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*The structure and composition of olivine grain boundaries:
40 years of studies, status and current developments*

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1 **The Structure and Composition of Olivine Grain**

2 **Boundaries:**

3 **40 years of studies, status and current developments**

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7 **Abstract** Interfaces in rocks, especially grain boundaries in olivine dominated
8 rocks, have been subject to about 40 years of studies. The grain boundary structure
9 to property relation is fundamental to understand the diverging properties of
10 polycrystalline samples compared to those of single crystals. The number of direct
11 structural observations is small, i.e. in range of 100 micrographs, and the number
12 of measurements of properties directly linked to structural observations is even
13 smaller. Bulk aggregate properties, such as seismic attenuation and electrical
14 conductivity are sensitive to grain size, and seem to show influences by grain
15 boundary character distributions. We review previous studies on grain boundary
16 structure and composition and plausible relations to bulk properties. Experimentally
17 determined seismic properties and rheology of olivine are sensitive to grain boundary
18 characteristics. The grain boundary geometry is described using five independent
19 parameters, generally their structural width is ranges between 0.4-1.2 *nm* and

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20 the commonly used 1 *nm* seems a good approximation. This region of enhanced
21 disorder is often enriched in elements that are incompatible in the perfect crystal
22 lattice. The chemical composition of grain boundaries depends on the bulk composition.
23 We determined the 5 parameter grain boundary character distribution (GBCD) for
24 polycrystalline Fo₉₀ and studied structure and chemistry at the *nm*-scale to extend
25 previous measurements. Grain boundary planes close to perpendicular to the
26 crystallographic *c*-direction dominate the grain boundary network. We conclude
27 that linking grain boundary structure in its full geometric parameter space to
28 variations of bulk rock properties is now possible by GBCD determination using
29 EBSD mapping and statistical analyses.

30 **Keywords** Olivine · Grain Boundaries · upper mantle · deformation · seismic
31 attenuation · electrical conductivity · forsterite · interfaces · segregation ·
32 incompatible elements

1 Introduction

Interfaces in rocks, especially grain boundaries in olivine dominated rocks, have been subject to nearly 40 years of studies. One of the first authors who noted the importance of relating structure to property in further research on the nature and role of grain boundaries was [McClay \(1977\)](#) while reviewing pressure solution and Coble creep in rocks and minerals. 40 years later the grain boundary structure to bulk rock property relation is still being debated. This contribution is an attempt to review past work and while refraining to claim completeness, we hope to indicate open questions and trigger new studies using newly available methods. Developments with and observations on crystallographically and chemically simpler systems such as ceramics, with relevance for the Earth (e.g. MgO) allow identification of structure-property relations directly.

Olivine incorporates a broad range of elements in traces at ppm and ppb level (e.g. [Garrido et al., 2000](#); [Davies et al., 2006](#); [Lee et al., 2007](#); [Drouin et al., 2009](#); [De Hoog et al., 2010](#); [Foley et al., 2011, 2013](#)), including transition metals with variable valance states. Therefore, the structure-property relations are best identified on simplified systems with high density (e.g. derived from solgel-processes, from oxides or from nano-sized precursors). With respect to diffusional properties the most important element controlling the defect chemistry at given pressure, temperature, oxygen fugacity and silicon activity was shown to be iron ([Chakraborty et al., 1994](#); [Chakraborty, 1997](#); [Petry et al., 2004](#)). This led to the conclusion that any diffusion related properties measured on iron free systems cannot be used to model these properties in natural systems. We present some new observations and highlight recent developments that offer new possibilities for gaining a more complete understanding of grain boundaries. While the examples given for the terminology in the appendix are of general character, and therefore include observations from ceramics, the main part of this contribution will focus on interfaces in olivine dominated rocks. In cases where we report results from the *ceramics literature we will mark it in cursive*.

62 Rocks are polycrystalline materials ([Lloyd et al., 1997](#)), thus individual crystals
63 joint to each other by a three-dimensional network of internal interfaces – the grain
64 boundary network ([Rohrer, 2011b](#)). The principal characteristics of the texture of
65 a 'monomineralic' rock is the relative areas of different types of grain boundaries
66 and the way that they are connected. Such a description intrinsically includes
67 information on aspect ratio, lattice preferred orientation, relative orientation of
68 neighboring grains (disorientation, to be defined later) but excludes grain size
69 variations.

70 Single crystal properties (e.g. [Durham and Goetze, 1977](#); [Durham et al., 1977](#))
71 are markedly different from bulk rock properties (e.g. [Phakey et al., 1972](#); [Goetze](#)
72 [et al., 1973](#); [Poirier, 1985](#); [Karato et al., 1986](#); [Marquardt et al., 2011a,b](#); [Kohlstedt](#)
73 [and Hansen, 2015](#)). Grain boundaries significantly influence a number of the physical
74 properties of rocks (e.g. [Wenk, 1985](#)). Their presence influences creep strength
75 in diffusion creep (e.g. [Cooper and Kohlstedt, 1984](#); [Hirth and Kohlstedt, 1995](#);
76 [Sundberg and Cooper, 2008](#); [Hansen et al., 2011, 2012a,c,b](#)). Grain boundary
77 diffusion is orders of magnitude faster compared to volume diffusion in olivine
78 include (for example [Farver et al., 1994](#); [Farver and Yund, 2000](#); [Milke et al.,](#)
79 [2001, 2007](#); [Hayden and Watson, 2008](#); [Dohmen and Milke, 2010](#); [Demouchy, 2010](#);
80 [Marquardt et al., 2011c,d](#); [Gardés et al., 2012](#)). Furthermore, seismic properties
81 are directly influenced by grain boundaries as evidenced by a marked grain size
82 effect found by [Jackson et al. \(2002, 2004\)](#). Electrical conductivity has also been
83 found to be grain size sensitive ([ten Grotenhuis et al., 2004, 2005](#); [Dai et al.,](#)
84 [2008](#); [Farla et al., 2010](#); [Laumonier et al., 2017](#)). However, some studies conclude
85 opposingly that grain boundaries have no effect on electrical conductivity ([Roberts](#)
86 [and Tyburczy, 1991](#)). Variable grain boundary energy influences the melt distribution
87 and thus indirectly influence bulk properties, which has been proposed by [Anderson](#)
88 [and Sammis \(1970\)](#) and [Solomon \(1972\)](#). First experiments with respect to melt
89 networks at olivine interfaces where conducted by [Waff and Bulau \(1979\)](#).

90 Despite their importance, and despite the amount of studies general relations
91 between olivine grain boundary structure and associated properties are still poorly
92 understood, but are *extensively evident in ceramics* (summarising works include
93 [Sutton and Balluffi, 1995](#); [Rohrer, 2007](#); [Harmer, 2010](#); [Rohrer, 2011a, 2015](#)).
94 Structure property relations have been established using the grain boundary geometry
95 in its full five parameter space: the grain boundary character distribution (GBCD).
96 *The key geometrical information directly related to bulk properties appears to be*
97 *the grain boundary plane distribution (GBPD); it is proportional to the inverse*
98 *of grain boundary energy distribution ($GBPD \propto 1/GBED$, ([Olmsted et al., 2009](#);*
99 *[Rohrer, 2011b](#); [Holm et al., 2011](#); [Bean and McKenna, 2016](#))). [Rohrer \(2007\)](#)*
100 *further summarises that: 'Grain boundary plane distributions in polycrystals are*
101 *anisotropic and scale invariant during normal grain growth. This suggests that*
102 *the GBCD is an intrinsic characteristic of the microstructure. The most common*
103 *grain boundary planes are those with low surface energies and the grain boundary*
104 *populations are inversely correlated with the grain boundary energy. These observations*
105 *indicate that the GBCD develops deterministically based on the relative energies*
106 *of the boundaries and can be influenced by altering these energies.'*
107 *Furthermore, the volume of the grain boundary region is linked to the GBPD*
108 *through its positive correlation with grain boundary energy (e.g. [Olmsted et al.,](#)*
109 *[2009](#); [Holm et al., 2011](#); [Bean and McKenna, 2016](#)). It is observed that the GBPD*
110 *sensitively changes with varying grain boundary composition ([Cho et al., 1999](#);*
111 *[Pang and Wynblatt, 2006](#)), which is explained as a result of changing grain boundary*
112 *energies([Pang and Wynblatt, 2006](#); [Holm et al., 2011](#)). Consequently the structure*
113 *of grain boundaries varies with composition, which can thus be regarded as a sixth*
114 *independent parameter that affects the 5 parameter space.*
115 Moreover, a positive correlation between grain boundary volume and grain boundary
116 diffusion has been observed in molecular dynamic simulations in forsterite ([Adjaoud](#)
117 [et al., 2012](#); [Wagner et al., 2016](#)) as well as in *many computational studies in*
118 *ceramics* ([Olmsted et al., 2009](#); [Holm et al., 2011](#); [Bean and McKenna, 2016](#)). The

119 GBPD may therefore, in a first approximation, be linked to the grain boundary
 120 volume, energy, viscosity and diffusivity and a true structure to property relations
 121 can be established. In this contribution we review past work on grain boundaries,
 122 show new data on the composition and structure of grain boundaries and conclude
 123 that quantitative information that encompasses the full 5-6 parameter space can
 124 be obtained by using the GBCD and GBPD.

125 1.1 Grain boundary geometry

126 The term grain boundary defines the interface where two minerals of the same
 127 phase are in contact (Figure 1). The only characteristic that varies between the
 128 two grains (crystals) is the orientation of the crystal lattice. In the green grain a
 129 small angle grain boundary intersects the high angle grain boundary of the red
 130 grain. The inequality of the dihedral angles is indicative of the lower energy of the
 131 small angle grain boundary (sub grain boundary) which has been used to infer the
 132 relative energies of low angle grain boundaries (Duyster and Stöckert, 2001).

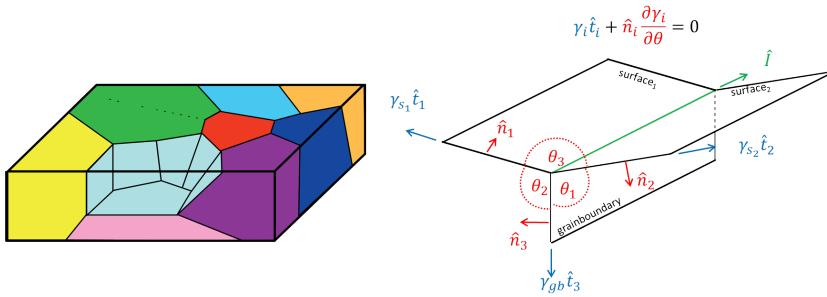
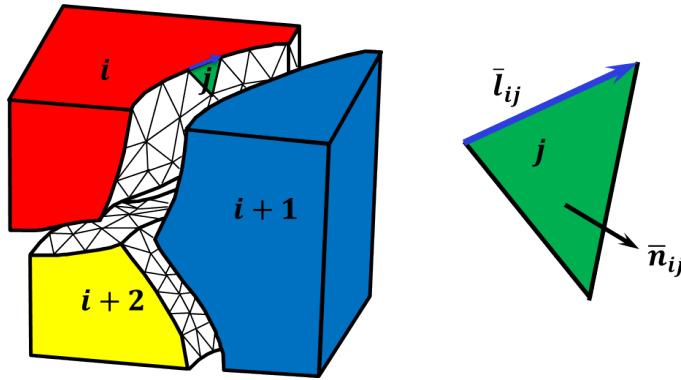


Fig. 1 The left side shows a schematic illustration of a polycrystalline sample where all grains have different crystallographic orientations, but are of the same phase (drawn after an initial sketch by Gregory S. Rohrer). On the right the Herring equation with an illustrative sketch is shown. In this form θ indicates the dihedral angles. The inclination of the individual grain boundaries is given by ψ and not indicated in the scheme. γ_i is the excess free energy of the i^{th} boundary (surface=s, grain boundary=gb), \hat{n}_i is the unit boundary normal of the i^{th} boundary and perpendicular to the triple line, $\hat{I} = \hat{n}_i \oplus \hat{t}_i$ which is common to all three adjacent boundaries. ψ_i is defined as the right handed angle of rotation about \hat{I} of the i^{th} boundary from a reference direction. The derivative terms are referred to as torque terms, \hat{t}_i and reflect the dependence of interface energy on orientation about the triple junctions at fixed \hat{I} (e.g. Adams et al., 1999; Rollett and Rohrer, 2017).

133 The grain boundary geometry is given using five macroscopic degrees of freedom
 134 (e.g. [Mishin and Herzig, 1999](#); [Rollett and Rohrer, 2017](#)), this is visualised in
 135 [Figure 2](#). The misorientation between two adjacent crystals is described using
 136 three Eulerian angles that are given with respect to one of the adjacent crystal
 137 lattices, conventionally: σ_1 , ϕ , σ_2 (e.g. [Wenk, 1985](#)). The grain boundary plane
 138 is described using the two remaining degrees of freedom with one radial and
 139 one azimuthal angle: Φ and θ ([Engler and Randle, 2009](#); [Rollett and Rohrer,](#)
 140 [2017](#)). This description encompasses all types of grain boundaries: low angle grain
 141 boundaries, high angle grain boundaries of general and special character, where
 142 special generally refers to either geometrically or from a property point of view
 143 special ([Randle and Davies, 2002](#)).

Fig. 2 Schematic illustration of a 3D polycrystalline sample, where the full 5 parameters needed for the macroscopic description of the grain boundary geometry are given. Figure is varied from the sketch in the publication ([Rohrer, 2007](#)).



144 Low angle grain boundaries are build from periodically spaced dislocations.
 145 The small angle grain boundary has the misorientation angle, θ across the boundary.
 146 For small misorientations this can be approximated using the burgess vector \bar{b} of
 147 the dislocation and their spacing h as

$$\theta = \bar{b}/h \quad (1)$$

148 The low angle tilt grain boundary misorientation can be related to the grain
 149 boundary energy (Read and Schockley, 1950):

$$E_{\text{tilt}} = \frac{Gb^2}{4\pi(1-\nu)} * \frac{\theta}{b} * (A - \ln\theta) \quad (2)$$

150 where ν is the Poisson's ration and A the elastic strain energy resulting from
 151 the lattice distortions around the dislocation cores, and G the shear modulus. Such
 152 distortions around individual dislocations forming low angle grain boundaries have
 153 been mapped using TEM (Johnson et al., 2004). TEM investigations on low angle
 154 grain boundaries date back to at least (Phakey et al., 1972; Goetze et al., 1973;
 155 Durham et al., 1977; Durham and Goetze, 1977). Modern TEM methods allow
 156 to obtain full 3D data on dislocations by tomography (Mussi et al., 2014). This
 157 formulation applies to low angle grain boundaries. It is not applicable to high
 158 angle grain boundaries, because as soon as the cores of the dislocations overlap,
 159 we cannot distinguish individual dislocations anymore. This misorientation defines
 160 the onset of large angle grain boundaries. Heinemann et al. (2005) observed that
 161 this transition occurs above 20° misorientation in forsterite. A relation to the larger
 162 lattice parameters in silicates, in comparison to metals was speculated to be the
 163 cause. They showed that the Read and Schockley (1950) model is well applicable
 164 even though the initial approximations are derived for cubic crystal system. This
 165 was further supported by molecular dynamic simulation studies by Adjaoud et al.
 166 (2012) and Wagner et al. (2016). For small angle twist grain boundaries a similar
 167 treatment is suitable (Read and Schockley, 1950).

168 High angle grain boundaries used to be described using geometrical models.
 169 The coincidence site lattice (CSL) model yields the three-dimensional lattice
 170 coincidence (Chan and Balluffi, 1986; Sutton and Balluffi, 1995). The Σ value gives
 171 the inverse of the coincidence site density at the grain boundary plane (Vonlanthen
 172 and Grobety, 2008) such that an actual physical meaning of the CSL theory could
 173 be obtained. In principle all planar grain boundaries must be periodic - because
 174 they are interfaces between two periodic crystals. However, the past two decades

175 of grain boundary studies in ceramics show that the Σ notation has no significant
 176 meaning besides its short-hand notation, furthermore these so called 'special' grain
 177 boundaries are not particularly common in polycrystals (e.g. Saylor et al., 2003,
 178 2004; Vonlanthen and Grobety, 2008; Rohrer, 2007) a situation referred to as the
 179 'Sigma enigma' (Randle and Davies, 2002). Also in olivine, Marquardt et al. (2015)
 180 found no preference for special (low Σ CSL) grain boundaries of any type, which
 181 is probably enhanced by the relatively low symmetry of olivine. Therefore we will
 182 not further consider this model in this overview.

