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Citation: Jimi B. Oke, Arun Prakash Akkinepally, Siyu Chen, Yifei Xie, Youssef M. Aboutaleb, Carlos Lima Azevedo, P. Christopher Zegras, Joseph Ferreira, Moshe Ben-Akiva, Evaluating the systemic effects of automated mobility-on-demand services via large-scale agent-based simulation of auto-dependent prototype cities, Transportation Research Part A: Policy and Practice, Volume 140, 2020, Pages 98-126 © 2020 Elsevier Ltd

As Published: 10.1016/J.TRA.2020.06.013

Publisher: Elsevier BV

Persistent URL: <https://hdl.handle.net/1721.1/132689>

Version: Original manuscript: author's manuscript prior to formal peer review

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1 Evaluating the systemic effects of automated mobility-on-demand services
2 via large-scale agent-based simulation of auto-dependent prototype cities

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12 **Abstract**

As demand for urban mobility continues to grow and given that over 60% of the world's population is expected to be urban by 2050, increasingly innovative solutions must be devised to adequately meet the transportation needs of global metropolitan areas. Our objective is to therefore develop and implement a framework to analyze the systemic impacts of future mobility trends and policies. First, we build on prior work in classifying the world's cities into 12 urban typologies that represent distinct land-use and behavioral characteristics. Second, we introduce a generalized approach for a creating a detailed, simulatable prototype city that is representative of a given typology. Using this, we build and simulate two auto-dependent (largely US-specific) prototype cities via a state-of-the-art agent-based platform, SimMobility, for integrated demand microsimulation and supply mesoscopic simulation. We demonstrate the framework by analyzing the impacts of automated mobility on-demand (AMoD) implementation strategies in the cities based on demand, congestion, energy consumption and emissions outcomes. Our results show that the introduction of AMoD cannibalizes mass transit while increasing vehicle kilometers traveled (VKT) and congestion. In sprawling auto-dependent cities with low transit penetration, the congestion and energy consumption effects under best-case assumptions are similar regardless of whether AMoD competes with or complements mass transit. In dense auto-dependent cities with moderate transit modeshare, the integration of AMoD with transit yields better outcomes in terms of VKT and congestion. Such cities cannot afford to disinvest in mass transit, as this would result in unsustainable outcomes. Overall, this framework can provide insights into how AMoD can be sustainably harnessed not only in low-density and high-density auto-dependent cities, but also in other typologies.

13 *Keywords:* automated mobility-on-demand, agent-based simulation, future urban mobility, urban
14 typologies, prototype cities

15 1 Introduction

16 As demand for urban mobility continues to grow, and given that over 60% of the world’s
17 population is expected to be urban by 2050 (United Nations, Department of Economic and Social
18 Affairs, Population Division, 2018), increasingly innovative solutions must be devised to adequately
19 meet the transportation needs of global metropolitan areas. The implementation and provision
20 of these services, however, are significantly constrained by energy, environmental and financial
21 requirements and regulations. On the one hand, private car ownership remains on an upward
22 trajectory, globally (Sperling and Gordon, 2008; Dargay et al., 2007).¹ In 2016, passenger cars
23 alone contributed about 12% (772 MtCO_{2e})² of the United States’ GHG emissions (EPA, 2018),
24 while in Europe, passenger cars similarly contributed 11% (494 MtCO_{2e}) total GHG emissions in
25 the same year (Transport & Environment, 2018). On the other hand, the demand for ridesourcing³
26 services has been rapidly increasing over the past several years (Jin et al., 2018; Shaheen and Cohen,
27 2019). The long-term impacts of this trend are yet to be determined. However, it has been generally
28 observed that the growth of on-demand passenger mobility is likely partially responsible for the
29 decline in mass transit ridership in many cities, both in the US and elsewhere, along with impacts
30 on congestion that are yet to be systematically quantified (Clewlow and Mishra, 2017). To both
31 mitigate the deleterious effects of these trends and realize their maximum potential benefit, cities
32 require integrated mobility solutions driven by smart analytical frameworks (Shaheen and Chan,
33 2016). The insights required to generate these solutions depend on large-scale, representative and
34 detailed simulations of the urban environment. However, given the sheer differences in demographics
35 (population), land-use, demand, supply and supply-demand interaction characteristics across cities
36 worldwide, these innovations must be tailored to each urban area.

