

Weather radar network benefit model for flash flood casualty reduction

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Citation	Cho, John Y.N. and James M. Kurdzo, "Weather radar network benefit model for flash flood casualty reduction." Journal of applied meteorology and climatology 59, 4 (March 2020): p. 589-604 doi 10.1175/JAMC-D-19-0176.1 ©2020 Author(s)
As Published	10.1175/JAMC-D-19-0176.1
Publisher	American Meteorological Society
Version	Author's final manuscript
Citable link	https://hdl.handle.net/1721.1/124855
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1	Weather Radar Network Benefit Model for Flash Flood Casualty Reduction*
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20	Submitted to the Journal of Applied Meteorology and Climatology: 15 July 2019
21	Revised: 12 February 2020
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23 24 25 26 27	*DISTRIBUTION STATEMENT A. Approved for public release: distribution unlimited. This material is based upon work supported by the National Oceanic and Atmospheric Administration under Air Force Contract No. FA8702-15-D-0001. Any opinions, findings, conclusions, or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Oceanic and Atmospheric Administration.

**Early Online Release**: This preliminary version has been accepted for publication in *Journal of Applied Meteorology and Climatology*, may be fully cited, and has been assigned DOI 10.1175/JAMC-D-19-0176.1. The final typeset copyedited article will replace the EOR at the above DOI when it is published.

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## ABSTRACT

30 A monetized flash flood casualty reduction benefit model is constructed for application to meteorological radar networks. Geospatial regression analyses show that 31 32 better radar coverage of the causative rainfall improves flash flood warning performance. 33 Enhanced flash flood warning performance is shown to decrease casualty rates. 34 Consequently, these two effects in combination allow a model to be formed that links radar 35 coverage to flash flood casualty rates. When this model is applied to the present-day 36 contiguous U.S. weather radar network, results yield a flash-flood-based benefit of \$316 37 million (M) yr<sup>-1</sup>. The remaining benefit pools are more modest (\$13M yr<sup>-1</sup> for coverage improvement and \$69M yr<sup>-1</sup> maximum for all areas of radar quantitative precipitation 38 39 estimation improvements), indicative of the existing weather radar network's effectiveness 40 in supporting the flash flood warning decision process.

### 42 1. Introduction

Weather radars are generally acknowledged to be a valuable asset to society (e.g., Saunders et al. 2018). They provide observational data that improve weather forecasts and present essential situational awareness to many users. Radars, however, are expensive to acquire, operate, and maintain. In planning for future sensor networks, monetization of their benefits is needed to assess the trade-off between more expensive options (higher performance and/or coverage) and benefits (people's lives and time saved).

49 Although meteorological radar observations help improve weather forecast model 50 performance through data assimilation (e.g., Stensrud et al. 2009), their most direct impacts 51 are made through the detailed and continuously updated depiction of precipitating weather 52 for real-time decision making. Sometimes these decisions are life or death matters. In the 53 last thirty years (1989-2018), the top three weather-related fatality causes in the U.S. were 54 excessive heat, floods, and tornadoes (NOAA 2019). The National Weather Service (NWS) 55 issues warnings for these hazards, and weather radar data plays an absolutely crucial role 56 for the latter two (Polger et al. 1994). Thus, we focused on tornadoes and floods in 57 quantifying the benefits that meteorological radars provide to society. A benefit model for 58 tornadoes was published previously (Cho and Kurdzo 2019a; Cho and Kurdzo 2019b; 59 collectively, CK19 hereafter). In this paper, we move on to a benefit model for heavy-rain-60 induced flash floods.

For this study, we hypothesized that better weather radar coverage improves flash flood warning performance, which, in turn, reduces casualties. The second half of this causality chain is intuitive. Flash flood warnings can provide the impacted populace time to take appropriate action to help prevent loss of life and potentially reduce property

65 damage (e.g., Sene 2013). Empirical evidence exists that such warnings do decrease flash 66 flood fatalities (e.g., DeKay and McClelland 1993). The first half of the proposed causality 67 chain, however, requires more explanation on how flash flood warning decisions are made. 68 In the U.S., operational flash flood warning decisions rely primarily on the concept of 69 flash flood guidance (FFG; Ostrowski et al. 2003). Based on basin hydrological models 70 with soil moisture and stream flow as initial conditions, FFG outputs rainfall accumulation 71 needed in 1-, 3-, 6-, or 12-hour periods to cause flash flood conditions on a typical small 72 stream or urban area in the region of interest. There are different types of FFG models used 73 at different weather forecast offices (WFOs)—lumped FFG, gridded FFG, distributed FFG, 74 flash flood potential index. However, regardless of type, the basic idea is that the forecaster 75 looks for accumulated quantitative precipitation estimation (QPE) to exceed the FFG rain 76 accumulation threshold in a given catchment basin when issuing a flash flood warning; 77 decision support tools such as the flash flood monitoring and prediction (FFMP) system 78 aid the forecaster in this process (Clark et al. 2014).

79 By definition, flash floods occur within six hours of the causative event (NWS 2019). 80 Thus, when the cause is heavy rain, in order for the WFO to issue a timely flash flood 81 warning, forecasters mostly utilize multisensor precipitation estimator (MPE) products for 82 comparison with FFG thresholds. (Waiting for flow level measurements from stream 83 gauges delays the decision, and, in any case, many potential flash flood areas are in 84 ungauged headwaters.) MPE ingests radar, rain gauge, and geostationary satellite data; rain 85 gauge data are used to help correct biases in the radar and satellite estimates. The dominant 86 MPE contributor is radar QPE, while satellite QPE is mainly used to fill gaps in radar 87 coverage (Kitzmiller et al. 2013). Also, with finer spatial resolution hydrological models

becoming feasible for operational use, the value of highly resolved rainfall estimates from
radars is expected to rise in the future (Gourley et al. 2014). Forecasters have started to
consult short-term rainfall nowcasts as well (Ahnert et al. 2012).

91 The flash flood warning decision process, therefore, depends on the accuracy of the 92 FFG and MPE products. FFG threshold errors are dependent on FFG type and are specific 93 to each catchment basin. There are various sources of MPE errors, including those for radar 94 QPE such as choice of algorithm, radar calibration, and rain gauge density (e.g., Cecinati 95 et al. 2017). The situation is further complicated by the fact that the WFOs do not utilize a 96 uniform set of data products and decision support tools. To analyze the impacts of input 97 data errors on flash flood warning performance would require an in-depth case study at a 98 particular WFO using a detailed hydrological model of a catchment basin—this is not 99 conducive to a national-scale statistical analysis.

100 In this study, we took a simple approach. Since poor radar coverage is a significant 101 source of radar QPE error (Rogalus and Ogden 2012; Kurdzo et al. 2018), we hypothesized 102 that flash flood warning performance would depend on radar coverage, even without taking 103 into account the other error sources in the warning decision process—this is proved true in 104 sections 2d and 2e. By linking radar coverage directly to warning performance, we 105 bypassed the very complex problem of characterizing MPE and FFG product errors, 106 considerably simplifying the analysis. We believe a clear statistical signal was extractable 107 due to the large number of cases nationwide used in the analysis.