183 Of all the relations between the geometry of high angle grain boundaries
 184 and their properties the most fundamental relation is that of geometry to grain
 185 boundary energy, γ . If we consider only interfacial energies, the vector (*mathbf{f}*)
 186 sum of the forces must be zero in equilibrium:

$$\gamma_1 \mathbf{b}_1 + \gamma_2 \mathbf{b}_2 + \gamma_3 \mathbf{b}_3 = 0 \quad (3)$$

187

188 If we rearrange equation 3 we obtain the Young equation (sine law):

$$\frac{\gamma_1}{\sin \theta_1} = \frac{\gamma_2}{\sin \theta_2} = \frac{\gamma_3}{\sin \theta_3} \quad (4)$$

189

190 If the energies of the three interfaces are known, the dihedral angles can be
 191 computed. However this is only viable for isotropic systems (for example soap
 192 bubbles) - for anisotropic systems, thus all crystals, the torque terms - as illustrated
 193 in Figure 1 - have to be taken into account and the full Herring equation (eqn. 5)
 194 is appropriate for usage (its reduction yields again the Young equation):

$$\gamma_i \hat{t}_i + \hat{n}_i \frac{\partial \gamma_i}{\partial \theta} = 0 \quad (5)$$

195

196 In polycrystalline samples Marquardt et al. (2015) observations indicate that

197 grain boundary energy minimisation is controlled by surface energy reduction of
198 the individual grains in contact and not by adapting the grain boundary plane
199 orientation of special atomic configuration across the interface. This is in agreement
200 with many studies on ceramics (Saylor, 2001; Sano et al., 2003; Saylor et al., 2004;
201 Sano et al., 2005; Pang and Wynblatt, 2006; Dillon et al., 2010). Thus the ideal
202 shape or minimum surface energy of each crystal in a polycrystal depends on the
203 material the individual crystal is in contact with, e.g. another crystal, melt, fluid,
204 or segregated elements.

205 1.1.1 The five parameter grain boundary character distribution (GBCD)

206 The GBCD is sometimes referred to as interface character distribution (ICD, Fang
207 et al., 2016) used to be reduced to one or three parameters. The disorientation angle,
208 which is one single parameter which is in geological literature often also called
209 misorientation here. We will use disorientation here, Figure 5a. The axis and angle
210 of disorientation (e.g. Lloyd et al., 1997; Fliervoet et al., 1999) includes three
211 parameters (Figure 5b). These simplifications were necessary, because the full
212 geometrical parameter space discretised in for example steps as large as 10° results
213 in approx. $60 \cdot 10^3$ geometrically distinct grain boundaries in the orthorhombic
214 crystal system (Rohrer, 2011b; Marquardt et al., 2015). This number increases for
215 finer discretisation or lower crystal symmetries. Therefore, the numbers of previous
216 grain boundary observations is small compared to real number of geometrically
217 distinct grain boundaries.

218 The grain boundary plane distribution (GBPD) is part of the GBCD and given
219 by the remaining two parameters. One radial and one azimuthal angle: Φ and θ ,
220 frequently displayed independently of misorientation as in (Figure 5c). The new
221 developments in EBSD allow to statistically extract these two parameters. The
222 anisotropic distribution of the grain boundary plane at a specific disorientation
223 about a specific axis means, in other words that if the disorientation of two
224 adjacent crystals is constant, particular grain boundary plane orientations are

225 more common than others and thus energetically favourable. In Figure 5d and
226 5e the grain boundary plane distributions represent the relative areas of different
227 grain boundary planes at specific disorientation about specific axis, here 60° about
228 $[100]$ and 90° about $[001]$. The plots in c-d are stereographic projections. All data
229 in Figure 5 are obtained from a solgel Fo_{90} sample, with minor amounts of Ti
230 partitioned to the grain boundaries. The results will be discussed below and in full
231 detail and in comparison to other GBCD in a following publication.

232 The number of published direct observations on grain boundaries amounts to
233 about 100 transmission electron micrographs for olivine grain boundaries. Most
234 observations stem from the works of [Phakey et al. \(1972\)](#); [Goetze et al. \(1973\)](#);
235 [Durham and Goetze \(1977\)](#); [Durham et al. \(1977\)](#); [Vaughan et al. \(1982\)](#); [Kohlstedt](#)
236 [\(1990\)](#); [de Kloe \(2001\)](#); [Hiraga et al. \(2002, 2003\)](#); [Adjaoud et al. \(2012\)](#); [Burnard](#)
237 [et al. \(2015\)](#) and the various studies of the ANU group ([Faul et al., 1994](#); [Drury](#)
238 [and Fitz Gerald, 1996](#); [Cmíral et al., 1998](#); [Faul, 2000](#); [Jackson et al., 2004](#); [Faul](#)
239 [et al., 2004](#)) as well as from the study of [Fei et al. \(2016\)](#).

240 It should be noted that recent development of high-speed electron backscatter
241 diffraction (EBSD) mapping now allows for characterisation of large numbers of
242 grain boundaries in their five parameter space (four parameters from 2D sections
243 and the fifth calculated using stereology, or directly five by serial sectioning).
244 The number of grain boundaries characterised using EBSD amount to $10^4, 10^5$ in
245 individual studies (e.g. [Adams et al., 1993](#); [Zaefferer and Wright, 2009](#); [Marquardt](#)
246 [et al., 2015](#)).

247 *1.1.2 New techniques to measure the full five parameter space of grain boundaries*

248 The study of grain boundaries in polycrystals went through a drastic change
249 since the availability of automated EBSD mapping, which allows to sample the
250 immense parameter space of the grain boundary character. EBSD techniques are
251 well described in several text books (e.g. [Maitland and Sitzman, 2007](#); [Zaefferer and](#)
252 [Wright, 2009](#); [Engler and Randle, 2009](#); [Rollett and Rohrer, 2017](#)) and efficient

253 overviews are given in (e.g. Rohrer, 2011b). To measure the GBCD two main
254 approaches have been used. 3D serial sectioning and stereological analyses of two-
255 dimensional orientation maps (Randle and Davies, 2002; Rohrer et al., 2004).
256 Stereology is, while being much simpler than sectioning, only applicable to materials
257 without significant orientation texture or lattice preferred orientation (LPO). The
258 stereological concept has been applied to many different materials many comparisons
259 of different research groups to 3D sectioning proved its applicability (e.g. Adams
260 et al., 1993; Randle and Davies, 2002; Kim et al., 2006; Reed et al., 2012).

261 1.2 Grain boundary energy, structure and width

262 The grain boundary structure is changing on the atomic scale to minimise the
263 respective surface energies, resulting in different grain boundary geometries. The
264 grain boundary structure can be studied at the nm-scale using HRTEM in combination
265 with electron exit wave reconstruction (e.g. Adjaoud et al., 2012). True atomically
266 resolved micrographs of olivine grain boundaries have not been published as yet.
267 It should be noted that the observed grain boundary structure of not perfectly
268 straight grain boundaries varies on the scale of less than 100 nm, visible in
269 HRTEM micrographs. Thus every few tens to hundreds of nm we can define a
270 new grain boundary structure. Steps and facets on grain boundaries are necessary
271 to accommodate a particular grain boundary plane orientation. Instead of straight
272 facets grain boundaries are also observed to be curved in two orthogonal dimensions,
273 again facilitated by unit cell sized steps. The unit cell criterion arises because we
274 require charge neutrality and it has been observed in evaporation experiments,
275 that no leaching layer forms - thus evaporation occurs in stoichiometric ratios.
276 But roughness and steps may also arise from dislocations or sub-grain boundaries
277 intersecting the grain boundary can cause steps.

278 Because the atoms at grain boundaries are less ordered relative to the olivine
279 crystal interior but more influenced by the close proximity of the adjacent crystals
280 they are more ordered than pure melt. This is reflected in the faster diffusion along

281 grain boundaries compared to the crystal volume, and slower diffusion than that
282 in melt. Furthermore, grain boundaries show higher viscosity than melt. Molecular
283 dynamic simulations inferred a relation of diffusivity and viscosity to surface energy
284 (e.g. [Gurmani et al., 2011](#)) and crystal orientations with higher surface energy show
285 lower self-diffusion coefficients of all ionic species. The relation between viscosity,
286 diffusion and self diffusion for ionic liquids is simple and given by the Nerst-
287 Einstein equation, it is discussed by [Avramov \(2009\)](#). However, it is not applicable
288 to silicate melts as they are non-ionic-liquids. Other approaches to obtain the grain
289 boundary viscosity from molecular dynamic simulations can be obtained from the
290 Green-Kubo relation expressing the viscosity as function of the stress tensor time
291 correlation function as exploited by [Mantisi et al. \(2017\)](#). Note that the surface
292 energies given in [Gurmani et al. \(2011\)](#) were calculated in contact with vacuum
293 rather than in contact with melt and are very similar to the energies calculated
294 by [Watson et al. \(1997\)](#). Other simulation methods yield varying surface energies,
295 also affected by the contact medium ([de Leeuw et al., 2000a,b](#); [King et al., 2010](#);
296 [Bruno et al., 2014, 2016](#)).

297 In the following we review and examine the structural width and the effective
298 width of grain boundaries in experimentally produced polycrystalline olivine aggregates
299 of samples of different origin and composition. We summarise previous findings,
300 the terminology and end with the observation that the width of grain boundaries
301 remains a parameter to be determined.

302
303 The width of grain boundaries, δ , is subjected to debates for several decades.
304 Generally it appears that it is necessary to distinguish the structural (or physical)
305 grain boundary width, δ_{struct} defined as the distance between two adjacent crystal
306 lattices and the effective grain boundary width, δ_{eff} , which is the width active to
307 enhance a specific process occurring at the grain boundary. The effective width
308 may be different for different processes and is an empirical parameter used in the
309 absence of physically observable differences.

310 The structural grain boundary width, δ_{struct} is defined as the distance between
311 two adjacent perfect crystal lattices. While some studies find that the lattice planes
312 of neighbouring crystals are directly in contact, they abut, without an intervening
313 disordered region (Kohlstedt, 1990; Hiraga et al., 2002; Vaughan et al., 1982),
314 others find a disordered region between the two adjacent crystal lattices with
315 a width of about 1 nm (Drury and Fitz Gerald, 1996; Tan et al., 2001; Faul
316 et al., 2004). As both results are obtained from imaging they both yield the
317 structural width. The structural width of low-angle grain boundaries has been
318 determined by Ricoult and Kohlstedt (1983) to be three to four times smaller
319 than the dislocation spacing and approx. in the range of 5-8 nm. Furthermore, the
320 importance of hydrated grain boundaries was greatly emphasised for tectonites,
321 including quartz and peridotite mylonites (e.g. White and White, 1981). They
322 observe that a grain boundary region of 10 - 30 nm is more susceptible to electron
323 beam damage compared to the crystal interior and conclude, that this region
324 has generally different properties caused by presence of a fluid and/or a highly
325 distorted crystal structure layer. White and White (1981) suggest that the grain
326 boundary width of olivine grain boundaries is orientation dependent. For hydrated
327 grain boundaries in halides width of up to 2 μm where discussed (Mistler and
328 Coble, 1974).

329 The effective grain boundary width, δ_{eff} , in contrast is defined as the zone
330 around a grain boundary where a specific process is enhanced. The effective grain
331 boundary width may be orders of magnitude larger compared to the structural
332 width, and may not be directly observed using SEM; in TEM δ_{eff} , if caused by
333 lattice distortions, may be imaged using geometrical phase analyses as exemplified
334 on low angle grain boundaries in olivine by Johnson et al. (2004). It has been
335 observed that element segregation (max. 7 nm Hiraga et al., 2002) and diffusion
336 along a grain boundary can occur at an effectively larger region; this was attributed
337 to lattice strain and/or a *space charge layers associated with grain boundaries in*
338 *ionic crystals* (e.g. Kliever and Koehler, 1965; Cinibulk et al., 1993; Kleebe, 2002).

339 *Such strained and/or charged layers allow for variations in polaron conduction or*
340 *element diffusion rates.* Determining the effective grain boundary width, δ_{eff} ,
341 during deformation or diffusion from the respective formulas results in width
342 estimates ranging from approx. 1 nm to regions as wide as several μm (e.g. [Mistler](#)
343 [and Coble, 1974](#); [Hirth and Kohlstedt, 2003](#); [Marquardt et al., 2011d](#); [Hashim,](#)
344 [2016](#)). Constituting equations for diffusional or rheological processes include the
345 effective grain boundary width, as an imperative parameter ([Farver et al., 1994](#);
346 [Kaur et al., 1995](#); [Dillon and Harmer, 2007](#)).

347 The effective grain boundary width and the width of the region elements
348 partition to (segregated) are affected by: (1) lattice misfit of the adjacent grains, (2)
349 misfit lattice strain due to the difference between the size of a solute ion and that
350 of the ideal strain-free lattice site ([Hiraga and Kohlstedt, 2007](#); [Hiraga et al., 2007](#);
351 [Marquardt et al., 2011d](#); [Lejcek, 2010](#)), and (3) in ionic crystals a space charge layer
352 can be present (e.g. [Lehovec, 1953](#); [Kliwer and Koehler, 1965](#); [Kingery, 1974](#)).
353 These effects can be visualised for example by depicting the displacement of atoms
354 with respect to the place they would occupy in a perfect crystal lattice, examples
355 have been calculated with molecular dynamic simulations (e.g. [Ghosh and Karki,](#)
356 [2014](#); [Wagner et al., 2016](#); [Mantisi et al., 2017](#)). Furthermore, the effective width of
357 element diffusion of a specific element along a specific interface might be as large as
358 10-30 nm ([Mistler and Coble, 1974](#); [Marquardt et al., 2011d](#)). Enhanced diffusion
359 around a grain boundary was already discussed by [White and White \(1981\)](#). In
360 contrast, [Farver et al. \(1994\)](#) high-lighted that the average effective grain boundary
361 width for Mg grain boundary diffusion in forsterite is in good agreement with the
362 structural grain boundary width determined from HRTEM micrographs, which is
363 in the range of 1 nm.

364 Concepts to explain these large variations were suggested by [Peterson \(1983\)](#),
365 who stated that the values for δ_{eff} that are obtained through diffusion studies are
366 too large depending on whether or not grain boundary diffusion occurs parallel
367 or perpendicular to the grain boundary. Where diffusion is parallel to the grain

368 boundary, (D_{gb}^{\parallel}) the process depends on $D_{gb}\delta$, or where diffusion occurs across the
369 grain boundary D_{gb}^{\perp} the process depends on $D_{gb}^{\perp}\delta^{-1}$ e.g. grain boundary migration.
370 Therefore, [Peterson \(1983\)](#) concluded that even though direct observations are
371 easily interpreted, kinetic techniques may be more appropriate for the interpretation
372 of the various grain boundary widths. Furthermore, [Ricoult and Kohlstedt \(1983\)](#)
373 suggested that impurities will significantly slow down grain boundary migration
374 ($D_{gb}^{\perp}\delta^{-1}$) and will have little effect on grain boundary diffusion (D_{gb}^{\parallel}).

375 This last statement seems to become more unlikely based on the growing body
376 on grain boundary diffusion studies in ceramics and metals that rather suggest
377 that both, grain boundary migration and grain boundary diffusion can increase
378 or decrease with different types of impurities that segregated to grain boundaries
379 (e.g. [Ching and Xu, 1999](#); [Cho et al., 1999](#); [Dillon and Harmer, 2007](#); [Palmero](#)
380 [et al., 2012](#); [Raabe et al., 2014](#); [Homer et al., 2015](#)).

381 1.3 Grain boundary chemistry - partitioning/segregation to grain boundaries

382 It is now generally accepted that high angle grain boundaries are enriched in trace
383 elements that are relatively *incompatible* in the crystal interiors (e.g. [Tan et al.,](#)
384 [2001](#); [Hiraga et al., 2002, 2003, 2004](#); [Faul et al., 2004](#)). [Drury and Fitz Gerald](#)
385 [\(1996\)](#) were the first to measure grain boundary compositions in olivine but in
386 relation to melt films. Some early studies found no enrichment at grain boundaries
387 ([Kohlstedt, 1990](#)), this was later explained as related to the substantial capability
388 increase in transmission electron microscopic methods that took place during these
389 years. [De Kloe et al. \(2000\)](#) pointed out that the absence of a compositional
390 difference between intra- and inter granular areas might related to the positioning
391 difficulties of a condensed beam, which could further cause irradiation damage.

392 The enrichment of trace elements at high angle grain boundaries is a result
393 of segregation (partitioning), where elements that do not fit into the structure
394 of the adjacent crystals partition/segregate to the grain boundary ([Hiraga et al.,](#)
395 [2002](#); [Faul et al., 2004](#); [Hiraga and Kohlstedt, 2007](#); [Hiraga et al., 2007](#)), a process

396 analogous to element partitioning between melt and crystal (and similarly inferred
397 to be temperature and pressure dependent). Grain boundaries may thus serve as
398 a container for incompatible elements in the Earth's interior (Hiraga et al., 2004;
399 Sommer et al., 2008). However, Eggins et al. (1998) show in their ICP-MS and
400 microbeam (EMP, LA-ICP-MS) study on peridotites that all trace element content
401 can be accounted for without accessory minerals or grain boundaries for grain sizes
402 above μm -sizes. An exception might be noble gases; solubility experiments for He
403 in olivine Parman et al. (2005) show measurable quantities of helium interpreted
404 to be trapped between grains or adsorbed on grain boundaries.

