one or more environmental variables resulted in the deletion of the  
224 entire sample from the data base and hence, such samples were not included in the  
225 subsequent statistical analysis. A correlation matrix (draftsmans plot) was generated for  
226 each pair of environmental variables. Only one variable was retained from pairs with a  
227 correlation > 0.9. This resulted in a final dataset comprised of 64 samples divided into a

228 subset of samples collected within the SML (37 samples) and a subset of samples  
229 originating from below the SML.

230 The environmental variables used for the principal component analysis were expressed  
231 on broadly different scales precluding a direct comparison without biasing of the results.  
232 In order to derive meaningful distances between samples using Euclidian distances, we  
233 first square-root transformed or log transformed the variables that covered several  
234 orders of magnitude to bring them within a common numerical range. This generated  
235 values all ranging within 4 orders of magnitude, allowing variables to be compared  
236 without biases. Each variable of the environmental matrix was then normalized by  
237 subtracting their mean and dividing by their standard deviation prior to further analysis.  
238 The *nifH* phylotypes abundance data was log-transformed and compared using Bray-  
239 Curtis Similarities, a similarity (or distance) measure that ignores joint absences of  
240 variables between samples.

241

### 242 *2.3.2 Multivariate analysis pipeline*

243 For all phylotype matrices a BEST (Bio-Env + Stepwise) test was carried out. This test  
244 determines which environmental variables best explain the microbial community  
245 composition. The comparison was carried out between the transformed environmental  
246 matrix and the Bray-Curtis similarities of the phylotype data set. A combination of  
247 variables which showed the maximum correlation with the phylotype distribution was  
248 identified for further analysis (Supplemental Table 1), using LINKTREE (linkage tree), a  
249 program that describes the best way to split the samples into groups based on a

250 threshold value for each environmental variable (for example group1 > 0.4  $\mu\text{M PO}_4^{3-}$  >  
251 group 2).

252 Principle Component Analysis ( PCA ) was performed with the environmental matrix of  
253 the SML samples. The first three components of the PCA captured 85.2% (PC1 =  
254 23.4%, PC2 = 51.3%, PC3 = 10.5%) of the variance.

255 Based on the clustering obtained in the PCA plots and on the results of the  
256 BEST/LINKTREE test and utilizing information about known drivers of diversity, the  
257 samples were categorized into groups. These categories included geographical location  
258 (east or west of 45°W, north or south of 30°N), nutrient concentration (high, low), trace  
259 metal concentrations in the water column and in aerosols (high, low), dust origin  
260 (European, North African, Saharan, Marine, North American) and rain (present, absent).  
261 For variables with continuous data, high and low concentrations were defined by a  
262 threshold derived from the published peer-reviewed literature. If no definition could be  
263 found in the literature, the variables were categorized based on an evaluation of the  
264 present dataset (LINKTREE analysis) and literature values (Table 1).

265 An ANOSIM (analysis of similarity) test was utilized to compare the diazotrophic  
266 communities within these predefined groups and to determine whether the distribution  
267 of the *nifH* phylotypes were significantly different between the predefined groups for  
268 each environmental variable (Table 1). The Bray-Curtis similarities of the log-  
269 transformed diazotroph community data (based on *nifH* counts of the various phylotypes  
270 at each site) was used for the ANOSIM.

271 For each categorization that showed a positive ANOSIM test ( $p < 0.05$ ), the  
272 discriminating phylotypes in the groups of this categorization (e.g. high and low aerosol

273 load) were identified using the SIMPER (Similarity Percentages) routine (Table 2; the  
274 three most contributing phylotypes are highlighted in bold and italics)

275

## 276 **3.0 Results**

### 277 **3.1 Distribution of seven diazotrophic phylotypes during the US Atlantic**

#### 278 **GEOTRACES cruises**

279 Although *nifH* phylotypes were detected throughout the water column, they were most  
280 abundant in the surface mixed layer (SML; Figure 2). Along the east-west transect, the  
281 sum of all *nifH* phylotypes (*nifH* copies mL<sup>-1</sup>) in the SML was highest close to the CVOO  
282 (Cape Verde Ocean Observatory) on the eastern side of the transect (> 100 *nifH* copies  
283 mL<sup>-1</sup>), dropping off around the MAR and rising again on the western side of the basin to  
284 > 100 *nifH* copies mL<sup>-1</sup> (Figure 3a). The Shannon diversity index (a measure of  
285 abundance and evenness of species present) showed a similar trend, with high diversity  
286 of *nifH* phylotypes in the eastern basin and lower diversity observed in the center of the  
287 gyre (Figure 3b). Although the diversity and abundance of *nifH* phylotypes varied across  
288 the Atlantic basin, temperature and N\* ( $N^* = 0.87 (N - 16P + 2.9 \mu M)$ ; Gruber and  
289 Sarmiento 1997) remained relatively constant within the gyre, at 25.2 °C and 0,  
290 dropping at the continental shelf edge to 19 °C and -0.8, respectively (Figure 3 c and d).  
291 Major nutrient concentrations (N, P, Si) concentrations were low in the SML and  
292 relatively constant throughout the transect; except for a tendency towards depletion of  
293 nitrate relative to phosphate in the western Atlantic and at CVOO. Phosphate  
294 concentrations were low across the gyre, averaging 7.5 nM. However, phosphate

295 concentrations rose to 16 – 74 nM near the American coast and to 21 nM east of CVOO  
296 (Figure 3e).