108 To summarize briefly, we propose an original geospatial model for monetizing flash 109 flood casualty reduction benefits of a meteorological radar network. This analysis, along 110 with the earlier tornado benefit effort (CK19), was conducted for the National Oceanic and Atmospheric Administration (NOAA) as part of a larger program that is studying future radar systems beyond the Weather Surveillance Radar-1988 Doppler (WSR-88D). Benefits must be weighed carefully against costs in considering advanced technologies such as active phased array radars (Weber et al. 2007; Zrnić et al. 2007) and/or a denser network of smaller radars (McLaughlin et al. 2009).

In dealing with the complex nature of the problem, we employed only the bare essentials in objectively modeling the radar effects. In contrast to detailed hydrological simulation or survey-based case studies, we relied on the power of large data sets to yield statistically meaningful results with simple models. We made conservative choices when there was uncertainty. Statistically insignificant variables were disregarded. Our geographic scope was limited to the contiguous United States (CONUS), as that is where most of the relevant data were available and wide variation in radar coverage exists.

123

#### 124 **2. Model Development**

125 Following the successful radar network benefit modeling approach of CK19 for 126 tornadoes, we sought to establish statistical relationships using historical flash flood data 127 between (1) radar coverage metrics and flash flood warning performance, and (2) flash 128 flood warning performance and casualty rate. With these two links established, the flash 129 flood casualty rate could be computed geospatially for any given weather radar network. 130 With casualty monetized, the difference between a baseline case (e.g., the current WSR-131 88D network) and a hypothetical radar network would yield the benefit (or loss). The 132 methodologies used throughout follows closely those used by CK19.

To provide a visual aid for understanding both the model development process and the model usage, Figure 1 gives high-level block diagram views of these procedures. The reader is encouraged to refer back to this figure while reading the detailed explanations in the following sections.

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#### 138 a. Analysis data source and time period

139 We needed to use as much data as possible to achieve statistically significant results. 140 At the same time, however, we had to maintain uniform conditions for unbiased regression 141 results. Our primary source was the U.S. Flash Flood Observation Database (Gourley et al. 142 2013) compiled by the Flooded Locations and Simulated Hydrographs (FLASH) project 143 (Gourley et al. 2017). Although the earliest-processed NWS storm reports in the FLASH 144 database are from 2006, the official transition from county-based flash flood reporting (a 145 single point indicating an event somewhere in the county) to polygon-based reporting did not occur until 1 October 2007. Thus, we limited our analysis period to begin on this 146 147 transition date (the transition from county-based to storm-based warnings also took place 148 on the same day). Furthermore, because the FLASH storm report database only extended 149 to July 2013, we supplemented that data with storm reports pulled from NOAA's National 150 Center for Environmental Information (https://www.ncdc.noaa.gov/stormevents/) up to 31 December 2018, which we then processed to match the content and format of the FLASH 151 152 data. This yielded about twelve years of flash flood data to analyze. Only reports with an associated cause of "heavy rain" were retained. 153

154Storm warning data for the matching period were obtained from the Iowa155EnvironmentalMesonetNWSWatch/Warningsarchive

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(https://mesonet.agron.iastate.edu/request/gis/watchwarn.phtml). If any part of the flash flood polygon was inside the warning polygon and if any segment of the flash flood time span overlapped the warning valid interval, then the warning was considered a hit; otherwise, it was labeled a false alarm. The lead time for a hit was computed as the beginning time of the flash flood minus the initial warning issuance time. For the remainder of the paper, we will refer to the fraction of flash floods with warning interchangeably with probability of detection (POD) for brevity.

163

#### 164 b. Radar coverage metrics

165 The radar observational characteristics important for QPE accuracy are vertical 166 coverage, horizontal resolution, and availability of dual-polarization products (Kurdzo et 167 al. 2019). The data update rate might also have an impact on flash flood warning 168 performance. An ongoing study aims to answer this question, and early results show that 169 QPE from faster radar scans can improve agreement between measured and simulated 170 stream gauge levels during flash floods (Wen et al. 2018).

171 With vertical coverage, the most crucial aspect is the radar antenna beam's minimum 172 height above ground level (AGL), since the aim of QPE is to match the rainfall 173 measurement at the surface. However, information aloft is also useful to forecasters for 174 determining location of the radar bright band (Austin and Bemis 1950), regions of mixed-175 phase precipitation (Balakrishnan and Zrnić 1990), and for ingest to QPE correction 176 algorithms such as Vertical Profiles of Reflectivity (VPR; Kirstetter et al. 2010). 177 Additionally, future uses such as Quasi-Vertical Profiles (QVP; Ryzhkov et al. 2016) may 178 be of use to forecasters for determining rainfall rates. Thus, we decided to employ the same

179 coverage metric, fraction of vertical volume observed (FVO) between 0 to 20 kft AGL 180 (1 kft = 304.8 m), as we did for the CK19 tornado study. The rationale for picking 20 kft 181 as the FVO ceiling is that the current WSR-88D network (on which we base the statistical 182 analysis) has essentially perfect coverage above 20 kft (Figure 2); therefore, no information 183 content is added by moving the ceiling higher, whereas moving it lower progressively 184 eliminates actual deficiencies in coverage from consideration. FVO includes the effects of 185 the Earth's curvature, terrain blockage, and the radar's overhead "cone of silence" due to its limited elevation scanning angle, so it is a convenient and effective metric. 186

Details of the beam blockage calculations are given by Cho (2015). The minimum and maximum elevation coverage angles were assumed to be 0° and 20°, roughly corresponding to the bottom and top sides of the main antenna lobe at the WSR-88D scan angle limits of 0.5° and 19.5°. These limits are approximations, as the maximum elevation angles vary for different volume coverage patterns (VCPs) and the minimum angle has recently been lowered slightly at a few high-altitude sites (Steadman and Brown 2007).

193 Cross-radial horizontal resolution (CHR), which is approximately range times 194 azimuthal angular resolution, is also relevant. (Along-range horizontal resolution is 195 constant everywhere for monostatic radars, so it is not of value here.) Azimuthal angular 196 resolution is dependent on dwell length and antenna beamwidth (Zrnic and Doviak 1976). 197 The WSR-88D beamwidth is just under 1°. Presently, it has a "superresolution" mode that 198 outputs data every  $0.5^{\circ}$ ; however, the effective angular resolution is about  $1^{\circ}$  based on the 199 antenna beamwidth and time-series data window (Torres and Curtis 2006). Taking all this 200 into account, we took the angular resolution to be 1° for the analysis period. Consequently, 201 for the current WSR-88D, the resulting CHR is functionally the same as the distance from the radar. CHR could become a more meaningful performance metric, since future radar
networks may have varying angular resolutions—for example, with a mix of powerful
narrow-beam radars augmented by gap-filling broad-beam systems (Chandrasekar et al.
205 2012), or with the angle-dependent resolution of fixed planar phased arrays (Weber et al.
206 2017).

