Glycerol configurations of environmental GDGTs investigated using a selective sn^2 ether
cleavage protocol
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19 Abstract

20 The glycerol configurations of glycerol dialkyl glycerol tetraethers (GDGTs) in 21 environmental samples were investigated using a selective *sn*2 ether cleavage protocol. 22 Using this procedure, GDGTs with a parallel glycerol configuration afford two types of 23 derivatives, diols and diallylethers, whereas only one kind, monoallylethers, originate 24 from their antiparallel isomers. Isoprenoidal GDGTs from a marine sediment are shown 25 to be predominately parallel based on the distributions of these ether cleavage products. 26 Crenarchaeol and its so-called regioisomer both have parallel configurations with the 27 cyclohexane ring located on the *sn*3,3 ether bonded tricyclic biphytanyl moiety. A Messel 28 shale sample containing isoprenoidal GDGTs contributed mainly by methanogenic 29 archaea has a substantial portion with the antiparallel configuration. Branched (non-30 isoprenoidal) GDGTs in both the Messel shale and the marine sediment are mainly 31 antiparallel. This selective *sn*² ether cleavage approach provides a potentially powerful 32 analytical tool to investigate not only the exact molecular structures of GDGT 33 constitutional isomers and their biosynthetic pathways but also to evaluate the 34 heterogeneous inputs of sedimentary GDGT and their isotopic signatures, if different 35 source species synthesize GDGTs with unique glycerol configurations. Further analyses 36 of this type will reveal the glycerol configurations of the GDGTs of a broad range of 37 microbial cultures and environmental samples.

Key words: GDGT, chemical degradation, glycerol configuration, crenarchaeol, marine
sediment, methanogen

41 **1. INTRODUCTION**

42 Isoprenoidal GDGTs are distinctive bipolar membrane-spanning lipids 43 exclusively synthesized by Archaea. The intact polar lipids of archaeal tetraethers 44 comprise, in general, three molecular components, namely the polar head groups, the 45 isoprenoidal hydrocarbon chains and the glycerol backbones (Fig. 1). Structural diversity 46 of archaeal tetraethers is recognized in the variety of polar head groups; modifications -47 including hydroxylation, cyclization, unsaturation and methylation - to the isoprenoidal 48 hydrocarbon chains; and the two types of glycerol arrangements, the parallel and antiparallel configurations (Fig. 1). The widely applied analytical method of liquid 49 50 chromatography-mass spectrometry (LC-MS) combined with various ionization 51 approaches, such as atmospheric pressure chemical ionization (APCI), electrospray 52 ionization (ESI) and matrix-assisted laser desorption/ionization (MALDI), can readily 53 distinguish GDGTs with different polar headgroups and hydrocarbon chains, but is not 54 capable of distinguishing between the parallel and antiparallel glycerol constitutional 55 isomers.

56 Gräther and Arigoni (1995) designed a chemical degradation sequence of 57 reactions to cleave ether bonds specifically at the *sn*² glycerol carbon, so that the glycerol 58 configurations of precursor GDGT could be deduced from the composition of the 59 degradation products. By conducting this selective sn^2 ether cleavage reactions on the 60 acyclic isoprenoidal GDGT (GDGT-0) isolated from three archaeal cultures, 61 Methanobacterium thermoautotrophicum, Thermoplasma acidophilum and Sulfolobus 62 solfataricus, Gräther and Arigoni (1995) reported an approximately 1:1 ratio of the 63 parallel and antiparallel GDGT-0 regioisomers further speculating that the biosynthesis of 64 archaeal tetraethers involved no preference for either type of glycerol configuration.

However, our recent investigation on the *natural degradation* derivatives of environmental archaeal tetraethers indicated a predominantly parallel glycerol configuration in marine sediments and substantial antiparallel configuration in sediments that were strongly influenced by methanogenic activity (Liu et al., 2018). To further verify our detection of GDGT glycerol configurations in natural depositions, we adapted the *chemical degradation* protocol of Gräther and Arigoni (1995) to investigate the glycerol configurations of environmental GDGTs.