297 Figure 4 shows the *nifH* gene copy numbers (copies mL<sup>-1</sup>) for the seven detected *nifH*  
298 phylotypes. The most commonly detected phylotypes were *Trichodesmium* and  
299 UCYN A, reaching maximum abundances of 391 and 105 *nifH* copies mL<sup>-1</sup> at individual  
300 stations, respectively (Figure 4 a and b). *Trichodesmium* was the most frequently  
301 detected phylotype throughout the transect with abundances greater than 150 *nifH*  
302 copies mL<sup>-1</sup> detected at six stations (Figure 1) and contributed the most to the overall  
303 abundance of the sum of the *nifH* genes (Figure 3a, Figure 4a). *Rhizosolenia-Richel*  
304 *ia* symbiont and UCYN C were the least abundant phylotypes with maximum abundances  
305 of 4 and 6 copies mL<sup>-1</sup>, respectively (Figure 4d). Although far less abundant than  
306 *Trichodesmium*, *Rhizosolenia-Richel* *ia* symbiont and Gamma A phylotype distributions  
307 paralleled the variation in the *Trichodesmium* phylotype distribution (Figure 4c, d). The  
308 unicellular cyanobacterial phylotypes UCYN A, B, and C were most abundant on the  
309 eastern side of the transect in the region between 30°W – 25°W, directly west of CVOO  
310 (Figure 4 c-d and supplemental figure 2f-h), reaching significant *nifH* abundances below  
311 the SML also (SMLD 62-85 m). In contrast, the *Hemiaulus- Richelia* symbiont phylotype  
312 was mainly found in colder waters (19 – 25 °C) near the American coast (Figure 4c).

313 We investigated the correlation between mineral aerosol concentrations (and therefore  
314 implied deposition) and *nifH* abundance in surface waters (Figure 4e). The high aerosol  
315 concentrations of Al and Fe observed on the eastern side of the transect (both elements  
316 exceeding 1000 ng m<sup>-3</sup> at most sample points with maxima of 7620 and 5760 ng m<sup>-3</sup>  
317 respectively at CVOO) coincided with the highest abundance of *nifH* copies mL<sup>-1</sup>



318 (Figures 3a and 4e). Even though it has been shown that the North African dust  
319 samples have low fractional Fe solubility compared to aerosols originating from North  
320 America, the very high amount of North African dust that is transported to the eastern  
321 North Atlantic implies that the flux of soluble aerosol Fe would be higher at CVOO  
322 (Shelley et al. 2014). A rapid decrease in aerosol Al and Fe loadings coincided with the  
323 decrease in diversity and *nifH* abundance at 40°W.

### 324 **3.2 Multivariate Statistical Analysis**

325 The BEST test applied to the entire dataset provided an initial identification of the  
326 environmental variables most relevant in determining the observed diazotrophic  
327 community composition. In total 26 environmental variables were tested including  
328 dissolved inorganic nutrients, and hydrographic parameters (Supplemental Table 2).  
329 The results of this analysis demonstrated the importance of the SML as a determining  
330 factor for the community composition ( $p = 0.01$ ; Supplemental Table 1). We therefore  
331 carried out the BEST analysis on two subsets composed of samples present above and  
332 below the SML referred to as SML or deep samples, respectively. The SML subset was  
333 significantly correlated with environmental variables ( $p = 0.01$ ; Supplemental Table 1).  
334 In contrast the deep sample subset showed no significant correlation, likely due to the  
335 very low *nifH* phylotype abundances measured in most deep samples ( $p = 0.31$ ; Figure  
336 2), and was not analyzed further.

337 For the SML temperature, phosphate and other environmental variables, identified from  
338 the BEST analysis, were used in a PCA (Figure 5). None of the available dissolved  
339 trace metal data that were measured during the cruise showed significant influence on

340 the distribution of the *nifH* phylotypes. This included the biologically-relevant cofactors  
341 cobalt, vanadium, copper and zinc.

342 The PCA conducted with the environmental variables identified with BEST resulted in  
343 three significantly different (ANOSIM,  $p < 0.01$ ) clusters of samples. The most important  
344 determining factors were east-west segregation, mineral dust concentration and nutrient  
345 upwelling of P and Fe.

346 The two largest clusters were composed of samples collected east and west of 40°W  
347 both characterized by high water temperatures and low macronutrient concentrations  
348 (Figure 5 a). The eastern cluster was dominated by high aerosol Fe concentrations of  
349 African origin. The large western cluster was dominated by aerosols originating from  
350 marine and North American sources containing lower Fe concentrations (Figure 5 b and  
351 c).

352 Two overlapping western clusters composed of samples collected near the North  
353 American coast, in waters with high nutrients and low temperature were not significantly  
354 different from each other, as determined by hierarchical clustering analysis (results not  
355 shown). The samples from the North American coast were subjected to low aeolian Fe  
356 originating from North America rather than North Africa. The original PCA plot was  
357 overlaid with *nifH* phylotype abundances (supplemental figure 1). Some of the  
358 phylotypes were distributed evenly across the clusters (*Trichodesmium* and  
359 *Rhizosolenia-Richelina* symbionts), whereas the high abundances of UCYN A, UCYN B,  
360 UCYN C and Gamma A *nifH* copies coincided with the North African high aerosol  
361 cluster in the east of the basin; *Hemiaulus-Richelina nifH* copies were associated with the

362 higher macro-nutrient and lower temperature conditions near the North American coast  
363 (Supplemental Figure 1).

364 To further analyze whether these differential patterns of phylotype distribution had a  
365 statistical significance, we performed ANOSIM tests on the community matrix (i.e. the  
366 *nifH* phylotype abundances). The samples were divided into groups or categories as  
367 explained in the methods. Categorizations which showed a positive ANOSIM test  
368 ( $p < 0.05$ ) were temperature longitude and latitude,  $\text{PO}_4^{3-}$  (which correlated with  $\text{NO}_3^-$   
369 and  $\text{SiO}_2$ ), aerosol loadings and aerosol origin (Table 1). Dissolved Al contributed to  
370 clustering in the PCA, but was not significant in the ANOSIM. Dissolved Fe  
371 concentrations were not significant even though Fe has been previously identified as a  
372 driving factor for diazotrophic distribution (Table 1).