37 Our objective is to therefore understand and analyze globally relevant impacts of future trends
38 and policies on urban mobility outcomes. First, we build on prior work in classifying the world’s
39 cities into 12 urban typologies which represent distinct land-use and behavioral characteristics (Oke
40 et al., 2019). This classification used the most recent mobility, economic, demographic, land-use
41 and behavioral data obtained from 331 cities. Second, we propose a generalized approach for a
42 creating detailed, simulatable prototype city that is representative of a given typology. In this
43 paper, we focus on two auto-dependent typologies for carrying out our simulation experiments:
44 *Auto Sprawl* and *Auto Innovative*. These two typologies represent almost all the metropolitan
45 areas in the US and Canada, where the automobile is the dominant mode of transportation. Using
46 our generalized approach, we build two eponymous prototype cities and use a state-of-the-art
47 agent-based platform, SimMobility, for integrated demand microsimulation and supply mesoscopic
48 simulation. We analyze the impacts of automated mobility-on-demand (AMoD) implementation
49 strategies in both prototype cities based on induced demand, modal and location shifts, level of
50 service (congestion), energy consumption and emissions. Our results provide insights into how

¹Recent statistics indicate that global sales of passenger vehicles dropped to 78.9 million units in 2018 from 79.6 million units in 2017. However, these numbers are still over 40% greater than the annual average number of passenger vehicles sold between 2000 and 2015, which was 54.9 million units. Since 2016, annual passenger vehicles sales have averaged no less than 74 million units (<https://www.statista.com/statistics/200002/international-car-sales-since-1990/>).

²1 MtCO_{2e} (million metric tonne of CO₂ equivalent) is equivalent to 1 Tg CO_{2e} (teragram of CO₂ equivalent).

³Subsequently, in this paper, we will use the term “mobility-on-demand” (MoD) to refer to the mode comprising taxi and ridesourcing services. We acknowledge that MoD is currently being standardized to encompass integrated and multimodal on-demand mobility beyond passenger ridesourcing (<https://www.transit.dot.gov/regulations-and-guidance/shared-mobility-definitions>). However, for the sake of consistency with the term AMoD, as used in this manuscript, and also with recent papers published in this research stream, we will restrict the term MoD to refer to taxi and ridesourcing.

51 AMoD can be effectively harnessed in two distinct city types relevant to the US and Canada.

52 In recent years, several key efforts have been made in understanding the role and impact of
53 AMoD on future urban mobility, yet major gaps still remain in our understanding of how these
54 impacts would vary with urban form or topology. Efforts utilizing agent-based simulation to analyze
55 the introduction of AMoD (Martinez et al., 2015; Fagnant and Kockelman, 2014) have been limited
56 to specific cities and case studies, giving rise to results that are not very generalizable. More
57 recent work investigating the integration of AMoD with mass transit (Wen et al., 2018; Shen et al.,
58 2018; Scheltes and de Almeida Correia, 2017; Liang et al., 2016), while agent-based, have also
59 been narrowly defined for singular cities. Conceptual considerations of on-demand mobility across
60 variations in urban form have also been explored by (Shaheen et al., 2017). Regarding the generation
61 of representative prototype cities for simulating urban typologies, there is no comprehensive extant
62 approach for this process from open-source data to agent-based simulation. Furthermore, only
63 very few studies have accounted for demand-supply interactions (Wen et al., 2018; Azevedo et al.,
64 2016) or incorporated high-resolution activity-based modeling systems (Nahmias-Biran et al., 2019).
65 While attempts to assess the impacts of shared AMoD systems under globally optimal conditions
66 (Alonso-Mora et al., 2017; Vazifeh et al., 2018) have demonstrated the potential of AMoD to reduce
67 congestion in perhaps very specific urban settings, these studies neglect behavioral impacts. There
68 are also no examples in the extant literature of AMoD impact assessment for sprawling auto-
69 dependent cities that are chiefly to be found in North America. The question of whether (A)MoD
70 can better complement or substitute mass transit has been addressed by Basu et al. (2018) and Hall
71 et al. (2018), although the latter use a purely data-driven approach absent of simulation. Current
72 results show that apart from large, dense, transit-oriented cities, (A)MoD could either complement
73 or substitute transit in auto-dependent cities, such as are found in the US, depending on the
74 availability of transit. Our paper thus makes significant contributions to the existing literature on
75 AMoD impacts on future mobility in the following areas:

- 76 (a) We introduce a generalized, integrated approach to prototype city generation for represen-
77 tative agent-based simulation of urban typologies
- 78 (b) We conduct large-scale simulations of AMoD implementation strategies in prototype cities
79 integrating demand microsimulation and supply mesoscopic simulation
- 80 (c) We address demand-supply interactions and compare impacts for two distinct auto-dependent
81 urban typologies

82 The organization of the remainder of this paper is as follows. First, we describe in Section 2
83 our experimental framework and methodology. This begins with a background (Subsection 2.1) on
84 the urban typologies and a detailed explanation of our simulation environment. We then discuss
85 the prototype city generation approach (Subsection 2.2), including model calibration and validation
86 steps. Lastly, in this section, we motivate and present the scenarios simulated in this study (Subsec-
87 tion 2.3). Data sources are also indicated throughout this section where relevant. Calibration and
88 simulation results of the AMoD scenarios for both cities are presented and discussed in Section 3.
89 We conclude with a summary of key findings and contributions, outlining steps for further work in
90 Section 4.