207 During the analysis period (October 2007 to December 2018), the WSR-88D CONUS 208 network underwent two relevant changes. First, a new radar was added at Langley Hill, 209 Washington in September 2011. Second, the network was upgraded from single 210 polarization to dual polarization. To address the first change, we produced two sets of FVO 211 and CHR maps corresponding to before and after the Langley Hill deployment. For the 212 second network change, we conducted our analysis over the entire database timespan as 213 well as the single polarization period and the post-dual-polarization upgrade period. To 214 ensure that there would be no cross-contamination between the two polarization eras, the 215 end of the single polarization period was marked by the first operational CONUS 216 deployment of dual polarization (8 March 2011), and the start of the dual polarization 217 period was marked by the completion of CONUS deployment (16 May 2013).

Although we included Terminal Doppler Weather Radars (TDWRs) in our earlier analysis for tornadoes, because we determined that forecasters utilize TDWR data for tornado warning decisions, we did not include them for flash floods, since TDWRs are not used for QPE purposes.

222

223 c. Mapping flash flood event to corresponding basin

224 Flooding location is different from the place where the causative rain falls. In order 225 to study the relationship between the quality of radar coverage (which affects QPE 226 accuracy) and flash flood warning performance, we had to match each flood event to the 227 appropriate upstream catchment basin. To do this we utilized the United States Geological 228 Plus Survey (USGS) National Hydrography Dataset (NHDPlus: 229 https://water.usgs.gov/GIS/metadata/usgswrd/XML/streamgagebasins.xml). This database 230 contains the location of 19 031 stream gauges with corresponding catchment basin 231 boundaries.

232 For each flood event, we searched for a stream gauge located inside the event polygon, 233 and computed the mean radar coverage metric over the matching source basin (Figure 3). 234 If more than one stream gauge was found inside the event polygon, then the radar coverage 235 metric means were computed over all corresponding basins. If no stream gauge was 236 situated in the polygon, then we looked for the nearest stream gauge; if the distance to the 237 mean polygon latitude-longitude coordinate was less than 10 km, the stream gauge match 238 was accepted. (This means the matched stream gauge was even closer to the polygon 239 border.) With this procedure, 24 236 flash flood events were matched to source basins over 240 the analysis period. All the analyses conducted on flash floods described in the rest of this 241 paper were based on this set of events.

242

243 *d. Detection probability dependence on radar coverage* 

Flash flood warning POD statistics were computed vs. the basin-averaged radar coverage parameters (Figure 4, top row). For FVO, the data were binned based on cumulative distribution percentage intervals of [0, 1], (1, 5], (5, 25], (25, 50], (50, 75], and

(75, 100]. For CHR, the data were binned based on cumulative distribution percentage
intervals of [0, 25], (25, 50], (50, 75], (75, 95], (95, 99], and (99, 100]. The asymmetric
interval distributions help draw out the steep change regimes where data were sparse. Note
that the abscissa values plotted do not correspond to the center of the data bins—instead,
they are the actual means of the binned FVO or CHR data. The vertical and horizontal
error bars denote the 95% confidence intervals along both dimensions (see CK19 for
further details).

254 Flash flood POD unambiguously increases with FVO and decreases with CHR. This 255 is a very important result, because it connects better radar coverage to flash flood warning 256 performance improvement, and allows a continuous functional mapping between the two. 257 (This result is also consistent with a prior study that showed a positive dependence of POD 258 on WSR-88D low-level coverage over NWS WFO areas; Meléndez et al. 2018.) We 259 modeled these relationships by two-segment linear fits with input uncertainty in both 260 dimensions using the "fitexy" function from Numerical Recipes (Press et al. 1992). Fitting 261 results are given in Table 1, where a is the y intercept, b is the slope,  $\sigma_a$  and  $\sigma_b$  are the standard deviations of a and b,  $\chi^2$  is the fitted chi-squared value, and Q is the goodness-of-262 263 fit probability.

As can be seen in the POD vs. FVO plot of Figure 4, there is a discernible change in slope between FVO = 0.7 and 0.8. (The slope change is more gradual in the FAR vs. FVO plot.) If we assume that all of the observation loss occurs at the bottom of the volume (which is true except for the small fraction attributable to the radar cone of silence at the top of the volume), FVO = (20 kft - minimum observation height) / 20 kft. Note, then, that FVO of 0.7 and 0.8 approximately correspond to floors of 6000 and 4000 ft AGL. Thus, if one had to pick one altitude as the "critical floor" for radar coverage with respect to flash
flood warning performance, it would be ~5000 ft AGL; the top left plot in Figure 2
corresponds to this height.

Flash flood detection can be defined based on only positive lead times or all lead times (including zero and negative lead times). We decided on the latter, because the casualty regression statistics were better with all lead times included (section 2h). For a measure of model sensitivity, we also did the analysis with detections defined with only positive lead times. As expected, the primary impact of excluding zero and negative lead times was to reduce the POD values; however, POD still increased with FVO, POD decreased with CHR, and the fits remained significant.

We also tried combining the FVO and CHR relationships in the flash flood POD model via weighted additions of the two relationships. The mean-squared sums of the difference between data and model were minimized to obtain the optimal weighting. The error was minimized with a 0.86 weight on the FVO relationship and a 0.14 weight on the CHR relationship.

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## 286 e. False alarm ratio dependence on radar coverage

To compute flash flood warning false alarm ratio (FAR) statistics vs. the radar coverage metrics, we matched each warning to the relevant catchment basin(s) following the method outlined in section 2c for flood events. In this case, however, the event polygon depicted in Figure 3 is replaced by the warning polygon. With this procedure, 32 438 flash flood warnings were matched to source basins over the analysis period. (All the analyses conducted on flash floods described in the rest of this paper were based on this set of warnings.) The radar coverage parameter values were then averaged over thecorresponding basin boundaries.

The resulting FAR vs basin-averaged radar coverage parameters plots are shown in the bottom row of Figure 4. For FVO, the data were binned based on cumulative distribution percentage intervals of [0, 1], (1, 10], (10, 25], (25, 50], (50, 75], and (75, 100]. For CHR, the data were binned based on cumulative distribution percentage intervals of [0, 25], (25, 50], (50, 75], (75, 90], (90, 99], and (99, 100].

FAR clearly decreases with FVO and increases with CHR. This result is consistent with an earlier analysis that showed a negative dependence of FAR on WSR-88D low-level coverage over NWS WFO areas (Meléndez et al. 2018). Unfortunately, however, because the casualty regression analysis did not yield a statistically meaningful relationship between historical FAR and casualty rate (section 2h), we were not able to exploit this clear dependency of flash flood FAR on radar coverage for our benefit model. (Hence, linear fits to the bottom row plots in Figure 4 are not given.)

Note that we did not use a combined warning performance metric such as the critical success index (CSI) due to a couple of reasons. First, POD could be applied to the casualty regression model (section 2h) on a per-event basis via the binary warning presence variable, whereas FAR and CSI could not. Second, for a geospatial mapping of historical warning performance (for use by the regression model), the mismatch in spatial boundaries for computing POD (event polygons) and FAR (warning polygons) presented a problem in combining them for CSI; hence, only FAR was tried for that purpose.

