

MIT Open Access Articles

*On characters of irreducible highest weight modules
of negative integer level over affine lie algebras*

The MIT Faculty has made this article openly available. **Please share**
how this access benefits you. Your story matters.

Citation: Kac, Victor G., and Wakimoto, Minoru. "On Characters of Irreducible Highest Weight Modules of Negative Integer Level over Affine Lie Algebras." In: Kac V., Popov V. (eds) Lie Groups, Geometry, and Representation Theory. Progress in Mathematics, vol 326.

As Published: 10.1007/978-3-030-02191-7_9

Publisher: Springer International Publishing

Persistent URL: <https://hdl.handle.net/1721.1/125958>

Version: Author's final manuscript: final author's manuscript post peer review, without publisher's formatting or copy editing

Terms of use: Creative Commons Attribution-Noncommercial-Share Alike



On characters of irreducible highest weight modules of negative integer level over affine Lie algebras

Victor G. Kac* and Minoru Wakimoto†

To the memory of Bertram Kostant

Abstract

We prove a character formula for the irreducible modules from the category \mathcal{O} over the simple affine vertex algebra of type A_n and C_n ($n \geq 2$) of level $k = -1$. We also give a conjectured character formula for types D_4 , E_6 , E_7 , E_8 and levels $k = -1, \dots, -b$, where $b = 2, 3, 4, 6$ respectively.

0 Introduction

Let \mathfrak{g} be a simple finite-dimensional Lie algebra over \mathbb{C} , and let $(\cdot | \cdot)$ be the invariant symmetric bilinear form on \mathfrak{g} , normalized by the condition $(\alpha | \alpha) = 2$ for a long root α . Recall that the affine Lie algebra $\widehat{\mathfrak{g}}$, associated to \mathfrak{g} is the infinite-dimensional Lie algebra over \mathbb{C}

$$(0.1) \quad \widehat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}] \oplus \mathbb{C}K \oplus \mathbb{C}d$$

with the following commutation relations ($a, b \in \mathfrak{g}$, $m, n \in \mathbb{Z}$):

$$(0.2) \quad [at^m, bt^n] = [a, b]t^{m+n} + m\delta_{m, -n}(a|b)K, \quad [d, at^m] = mat^m, \quad [K, \widehat{\mathfrak{g}}] = 0.$$

The form $(\cdot | \cdot)$ extends from \mathfrak{g} to a non-degenerate invariant symmetric bilinear form on $\widehat{\mathfrak{g}}$ by

$$(0.3) \quad (at^m | bt^n) = \delta_{m, -n}(a|b), \quad (\mathfrak{g}[t, t^{-1}] | \mathbb{C}K + \mathbb{C}d) = 0, \quad (K | K) = (d | d) = 0, \quad (K | d) = 1.$$

Choosing a Cartan subalgebra \mathfrak{h} of \mathfrak{g} , one defines the associated Cartan subalgebra of $\widehat{\mathfrak{g}}$:

$$(0.4) \quad \widehat{\mathfrak{h}} = \mathfrak{h} + \mathbb{C}d + \mathbb{C}K.$$

*Department of Mathematics, M.I.T., Cambridge, MA 02139, USA. Email: kac@math.mit.edu Supported in part by an NSF grant.

†Email: wakimoto@r6.dion.ne.jp . Supported in part by Department of Mathematics, M.I.T.

The restriction of the bilinear form $(\cdot | \cdot)$ from $\widehat{\mathfrak{g}}$ to $\widehat{\mathfrak{h}}$ is non-degenerate, and we identify $\widehat{\mathfrak{h}}$ with $\widehat{\mathfrak{h}}^*$ and \mathfrak{h} with \mathfrak{h}^* using this form. Then d and K are identified with elements, traditionally denoted by Λ_0 and δ respectively. We denote $q = e^{-\delta}$.

Choosing a Borel subalgebra $\mathfrak{b} = \mathfrak{h} + \mathfrak{n}_+$ of \mathfrak{g} , one defines the corresponding Borel subalgebra of $\widehat{\mathfrak{g}}$:

$$\widehat{\mathfrak{b}} = \widehat{\mathfrak{h}} + \mathfrak{n}_+ + \bigoplus_{n>0} \mathfrak{g}t^n.$$

Given $\Lambda \in \widehat{\mathfrak{h}}^*$, one extends it to a linear function on $\widehat{\mathfrak{b}}$ by zero on all other summands. Then there exists a unique irreducible \mathfrak{g} -module $L(\Lambda)$, which admits an eigenvector v_Λ of $\widehat{\mathfrak{b}}$ with weight Λ . Since K is a central element of $\widehat{\mathfrak{g}}$, it is represented on $L(\Lambda)$ by a scalar $\Lambda(K)$, called the level of $L(\Lambda)$ (and of Λ).

Let $\alpha_1, \dots, \alpha_\ell$ be simple roots of \mathfrak{g} , θ be the highest root, and $\bar{\Lambda}_1, \dots, \bar{\Lambda}_\ell$ be its fundamental weights, i.e. $(\bar{\Lambda}_i | \alpha_j^\vee) = \delta_{ij}$, where $\alpha^\vee = 2\alpha/(\alpha|\alpha)$. Then $\alpha_0 = \delta - \theta, \alpha_1, \dots, \alpha_\ell$ are simple roots of $\widehat{\mathfrak{g}}$, and the fundamental weights Λ_i of $\widehat{\mathfrak{g}}$ are defined by $(\Lambda_i | \alpha_j^\vee) = \delta_{ij}$, $\Lambda_i(d) = 0$, $i, j = 0, 1, \dots, \ell$. Any $\Lambda \in \widehat{\mathfrak{h}}^*$ can be uniquely written in the form

$$(0.5) \quad \Lambda = \sum_{i=0}^{\ell} m_i \Lambda_i + a\delta, \text{ where } m_i, a \in \mathbb{C}.$$

A $\widehat{\mathfrak{g}}$ -module can be “integrated” to the corresponding group, hence it is called integrable, iff all m_i are non-negative integers. In this case the level of $L(\Lambda)$ is a non-negative integer.

The character of $L(\Lambda)$ is defined as the following series, corresponding to the weight space decomposition of $L(\Lambda)$ with respect to $\widehat{\mathfrak{h}}$:

$$(\text{ch } L(\Lambda))(h) = \text{tr}_{L(\Lambda)} e^h, \quad h \in \widehat{\mathfrak{h}}.$$

This series is convergent in the domain $\{h \in \widehat{\mathfrak{h}} \mid \alpha_i(h) > 0, i = 0, 1, \dots, \ell\}$. Note that, adding $b\delta$ to Λ , where $b \in \mathbb{C}$, multiplies the character by q^{-b} . Thus, $\text{ch } L(\Lambda)$ depends essentially only on the labels m_0, \dots, m_ℓ of Λ in (0.5).

If the $\widehat{\mathfrak{g}}$ -module $L(\Lambda)$ is integrable, its character is given by the Weyl-Kac character formula:

$$(0.6) \quad \widehat{R} \text{ch } L(\Lambda) = \sum_{w \in \widehat{W}} \varepsilon(w) w(e^{\Lambda + \widehat{\rho}}) = \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q^\vee} t_\gamma(e^{\Lambda + \widehat{\rho}}).$$

Here $\widehat{R} = e^{\widehat{\rho}} \prod_{\alpha \in \widehat{\Delta}_+} (1 - e^{-\alpha})^{\text{mult}(\alpha)}$ is the affine Weyl denominator, $\widehat{\rho}$ is the affine Weyl vector:

$$(0.7) \quad \widehat{\rho} = \rho + h^\vee \Lambda_0,$$

where ρ is the Weyl vector for \mathfrak{g} and h^\vee is the dual Coxeter number ($= \frac{1}{2}$ the eigenvalue on \mathfrak{g} of the Casimir element). Furthermore, $\widehat{W} = W \ltimes \{t_\alpha \mid \alpha \in Q^\vee\}$ is the affine Weyl group, where W is the Weyl group of \mathfrak{g} , $\varepsilon(w) = \det_{\widehat{\mathfrak{h}}^*} w$, $Q^\vee = \sum_{i=1}^{\ell} \mathbb{Z}\alpha_i^\vee$ is the coroot lattice of \mathfrak{g} , and the translation $t_\gamma \in \text{End } \widehat{\mathfrak{h}}^*$ for $\gamma \in \mathfrak{h}^*$ is defined by

$$(0.8) \quad t_\gamma(\lambda) = \lambda + \lambda(K)\gamma - ((\lambda | \gamma) + \frac{1}{2}\lambda(K)(\gamma | \gamma))\delta, \quad \lambda \in \widehat{\mathfrak{h}}^*.$$

The details of the above discussion may be found in the book [K90].