405 The grain boundary energy is influenced through chemical segregation, where
406 the grain boundary energy in most observations in *ceramics decreases, but occasionally*
407 *increases; the latter results in creep resistance reduction (Yasuda et al., 2004;*
408 *Raabe et al., 2014)*. In ceramics the prevailing consensus is that segregation influences
409 grain boundary diffusivity, and in consequence bulk viscosity in diffusion creep. It
410 can be hypothesised that the creep resistance in rocks is influenced through grain
411 boundary segregation.

412 1.4 Pre-melting

413 Based on the observation that grain boundaries often have a different composition
414 and are more disordered compared to grain interiors, the concept of 'pre-melting'
415 has been proposed. Its occurrence was recently described for geological materials
416 (Levine et al., 2016). *Pre-melting involves the formation of nanometer-scale intergranular*
417 *films with liquid-like properties, such as static and dynamic disorder, below the bulk*
418 *melting point (the same as surface or interface melting (Mott, 1951)). Consequently,*
419 *diffusion rates within this region are higher than normal grain boundary diffusivities*
420 *and approach those in a liquid (e.g. Kaplan et al., 2013). Material science melting*
421 *studies in mono-mineralic substances of high purity, and on single crystals show*
422 *that melting occurs along grain boundaries and grain surfaces below the actual*
423 *bulk-melting temperature (Dash, 1999; Alsayed et al., 2005; Mei and Lu, 2007;*

424 *Han et al., 2010; Bhogireddy et al., 2014*). Pre-melting can begin at temperatures
425 of 90 % of the bulk melting temperature, as observed in simulations and studies of
426 ceramics (*Luo et al., 2005; Mellenthin et al., 2008; Luo and Chiang, 2008; Dillon*
427 *et al., 2010*).

428 *Levine et al. (2016)* summarised evidence for pre-melting and shows its existence
429 in gneiss. This study summarises the causes for pre-melting at dislocations (also
430 applicable to grain boundaries) as: 1) a lowering of the activation energy as a result
431 of stored strain energy, 2) an increased abundance of weakened bonds located
432 within sub grain boundaries, thus less energy is required to weaken the remaining
433 bonds (*Hartmann et al., 2008*), 3) enhanced diffusion rates along the sub grain
434 boundaries and 4) a local lowering of the melting temperature due to 'water and
435 water-derived species'.

436 Pre-melting is related to, but should not be confused with early partial melting
437 (EPM) a process where point-defect condensation leads to small melt fractions
438 that are unusually enriched in SiO₂, not expected to occur in thermodynamic
439 equilibrium. This has been described in the system olivine-pyroxene (e.g. *Doukhan*
440 *et al., 1993; Raterron et al., 1995, 1997*) and *Raterron et al. (2000)* concluded that
441 the process can be well-explained by sluggish point-defect equilibration using the
442 model of *Nakamura and Schmalzried (1983)*.

443 1.5 Melt distribution to study grain boundary energy

444 The distribution of the melt phase at the grain scale is a function of grain boundary
445 energy (e.g. *Bulau et al. (1979); Vaughan et al. (1982); Cooper and Kohlstedt*
446 *(1982); Toramaru and Fujii (1986b,a); Wanamaker and Kohlstedt (1991)* and
447 *Bagdassarov et al. (2000)*). For example, while basaltic melt penetrates deeply into
448 high angle olivine grain boundaries (a small dihedral angle), sub grain boundaries
449 show a large dihedral angle, indicating their much lower grain boundary energy
450 (Figure 4d in *Cmíral et al. (1998); Duyster and Stöckhert (2001)*). A static model
451 is frequently used to determine the relative grain boundary energies by measuring

452 the dihedral angle at the contact of two grains with melt (Waff and Bulau, 1979;
 453 Bulau et al., 1979). In the case of a melt-bearing polycrystal, using the assumption
 454 of isotropic grain boundary energies, γ_{gb} and isotropic liquid-crystal energies, γ_{sl} ,
 455 the Herring relations can be reduced to:

$$\cos \frac{\theta}{2} = \frac{\gamma_{gb}}{2\gamma_{sl}} \quad (6)$$

456

457

458 The dihedral angle is the angle enclosing the second phase, i.e. the melt. This
 459 is shown in Figure 3 and is a specific case of surface energy relations between the
 same crystal phases as displayed in Figure 1.

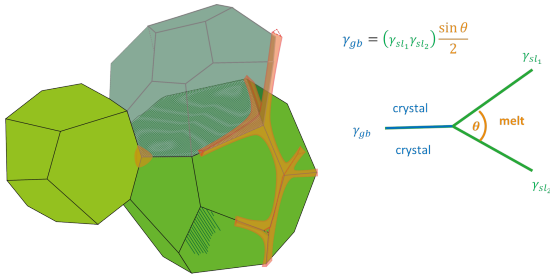


Fig. 3 Schematic illustration of a 3D isotropic polycrystalline sample, with two melts with different wetting properties, left non-wetting, right, wetting along triple junctions. No examples for wetted grain boundaries is given. It is important to remember that such illustrations are based on static hypothetical considerations. This does not account for dynamic reorganisation where wetted grain boundaries and melt pools at quadrupole junctions form transient features (e.g. Walte et al., 2005). Figure was inspired by a sketch in the PhD-thesis of Rene de Kloe (de Kloe, 2001).

460

461 Because of the simplicity of the dihedral angle measurements compared to
 462 interfacial energy determination, dihedral angles have been measured for a range
 463 of systems (an overview is given in Faul (2000); Laporte and Provost (2000);
 464 Bagdassarov et al. (2000)). Dihedral angles $> 0^\circ$ up to 60° yield interconnected
 465 melt without wetting grain boundaries in the isotropic theory. For dihedral angles
 466 $> 60^\circ$ the formation of an interconnected melt network requires increasing melt
 467 contents. The idealized isotropic model is self-similar, i.e. independent of grain

size. A grain size dependence of the melt distribution enters only in the models of
 468 [Takei and Holtzman \(2009b\)](#).
 469

Instead of the true dihedral angle, which requires 3D observations (e.g. [Cmíral
 470 et al., 1998](#)), the distribution of the melt is frequently described using the distribution
 471 of apparent dihedral angles measured from 2D sections (e.g. [Jurewicz and Jurewicz,
 472 1986](#)). This approach assumes isotropic surface energies; however, the surface
 473 energy anisotropy of olivine is non-negligible (e.g. [Cooper and Kohlstedt, 1982;
 474 Watson et al., 1997; de Leeuw et al., 2000a; Faul et al., 2004; King et al., 2010;
 475 de Leeuw et al., 2010; Gurmani et al., 2011; Adjaoud et al., 2012](#)).

Surface energies also depend on the composition of the melt as shown by
 477 [Wanamaker and Kohlstedt \(1991\)](#). Using the sessile drop technique (i.e. an additional
 478 liquid-vapour interface) they showed that the wetting angle (obtained from a
 479 variation of Herring's relations) increases with increasing silica content. [Wanamaker
 480 and Kohlstedt \(1991\)](#) conclude that the interfacial energy increases with increasing
 481 silica content, but for different Ca, Na, K containing silica melts and different
 482 crystallographic surfaces the relations become more complex. Similar to [Wanamaker
 483 and Kohlstedt \(1991\)](#), [Schäfer and Foley \(2002\)](#) used the sessile drop technique
 484 to study the variation of mineral-melt interfacial energy for the minerals olivine,
 485 enstatite, diopside, and spinel and found that surface energy increases in that order.
 486 Finally it should be noted, that the grain boundary energy can be determined
 487 from grooved triple junctions of grain boundaries that arise from thermal etching
 488 or from internal triple junctions (e.g. [Rohrer et al., 2004; Rohrer, 2011b](#)).

The isotropic model together with melt fraction for the description of such
 490 solid-liquid systems is still a common procedure, despite the clear advantage of
 491 the description using contiguity as introduced by [Takei \(1998\)](#).
 492

$$\varphi = \frac{2L_{ss}}{L_{sl} + 2L_{ss}} \quad (7)$$

Contiguity is defined as the ratio between the length of solid-solid interfaces
 493 L_{ss} and the total grain boundary length. The factor 2 is a result of the two surfaces
 494

495 that form the grain boundary. Note that the contiguity for anisotropic materials is
496 a tensor which is by definition symmetric: $\varphi_{ij} = \varphi_{ji}$ (Takei, 1998). Contiguity (the
497 contact area) can also be expressed as wetness, which is the inverse: $\psi = 1 - \varphi$.

498 Most early studies used relatively low resolution to measure the dihedral angle.
499 However, the resolution is critical because thin layers on grain boundaries contribute
500 disproportionately to wetness but little to melt fraction. For the system of olivine
501 with a basaltic melt, dihedral angles between in the range of 20 - 50° have been
502 measured with light and low-resolution SEM microscopy (von Bargen and Waff,
503 1986; Beeman and Kohlstedt, 1993; Hirth and Kohlstedt, 1995). At low resolution
504 the grain boundary wetness is underestimated. High resolution studies by scanning
505 electron microscopy and TEM yield lower dihedral angle values of about 10° (e.g.
506 Cmiral et al., 1998; Faul and Scott, 2006).

507 *In situ* observations on analogue materials (Walte et al., 2003, 2005, 2011)
508 show that 'non-equilibrium' wetted grain boundaries are a consequence of steady-
509 state grain growth (Walte et al., 2003, 2005, 2011). Layers on grain boundaries
510 in olivine partial melts have been observed on 2-D images for example by (Faul
511 et al., 1994; Faul and Fitz Gerald, 1999; Mu and Faul, 2016) and were confirmed by
512 (Garapic et al., 2013) to represented wetted grain boundaries by serial sectioning.
513 Implications of a more complex melt distribution characterised by contiguity, with
514 implications for rheology (Mu and Faul, 2016), and seismic velocity and electrical
515 conductivity, are summarised in review by Takei (2017). The observed complex
516 melt distribution relative to the predictions of the simple isotropic model show
517 that not all grain boundaries are equal, establishing the need to characterise the
518 different grain boundaries and their distribution.

519 1.6 Grain growth

520 Grain growth is a result of Gibbs free energy (ΔG) minimisation of the total
521 system where interfacial energies are included (e.g. Burke and Turnbull, 1952;
522 Rollett and Rohrer, 2017). A brief review is given by Rollett et al. (2004) who

523 notes that 'the challenge is to establish a reliable structure - property relationship
524 that includes all the relevant parameters such as temperature, composition and
525 crystallographic type.' The main conclusion of this short review is, however, that
526 'simple models of grain boundary mobility do not provide an adequate description
527 of the phenomenon.' This results in a continuous flow of studies on grain growth with
528 the objective to describe both the coarsening rate and the grain size distribution
529 with mathematical functions.

530 Full mathematical simulation of grain growth including the contribution of
531 the interfacial energy reduction to the minimisation of the total Gibbs free energy
532 of the system is available in multi phase field models, reviewed by [Steinbach \(2009\)](#).
533 In these and other models the individual energy contributions can be incorporated -
534 still anisotropy is only very seldom included (e.g. level-set method, [Ghanbarzadeh
535 et al., 2014](#)). Many experimental studies have empirically determined the parameters
536 for olivine grain growth under static conditions. Different factors were investigated:
537 water ([Karato, 1989](#); [Ohuchi and Nakamura, 2007](#)), oxygen fugacity ([Nichols and
538 Mackwell, 1991](#)), melt ([Cabane et al., 2005](#); [Faul and Scott, 2006](#)) and secondary
539 phases, e.g. pores ([Karato, 1989](#); [Nichols and Mackwell, 1991](#)), secondary phases
540 such as orthopyroxenes ([Hiraga et al., 2010](#); [Tasaka et al., 2013](#)) and clinopyroxenes
541 ([Ohuchi and Nakamura, 2007](#)) and cation exchange ([Ohuchi et al., 2010](#)).

542 Abnormal grain growth can have different causes, but there must always be an
543 energy or mobility advantage of the abnormally growing grain. Energy advantages
544 can consist of a greater driving force for growth, for example through stored elastic
545 or plastic strain energy, but also a lower surface energy causes faster growth.
546 Mobility advantages mean that the abnormally growing grain has interfaces that
547 are more mobile. This can be caused by an intrinsic structure of the grain boundary
548 or by extrinsic solutes (incompatible elements) or particles on the grain boundary
549 (e.g. chemical segregation, or particles, e.g. [Figure 12](#)). Abnormal growth occurs
550 only when the growth advantage can persist while the grain grows into its neighbouring
551 grains. Abnormal grain growth appears to be very rare, it is still observed in

552 natural olivine aggregates (e.g. [Drury and Urai, 1990](#); [Drury, 2005](#); [Heilbronner](#)
553 [and Tullis, 2006](#)), in highly deformed aggregates during recrystallization in both
554 *ceramics* ([Rollett, 2004](#)) and olivine [Boneh et al. \(2017\)](#). In the latter case it
555 is taken responsible for orientation and magnitude of crystallographic preferred
556 orientation and may influence the distribution and magnitudes of seismic wave
557 velocities and anisotropies.

558 1.7 Rheology

559 1.7.1 *Viscous regime*

560 Convection in the Earth causes continuous, grain-scale deformation. Constitutive
561 models for grain scale deformation can be derived for diffusion creep where diffusion
562 occurs either through the grain interior (Nabarro-Herring creep) or along grain
563 boundaries (Cobble creep), and dislocation creep which includes glide and climb
564 [Poirier](#) (e.g. [1985](#)). Experimentally the two mechanisms are distinguished by a)
565 grain size dependence and linear stress dependence of diffusion creep, and b)
566 grain size independence and a power-law stress dependence of dislocation creep.
567 Dislocation accommodated grain boundary sliding is grain size-dependent but has
568 a non-linear stress dependence ([Hansen et al., 2011, 2012a](#)).

569 Dislocation creep leads to grain size reduction through recrystallisation (accumulation
570 of dislocations in sub grain boundaries, followed by rotation) where the recrystallised
571 grain size is stress dependent ([Karato et al., 1980](#); [Van der Wal et al., 1993](#)).
572 Glide of dislocations includes a rotational component and consequent alignment
573 of crystalline grains to produce crystallographic preferred orientation (CPO).

574 The rheology of partially molten rocks was investigated both experimentally
575 [Hirth and Kohlstedt](#) (e.g. [1995](#)); [Mei and Kohlstedt](#) (e.g. [2000](#)); [Faul and Jackson](#)
576 (e.g. [2007](#)) and by modeling (e.g. [Takei and Holtzman, 2009b](#)). Currently constitutional
577 laws of deformation including grain boundary processes are phenomenological
578 with parameters that are not directly linked to atomic scale quantities. Obtaining

579 models that expand pure diffusion mechanisms to include grain boundary mechanisms
580 (e.g. Ashby et al., 1970; Raj and Ashby, 1971; Ashby, 1972; Langdon, 2006) is a
581 current goal of research (e.g. Cordier et al., 2014; Sun et al., 2016).

582 In diffusion creep, grain boundary sliding is a major strain producing mechanism
583 necessary to explain equiaxed grain shapes even after large strains (superplasticity
584 Miyazaki et al. (2013)). To explain powerlaw creep that is grain size dependent
585 similarly grain boundary sliding is invoked (e.g. Hirth and Kohlstedt, 2003). Grain
586 boundary mechanisms can relax the von Mises criterion (the need for five independent
587 slip systems) in dislocation creep, as can the movement of grain boundaries,
588 maybe facilitated by grain boundary disclinations (Cordier et al., 2014; Sun et al.,
589 2016). In the case where grain boundary properties are strongly anisotropic, grain
590 boundary mechanisms should allow energetically favourable interfaces to orient
591 themselves to minimise the total viscosity of the aggregate (Maruyama and Hiraga,
592 2017b,a). Grain boundary deformation mechanisms are sensitive to the grain
593 boundary structure (Cahn et al., 2006), and consequently also to the grain boundary
594 viscosity (Maruyama and Hiraga, 2017b,a). Grain boundary structure in this
595 context includes the roughness of the boundary, i.e. steps/facets as mentioned
596 in section 1.2.

597 1.7.2 Anelastic regime

598 While large strain deformation changes the grain scale microstructure, the microstrains
599 due to the propagation of seismic waves interrogate the grain boundary properties
600 without changing the microstructure. The model of accommodation of microstrains
601 due to grain boundary sliding by Raj and Ashby (1971) includes two sequential
602 processes. First, sliding of grain boundaries accommodated by elastic strain at
603 grain corners or steps on grain boundaries. Due to the high elastic moduli the
604 resulting strains are very small, particularly for small grain sizes.

605 Second, after the maximum sliding due to elastic accommodation has taken
606 place, the resulting stress concentration at grain corners will drive diffusion, which

607 leads to a redistribution of stresses along grain boundaries towards the state of
608 stress for macroscopic diffusion creep. This transient redistribution of stresses was
609 termed diffusionally assisted grain boundary sliding by [Morris and Jackson \(2009\)](#)
610 to distinguish it from macroscopic diffusionally accommodated grain boundary
611 sliding.