91 **2 Materials and methods**

92 In this section, we describe the key elements of our methodology. First, we introduce the reader
93 to the urban typologies that serve as the bases for our prototype city simulations (Subsection 2.1).
94 We also summarize the relevant aspects of the simulation environment used in performing our
95 experiments. Following this background, we discuss our approach to generating the prototype cities
96 (Subsection 2.2). Finally, in Subsection 2.3, we explain the motivation, design and implementation

97 of the AMoD scenarios within the simulation environment. An overview of the analytical framework
98 is given in Figure 1.⁴

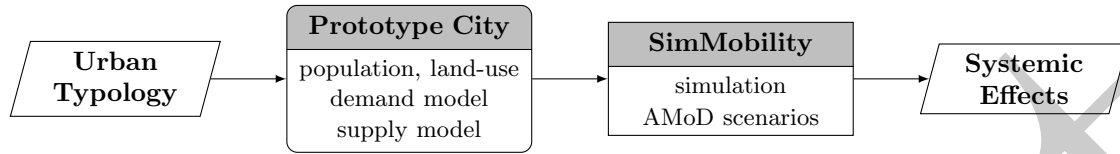


FIGURE 1 Overview of analytical framework

99 2.1 Background

100 2.1.1 Urban typologies

101 Given the infeasibility of modeling and simulating every city of interest in the world for future
102 urban mobility analyses, we use the approach of simulating prototype cities, each representing a
103 group of similar cities we refer to as an urban typology. Thus, our objective in collecting urban
104 data and subsequently classifying cities is to enumerate typologies with distinct land-use, travel
105 behavior, topology, socio-economic, energy and emissions characteristics. From these typologies, we
106 can generate prototype cities that are representative of their urban typology outcomes. Ultimately,
107 by simulating prototype cities, we can obtain insights that are broadly applicable to member cities
108 of a given typology.

109 Data was gathered from 331 metropolitan areas worldwide using 69 mobility, demographic,
110 land use, and economic indicators.⁵ We used exploratory factor analysis (EFA) as a dimensionality
111 reduction approach in order to obtain an interpretable transformation of the original dataset. This
112 is based on the hypothesis that there exists a latent or underlying structure governing the variables
113 in the data. The EFA yielded an optimal representation of 9 factors: Metro Propensity, Bus Rapid
114 Transit (BRT) Propensity, Bikeshare Propensity, Development, Population, Congestion, Sprawl,
115 Network Density and Sustainability. We named the factors based on the corresponding variables
116 with the most important loadings (contributions).

117 Hierarchical agglomerative clustering has been demonstrated as an effective unsupervised learn-
118 ing approach for classification. We performed the clustering on the dataset reduced from 69 dimen-
119 sions (variables) to a rotated subspace of 9 dimensions (factors). In this case, the Ward algorithm
120 gave the best performance. This resulted in 12 urban typologies (Table 1). For a complete descrip-
121 tion of the typologies and methods, the reader is referred to Oke et al. (2019).

122 2.1.2 Archetype city selection

123 The generation of a prototype city requires the input of (i) a population sample, (ii) land-
124 use patterns and (iii) a supply network. While some relevant research has been done in the area
125 of synthetic network generation (Zhou et al., 2015; Dai et al., 2016), the level of detail (including
126 population and land-use factors) required for the high-resolution simulation we perform rendered
127 extant approaches infeasible within the scope of our efforts. Thus, to ensure consistent demand-
128 supply interactions unique to an input network, we obtain inputs (i) – (iii) from an *archetype city* of
129 the respective typology. For our purposes, we define an archetype city as one that closely represents

⁴The prototype city data and models described in this section are available at <https://github.com/jimioke/mitei-prototype-cities>.

⁵An interactive dashboard for further exploration of the data and typology results is available at its.mit.edu/typologies.

130 the average observations of its typology. To obtain candidates for the archetype city, we first rank
 131 each city by its Euclidean distance to the typology centroid, which is the mean vector of the 9
 132 factor values of that typology (Table 1). We then select the archetype city based on two criteria:
 133 (a) nearness to typology centroid, and (b) availability and quality of inputs (i) – (iii). In this paper,
 134 the subjects of our AMoD simulation experiments are *Auto Sprawl* and *Auto Innovative*, and their
 135 archetype cities are Baltimore and Boston, respectively. We compare them by their mobility factor
 136 scores (on a scale of 0 to 1) in Figure 2. As will be described in Subsection 2.2, while we require
 137 detailed archetype city inputs (i) – (iii), we calibrate the prototype city to typology averages for
 138 the sake of representativeness.

TABLE 1 Top five cities ranked in order of closeness to the centroid of their corresponding typologies

Typology	Rank 1	Rank 2	Rank 3	Rank 4	Rank 5
Auto Sprawl	Baltimore	Tampa	Raleigh	Cincinnati	Indianapolis
Auto Innovative	Washington DC	Atlanta	Boston	Seattle	Toronto
BusTransit Dense	Rio de Janeiro	Bogota	Leon	Recife	Sao Paulo
BusTransit Sprawl	Mecca	Shiraz	Mashdad	Santa Cruz	Medina
Congested Boomer	Bangalore	Lahore	Kinshasa	Karachi	Chennai
Congested Emerging	Kumasi	Phnom-Penh	Conakry	Bandung	N'Djamena
Hybrid Giant	Sendai	Warsaw	Sofia	Hiroshima	Sapporo
Hybrid Moderate	Cordoba	Havana	Izmir	Valparaiso	Panama City
MassTransit Heavyweight	Berlin	Madrid	Munich	Seoul-Incheon	Singapore
MassTransit Moderate	Jerusalem	Newcastle	Tel-Aviv	Liverpool	Turin
MetroBike Emerging	Shenyang	Harbin	Changshaw	Zhengzhou	Qingdao
MetroBike Giant	Shenzhen	Guangzhou	Shanghai	Chongqing	Beijing

