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Nanoscale Control with Chemically Vapor Deposited Polymers

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Abstract

Chemical Vapor Deposition (CVD) brings nanoscale control to the characteristics of polymer thin films. Ultrathin (< 20 nm) and ultrasmooth (< 1 nm rms roughness) layers, as well as films > 10 μm thick, conform to the geometric structures on which the CVD polymers grow. Conformal coverage is essential for three-dimensional device architectures and electronics fabricated on papers and textiles. Systematic variation in CVD process parameters provides remarkable control of characteristics ranging π - π stacking distances and crystallite orientation in electrically conducting polymers, to mesh sizes in hydrogels. The CVD polymer processes scale to large areas and roll-to-roll processing and readily integrate with CVD processes widely utilized by the semiconductor industry for inorganic materials. CVD polymerization operates at low growth temperatures and allows for in situ grafting and single-step crosslinking to enhance durability. CVD polymers provide control over surface energy, permeation rates of molecules and ions, optoelectronic properties, and switchable smart behavior. These characteristics, combined with the low extrinsic defect densities of all-dry processing, enable novel approaches to energy storage and conversion, molecular separations, sensing and actuation, biotechnology, and catalysis. Fundamental understanding of CVD polymerization draws on the extensive knowledge base for both conventional polymers and CVD-grown inorganic thin films.

Introduction

Chemical Vapor Deposition (CVD) offers precision and purity for polymer layers.^{1,2} In analogy to the inorganic CVD films widely employed in the semiconductor industry, polymers produced by CVD offer low defect densities, thickness control at the nanoscale, and ability to conformally cover complex nanostructures. In contrast, de-wetting and surface tension makes it challenging for solution-applied polymer processes to achieve pinhole-free ultrathin films and to retain the geometry of the underlying structure.

Rapid progress has resulted for CVD methods which preserve the fidelity of chemical structure of the monomer in the deposited film. The design of the different CVD methods relies on the mechanistic understanding of the multiple routes for macromolecule formation established by solution chemists.³

Step growth to form conjugated chains can be achieved by oxidative polymerization. When both the monomers and the oxidants arrive as vapors to the growth surface, the process is called oxidative CVD (oCVD, Fig. 1a).⁴ The oxidant drives both polymerization and in situ doping. The sp^2 carbons in the backbone of the oCVD chains typically produces conjugation. The electrical and ionic conduction of oCVD thin films are of broad interest for optoelectronic and electrochemical applications.⁵

Chain growth occurs as a heterogeneous process involving the reaction absorbed monomers and an impinging volatile initiator (Fig. 1b).^{6,7} The monomers for initiated CVD (iCVD) typically contain vinyl bonds ($>C=C<$) which polymerize to an all- sp^3 carbon backbone. Thus, the iCVD polymers are primarily dielectrics and span a range of surface energies, from superhydrophobic fluoropolymers to stable, highly-swellable hydrogels. Reactive organic moieties at the surface, allow post-deposition functionalization of iCVD surfaces with biomolecules and nanoparticles. The high density of organic functional groups in iCVD polymers can result in “smart” behavior in response to temperature, light, or pH. The capability of fine tuning the mesh size of crosslinked iCVD hydrogels enables selective permeation to molecules and ions differing in size and solubility characteristics.⁸ Controlling diffusivity in thin organic layers underlies multiple applications including electrochemical energy storage, membrane separations, and controlled drug release.

Condensation polymers form by the reaction of pairs of organic functional groups. As a result of the coupling reaction, often an oxygen or nitrogen atom becomes part of the backbone, distinguishing condensation polymers from vinyl iCVD polymers. Alternation of the vapor flows of monomer pairs with allows the layer by layer growth, known as molecular layer deposition (MLD).^{9,10} MLD shares many processing characteristics with Atomic Layer Deposition (ALD).

CVD paracyclophanes (i.e. parylenes) have a long history and have been widely commercialized as dielectrics. The library of CVD paracyclophanes continues to grow, providing an increasing diversity of organic functionalities, particularly for applications in biotechnology.^{11,12}

This review will focus on the most recent developments for oCVD and iCVD polymers. Both methods operate at low growth temperatures and have been scaled up and demonstrated for roll-to-roll deposition.^{13,14,15,16,17} While predominately used for inorganic layers, hybrid materials have also been synthesized by both oCVD and iCVD. Details on the progress achieved for other CVD polymer methods can be found in the reviews cited above.

oxidative Chemical Vapor Deposition (oCVD)

Synthesis Strategies

Monomers for oCVD include thiophene, aniline, pyrrole, selenophene, and their derivatives^{5,18}. Additional oCVD monomers are metal porphyrins¹⁹; and dopamine²⁰.

Oxidant vapors can be produced by the sublimation of a solid such as $FeCl_3$, $CuCl_2$, or $MoCl_5$.^{21,22} More volatile oxidants include the liquids $VOCl_3$, $SbCl_5$, H_2SO_4 ; and Br_2 gas.^{23,24,25,26}

Volatile oxidants can eliminate the need for post-deposition rinsing and thus allow oCVD to be single-step all-dry process. If the flows of oxidant and monomer are alternated, the technique is termed oxidative MLD (oMLD).²²

Grafting improves interfacial adhesion to the substrate. Delamination failures are reduced, particularly during pattern formation steps used to create devices. For *in situ* linker-free grafting, oxidant vapor exposure causes a Friedel-Crafts reaction directly on surfaces possessing aromatic groups (Fig. 1c).²⁷ Such substrates includes the flexible organic films polyethylene terephthalate (PET) and polyethylene naphthalate (PEN). The resulting radical cation is surface site for the

growth of grafted oCVD chains. Alternatively, *ex situ* grafting for oCVD proceeds by pretreatment of the growth surface with a linker molecule, such as phenyl trichlorosilane²⁷, vinyl trichlorosilane^{28, 29}, or decadiene³⁰.

Optoelectronic and Thermal Properties and Devices

The intensive interest in conducting polymers derives from their electrical, optical, and thermal properties combined with their mechanical flexibility.^{31,32,33} Additionally, the conformal nature of oCVD enables integration of conducting polymers into three-dimensional hybrid organic/inorganic device architectures³⁴ and into electronics fabricated directly on papers and textiles^{35,36}.

The monomer 3,4-ethylene dioxythiophene (EDOT) produces oCVD PEDOT thin films which can exhibit electrical conductivity, σ , > 6000 S/cm,³⁷ exceeding the of all previously reported methods.³⁸ The maximum σ values fall in the range for indium tin oxide (ITO).³⁹ ITO is widely utilized as transparent conductor, but has limited mechanical flexibility as compared to organic polymers.

The choice of oxidant determines the small anionic dopant species, chlorine, bromine, or sulfate ions, in the as-deposited film. The oxidant strength and concentration influences chain length and macroscopic growth rate. High conductivity also requires significant conjugation length along the polymers chain and maintaining the fidelity of chemical structure of the EDOT monomeric unit. The loss of full chemical functionality and conjugation by plasma vapor deposition methods substantially reduces σ to $\sim 10^{-2}$ S/cm.⁴⁰ PEDOT films produced from solution display significant loss in σ with decreased measurement temperature, reflecting higher levels of intrinsic disorder than is present in oCVD layers.²⁵ Increasing σ correlates with reduced oCVD PEDOT disorder, as revealed by optical determined Urbach edge width.³³

The oCVD synthesis approach provides systematic control over crystallite sizes (< 1 nm to ~ 80 nm) in highly conducting semicrystalline PEDOT films (Fig. 2a).^{29,41,42} Film thickness on the order of the crystallite dimensions can lead to 2D carrier transport with enhanced in-plane conductivity, $\sigma_{||}$.²⁹

Preferential orientation of the oCVD PEDOT crystallites with respect to the growth surfaces produces anisotropic conductivity.³⁷ Texture can result from grafting²⁹, controlling growth temperature and film thickness³⁷, and from the choice of oxidant³³ In the face-on orientation, the extended states resulting from the π orbitals of the conjugated ring structures are parallel to the surface (Fig. 2b). The orthogonal orientation is known as edge-on texture. Face-on orientation typically requires the growth temperature to exceed the glass transition temperature, T_g , for PEDOT (~ 102 °C).⁴³ This observation suggests that molecular reorientation during film growth contributes to achieving face-on texture.