In the paper [KW88] a similar character formula was proved for admissible $L(\Lambda)$, defined by the condition that for $\alpha \in \widehat{\Delta}_+^{\text{re}}$, the set of real roots of \mathfrak{g} , the number $(\Lambda + \widehat{\rho} | \alpha^\vee)$ must be a positive integer each time when it is an integer. Admissible $\widehat{\mathfrak{g}}$ -modules include the integrable ones, but exclude, for example the $\widehat{\mathfrak{g}}$ -modules $L(k\Lambda_0)$, where k is a negative integer.

It is known for arbitrary (non-critical, i.e. of level $\neq -h^\vee$) Λ that

$$(0.9) \quad \widehat{R}\text{ch } L(\Lambda) = \sum_{w \in \widehat{W}} c(w) w(e^{\Lambda + \widehat{\rho}}),$$

where $c(w)$ are integers [KK79], and that $c(w)$ can be computed via the Kazhdan-Lusztig polynomials for \widehat{W} [KT00]. However the explicit formulas for the integers $c(w)$ are unknown in general.

In Sections 1 and 2 of the present paper we find explicit character formulas for level -1 modules $L(\Lambda)$ over \widehat{sl}_n and over \widehat{sp}_n with $n \geq 3$, with highest weights $\Lambda = -(1+s)\Lambda_0 + s\Lambda_1$ and $\Lambda = -(1+s)\Lambda_0 + s\Lambda_{n-1}$, $s \in \mathbb{Z}$, and $\Lambda = -(1+s)\Lambda_0 + s\Lambda_1$, $s \in \mathbb{Z}_{\geq 0}$ and $\Lambda = -2\Lambda_0 + \Lambda_2$, respectively (see Theorems 1.1, and 2.1, 2.2 respectively). In particular, we compute in both cases the character of $L(-\Lambda_0)$, which are simple affine vertex algebras of level -1 . (As shown in [AP12] and [AP14], the above modules are all irreducible modules over these vertex algebras in the category \emptyset .) The main ingredients of the proof are the free field realization of these modules, given in [KW01], the irreducibility theorems from [AP14], [AP12], and the affine denominator identity for that affine Lie superalgebras $\widehat{sl}_{n|1}$ and $\widehat{sp}_{n|2}$, given in [KW94], [G11]

In Section 3 we indicate a proof, under a certain hypothesis, of an explicit character formula for certain modules $L(\Lambda)$ of negative integer level over affine Lie algebras, and conjecture that the hypothesis holds for the affine Lie algebras of Deligne series \widehat{D}_4 , \widehat{E}_6 , \widehat{E}_7 and \widehat{E}_8 .

Throughout the paper the base field is \mathbb{C} .

Both of us wish to thank ESI, Vienna, where we began discussion of this paper, for hospitality. The first named author wishes to thank IHES, where the paper was completed, for perfect working conditions.

1 Proof of the character formulas for $\widehat{\mathfrak{g}}$, where $\mathfrak{g} = sl_n$

In this section we prove a character formula for certain highest weight modules $L(\Lambda)$ of level -1 over the affine Lie algebra \widehat{sl}_n . The normalized invariant bilinear form $(\cdot | \cdot)$ on sl_n is the trace form. We choose as its Cartan subalgebra, as usual, the subalgebra of all diagonal traceless matrices. Then the simple roots of sl_n are $\alpha_1 = \varepsilon_1 - \varepsilon_2, \dots, \alpha_{n-1} = \varepsilon_{n-1} - \varepsilon_n$, where $\varepsilon_1, \dots, \varepsilon_n$ is the standard basis of the dual of all diagonal matrices, and its root (= coroot) lattice is $Q = \sum_{i=1}^{n-1} \mathbb{Z}\alpha_i$.

We will also use the embedding of sl_n in the Lie superalgebra $sl_{n|1}$. The trace form on sl_n extends to the supertrace form $(\cdot | \cdot)$ on $sl_{n|1}$, and its Cartan subalgebra embeds in the Cartan subalgebra of $sl_{n|1}$ of supertraceless diagonal matrices. Then the simple roots of $sl_{n|1}$ are $\alpha_1, \dots, \alpha_{n-1}, \alpha_n = \varepsilon_n - \varepsilon_{n+1}$, where α_n is an odd root. Also, sl_n and $sl_{n|1}$ have the same Weyl group, and their dual Coxeter numbers are n and $n-1$ respectively.

Theorem 1.1. *Let $n \geq 3$, and let $L(\Lambda)$ be an irreducible level -1 \widehat{sl}_n -module with highest weight*

$$\Lambda = -(1+s)\Lambda_0 + s\Lambda_{n-1} \text{ (resp. } = -(1+s)\Lambda_0 + s\Lambda_1), s \in \mathbb{Z}_{\geq 0}.$$

Then the character of $L(\Lambda)$ is given by the following formula:

$$(1.1) \quad \widehat{\text{Rch}} L(\Lambda) = \sum_{w \in W} \varepsilon(w) w \sum_{\substack{\gamma \in Q \\ (\gamma | \bar{\Lambda}_{n-1} \text{ (resp. } \bar{\Lambda}_1) \geq 0}} t_\gamma(e^{\Lambda + \hat{\rho}}).$$

The proof of formula (1.1) uses the free field construction, given in [KW01], of the affine Lie superalgebra $\widehat{g}_{\ell_m|n}$ of level 1 in a Fock space F in the case of $m = 0$. Note that in that paper we used the supertrace form, which is equal to the negative of the trace form on $g_{\ell_n} = g_{\ell_0|n}$. Hence we get a \widehat{g}_{ℓ_n} -module structure on F of level -1 . Recall some properties of this module, described in [KW01].

First, we have the charge decomposition into a direct sum of \widehat{g}_{ℓ_n} -submodules:

$$(1.2) \quad F = \bigoplus_{s \in \mathbb{Z}} F_s.$$

Second, there is a Virasoro algebra acting on F , and leaving all subspaces F_s invariant, for which all fields $a(z)$, $a \in g_{\ell_n}$, are primary of conformal weight 1, and each F_s in (1.2) has a unique, up to a constant factor, non-zero vector $|s\rangle$ with minimal L_0 -eigenvalue (see Section 2 for more details). Moreover this vector is invariant with respect to the Cartan subalgebra of \widehat{g}_{ℓ_n} and has the following weight:

$$(1.3) \quad \text{weight } |s\rangle = \begin{cases} -\Lambda_0 - \frac{s}{2}\delta + s\varepsilon_1 & \text{if } s \in \mathbb{Z}_{\geq 0}, \\ -\Lambda_0 + \frac{s}{2}\delta + s\varepsilon_n & \text{if } s \in \mathbb{Z}_{\leq 0}. \end{cases}$$

Third, by formula (3.15) from [KW01], the character of the \widehat{g}_{ℓ_n} -module F is given by

$$\text{ch } F := \sum_{s \in \mathbb{Z}} x^s \text{ch } F_s = e^{-\Lambda_0} \prod_{j=1}^n \prod_{k=1}^{\infty} (1 - x e^{\varepsilon_j} q^{k-\frac{1}{2}})^{-1} (1 - x^{-1} e^{-\varepsilon_j} q^{k-\frac{1}{2}})^{-1}.$$