314 As for warning lead time, our analysis did show a positive correlation between 315 increased radar coverage and lead time. However, because flash flood lead time did not

316 correlate negatively with casualty rate (section 2h), we could not include it as part of our317 benefit model.

318

## 319 f. Impact of dual polarization upgrade

320 To investigate the impact of the WSR-88D dual polarization upgrade on flash flood 321 warning performance, we computed the mean CONUS POD and FAR over two periods: 322 (1) 1 October 2007 to 7 March 2011 and (2) 16 May 2013 to 31 December 2018. As 323 explained in section 2b, these dates were chosen based on the first operational CONUS 324 dual polarization deployment (8 March 2011) and the completion of the CONUS upgrade 325 deployment (16 May 2013). Table 2 lists the corresponding POD and FAR values for these 326 periods as well as for the entire analysis period. The plus/minus values indicate the 95% 327 confidence intervals for the means.

328 The mean flash flood warning values did not yield statistically meaningful differences 329 between the single polarization and dual polarization eras. This stands in contrast to case 330 studies that showed dramatic improvement in flash flood warning performance when the 331 nation's meteorological radar network was upgraded to the WSR-88D from the WSR-57 332 and WSR-74 (Polger et al. 1994). One of the challenges with QPE in the dual-polarization 333 era is the ongoing difficulty with differential reflectivity  $(Z_{DR})$  calibration, leading to 334 difficulties obtaining consistent QPE results for use in the flash-flood warning process 335 (Ryzhkov et al. 2005). As a result, the NWS has approved the transition to an R(A)336 algorithm based on specific attenuation (Snow 2017). The R(A) technique uses a slope of 337 the  $Z_{DR}/Z$  (horizontal reflectivity factor), meaning that constant offsets in  $Z_{DR}$  across the 338 tilt/volume theoretically will not cause as much of an error in QPE (Cocks et al. 2018;

339 Ryzhkov and Zrnić 2019). Initial results of the R(A) algorithm have shown promise 340 relative to the R(Z,  $Z_{DR}$ ) method when polarimetric bias is introduced (Kurdzo et al. 2019).

341 It is possible that the eventual use of R(A) will impact our results in the future.

The good news is that the flash flood warning vs. radar coverage statistics as exemplified by the Figure 4 plots were quite stable over the single and dual polarization periods. This was another confirmation that these relationships are meaningful and robust, and further justified their use in the benefit estimation model.

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# 347 g. Non-flash flood warnings vs. radar coverage

348 Although this study focused on flash floods (and they account for the majority of 349 flood-related fatalities; Ashley and Ashley 2008), we took the opportunity to investigate 350 the relationship between radar coverage and non-flash flood warning performance. Using 351 the same procedure employed for the flash flood analysis yielded no discernible coherent 352 relationship between POD and FVO or CHR, and between FAR and FVO or CHR. These 353 null results are perhaps not surprising, given that warning decisions for longer-term events 354 must be based primarily on model forecast data, and the importance of QPE to the flood 355 forecasting process diminishes with increasing time horizon as stream gauge data and 356 quantitative precipitation forecast (QPF) become more relevant (e.g., Hudlow et al. 1984). These results preclude the addition of non-flash floods to our radar network benefit model. 357

358

#### 359 h. Casualty dependence on flash flood warning

360 With the causal link between radar coverage and flash flood warning performance 361 clearly established, we proceed to discuss the connection between flash flood warnings and

362 casualty rates. Among the factors that are thought to affect flash flood casualty rate are 363 population, time of day, building type, catchment basin size, water flow velocity and depth, 364 rate of water level rise, and warning lead time, and they appear to interact in various ways 365 to impact casualty rates. For example, while most casualty events occur around headwater 366 catchments in rural areas (because flash floods are generated by the rapid response time of 367 small basins to heavy rainfall), when they do occur downstream in urban areas, the casualty 368 rates are higher (Špitalar et al. 2014). The same article reports that while flash flood 369 occurrence in the U.S. peaks around 1700 local time (LT), the per-event casualty rate 370 reaches a maximum at 2100 LT, hinting at the importance of human factors such as 371 inability to see in the dark for those outside. We refer the reader to informative past reviews 372 on this topic (e.g., Jonkman et al. 2008; Smith and Rahman 2016). For the purposes of 373 developing a radar network benefit model, only variables that could be geospatially 374 characterized were considered. Temporal predictors like season and time of day were 375 excluded, since they were not germane to our time-independent benefit model. However, 376 in the future, the model could be extended to capture temporal effects.

The flash flood casualty variance was more than twenty times larger than the mean statistics over our analysis period. Thus, instead of a Poisson distribution that is often used for counting statistics, we adopted a negative binomial distribution model for the casualty count,

- 381
- 382
- $C \sim \text{NegBin}(\mu, \theta)$ , (1)
- 383

for our casualty regression analysis, where  $\mu$  is the distribution mean, and  $\theta$  is the dispersion parameter. The regression model then was a linear combination of candidate predictor variables set equal to ln  $\mu$ . This is the same scheme that we used for the CK19 tornado study.

At this point, casualties were not divided between fatalities and injuries. Since the vast number of events have zero (no casualty) outcomes, increasing the number of non-zero outcome cases by aggregating fatalities and injuries improves statistical robustness. While the database includes direct and indirect casualties separately, we only used direct casualties in our analysis, because we sought the tightest causal bond between flash floods and their effects on people. In the monetization stage (section 2i), we parsed the model results into fatalities and two types of injuries based on historical averages.

395 The predictor variables that we tried in the regression analysis were (1) logarithm of 396 the population, (2) fraction of population in mobile housing, (3) historical flash flood 397 warning FAR, (4) catchment basin size (as a proxy for basin response time), (5) flood 398 flashiness, (6) flash flood warning presence (binary—0 or 1), and (7) flash flood warning 399 lead time. (1), (2), and (3) were averaged over the flood event polygon. The predictor 400 variables were tested both individually and in combination to elucidate any cross-401 correlation effects. We also tried FVO and CHR (averaged over the source basins) as 402 casualty predictors to see if a direct link could be established between radar coverage and 403 casualty rate, but there was no meaningful statistical relationship, consistent with the 404 findings of Meléndez et al. (2018).

We acquired population data from the Center for International Earth Science
Information Network (CIESIN 2017) with latitude-longitude spacing that matched our 30-

407 arcsec model grid resolution. Measured population for 2005, 2010, 2015 were available, as 408 well as projected population for 2020; linear interpolation yielded corresponding data for 409 the other years. In a nod to statistics that showed most flash flood fatalities occurring while 410 people were away from their residences (predominantly while driving, but also during 411 hiking, camping, etc.; Terti et al. 2017), we set a floor of 1 in the population field 412 everywhere. Also, in cases where the event casualty count exceeded the population in the 413 event polygon, the population was set to the casualty count for logical consistency. 414 Otherwise, we relied on a general spatial correlation between residential population and 415 transient mobile population.