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73 2. EXPERIMENTAL

74 **2.1. Sample collection and preparation**

75 A commercial mixture of glyco-GDGT-phosphoglycerol (gly-GDGT-PG), the 76 main phospholipids of Thermoplasma acidophilum, was purchased from Matreya LLC 77 (PA 16803, USA), and used as a representative sample to verify and improve the 78 chemical degradation protocol reported by Gräther and Arigoni (1995). Around 1 µg of 79 this intact polar GDGT mixture was first hydrolyzed with 10% HCl in methanol at 70 °C 80 for two hours to yield the core GDGTs. Two environmental samples, a marine sediment 81 from ODP201 1227A and the Messel shale (excavation site E 8/9, horizon 2.5-3.5 m), 82 were dried and powdered and approximately 2 g of each sample was extracted following 83 the modified Bligh and Dyer protocol described previously (Sturt et al., 2004) to yield 84 total lipid extracts (TLEs).

85 **2.2. Selective** *sn***2** ether cleavage

86 An aliquot of each sample was transferred into a 2 mL glass vial and dried with a 87 stream of nitrogen (N₂) for chemical degradation. We adapted the first sequence of

88 reactions described by Gräther and Arigoni (1995) for our investigation (reaction scheme 89 shown in Fig. 2). Briefly, core GDGTs were first tosylated with an excess amount (10 90 mg) of *p*-toluenesulfonyl chloride (Sigma-Aldrich) in 100 µL pyridine (Sigma-Aldrich) at 91 room temperature for three days. Then, 500 µL of 5 N aqueous HCl and 500 µL *n*-hexane 92 were added to the reaction vial and the two phases were mixed vigorously by vortexing. 93 After separation of the two phases, the organic phase, containing tosylated GDGTs, was 94 transferred into another 2 mL vial. The aqueous phase was extracted a further three times 95 with *n*-hexane and the organic extracts were combined and dried under a stream of N_2 for 96 further reaction. To cleave the sn2 ether bonds, 10 mg of sodium iodide (NaI, Sigma-97 Aldrich) and 10 mg of zinc powder (purum grade, Sigma-Aldrich) were added to the 98 residue from the previous reaction and the mixture was suspended in 100 µL 1,2-99 dimethoxyethane (DME, Sigma-Aldrich) and heated at 90 °C for two hours. Degradation 100 products were finally retrieved by liquid-liquid extraction using 500 µL of water and 500 101 uL *n*-hexane and then vortexing. The two phases were separated, and, as before, the 102 aqueous phase was extracted a further three times with *n*-hexane. Finally, the combined 103 organic phases were dried in a 2 mL vial for analysis.

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2.3. Lipid analysis with LC-MS

Lipid analysis by LC–MS was performed on an Agilent 1290 series UPLC system coupled to an Agilent 6530 qTOF mass spectrometer through an Agilent jet stream dual electrospray ionization (AJS–ESI) interface. The ESI drying gas (N₂) temperature was set at 300°C, the N₂ flow rate was 8 L min⁻¹ and the nebulizer gas (N₂) pressure was 35 psi. The qTOF parameters were set to: capillary voltage 3.5 kV, fragmentor voltage 175 V; skimmer voltage 65 V and octopole voltage 750 V in auto MS/MS scanning mode with MS¹ range of m/z 100-3000 and MS² mass range of m/z 50-3000. 112 Separation of compounds was achieved with a reverse phase LC method modified 113 from Zhu et al. (2013). Briefly, samples were dissolved in methanol and injected with a 114 volume of 10 μ L onto an Agilent Zorbax Eclipse XDB-C18 column (1.8 μ m, 4.6 × 100 115 mm; Agilent) maintained at 35 °C. Mobile phase flowed at a rate of 0.5 mL min⁻¹, first 116 isocratically with 100% A for 2 min, followed by a gradient to 40% B at 15 min, and to 117 90% B at 40 min, and then to 100% B at 41 min and hold for 14 min, and finally re-118 equilibrated with 100% A for 10 min, where the eluent A was 100:0.04:0.10 of 119 methanol/formic acid/14.8 M NH₃(aq.) and B was 100:0.04:0.10 of 2-propanol/formic 120 acid/14.8 M NH₃(aq.).

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122 **3. RESULTS**

123 **3.1.** Lipid composition of samples prior to their chemical degradation

124 Core GDGTs released by acid hydrolysis from the gly-GDGTs-PG of T. 125 acidophilum consist of GDGT-0 to GDGT-6 (Fig. 3A1). The TLE of marine sediment, 126 ODP201 1227A, is dominated by GDGT-0 and crenarchaeol (cren-a) with a small 127 proportion of GDGT-1 to -3, hydroxyl GDGTs (OH-GDGTs) and branched GDGTs. The 128 putative crenarchaeol regioisomer (cren-b) elutes prior to cren-a under reverse phase 129 condition. Substantial amounts of biphytanediols (bpdiols), which consist of mainly 130 bpdiol-0 and -cren, occur as natural degradation product of GDGTs (Fig. 3B1 and 131 Supplementary Fig. S1). The Messel shale extract exhibits a GDGT distribution that is 132 typical of sulfidic lacustrine settings (Liu et al., 2016) in which branched GDGTs are 133 relatively more abundant than isoprenoidal GDGTs and GDGT-0 is the major 134 isoprenoidal GDGT and accompanied by S-GDGTs as minor components (Fig. 3C1).