Letting in this formula $x = e^{-\varepsilon_{n+1}} q^{\frac{1}{2}}$, we obtain:

$$(1.4) \quad e^{-\Lambda_0} \prod_{j=1}^n \prod_{k=1}^{\infty} (1 - e^{\varepsilon_j - \varepsilon_{n+1}} q^k)^{-1} (1 - e^{-(\varepsilon_j - \varepsilon_{n+1})} q^{k-1})^{-1} = \sum_{s \in \mathbb{Z}} e^{-s\varepsilon_{n+1}} q^{\frac{s}{2}} \text{ch } F_s.$$

It was proved in [AP14] that all \widehat{g}_{ℓ_n} -modules F_s are irreducible, provided that $n \geq 3$. Therefore, using (1.3), we see that $F_s = V(\lambda^{(s)}) \otimes L(\Lambda^{(s)})$, where $V(\lambda^{(s)})$ is an irreducible \widehat{g}_{ℓ_1} -module with highest weight $\lambda^{(s)} \in \mathbb{C} \sum_{i=1}^n \varepsilon_i + \mathbb{C}\delta$ and $L(\Lambda^{(s)})$ is an irreducible \widehat{sl}_n -module with highest weight

$$\Lambda^{(s)} = -\Lambda_0 + s\bar{\Lambda}_1 \text{ (resp. } -\Lambda_0 - s\bar{\Lambda}_{n-1}) \in \mathfrak{h}^* \text{ if } s \geq 0 \text{ (resp. } s \leq 0),$$

where $\lambda^{(s)} \oplus \Lambda^{(s)} = \text{weight } |s\rangle$.

Hence, using that $\Lambda_i = \Lambda_0 + \bar{\Lambda}_i$, we obtain that the character of F_s is given by

$$(1.5) \quad \varphi(q) \text{ch } F_s = \begin{cases} q^{\frac{s}{2}} e^{s(\varepsilon_1 - \bar{\Lambda}_1)} \text{ch } L(-(1+s)\Lambda_0 + s\Lambda_1) & \text{if } s \in \mathbb{Z}_{\geq 0}, \\ q^{-\frac{s}{2}} e^{s(\varepsilon_n + \bar{\Lambda}_{n-1})} \text{ch } L(-(1-s)\Lambda_0 - s\Lambda_{n-1}) & \text{if } s \in \mathbb{Z}_{\leq 0}. \end{cases}$$

Here and further $\varphi(q) = \prod_{n=1}^{\infty} (1 - q^n)$. Substituting (1.5) in the RHS of (1.4), we obtain:

$$(1.6) \quad \begin{aligned} \text{LHS of (1.4)} &= \frac{1}{\varphi(q)} \left(\sum_{s>0} e^{s(\varepsilon_1 - \varepsilon_{n+1})} q^s e^{-s\bar{\Lambda}_1} \text{ch } L(-(1+s)\Lambda_0 + s\Lambda_1) \right. \\ &\quad \left. + \sum_{s\leq 0} e^{s(\varepsilon_n - \varepsilon_{n+1})} e^{s\bar{\Lambda}_{n-1}} \text{ch } L(-(1-s)\Lambda_0 - s\Lambda_{n-1}) \right). \end{aligned}$$

Next, we embed the Lie algebra \mathfrak{sl}_n in the Lie superalgebra $\mathfrak{sl}_{n|1}$ as described above. We extend this embedding to the affine Lie (super)algebras $\widehat{\mathfrak{sl}}_n \hookrightarrow \widehat{\mathfrak{sl}}_{n|1}$. Then identity (1.6) can be rewritten as follows:

$$(1.7) \quad \begin{aligned} &e^{-\Lambda_0} \varphi(q) \prod_{j=1}^n \prod_{k=1}^{\infty} (1 - e^{\alpha_j + \dots + \alpha_n} q^k)^{-1} (1 - e^{-(\alpha_j + \dots + \alpha_n)} q^k)^{-1} \\ &= \sum_{s>0} e^{s(\alpha_1 + \dots + \alpha_n)} q^s e^{-s\bar{\Lambda}_1} \text{ch } L(-(1+s)\Lambda_0 + s\Lambda_1) + \sum_{s\leq 0} e^{s\alpha_n} e^{s\bar{\Lambda}_{n-1}} \text{ch } L(-(1-s)\Lambda_0 - s\Lambda_{n-1}). \end{aligned}$$

We denote the 0-th fundamental weight and the Weyl vector for $\widehat{\mathfrak{sl}}_{n|1}$ by Λ'_0 and $\widehat{\rho}'$ respectively. Then, by (0.7), we have, when restricted to $\widehat{\mathfrak{sl}}_n$:

$$(1.8) \quad \Lambda'_0 = \Lambda_0 \text{ and } \widehat{\rho}' = \widehat{\rho} - \Lambda_0.$$

Recall the formulas for the Weyl denominator \widehat{R} for $\widehat{\mathfrak{sl}}_n$ and the Weyl superdenominator \widehat{R}' for $\widehat{\mathfrak{sl}}_{n|1}$:

$$(1.9) \quad \widehat{R} = e^{\widehat{\rho}} \varphi(q)^{n-1} \prod_{\alpha \in \widehat{\Delta}_+^{\text{re}}} (1 - e^{-\alpha}),$$

$$(1.10) \quad \widehat{R}' = e^{\widehat{\rho}'} \varphi(q)^n \prod_{\alpha \in \widehat{\Delta}_+^{\text{re}}} (1 - e^{-\alpha}) \prod_{j=1}^n \prod_{k=1}^{\infty} (1 - e^{\alpha_j + \dots + \alpha_n} q^k)^{-1} (1 - e^{-(\alpha_j + \dots + \alpha_n)} q^{k-1})^{-1}.$$

Multiplying both sides of (1.7) by \widehat{R} , we obtain, using (1.9) and (1.10):

$$(1.11) \quad \begin{aligned} \widehat{R}' &= \sum_{s>0} e^{s(\alpha_1 + \dots + \alpha_n)} q^s e^{-s\bar{\Lambda}_1} \widehat{R} \text{ch } L(-(1+s)\Lambda_0 + s\Lambda_1) \\ &\quad + \sum_{s\leq 0} e^{s\alpha_n} e^{s\bar{\Lambda}_{n-1}} \widehat{R} \text{ch } L(-(1-s)\Lambda_0 - s\Lambda_{n-1}). \end{aligned}$$

On the other hand, \widehat{R}' can be computed by the superdenominator identity [KW94], [G11]:

$$(1.12) \quad \widehat{R}' = \varepsilon(w) w \sum_{\gamma \in Q} t_{\gamma} \frac{e^{\widehat{\rho}'}}{1 - e^{-\alpha_n}}.$$

Expanding $t_\gamma \frac{e^{\tilde{\rho}'}}{1 - e^{-\alpha_n}}$ in the geometric series in the domain $|e^{-\alpha_n}| < 1$, $|q| < 1$, we obtain for $\gamma \in Q$ (using (0.8)):

$$t_\gamma \frac{e^{\tilde{\rho}'}}{1 - e^{-\alpha_n}} = e^{(n-1)\Lambda_0} \left(\sum_{\substack{p \geq 0 \\ (\gamma|\alpha_n) \leq 0}} - \sum_{\substack{p < 0 \\ (\gamma|\alpha_n) > 0}} \right) e^{\rho + (n-1)\gamma - p\alpha_n} q^{\frac{n-1}{2}(\gamma|\gamma) + (\rho|\gamma) - p(\alpha_n|\gamma)}.$$