612 Elastically accommodated grain boundary sliding and diffusionally assisted
613 grain boundary sliding are both anelastic processes as the strain is recovered with
614 a time delay on removal of the applied stress. Again grain boundary sliding is
615 not directly observed in the experiments but inferred from the observed grain
616 size dependence. An implicit assumption in the Raj-Ashby model is that grain
617 boundaries are substantially weaker than grain interiors, consistent with the much
618 higher grain boundary diffusivity. Diffusionally accommodated grain boundary
619 sliding is inferred to account for the attenuation observed in torsional forced
620 oscillations tests ([Tan et al., 1997, 2001](#); [Gribb and Cooper, 1998](#); [Jackson et al.,](#)
621 [2002](#)), see the review by [Faul and Jackson \(2015\)](#). Grain boundary viscosities
622 derived from small strain experiments in the anelastic regime are more than 5
623 orders of magnitude lower than steady state viscosities measured in diffusion creep
624 ([Faul et al., 2004](#)).

625 **2 Methods**

626 Which sample type or preparation method is most appropriate for experimental
627 studies has been debated for decades and regularly culminates in strong dissent
628 between different research groups. The question is how a sample should be best
629 prepared to best simulate natural samples, while having small grain sizes, mosaic
630 (foam) texture, indicative of steady state grain growth, often referred to as equilibrium
631 texture (technically wrong, but descriptive for the sluggish grain coarsening) and
632 controlled impurities. Depending on the question to be addressed the different
633 samples preparation methods all have their advantages and disadvantages. Natural
634 samples are too coarse-grained, the presumably representative composition led to

635 samples that are reconstituted from ground and hand sorted grains to have both,
636 small grain sizes and natural composition. The compositional range is variable
637 depending on source materials and quality of sorting. The grinding may cause the
638 introduction of dislocations. It is often critically commented that reconstituted
639 samples may not reach micro-structural "equilibrium" before the actual experiments
640 are performed (e.g. [McDonnell and Spiers, 2002](#); [Sano et al., 2006](#); [Koizumi et al.,](#)
641 [2010](#)). Solgel samples (e.g. [Edgar, 1973](#); [Jackson et al., 2002](#)) and vacuum sintered
642 samples (e.g. [Koizumi et al., 2010](#)) can result in very fine grained and micro-
643 structurally "equilibrated" samples. However, the applicability of experimental
644 observations on iron-free systems to natural systems is highly debated, since diffusion
645 mechanisms differ strongly in the iron-free and iron-bearing systems ([Chakraborty](#)
646 [et al., 1994](#); [Chakraborty, 1997](#); [Petry et al., 2004](#)). While vacuum sintered samples
647 are still iron-free, solgel samples with and without iron and representative trace
648 element impurities (e.g. [Faul et al., 2016](#)) have been prepared. Note that [Chakraborty](#)
649 [\(1997\)](#) concluded that the iron-related point-defect dependent diffusion mechanism,
650 at constant P , T , f_{O_2} and a_{Si} is dominating over all trace-element related point
651 defect diffusion mechanisms. Therefore, the sole presence of representative amounts
652 of iron and defined P , T , f_{O_2} and a_{Si} might suffice to simulate natural diffusion
653 related processes. Nevertheless, studying the effect of iron and individual trace
654 elements simplifying the final interpretation is necessary to prove this assumption.
655 Finally, for the study of grain boundaries bicrystals can be synthesised, where
656 the interface has the orientation of choice (e.g. [Heinemann et al., 2005](#); [Hartmann](#)
657 [et al., 2010](#); [Marquardt et al., 2011d,c](#)). In the following we examined different
658 samples of different preparation origin to compare the grain boundaries at the
659 nm-scale using transmission electron microscopy.

660 *Reconstituted rocks (RR)*: Samples for deformation or attenuation experiments
661 are produced by selecting olivine crystals of several mm in size that are as inclusion-
662 free as possible. These crystals are then crushed and sorted by size before being
663 cold pressed to 200 MPa and hot-pressed in a cylindrical shape for deformation.

664 Typical sample sizes of 10 - 11.5 *mm* in diameter and 20 - 30 *mm* in length require
665 substantial amounts of starting material. Even careful hand-picking of the original
666 grains as well as of the crushed fragments under a binocular microscope typically
667 does not reliably remove all incorporated other phases. The resulting sample
668 aggregates contain variable amounts of melt at high temperatures ($\sim 1250^{\circ}\text{C}$) and
669 low pressure ranging from 0.01 *wt.%* or less (Tan et al., 2001; Faul et al., 2004)
670 to ~ 1 *wt.%* (Karato et al., 1986; Hirth and Kohlstedt, 1995; Mei and Kohlstedt,
671 2000).

672 *Solution gelation derived samples (SG)*: Fully synthetic samples are produced
673 from reagent grade nitrites and ethanol solutions, which are reacted and fired
674 to produce fine-grained starting materials (Jackson et al. (2002, 2004)). These
675 materials are consistently melt-free at the TEM scale (Faul et al. (2004)). The
676 deformation experiments discussed below were conducted in a Paterson gas-medium
677 apparatus at 300 MPa confining pressure. The experimental procedures are detailed
678 in Faul and Jackson (2007). Sample 1623 contains 396 *ppm* Ti/Si, 780 *ppm* H/Si,
679 and has an average grain size of 21 μm .

680 *Vacuum sintered samples (VS)*: Nano-sized powders of colloidal SiO_2 and
681 highly dispersed $\text{Mg}(\text{OH})_2$ with particle size of less than 50 *nm* were used as
682 chemical sources for MgO and SiO_2 . They were cold-pressed and vacuum sintered
683 to obtain highly dense and fine-grained polycrystalline samples (Koizumi et al.,
684 2010), the transmission electron microscope study was performed on one of the
685 samples from a different study (Fei et al., 2016) and more details are available in
686 this study.

687 *Wafer bonded bicrystals*: One sample was produced using the wafer bonding
688 method (Heinemann et al., 2001, 2003, 2005; Hartmann et al., 2010; Marquardt
689 et al., 2011c,d), where two epi-polished forsterite surfaces saturated with pure
690 adsorbed water are brought into contact without force. Additional annealing establishes
691 atomic bonds across the interface. The forsterite was grown at the institute for

692 crystal synthesis (IKZ-Institut für Kristallzüchtung Berlin) using the Czochralski
693 method (Czochralski, 1918). Here we produced a 60° [100]/(011) grain boundary.

694 **3 Analysis**

695 Generally all samples were investigated with a combination of methods ranging
696 from light microscopy, microprobe wavelength dispersive spectroscopy (WDS) and
697 energy dispersive spectroscopy (EDS) in both scanning electron microscopy (SEM)
698 and transmission electron microscopy (TEM).

699 Prior to *EBSD measurements*, the studied samples were chemo-mechanically
700 polished for 2-8 h using an alkaline solution of colloidal silica on a soft substrate.
701 The crystallographic orientation measurements were carried out on 3-6 *nm* carbon-
702 coated samples using automated indexing routines commercially available. Most of
703 the EBSD analyses were conducted using a FEI Scios FEG dual beam machine at
704 Bayerisches Geoinstitute. The system is equipped with an EDAX-TSL Digiview IV
705 EBSD detector and the OIM software incorporated in the TEAM 8 user interface.
706 Simultaneous acquisition of energy dispersive X-ray spectra for each orientation
707 measurement point allowed to exclude pyroxene from the indexing routine, because
708 we only analysed the olivine GBCD from these data. We simply excluded all data
709 points where the Si to Mg ratio was closer to 1:1 than 1:2. Our mappings were
710 conducted at accelerating voltage of 20 *kV*, beam current of 3.2 *nA* and working
711 distance of 10-14 *mm*. We used step sizes of at least 1/10th of the grain size, thus
712 varying from 0.2 μm to 1 μm using a hexagonal mapping grid. Olivine was indexed
713 in space group Pbnm using the lattice constants $a = 4.762 \text{ \AA}$, $b = 10.225 \text{ \AA}$, and
714 $c = 5.994 \text{ \AA}$.

715 *Data treatment:* From EBSD maps we reconstructed the grain boundaries
716 using the OIM analyses 8. Grains were defined with a minimum disorientation
717 of 3° , and this fixes the lower limit for the smallest grain boundary disorientation.
718 We did two runs exporting the grain boundary segments, once including the
719 low angle grain boundaries ($3\text{-}20^\circ$ disorientation), once we only considered grain

sample name	type ^a	trace elements ^b	grain size, μm	melt content, wt. %	T ($^{\circ}\text{C}$), duration ^c (h)	capsule material	figure	ref
6261	Fo ₉₀ RR	natural	23.4	<0.01	1300, 36	Fe	14	Jackson et al. (2002)
6381	Fo ₉₀ SG	undoped	2.9	melt-free	1200, 46	Ni ₇₀ Fe ₃₀	8, 14	Jackson et al. (2002)
6366	Fo ₉₀ RR	natural	52.3	0.4	1240, 110	Ni ₇₀ Fe ₃₀	14	Faul et al. (2004)
6384	Fo ₉₀ SG	melt-added	27.5	3.7	1300, 42	Ni ₇₀ Fe ₃₀	8, 14	Faul et al. (2004)
6525	Fo ₉₀ SG	undoped	4.0	melt-free	1300, 24	Ni ₇₀ Fe ₃₀	10a	Faul and Jackson (2007)
6614	Fo ₉₀ SG	0.1 wt. % CaO	3.9	melt-free	1250, 30	Ni ₇₀ Fe ₃₀	16	this study
6615	Fo ₉₀ SG	0.1 wt. % CaO	5.9	melt-free	1300, 30	Ni ₇₀ Fe ₃₀	16	this study
6617	Fo ₉₀ SG	0.1 wt. % CaO	3.2	melt-free	1200, 30	Ni ₇₀ Fe ₃₀	16	this study
6767	Fo ₉₀ SG	261 wt. ppm Ti	15.7	melt-free	1200, 40	Pt	12, 13	Faul et al. (2016)
6713	Fo ₉₀ SG	216 wt. ppm Ti	6.7	melt-free	1300, 30	Ni ₇₀ Fe ₃₀		Faul et al. (2016)
6714	Fo ₉₀ SG	216 wt. ppm Ti	6.5	melt-free	1200, 30	Ni ₇₀ Fe ₃₀		Faul et al. (2016)
1623	Fo ₉₀ SG	396 wt. ppm Ti	21	melt-free	1300, 31	Pt		Faul et al. (2016) Fei et al. (2016) Koizumi et al. (2010) Tasaka et al. (2013)
P4	Fo ₁₀₀ VS	undoped	6.4	melt-free				
fo_bi_011	Fo ₁₀₀	undoped	mm	melt-free	1640, 48	Pt	15	

a RR reconstituted hot pressed rock from San Carlos olivine powder, SG solgel origin, VS vacuum sintered. Mg-numbers are rounded values.

In detail SG samples tend to be somewhat lower (to 89.5), RR somewhat higher (to 90.5)

b Natural: (San Carlos olivine) Al, Ca, Ti, Na, Cr, Mn, Ni, Co, Zn, P, Sc

(e.g. Garrido et al., 2000; Davies et al., 2006; Lee et al., 2007; Drouin et al., 2009; De Hoog et al., 2010; Foley et al., 2011, 2013).

Melt added: derived from composition of added basaltic melt (Al, Ca, Ti, Na, K).

c Duration of exposure to highest temperature

Table 1 Compilation of parameters for sample synthesis and references to other studies where data from the same samples have been published.

720 boundaries with disorientations larger than 20° . This follows the observation that
721 individual dislocations can be distinguished for disorientation angles as large a
722 20° (Heinemann et al., 2005), which defines the transition from low- to high-angle
723 grain boundaries.

724 The quality of the indexing of the Kikuchi-patterns is expressed as confidence
725 index (CI) ranging from 0 to 1, where values above 0.2 are sufficient for correct
726 indexing. Our CI values were generally higher than 0.2. Generally we obtained
727 maps with very high indexing fractions ($\sim 95\%$ of the pixels), and little misindexing.
728 We performed a clean-up procedure to remove unindexed and misindexed pixels:
729 First we applied a correction for pseudo-symmetry, e.g. 60° about [100]. Furthermore,
730 we dilated grains to absorb points not belonging to any grain (defined as a minimum
731 of 2 neighbouring points with the same orientation within 3°) which frequently
732 occur along grain boundaries where two Kikuchi pattern overlap. For pixels at
733 boundaries, the isolated point becomes part of the grain that surrounds the majority
734 of the point; if two grains surround the individual points equally, the point becomes
735 part of the grain with the highest average CI. The absorbed point takes orientation
736 and CI of the neighbouring grain with highest CI. Dilatation was set to result in
737 a minimum of 3 rows of a minimum of 3 pixels each, which did not affect the
738 average grain size determined before and after this procedure. Note, that the
739 grains considered for further analyses were chosen to have a minimum size of
740 25 pixels over at least three rows. The grain boundary traces are reconstructed
741 into segments. Triple junctions are identified and a straight line is drawn between
742 them. The segments are dissected into shorter segments. The tolerance between
743 the reconstructed line and the actual grain boundary is less than twice the step size
744 used for mapping, a schematic explanation is given by others (Edax OIM analyses 8
745 manual). Subsequently the exported grain boundary line segments were evaluated
746 using the scripts developed at the Carnegie Mellon University, Pittsburgh (Rohrer
747 et al., 2004).

748 *Electron microprobe analyses (EMPA)* Microprobe analyses were performed
749 at the MIT Electron Microprobe Facility on the JEOL-JXA-8200 with 15 kV
750 acceleration potential and a beam current of 10 nA and a beam diameter of ~ 1
751 μm . Counting times were 20-40 s per element, resulting in counting precisions of
752 0.5-1.0 % 1- σ standard deviations. The raw data were corrected for matrix effects
753 with the CITZAF program ([Armstrong, 1995](#)).

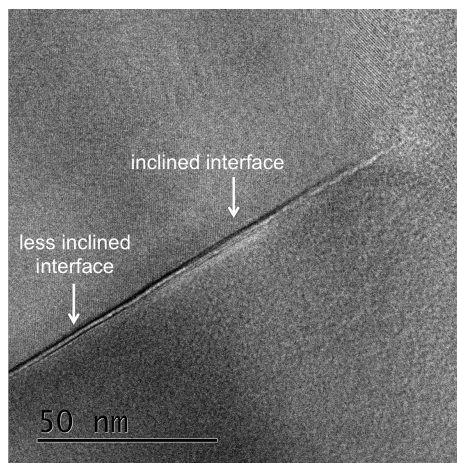
754 *Transmission electron microscopy (TEM)* TEM investigations were performed
755 with a FEI TitanTM G² 80-200 microscope at Bayerisches Geoinstitut Bayreuth,
756 using conventional TEM, high resolution (HR)-TEM as well as scanning (S)-
757 TEM modes. The microscope was operated at an acceleration voltage of 200
758 kV with an electron beam generated by an extreme brightness field emission
759 gun (X-FEG) Schottky electron source. The point resolution is 0.24 nm, and
760 0.16 nm STEM resolution. The TEM is equipped with a post-column Gatan
761 imaging filter (GIF Quantum^RSE). Analytical TEM was performed in scanning
762 transmission mode, STEM. The probe size after careful optimisation is 160 pm,
763 and the final image resolution in STEM results from pixel size and probe size.
764 The signal is acquired using a high angle annular dark field detector (HAADF),
765 with the camera length optimised to yield Z-contrast. Energy dispersive X-ray
766 spectra were acquired using a windowless SuperX-EDS detector with 4 Si-drift
767 detectors (SDDs) inclined towards the sample in a superimposed circle, resulting
768 in 0.7 srad solid angle for collection. This configuration allows acquisition of high
769 numbers of X-rays and facilitates to obtain reliable peak to background ratios,
770 thus overcoming previous difficulties (e.g. [Kohlstedt, 1990](#)). The EDS analyses are
771 point analyses, smart line profiles ([Sader et al., 2010](#); [Marquardt et al., 2011d](#))
772 a method largely comparable to the elliptical beam analyses of previous studies
773 (e.g. [Drury and Fitz Gerald, 1996](#)). The area measured is usually wider than
774 the grain boundary region, so that the resulting analysis is a mixture of grain
775 boundary and grain interior volumes. This means that the absolute compositions
776 of the grain boundaries can only be obtained through lengthly extrapolations by

777 measurements with different beam sizes and extrapolating down to the width of the
778 grain boundary. As the current paper is a compilation of previous results and aims
779 at a qualitative summary extended with new data we refrain from quantitative
780 analyses. The map in Figure 14 the area of interest was scanned for 2 hours
781 continuously; the spectra at each pixel are summed. Each pixel has a size of 4 nm.