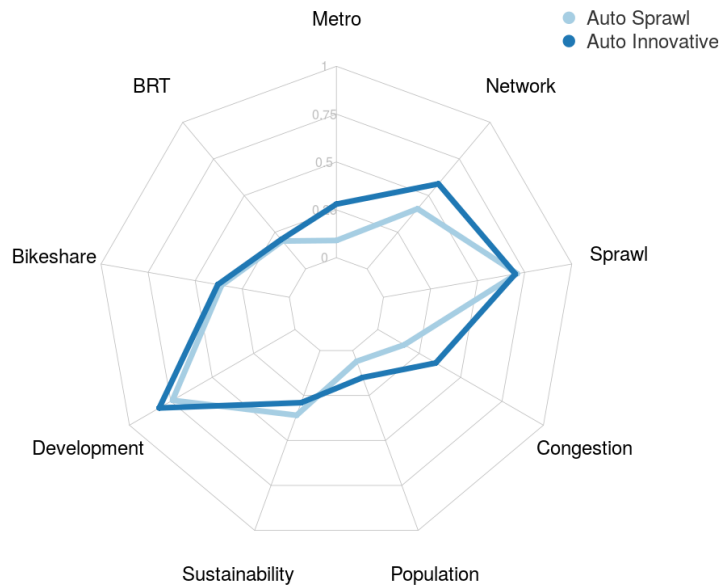


FIGURE 2 Comparison of the *Auto Sprawl* and *Auto Innovative* typologies based on normalized factor scores

139 The distinguishing characteristics between *Auto Sprawl* and *Auto Innovative* are the Metro
 140 Propensity, Population and Network density factors. *Auto Innovative* also suffers more from con-
 141 gestion and inefficiency than does *Auto Sprawl*, which is less dense (both in terms of network and
 142 population). We further compare these two typologies on a selected number of input variables
 143 (Table 2). From Table 2, we see that *Auto Sprawl* has a higher car mode share, while *Auto In-*
 144 *novative* has a mass transit mode share that is three times greater than that of its counterpart.

TABLE 2 Selected characteristics of *Auto Sprawl* and *Auto Innovative*

Selected Variable	Typology	Average	
		Auto Sprawl	Auto Innovative
	Car mode share (%)	86.4	78.4
	Mass Transit mode share (%)	3.6	10.7
	Bike mode share (%)	0.5	0.9
	Walk mode share (%)	2.8	3.6
	Population (million)	1.7	5.3
	Population density (thousand/sq. km)	1.48	1.62
	Per Capita GDP (\$1000)	50.0	60.1
	Annual CO ₂ emissions per capita (tCO ₂ e)	16.5	13.7

145 *Auto Innovative* also is also three times as populated on average, but only slightly denser, which
 146 indicates that *Auto Sprawl* cities have larger areas than those in *Auto Innovative*. Lastly, *Auto*
 147 *Sprawl* generates 20% more per-capita CO₂ emissions than *Auto Innovative*. Given these key dif-
 148 ferences between the two typologies, our approach of conducting a detailed agent-based simulation
 149 of mobility in respectively generated prototype cities can provide typology-specific insights on the
 150 impacts of AMoD deployment, particularly with regard to mass transit and energy consumption.

151 2.1.3 Modeling and simulation environment

152 Assessing the systemic evolution of a city for behavioral implications and performance impacts
 153 of new mobility services requires a highly detailed large-scale simulation environment. We use the
 154 platform, SimMobility, which employs an integrated activity- and agent-based framework for ana-
 155 lyzing future mobility scenarios by capturing the decisions and movements of a given population
 156 (Adnan et al., 2016; Azevedo et al., 2016). SimMobility is modular with three components that op-
 157 erate at different temporal scales. The Short-Term simulator is microscopic, with up to millisecond
 158 granularity for small scale network performance analyses. The Mid-Term module simulates daily
 159 demand, supply and demand-supply interactions, while the Long-Term component models land de-
 160 velopment and economic interactions at the annual scale. For the purposes of the AMoD scenario
 161 experiments in this paper we use the Mid-Term module (hereafter referred to as *SimMobility-MT*),
 162 which requires as an input, a synthetic population and land-use specification, along with a trans-
 163 port supply network specification. SimMobility-MT consists of three submodules, namely: *PreDay*,
 164 *WithinDay* and *Supply*. The *PreDay* submodule simulates daily travel plans, *WithinDay* simulates
 165 modifications to plans and events, while *Supply* concurrently simulates agent events and actions.
 166 An important feature of SimMobility-MT is “day-to-day learning,” whereby aggregate zonal travel
 167 times from *Supply* are updated in *PreDay* to iteratively modify passenger activity decisions based
 168 on network performance.