Using that $n\alpha_n = \sum_{i=1}^n \varepsilon_i - n\bar{\Lambda}_{n-1}$, and that $(\alpha_n|\gamma) = -(\bar{\Lambda}_{n-1}|\gamma)$ for $\gamma \in Q$, we can rewrite this formulas as

$$t_\gamma \frac{e^{\tilde{\rho}'}}{1 - e^{-\alpha_n}} = e^{(n-1)\Lambda_0} \left(\sum_{\substack{p \geq 0 \\ (\gamma|\bar{\Lambda}_{n-1}) \geq 0}} - \sum_{\substack{p < 0 \\ (\gamma|\bar{\Lambda}_{n-1}) < 0}} \right) e^{-\frac{p}{n} \sum_{i=1}^n \varepsilon_i} e^{\rho + (n-1)\gamma + n\bar{\Lambda}_{n-1}} q^{\frac{n-1}{2}(\gamma|\gamma) + (\rho|\gamma) + p(\bar{\Lambda}_{n-1}|\gamma)}.$$

Plugging this in (1.12) and using that $\sum_{i=1}^n \varepsilon_i$ is W -invariant, we obtain:

$$(1.13) \quad \begin{aligned} \widehat{R}' &= e^{(n-1)\Lambda_0} \sum_{\gamma \in Q} \left(\sum_{\substack{p \geq 0 \\ (\gamma|\bar{\Lambda}_{n-1}) \geq 0}} - \sum_{\substack{p < 0 \\ (\gamma|\bar{\Lambda}_{n-1}) < 0}} \right) e^{-p(\alpha_n + \bar{\Lambda}_{n-1})} q^{\frac{n-1}{2}(\gamma|\gamma) + (\rho|\gamma) + p(\bar{\Lambda}_{n-1}|\gamma)} \\ &\times \sum_{w \in W} \varepsilon(w) w e^{\rho + (n-1)\gamma + p\bar{\Lambda}_{n-1}}. \end{aligned}$$

Thus we obtain the identity

$$\text{RHS of (1.11)} = \text{RHS of (1.13)}.$$

Comparing the coefficient of $e^{-p\alpha_n}$ for $p \geq 0$ in this identity, we obtain:

$$\begin{aligned} \widehat{\text{Rch}} L(-(1+p)\Lambda_0 + p\Lambda_{n-1}) &= e^{(n-1)\Lambda_0} \sum_{\substack{\gamma \in Q \\ (\gamma|\bar{\Lambda}_{n-1}) \geq 0}} q^{\frac{n-1}{2}(\gamma|\gamma) + (\rho|\gamma) + p(\bar{\Lambda}_{n-1}|\gamma)} \\ &\times \sum_{w \in W} \varepsilon(w) w e^{\rho + (n-1)\gamma + p\bar{\Lambda}_{n-1}} = \sum_{w \in W} \varepsilon(w) w \sum_{\substack{\gamma \in Q \\ (\gamma|\bar{\Lambda}_{n-1}) \geq 0}} t_\gamma e^{-(1+p)\Lambda_0 + p\Lambda_{n-1} + \tilde{\rho}}. \end{aligned}$$

This establishes formula (1.1) for $\Lambda = -(1+s)\Lambda_0 + s\Lambda_{n-1}$. Formula for $\Lambda = -(1+s)\Lambda_0 + s\Lambda_1$ follows by the involution of the Dynkin diagram of $\widehat{s\ell}_n$ which keeps the 0th node fixed.

Remark 1.2. Let $\Lambda = -(1+s)\Lambda_0 + s\Lambda_1$ and let $L(\Lambda) = \bigoplus_{j \in \mathbb{Z}_{\geq 0}} L(\Lambda)_j$ be the eigenspace decomposition of $L(\Lambda)$ with respect to $-d$. Let $\dim_q L(\Lambda) = \sum_{j \geq 0} (\dim L(\Lambda)_j) q^j$ be the ‘‘homogeneous’’ q -dimension of $L(\Lambda)$. Dividing both sides of the last equality in the proof of (1.1) by $e^{(n-1)\Lambda_0} R$, where R is the Weyl denominator of \mathfrak{g} , and letting all elements of \mathfrak{h}^* equal 0, we obtain by the usual argument:

$$\varphi(q)^{\dim \mathfrak{g}} \dim_q L(\Lambda) = \sum_{\substack{\gamma \in Q \\ (\bar{\Lambda}_1|\gamma) \geq 0}} \dim(s\bar{\Lambda}_1 + (n-1)\gamma) q^{\frac{n-1}{2}(\gamma|\gamma) + (s\bar{\Lambda}_1|\gamma)},$$

where $\dim(\lambda) = \prod_{\alpha \in \Delta_+} (\lambda + \rho|\alpha) / (\rho|\alpha)$ is the expression of the Weyl dimension formula for \mathfrak{g} .

Remark 1.3. For $\widehat{s\ell}_2$ the characters of the above modules are very easy (see, e.g. [KW88]): $e^{\Lambda_0} \widehat{\text{Rch}} L(-(1+s)\Lambda_0 + s\Lambda_1) = 1 - e^{-(s+1)\alpha_1}$, $s \in \mathbb{Z}_{\geq 0}$.

2 Proof of the character formulas for $\widehat{\mathfrak{g}}$, where $\mathfrak{g} = sp_n$

In order to prove the character formulas for \widehat{sp}_n , where $n \geq 4$, even, we use the embedding of sp_n in sl_n , obtained as the fixed point subalgebra of the involution σ , corresponding to the flip of the Dynkin diagram of sl_n . The normalized invariant bilinear form $(\cdot | \cdot)$ on sp_n is again the trace form. Let $n' = \frac{n}{2}$.

We will also use the embedding of the Lie algebra sp_n in the Lie superalgebra $spo_{n|2}$. The simple roots of the latter are $\alpha_*, \alpha_1, \dots, \alpha_{n'-1}, \alpha_{n'}$, where α_* is an odd root, and $\alpha_1, \dots, \alpha_{n'}$ are the simple roots of the former. We let $Q^\vee = \sum_{i=1}^{n'} \mathbb{Z}\alpha_i^\vee$ be the coroot (\neq root) lattice of sp_n .

In this section we prove the following two theorems.

Theorem 2.1. *Let $n \geq 4$ be even, and let $L(\Lambda)$ be an irreducible \widehat{sp}_n -module with highest weight Λ .*

(a) *If $\Lambda = -(1+s)\Lambda_0 + s\Lambda_1$, where $s \in \mathbb{Z}_{\geq 1}$, then*

$$\text{ch } L(\Lambda) = \widehat{R}^{-1} \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\Lambda_1) \geq 0}} t_\gamma(e^{\Lambda+\widehat{\rho}})$$

(b) *If $\Lambda = -\Lambda_0$, then*

$$\text{ch } L(\Lambda) = \frac{1}{2}(\widehat{R}^{-1} \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\Lambda_1) \geq 0}} t_\gamma(e^{\Lambda+\widehat{\rho}}) + e^{-\Lambda_0} \frac{\varphi(q^2)}{\varphi(q)} \prod_{\alpha \in \Delta_\ell} \prod_{k \in \mathbb{Z}_{\text{odd}} > 0} (1 - e^\alpha q^k)^{-1}),$$

where Δ_ℓ is the set of long roots of sp_n .