The face-on orientation produces significantly improved $\sigma_{||}$ (Fig. 2c).³⁷ For each oCVD PEDOT film represented in Fig. 2c, the Seebeck coefficient is 11 ± 1 μ V/K and the work function is 5.33 ± 0.04 eV. Thus, any variations in p , the carrier concentration, are relatively modest. Therefore,

the observed changes in $\sigma_{||}$ must result primarily from the carrier mobility, μ . The maximum μ of $18.45 \text{ cm}^2/\text{Vs}$ was measured using the Hall effect and is in agreement with values calculated using the Kang-Schneider model.

For the films represented in Fig. 2c, the energy barrier for intercrystalline charge transport was significantly higher in the edge-on orientation (175.4 meV) as compared to the face-on orientation (0.2 meV).³⁷ In the face-on orientation, there is a higher probability of having two adjacent crystallites with the same alignment (Fig. 2b), denoted as tilt angle of zero. In analogy, to transport in polycrystalline inorganic films⁴⁴, a zero tilt angle is hypothesized to facilitate intercrystallite charge transport in semicrystalline PEDOT, consistent with the low activation barrier determined for the face-on orientation.

Mechanisms for charge transport between PEDOT crystallites can occur in parallel. One hypothesis is course-grain variable range hopping (VHR).²⁹ The temperature dependent σ for oCVD PEDOT films follow the model predictions of a linear combination of Mott VHR with Efros-Shklovskii (ES) VHR. Mott's model assumes negligible interactions between delocalized electrons. The interactions assumed by the ES model opens up a soft Coulomb gap.

In parallel to VHR, conduction in along bridging chains has been hypothesized in highly-conductive oCVD PEDOT (Fig. 3a).³³ In the face-on orientation, adjacent crystallites have an increased probability of having the same orientation to form a percolation pathway and thus may create quasi-1D conduction (Fig. 3b). PEDOT chains which connect between regions of ordered π - π stacking are predicted by molecular dynamics simulations.⁴⁵ The presence of tie chains between ordered domains, has also been postulated to explain carrier transport in conjugated polymer poly(3-hexylthiophene), P3HT.^{46,47} The synthesis method must achieve chains of sufficient molecular weight to create tie-chains, but further increases in molecular weight may not push σ higher.

For face-on oriented PEDOT, the improved transport between crystallites can render the transport within the crystallites as the overall the rate limiting step for σ .³³ Inside the ordered domains, the charge transport between adjacent chains is hypothesized to be rate limiting step, given by interchain charge transfer integral, (t_{\perp}),

$$t_{\perp} = t_0 \exp(-\gamma x), \quad (1)$$

where, t_0 is the value at minimum distance, $1/\gamma$ is the wavefunction overlap decay length, and x is the π - π stacking distance.³³ Systematic variation of the oxidant vapor concentration, produced monotonic changes in the b-axis lattice parameter, which is the direction for π - π stacking.³³ Consistent with the hypothesis of chain-chain charge transport (Eq. 1) being the rate limiting step, σ increases as the b-axis lattice parameter decreases (Fig. 3c). Increasing t_{\perp} was also postulated to contribute to the observed increase in σ for oCVD PEDOT upon applying a hydrostatic pressure (Fig. 3d).⁴¹

The trade-off between p and μ for two oCVD PEDOT films is shown in Fig. 3e.⁴⁸ The maximum p , $5.4 \times 10^{21}/\text{cm}^3$, corresponds to $\sim 90\%$ ionization of monomer units. Higher levels of ionic dopants are hypothesized to increase scattering, hence lower μ . In strong support of this

hypothesis, the μ calculated by Brook-Herring-Dingle (BHD) theory matches to the values experimentally determined by Hall Effect measurements at ambient temperature.⁴⁹ The BHD theory is also utilized for inorganic transparent conducting oxides.³⁹ Because of the trade-off between p and μ , higher doping levels do not always correspond to higher overall conductivity.

The semiempirical understanding of conjugated polymers describes charge transport by polarons and bipolarons which are partially delocalized over the span of several monomer units.⁵⁰ In contrast, in traditional band conduction theory, charge transport occurs through fully delocalized states. For band theory⁵¹, $W < 0$ signifies metallic conduction, where the logarithmic temperature coefficient, W , is given by

$$W = d \ln \sigma / d \ln T. \quad (\text{Eq. 2}).$$

Alternatively, $W < 0$ can be interpreted as hopping conduction in a Gaussian Disorder Model which includes a temperature-dependent bimodal distribution of t for PEDOT.⁵²

Values of $W < 0$ been measured at low temperatures for highly conductive oCVD PEDOT films⁴¹, including those displaying edge-on and face-on texture.³⁷ The face-on orientation yields the most negative values. Under conditions where $W < 0$, applying a 9T magnetic field increases σ , confirming a disruption in the delocalized carrier transport.²⁵ For $W > 0$ in band theory, having σ proportional to $T^{0.25}$ is characteristic of an Anderson insulator. The proportionality of σ to $T^{0.25}$ has observed over some temperature ranges for oCVD PEDOT⁴¹ and for oCVD polythiophene⁵³.

Electrochemical Behavior, Devices, and Processes

As a result of its small anionic dopants, oCVD PEDOT displays electrochemical behavior distinct from solution-applied PEDOT:PSS (Fig. 4).⁴³ The macromolecular dopant PSS has low mobility and yields corresponding low electrochemical activity. In contrast, the oCVD PEDOT films doped with Cl^- displays a large electrochemical window vs. Li/Li^+ . Edge-on texture with respect to the substrate improves charge storage capacity and electrochemical cycling rates as compared to the face-on texture.^{43,54}

The oCVD PEDOT can also display superior electrochemical performance relative to PEDOT produce by Vapor Phase Polymerization (VPP).⁵⁵ While both oCVD and VPP use vapor phase monomers, only the oCVD method continuously supplies the oxidant as a vapor simultaneously with the monomer, allowing a constant ratio of monomer to oxidant to be maintained at the growth surface over the course of the deposition. In contrast, for VPP, a solid oxidant is first preapplied to the substrate, which is then exposed to monomer vapors, making control over the monomer to oxidant ratio at the growth surface more challenging.⁵⁶

The cycling stability of lithium transition metal oxide battery cathodes improves upon conformal encapsulation by an ultrathin (~ 20 nm) of oCVD PEDOT (Fig. 3D).^{57,58} The PEDOT can facilitate Li^+ ion transport, form interfacial bonds with the metal oxide, suppress undesired phase transformation, and mitigate mechanical cracking.

Supercapacitors require optimized electrode design for increased energy density, faster charging/discharging rates, and long term cycling stability. Thus, the theoretical limit for energy storage is one electronic charge per monomer unit, favoring monomer units of low molecular weight. Indeed, the small oCVD thiophene⁵⁹ and aniline²⁴ have been exploited for supercapacitor research. Other characteristics, such as faster electrochemical kinetics or a larger electrochemical window, may favor selecting higher molecular weight monomeric units, such as 3-methyl thiophene⁶⁰ and EDOT⁶¹.