169 The *PreDay* module consists of an activity-based model (ABM) and an implementation frame-
 170 work (developed in C++ and Lua) which produce a daily activity schedule (DAS) for each indi-
 171 vidual in the synthetic population. In the *PreDay* ABM, interconnected hierarchical choice models
 172 are used to generate the DAS across three levels: *Day Pattern*, *Tour* and *Intermediate Stop*. As
 173 indicated by solid lines in Figure 4, higher-level choice models are conditioned on the lower-level
 174 models. Disaggregate accessibility measures, capturing the expected utility of all alternatives, from
 175 the mode and destination choice models are integrated into the higher-level models, as indicated by
 176 the dashed arrows, as well as summarized in Table 4. At the *Day Pattern* level, decisions are made
 177 pertaining to the binary choice of leaving or staying at home, the multinomial choice of primary
 178 activities to perform (and their sequence), the multinomial choice of stop purpose combinations
 179 before or after the primary activity of each tour, and the number of tours. The *Tour* level con-
 180 tains models for usual work choice (binary), mode choice for fixed-location tours, mode-destination

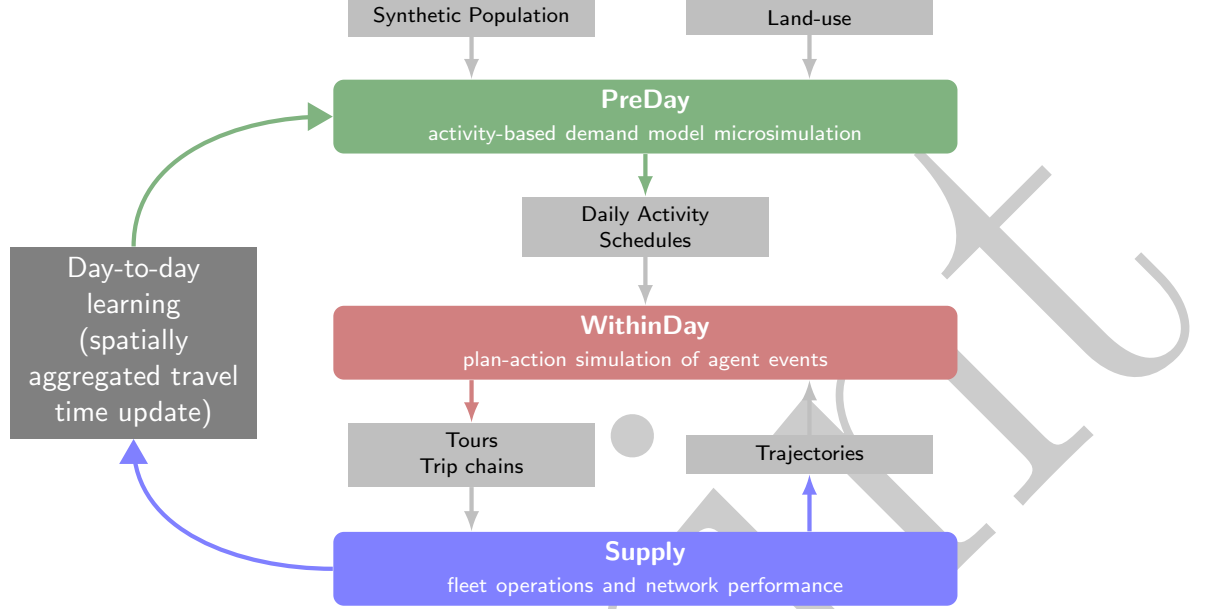


FIGURE 3 SimMobility MidTerm framework

181 choice for tours without fixed locations, start time and duration of each tour. Work-based subtour
 182 decisions are also handled at this level. The lowest level is the *Intermediate Stop*, where the num-
 183 ber, mode and destination and departure times of stops made before or after primary activities are
 184 decided. Upon simulation of the ABM, the resulting DAS is a detailed plan of each individual’s
 185 sequence of activity categories, times and locations at a half-hour resolution. A summary of the
 186 *PreDay* ABM is given in Table 3. For a more detailed description of the *PreDay* module, the reader
 187 is referred to de Lima et al. (2018). For the prototype cities, we limit the activity choice set to
 188 the following: *Work, Education, Shop* and *Other*. Personal errands and recreation are among the
 189 purposes captured by the *Other* designation. Utility equations for selected models in each of the
 190 levels indicated in Figure 4 are provided in Appendix B.

TABLE 3 *PreDay* activity-based submodels and their activity dimensions across the three levels: *Day Pattern*, *Tour* and *Intermediate Stops*.