373 The phylotypes which contributed to significant differences between the groups were  
374 identified with the SIMPER routine and are listed in Table 2. *Trichodesmium* and  
375 Gamma A, which were both distributed throughout the transect, were not generally  
376 discriminating taxa, except in the case of the aerosol origin categories where higher  
377 *Trichodesmium* abundances were found in association with conditions where the air-  
378 mass back trajectories indicated that the aerosols did not have an obvious continental  
379 source (Shelley et al. 2014).

## 380 **4.0 Discussion**

### 381 **4.1 The diazotroph community structure along an East-West transect in the North** 382 **Atlantic Ocean**

383 It is now well established that the marine diazotrophic community is much more diverse  
384 than previously thought (Zehr et al. 1998). However, data on the large-scale distribution  
385 and structure of the diazotrophic communities across oceanic basins are sparse, as  
386 easily seen from the compilation of the available observations of diazotrophs and their  
387 distribution on global maps of the world's oceans (Luo et al. 2012). Vast regions of the  
388 oceans remain undersampled spatially and temporally with respect to diazotrophic  
389 phylotypes and abundances. In particular, the lack of observations is most noticeable in  
390 colder waters outside of the tropical oceans (Luo et al. 2012), probably because until  
391 recently marine BNF has been mainly investigated in warm waters where large blooms  
392 of *Trichodesmium* are easily noticed (Capone et al. 1997). In more recent years, *nifH*-  
393 based phylogenetic studies have firmly established the widespread distribution of  
394 diazotrophic microorganisms other than *Trichodesmium*, extending the distribution  
395 range of diazotrophs to the global oceans (Farnelid et al. 2011) and in particular to more  
396 temperate oceanic regions (Needoba et al. 2007, Blais et al. 2012).

397 Our study has focused on the detection of seven *nifH* phylotypes that have been  
398 previously identified as dominant in the SML (surface mixed layer) of the North Atlantic.  
399 The east-west transect we present here spans from 17°N to 40°N, latitudes that are  
400 under sampled with respect to diazotrophs. Previous east-west transects crossed the  
401 Atlantic ocean at latitudes of 10°N and 0 – 20°N, omitting the North Atlantic gyre  
402 (Langlois et al. 2008, Goebel et al. 2010). Building on the work of Langlois et al. (2008)

403 and Goebel et al. (2010) who presented results on some, but not all, of the same  
404 phylotypes discussed in our study, general similarities can be drawn between  
405 distribution patterns observed for these three transects. All show differential distributions  
406 of diazotrophs with UCYN A predominantly detected east of 40°W and *Hemiaulus-*  
407 *Richelia* symbiont mainly in the western Atlantic. Similarly to Langlois et al. (2008), the  
408 UCYN B and UCYN C phylotypes were also detected at higher abundances east of  
409 40°W and the distributions of Gamma A and *Trichodesmium* were weakly correlated ( $R^2$   
410 = 0.39, Figure 4a,c). Further south, *Trichodesmium* can also be abundant in the western  
411 Atlantic at the boundary between oligotrophic waters and Amazon River outflow  
412 (Subramanian et al. 2008). Although not found in our study, Langlois et al. (2008)  
413 detected a Cluster III *nifH* phylotypes in the North Atlantic at higher latitudes than those  
414 sampled in our study.

415

## 416 **4.2. Analysis of the community structure using the multivariate statistics**

### 417 *4.2.1 East-West segregation*

418 Our statistical analyses confirmed the observation that the diazotrophic phylotypes  
419 detected in our study inhabit primarily the SML (Figure 2) and hence we carried out the  
420 statistical analysis on the SML samples only. The geographically segregated east and  
421 west diazotrophic communities (confirmed by ANOSIM; Table 1), were dominated by  
422 different phylotypes. The SIMPER analysis indicated that the *nifH* copys mL<sup>-1</sup> of  
423 significant phylotypes contributing to the different community structure were unicellular  
424 cyanobacteria (UCYN A and UCYN B) and slightly higher abundances of  
425 *Trichodesmium* and Gamma A phylotypes east of 40°W, while the *Hemiaulus-Richelia*

426 symbiont was the discriminant phylotype west of 40°W (Table 2). The diatom  
427 *Hemiaulus-Richelina* symbiont dominance, near the North American as estimated from  
428 the *nifH* copies mL<sup>-1</sup> coast was associated with much lower water temperature but  
429 higher nutrient concentrations, indicating a preference for these environmental  
430 conditions. However, the *Hemiaulus-Richelina* association has often been detected in the  
431 warmer waters north of Brazil and in the Caribbean (Carpenter et al. 1999, Foster et al.  
432 2007, Subramanian et al. 2008, Goebel et al. 2010). The geographical distribution of the  
433 *Hemiaulus-Richelina* along the American Eastern seaboard could also result from the  
434 transport of the diatom and its symbiont from the tropical Atlantic by eddy formation and  
435 spin-off from the Gulf Stream (Lee, Yoder and Atkinson 1991).

436 An exception to the eastern weighted distribution pattern of *Trichodesmium* was  
437 observed at BATS, corresponding with the recent passage of tropical storm Sean on  
438 Nov 11<sup>th</sup>, 2011 (Figure 1) at that station (Nov 19<sup>th</sup> 2011) which caused high winds and  
439 rainfall (gusts of 91 kph and 0.05 – 0.12” rain at BATS) followed by days with wind  
440 speeds of 10 – 30 kph; the resultant water column mixing and higher availability of  
441 nutrients may have contributed to higher *Trichodesmium nifH* gene copy numbers at  
442 that location.