Minimizing ion transport limitations requires avoiding the thick layers typically produced by solution-based processes. In contrast, ultrathin and systematically controlled oCVD PEDOT of 3, 6, and 10 nm thicknesses provide ready integration into supercapacitors.⁶²

Conformal oCVD layers over nanoporous materials can enhance their electrochemical performance and mechanical stability.⁵ While solution-applied layers may fail to wet or occlude pores, the nanoscale and conformal oCVD layers fully cover the interface with their porous substrate and leave the pore space open. Maintaining high surface area allows effective contact by the electrolyte, as desired for improved cycling rates. For improved stability under long term cycling, the open pore spaces offers a means of relieving the mechanical strain resulting from the expansion and contraction induced by doping and dedoping.

Conformal coating with ~5 nm of oCVD poly(3-methyl thiophene) improved the areal capacitance of horizontally-aligned CNTs (HACNTs) electrodes by ~3x. The hybrid oCVD/HACNT electrodes displayed an areal capacitance of 9x that of unmodified CNT buckypaper and retained 92% of their stored charge after 5000 bends over a 5 mm radius of curvature. Flexibility is desired for wearable devices and producing energy storage in shapes that seamlessly integrate into final products.

As compared to unmodified carbide-derived-carbon nanoporous electrodes, oCVD polyaniline layers ~1.7 nm thick increased gravimetric capacity >2x at cycling rates up to 500 mV/s.⁶³ The oCVD PANI-modified electrodes retained 79% of their capacitance after 10,000 cycles. Some oCVD conditions produced porous PANI layers which displayed electrical double layer capacitance storage in addition to the pseudocapacitive mechanism.

Low temperature oCVD processing enabled fabrication of flexible supercapacitors on paper and on polymeric membranes. To achieve monolithic integration, partially conformal oCVD PEDOT layers were grown on both faces of a porous substrate.⁶⁴ This approach eliminates the possibility of multilayer delamination and minimizes the weight and volume of inactive materials.

Using oCVD PEDOT, novel supercapacitors were fabricated directly on microstructured plant leaves and flower petals.⁶⁵ The oCVD of poly(3,4-propylenedioxythiophene) directly on living plant tissue resulted in electrodes used for detection of plant dehydration.⁶⁶

Scalable metal-free catalysts are desired for sustainable processing. Oxygen reduction was achieved using ~40 nm oCVD PEDOT conformal applied to carbon cloth electrodes.⁶⁷ The highest electroactivity resulted for most conductive oCVD layers ($\sigma > 2000$ S/cm). Taking

inspiration from enzymatic reactions, carbon dioxide reduction was demonstrated using oCVD from dopamine.²⁰

The room temperature thermal conductivity of oCVD PEDOT, 0.16 W/mK, falls below that of PEDOT:PSS.⁶⁸ Thus, oCVD PEDOT combines low thermal conductivity with high electrical conductivity. The maximum thermal conductivity achieved for oCVD poly(2-hexylthiophene) of 2.2 W/mK, a value is ~10x that of conventional polymers.⁴²

initiated Chemical Vapor Deposition (iCVD)

Synthesis strategies

For iCVD, monomer and initiator vapors mix.^{1,2} The use of an initiator is a mechanistically based distinction from methods using only monomer vapors. Typically, iCVD uses a free-radical initiator, such as tert-butyl peroxide (TBPO). However, cationic initiation^{69,70}, photo initiation^{71,72}, or low-power plasma initiation^{73,74} are alternative CVD approaches.

For TBPO, resistively heated wires in the vacuum chamber cause thermal decomposition of the initiator vapors. For filament temperatures < 300 °C, tert-butoxy radicals are the predominate free radical species formed. At high filament temperatures, the tert-butoxy further decomposes to methyl radicals. The later species can be utilized for *in situ* grafting (Fig. 1d).

The relatively modest filament temperatures produce little if any decomposition of the monomers. Cooling of the growth stage promotes adsorption of the monomers as required for heterogeneous polymerization. Typical stage temperatures are between ~25 and ~65 °C. In addition to the filament and substrate temperatures, the ratio of the partial pressure of the monomer to its saturation pressure, is a key parameter in the models for iCVD kinetics and conformal coverage.

From the more than 70 demonstrated monomers used for iCVD to date⁷⁵, examples which have been homopolymerized include:

- glycidal methacrylate (GMA, epoxy functionality),
- 2-dimethylamino ethyl methacrylate (DMAEMA, amine functionality),
- 1H,1H,2H,2H-perfluorodecylacrylate (PFDA perfluoro functionality),
- hydroxyethylmethacrylate (HEMA, hydroxyl functionality for neutral hydrogels),
- methacrylic acid (MAA, carboxylic acid functionality for pH responsive hydrogels),
- N-isopropylacrylamide (NIPAAm, for thermally responsive hydrogels),
- Zinc (II) meso-tetraphenylporphyrin (ZnTPP) and other porphyrins^{73,76}.

The vapor phase provides ready mixing of monomers which lack a common solvent, allowing the synthesis of unique copolymers. Indeed amphiphilic surfaces form from iCVD poly(HEMA-co-PFDA)⁷⁷ and fuel cell membranes can be fabricated from poly(MAA-co-PFDA)⁷⁸.

Crosslinked films result from using at least one iCVD monomer containing two or more unsaturated carbon-carbon bonds. The crosslinking forms in the same step as the iCVD layer growth. No post-treatment is required. In contrast, most solution applied polymers that require post-depositing annealing or UV-exposure to achieve crosslinking.

Crosslinking improves the durability of iCVD polymers and often decreases roughness to sub-nm levels. Demonstrated iCVD crosslinkers include⁷⁵:

- ethylene glycol diacrylate (EGDA),
- ethylene glycol dimethacrylate (EGDMA),
- di(ethylene glycol) divinyl ether (DEGDVE),
- divinyl benzene (DVB),
- allyl methacrylate,
- 2,4,6-trivinyl-2,4,6-trimethyl cyclotrisiloxane (V3D3)
- 2,4,6,8-tetravinyl-2,4,6,8-tetramethyl cyclotetrasiloxane (V4D4)

Pinhole-free films are required for low leakage dielectrics and achieving molecular separation through permselective layers. To avoid pinholes, film roughness must be less than film thickness. Dewetting effects make such ultrathin layers difficult to achieve through solution application. The examples highlighted in Fig. 5a through 5d, each utilized iCVD copolymer layers only 6 to 20 nm thick.

Organic & Hybrid Devices

High performance flexible insulators are essential for next generation flexible and lightweight electronics designed for the Internet of Things (IoT) and wearable device applications.⁷⁹ For low power operation, uniform ultrathin (<20 nm) dielectric layers are desired. However, leakage currents tend to increase with decreased film thicknesses.

The ultrasmooth, ultrathin (3-15 nm), flexible, and crosslinked organosilicon layer, poly(2,4,6-trivinyl-2,4,6-trimethyl cyclotrisiloxane) (PV3D3, dielectric constant, $k \sim 2.2$), has been integrated into devices^{80,81}, including 3D stacking of organic thin film transistors (TFTs)⁸². The PV3D3 serves as an electret layer when coupled with an ultrathin (20 nm) crosslinked iCVD poly(1,4-butanediol diacrylate) (PBDDA) film as blocking dielectric (Fig. 5a).⁸³

Systematic band energy engineering was demonstrated via iCVD copolymerization of V3D3 with 1-vinyl imidazole.⁸⁴ In a sub-20 nm thick dielectric formed from HEMA and the crosslinker DEGDVE, segregation of the hydroxyl group of HEMA to the substrate interface produced a hydrophobic skin at the interface with air, reducing leakage current and increasing capacitance.⁸⁵ Atomic scale filaments for a memristor comprised of iCVD PV3D3 were integrated into a flexible neural network capable of classifying human faces.⁸⁶

For higher k dielectrics, the iCVD copolymerization of 2-cyanoethyl acrylate and the crosslinker DEGDVE achieved $k \sim 6.2$.⁸¹ Extension of the iCVD method for ultrathin, flexible, and high- k hybrid organic-inorganic layers for fabricating low-leakage current TFTs.^{87,88} The hybrids were obtained by adding of trimethyl alumina or tetrakis-dimethyl-amino-zirconium reactant vapors along HEMA and the TBPO initiator. The metal-containing precursor incorporates into the film

through a condensation reaction between a methyl group on the metal-containing precursor and the hydroxyl functional group of HEMA, in analogy to a commonly utilized reaction for ALD.