782 *High resolution transmission electron microscopy (HRTEM)* In order to image
783 the structural width of a grain boundary using HRTEM, the grain boundary has to
784 be parallel to the electron beam (edge-on condition). This condition can be tested
785 using the appearance of fresnel fringes when bringing the sample in an out of the
786 minimum contrast condition. Note that delocalisation is more pronounced for large
787 reciprocal space vectors (i.e. small lattice spacing). Dark or bright fresnel fringes
788 appear on both sides of the grain boundary at the same distance when changing
789 the defocus; however if the grain boundary is not edge-on the fresnel fringes will
790 have different grayvalues on either side of the interface and may also have different
791 widths and distances from the interface (Figure 4). It was previously pointed out
792 (Hiraga et al., 2002) that a grooved surface, even in the absence of a glass film,
793 can produce Fresnel fringes with contrast stronger than that produced by a glass
794 film at a grain boundary (Rasmussen et al., 1989). Thus the Fresnel fringe method
795 is not the best to determine whether or not an amorphous film is present, but
796 assuming that such grooves are relatively symmetric they are still suitable to test
797 the inclination of the interface. The defocus is chosen to lie between Scherzer
798 (for maximum resolution) and 0 to minimise delocalisation (Williams and Carter,
799 2009; B. Fultz, 2001). This is the optimal focus for direct image interpretation for
800 uncorrected transmission electron microscopy to image interfaces.

801 Lattice planes can only be resolved by high resolution transmission electron
802 microscopy (HREM) if they are close to fulfil the Bragg criterion and thus waves
803 interact constructively. The contrast can be interpreted directly up to the point
804 resolution of the microscope. Interpretation of higher frequencies require image

Fig. 4 High resolution TEM images of grain boundary that changes inclination with respect to the incident electron beam. The fresnel fringes vary in intensity and grayscale where the grain boundary plane is strongly inclined with respect to the incidence beam direction. The Fresnel fringes change width at a less inclined areas of the interface.



805 simulation and exit wave reconstruction (e.g. [Marquardt et al., 2011d](#); [B. Fultz,](#)
806 [2001](#); [Williams and Carter, 2009](#)).

807 To use the resolution of the microscope to its point resolution while minimising
808 delocalisation we used a virtual aperture of $\sim 3.33 \text{ nm}^{-1}$ by post processing to
809 remove frequencies that result in delocalisation greater than 0.9 nm . In order
810 to observe lattice planes on both sides of a grain boundary and simultaneously
811 have the grain boundary plane parallel to the beam, the grain boundary must be
812 oriented in a relatively low index zone common to both crystals; this is a relatively
813 rare condition that is not met for the vast majority of grain boundaries. The
814 orientation requirement for the grain boundary plane is quite stringent: [Vaughan](#)
815 [et al. \(1982\)](#) pointed out that in order to resolve a 1 nm wide layer, the grain
816 boundary has to be oriented with an accuracy of better than 2° for a 30 nm
817 thick TEM foil. Therefore several authors previously gave direct recipes on how to
818 acquire best HRTEM images of interfaces [Clarke \(1979a\)](#); [Vaughan et al. \(1982\)](#);
819 [Hiraga et al. \(2002\)](#). In short they contain the following steps:

- 820 1. Find a thin grain boundary in good orientation to fulfil the above mentioned criteria.
- 821 2. Correct astigmatism of the condenser and objective lenses close to the region of interest.
- 822 3. The chosen diffracted beams of both crystals must be accurately centred in the objective
823 aperture and remain on the optical axis of the microscope, you may follow the illustrated
824 examples given in [Vaughan et al. \(1982\)](#).

- 825 4. Allow the frequencies necessary for imaging to pass through the aperture, preferably up to
826 the inverse of point resolution for uncorrected microscopes. The optimum can be calculated
827 using equation 28.72 in [Williams and Carter \(2009\)](#).
- 828 5. Acquire a through-focus series to subsequently choose the image with the optimum defocus
829 conditions.

830 Note, if a sample allows for acquisition of HRTEM images at different defocus
831 values, and thus reconstruction of the exit-wave is possible, phase images with
832 minimised delocalisation can be calculated. Alternatively, spherical aberration
833 (Cs)-corrected microscopy allows acquisition of images that are nearly free of
834 delocalisation. Other STEM based methods to retrieve the thickness and orientation
835 of interfacial layers have been proposed ([Koch et al., 2006](#); [Kiss et al., 2016](#)). If
836 beam damage is not an issue, these have significant advantages, for example that
837 they result in little delocalisation.

838 *Deformation experiments* were conducted in a Paterson-type gas-medium apparatus
839 ([Paterson, 1990](#)). The samples were tri-axially compressed at a confining pressure
840 of 300 MPa. The temperature was controlled using a Eurotherm controller and a
841 type R Pt-Rh thermocouple. The load cell was calibrated and for jacket corrections
842 samples of mild steel were deformed that have similar composition as the here used
843 mild steel jackets. More details are described in [Faul and Jackson \(2007\)](#).

844 4 Results

845 4.1 GBCD of sol-gel derived Fo₉₀

846 The full five parameter grain boundary character for a the sol-gel derived Fo₉₀
847 sample, with less than 2 vol.% of pyroxene was determined using EBSD mapping
848 and stereological analyses of over 200.000 grain boundary segments. The most
849 important observations of the sample are summarised in Figure 5a-d. In Figure 5a
850 the disorientation distribution as a function of the total measured grain boundary
851 length is displayed. The measured disorientations between adjacent crystals is
852 plotted in red and compared to the calculated Mackenzie distribution for randomly

853 oriented orthorhombic crystals in blue (Mackenzie, 1958). Our sample shows perfect
854 agreement with the calculated random distribution and orientation distribution
855 functions yield no LPO. In Figure 5b the axes angle distribution, e.g. three independent
856 parameters for each grain boundary is displayed. All axes of rotation for the
857 disorientation angles 10° , 60° , 90° and 110° are displayed. No marked preference
858 for any specific axis of disorientations is observed for 10° , 90° and 110° disorientations,
859 only 60° disorientations show a preference of being rotated around the [100] axis,
860 similar to previous observations (Faul and Fitz Gerald, 1999; Marquardt et al.,
861 2015). This is of course after correcting for all pseudo-symmetric misindexing.

862 Grain boundary planes dominating the interfacial network are displayed in
863 Figure 5c. Grain boundaries close to perpendicular to the c-axis dominate the
864 distribution, with a maximum near (012)-planes. Generally the grain boundary
865 planes show a preference for planes along the edge [001] to [010] of the standard
866 triangle for orthorhombic crystal systems. Therefore (0kl)-type planes make up the
867 largest portion of the surface area of olivine crystals in this polycrystal. The planes
868 most frequently brought into contact by a 60° rotation about the [100]-direction
869 are displayed in Figure 5d. In agreement with the high occurrence of (0kl)-type
870 boundaries this specific axis angle pair favourably involves planes of (0kl)-type.

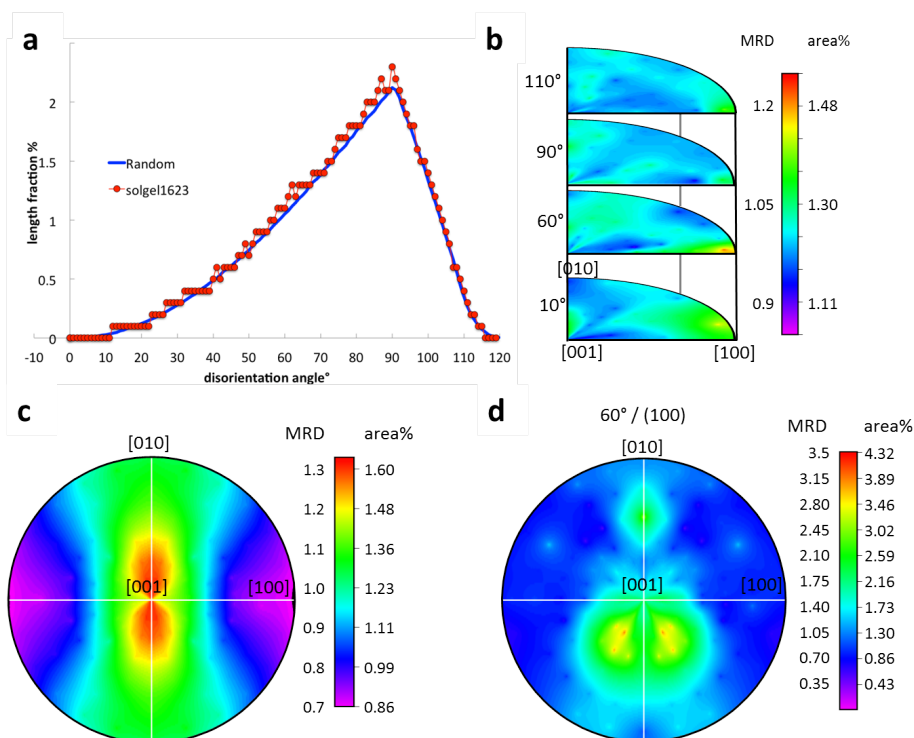


Fig. 5 Different representations of information about grain boundaries in solgel derived F_{90} , all from the same data. a) Single parameter disorientation distribution in red, each grain boundary is reduced to its minimum disorientation angle, disregarding its disorientation axis. The random distribution is shown in blue for comparison. b) Three independent parameters for each boundary are given in the axis angle representation of the disorientation. Two parameters for the axis direction and one for the rotation angle. Each point in 3D space represents one individual grain boundary, that is distinct from the next. In each of the layers of the axis angle space all possible axes are presented. Each layer corresponds to a different rotation angle which varies at non-constant intervals along the vertical direction. Note, that there are only very slight preferences for specific rotation about specific angles. The 60° rotations mostly occur around the [100] and 10-20° rotations have a preference for [100] and/or [210]. It should be noted that only a very small fraction of all grain boundaries of this sample are low angle grain boundaries, consequently the statistical relevance of the information obtained for this range is limited. c) Grain boundary plane distribution irrespective of disorientation. It yields an approximate average crystal habit. d) For any particular axis angle combinations a specific grain boundary plane distribution exists, here it is shown for 60° about the [100] direction. We chose to display only planes in 60° about the [100], because only planes with this specific disorientation angle shows a significant preference for a specific axis of rotation. The color code in the stereographic plots gives values in multiples of random distribution (MRD) and the respective area %.

871 4.2 Direct micrographs of grain boundaries

872 In order to obtain the most representative information about variable grain boundary
873 structures grain boundaries were chosen arbitrarily (with the only criterion of being
874 at the thinnest areas of the TEM-lamellae). The grain boundary was oriented
875 parallel to the beam and subsequently rotated about the axis perpendicular to
876 the grain boundary until lattice fringes could be observed. This procedure often
877 results in images where lattice fringes, with frequencies (d-spacings) that do not
878 suffer from delocalisation are visible only in one of the grains, as most of the
879 time the second grain is in an orientation where non of the planes with large
880 d-spacings are parallel to the beam (Figure 6d, 7 and Figure 8a,b). The width
881 of the grain boundary region in the resulting images ranges up to 1 *nm*. In
882 some micrographs the grain boundary region does not display any lattice fringes
883 and appears amorphous. These grain boundary images are subsequently called
884 'amorphous' type. The imaged width of 'amorphous' appearing grain boundaries
885 is independent of sample type (i.e. synthetic Fo₁₀₀, Figure 6d, synthetic Fo₉₀,
886 Figure 8a, or San Carlos derived reconstituted rocks, Figure 8c). The presence
887 of melt in a sample similarly does not influence the width of the grain boundary
888 region of non-wetted grain boundaries in the micrographs (Figure 8b). Similar
889 images were also published [Faul et al. \(2004\)](#) from solgel samples with added melt
890 and [Mei and Kohlstedt \(2000\)](#) from experimental samples with olivine of natural
891 origin.

892 Figure 7 shows a straight grain boundary with varying grain boundary outline
893 from top, with a smooth grain boundary to bottom with a stepped/faceted
894 appearance. Note that the right grain has relatively large d-spacings, which generates
895 the impression of steps at the interface. This impression is however misleading,
896 because other crystallographic planes, less easily identified by eye because of there
897 smaller d-spacing are continuous and more parallel to the grain boundary. In the
898 upper part of the micrograph, where these d-spacings are better visible the grain
899 boundary appears very smooth.

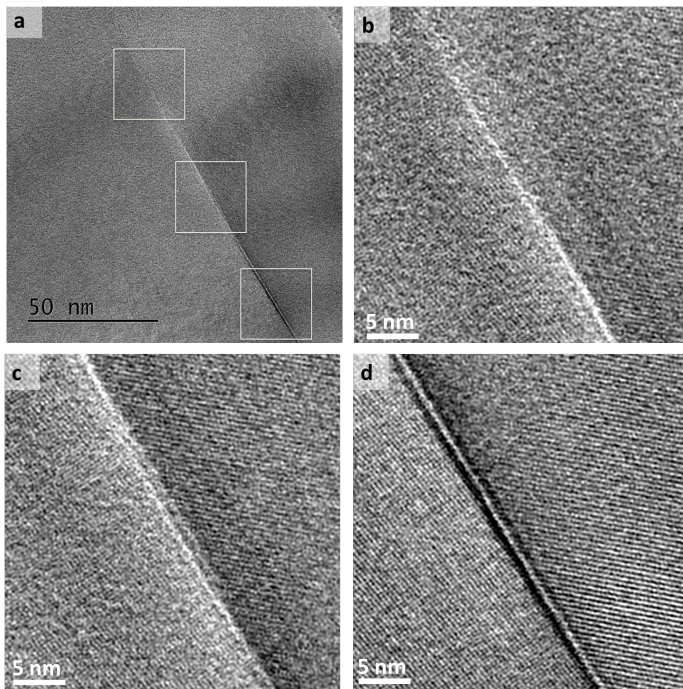


Fig. 6 HRTEM micrograph of vacuum sintered Fo_{100} from the Hiraga Lab. The grain boundary is parallel to the incident beam, lattice fringes are obtained for both crystals. a) The contrast changes along the grain boundary with increasing sample thickness towards the bottom of the image. Frequencies corresponding to d-spacings of less than 0.24 nm are removed using a Fourier filter, because they suffer from delocalization. This procedure is analogous to placing an objective aperture in the back focal plane of the objective lens. In b and c the lattice planes of the adjacent crystal lattices are in 'contact'. In c a facet at the centre of the micrograph results in a double line along the interface. The region between the fresnel fringes in d might appear amorphous if a too large aperture were used. Figure 1 of [Fei et al. \(2016\)](#) stem from the same study. The original images are displayed in Figure 18 of the appendix.

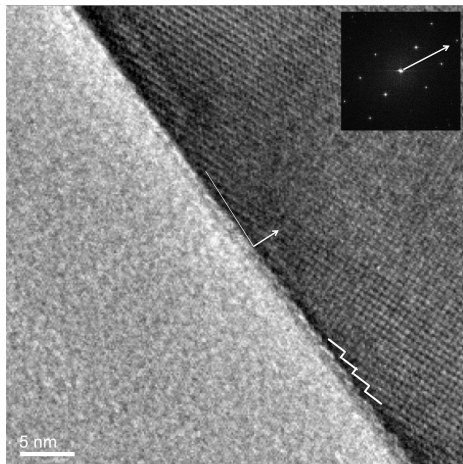


Fig. 7 HRTEM micrograph of a vacuum sintered sample. The grain boundary is parallel to the incident beam, lattice fringes are obtained for both crystals, even though those on the left crystal have such small d-spacings that they are nearly not resolved anymore. The right grain has relatively large d-spacings. In the upper part of the micrograph the grain boundary thus appears very smooth.

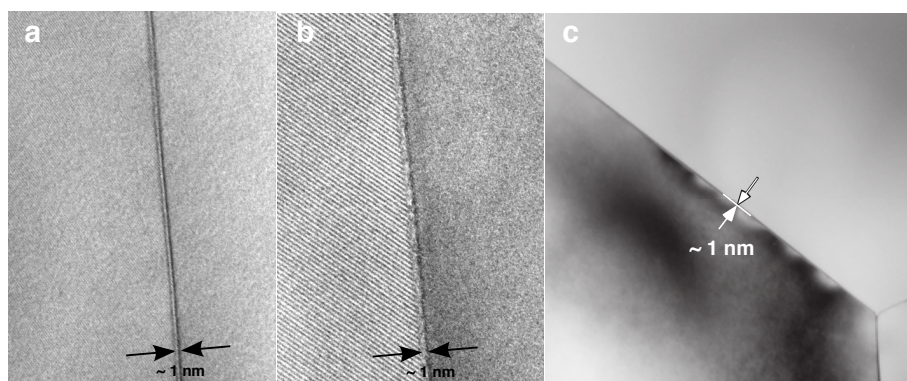


Fig. 8 High resolution TEM images of grain boundaries in polycrystalline olivine aggregates. The width of the grain boundaries (oriented parallel to the electron beam) is about 1 nm for all samples (note that the scale of the each image is different). (a) Melt-free solgel sample 6381 with lattice fringes resolved in the left grain (Jackson et al. (2002)). (b) Melt-added solgel sample 6384 with lattice fringes resolved in the left grain (Faul et al. (2004)). (c) San Carlos olivine sample 6261 with a melt content < 0.01% (Tan et al. (2001); Jackson et al. (2002)).