(c) *If $\Lambda = -2\Lambda_0 + \Lambda_2$, then $\text{ch } L(\Lambda)$ is obtained by an expression, obtained from (b) by dividing by q and replacing plus by minus between the summands.*

Theorem 2.2. *The characters of the \widehat{sp}_n -modules $L(-\Lambda_0)$ and $L(-2\Lambda_0 + \Lambda_2)$ can be written in the form (0.9) as follows:*

(a)

$$\widehat{R}\text{ch } L(-\Lambda_0) = \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\Lambda_1) \geq 0 \\ (\gamma|\Lambda_{n'}) \in 2\mathbb{Z}}} t_\gamma(e^{\Lambda+\widehat{\rho}}).$$

(b)

$$\widehat{R}\text{ch } L(-2\Lambda_0 + \Lambda_2) = \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\Lambda_2 - \Lambda_1) \geq 0 \\ (\gamma|\Lambda_{n'}) \in 2\mathbb{Z}}} t_\gamma(e^{\Lambda+\widehat{\rho}}).$$

First letting in the character $\text{ch } F$ of the \widehat{gl}_n -module F , considered in Section 1, $x = e^{\alpha_* - \varepsilon_{n'} q^{\frac{1}{2}}}$, and restricting the module F to \widehat{sp}_n , we obtain, cf. (0.4):

$$\begin{aligned}
& e^{-\Lambda_0} \prod_{k=1}^{\infty} (1 - e^{\alpha_*} q^k) (1 - e^{-\alpha_*} q^{k-1}) \prod_{k=1}^{\infty} \prod_{i=1}^{n'} (1 - e^{\alpha_* + \alpha_1 + \dots + \alpha_i} q^k) (1 - e^{-\alpha_* - \alpha_1 - \dots - \alpha_i} q^{k-1}) \\
(2.1) \quad & \times \prod_{k=1}^{\infty} \prod_{i=1}^{n'-1} (1 - e^{\alpha_* + \alpha_1 + \dots + 2\alpha_i + \dots + 2\alpha_{n'-1} + \alpha_{n'}} q^k) (1 - e^{-\alpha_* - \alpha_1 - \dots - 2\alpha_i - \dots - 2\alpha_{n'-1} - \alpha_{n'}} q^{k-1}) \\
& = \sum_{s \in \mathbb{Z}} e^{s(\alpha_* - \varepsilon_{n'})} q^{\frac{s}{2}} \text{ch } F_s \Big|_{\widehat{sp}_n}.
\end{aligned}$$

Next, we embed the Lie algebra sp_n in the Lie superalgebra $spo_{n|2}$ as described above. We denote the 0-th fundamental weight and the Weyl vector for $\widehat{spo}_{n|2}$ by Λ'_0 and $\widehat{\rho}'$ respectively. Then, by (0.7), we have again (1.8), when restricted to \widehat{sp}_n .

Denote by \widehat{R} and \widehat{R}' the Weyl denominator and Weyl superdenominator for \widehat{sp}_n and $\widehat{spo}_{n|2}$ respectively. Then we have by (1.8) for sp_n :

$$(2.2) \quad \widehat{R}' = \varphi(q) \widehat{R} \times (\text{LHS of (2.1)}).$$

On the other hand, the superdenominator identity for $\widehat{spo}_{n|2}$ reads [KW94], [G11]:

$$(2.3) \quad \widehat{R}' = \sum_{w \in \widehat{W}} \varepsilon(w) w \frac{e^{\widehat{\rho}'}}{1 - e^{-\alpha_*}},$$

where $\widehat{W} = W \ltimes \{t_\gamma \mid \gamma \in Q^\vee\}$ is the Weyl group of \widehat{sp}_n .

Using (2.1)–(2.3) and applying the same argument as in Section 1, we obtain for each $s \in \mathbb{Z}_{\geq 0}$:

$$(2.4) \quad \varphi(q) \widehat{R} (\text{ch } F_s) \Big|_{\widehat{sp}_n} = \sum_{w \in W} \varepsilon(w) w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma | \Lambda_1) \geq 0}} t_\gamma (e^{\Lambda + \widehat{\rho}}).$$

This proves claim (a) of Theorem 2.1, since, due to [AP12], the restriction of the \widehat{sl}_n -module $L(-\Lambda_0 + s\Lambda_1)$ to \widehat{sp}_n is irreducible for $s > 0$.

In order to prove claims (b) and (c), we need to study the module F more carefully. Recall that F is the unique irreducible module over the Clifford algebra Cl with generators $\varphi_k^{(i)}$ and $\varphi_k^{(i)*}$, $i = 1, \dots, n$, $k \in \frac{1}{2} + \mathbb{Z}$, with relations $\varphi_{-k}^{(i)*} \varphi_k^{(i)} - \varphi_k^{(i)} \varphi_{-k}^{(i)*} = 1$ and $= 0$ in the rest of the cases, which admits a non-zero vector $|0\rangle$, such that $\varphi_k^{(i)} |0\rangle = 0 = \varphi_k^{(i)*} |0\rangle$ for all $k > 0$, $i = 1, \dots, n$. The charge decomposition (1.2) is defined by letting charge $|0\rangle = 0$, charge $\varphi_k^{(i)} = 1 = -\text{charge } \varphi_k^{(i)*}$.

Note that the algebra Cl carries an involution σ , defined by

$$\sigma(\varphi_k^{(i)}) = (-1)^i \varphi_k^{(n+1-i)*}$$

This involution induces an involution of the space F_0 , denoted again by σ , letting $\sigma|0\rangle = |0\rangle$, so that we have its eigenspace decompositions

$$(2.5) \quad F_0 = F_0^1 \oplus F_0^{-1}.$$

The space F_0 is spanned by monomials

$$(2.6) \quad v = \varphi_{-k_1}^{(i_1)} \cdots \varphi_{-k_m}^{(i_m)} \varphi_{-l_1}^{(j_1)*} \cdots \varphi_{-l_m}^{(j_m)*} |0\rangle.$$

Since

$$\sigma(v) = (-1)^{\sum_{p=1}^m (i_p + j_p) + m} \varphi_{-l_1}^{(n+1-j_1)} \cdots \varphi_{-l_m}^{(n+1-j_m)} \varphi_{-k_1}^{(n+1-i_1)*} \cdots \varphi_{-k_m}^{(n+1-i_m)*} |0\rangle,$$

we see that, if $v \in F_0^{-1}$, we have ($1 \leq p \leq m$):

$$(2.7) \quad n + 1 - j_p = i_p, \quad n + 1 - i_p = j_p, \quad l_p = k_p;$$

$$(2.8) \quad \sum_{p=1}^m (i_p + j_p) + m \equiv 1 \pmod{2}.$$

By (2.7) we have $\sum_{p=1}^m i_p = \sum_{p=1}^m (n + 1) - \sum_{p=1}^m j_p$. Therefore $\sum_{p=1}^m (i_p + j_p) = m \pmod{2}$, which contradicts (2.8). Hence F_0^{-1} contains no monomials (2.6).

Thus, for a monomial (2.6) we have: either $\sigma(v) = v$, or v and $\sigma(v)$ are linearly independent. Denote by F_0^\sharp the subspace of F_0 spanned by monomials fixed by σ . From the above discussion we obtain:

$$(2.9) \quad \text{ch } F_0^{\pm 1} = \frac{1}{2} (\text{ch } F_0 \pm \text{ch } F_0^\sharp).$$

Recall the construction of the representation of $\widehat{g\ell}_n$ of level -1 in F [KW01]. Let $\varphi^{(i)}(z) = \sum_{k \in \frac{1}{2} + \mathbb{Z}} \varphi_k^{(i)} z^{-k - \frac{1}{2}}$, $\varphi^{(i)*}(z) = \sum_{k \in \frac{1}{2} + \mathbb{Z}} \varphi_k^{(i)*} z^{-k - \frac{1}{2}}$. Then

$$(2.10) \quad e_{ij}(z) \mapsto: \varphi^{(i)}(z) \varphi^{(j)*}(z) :, \quad K \mapsto -1, \quad d \mapsto -L_0$$

defines a representation of $\widehat{g\ell}_n$ in F of level -1 (preserving (1.2)). Here

$$\sum_{k \in \mathbb{Z}} L_k z^{-k-2} = \frac{1}{2} \sum_{j=1}^n (: \partial \varphi^{(j)}(z) \varphi^{(j)*}(z) : - : \partial \varphi^{(j)*}(z) \varphi^{(j)}(z) :)$$

is the representation in F of the Virasoro algebra. In particular, the Heisenberg subalgebra H of $\widehat{g\ell}_n$ is represented in F as

$$\sum_{k \in \mathbb{Z}} (I_n t^k) z^{-k-1} \mapsto \sum_{k \in \mathbb{Z}} H_k z^{-k-1} = \sum_{i=1}^n : \varphi^{(i)}(z) \varphi^{(i)*}(z) :.$$