443

#### 444 4.2.2 Influence of aerosol concentrations on diazotroph distribution

445 High and low aerosol Fe concentration and aerosol origin superimposed onto the SML  
446 PCA plot (Figure 5) provided information on the preference of high aerosol supply for  
447 specific *nifH* phylotypes present in different clusters. We assume here that  
448 measurements of high aerosol load equate to proportionally higher aerosol flux to

449 surface waters. Eastern samples received high aerosol loads of North African/Saharan  
450 origin whereas western samples received low aerosol loading of either North American  
451 or Marine origin. As confirmed by ANOSIM (Table 1) and SIMPER (Table 2), and with  
452 the exception of *Hemiaulus-Richelina*, aerosol loading significantly correlated with  
453 diazotroph distribution in the SML with all *nifH* phylotypes being significantly associated  
454 with high aerosol loading. In addition UCYN A, UCYN B, UCYN C, *Rhizosolenia*-  
455 *Richelia* and *Trichodesmium* were significantly associated with a North African/Saharan  
456 dust origin (Figure 5 and Supplemental Figure 1). Episodic dust storms deposit 10 – 50  
457 g of dust m<sup>-2</sup> to the eastern North Atlantic annually (Lawrence and Neff 2009) and  
458 hence supply this area with a variety of nutrients. Monthly averaged remote sensing  
459 data from MODIS over the time period of the research cruise (supplemental figure 3a  
460 and b) show high optical depth at 550 nm at the same stations that were recorded to  
461 have high aerosol load by Shelley et al. (2014). Optical depth decreased to < 0.1 at  
462 32°W, coinciding with the observed drop in diazotrophic diversity and abundance (figure  
463 3b). Precipitation data from TRMM (Tropical Rainfall Measuring Mission;  
464 <http://trmm.gsfc.nasa.gov/>) showed that the ocean west of 40°W received high  
465 precipitation, again creating different environmental conditions in the North Atlantic east  
466 and west of 40°W during our study. The dust deposited on the ocean surface is a  
467 composite of many trace elements (Jickells 1999, Goudie and Middleton 2001, Viana et  
468 al. 2002, Baker et al. 2006, Buck et al., 2010; Shelley et al. 2014), macronutrients  
469 (Donaghay et al. 1991, Guerzoni et al. 1999, Duarte et al. 2006, Duce et al. 2008) and  
470 organic material (Mahowald et al. 2008; Wozniak et al., 2013). A combination of high  
471 dust deposition, high temperatures and nitrate-limited surface waters near CVOO

472 (Figure 3d), provided conditions favorable for diazotroph growth in the SML. These  
473 results support previous findings (Mills et al. 2004, Moore et al. 2009, Rubin et al. 2011,  
474 Langlois et al. 2012). However, our multivariate analyses including the suite of  
475 measurements from the GEOTRACES data base, provides further statistical evidence  
476 that the high abundance and diversity of diazotrophs in the eastern subtropical North  
477 Atlantic is linked to areas where surface waters receive high mineral dust deposition.

478

#### 479 **4.2 Presence of diazotroph *nifH* phylotypes below the Surface Mixed Layer**

480 High *nifH* phylotypes abundance below the SML occurred primarily at the water mass  
481 boundaries between the sub-tropical gyre and oxygen depleted, high nutrient waters  
482 from the northwest African upwelling (Supplemental Figure 2), which occurs mid-spring  
483 till mid-autumn at 15°N (Marcello et al. 2009) This oxygen minimum zone reaches as far  
484 west as the Cape Verde Islands (minimum 40  $\mu\text{M}$  at 400 m depth; Stramma et al. 2008),  
485 and supplies intermediate waters with macro- and micro-nutrients (Rijkenberg et al.  
486 2012, Fitzsimmons et al. 2013). The high dissolved Fe concentrations ( $> 1.5 \text{ nM}$ ) and  
487 slight excess of  $\text{PO}_4$  (shown as  $\text{N}^*$ ; Figure 3d and Supplemental Figure 2i and j; Zimmer  
488 and Cutter 2012; Wurl et al. 2013;) that were detected below the SML on the eastern  
489 side of the transect provide optimal growth conditions for diazotrophs found at the  
490 boundary of the gradient between the water masses. This indicates again that  
491 diazotrophs thrive in waters that are enriched in dissolved phosphate relative to fixed  
492 nitrogen. In our study, nutrients favoring diazotrophic growth were supplied to the SML  
493 either from above, through atmospheric input or from below the SML through upwelling  
494 of nutrient-rich waters with a  $\text{N}^*$  ratio indicating an excess of  $\text{PO}_4$  over fixed N.



495

### 496 **4.3 Trace metals in the water column**

497 Within the SML, correlation of *nifH* abundances with dissolved trace metals (e.g. Al, Mn,  
498 Ga, Ba, Pb) appeared to be related more to ocean circulation than to biological  
499 requirements. Some trace metal concentrations (e.g. Al, Ga, As) were higher in the  
500 Sargasso Sea than in the eastern basin close to the Saharan dust source, likely due to  
501 longer residence times in the oligotrophic gyre. Low nutrient concentrations in the  
502 Sargasso Sea result in lower productivity and hence leads to reduced scavenging and  
503 uptake rates (Dammshäuser et al. 2011). Dissolved Fe concentrations were patchy and  
504 generally high (in the SML 28 out of 37 stations had concentrations above 0.2 nM;  
505 Supplemental Figure 2j), which may have contributed to the finding that dissolved Fe  
506 did not significantly influence diazotrophic distribution. In contrast aerosol Al and Fe  
507 showed significant positive correlations with high diazotrophic abundances in the SML.  
508 Dissolved Al has historically been used as a tracer for dust deposition because it is a  
509 major component of mineral dust and was thought to be biologically inactive (Grand et  
510 al, 2014). However, recent studies suggests that variable dissolution of Al from wet and  
511 dry dust deposition as well as increased scavenging of Al in more productive ocean  
512 regions (Dammshäuser et al. 2011) will affect the usefulness of Al as a tracer for  
513 atmospheric Fe sources. Thus, dissolved Al concentrations in surface waters may only  
514 be an accurate representation of dust deposition under specific conditions. . Thus,  
515 dissolved Al concentrations in surface waters may only be an accurate representation of  
516 dust deposition under specific conditions. In our study, where both dissolved and  
517 atmospheric data were available, Fe and Al concentrations in aerosols were a better

518 predictor of *nifH* phylotype abundances than dissolved Al concentrations in surface  
519 waters.