135 **3.2. Detection of degradation derivatives**

136 The major ionized forms of GDGTs and their corresponding degradation 137 derivatives, the bpdiol and the monoallyl biphytanol monoether (mbpm), are their 138 protonated molecular ions, $[M+H]^+$. However, the other type of degradation product, the 139 diallyl biphytanyl diether (dbpd), is detected mainly in the form of its ammonium adducts, 140 [M+NH₄]⁺. All three categories of degradation derivatives, bpdiol, dbpd and mbpm, 141 occur in the sample of T. acidophilum after ether cleavage, but each group has a different 142 ring distribution (Fig. 3A2 and Supplementary Fig. S2). Three bpdiols with up to two 143 rings are released by ether cleavage. A later eluting peak (labeled as '?') following the 144 monocyclic bpdiol (bpdiol-1) occurs and partially co-elutes with bpdiol-2. The MS² 145 fragmentation pattern of this compound is very different from those of bpdiols (Fig. S4), 146 and it could not be identified as a bpdiol isomer. The monoallylether derivatives are the 147 most abundant degradation products and consist of mbpm-0 to -3 (Supplementary Fig. 148 S2). Dbpds contain however up to 4 rings. When subjected to chemical degradation 149 isoprenoidal GDGTs in the Messel shale also produce all three types of degradation 150 derivatives (Fig. 3C2 and Supplementary Fig. S3). So far no clear signal of S-GDGT 151 related degradation derivatives can be distinguished for those of regular GDGTs. 152 Degradation derivatives in both T. acidophilum and Messel shale exhibit a mbpm-153 dominated distribution pattern. However, GDGTs in the marine sediment, 154 ODP201 1227A, produce almost exclusively bpdiol and dbpd as their degradation 155 products except for the trace amount of mbpm-0 (Fig. 3B2). Acyclic and tricyclic 156 derivatives (dbpd-0 and -cren-a) prevail over other dbpds in the chemically degraded 157 marine sediment (Supplementary Fig. S1). Bpdiols contain up-to two rings with no 158 tricyclic derivatives detected (Supplementary Fig. S1).

Given the high abundance of branched GDGTs in the Messel shale extracts, all three types of degradation products (diols, monoallylethers and diallylethers) are detected (Fig. 4A). Degradation derivatives of branched (non-isoprenoidal) GDGT in ODP201_1227A are less abundant compared to those in Messel shale sample (Fig. 4B). Monoallylethers prevail over diols and diallylethers in both samples, and the monoallylethers in Messel shale and marine sediment are dominated by dimethyl and trimethyl derivatives, respectively (Fig. 4).

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167 4. DISCUSSION

168 **4.1. Reactions of selective** *sn***2 ether cleavage**

169 In the process of chemical degradation the two free hydroxyl groups of core 170 GDGTs are first tosylated with *p*-toluenesulfonyl chloride in pyridine at room 171 temperature for three days. The tosylated GDGTs are then heated with sodium iodide and 172 zinc powder in DME at 90 °C for 2 hours. The tosylates are converted into the 173 corresponding iodides in situ (Fig. 2) and the iodides undergo zinc-mediated Boord 174 haloalkoxy elimination (Dykstra et al. 1930). In this way, the sn2 ether bonds are cleaved 175 and double bonds were formed affording an allyl substituent in the remaining ether (Fig. 176 2). As shown in Fig. 2, cleavage of the *sn*2 ether bonds on a GDGT with parallel glycerol 177 configuration gives two degradation products, the bpdiol that was originally ether-bonded 178 to the glycerol sn^2 carbons and the remaining dbpd. However, sn^2 ether cleavage on an 179 antiparallel GDGT results in two mbpms.