Tribodielectric layers for energy harvesting applications have been demonstrated using low-k iCVD fluoropolymers ($k \sim 2$). Flexible electret films of with high charge stability were fabricated by iCVD polytetrafluoroethylene (PTFE).⁸⁹ The performance of triboelectric nanogenerators was systematically investigated for an iCVD fluoropolymer over the thickness range from 0.5 to 12 μm .⁹⁰ All-fabric triboelectric generators for wearable electronics were also demonstrated with conformal and breathable iCVD fluoropolymers.⁹¹

For next-generation electrochemical applications, multiple electronically-insulating nanoscale iCVD organic covalent networks display ionic conduction.^{92,93} For lithium ion batteries, a solid electrode interface (SEI) consisting of a conformal, 25 nm thick iCVD organosiloxane, improved the initial coulombic efficiency and capacity retention upon repeated cycling of silicon anodes.⁹⁴ The ultrathin and conformal nature of iCVD copolymers from HEMA and a crosslinking monomer are desired for solid-state polymer electrolytes for 3D microbatteries⁹⁵ and for stabilizing electroactive polymers⁹⁶

Device Fabrication

For n-type and p-type doping of 3D Fin Field Effect Transistors (FinFETs), iCVD growth of dopant-containing iCVD films utilized the hybrid crosslinking monomers, triallyl phosphate (TAP) and triallyl borate (TAB).⁹⁷ The resulting sub-10 nm thick films formed conformally over silicon fins (22 nm width, 100 nm height; 40 nm pitch; Fig. 5b,c). Subsequent rapid thermal annealing (RTA) drives the dopants into the silicon.

Using an ultrathin (~ 7 nm) iCVD topcoat allowed the directed self-assembly (DSA) of block copolymers for defining sub-10 nm lines and spaces (Fig. 5d).⁹⁸ Conformal, 14-nm thick iCVD wetting layers, enabled successful DSA within the confines of 3D topography.⁹⁹ Fine tuning of the surface energy between 39.9 to 42.7 nM/m resulted from changing the filament temperature during iCVD growth from the monomer DVB. Conformal iCVD wetting layers for DSA have subsequently been demonstrated for hole-shrink applications¹⁰⁰ and on complex 3D substrates, including graphene fibers for catalysis applications¹⁰¹.

Using the high deposition rates possible coupled with near zero intrinsic stress, $>10 \mu\text{m}$ iCVD films grown from the DVB monomer has been exploited to create the spherical shells of targets used for high peak-power lasers (Fig. 5e).¹⁰²

Using a method related to iCVD, provides a new means of altering the 3D nanostructure of a self-assembled block copolymers.¹⁰³ Vapors of monomer and initiators infiltrate and swell one of the blocks, which are then photopolymerized. Selective light exposure forms an additional level of hierarchical structure.

For crosslinkers with an asymmetric structure, the reactivity of two unsaturated bonds can differ significantly. For allyl methacrylate, iCVD polymerization proceeded through the acrylic vinyl group.¹⁰⁴ The unreacted pendent allyl functionality can be subsequently crosslinked upon post-

deposition UV exposure, representing a conformal, negative tone resist for solventless patterning.

Rapid flexographic printing requires control of wettability over 3D nanostructured stamps fabricated from arrays of vertically aligned carbon nanotubes (CNTs).^{105,106,107} However, the porous stamps collapse when directly wetted by ink and dried. Conformal surface modification of the patterned CNT arrays by an iCVD fluoropolymer before using the stamp eliminates subsequent collapse.

For laminating substrates, parts, and encapsulation layers for flexible and stretchable devices, durability is enhanced by reducing the overall stacking thickness, including the need for thinner adhesive layers. High-shear strength iCVD pressure sensitive adhesives require only 500 nm of thickness¹⁰⁸, a 200-fold reduction over conventional pressure sensitive adhesives. Controlling the degree of crosslinking in the iCVD copolymer led to the viscoelastic behavior required for interfacial bonding.

A different iCVD copolymer, having both epoxy and amine groups, was demonstrated as a dry nanoadhesive.¹⁰⁹ Rapid bonding across the interface results from reaction of these two types of functional groups. No reaction byproducts result and hence, no bubbles form at the interface. Nanoadhesive bonding using the iCVD homopolymer from GMA along with subsequent liquid-application of a diamine, contributed to the fabrication of flexible microfluidic lens arrays.¹¹⁰ For this iCVD nanoadhesive chemistry, a particularly simple reactor was designed.¹¹¹

Hydrophobic Surfaces

The iCVD homopolymers grown from PFDA, V3D3, V4D4, and DVB form hydrophobic surfaces. Typical surface energies are 8.3, 37.0, 34.9, and 35.1 mN/m, respectively.¹¹² In addition to low surface energy, surface morphology strongly influences wetting behavior.¹¹³

The surface roughness of PFDA-containing iCVD fluoropolymers depends on the degree of crystallization, crystallite orientation, nucleation density, and the type, if any, of interfacial grafting was used.^{114,115} In situ grafting of a copolymer synthesized from PFDA and DVB results in low rms surface roughness (< 2 nm, Fig. 6a). Ex situ grafting of the same iCVD copolymer using vinyl silane results in an rms surface roughness of 144 nm (Fig. 6b).¹¹⁶ With these surfaces the more efficient dropwise condensation mechanism could be obtained for low surface tension liquids. The conformal nature of iCVD fluoropolymers allows hydrophobic surface modification of 3D printed parts.¹¹⁷ Graphene was effectively transferred to paper substrates coated with iCVD hydrophobic homopolymers from PFDA or hexafluorobutylacrylate.¹¹⁸

iCVD homopolymerization of V3D3, V4D4, or DVB yields fluorine-free amorphous covalent network displaying low surface roughness (< 2 nm rms).¹¹² These smooth surface modification layers can outperform the lower surface energy PFDA-containing films for some protective applications, including the reducing the formation of inorganic scale.^{112,119} Copolymerization of V4D4 and cyclohexylmethacrylate yielded a smooth, transparent iCVD film with moisture barrier properties of interest for electronics protection.¹²⁰

The PFDA and 1H,1H,2H,2H-perfluorodecyl methacrylate (PFDMA) monomers both possess a C8 $[-(\text{CF}_2)_8\text{F}]$ pendent chain. Additionally, PFDMA has a bulky α -methyl group, leading to films displaying higher glass transition temperatures (T_g).¹²¹ However, the rate of chain propagation leading to polymer film growth is typically $\sim 10\times$ slower for methacrylates, such as PFDMA, than their acrylate counterpart.

Crystallization of side groups is a barrier to surface reconstruction upon wetting. Interestingly, the specific iCVD conditions used determines if the C8 side chains of the PFDA units crystallize perpendicular or parallel to the growth surface.¹²² Shorter fluorinated side groups have a reduced propensity to crystallize. Without the need to overcome the enthalpy of crystallization, reorientation of the side groups occurs more easily upon wetting, leading to higher values of contact angle hysteresis (CAH).