520

## 521 **5.0 Conclusions**

522 Basin wide *nifH* phylotype measurements from samples collected during US  
523 GEOTRACES cruises were used as a proxy to assess the large scale distribution  
524 patterns of several abundant marine diazotrophs found in the Atlantic Ocean. The west  
525 east transect spanned the North Atlantic from 10°W to 70°W and 20°N to 40°N, from the  
526 surface down to 800 m. The distribution patterns of the *nifH* phylotypes showed that the  
527 communities on the eastern and western side of the Atlantic were significantly different.  
528 The western Atlantic diazotrophic community was characterized by the presence of  
529 *Hemiaulus-Richelina* association. In contrast, the eastern Atlantic diazotrophic  
530 community was dominated by the unicellular cyanobacteria groups (UCYN A, B and C),  
531 *Trichodesmium* and Gamma A. The eastern Atlantic community was associated  
532 with temperatures >22 °C in regions of high North African dust deposition, confirming  
533 other studies that have previously suggested the importance of aeolian dust deposition  
534 to the tropical eastern Atlantic ecosystem. Diazotroph abundance below the SML were  
535 associated with water masses with higher concentrations of remineralized nutrients,  
536 slightly enriched in PO<sub>4</sub> from either the OMZ near the African Coast or the Gulf Stream  
537 on the western side of the Atlantic. Associations with other biologically relevant trace  
538 metals could not be conclusively demonstrated and dissolved Al concentrations could  
539 not be shown to predict the occurrence of *nifH* phylotypes.

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760 path liquid waveguide capillary cells (LWCC) and spectrometric detection.  
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763

764 **Tables**

765

766 Table 1: Statistical comparison (ANOSIM) of SML *nifH* phylotype abundances with  
 767 environmental variables.

	Threshold	R statistic <sup>1)</sup>	Significance level %
<b>Physical parameters</b>			
Temperature <sup>2)</sup>	22 °C	0.256	<b>0.5</b>
East – West	40°W	0.669	<b>0.1</b>
rain	presence	0.023	38.4
<b>Nutrients (all low)</b>			
N:16P ratio	1	0.723	5.9
<b>Trace metals</b>			
Dissolved Al <sup>3)</sup>	20 nM	0.053	27
Dissolved Fe <sup>4)</sup>	0.2 nM	-0.034	62.9
Dissolved Co	50 pM	-0.009	49.1
Dissolved Mn <sup>5)</sup>	2 nM	0.008	43.4
<b>Dust</b>			
Al aerosol <sup>6)</sup> (air sample)	50 ng m <sup>-3</sup>	0.582	<b>0.1</b>
Fe aerosol <sup>7)</sup> (air sample)	50 ng m <sup>-3</sup>	0.548	<b>0.1</b>
Aerosol origin		0.639	<b>0.1</b>

768 1) An R value with a significance level lower than 5% indicates that groupings are  
 769 significantly different from each other. R increases with significance. Significant variables  
 770 are highlighted in bold.

771 2) Breitbarth et al. (2007)

772 3) Dammshäuser et al. (2011)

773 4) Moore et al. (2009)

774 5) Shiller (1997)

775 6) Buck et al. (2010)

776 7) Buck et al. (2010)

777

778 Table 2: Average log abundances of discriminatory SML *nifH* phylotypes that contributed to the  
 779 overall dissimilarity between sample groupings (Dissimilarity/Standard Deviation >1) determined  
 780 using SIMPER.

Sample groupings	Het 1 <sup>1)</sup>	Het 2 <sup>2)</sup>	<i>Trichodesmium</i>	UCYN A	UCYN B	UCYN C	Gamma A
East of 40°W <sup>3)</sup>	<b>2.27<sup>4)</sup></b>	1.33	4.22	<b>3.29</b>	<b>2.86</b>	1.76	3.73
West of 40°W	<b>0.90</b>	2.51	3.57	<b>0.32</b>	<b>0.39</b>	0.08	2.18
Marine aerosol N. American aerosol	<b>0.96</b> <b>1.05</b>	<b>1.76</b> <b>3.31</b>	<b>3.64</b> <b>3.30</b>	<sup>5)</sup>			
Marine aerosol N. African aerosol	0.96 1.18		<b>3.64</b> <b>2.89</b>	<b>0.34</b> <b>2.45</b>	<b>0.75</b> <b>1.76</b>		2.11 3.02
N. American aerosol N. African aerosol	1.05 1.18	<b>3.31</b> <b>1.39</b>	3.30 2.89	<b>0.74</b> <b>2.54</b>	<b>0.25</b> <b>1.75</b>	0.00 1.21	
high Fe aerosol <sup>6)</sup> low Fe aerosol <sup>7)</sup>	2.47 1.04	1.48 2.35	4.50 3.56	<b>3.62</b> <b>0.49</b>	<b>2.99</b> <b>0.56</b>	<b>2.03</b> <b>0.00</b>	3.75 2.35
High Temperature <sup>8)</sup> Low Temperature <sup>9)</sup>	1.52 1.03	<b>1.79</b> <b>3.60</b>	<b>3.91</b> <b>3.35</b>	<b>1.59</b> <b>1.09</b>	1.61 0.00		2.87 2.36

781 1) *Rhizosolenia-Richelía* symbiont

782 2) *Hemiaulus-Richelía* symbiont

783 3) Compared abundances are separated by dashed line.