Since the $\widehat{g\ell}_n$ -module F_0 is irreducible [AP14], we have the following decomposition of it as an $H \oplus \widehat{sl}_n$ -module

$$(2.11) \quad F_0 = V \otimes L(-\Lambda_0),$$

where V is an irreducible H -module with highest weight vector $|0\rangle$, i.e. $(I_n t^k)|0\rangle = 0$ for $k \geq 0$. We obviously have:

$$V = \mathbb{C} [H_{-k} \mid k \in \mathbb{Z}_{>0}] |0\rangle \text{ and } \sigma(H_k) = -H_k.$$

Hence, in particular V is σ -invariant, so that we have the eigenspace decomposition with respect to $\sigma : V = V^1 \oplus V^{-1}$. Note that the action of $\widehat{sp}_n \subset \widehat{gl}_n$ on F_0 commutes with the action of σ on F_0 , hence both F_0^1 and F_0^{-1} are \widehat{sp}_n -modules. Moreover, due to [AP12], the \widehat{sl}_n -module $L(-\Lambda_0)$, restricted to \widehat{sp}_n , is a direct sum of two irreducible modules, with highest weights $-\Lambda_0$ and $-\Lambda_0 + \bar{\Lambda}_2 \pmod{\mathbb{C}\delta}$. But it is easy to see that

$$(\varphi_{-\frac{1}{2}}^{(1)} \varphi_{-\frac{1}{2}}^{(n-1)*} + \varphi_{-\frac{1}{2}}^{(2)} \varphi_{-\frac{1}{2}}^{(n)*})|0\rangle$$

is a singular vector for \widehat{sp}_n , and its weight is $-\Lambda_0 + \bar{\Lambda}_2 - \delta$. Thus we obtain

Lemma 2.3. *As an $H \oplus \widehat{sp}_n$ -module, one has*

$$F_0^1 \simeq V^1 \otimes L(-\Lambda_0) + V^{-1} \otimes L(-\Lambda_0 + \Lambda_2 - \delta), \quad F_0^{-1} = V^{-1} \otimes L(-\Lambda_0) + V^1 \otimes L(-\Lambda_0 + \bar{\Lambda}_2 - \delta).$$

It is easy to see that

$$(2.12) \quad \text{ch } V^{\pm 1} = \frac{1}{2} \left(\frac{1}{\varphi(q)} \pm \frac{\varphi(q)}{\varphi(q^2)} \right),$$

hence we have

$$(2.13) \quad (\text{ch } V^1)^2 - (\text{ch } V^{-1})^2 = \frac{1}{\varphi(q^2)}.$$

Next, we obviously have:

$$F_0^\sharp = \mathbb{C} \left[\varphi_{-k}^{(i)} \varphi_{-k}^{(n+1-i)*} \mid 1 \leq i \leq n, k \in \frac{1}{2} + \mathbb{Z}_{\geq 0} \right] |0\rangle,$$

hence

$$\text{ch } F_0^\sharp = e^{-\Lambda_0} \prod_{k \in \mathbb{Z}_{\text{odd}} > 0} \prod_{i=1}^n (1 - e^{\varepsilon_i - \varepsilon_{n+1-i}} q^k)^{-1}.$$

It follows that

$$(2.14) \quad \text{ch } F_0^\sharp|_{\widehat{sp}_n} = e^{-\Lambda_0} \prod_{k \in \mathbb{Z}_{\text{odd}} > 0} \prod_{\alpha \in \Delta_\ell} (1 - e^\alpha q^k)^{-1}.$$

Now we are able to complete the proofs of claims (b) and (c) of Theorem 2.1. By Lemma 2.3 we have:

$$(2.15) \quad \text{ch } V^{\pm 1} \text{ch } L(\Lambda_0) + \text{ch } V^{\mp 1} \text{ch } (-\Lambda_0 + \bar{\Lambda}_2 - \delta) = \text{ch } F_0^{\pm 1}.$$

From (2.9), (2.13) and (2.15) we obtain:

$$\frac{1}{\varphi(q^2)} \text{ch } L(\Lambda_0) = \frac{1}{2} (\text{ch } V^1 - \text{ch } V^{-1}) \text{ch } F_0|_{\widehat{sp}_n} + \frac{1}{2} (\text{ch } V^1 + \text{ch } V^{-1}) \text{ch } F_0^\sharp|_{\widehat{sp}_n}.$$

Now claim (b) follows from (2.14). Claim (c) follows from Lemma 2.3 and claims (a), (b).

Next, we turn to the proof of Theorem 2.2. First from the denominator identity of $A_{2n'-1}^{(2)}$ we deduce the following lemma.

Lemma 2.4. *Let $M = \{\gamma \in Q^\vee \mid (\gamma|\bar{\Lambda}_n) \in 2\mathbb{Z}\}$. Then*

$$e^{-\Lambda_0} \frac{\varphi(q^2)}{\varphi(q)} \widehat{R} \prod_{\substack{\alpha \in \Delta_\ell \\ k \in \mathbb{Z}_{\text{odd}} > 0}} (1 - e^\alpha q^k)^{-1} = \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in M} t_\gamma(e^{n'\Lambda_0 + \rho}).$$

Using this lemma, we can rewrite the character formulas, given by Theorem 2.1(b) and (c) as follows

$$(2.16) \quad \widehat{R} \text{ch } L(-\Lambda_0) = \frac{1}{2} \sum_{w \in W} \varepsilon(w) w \left(\sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_1) \geq 0}} + \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}}} \right) t_\gamma(e^{n'\Lambda_0 + \rho}).$$

$$(2.17) \quad \widehat{R} \text{ch } (-\Lambda_0 + \bar{\Lambda}_2) = \frac{1}{2q} \sum_{w \in W} \left(\sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_1) \geq 0}} - \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}}} \right) t_\gamma(e^{n'\Lambda_0 + \rho}).$$

In order to rewrite these formulas into a nicer form we introduce a different \mathbb{Z} -basis of Q^\vee :

$$\gamma_i = \alpha_i^\vee + \cdots + \alpha_{n'}^\vee, \quad i = 1, \dots, n'.$$

Then, letting $\gamma = \sum_k j_k \gamma_k$, we have:

$$(2.18) \quad (\gamma|\bar{\Lambda}_1) = j_1, \quad (\gamma|\bar{\Lambda}_2 - \bar{\Lambda}_1) = j_2, \quad (\gamma|\bar{\Lambda}_{n'}) = \sum_k j_k.$$

Using that $(-\Lambda_0 + \widehat{\rho} | \delta - \theta) = 0$, we obtain

Lemma 2.5. *For $\Omega \subset \mathbb{Z}^{n'}$ let*

$$\Omega' = \{(-j_1 - 1, j_2, \dots, j_{n'}) \mid (j_1, \dots, j_{n'}) \in \Omega\}.$$

Then

$$\sum_{w \in W} \varepsilon(w) w \sum_{(j_1, \dots, j_{n'}) \in \Omega} t_{\sum_k j_k \gamma_k}(e^{n\Lambda_0 + \rho}) = - \sum_{w \in W} \varepsilon(w) w \sum_{(j_1, \dots, j_{n'}) \in \Omega'} t_{\sum_k j_k \gamma_k}(e^{n\Lambda_0 + \rho}).$$

Introduce the following shorthand notation:

$$[\text{condition } (*) \text{ on } \gamma] := \sum_{w \in W} \varepsilon(w) w \sum_{\substack{\gamma \in Q^\vee \\ \gamma \text{ satisfies } (*)}} t_\gamma(e^{n'\Lambda_0 + \rho}).$$

Applying Lemma 2.5 to the set $\Omega = \{(j_1, \dots, j_n) \in \mathbb{Z}^{n'} \mid j_1 \geq 0, \sum_k j_k \in \mathbb{Z}_{\text{odd}}\}$, we obtain in this notation:

$$(2.19) \quad [(\gamma|\bar{\Lambda}_1) \geq 0, (\gamma|\bar{\Lambda}_{n'}) \in 1 + 2\mathbb{Z}] = - [(\gamma|\bar{\Lambda}_1) < 0, (\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}].$$

In the above notation, formula (2.16) becomes:

$$\widehat{R} \text{ch } L(-\Lambda_0) = \frac{1}{2} ([(\gamma|\bar{\Lambda}_1) \geq 0] + [(\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}]).$$

Using (2.19), this completes the proof of claim (c) of Theorem 2.2.