180 The ratio of mbpm/(bpdiol+dbpd) of chemically degraded *T. acidophilum* is 181 approximately 1:1, which indicates a mixture of parallel and antiparallel configured 182 precursor GDGTs in nearly equal abundance. Such a result is consistent with the previous report on *T. acidophilum* by Gräther and Arigoni (1995) and validates this selective *sn*2
ether cleavage protocol.

185 4.2. Antiparallel GDGT of methanogenic input

186 The thermophilic methanogen, *M. thermoautotrophicum*, has been known to 187 produce both parallel and antiparallel GDGTs in ~1:1 ratio (Gräther and Arigoni, 1995). 188 Archaeal lipids preserved in the Messel shale are primarily derived from methanogenic 189 archaeal communities (Hayes et al., 1987; Bauersachs et al., 2014). The approximately 190 1:1 ratio of mbpm/(bpdiol+dbpd) in chemically degraded Messel shale extracts suggests 191 that mesophilic methanogens synthesize GDGTs similar to those of their thermophilic 192 relatives, with both parallel and antiparallel configurations. Our previous analysis on 193 natural degradation derivatives in seep carbonate (Liu et al., 2018) also points to the idea 194 that environments rich in contributions from Euryarchaeota, including methanogens, will 195 be dominated by antiparallel GDGTs..

196 4.3. Predominant parallel glycerol configuration of marine archaeal GDGTs

197 The remarkable abundances of bpdiol and dbpd with a minor mbpm-0 as the 198 degradation derivatives of ODP201 1227A show that the precursor GDGTs in marine 199 sediment possess a predominantly parallel configuration (Fig. 3B2). The predominance of 200 bpdiol and dbpd chemical degradation products confirms unambiguously what we have 201 observed with the distribution of natural degradation derivatives in various marine 202 subsurface sediments (Liu et al., 2018). Since isoprenoidal GDGTs in our previously 203 analyzed marine sediments (cf. Table 1 of Liu et al., 2018), especially those from open-204 ocean slope settings, are primarily derived from planktonic species, mainly marine 205 Thaumarchaeota (Pearson et al., 2016), we can hypothesize that marine Thaumarchaeota

206 predominantly synthesize parallel glycerol configured GDGTs. The minor mbpm-0 207 represents a small proportion of antiparallel GDGT-0 either synthesized by 208 Thaumarchaeota or contributed by other archaeal taxa. We also noticed in our previous 209 investigation that the natural degradation product of antiparallel GDGT, sn2,3-GMGD 210 (glycerol monobiphytanyl glycerol diether), is either absent or present in very low 211 abundance in most sediments collected from regular marine deposits, but has been 212 observed in substantial amounts in one particular sample affected by anaerobic methane 213 oxidation (AOM) (Liu et al., 2018).

214 4.4. The structures of crenarchaeol and its isomer

215 Conventional, non site-specific, ether cleavage can only show that there is one 216 tricyclic biphytane originating from cren-a and a further two different tricyclic isomers 217 with distinct ring configurations originating from cren-b (Liu et al., 2018; Sinninghe 218 Damsté et al., 2018). However, our studies of degradation products from both natural 219 diagenesis and chemical degradation reveal not only the distinct ring configurations but 220 also the glycerol configuration and the location of the cyclohexyl ring. Bpdiol-cren-a and 221 -b exist in the TLE of marine sediment as natural degradation products (Fig. 3B1), but 222 there is no tricyclic bpdiol generated through the selective sn2 ether cleavage. The 223 occurrence of dbpd-cren-a and -b with equally abundant bpdiol-2 confirms our previous 224 deductions concerning the molecular structures of cren-a and -b. Degradation products of 225 cren-a and -b released from either natural diagenesis or selective sn^2 ether cleavage 226 indicate that both are parallel in glycerol configuration, but with different sn3,3 ether-227 bonded tricyclic biphytanes (see crenarchaeol structure illustrated in Fig. 3B1). Further 228 studies are still required to determine the precise ring structures in cren-a and -b.