900 Figure 8 is a compilation of previous micrographs published as indicated in
 901 the figure caption. The two melt-free grain boundaries in Figure 8a and 8b appear
 902 similar even though the former is from a melt-free solgel sample, while the latter
 903 is from a melt added solgel sample. Therefore, the images by themselves provide
 904 no indication of the provenance or state (melt vs. no melt) of the sample.

905 In contrast Figure 6b,c, 9 and Figure 10a show directly abutting lattice planes
 906 for both olivine grain boundaries and olivine enstatite phase boundaries, where
 907 similar micrographs were also published in Vaughan et al. (1982); Hiraga et al.
 908 (2002), subsequently called 'crystalline' type. Note that both types of grain boundary
 909 micrographs can be obtained from the same grain boundary as shown in Figure
 910 6. In 6b and c the grain boundary appears 'crystalline', while in 6d it appears
 911 'amorphous'. The different appearance is a consequence of contrast that depends
 912 on defocus setting and sample thickness. Note that the 'crystalline' interpretation
 913 is more appropriate, as 'amorphous' is observed in sample regions more easily
 914 biased by imaging artefacts (e.g. thicker sample). Note that the grain boundary
 915 displayed in Figure 6 is mostly straight, except for 6c where a facet is observed, that
 916 causes the boundary to appear as a double fringe in the centre of the micrograph.

917 The grain boundary planes in the synthetic forsterite bicrystal of Figure 9 are
 918 the (011) planes with respect to both adjacent crystals. The planes is brought
 919 into contact by a 60.8° rotation about the common [100] axis. The here imaged
 920 grain boundary is faceted on the nm-scale. Two inclined areas are visible. The
 921 structural width of the grain boundary at places oriented parallel to the electron
 922 beam is less than 1 nm. The adjacent crystal planes are in direct contact.

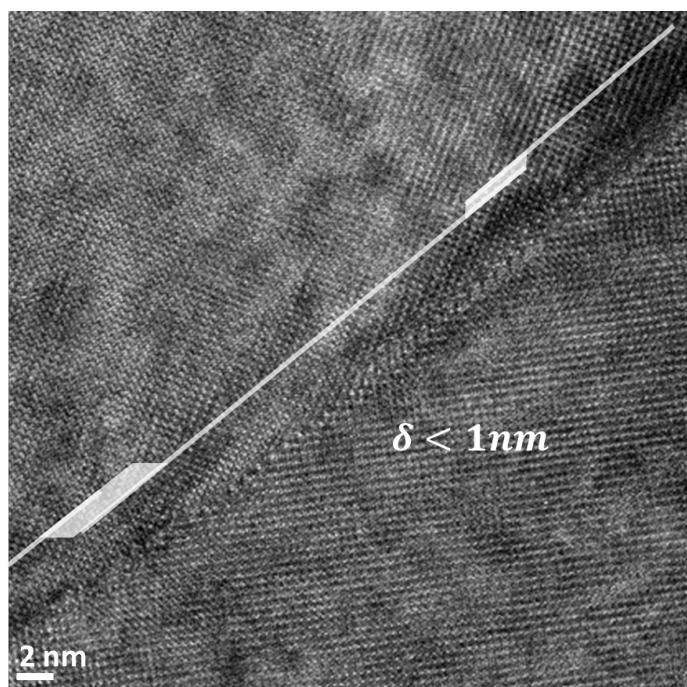


Fig. 9 HRTEM micrograph the synthetic forsterite bicrystal, the grain boundary plane is the (011) with respect to both adjacent crystals. The grain boundary was traced in transparent white and the inclined facets are indicated by shaded regions - the traces where then shifted with respect to the grain boundary to allow the reader to have a better view of the structure. The structural width of the grain boundary is less than 1 nm and thus of 'crystalline' type. The adjacent crystal planes are in direct contact.

923 In Figure 11 a phase boundary between forsterite and enstatite in solgel sample
 924 6793 where elevated Ti-levels were measured is displayed. The lattice planes of both
 925 phases are in direct contact. Which lattice planes appear clearly in the crystals is
 926 again caused by sample thickness and defocus setting. Note the small dark region in
 927 Figure 11c. In Figure 12 a similar phase boundary of the same sample is depicted.
 928 The enstatite can be easily distinguished from olivine due to its twin lamella.
 929 These are common observations in enstatite, a result passing the phase transition
 930 from orthoenstatite to clinoenstatite during quenching. These lamellae are also

Fig. 10 HRTEM micrograph of a) an olivine grain boundary of solgel sample 6525. The width of the grain boundary is less 1 nm and appears as part of the 'crystalline' type. b) Forsterite enstatite phase boundary. Lattice fringes are in direct contact.

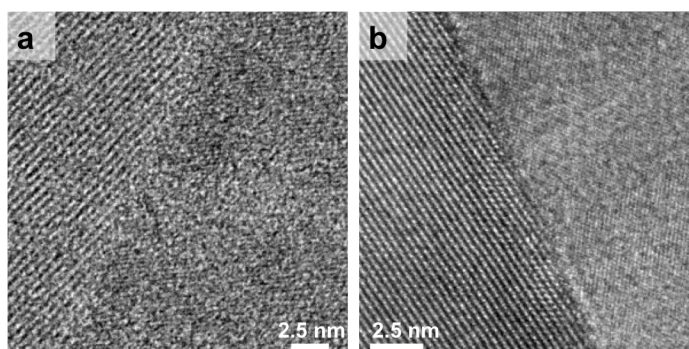
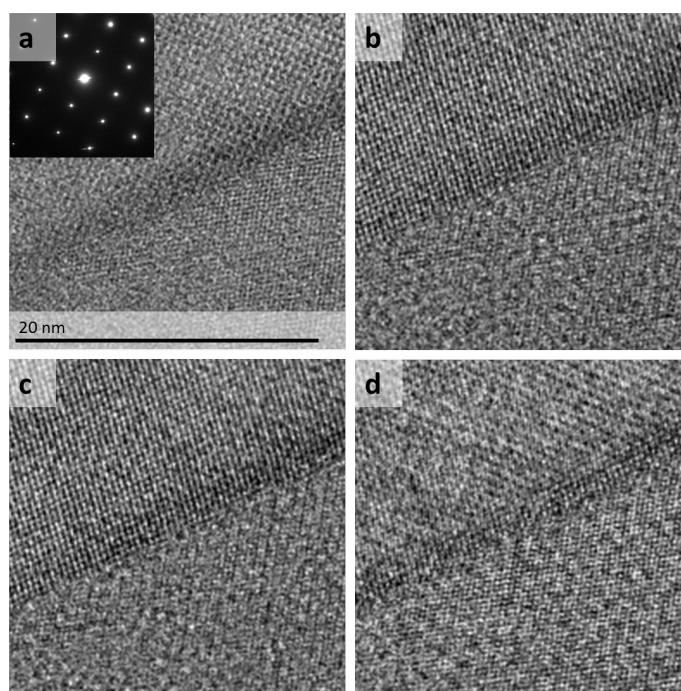


Fig. 11 HRTEM micrograph at slightly different positions along the wedge shaped solgel sample 6793, see Table 1. The changing sample thicknesses influences which lattice planes are more apparent in the image. The inset in a) is a selected area diffraction (SAD) pattern of the olivine. In centre of the micrograph in c) a small dark area is visible. Generally the lattice fringes of enstatite and olivine are in direct contact. No amorphous layer is observed.



931 present in the previous HRTEM images, but are more difficult to see: look at a
 932 printed micrograph at different inclinations. The observable features are given in
 933 Figure 12d. The interface - phase at the olivine - enstatite - phase boundary forms
 934 lower dihedral angles with the olivine, while having a droplet shape towards the
 935 enstatite. During the investigations in the TEM the operator had the impression
 936 that the particles are crystalline, this is supported by the diffraction contrast
 937 reversal from Figure 11a to Figure 11b, but absolute prove of crystallinity is not

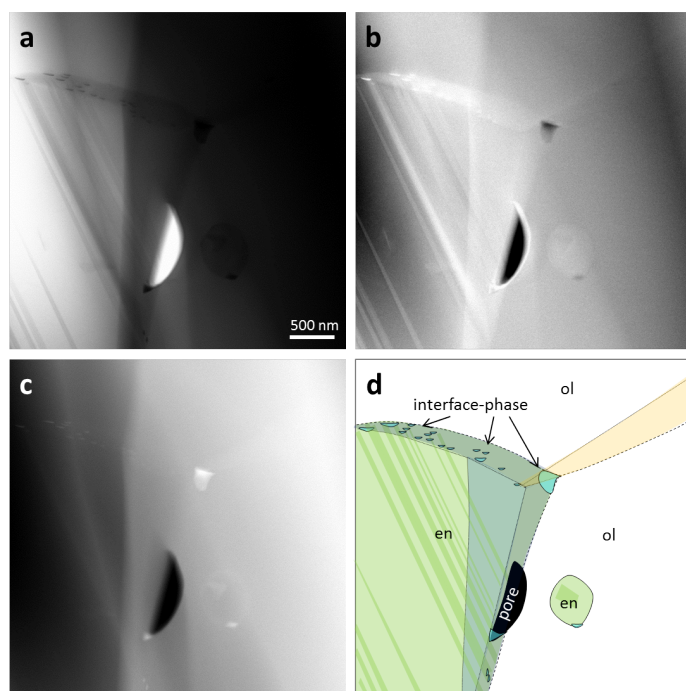


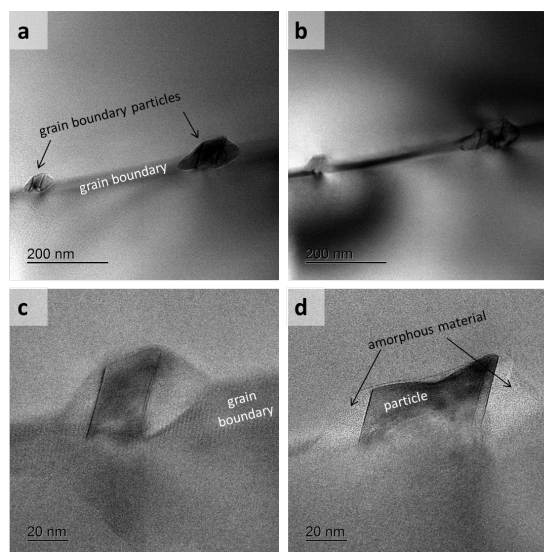
Fig. 12 Scanning transmission electron micrographs of an olivine grain boundary and two olivine-enstatite phase boundaries. Solgel sample 6793, see Table 1. a) BF b) DF c) HAADF. d) schematic of the phase assemblage; ol-ol-grain boundary, orange; ol-en-interphase boundary, blue-gray; enstatite in green with twin lamellae; olivine, colourless.

938 provided. At the triple junction where two olivine-enstatite phase boundaries and
 939 one olivine - olivine grain boundary meet, the dihedral angles are sensitive to the
 940 type of interface. The dihedral angle of the interface - phase with the enstatite is
 941 large whereas it is low for the olivine grain boundary (yellow). The latter angle is
 942 smaller than 60° . The shape of the pore may not be interpreted directly, as the
 943 wedge shaped TEM lamellae has been preferentially thinned in the vicinity of the
 944 pore, which makes interpretation more difficult. Figure 13 shows similar particles
 945 at olivine grain boundaries. They are however surrounded by amorphous material
 946 13c,d and display equal dihedral angles towards both crystal surfaces.

947 4.3 Grain boundary composition

948 Grain boundaries and interiors of solgel as well as San Carlos olivine were analysed
 949 by TEM and microprobe, respectively. Grain interiors of undoped solgel without

Fig. 13 Ti-Fe-rich particles at an olivine grain boundary in solgel sample 6793, see Table 1. The micrographs are representative for particles found on most olivine grain boundaries in this sample. They are faceted and embedded in an amorphous material. Bright field images a,b) of two grain boundary particles, the sample has been tilted in between the image acquisition, thus the diffraction contours have moved, highlighting the crystalline nature of the particles in contrast to the amorphous surrounding. a) was acquired with a large defocus, thus Fresnel fringes are visible surrounding the particle and the amorphous phase. High magnification bright field images c,d) of the two particles visible in a,b).



950 added melt contain no detectable trace elements. Correspondingly, TEM grain
 951 boundary analyses also show no detectable trace elements (Figure 16, the orange
 952 box).

953 Elements that are relatively incompatible in olivine, for example Ti, segregates
 954 to the grain boundaries. The measurements presented in Figure 14 originate from
 955 a pure Fo₉₀ solgel sample that was doped with 0.04wt.% Ti. The mapping shows
 956 a small angle grain boundary in contact with a high angle grain boundary. The
 957 crystal interior and the low angle grain boundary show no detectable Ti while
 958 the high angle grain boundary region is enriched in Ti. This is even clearer when
 959 integrating the signal over larger regions, Figure 14b.

960 When melt with the same basaltic composition was added prior to hotpressing,
 961 both San Carlos olivine and solgel olivine equilibrate with the melt, containing for
 962 example 0.2 wt.% CaO in grain interiors. Correspondingly, their grain boundaries
 963 are enriched in Ca, Al, and Ti (Figure 15). San Carlos olivine to which no melt
 964 has been added contains less Ca, Al and Ti at grain boundaries than melt added
 965 samples (Figure 15). This correlates with their lower total trace element contents

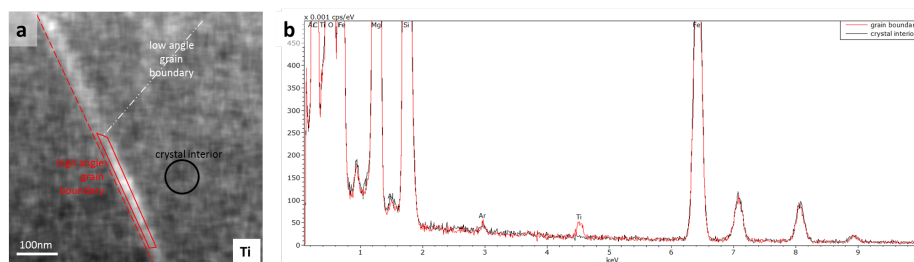


Fig. 14 a) Titanium distribution map obtained by EDS in scanning TEM mode. The whiter the map, the higher is the concentration of Ti. In b) the integrated spectra from the regions indicated in a), which cover the same area, are displayed. The spectra show absolute counts versus energy in keV. The high angle grain boundary shows a considerable concentration of Ti, red spectra. While the crystal interior indicates only statistical back ground counts. These are raw data.

966 in the grain interiors. Melt added San Carlos and solgel olivine contain similar
 967 amounts of trace elements in their grain boundaries.

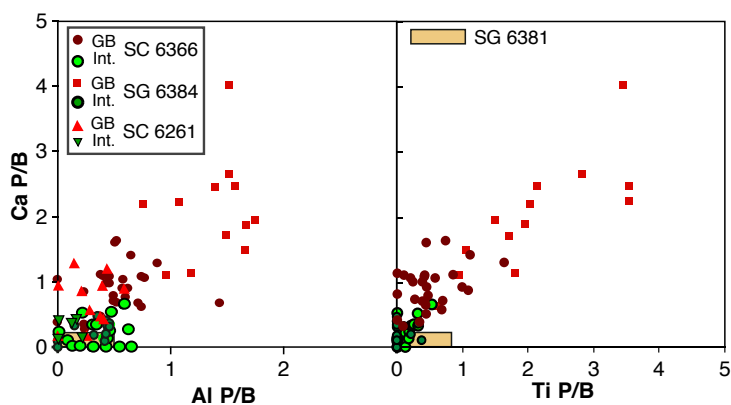


Fig. 15 TEM-EDS Analyses of grain interiors and grain boundaries. The peak to background (P/B) ratios of the spectra show that Ca, Ti and Al are enriched in grain boundaries (red symbols) relative to grain interiors (green symbols). The two San Carlos samples have similar Ca and Al contents in grain boundaries, lower than melt-added solgel olivine. The grain boundary concentrations correspond to the concentration of trace elements in grain interiors (Table 1). The Ti content of 6261 was not determined. Analyses of grain boundaries of undoped, melt-free sample 6381 are indicated by the box.