Likewise in the above notation formula (2.17) becomes:

$$\widehat{R} \operatorname{ch} L(-\Lambda_0 + \bar{\Lambda}_2) = \frac{1}{2q} ([(\gamma|\bar{\Lambda}_1) \geq 0] - [(\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}]).$$

Using (2.19) this can be rewritten as

$$(2.20) \quad \widehat{R} \operatorname{ch} L(-\Lambda_0 + \bar{\Lambda}_2) = -\frac{1}{q} \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_1) < 0 \\ (\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}}} t_\gamma(e^{n'\Lambda_0 + \rho}).$$

In order to rewrite this formula further we need the following properties of roots and weights of sp_n , which are straightforward.

Lemma 2.6. *The weight $\lambda := \bar{\Lambda}_2$ satisfies the following properties:*

(a) λ is a positive short root, given by

$$\lambda = \alpha_1 + 2(\alpha_1 + \cdots + \alpha_{n'-1}) + \alpha_{n'} = \frac{1}{2}(\gamma_1 + \gamma_2).$$

(b) $(\lambda|\gamma_i) = 1$ if $i = 1, 2$, and $= 0$ otherwise.

(c)

$$r_\lambda \left(\sum_{k=1}^{n'} j_k \gamma_k \right) = -j_2 \gamma_1 - j_1 \gamma_2 + \sum_{k=3}^{n'} j_k \gamma_k.$$

(d)

$$r_{\delta - \lambda} = r_\lambda t_{-\gamma_1 - \gamma_2}.$$

(e)

$$r_{\delta - \lambda}(n'\Lambda_0 + \rho) = n'\Lambda_0 + \rho + \lambda - \delta = (-\Lambda_0 + \lambda - \delta) + \widehat{\rho}.$$

Using Lemma 2.6, we can rewrite (2.20) as follows:

$$\begin{aligned} \widehat{R} \operatorname{ch} L(-\Lambda_0 + \bar{\Lambda}_2) &= -\frac{1}{q} \sum_{w \in W} \varepsilon(w)w \sum_{\substack{\gamma \in Q^\vee \\ (\gamma|\bar{\Lambda}_1) < 0 \\ (\gamma|\bar{\Lambda}_{n'}) \in 2\mathbb{Z}}} t_\gamma r_{\bar{\Lambda}_2} t_{-\gamma_1 - \gamma_2} (e^{-\Lambda_0 + \bar{\Lambda}_2 + \widehat{\rho} - \delta}). \\ &= \sum_{w \in W} \varepsilon(w)w \sum_{\substack{j_1, \dots, j_{n'} \in \mathbb{Z} \\ j_1 < 0 \\ \sum_k j_k \in 2\mathbb{Z}}} t_{(-j_2 - 1)\gamma_1 + (-j_1 - 1)\gamma_2 + \sum_{k=3}^{n'} j_k \gamma_k} (e^{-\Lambda_0 + \bar{\Lambda}_2 + \widehat{\rho}}). \end{aligned}$$

Replacing in the last expression $-j_2 - 1$ by j_1 and $-j_1 - 1$ by j_2 , we obtain:

$$\widehat{R} \operatorname{ch} L(-\Lambda_0 + \bar{\Lambda}_2) = \sum_{w \in W} \varepsilon(w)w \sum_{\substack{j_1, \dots, j_{n'} \in \mathbb{Z} \\ j_2 \geq 0 \\ \sum_k j_k \in 2\mathbb{Z}}} t_{\sum_k j_k \gamma_k} (e^{-\Lambda_0 + \bar{\Lambda}_2 + \widehat{\rho}}).$$

Now, by (2.18), claim (b) of Theorem 2.2 follows.

3 A character formula for the Deligne series modules

In this section we prove the following simple theorem.

Theorem 3.1. *Let \mathfrak{g} be a simply laced Lie algebra of rank ℓ (so that $\alpha_i^\vee = \alpha_i$), and Λ be a weight of $\widehat{\mathfrak{g}}$ of level $k \in \mathbb{Z}_{<0}$, such that the following conditions hold:*

- (i) $(\Lambda|\alpha_i) \in \mathbb{Z}_{\geq 0}$ for $i = 1, \dots, \ell$,
- (ii) there exists a positive root α of \mathfrak{g} , such that $(\Lambda + \widehat{\rho}|\delta - \alpha) = 0$,
- (iii) if $\beta \in \widehat{\Delta}_+$ is orthogonal to $\Lambda + \widehat{\rho}$, then $\beta = \delta - \alpha$,
- (iv) (extra hypothesis) in the character formula (0.9) one has:

$$c(\gamma) := c(t_\gamma) = (\text{linear function in } \gamma \in Q) + \text{const.}$$

Then

$$(3.1) \quad \widehat{R} \text{ch } L(\Lambda) = \frac{1}{2} \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} ((\alpha|\gamma) + 1) t_\gamma(e^{\Lambda + \widehat{\rho}}).$$

Note that Theorem 1.1 shows that the extra hypothesis fails for $\mathfrak{g} = \mathfrak{sl}_n$, $k = -1$. However, the comparison with [Kaw15], [AK16] indicates that the following conjecture may hold.

Conjecture 3.2. *If $\mathfrak{g} = D_4, E_6, E_7$, or E_8 , then the extra hypothesis (iv) holds (hence the character formula (3.1) holds).*

Remark 3.3. If $\Lambda = k\Lambda_0$ for $k \in \mathbb{Z}_{<0}$, conditions (i)–(iii) of Theorem 3.1 hold for $k = (-\frac{h^\vee}{6} - 1) + s$, where $s = 0, 1, \dots, b - 1$ and $b = 2, 3, 4, 6$ for $\mathfrak{g} = D_4, E_6, E_7, E_8$ respectively. This explains the name “Deligne series modules”, cf. [Kaw15], [AK16], [AM16].

The proof of Theorem 3.1 is easy (but it is probably quite hard to verify the extra hypothesis (iv)). Indeed, by (i) $\text{ch } L(\Lambda)$ is W -invariant, and, by (ii), $k + h^\vee > 0$, hence (0.9) holds and it can be rewritten as

$$(3.2) \quad \widehat{R} \text{ch } L(\Lambda) = \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} c(\gamma) t_\gamma(e^{\Lambda + \widehat{\rho}}).$$

Since, by (ii) we have $r_{\delta - \alpha}(\Lambda + \widehat{\rho}) = \Lambda + \widehat{\rho}$, and also $r_{\delta - \alpha} = r_\alpha t_{-\alpha}$, $r_\alpha t_\gamma r_\alpha = t_{r_\alpha(\gamma)}$, $\varepsilon(w r_\alpha) = -\varepsilon(w)$, we can rewrite (3.2) as

$$\widehat{R} \text{ch } L(\Lambda) = - \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} c(\gamma) t_{r_\alpha(\gamma) - \alpha}(e^{\Lambda + \widehat{\rho}}).$$

Replacing in this formula γ by $r_\alpha(\gamma) - \alpha$, we obtain

$$(3.3) \quad \widehat{R} \text{ch } L(\Lambda) = - \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} c(r_\alpha(\gamma) - \alpha) t_\gamma(e^{\Lambda + \widehat{\rho}}).$$

Taking half the sum of (3.2) and (3.3), we obtain

$$(3.4) \quad \widehat{R} \operatorname{ch} L(\Lambda) = \frac{1}{2} \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} \tilde{c}(\gamma) t_\gamma(e^{\Lambda + \widehat{\rho}})$$

where $\tilde{c}(\gamma) = c(\gamma) - c(r_\alpha(\gamma) - \alpha)$. The function $\tilde{c}(\gamma)$ has the following two properties:

$$(3.5) \quad \tilde{c}(\gamma) = -\tilde{c}(r_\alpha(\gamma) - \alpha), \gamma \in Q,$$

$$(3.6) \quad \tilde{c}(\gamma) = (\text{linear function in } \gamma) + \text{const}, \gamma \in Q.$$