416 Flood flashiness, defined as the peak flow above flood stage divided by the product of 417 basin area and time from flood stage exceedance to peak flow (Saharia et al. 2017), was 418 considered, because it seemed to hold promise as a predictor of flash flood casualty rate. 419 Since the NWS storm events database did not contain quantitative data on water flow or 420 depth, we computed flashiness from USGS streamflow measurements (2016V1; 421 https://blog.nssl.noaa.gov/flash/database/database-2016v1/) archived under the FLASH 422 database (Gourley et al. 2013). However, in comparing the NWS flash flood events to the 423 USGS streamflow measurements by time and location, only a small fraction of the former 424 found matched with the latter. Therefore, any casualty regression results that included 425 flashiness as a predictor variable was handicapped by the reduction in input data points.

The fraction of the population living in mobile housing was an effective predictor variable for tornado casualties (CK19). Intuitively, one might expect the heightened vulnerability of mobile housing to be washed away by flood waters to be a factor in casualty rate. In fact, about a third of building-related flash flood casualties was estimated to have 430 occurred in mobile homes (Terti et al. 2017). Mobile housing and trailer parks are also 431 often located near rivers (Marrero 1979), while a proposed flash flood severity index 432 codifies the sweeping away of mobile homes as a category-defining characteristic 433 (Schroeder et al. 2016). The gridded fraction of the population in mobile housing were 434 computed from data obtained from the American Community Survey database for 2015 435 (USCB 2016) and the Decennial Census for 2000 (Manson et al. 2018). We combined the 436 population in the "mobile home" and "boat, RV, van, etc." categories to arrive at the mobile 437 housing population, which was normalized by the total population in each census block 438 group to yield the fraction of population in mobile housing. We sampled and mapped this 439 data to our 30-arcsec latitude-longitude model grid. See CK19 for further details. In the 440 regression analysis, linearly interpolated maps (between 2000 and 2015) were used for the 441 years 2007–2014, and the 2015 map (Figure 5) was used for 2015–2018.

For the negative binomial regression analysis, we utilized the "glm.nb" function from the open software package R (<u>https://www.R-project.org/</u>). An exhaustive search of predictor combinations yielded a clear winner based on statistical reliability. The best regression fit statistics were obtained by keeping only population (P), fraction of population in mobile housing (M), and warning presence (W) in the statistical model,

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448 
$$\ln \mu = \alpha \ln P + \beta M + \gamma W + k , \qquad (2)$$

449

450 where *k* is the intercept constant, and  $\alpha$ ,  $\beta$ , and  $\gamma$  are the regression coefficients. For the 451 definition of warning presence we tried including all lead times vs. only positive lead times, 452 and the better result was obtained by including all lead times. The fit results are given in

Table 3. The probability of the "null hypothesis being true" for each predictor was less than 0.0003, much smaller than the typically used threshold of 0.05. Additionally, comparison of the casualty regression relation with and without each predictor via degree-of-freedom chi-square tests showed that each variable was a statistically significant predictor.

457 Applying the same flash flood events input data to (2) with the estimated coefficients 458 gave a casualty count of 681, which is reasonably close to the actual count of 631. The

- 459 presence of a flash flood warning reduces casualty rate by 44% according to this model.
- 460

## *i. Monetizing casualties*

The value of a statistical life (VSL) is commonly used to monetize casualties in benefit analyses. As we did previously (CK19), we followed the guidance of the Department of Transportation (DOT 2016), which established a VSL of \$9.6 million (M) in 2015 dollars. To update the value to 2019 dollars, we used the DOT's equation,

466

467 
$$VSL_{T} = VSL_{0} \frac{CPI_{T}}{CPI_{0}} \left(\frac{MUWE_{T}}{MUWE_{0}}\right)^{q}, \qquad (3)$$

468

where CPI is the consumer price index, MUWE is the median usual weekly earnings, q is income elasticity, and the subscripts T and 0 signify updated base year and original base year. We got CPI<sub>T</sub>/CPI<sub>0</sub> = 1.08 (<u>https://www.bls.gov/data/inflation\_calculator.htm</u>) and MUWE<sub>T</sub>/MUWE<sub>0</sub> = 1.12 (<u>https://www.bls.gov/cps/cpswktabs.htm</u>) from the U.S. Bureau of Labor Statistics database, for a baseline of January 2015 and updated time of January 2019. Taking the DOT's recommended value of q = 1 yielded a 2019 VSL of \$11.6M.

We did not distinguish between fatalities and injuries in our casualty regression model as explained in section 2h. We used the actual mean ratio calculated over the analysis period to parse the model output into the two casualty types, which yielded 61% fatalities and 39% injuries.

Injuries were monetized as fractions of VSL, relying on a Federal Emergency Management Administration (FEMA) formulation (FEMA 2009) specifying injuries requiring hospitalization as level 4, and injuries resulting in treatment and release as level 2. With the DOT setting level 4 injury cost at  $0.266 \times VSL$  and level 2 injury cost at  $0.047 \times VSL$  (DOT 2016), these costs are \$3.09M and \$0.545M, respectively, in 2019 dollars.

Because the flood event database does not categorize injuries by severity, we scoured the Internet for papers and news reports that contained flash flood injury outcome information. We found usable reports on twelve events between 1956 and 2018 with 3336 total injuries, with the count being dominated by the 9 June 1972 Rapid City, South Dakota event. In order to avoid being biased by one event, we computed the ratio of injury types for each event then took the mean of the ratios. The result was 43% for injuries requiring hospitalization vs. 57% for injuries that were treated and released.

492

493 *j. CONUS grid computation* 

All the individual model components can now be integrated to generate mean annual
CONUS flash flood casualty cost. The modeled casualty rate (per year, per grid cell) is
given by

497

21

498 
$$R_{ij}^{F,H,R} = Y^{F,H,R} \Big[ r_{ij}(1)B_{ij} + r_{ij}(0) \Big( 1 - B_{ij} \Big) \Big] O_{ij} , \qquad (4)$$

499

where *B* is the probability of warning per flash flood (POD), *O* is the flash flood occurrence rate, *i* and *j* are the latitude and longitude grid indices, and the superscripts indicate fatal (F), injured—hospitalized (H), and injured—treated and released (R). The grid cell size is  $1/120^{\circ} \times 1/120^{\circ}$ . The casualty type fractions are broken down as

504

$$Y^F = f {,} {(5)}$$

506 
$$Y^H = (1 - f)h$$
, and (6)

507 
$$Y^R = (1-f)(1-h)$$
, (7)

508

509 where f is the fatality fraction and h is the fraction of injured that are hospitalized. From 510 (2) we get the casualty rate per flash flood,

511

512 
$$r_{ij}(W) = \exp[\alpha \ln(P_{ij}) + \beta M_{ij} + \gamma W + k], \qquad (8)$$

513

514 with (W = 1) and without (W = 0) a flash flood warning.

To generate the flash flood POD map, we applied the Table 1 fitted parameters to the radar network FVO and CHR maps and summed them with weights given in section 2d. However, a geospatial mapping was needed, because equation (4) is computed over the grid cells of flash flood occurrence, not radar observation of the source rainfall. Thus, we mapped every CONUS grid cell to the nearest USGS NHDPlus stream gauge (Figure 6), which was mapped to the corresponding source basin grid cells. The modeled flash flood

521 POD computed based on mean radar FVO and CHR over the source basins were then able 522 to be mapped onto the flash flood occurrence areas. The modeled POD values were 523 computed from  $0.86 \times POD(FVO) + 0.14 \times POD(CHR)$ . POD(FVO) and POD(CHR) were 524 calculated using the piecewise-linear relationships given by the *a* (*y* intercept) and *b* (slope) 525 coefficients in Table 1 (and expressed by the red lines in Figure 4). The resulting flash 526 flood POD map for the current WSR-88D network is shown in Figure 7.