784 4) The three major contributors to differences are printed in bold+italic

785 5) Not contributing phylotypes and phylotypes with a Dissimilarity/Standard Deviation below  
 786 1 are not displayed

787 6) Above 50 ng m<sup>-3</sup>

788 7) Below 50 ng m<sup>-3</sup>

789 8) Above 22 °C

790 9) Below 22 °C

791

792 **Figure Captions**

793

794 Figure 1: Cruise tracks and stations of USGT10 (triangles) and USGT11 (circles) in  
795 2010 and 2011. Labelled are the time series stations BATS and CVOO as well as the  
796 mid-Atlantic ridge (MAR). Stations with very high *Trichodesmium nifH* abundance are  
797 indicated with open circles. The track of hurricane Sean (at BATS on 11 Nov. 2011) is  
798 overlaid in open squares.

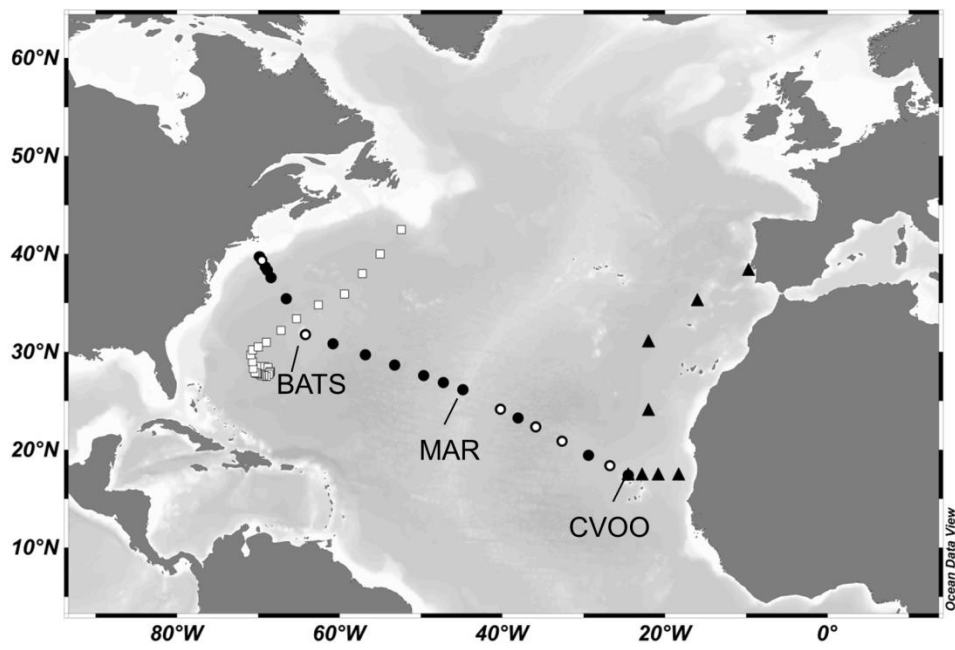
799 Figure 2: Abundances of total *nifH* copies mL<sup>-1</sup> measured in all samples in relation to  
800 the difference between SML depth and sample depth.

801 Figure 3: a) Sum of *nifH* copy numbers, b) the Shannon diversity index, c) temperature,  
802 d) N\* and e) phosphate in the SML during cruises USGT10 (gray shaded) and USGT11  
803 (no shading). Stations BATS, MAR and CVOO are indicated by open diamonds. If more  
804 than one sample was taken from the SML, averages are shown.

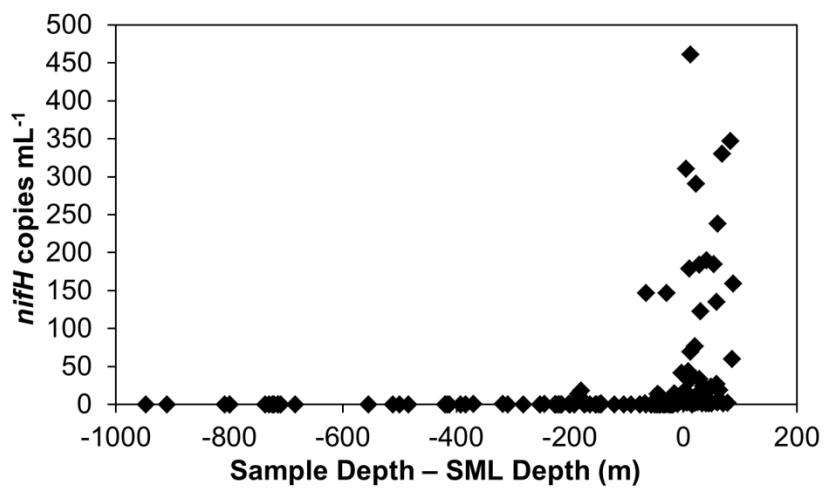
805 Figure 4: Average *nifH* copy (mL<sup>-1</sup>) numbers of a) *Trichodesmium*, b) UCYN A, c)  
806 Gamma A (black diamonds) UCYN B (grey triangles) *Hemiaulus-Richelía* (open  
807 squares), and d) UCYN C (open triangles) *Rhizosolenia-Richelía* (black circles) in the  
808 SML and e) aluminium (black triangles) and iron (black crosses) concentrations from  
809 aerosol samples (ng m<sup>-3</sup>) from USGT10 (gray shading) and USGT11 (no shading).  
810 Stations BATS, MAR and CVOO are indicated by white symbols.