Level	Models	Activity dimensions
Day Pattern	Travel	N/A
	Tour	All
	Intermediate Stops	All
	Number of Tours	All
Tour	Usual Work	Work
	Mode	Work, Education
	Mode-Destination	Work, Education, Shop, Other
	Time-of-Day	Work, Education, Shop, Other
	Work-based Subtour	Work
	Subtour Mode-Destination	Work
	Subtour Time-of-Day	Work
Intermediate Stops	Generation	All
	Mode-Destination	All
	Time-of-Day	All

191 In the *WithinDay* submodule, the route choice, rescheduling and mode change decisions of
 192 the agents are simulated based on the plan-action framework (Ben-Akiva, 2010) in response to

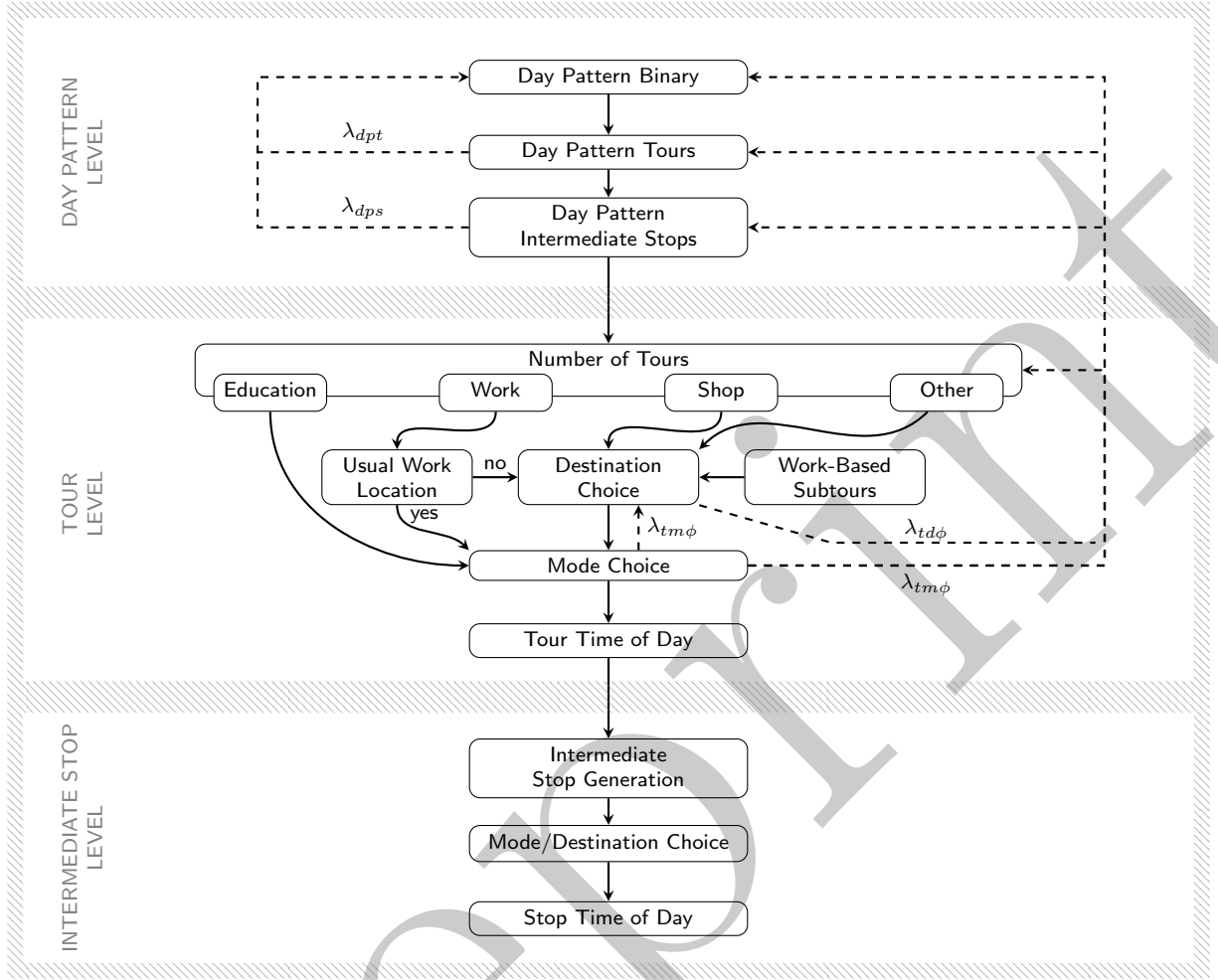


FIGURE 4 SimMobility MidTerm *PreDay* activity-based model structure. Solid lines indicate conditional dependency in the direction of the arrows. Dashed lines indicate accessibility integration in the direction of the arrows. The hatched outlines demarcate the three levels of the model.

TABLE 4 Summary of inclusive values used in *PreDay* model. $\phi = \{\text{Work, Education, Shop, Other}\}$

Symbol	Model source	Model inclusion
λ_{dpt}	Day Pattern Tour	Day Pattern Binary
λ_{dps}	Day Pattern Stop	Day Pattern Binary
$\lambda_{td\phi}$	Destination Choice	Number of Tours, Day Pattern Intermediate Stops/Tours/Binary
$\lambda_{tm\phi}$	Mode Choice	Destination Choice, Number of Tours, Day Pattern Intermediate Stops/Tours/Binary

193 information and control. The resulting trip-chains are then performed in the *Supply* submodule.
 194 Following the dynamic traffic assignment framework, trip trajectories obtained in *Supply* are used
 195 to update link travel times, which in turn modify the routing decisions of the passengers. The
 196 *WithinDay* submodule also handles daily events, using a publish-subscribe mechanism. Events are
 197 defined across five dimensions: time, information, location, perception and requests.