527 The mean annual flash flood occurrence rate was computed for each CONUS grid cell 528 using the NWS storm database over the period 2006–2018. Earlier NWS data were not 529 used, because the cause of flooding was not recorded. In order to obtain better coverage 530 and statistics (since flash floods occur relatively rarely and the NWS database is not a 531 comprehensive source), we also computed occurrence rate with the USGS streamflow 532 measurements that date back to 1936, based on exceedance of the action stage. Since these 533 observations came from single point locations, we counted the floods as having occurred 534 in the four closest grid cells. In joining the results from the two disparate data sets, we took 535 the greater occurrence rate value in each grid cell instead of combining them in order to 536 avoid double counting. For visualization purposes, Figure 8 shows the mean annual 537 CONUS flash flood occurrence rate density mapped from the event locations to the 538 corresponding source basins. Without this mapping, the occurrence rates at the actual 539 locations are too small to be discernible at the national level—they appear as sparse dots 540 on the CONUS map.

541 We arrived at the predicted CONUS flash flood casualty rate parsed by casualty type 542 by summing (4) over all grid indices. The total estimated annual CONUS flash flood

543 casualty cost was obtained by multiplying the individual casualty rates with the 544 corresponding casualty type costs and summing.

545

## 546 **3. Example results**

In order to estimate the value provided by the current radar network, as well as the remaining benefit pool, we computed modeled flash flood casualty costs for three basic scenarios: the current WSR-88D network, no radar coverage, and perfect WSR-88D-like coverage. No radar coverage was simulated by setting FVO = 0 and  $CHR = \infty$  everywhere. Perfect WSR-88D-like coverage was simulated by setting FVO = 1 and CHR = 0everywhere.

Table 4 lists the flash flood casualty estimates for all scenarios and the actual average annual casualty rates. The agreement between the baseline model estimates and the actual casualty rates is very good, especially with the median actual rates. Table 5 gives the corresponding flash flood casualty costs in 2019 dollars.

557 Differences from the current baseline are provided in the "Delta baseline" columns of Tables 4 and 5. This shows that today's WSR-88D network provides over \$300M dollars 558 559 in flash flood benefits annually compared to a CONUS without weather radars. Perfect 560 radar coverage of the CONUS yields a benefit of only \$13M yr<sup>-1</sup> over the baseline. The 561 remaining benefit pool with respect to improved coverage is, therefore, quite modest for 562 flash flood casualty reduction, especially compared to the tornado case, which has an order 563 of magnitude larger benefit pool (CK19). Evidently, for the purposes of QPE to support 564 flash flood warning decisions, the coverage provided by the current baseline is quite good.

565 To estimate the benefit provided by flash flood warnings independent of radar 566 coverage, we also ran the model on a CONUS with no flash flood warnings and with 100% warnings (Tables 4 and 5). The results indicate that over \$390M yr<sup>-1</sup> benefit is realized by 567 568 the current flash flood warning system compared to a world without warnings, and the remaining benefit pool for warnings is about \$69M yr<sup>-1</sup>—this corresponds to the 569 570 hypothetical situation of having 100% warning on flash floods. (The impact of lead time 571 and false alarm ratio improvements could not be modeled, because these variables were 572 not statistically significant predictors of casualty rate.) This value also corresponds to the 573 upper-bound benefit for radars, since, in principle, improvements to radar QPE through 574 non-coverage aspects such as rapid scanning and product algorithm enhancements could 575 help push flash flood POD toward 100%.

576 Because the average fraction of injured that are hospitalized (h = 0.43) used in the 577 model was based on a small number of cases, we tested the model sensitivity by changing 578 this parameter to 0.25 and 0.75. For h = 0.25, the magnitude of the benefits in Tables 4 and 579 5 decreased by 2%, and for h = 0.75, the magnitude of the benefits increased by 4%. Thus, 580 the model appears to be fairly stable with respect to even large variances in this parameter. 581 Figure 9 shows geospatially the casualty cost density difference between perfect radar 582 coverage and the WSR-88D network. The cost densities were mapped from the casualty 583 locations to the source basins of the flash floods in order to show where improvements in 584 radar coverage may help with respect to flash flood casualty reduction. Impacts from both 585 the flash flood occurrence rate (Figure 8) and modeled warning probability (Figure 7) are 586 discernible in Figure 9. For example, the mountainous region west of Charlottesville, Virginia has both fairly high flash flood occurrence rate and low modeled warning 587

588 probability (corresponding to a radar coverage gap noticeable in the Figure 2, 5000-ft AGL 589 plot), resulting in a larger benefit pool. The poor low-altitude radar coverage in the 590 Mountain West, however, does not generally lead to a greater benefit pool, except in areas 591 with more frequent occurrence of flash floods (and perhaps population).

There are, of course, a number of cautionary notes regarding this analysis. First is the incomplete nature of the flash flood data. For example, the NWS flood event data are based on reports by human observers, and floods that occurred in remote locations or had no impact on people may have been missed. Fortunately, the benefits are accumulated in areas with people, so biases in the event data may not greatly affect the modeled benefit estimates. Rapid housing development in remote areas prone to flooding, however, might lead to slight localized underestimates of future benefits.

599 Second, there are factors that influence the flash flood warning decision process not 600 accounted for in our model, such as the skill of individual forecasters, procedural 601 heterogeneity across regional forecast centers, evolution of the QPE and FFG products, 602 FFG errors, density of rain gauge network, availability of other data sources, storm type, 603 and basin hydrological features. Also, temporal evolution of a basin, such as when a fire 604 decimates vegetation, can greatly affect runoff response time. However, as the statistical 605 stability of the radar-coverage-to-warning-performance relationship over the pre- and post-606 dual-polarization eras attests, variances due to these other factors appear to largely get 607 averaged out over the large number of data points ingested in the analysis.

Finally, the circumstances of flash flood casualties are very complex and difficult to
model statistically. Many flash flood fatalities in the U.S. occur while the victim is away
from their residence, which cannot be precisely characterized with population data. It is

611 difficult to capture factors like real-time access to flash flood warnings and likelihood of 612 response (Knocke and Kolivras 2007; Parker et al. 2009; Morss et al. 2016), while data on 613 event characteristics such as flow speed and depth are not universally available. In our 614 casualty regression analysis, we considered potential causative factors with data available 615 geospatially on a national basis, and discarded those that were not statistically reliable 616 predictors. The resulting regression model is necessarily a simple one, but, again, the large 617 number of data points used in the analysis provides a high level of statistical robustness 618 that would not be available in a more detailed case study.