Crosslinking tends to restrict side group reorientation on wetting, as is desirable for reducing CAH. The crosslinked films are also typically more durable. Copolymerizing PFDA with the divinyl crosslinker EGDMA improves the resistance to cracking of the resulting iCVD fluoropolymer films.¹²³ Copolymerizing PFDMA with the tetravinyl organosilicone crosslinker V4D4, lead to films able to withstand >3000 cycles of abrasion testing.¹²⁴ Transparent and robust optical protection results from the iCVD copolymerization of PFDA with the epoxy-functional monomer GMA. Annealing these films induces crosslink formation through the ring opening of epoxy groups.¹²⁵ The annealed films protect against mechanical abrasion, humidity, liquid water, salt water, and organic solvents. Using a monomer with a C7 side chain $[-(\text{CF}_2)_7\text{F}]$ and known to result in relative high T_g fluoropolymer, 1H,1H-perfluorooctylmethacrylate ($\text{H}_1\text{F}_7\text{MA}$) copolymerized with DVB provided breathable iCVD water repellent surface modification on a variety of textiles.¹²¹ These films survived 10,000 abrading strokes and ten wash cycles.

Robustness can also be enhanced by engineering the interface with the substrate. The monomer 1H,1H,2H,2H-perfluorooctylacrylate (C6PFA) contains a C6 side chain $[-(\text{CF}_2)_6\text{F}]$ and yields an iCVD homopolymer with high CAH ($>90^\circ$). Copolymerization with the DVB reduces the CAH, but the lowest CAH (23°) came from a bilayer iCVD strategy in which the first step is growth a crosslinked hydrocarbon base layer from DVB followed by the synthesis an ultrathin top layer from pure C6PFA.¹²⁶ Since it is unfavorable for the C6 side chains to reorient into the DVB layer, surface reconstruction upon wetting was limited. The same bilayer strategy proved successful when the fluoromonomer was changed to PFDA. Performing ex situ grafting with vinyl silane, lead to grafted bilayers which survived sand erosion testing.¹²⁷

Nanostructured Surfaces & Composites

Multiple iCVD strategies enable the design of unique nanostructured surfaces. Patterns of micro- and nano- scale features modified conformally by ultrathin iCVD fluoropolymers decrease surface energy while preserving surface texture.^{128,113} Alternatively, beginning iCVD fluoropolymer growth on a modestly textured surfaces can result in dramatic morphologies that readily trap air and reduce corrosion rates of the underlying metal (Fig. 6c).^{129,130}

By optimizing processing conditions, iCVD can infill porous and textured substrates. The infiltration of iCVD fluoropolymers into TiO₂ nanoparticles matrices produces composite films with high loadings of inorganic particles¹³¹ and bulk hybrid composites acting as superhydrophobic sponges¹³². Modified iCVD processes can achieve global and local planarization of surfaces features on silicon wafers.^{133,134}

For all-dry membrane fabrication, monomers were first solidified on a cooled reactor stage in the absence of an initiator.^{135,136} The resulting porous microstructures were next infiltrated by an iCVD polymer grown from the same monomer. Sublimation of the solid monomer yields free-standing porous membranes (Fig. 6d).

Combining iCVD polymerization with vapor deposition methods for inorganic materials provides new synthesis options for hybrid materials. Utilizing low vapor pressure ionic liquids as a substrate for iCVD organic particles and metals by dc magnetron sputtering allows fabrication of unique hybrid particles, films, and gels.¹³⁷ Alternating the iCVD of organosiloxanes with the ALD of aluminium oxide produces dyads for barrier layer protection of optoelectronic devices.¹³⁸

Smart Surfaces

Variations in humidity, pH, temperature, pH, or light exposure can trigger properties changes in smart materials. Synthesizing responsive polymers by iCVD allows ultrathin layers to grow conformally over micro- and nano-structures for applications in sensing, actuation, membrane separations, and controlled drug delivery.¹³⁹

Utilizing reduced thicknesses accelerates the kinetics of response. Rapid detection of fluctuations of humidity resulted from thin HEMA-based iCVD hydrogels integrated into sensing devices.¹⁴⁰ The observed swelling upon exposed to water vapor followed Flory-Huggins theory. The selection and concentration of crosslinker molecule selected produces systematically varied mesh sizes over the range from 0.15 to 3.1 nm.^{96,141,142}

Crosslinked iCVD copolymers of MAA and 4-vinyl pyridine display pH-responsive swelling at pH values of ~3 and ~9, respectively. Growing these materials on opposing sides of a porous substrate creates a Janus membrane.¹⁴³ Tuning the iCVD growth conditions to achieve partial conformality, allows keyhole-shaped on-off gates to form over the pore openings. Sequential adjustment of the pH on each side programs the membranes to take in, store, and release proteins on demand (Fig. 7a).

Thermoresponsive iCVD films result from the iCVD copolymerization of a crosslinker with either NIPAAm, N, N-diethylacrylamide, and N-vinylcaprolactam.^{144,145,146} These compositions exhibit lower critical solution temperatures (LCST) near body temperature. A swollen hydrophilic state exists below the LCST. Raising the temperature above the LCST induces a rapidly switchable and reversible phase transition to a collapsed hydrophobic state (Fig. 7b,c).

Their mild processing conditions allow iCVD responsive layers to be deposited directly over pharmaceuticals for controlled drug release applications. To control the diffusional release of

drugs, the mesh size of HEMA-based iCVD hydrogels was systematically tuned from ~0.1 to ~0.3 nm, by decreasing the fraction of the EGDMA crosslinking incorporated into the film (Fig. 7d).¹⁴² Conformal coatings of iCVD hydrogels based on HEMA and methacrylic acid over textile substrates layered with drugs hold great promise for improving treatments for wound healing.¹⁴⁷

Thermally-responsive controlled drug release was also demonstrated for NIPAAm-based iCVD films.¹⁴⁸ Biodegradable and pH responsive iCVD films grown from MAA allow for controlled release of pharmaceuticals through the gradual erosion of the iCVD encapsulating layer.¹⁴⁹

A nanofiber mat loaded with a chemotherapy agent was conformally coated by a pH sensitive iCVD layer from 4-vinylpyridine and EGDMA.¹⁵⁰ These iCVD coated nanofibers extended the period of reduced the proliferation and stimulated death for U87MG brain cancer cells.

Biotechnology

For interactions with living cells and tissues, surface modification layers must be free of impurities and stable under the conditions of use. A vapor deposition approach eliminates the possibility of contamination by residual solvent. Additionally, purifying iCVD monomers, which are small molecules, is much easier than purifying macromolecular polymer chains. Some crosslinked iCVD networks, such as PV3D3, display remarkable stability during multiple years of evaluation under physiological conditions.¹⁵¹ Another advantage is that the conformality of iCVD enables ready encapsulation of medical devices possessing complex geometries.

Surfaces for biomedical application can be designed directly from the library of functional groups processed by iCVD monomers. Post-deposition modification of the iCVD functional groups provides additional options to achieve the precise chemical signaling desired at the surface.

Culturing of human cancer stem cells to form multicellular tumor spheroids was readily, and reproducibly achieved on crosslinked iCVD polysiloxane surfaces.¹⁵² The resulting spheroids are desired for drug screening and efficacy testing.

The fast and efficient capture of nucleic acids from cell lysate was demonstrated using a conventional stainless steel mesh filter or an extraction microchip conformally modified with iCVD poly(2-dimethylaminomethyl styrene).^{153,154} Under the conditions of extraction, this iCVD surface has a positively charged functional group [$-N^+(CH_3)_2$], producing a strong attraction to negatively charged nucleic acids. This method successfully allowed the identification of the DNA from a specific strain of bacteria in multiple types of spoiled food items.