198 Public (bus, train, on-demand) and private (car, motorcycle, private bus, walk) modes are
 199 simulated in the *Supply* submodule. The network-level simulation is mesoscopic and time-based.
 200 Thus, the capacities are determined by lane-groups, and speed-density relationships govern the

201 movements of vehicles in each segment. At each advance interval (up to a five-second resolution),
202 speeds, positions and energy consumption are reported for each vehicle. Vehicle fleet operations
203 for the public modes are also implemented in *Supply*. For public buses and trains, schedules and
204 headways are implemented for route management. A bus controller and train controller track
205 movements and occupancy, and can also handle disruptions. The mobility-on-demand (MoD)
206 services, namely: taxi and ridesourcing service, are also managed by a controller to handle ride
207 matching, vehicle routing and dispatching and rebalancing operations. Powertrain-specific energy
208 consumption is calculated based on models incorporated into SimMobility from Rakha et al. (2011);
209 Fiori et al. (2016); Wang and Rakha (2017a,b).

210 2.2 *Prototype city generation*

211 To assess the impacts of future mobility strategies in different urban typologies, we create
212 representative prototype cities for simulation in SimMobility. The creation of a prototype city
213 involves data-driven approaches for generating population, demand and supply parameters. From
214 the member cities of a typology, a archetype city is chosen near the centroid of the typology
215 characteristics (as discussed in Subsubsection 2.1.2). The archetype city serves as the source of the
216 population data and attributes, supply network, land use, and demand-supply interaction patterns.
217 We create a synthetic population and land-use specification of the archetype city in order to preserve
218 the demand-supply patterns for its network. However, mode shares (including MoD) and activity
219 shares are calibrated to the typology average, in order to capture behavioral impacts at the typology
220 level. Following this, the we calibrate the prototype city to fit archetype city values for average
221 travel times (by activity), link speeds and waiting times in order to ensure consistency with the
222 archetype city network. In each of these steps, we generalized from existing approaches (Strauch
223 et al., 2005; Le et al., 2016; Fournier et al., 2020) and leverage on open data sources.

224 2.2.1 *Population and land-use*

225 We define four levels of spatial resolution for population and land-use specification in a prototype
226 city Figure 5. The urban typology prototype city (UTP), representing the highest level, is given by
227 the metro area of the selected archetype city. The Second Administrative Levels are the highest-
228 level regions into which the UTP is subdivided. Traffic Analysis Zones (TAZs) are obtained for
229 the UTP given the archetype road network. Where unavailable, these are generated as Thiessen
230 polygons. CELLS are obtained by gridding over the entire UTP. The corresponding land use weight
231 at the CELL level is used to determine the *number* and *location* of the households therein, as well
232 as the *numbers* of work (WORK) and education (EDU) locations in each TAZ.

233 We use the Hierarchical Iterative Proportional Fitting (HIPF) method (Müller and Axhausen,
234 2012) to generate a synthetic population for each prototype city. Aggregate-level data area obtained
235 from the American Community Survey, while household and individual sample data are obtained
236 from the Public Use Microdata Samples. At the household level, we control for the (i) income
237 and (ii) vehicle ownership variables, while at the individual level, we control for the (iii) age, (iv)
238 gender and (v) employment status variables. (See Figure 8 and Figure 9 for a concise validation
239 summary of these variables.) These individual and household variables, including others such as
240 household composition and education level, are the population-based predictors in the demand
241 modeling framework. Land-use variables are also required for the destination-choice models. These
242 include TAZ area, number of firms/businesses, number of shops, distance to city center, among
243 others. Travel time and cost of modes are also inputs to the mode-choice models. The required
244 variables differ across the various choice models. However, some representative model specifications
245 are described in detail in Appendix B. A summary of the syntheses and allocation interactions is
246 depicted in Figure 6.

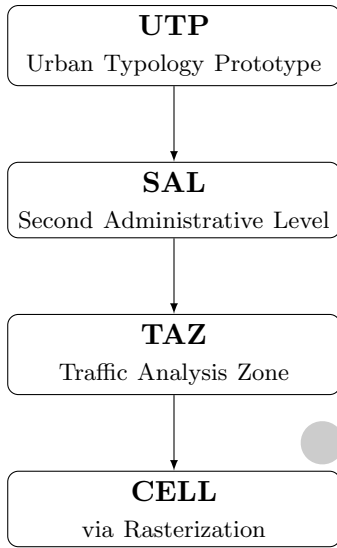


FIGURE 5 The four levels of spatial resolution in the prototype city development framework.