811 Figure 5: Principal Components Analysis (PCA) of SML samples from USGT10 and  
812 USGT11 showing variables that contributed to significant clustering of samples.  
813 Significant clusters are traced with a line representing a Euclidean-distance of 3  
814 obtained from a hierarchical cluster analysis of the samples. a) Samples west of 40°W  
815 are indicated with open squares and eastern samples with black circles, b) high aerosol  
816 iron concentrations (above 50 ng m<sup>-3</sup>) plotted as open squares, low iron aerosol  
817 concentrations (below 50 ng m<sup>-3</sup>) plotted as black circles (Shelley et al. 2014), c)  
818 aerosol origin as back trajectories over the past 5 days (Shelley et al. 2014): Marine  
819 (black circles), North African (black diamonds), North American (open squares)

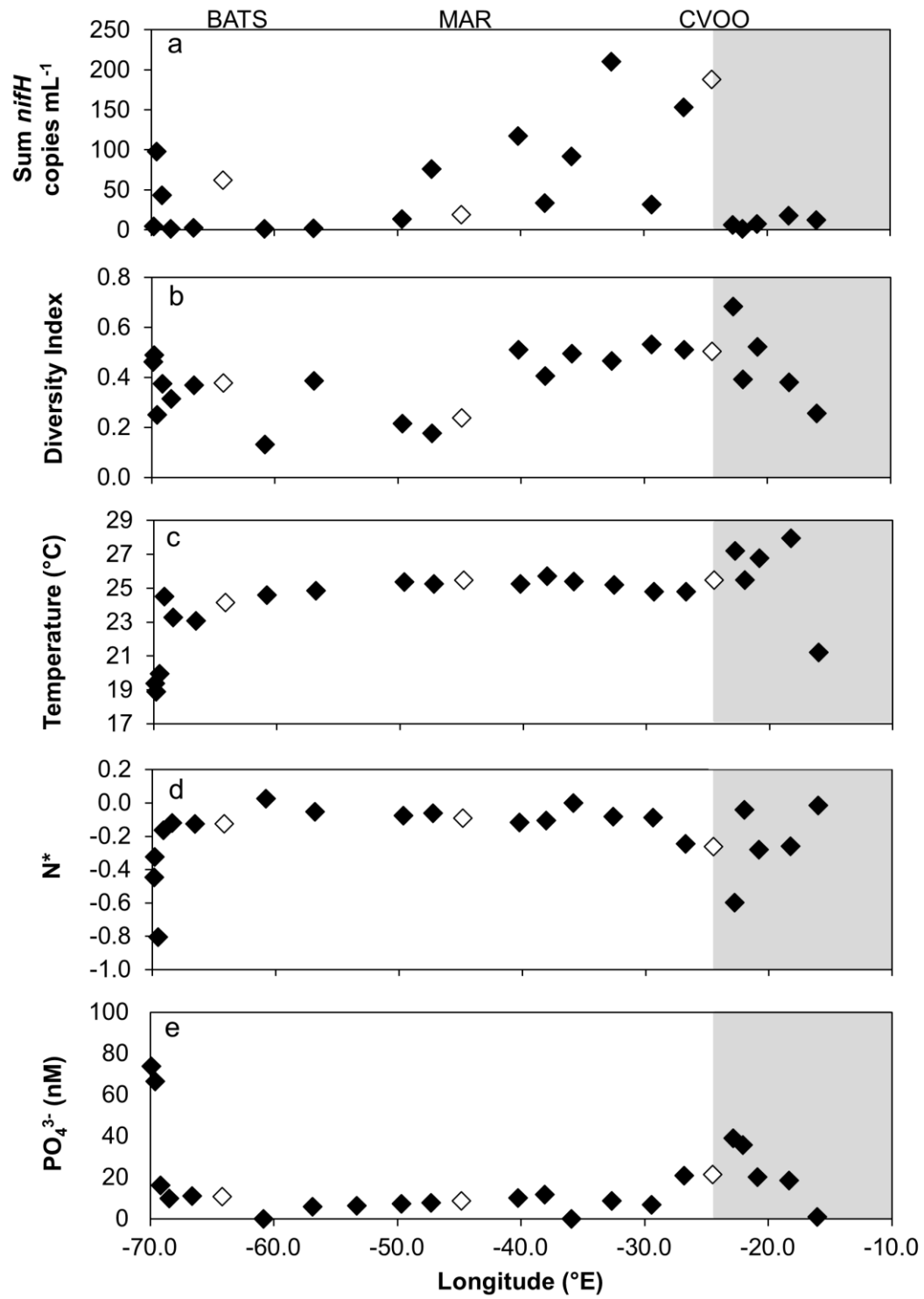
820



82: Figure 1



82: Figure 2



825 Figure 3  
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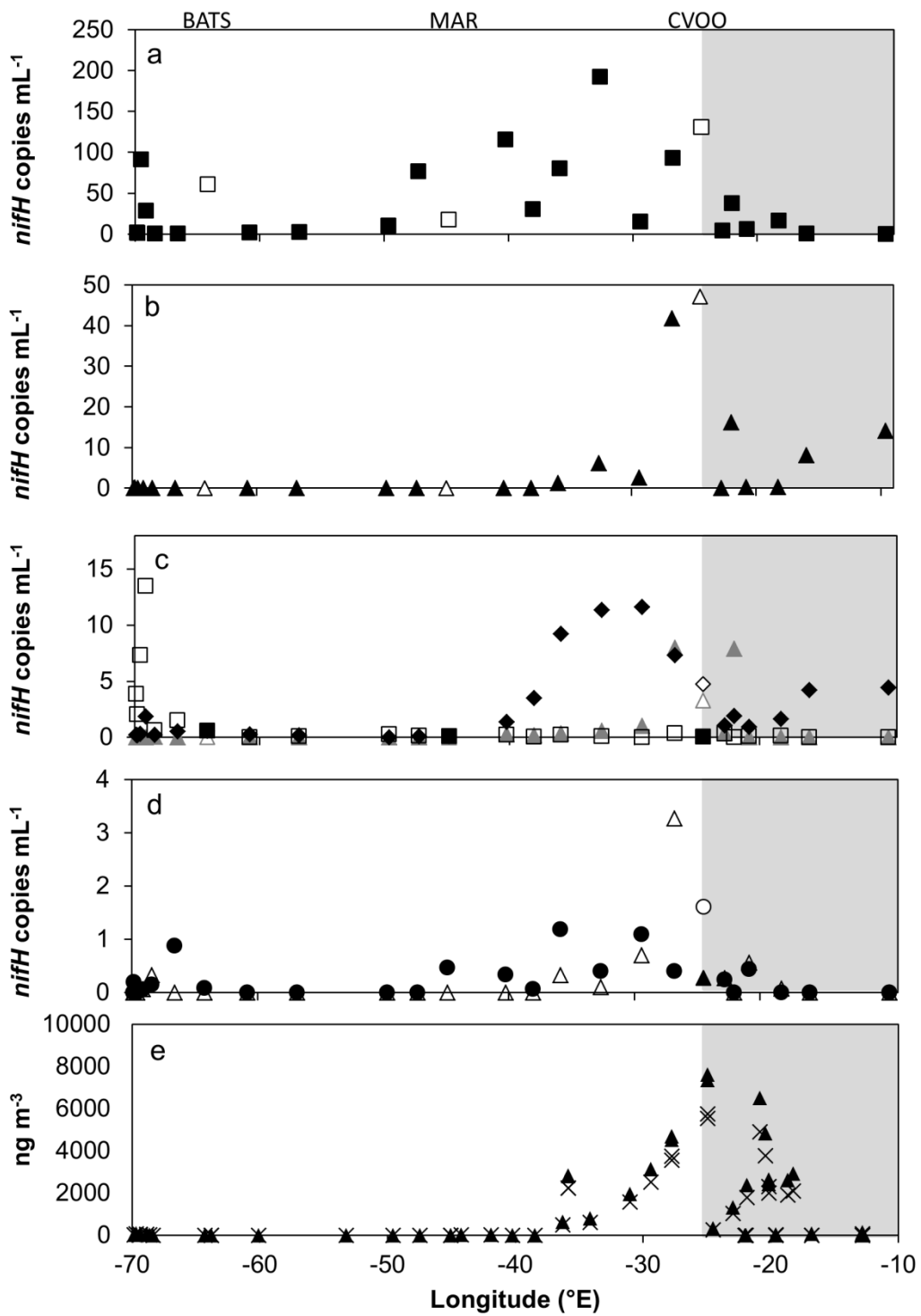
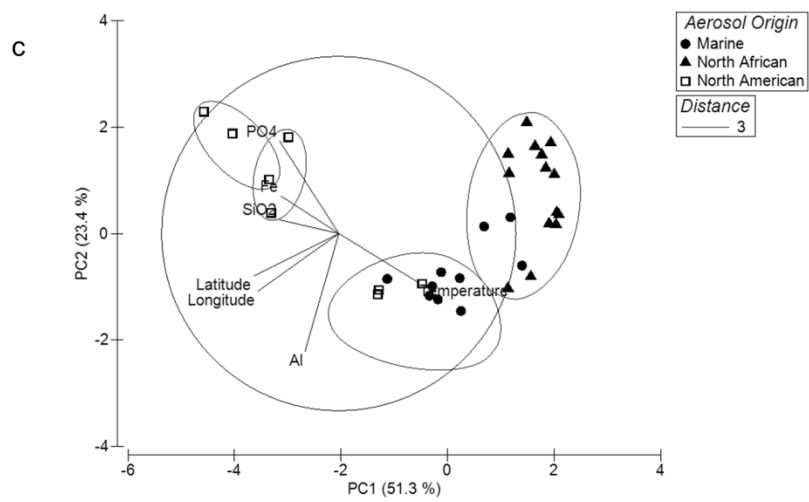
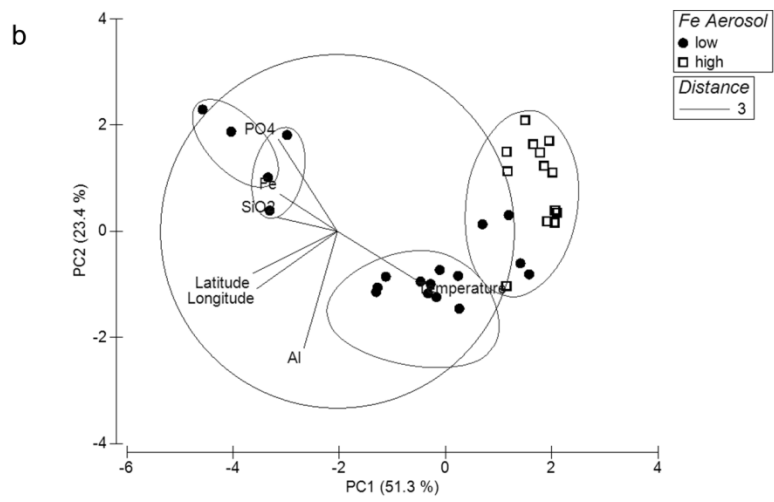
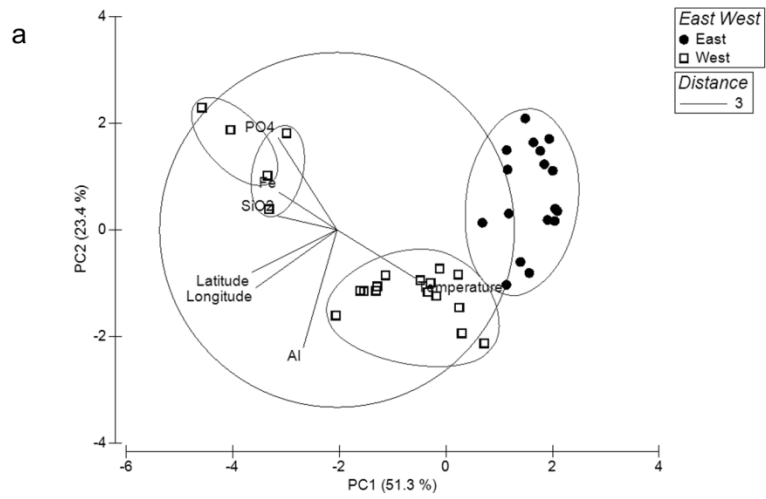


Figure 4

825  
826





827 Figure 5  
 828