The iCVD method allows uniform coverage of complex 3D surface topologies of devices and membranes for biomedical applications. Polyionic iCVD copolymers based on MAA, DMAEMA, and a crosslinker show promise for surface engineering of neural microelectrodes, reducing adhesion of microglia and surface adsorption of laminin and bovine serum albumin.¹⁵⁵ The hemocompatibility of porous polylactide membranes was significantly enhanced by an iCVD

crosslinked copolymer from MAA and EGDA.¹⁵⁶ Reduce adhesion of microglia and astrocytes was also observed on iCVD hydrogel layers grown from HEMA and holds promise for improving the performance of shunts for draining excess cerebrospinal fluid of hydrocephalus patients.¹⁵⁷

A robust crosslinked ionic iCVD copolymer surface displayed the promotion of mammalian cell growth while simultaneously killing bacterial cells.¹⁵⁸ Reacting vapors of 4-vinylbenzyl chloride and DMAEMA produced quaternary ammonium sites ($-N^+R_3 Cl^-$). These ionic sites are responsible for both the observed antimicrobial activity and crosslinking of the organic iCVD network. The antimicrobial coating was successfully applied to multiple types of plastic as well as to titanium.

The epoxy functional group of the monomer GMA undergoes facile ring opening reactions with amine functional groups. Thus, GMA-containing iCVD surfaces can readily be conjugated with bioactive molecules, including peptides and amine-containing polymers. For improving the biocompatibility of the titanium implants commonly used in bone repair and joint replacement, the GMA units were successfully functionalized with a protein that promote rapid healing of damage boned tissue.¹⁵⁹ The epoxy moieties of GMA were also successfully employed to immobilize polyethyleneimine, as a blanket or patterned layer, to provide an adhesion site for lentivirus.¹⁶⁰ Patterned viral functionalized surfaces allowed for site-specific delivery of viral genes to cultured human tissue.

Conclusions

Control over nanostructure and defect levels provides a means to understand, design, and optimize the properties of polymer layers by oCVD and iCVD. Both are all-dry processes employing modest growth temperatures and which scale-up to large reactors. The resulting organic and hybrid layers can conform to complex surface geometries.

Using the oCVD step growth of conjugated polymers provides controlled nanotexture. The optimized characteristics are attractive for flexible transparent conducting electrodes for multiple applications, including photovoltaics and wearable devices. Improved electrochemical energy storage has been demonstrated with ultrathin and conformal oCVD polymers in both batteries and supercapacitor devices. Additionally, promising electrocatalysis results have been achieved using oCVD.

The iCVD method has synthesized a wide variety of chain-growth homopolymers and copolymers. Multiple iCVD compositions have been integrated directly into next-generation devices, including those desired for wearable electronics and the IoT. Examples include dielectrics for flexible TFTs, 3D microbatteries, and triboelectric energy harvesting, having order of magnitude thicknesses of 10 nm, 100 nm, and 1000 nm, respectively. Additionally, advanced doping and lithography processes for sub-10 nm semiconductor device fabrication have been demonstrated using iCVD layers. Some iCVD compositions provide a protective barrier against humidity, wetting, corrosion, inorganic scaling, and biofouling. Other iCVD layers create selective permeation layers of interest for molecular separations, sensing, and controlled drug

release. The high density of reactive functional groups possible on iCVD surfaces enables nanoadhesion and post-deposition functionalization with biomolecules. Additionally, these functional groups can produce property changes in response to temperature, pH, or light, and form the basis for iCVD “smart” surfaces.

These applications, and many others, are expected to benefit from the continued development of processes for CVD polymers. The theories developed for organic polymers and inorganic thin films have both significantly aided the fundamental understanding of CVD polymer thin films and processes.

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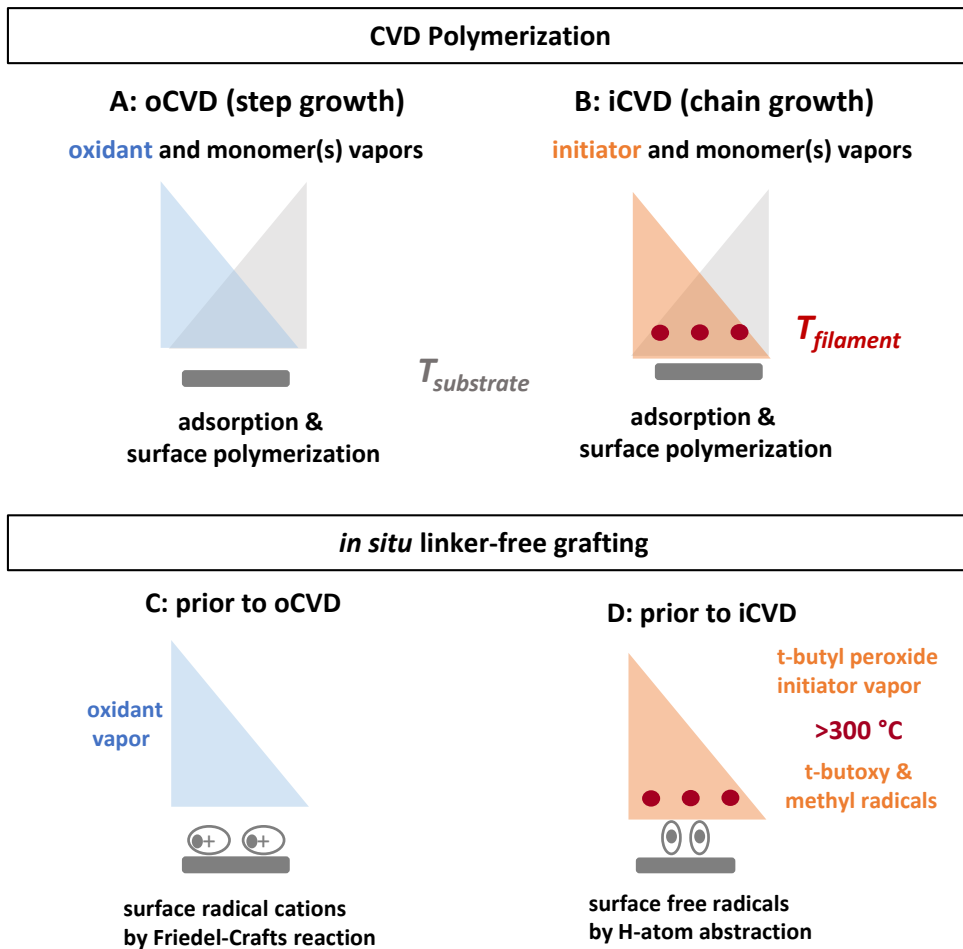


Figure 1: Schematics of a) oCVD and b) iCVD polymerization. Prior to film growth in the same vacuum chamber, exposing the substrate to c) oxidant only or d) initiator only results in *in situ* grafting sites for oCVD and iCVD layers.