By (3.6), which holds due to the condition (iv), we can write for some $\beta \in \mathfrak{h}^*, a \in \mathbb{C}$:

$$(3.7) \quad \tilde{c}(\gamma) = (\gamma|\beta) + a, \gamma \in Q.$$

Then we obtain for all $\gamma \in Q$:

$$(3.8) \quad \tilde{c}(r_\alpha(\gamma) - \alpha) = (\gamma|\beta) - (\alpha|\beta)(\gamma|\alpha) - (\alpha|\beta) + a$$

Since, by (3.5), (3.7) = -(3.8), we obtain:

$$2(\gamma|\beta) - (\alpha|\beta)(\alpha|\alpha) - (\alpha|\beta) + 2a = 0, \text{ for all } \gamma \in Q.$$

Hence $\beta = \frac{1}{2}(\alpha|\beta)\alpha$ and $a = \frac{1}{2}(\alpha|\beta)$. Therefore, by (3.7), we obtain

$$(3.9) \quad \tilde{c}(\gamma) = \text{const.} \times ((\gamma|\alpha) + 1) \text{ for all } \gamma \in Q.$$

By (3.4) and (3.9), we have:

$$(3.10) \quad \widehat{R} \operatorname{ch} L(\Lambda) = \text{const.} \times \sum_{w \in W} \varepsilon(w) w \sum_{\gamma \in Q} ((\gamma|\alpha) + 1) t_\gamma(e^{\Lambda + \widehat{\rho}}).$$

Since the stabilizer in \widehat{W} of any $\lambda \in \mathfrak{h}^*$ of positive level is generated by reflections $r_\alpha, \alpha \in \widehat{\Delta}_+^{\text{re}}$ fixing λ [K90], by the conditions (ii) and (iii) we see that $\widehat{W}_{\Lambda + \widehat{\rho}} = \{1, r_{\delta - \alpha}\}$. It follows that $\text{const.} = \frac{1}{2}$ in (3.10), proving (3.1).

Conjecture 3.4. *If $\mathfrak{g} = D_4, E_6, E_7$ or E_8 and $k = -1, -2, \dots, -b$, where $b = 2, 3, 4$, or 6 respectively, then all irreducible modules from the category \mathcal{O} of the vertex algebra $L(k\Lambda_0)$ are those from Theorem 3.1. (It follows from [AM16] that all these vertex algebras are quasilinear, hence, by [AK16], have only finitely many irreducible modules in the category \mathcal{O} .)*

Example 3.5. Let $\mathfrak{g} = D_4, k = -1$. Then the following Λ 's satisfy the conditions (i), (ii), (iii) of Theorem 3.1 (we label the branching node of the Dynkin diagram of D_4 by 2):

$$\begin{aligned} & -\Lambda_0; \quad -2\Lambda_0 + \Lambda_i \quad (i = 1, 3, 4); \quad -3\Lambda_0 + \Lambda_2; \\ & -3\Lambda_0 + \Lambda_i + \Lambda_j \quad ((i, j) = (1, 3), (1, 4), (3, 4)). \end{aligned}$$

Remark 3.6. Of course, one has a formula for homogeneous q -dimension, similar to that in Remark 1.2 in all cases, considered in Sectins 2 and 3. We checked on the computer that in the case of D_4 it is compatible with the formula for q -dimension of $L(-2\Lambda_0)$ from [AK16].

Remark 3.7. Note that $((\gamma|\alpha) + 1)t_\gamma(e^{\Lambda+\widehat{\rho}}) = \frac{1}{k+h\nabla}D_\alpha t_\gamma(e^{\Lambda+\widehat{\rho}})$, where D_α is the derivative in the direction α . Hence the RHS of (3.10) is a linear combination of derivatives of theta functions.

Remark 3.8. By Theorem 3.1 from [KRW03] one has:

$$\widehat{R}(\mathfrak{g}, f)ch_{H(\Lambda)}(\tau, h) = (\widehat{R}_n ch_\Lambda)(\tau, -\tau x + h, \tau/4), \text{ where } h \in \mathfrak{h}^f,$$

for any W -algebra $W^k(\mathfrak{g}, f)$, obtained by the quantum Hamiltonian reduction of the $\widehat{\mathfrak{g}}$ -module $L(\Lambda)$ of level k . Here $\widehat{R}(\mathfrak{g}, f)$ is the denominator of $W^k(\mathfrak{g}, f)$, $\widehat{R}_n = q^{\dim \mathfrak{g}/24}\widehat{R}$ is the normalised affine Weyl denominator, $ch_\Lambda = q^{m_\Lambda}chL(\Lambda)$ is the normalized character [K90]. In particular, if $\mathfrak{g} = D_4, E_6, E_7, E_8$, $k = -b$, f is the minimal nilpotent element of \mathfrak{g} , and $L(\Lambda)$ is a module of level k over the corresponding simple vertex algebra, then the simple W -algebra $W_k(\mathfrak{g}, f)$ is 1-dimensional [AKMPP16], hence $ch_{H(\Lambda)} = 1$, and we get a formula relating $\widehat{R}(\mathfrak{g}, f)$ to $chL(\Lambda)$ for $z = -\tau x + h$.

References

- [AKMPP16] D. Adamovic, V.G. Kac, P. Moseneder-Frajria, P. Papi, O. Perse, Conformal embeddings of affine vertex algebras in minimal W -algebras I: structural results, arXiv:1602.04687
- [AP12] D. Adamovic, O. Perse, The vertex algebra $M(1)^+$ and certain affine vertex algebras of level -1 , *Sigma* **8** (2012), 1-16.
- [AP14] D. Adamovic, O. Perse, Fusion rules and complete reducibility of certain modules for affine Lie algebras, *J. Algebra Appl.* **13** (2014), no. 1, 1350062.
- [AK16] T. Arakawa, K. Kawasetsu, Quasi-lisse vertex algebras and modular linear differential equations, arXiv:1610.05865.
- [AM16] T. Arakawa, A. Moreau, On the irreducibility of associated varieties of W -algebras, arXiv:1608.03142.
- [G11] M. Gorelik, Weyl denominator identity for affine Lie superalgebras with non-zero dual Coxeter number, *J. Algebra* **337** (2011), 50-62.
- [K90] V. G. Kac, Infinite-dimensional Lie algebras, Third edition, Cambridge University Press, 1990.
- [KK79] V. G. Kac, D.A. Kazhdan, Structure of representations with highest weight of infinite-dimensional Lie algebras, *Adv. in Math* **34** (1979), no. 1, 97-108.
- [KRW03] V. G. Kac, S.-S. Roan, M. Wakimoto, Quantum reduction of affine superalgebras, *Comm. Math. Phys.* **241** (2003), 307–342.
- [KW88] V. G. Kac, M. Wakimoto, Modular invariant representations of infinite-dimensional Lie algebras and superalgebras, *Proc. Nat. Acad. Sci. USA* **85** (1988), pp 4956–4960.

- [KW94] V. G. Kac, M. Wakimoto, Integrable highest weight modules over affine superalgebras and number theory, *Progress in Math.* **123**, Birkhäuser, 1994, pp 415–456.
- [KW01] V. G. Kac, M. Wakimoto, Integrable highest weight modules over affine superalgebras and Appell’s function, *Commun. Math. Phys.* **215** (2001), 631–682.
- [KT00] M. Kashiwara, T. Tanisaki, Characters of the irreducible modules with non-critical highest weights over affine Lie algebras, in *Representations and Quantizations* (Shanghai, 1998), China High Education Press, Beijing, 2000.
- [Kaw15] K. Kawasetsu, W -algebras with non-admissible levels and the Deligne exceptional series, arXiv:1505.06985.