968 Figure 16 shows grain boundary analyses of a sample made from San Carlos
 969 olivine that was first hot pressed with basaltic melt added, deformed, and finally
 970 again annealed. After each step a section of the sample was taken for analysis.
 971 During the initial hot pressing grains grew to about 100 μm . During the subsequent

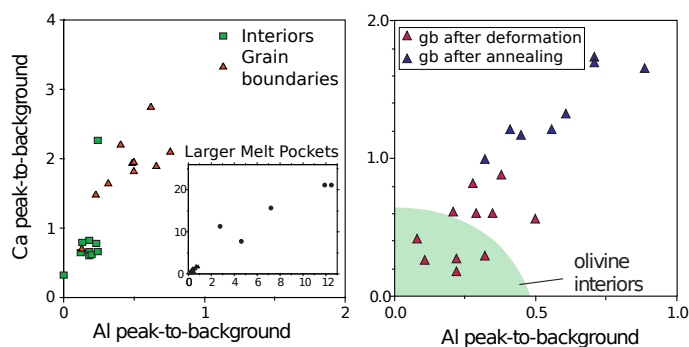


Fig. 16 Analyses of grain interiors and grain boundaries by TEM-EDS of a sample hotpressed for 240 hours at 1200°C (a) and (b) after deformation at 1250°C to 35% strain in triaxial compression (red triangles) and annealing for ~ 500 h at 1300°C in a piston cylinder apparatus (blue triangles). The inset in (a) shows the concentrations of Ca and Al in large melt pockets. Newly formed grain boundaries have trace element contents comparable to grain interiors, but after equilibration at high temperature their trace element contents return to the higher level of undeformed samples.

972 deformation at differential stresses up to 250 MPa the sample recrystallized extensively
 973 to a mean grain size of 20 μm . During annealing the grain size increased again
 974 to 50 μm . Figure 16a shows that after hot pressing the grain boundaries are
 975 similarly enriched in Ca and Al as melt-added solgel sample 6384 (Figure 15).
 976 For comparison, the inset shows the much higher concentration of these elements
 977 in bulk melt from micron-sized melt pockets.

978 *Grain boundary composition and deformation:* Figure 16b shows grain boundary
 979 compositions after deformation and after the subsequent annealing. The grain
 980 boundaries formed during deformation due to sub-grain rotation and migration
 981 contain initially lower levels of impurities, more similar to grain interiors. During
 982 annealing the concentration of trace elements in grain boundaries increases again
 983 to levels somewhat below those found after hot pressing. Similar trend is observed
 984 in the deformed solgel sample 6767 where Ti segregated to high angle grain
 985 boundaries but low angle grain boundaries have similar Ti concentration as the
 986 crystal interior (non detectable), Figure 14.

987 Deformation experiments on Ca and Ti - doped solgel Fo₉₀ samples were
 988 performed at temperatures between 1200 and 1300°C, in the diffusion creep regime.

989 The amount of Ca dopant is similar to that found in olivine from relatively fertile
990 peridotite xenoliths (Witt-Eickschen and O'Neill (2005)), the amount of Ti is at
991 the upper end of natural concentrations (De Hoog et al., 2010). Figure 17 shows
992 that the creep strength in diffusion creep is somewhat below that of undoped
993 solgel Faul and Jackson (2007), although the Ca-doped samples are essentially
994 within the uncertainties of the fit using the parameters of the undoped samples.
995 The Ti-doped samples, deformed in Ni₇₀Fe₃₀ foil show no detectable water after
996 the experiments (Faul et al., 2016) and are systematically weaker. Uncertainties
997 in rheological data result from possible temperature gradients as well as from the
998 limited accuracy of grain size determination. The strain rate is very sensitive to
999 grain size ($\propto d^3$).

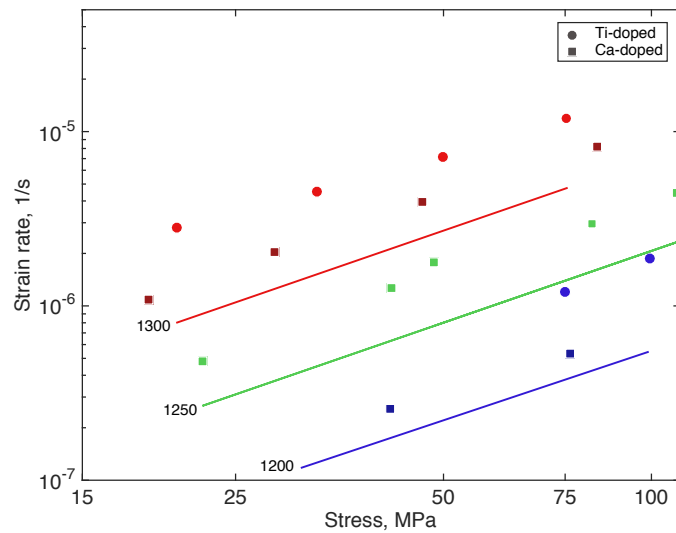


Fig. 17 Diffusion creep strain rates of melt-free solgel olivine doped with 0.15wt% CaO (squares) and Ti (dots, see Table 1). The data are plotted at the experimental temperatures, normalised to 5 μm grain size. Temperatures in $^{\circ}\text{C}$ are indicated next to the fit to the data from undoped solgel from [Faul and Jackson](#) (e.g. 2007, (lines)). Overall the doped samples appear slightly weaker compared to the undoped polycrystals. The amount of Ca dopant is similar to that found in olivine from relatively fertile peridotite xenoliths ([Witt-Eickschen and O'Neill](#) (2005))

5 Discussion

The GBCD of Ti doped but otherwise pure Fo_{90} sol-gel shown in Figure 5 can be compared to the Al bearing forsterite examined in Marquardt et al. (2015). In the forsterite a-planes dominate, followed by b and c-planes. By contrast, the habit of the sol-gel Fo_{90} sample (Figure 5) is dominated by c-planes. This is agreement with previous, non-quantitative observations by Miyazaki et al. (2013). Our quantitative measurements support their conjecture that chemical composition has an effect on the equilibrium crystal habit. A consideration for the GBP/GBCD is that SEM observations show that individual grain boundaries, especially of grains larger than a few μm are often curved and are thus formed by several differently oriented grain boundary planes, as indicated in the scheme of Figure 2 and for example the SEM images in Garapic et al. (2013); Miyazaki et al. (2013); Mu and Faul (2016).

Grain boundary plane distributions, analogous to steady state grain size distributions, have been shown to be self-similar (e.g. Rohrer, 2007). Deformation probably affect the GBPD, depending on the texture dominating mechanism, for example surface energy minimisation vs. dislocation glide resulting in many low angle (high energy) grain boundaries. The grain boundary structure (the width of the apparently amorphous region between two adjacent grains) can also be compared for different sample types. High resolution transmission electron microscopic images of synthetic, nearly melt-free natural, or melt-added natural and synthetic samples all appear to have a similar grain boundary width at the scale considered (section 4.2). Hiraga et al. (2002) also show that melt-free grain boundaries in partially molten samples are indistinguishable from grain boundaries in melt-free samples. This is largely in agreement with the grain boundary width obtained using molecular dynamic simulations, where widths ranging from less than 0.5 nm to about 2 nm are obtained (Wagner et al., 2016; Mantsi et al., 2017). The structural width of grain boundaries (not the structure) is insensitive to sample origin, trace element content or the presence of a melt in the sample. The analyses of olivine grain boundaries presented here as well as in the literature (for example Tan et al.,

1029 [2001](#); [Hiraga et al., 2003](#)) indicate that the width of the trace element-enriched
1030 boundary region is similar to the imaged structural width. Molecular dynamics
1031 simulations of self-diffusion similarly imply a grain boundary width of about 1
1032 *nm* ([Wagner et al., 2016](#)). These findings raise the question whether it is justified
1033 to invoke an 'effective' grain boundary width that is significantly wider than the
1034 'structural' width to explain experimental observations related to diffusion.

1035 An actual challenge using TEM is that this method does not succeed to
1036 sample representative numbers of grain boundaries. When differentiating grain
1037 boundary orientations at 10° angular resolution more than 60.000 orientations
1038 can be differentiated in the orthorhombic crystal system. This number, while
1039 being a conservative estimate, indicates not only the limitations of TEM but also
1040 of molecular dynamic simulations that use a infinite continuum of grains while
1041 sampling only orientation between few grains ([Mantisi et al., 2017](#), 10 grains).

1042 As discussed in section 3 (Methods), the use of small objective apertures in
1043 earlier work allowed resolution of only the largest interplanar spacings. This may
1044 give the impression that a grain boundary is faceted because d-spacings with large
1045 *d*, that have been imaged is the presented cases, meet the grain boundary at an
1046 angle $<90^\circ$. However, if also higher frequencies are allowed to contribute to image
1047 formation and smaller d-spacings are simultaneously imaged, the interface would
1048 appear straight (Figure 7). The TEM micrographs therefore show that olivine grain
1049 boundaries are mainly smooth at scales of *nm*, with some facets with dimensions
1050 of tens of *nm*.

1051 By contrast, steps at a scale smaller than the unit cell (sub *nm*) seem to be
1052 relatively common (e.g. Figure 7 and the micrographs published in Figure 1 of [Fei
1053 et al. \(2016\)](#) and Figure 4b in [Hiraga et al. \(2002\)](#)). It is likely, that the steps size
1054 is determined by the the size of one formula-unit of olivine ($\sim \frac{1}{4}$ of the unit cell) to
1055 maintain charge neutrality. Such a constraint seems plausible, because in olivine
1056 neither evaporation (personal communication, S. Chakraborty) nor silicification
1057 ([King et al., 2011](#)) does lead to a surface layer with compositional changes. This

1058 is indicative of the addition/removal of elements in portions of complete formula
1059 units. This interpretation contrasts with findings that are interpreted as more
1060 supportive of leaching of individual ions or components rather than formula units
1061 (e.g. Casey et al., 1993; Oleg and Jacques, 2007; Morrow et al., 2010; Maher et al.,
1062 2016).

1063 The most extreme examples of faceted and stepped grain boundaries were
1064 presented by Vaughan et al. (1982), but have been interpreted as non-equilibrium
1065 states, in agreement with the theoretical treatment by Raj and Ashby (1971). Raj
1066 and Ashby (1971) argue that steps at small scales should become smooth quickly
1067 through diffusive material redistribution.

1068 The bicrystal grain boundary produced by wafer bonding consists of (011)
1069 planes of the two crystals with a 60.8° rotation about the common [100] axis.
1070 The same grain boundary geometry was simulated by Adjaoud et al. (2012);
1071 Wagner et al. (2016) and Cordier et al. (2014), and has been discussed by Faul
1072 and Fitz Gerald (1999) as a grain boundary more frequently melt-free in partially
1073 molten samples (their Figure 5) than other interfaces. This particular axis/angle
1074 combination ([100]/60) is indeed favourable for (011) planes, as quantified in the
1075 GBPD in Figure 5d. Marquardt et al. (2015) also found this grain boundary
1076 to occur with high frequency, implying a minimum energy configuration. The
1077 (011) planes of both adjacent crystals are in contact by a 60.8° rotation about
1078 the common [100] axis. This particular grain boundary might prove to be the
1079 exception to the rule, and represent a structurally special grain boundary with a
1080 special characteristic, namely low energy. It remains to be proven, that 60 pseudo
1081 symmetric misorientations are well cleaned using EBSD cleaning procedures. If
1082 we assume the cleaning is sufficiently good, our statistics indicate, that among
1083 the misorientations of 60° , that have random frequencies this particular grain
1084 boundary contributes most grain boundary orientations. If the presence of such
1085 grain boundaries, with the indicated occurrence probabilities affect for example
1086 contiguity requires the testing using anisotropic microstructure models.

1087 TEM micrographs indicate that the structural grain boundary width, δ_{struct}
1088 is about 1 nm. This is true for ‘amorphous appearing’ grain boundaries shown
1089 in Figure 6, 7, 8 as well as disordered or ‘crystalline appearing’ grain boundaries
1090 (Figure 6, 9, 10), which only appear different as a result of the imaging technique.
1091 Both types of grain boundaries are distinct from those that contain a thin layer
1092 of glass. Grain boundaries with a glass layer of 1-2 nm were described by Wirth
1093 (1996) from grain boundaries in a xenolith, but processes during entrainment and
1094 eruption may have modified the boundaries. Faul and Fitz Gerald (1999) define
1095 melt (glass) layers as being parallel-sided and thicker than 5 nm to distinguish
1096 them from films observed in silicon-nitride (Clarke, 1979b) with a width of 1
1097 - 2 nm. Glass layers with a width of 10 nm were described as lower limit in
1098 TEM images from experimental samples (Cmíral et al., 1998). In contrast to the
1099 current description of grain boundaries with a width of 1 nm Cmíral et al. (1998)
1100 referred to trace element enriched grain boundary as films, following Drury and
1101 Fitz Gerald (1996). A minimum glass layer thickness of about 10 nm is consistent
1102 with observations from Vaughan et al. (1982, Figure 7b) and Hiraga et al. (2002,
1103 Figure 6). Hiraga et al. (2002, Figure 7) shows a very small dihedral angle, distinct
1104 from a parallel-sided layer.

1105 Although very few olivine-enstatite phase boundaries have been imaged at
1106 high resolution, the example in Figure 11 and Figure 6 seem to indicate that
1107 these boundaries are very dense. Such micrographs unfortunately do not allow to
1108 evaluate how effective processes, such as grain boundary diffusion and associated
1109 properties such as diffusion creep or attenuation of seismic waves are.

1110 The shape of the interface phase that precipitated in the Ti-oversaturated
1111 systems can be used to infer relative surface energies 1.5. Their shape depends
1112 on whether the phase occurs on enstatite olivine phase boundaries, or at olivine
1113 grain boundaries. The precipitates formed at the olivine grain boundaries show a
1114 comparable dihedral angles on both surfaces, Figure 13. This indicates that the
1115 olivine surface in contact have similar energies as well. At enstatite-olivine phase

1116 boundaries the interface phase is flat towards olivine, but curved towards enstatite
1117 (Figure 12). Because surfaces with higher energy have higher wettabilities, the
1118 observation indicates that the surface energy of olivine is higher than that of
1119 enstatite. This conclusion is in agreement with the wetting relation observed by
1120 Schäfer and Foley (2002). They found that wetting decreases in the order forsterite,
1121 diopside, enstatite, and spinel, indicating a corresponding order of surface energies,
1122 with forsterite having the highest.

1123 The trace element content in olivine grain boundaries is determined by the bulk
1124 composition of the aggregate. San Carlos olivine is comparatively depleted, and
1125 correspondingly contains low concentration of trace elements at grain boundaries
1126 in samples without added melt. After equilibration with a basaltic melt, both
1127 grain interiors and grain boundaries contain significantly higher trace element
1128 concentrations. A relationship between grain boundary and grain interior composition
1129 was previously noted by Hiraga et al. (2004). Support for equilibration of grain
1130 boundaries with grain interiors comes from the observation that neoblast boundaries
1131 (formed during deformation) are relatively trace element poor, but revert to higher
1132 concentrations after annealing (Figure 16). Grain boundaries may therefore constitute
1133 a separate phase in the sense of Gibbs.

1134 Trace elements that have elevated concentrations in grain boundaries are Ca,
1135 Al, Ti, Cr Mn and Co, while Ni as the most abundant compatible trace elements
1136 in olivine interiors is not elevated in grain boundaries (c.f. Hiraga et al., 2003).
1137 In contrast to the full solid solution with Ni, Cr^{2+} substitution is limited to a
1138 maximum of $\sim 25 \text{ mol}\%$ (Miletich et al., 1999). For natural olivine, a complete
1139 solid solution between forsterite, Mg_2SiO_4 and fayalite, Fe_2SiO_4 can take only a
1140 few mole% of Ca, and does not completely mix with the second complete solid
1141 solution between monticellite, CaMgSiO_4 and krichsteinite, CaFeSiO_4 (Brown,
1142 1980). The second solid solution has a markedly larger unit cell, caused by the
1143 larger ionic radius of Ca compared to Mg and Fe ($\text{Ca}^{[6]} 114 \text{ pm}$, $\text{Mg}^{2+[6]} 86 \text{ pm}$,
1144 $\text{Fe}^{2+[6]} 92 \text{ pm}$, $\text{Fe}^{3+[6]} 78.5 \text{ pm}$). Finally, also solid solutions with the Co and Mg

1145 endmembers exist ([Seifert and O'Neill, 1986](#)). In addition to the bulk composition,
1146 olivine grain boundary composition is therefore influenced by crystal chemical
1147 considerations.

1148 **6 Inferences from Physical Properties**

1149 Experimentally determined physical properties that are likely dependent on grain
1150 boundary structure and properties are discussed in the following, with consideration
1151 of the observations presented above.

1152 In ceramics, the most commonly observed effect of trace elements on grain
1153 boundary diffusion is to decrease diffusivities. Properties such as grain growth
1154 rates, seismic velocities and attenuation, as well as diffusion creep all have grain
1155 boundary diffusion as an underlying process. The prediction of decreased diffusivity
1156 in impure ceramics systems can therefore be compared with experimental observations,
1157 which are discussed in the following.

1158 Seismic properties have been measured using samples of trace element-containing,
1159 reconstituted natural-origin olivine (Figures 15 and 16), as well as trace element-
1160 free and Ti-doped solution-gelation-derived olivine. No measurable systematic
1161 differences between these different samples types have been detected, as long as
1162 other variables such as melt and capsule material are accounted for (Faul and
1163 Jackson, 2005; Jackson and Faul 2010; Jackson, 2015). At least for grain boundary
1164 diffusion as implicated in models of diffusionally assisted grain boundary sliding
1165 (Section 1.7.2) trace elements seem not to affect sample-averaged diffusivities of
1166 Fe-bearing olivine. However the properties of iron free systems vary markedly.