(original figure)

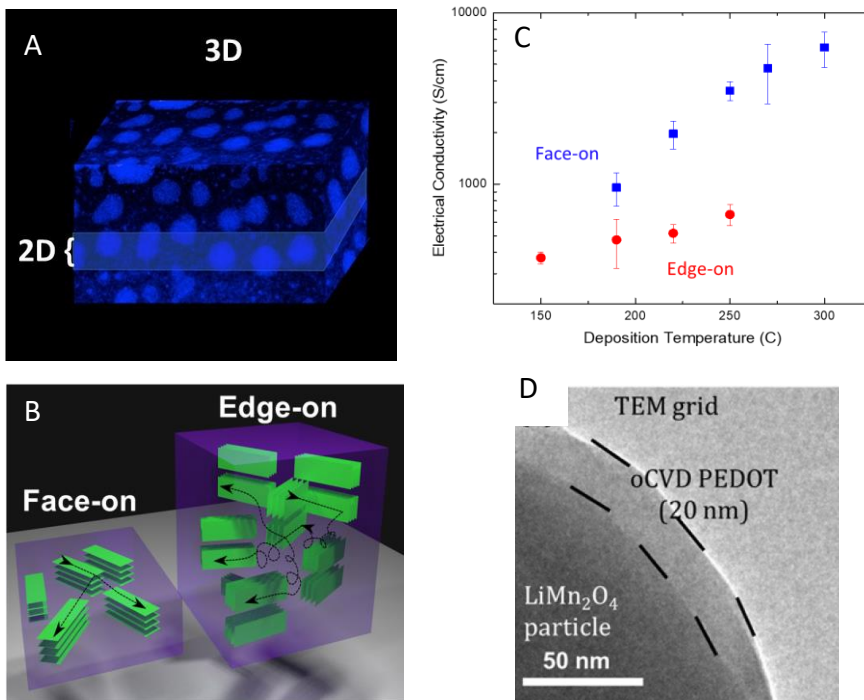


Figure 2: Crystallinity, texture and conformality in oCVD PEDOT. A) Semicrystalline structures with sub-10 nm crystallites revealed by high angle annular dark field scanning transmission electron microscopy. For film thicknesses comparable to the crystallite sizes, electronic conduction becomes 2D. b) The conductive planes of π conjugation (green) oriented either face-on or edge-on with respect to the growth surface. The black lines indicate possible pathways for intercrystallite conduction. c) The in-plane electrical conductivity increases with the surface deposition temperature for two series of films, with higher conductivity observed for the face-on series. d) Transmission electron micrograph of an ultrathin and conformal encapsulation of a nanoparticle.

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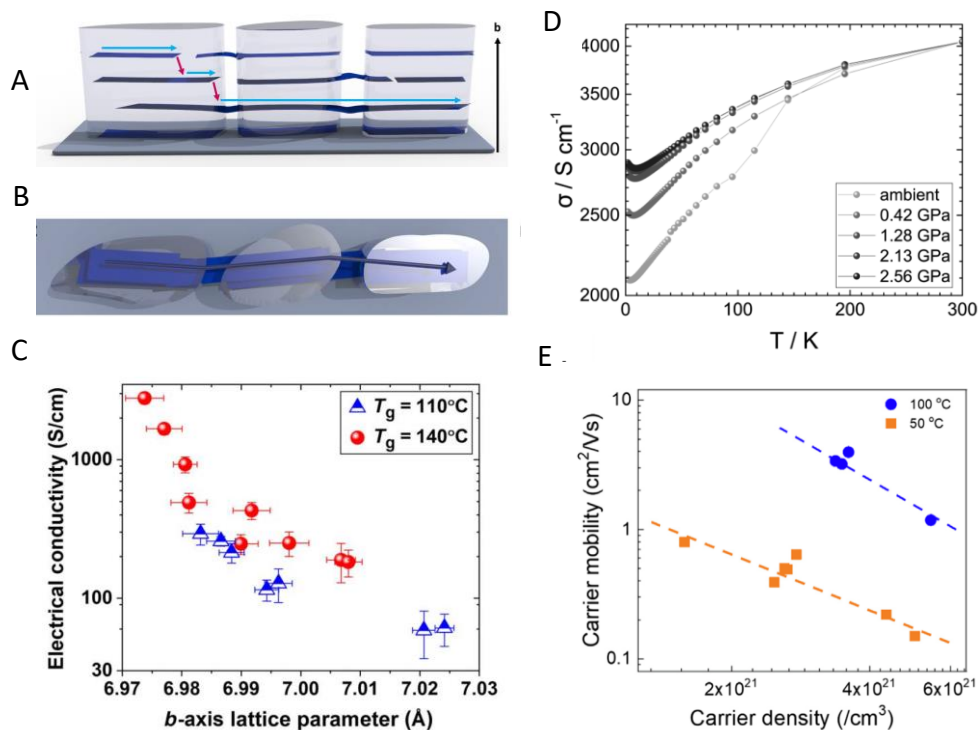


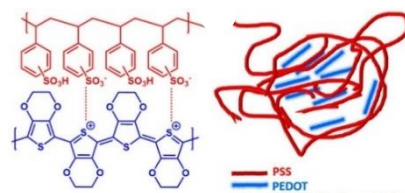
Figure 3: Electrical transport in oCVD PEDOT. a) Schematics of face-on oriented crystallites a) in cross-section, where interchain transport occurs by hopping conduction in domains with ordered π - π stacking and intercrystallite transport is facilitated by bridging chains, and b) top-down, where the result is quasi-1D transport. Measured in-plane electrical conductivity for c) predominate face-on oriented films, grown at either 110 or 140°C, correlates with their lattice parameter for the π - π stacking direction (b-axis) and d) at low measurement temperatures increases with applied external pressure. e) The trade-off between carrier density and mobility in two series of films grown using oxidant sublimed at either 50 or 100 °C.

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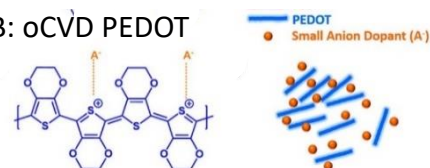
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A: solution-applied PEDOT:PSS



B: oCVD PEDOT



C

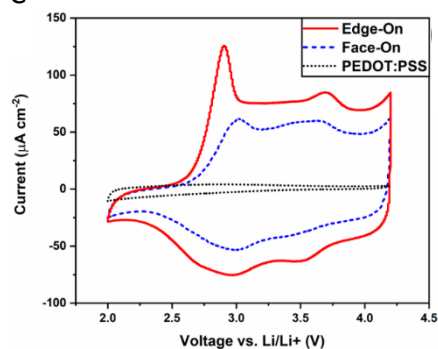


Figure 4: The chemical structure of PEDOT chains doped with a) the macromolecule PSS in solution-applied films and b) small anions, such as Cl^- , in oCVD grown layers. c) The limited mobility of PSS produces corresponding weak electrochemical activity as compared to oCVD PEDOT. For the latter, the influence of crystallite texture is also evident.

4a,b,c Moni, P., Lau, J., Mohr, A. C., Lin, T. C., Tolbert, S. H., Dunn, B. & Gleason, K. K. Growth Temperature and Electrochemical Performance in Vapor-Deposited Poly(3,4-ethylenedioxythiophene) Thin Films for High-Rate Electrochemical Energy Storage. *ACS Appl. Energy Mater.* 1, 7093–7105 (2018).

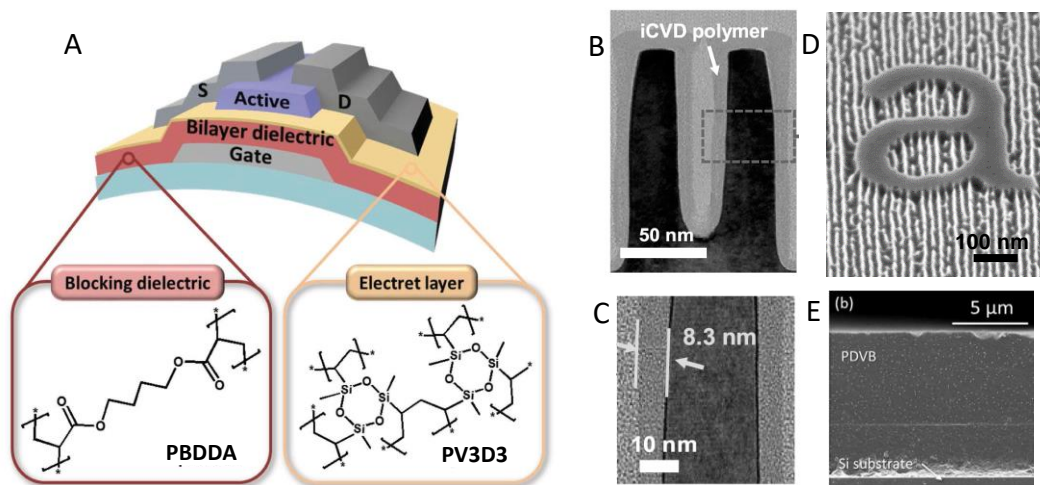


Figure 5. Selected iCVD device and fabrication examples. a) A schematic of a flexible organic thin film transistor for nonvolatile memory utilizing two types of crosslinked iCVD homopolymers. b) Conformal coverage of a dopant-containing iCVD layer 8.3 nm thick over fins in silicon. c) Sub-10 nm line and space patterns in silicon resulted from a directed self-assembly process utilizing ultrathin (<10 nm) iCVD layers grown from the monomer DVB. d) Thick (> 10 μm), low stress iCVD film also grown using the DVB monomer.