619

### 620 4. Summary discussion

621 We constructed a geospatial model for computing meteorological radar network 622 benefits for flash flood casualty reduction. We showed unambiguously that better radar 623 coverage of the causative rainfall leads to improved flash flood warning statistics. We also 624 established that the casualty rate decreases by 44% when a flash flood warning is present. 625 Combining these two effects, the model was able to generate benefit estimates on a high-626 resolution spatial grid. The model can work on an arbitrary radar network configuration. Our model showed that today's WSR-88D network provides over \$300M yr<sup>-1</sup> in flash 627 flood casualty reduction. There is a modest remaining benefit pool of \$13M yr<sup>-1</sup> for 628

629 coverage improvements, which is indicative of the effective coverage provided for this 630 purpose by the current weather radar network. Inclusive of all aspects of flash flood 631 warning POD improvements, including better radar QPE, the maximum benefit pool is 632 \$69M yr<sup>-1</sup>.

A radar benefit model could not be established for non-flash floods, since our analysis did not yield a meaningful relationship between radar coverage and warning performance. This negative result was not entirely a surprise, given that warning decisions for longerterm events must be based primarily on model forecast data, and the importance of QPE to the flood forecasting process diminishes with increasing time horizon as stream gauge data and QPF become more relevant.

639 Potential benefits from flash flood property damage reduction could be worth 640 investigating, although loss mitigation options may be limited in this scenario (relocating 641 vehicles, moving valuables from basements and first floors to upper levels, etc.). Also, 642 damage reduction is expected to be less for shorter lead time flash flood events compared 643 to longer lead time non-flash flood events (Day 1970). A preliminary analysis using 644 population as a proxy for property value did not yield any statistically meaningful 645 relationship between flash flood warning performance and property damage. For a proper 646 study, geospatial data of real estate property type and value as well as vehicle count would 647 likely be needed.

648

*Acknowledgments.* We would like to sincerely thank the following people: Chris Miller for acquiring and processing the housing-type data; Angie Locknar, for gathering news reports of flash flood injury type statistics; J. J. Gourley, Pierre Kirstetter, and Berry Wen, for providing valuable technical guidance; and Kurt Hondl and Mark Weber, for supporting this project. This paper has been approved for public release: distribution is unlimited. This material is based upon work supported by the National Oceanic and Atmospheric Administration under Air Force Contract FA8702-15-D-0001. Any opinions,

- 656 findings, conclusions, or recommendations expressed in this material are those of the
- authors and do not necessarily reflect the views of the National Oceanic and Atmospheric
- 658 Administration.
- 659

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848	TABLE CAPTIONS
849	
850	Table 1. POD vs. radar coverage parameters linear fit results.
851	Table 2. Mean CONUS flash flood POD and FAR.
852	Table 3. Flash flood casualty model regression results.
853	Table 4. Annual CONUS flash flood casualty estimates. Actual average injured
854	counts are totals, not broken out by injury type.
855	Table 5. Annual CONUS flash flood casualty cost estimates.
856	

## FIGURE CAPTIONS

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859	Fig. 1. Development and usage block diagrams of the radar network flash flood
860	casualty cost model. Input data are indicated by gray rectangles, intermediate data products
861	by green rectangles, and final monetized cost output by a blue rectangle. Computational
862	model units are shown as orange ovals.
863	Fig. 2. WSR-88D coverage at the indicated height slices.
864	Fig. 3. Illustration of how a flood event is matched to the source basin.
865	Fig. 4. Plots of (top left) flash flood POD vs. FVO, (top right) flash flood POD vs.
866	CHR, (bottom left) flash flood FAR vs. FVO, and (bottom right) flash flood FAR vs. CHR.
867	Solid red lines are linear fits to the data.
868	Fig. 5. Fraction of population living in mobile housing as derived from the 2015
869	American Community Survey data given at the census block group level.
870	Fig. 6. Areas associated with nearest USGS NHDPlus stream gauge colored according
871	to the logarithm of the number of grid points enclosed.
872	Fig. 7. Modeled flash flood warning probability for the current WSR-88D network.
873	Fig. 8. Mean annual flash flood occurrence rate density with the rates mapped from
874	the event locations to the corresponding source basins. Computed based on combined
875	USGS and NWS flash flood data from 1936 to 2018.
876	Fig. 9. Modeled annual flash flood casualty cost density difference between the
877	current WSR-88D network and perfect radar coverage.

Parameter	FVO		CHR	
Segment	Low FVO	High FVO	Low CHR	High CHR
а	0.11	0.68	0.88	1.1
b	0.89	0.20	$-1.4 \times 10^{-5}$	$-1.2 \times 10^{-4}$
$\sigma_{a}$	0.12	0.074	0.011	0.075
$\sigma_{b}$	0.15	0.084	$8.1\times10^{\text{-}6}$	$3.2  imes 10^{-5}$
$\chi^2$	0.037	0.13	1.2	0.89
Q	0.85	0.94	0.54	0.35

Table 1. POD vs. radar coverage parameters linear fit results.

Table 2. Mean CONUS flash flood POD and FAR.

Period	2007-10-1 to 2018-12-31	2007-10-1 to 2011-3-7	2013-5-16 to 2018-12-31
POD (all lead times)	$0.853 \pm 0.005$	$0.857 \pm 0.008$	$0.853\pm0.006$
POD (positive lead times only)	$0.774\pm0.005$	$0.776\pm0.010$	$0.775\pm0.007$
Number of points averaged (POD)	24 236	7097	13 408
FAR	$0.452\pm0.005$	$0.434\pm0.010$	$0.453\pm0.007$
Number of points averaged (FAR)	32 438	9729	17 518

## 

Table 3. Flash flood casualty model regression results.							
Parameter	Estimate	Std. error	z	$\Pr(> z )$			
α	0.166	0.020	8.13	$4 \times 10^{-1}$			

β	2.20	0.435	5.05	$4 \times 10^{-7}$
γ	-0.572	0.160	-3.59	$3 \times 10^{-4}$
k	-4.58	0.206	-22.2	$< 2 \times 10^{-16}$
heta	0.105	$7.16  imes 10^{-4}$	N/A	N/A

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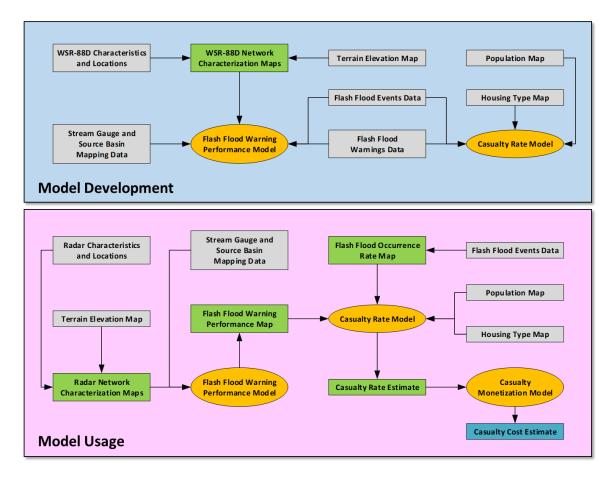
sualty model regression results Table 2 Flach flo

Table 4. Annual CONUS flash flood casualty estimates. Actual average injured counts are totals, not broken out by injury type.