4.5. Glycerol configuration of branched GDGTs

230 All three classes of degradation products, diols, monoallylethers and diallylethers, 231 were detected after chemical degradation of branched GDGTs in Messel shale extracts 232 and in the ODP201 1227A extract. Their co-occurrence suggests the existence of both 233 parallel and antiparallel glycerol configured branched GDGTs in our analyzed samples. 234 The much higher abundance of monoallylether relative to diols and diallylether suggests 235 that branched GDGTs are mainly antiparallel in the glycerol configuration (Fig. 4) in 236 these two types of depositions, marine and sulfidic lakes. It is shown that branched 237 GDGTs in the analyzed marine sediment are dominated by hexamethylated (branched 238 GDGT-1050, Fig. 3B1) while tetramethylated derivatives (branched GDGT-1022, Fig. 239 3C1) are found predominantly in Messel shale. Such a difference in methylation patterns 240 is also reflected in their degradation derivatives, especially the monoallylethers, in which 241 the trimethylated component derived from branched GDGT-1050 is more abundant in the 242 marine sediment but the dimethylated representing branched GDGT-1022 is remarkable 243 in the Messel shale extracts (Fig. 4). Interestingly, no trimethylated diol and diallylether 244 are detected in the marine sediment sample, which implies that the branched GDGT-1050 245 in this marine subsurface sediment only in antiparallel form.

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5. Conclusion and future applications

The glycerol configurations of GDGTs in both biological and environmental samples can be determined by the composition of their chemical degradation derivatives yielded by the selective sn^2 ether cleavage protocol. Results of chemical degradation confirm our previous report of GDGT glycerol configurations based on the distribution of natural degradation derivatives. Combining data of natural and chemical degradation derivatives allows us to conclude: (1) GDGTs in marine sediments are predominantly configured with parallel glycerols; (2) AOM-related archaeal communities may have contributed the antiparallel GDGTs in the environmental samples we analyzed; (3) crenarchaeol and its so-called 'regioisomer' are both parallel with *sn*3,3 ether bonded tricyclic biphytanyl moieties. Natural degradation derivatives of branched GDGTs were not discussed in our previous work, but their chemical degradation derivatives, identified here, indicate antiparallel dominates over the parallel configuration.

260 Many previous studies of lipid distributions and their carbon isotopic 261 compositions have shown that mixed planktonic and benthic sources contribute GDGTs 262 in marine sediment (e.g. Liu et al., 2011; Pearson et al., 2016 and references cited 263 therein). However, to date, no technique has been reported as capable of differentiating 264 them. The glycerol configurations of GDGTs synthesized by a broader range of archaeal 265 species should be investigated to verify whether marine *Thaumarchaeota* synthesize the 266 parallel configuration predominantly. Potential proxies based on the relative abundance 267 of mbpm versus bpdiol and dbpd can then be developed to investigate the impact of 268 benthic contribution to the total sedimentary GDGT pool.

269 Compared to biphytanes released by conventionally applied general ether 270 cleavage, mbpm and dbpd obtained from the selective sn2 ether cleavage contain both 271 glycerol and isoprenoid carbons. Their carbon isotopic compositions can reflect more 272 precisely the original signal of precursor GDGTs. If antiparallel GDGTs in marine sediment are solely contributed by benthic archaea rather than synthesized by 273 274 Thaumarchaeota, then the carbon isotope composition of mbpm-0 should be distinct 275 from that of bpdiols and dbpds. Thus, the application of selective sn^2 ether cleavage to 276 marine sedimentary GDGTs, followed by compound specific isotope analysis on mbpm,

bpdiol and dbpd can be an approach to potentially disentangle the mixed surface waterand sedimentary signals.

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329 Figure captions

Fig. 1. Hexose-phosphohexose-GDGT-0 as an example of intact polar archaeal tetraethers showing the three general molecular components, namely, polar headgroups, alkyl units and glycerol backbones, and structure variation resulted from the modification of each component. Emphasized here are two types of glycerol configurations, the parallel and antiparallel configurations.

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Fig. 2. This chemical degradation scheme illustrates the distinct reaction products
generated by selective *sn*2 ether cleavage on GDGT-0 with different glycerol
configurations. Parallel GDGT-0 yields acyclic biphytanediol (bpdiol-0) and diallyl
biphytanyl diether (dbpd-0). Antiparallel GDGT-0, however, is degraded into two acyclic
monoallyl biphytanol monoethers (mbpm-0).

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Fig. 3. Combined extracted ion chromatograms of LC–MS showing the composition of
GDGT core lipids in acid hydrolyzed intact polar lipids of *T. acidophilum* (A1) and TLEs
of marine sediment ODP201-1227A (B1) and Messel shale (C1), and the degradation
derivatives released via selective *sn*2 ether cleavage from *T. acidophilum* (A2), ODP2011227A (B2) and Messel shale (C2).

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Fig. 4. LC–MS extracted ion chromatograms combined to illustrate the composition of
degradation derivatives of branched GDGTs in Messel shale (A) and ODP201-1227A (B).