5a) Pak, K., Choi, J., Lee, C. & Im, S. G. Low-Power, Flexible Nonvolatile Organic Transistor Memory Based on an Ultrathin Bilayer Dielectric Stack. *Adv. Electron. Mater.* **5**, 1–9 (2019).

5b,c) Kim, J. H. *et al.* *Technical Digest - International Electron Devices Meeting, IEDM 2018-Decem*, 11.1.1-11.1.4 (2019).

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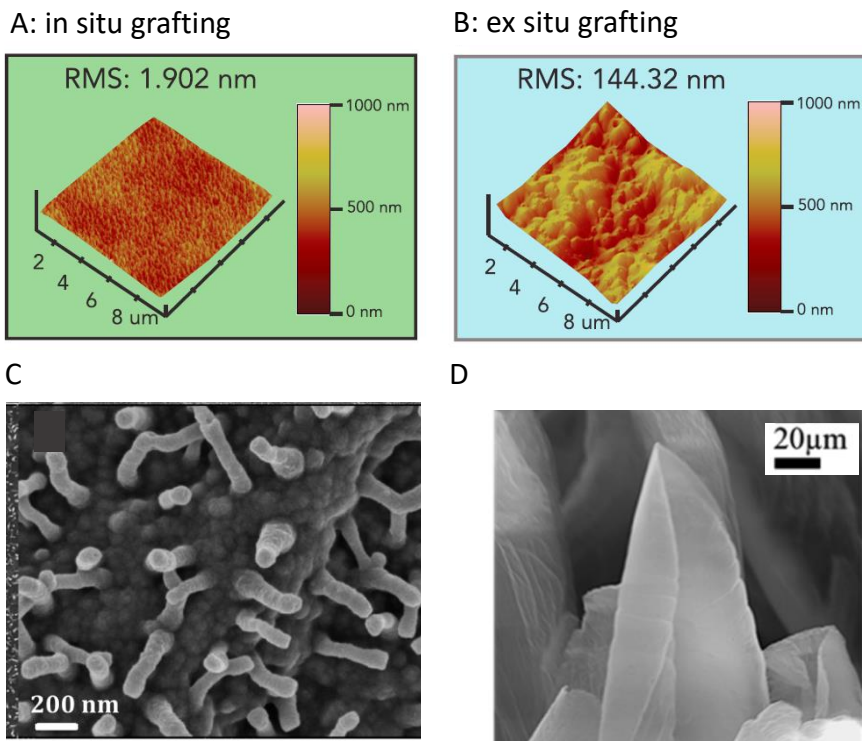


Figure 6. The influence of surface pretreatment on iCVD film morphology Atomic force micrographs of PFDA-based films having (a) in situ and (b) ex situ grafting to a silicon substrate. Electron micrographs of c) PFDA-based nanorods extending from a plasma pretreated copper and d) microstructure formed using a substrate temperature of -20°C , where the MAA monomer solidifies.

6 a,b) Khalil, K. et al. *Joule* 3, 1377–1388 (2019).

6c) Vilaró, I., et al. *ACS Appl. Mater. Interfaces* 9, 1057–1065 (2017).

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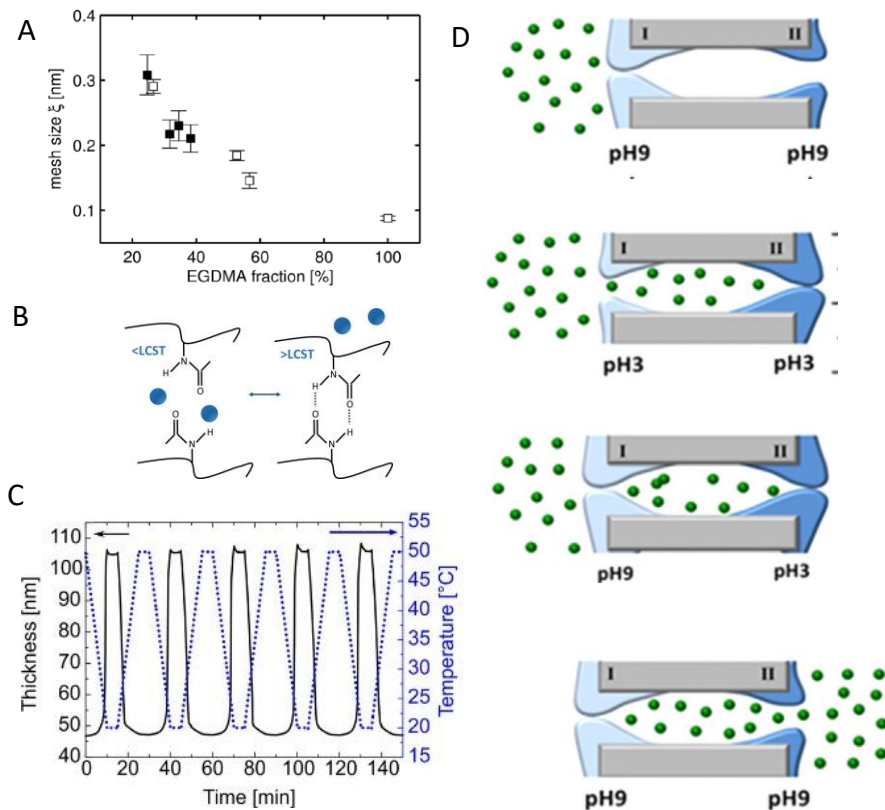


Figure 7: Selected examples of iCVD hydrogels. a) Systematic variation of mesh size for the HEMA copolymer with the crosslinker EGDMA. b) Schematic of the expulsion of water (blue circles) from a thermoresponsive hydrogel upon increasing the temperature above the LCST value. c) Switching the external temperature produces rapid, reversible, and repeatable thickness changes in a nanolayer in NIPAAm copolymer and the crosslinker DEGDVE. c) Schematic of protein (green circles) gating across a membrane pore switched by two different pH responsive iCVD partially conformal layers (light blue and dark blue)

- a) Christian, P., Tumphart, S., Ehmann, H. M. A., Riegler, H., Coclite, A. M. & Werzer, O. Controlling Indomethacin Release through Vapor-Phase Deposited Hydrogel Films by Adjusting the Cross-linker Density. *Sci. Rep.* **8**, 1–12 (2018).
- b) Muralter, F., Perrotta, A., Werzer, O. & Coclite, A. M. Interlink between Tunable Material Properties and Thermoresponsiveness of Cross-Linked Poly(N - vinylcaprolactam) Thin Films Deposited by Initiated Chemical Vapor Deposition. *Macromolecules* **52**, 6817–6824 (2019).
- c) Tufani, A. & Ozaydin Ince, G. Protein gating by vapor deposited Janus membranes. *J. Memb. Sci.* **575**, 126–134 (2019).