1167 Inferring grain boundary diffusivities from grain growth rates is problematic
1168 since a number of processes can contribute to the observed rate. Examples of
1169 processes that do not depend on diffusion along the grain boundary are grain
1170 boundary migration [Karato \(1989\)](#), and coalescence of grains (e.g. Figure 2a of
1171 [Faul et al., 2016](#)). As discussed for example by [Ricoult and Kohlstedt \(1983\)](#), care

1172 needs to be taken to separate diffusion along a stationary grain boundary from
1173 simultaneous grain growth (diffusion across a grain boundary, [Peterson \(1983\)](#)).

1174 At least solgel olivine (Fo₉₀) doped with Ca or Ti show no variation of grain
1175 growth rates compared to undoped system. Solgel olivine doped with 0.15 wt.
1176 % Ca has mean grain sizes that are comparable to undoped solgel for the same
1177 hot pressing temperature (3 - 3.3 μm, [Table 1](#) compared to 2.7 - 4.3 μm, samples
1178 6533, 6536, 6580 of [Faul and Jackson \(2007\)](#)). Ti-doped samples hotpressed at
1179 1300°C again have similar mean grain sizes as undoped samples hotpressed at
1180 this temperature (5.5 - 5.6 μm, [Table 1](#) compared to 3.6 - 5.4 μm; samples 6508,
1181 6518, 6512, 6522, 6525 of [Faul and Jackson \(2007\)](#)). Figures [14](#), [15](#) and [16](#) confirm
1182 that Ti or Ca are enriched in grain boundaries where these elements are present
1183 in the bulk samples. Growth rates of San Carlos olivine containing a broad range
1184 of trace elements have grain sizes at the upper end of undoped solgel olivine,
1185 although a more comprehensive comparison is complicated by the difficulty in
1186 producing melt-free aggregates.

1187 Together these observations suggest that trace elements do not substantially
1188 modify grain growth rates at otherwise identical conditions. The concept of impurity
1189 drag (incompatible elements in grain boundaries slowing growth rates by effectively
1190 pinning the boundary, ([Peterson, 1983](#))) therefore does not seem to apply to
1191 olivine. As described above, the structural width of grain boundaries observed
1192 in HRTEM images is not significantly sensitive to impurity content.

1193 For diffusion creep, impurities in ceramics have been observed to decrease
1194 diffusivities with a consequent increase in strength (e.g. [Yasuda et al., 2004](#)).
1195 For olivine, when the effect of melt is accounted for [Hirth and Kohlstedt \(1995\)](#);
1196 [Faul and Jackson \(2007\)](#), again no systematic effect of trace elements on rheology
1197 is observable. Figure [17](#) shows that doping of Fo₉₀ solgel with Ca marginally
1198 decreases the strength, although the difference to the undoped flow law of [Faul
1199 and Jackson \(2007\)](#) is within the experimental and analytical uncertainty. Doping
1200 with Ti decreases the strength somewhat more (dry conditions, [Faul et al., 2016](#)).

1201 Together with the reanalysis of the grain size of [Hirth and Kohlstedt \(1995\)](#)
1202 performed by [Hansen et al. \(2011\)](#) this reduces the difference in strain rate between
1203 olivine reconstituted from natural rocks and solgel olivine to about one order of
1204 magnitude, consistent with the predictions of the effect of melt on rheology by
1205 the model of [Takei and Holtzman \(2009a\)](#). At this point we infer that similar to
1206 seismic properties and grain growth the averaged grain boundary diffusivity for
1207 Fe-bearing olivine implied by the deformation experiments is not substantially
1208 affected by the presence of trace elements.

1209 Overall, experiments on Fe-bearing polycrystalline olivine show an insensitivity
1210 of physical properties to the trace element compositions of grain interiors and grain
1211 boundaries. This statement applies provided that all other conditions are the same,
1212 i.e. the presence or absence of melt, capsule material/oxygen fugacity, and the
1213 presence or absence of water. HRTEM images of grain boundaries similarly show
1214 no relationship between grain boundary width and the presence or concentration
1215 of trace elements. The apparent rarity of steps in HRTEM images as discussed
1216 above is in agreement with the scaling of diffusionally assisted grain boundary
1217 sliding with the (mean) grain size.

1218 The absence of an apparent effect of trace elements on diffusive properties
1219 does not preclude differences in structure and properties between different grain
1220 boundaries in the five parameter orientation space. An indication that grain boundary
1221 properties are dependent on the orientation of the neighbouring grains and the
1222 grain boundary plane orientation is evident from the axis/angle distribution distribution
1223 (Figure 5b) in combination with the grain boundary plane distribution of planes
1224 misoriented by about 60° around the a-axis (Figure 5d). The frequency distribution
1225 of misorientation axes has a maximum near [100] for disorientation angles near 60° .
1226 [Faul and Fitz Gerald \(1999\)](#) found that in melt-bearing polycrystalline olivine
1227 grain boundaries with this disorientation angle were preferentially melt-free. This
1228 suggests that this type of grain boundary has a lower energy in comparison to
1229 other general, high angle grain boundaries.

1230 Pre-melting at grain boundaries is predicted to produce nanometer-scale intergranular
1231 films with liquid-like properties significantly below the bulk melting temperature
1232 (Section 1.4). A complication for the application of the concept of pre-melting to
1233 rocks is that their bulk melting temperature is dependent on composition, and
1234 may vary up to 600 K between a dunite and a fertile, volatile-bearing lherzolite.

1235 HRTEM observations from samples hot-pressed at the same temperature, both
1236 melt-free and melt-bearing, show no films or layers between 1 and about 10 nm in
1237 width. Melt films <1 nm in width (i.e. less than the largest unit cell dimension)
1238 would be dominated by surface forces and therefore not have properties in between
1239 melt and grain boundary properties. Similarly, attenuation and deformation experiments
1240 correlate with the presence of melt identifiable by high resolution SEM imaging
1241 (i.e. melt layers to ~10 nm), but do not show softening where melt can not be
1242 identified by SEM or TEM.

1243 7 Conclusions

1244 In conclusion, high resolution, edge-on TEM images of general grain boundaries in
1245 olivine show that their structural width is about 1 nm, independent of the origin
1246 of the sample material and the composition of olivine grain interiors and hence
1247 bulk composition. Depending on imaging conditions the observations of amorphous
1248 appearing interfaces (Faul et al., 2004, and others) or the crystalline appearance
1249 (e.g. Hiraga et al., 2002) can be reproduced on the same grain boundary 6a-d.
1250 Furthermore, both image types were also produced on vacuum sintered samples
1251 (Fei et al., 2016). Therefore differing grain boundary structures are due to different
1252 TEM imaging conditions and techniques, including image delocalization, which
1253 lowers the interpretable resolution. Due to the large number of geometrically distinguishable
1254 grain boundaries TEM will currently not succeed to sample a representative number
1255 of interfaces (see section 5). The grain boundary composition varies with bulk
1256 composition, consistent with the observations of Hiraga et al. (2003). Nevertheless,
1257 there is growing evidence that the concentration of incompatible elements in

1258 grain boundaries changes the grain boundary plane distribution and consequently
1259 the grain boundary energy, which is not observable in HRTEM micrographs of
1260 individual boundaries. In the absence of spherical aberration corrected HRTEM
1261 micrographs it is not possible to answer the question of how narrow a film of
1262 melt (or 'melt-like structure') can be, but still be clearly distinguishable from an
1263 'amorphous' type boundary, which is disordered but melt-free.

1264 We conclude this contribution with a summation of observations:

- 1265 (i) Only a limited number of direct grain boundary observations are available
1266 today, their entirety is only a small fraction of the full geometric parameter
1267 space available (100 out of 60.000, see section 5. Consequently grain boundary
1268 geometries and structures and their relation to properties are still relatively
1269 poorly characterised.
- 1270 (ii) The GBCD circumvents the problem of small numbers of observations by
1271 HRTEM, and associated human bias of generalising from the particular (see
1272 the illustrative examples given by [Tversky and Kahneman \(1974\)](#); [Kahneman
1273 and Tversky \(1981\)](#)).
- 1274 (iii) No melt layers $< 10 \text{ nm}$ have been observed by HRTEM. 'Amorphous' and
1275 'crystalline' appearing boundaries have a width of 1 nm or less with properties
1276 intermediate between grain interiors and melt and varying for different grain
1277 boundary planes ([Maruyama and Hiraga, 2017b,a](#)).
- 1278 (iv) Theoretically, grain boundary segregation should cause a decrease in diffusive
1279 properties, but the experimental evidence from polycrystalline olivine currently
1280 does not support this notion.
- 1281 (v) For a specific rotation axis orientation, the transition from low to high angle
1282 grain boundaries in olivine is at $\sim 20^\circ$ as shown by experiments and computations
1283 ([Heinemann et al., 2005](#); [Adjaoud et al., 2012](#)).

1284 These observations result in the following hypothesis that seem viable at this
1285 point of research, but need further work to substantiate them:

-
- 1286 1. GBPD of olivine is directly linked to grain boundary properties. These in turn
1287 influence bulk rock behaviour.
 - 1288 2. GBPD may change due to small fractions of melt, and/or as a function of
1289 contiguity and composition of the melt.
 - 1290 3. Spherical aberration corrected HRTEM micrographs on statistically representative
1291 grain boundaries are necessary to link atomic structure to macroscopic properties.
 - 1292 4. A physical description of atomic scale processes at grain boundaries during
1293 grain boundary migration and grain growth are desirable.
 - 1294 5. Material science observations and models predict that grain boundary segregations
1295 should cause a change in the GBPD and a change in grain boundary diffusivity.
1296 The influence of grain boundary composition on the behaviour of polycrystalline
1297 olivine and more generally rocks needs to be further explored.

1298 **8 Appendix**

1299 8.1 Nomenclature & definitions

1300 *Interface:* Where two media are in contact we speak of an interface. This term
1301 encompasses, solid - liquid, solid - gaseous, gaseous - liquid contacts as well as solid
1302 - solid, gas-gas, or liquid - liquid contact zones. Both grain and phase boundaries
1303 are interfaces.

1304 *Phase boundary:* Phase boundaries are interfaces between two different solid
1305 phases, generally minerals.

1306 *Grain boundary:* The grain boundary defines the interface where two minerals
1307 of the same phase are in contact. The only characteristic that varies between the
1308 two grains (crystals) is the orientation of the crystal lattice. The grain boundary
1309 it self needs five macroscopic parameters for its macroscopic geometry description.

1310 *Triple junction/line:* Three grains of one phase meet to form a line in 3D-space
1311 and a point in a 2D section, when interfacial energies are approximately equal this
1312 triple junction has 120° angles, typical for soap foam.

1313 *Quadrupole junction:* four grains of the same phase meet in a nod ([Chaim](#)
1314 [\(1997\)](#)).

1315 *Grain boundary structure:* The atomic configuration that is repetitive along
1316 the grain boundary. Different geometric models have been developed to describe
1317 grain boundary structures.

1318 *Coincidence side lattice model (CSL):* Probably the most successful geometric
1319 model that yields the density of coinciding lattice points of two super imposed
1320 adjacent crystal lattices. The inverse of coinciding number of lattice sides, n , yields
1321 the Σ -value: $\Sigma = 1/n$.

1322 *Grain boundary character distribution (GBCD):* The distribution of the grain
1323 boundary geometries in their five parameter space for a polycrystalline sample can
1324 be described by the GBCD ([Watanabe, 1983, 1979](#); [Watanabe et al., 1989](#)).

1325 *Grain boundary energy:* The grain boundary energy is an anisotropic property
1326 of grain boundaries (e.g. [Smith, 1948](#)). The anisotropy arises from the different
1327 structures of the grain boundaries at the nm-atomic-scale.

1328 *Grain boundary width:* The grain boundary width is a controversial term,
1329 that encompasses the structural grain boundary width ([Clarke, 1979a](#)), but also
1330 includes the effective grain boundary width for various processes that can be orders
1331 of magnitude larger.

1332 *Grain boundary segregation:* grain boundaries are preferential sites for segregation
1333 of impurities and elements incompatible in the perfect crystal lattice. This results
1334 in a thin layer with a chemical composition that differs from the crystal volume.
1335 The creep resistance of several non-geological materials generally increase significantly
1336 due to grain boundary segregation ([Cho et al., 1999](#); [Yasuda et al., 2004](#); [Milas
1337 et al., 2008](#); [Harmer, 2010](#)), in rare cases also decreases ([Yasuda et al., 2004](#)).
1338 Segregation can cause variation of electrical conductivity for example its enhancement
1339 in the case of proton doping ([Shirpour et al., 2012](#)).

1340 *Grain boundary layer & film:* The terms have been coined by Clarke in several
1341 publications ([Clarke, 1979a,b, 1987](#)). Film refers to the existence of a chemically
1342 and structurally distinct film fully covering all grain boundaries in ceramics. It is
1343 noticeable that such films have a wetting angle of 0° and are thermodynamically
1344 stable.

1345 *Grain boundary layer:* differs from the film as its properties are much less
1346 defined and less well understood and do not meet the full wetting criterion. The
1347 term grain boundary layer is used to refer to the chemically and structurally
1348 distinct region of the grain boundary, that results from the mismatch of the
1349 adjacent crystals, segregation of impurities or incompatible elements.

1350 *Grain boundary pre-melting:* pre-melting is a phenomenon where a thin region
1351 at the grain boundary melts at a temperature below the bulk melting temperature,
1352 or the bulk eutectic (melting) temperature. Experimentally this has been shown

1353 for ice, Pb, W doped with Ni and other unary systems, and is reviewed in several
1354 articles ([Luo and Chiang, 2008](#); [Mellenthin et al., 2008](#)).

1355 *Complexion:* Grain boundary films and layers can often be regarded as interface
1356 stabilised phases that are thermodynamically stable and have distinct structural
1357 and chemical properties with temperature ([Kelly et al., 2016](#)) and pressure dependent
1358 transitions. These interface-stabilised phases are called complexions. In recent
1359 years grain boundary complexions gained more and more interest in material
1360 sciences ([Rohrer, 2011b](#); [Bojarski, 2014](#)). However in geology, complexions have
1361 not yet been described as general grain boundary features; might however occur
1362 and if we interpret the term widely they encompass quasi-crystalline materials
1363 which are treated as interface phenomena theoretically by Romeu ([Romeu, 2003](#))
1364 have been observed associated to olivine in Khatyrka meteorite ([Bindi et al., 2015](#))
1365 however not explicitly as interface phase. Note, that complexions may not be of
1366 first order importance as geological relevant materials are usually chemically highly
1367 complex, probably inhibiting the formation of complexions or resulting in such a
1368 high variability of complexions that their identification and study might prove
1369 difficult or impossible. For the sake of completeness we like the reader to note that
1370 complexions have been shown to affect grain growth ([Dillon et al., 2010](#)) as well
1371 as sintering behaviour ([Luo and Chiang, 2008](#); [Luo, 2012](#)).

1372 Note, that some of the above terms are partially interchangeable and have
1373 evolved during the years. The literature of interfaces, grain - and phase boundaries
1374 tries to categorise a subject with fluent boundaries, thus the nomenclature is
1375 partially fluent as well.

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1385 **References**

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2138 **9 additional data**

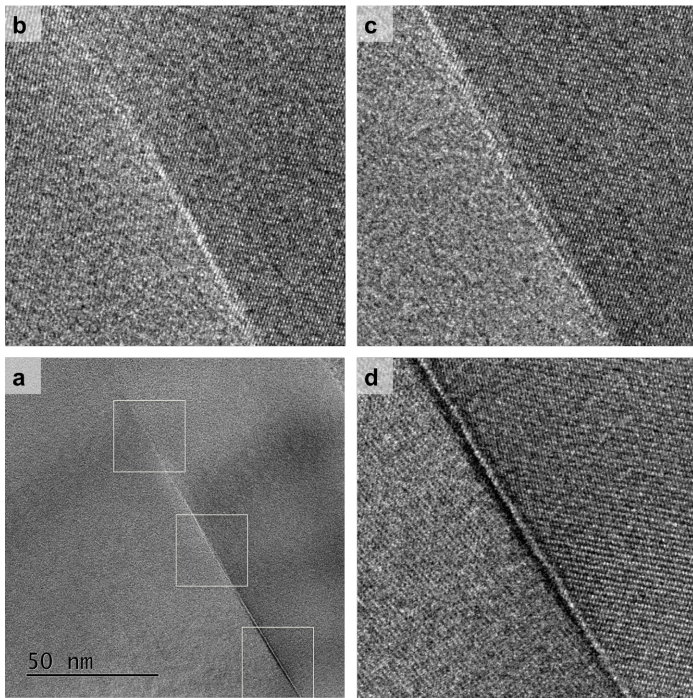


Fig. 18 Original HRTEM micrograph acquired without objective aperture. Same data as in Figure 6. In the latter it is displayed with frequencies only up to an equivalent d-spacing of 0.24 nm and background subtracted.