Scenario	Fatal	Injured (hospitalized)	Injured (treated and released)	Total	Delta baseline
WSR-88D	52.6	14.5	19.2	86.3	
No radar coverage	77.6	21.4	28.4	127.4	41.1
Perfect coverage	51.5	14.2	18.9	84.6	-1.7
0% warned	83.6	23.1	30.6	137.2	50.9
100% warned	47.2	13.0	17.3	77.4	-8.9
Actual mean (2007– 2018)	63 ± 10	$41 \pm 15$		$104 \pm 20$	N/A
Actual median (2007–2018)	$59\pm7$	$23\pm 8$		86 ± 13	N/A

Scenario	Fatal (\$M)	Injured (hospitalized) (\$M)	Injured (treated and released) (\$M)	Total (\$M)	Delta baseline (\$M)
WSR-88D	609.9	44.8	10.5	665.2	
No radar coverage	899.8	66.1	15.5	981.3	316.1
Perfect coverage	597.7	43.9	10.3	651.9	-13.3
0% warned	969.6	71.2	16.7	1057.4	392.2
100% warned	547.0	40.2	9.4	596.5	-68.7

Table 5. Annual CONUS flash flood casualty cost estimates.

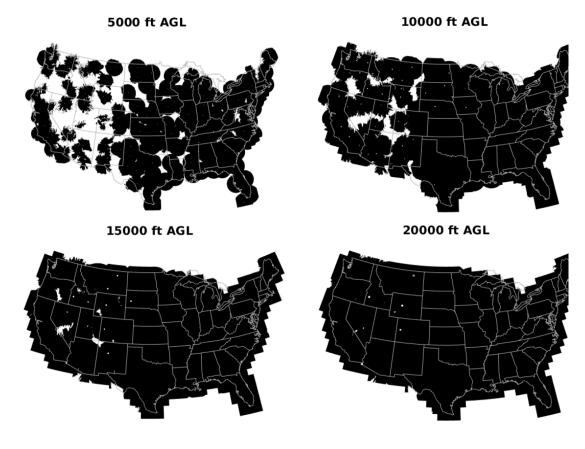


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Fig. 2. WSR-88D coverage at the indicated height slices.

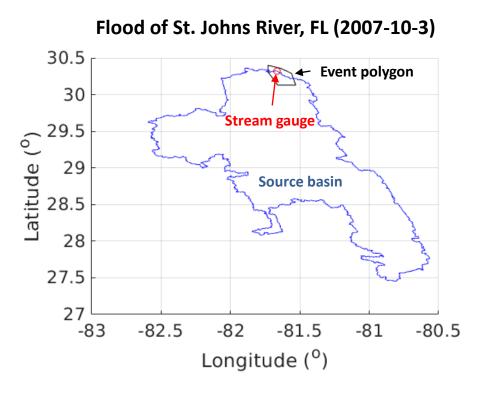


Fig. 3. Illustration of how a flood event is matched to the source basin.

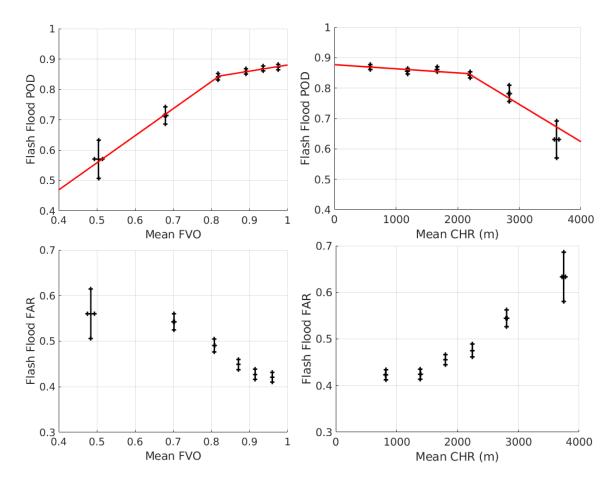
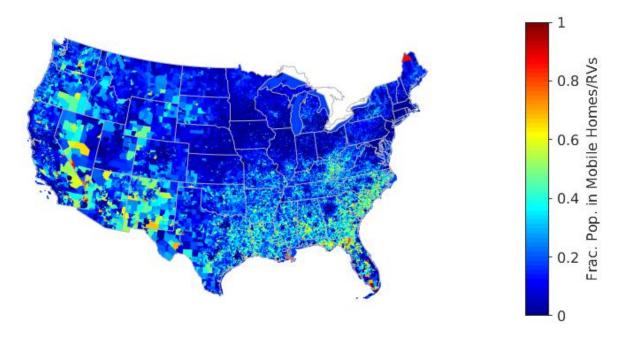




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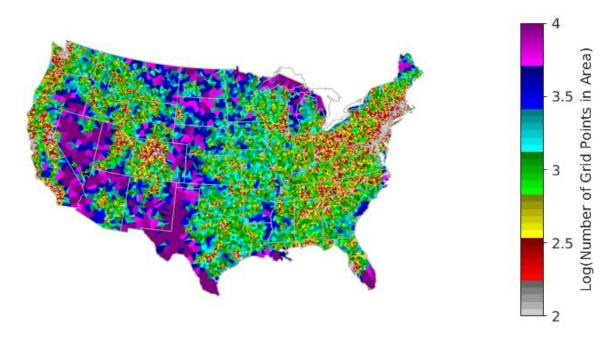
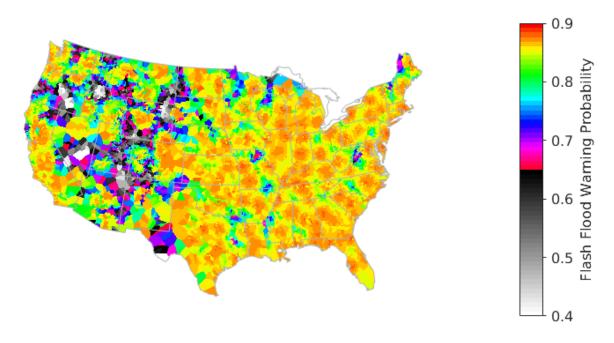
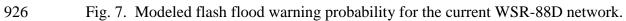




Fig. 6. Areas associated with nearest USGS NHDPlus stream gauge colored accordingto the logarithm of the number of grid points enclosed.





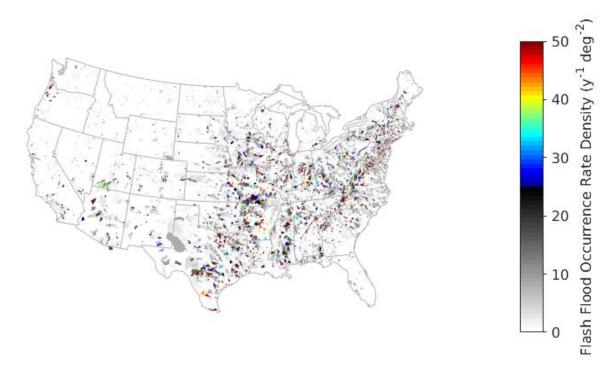


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