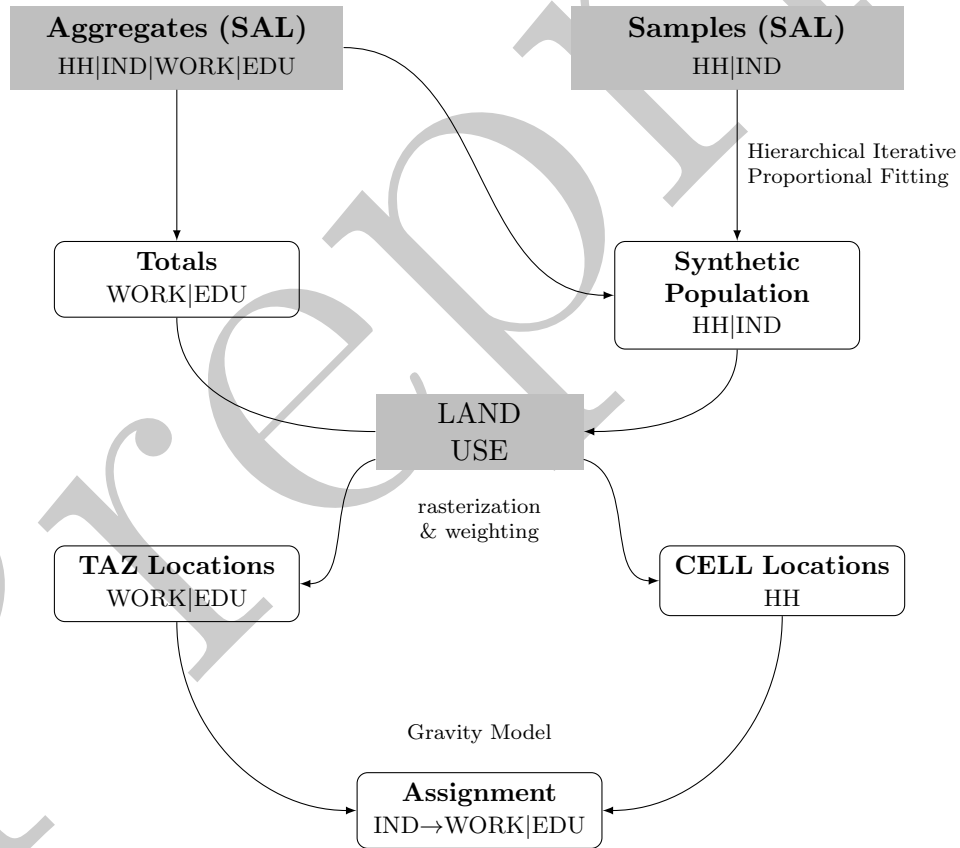


FIGURE 6 Population and land-use synthesis framework for generation and allocation

247 Land-use category data enable us to spatially allocate households, places of employment and
 248 education. We further apply IPF to obtain TAZ-level employment and education cross-tabulation
 249 weights, and then use gravity models to perform the final assignments at the individual level.
 250 Further details are provided in Appendix A.

251 *2.2.2 Demand*

252 The *PreDay* ABM was estimated for one of the North American typology archetype cities—
 253 Boston. Data were obtained from the Massachusetts Travel Survey (MTS) and the Central Trans-
 254 portation Planning Staff (CTPS) of Boston for the period 2010 to 2011. The estimation was
 255 performed using the PythonBiogeme platform. Further details and results on the model and esti-
 256 mation procedure can be found in de Lima et al. (2018). The Boston model served as a starting
 257 point for the *Auto Sprawl* and *Auto Innovative* models. In calibrating the ABM for the prototype
 258 cities, we adjust the following quantities to match the mode and activity shares for their respec-
 259 tive typologies: alternative-specific constants, specific variable coefficients and scale parameters
 260 (which control the correlations in the nested logit structure). The calibration approach is formally
 261 described in Chen et al. (2019). The activity validation data are obtained from available travel
 262 surveys for cities in the respective typologies (see Table 5). These data were not used in the ty-
 263 pology discovery, given their sparsity at that scale. Thus, individual reports were searched for the
 264 few cities available in these typologies in order to obtain aggregate activity shares. Sources are
 265 also detailed in Table 5. Temporal patterns, mode-activity proportions, trip and tour distributions
 266 are also checked. Furthermore, we validate our model for consistency with expected commuter
 267 distances and travel times. Similar checks are also performed for education trips. We justify the
 268 approach of using the Boston model as a starting point based on the fact that it is the archetype
 269 city for *Auto Innovative* and that travel patterns are relatively similar across cities in the United
 270 States. Details of four representative choice models including the specific variables used in each of
 271 them are given in Appendix B.

TABLE 5 Activity share validation data for the prototype cities

Measure		Auto Sprawl	Auto Innovative
Activity shares (%)	Work	28	26
	Education	12	13
	Shop	16	15
	Other	44	47
Trips per person		3.5	3.5
Survey data sources		Detroit, Indianapolis, Minneapolis-St. Paul, OR	Edmonton, Melbourne, Portland, Toronto, Vancouver

272 Furthermore, we fit the model to fuel price elasticities of trip demand based on the litera-
 273 ture relevant to US cities (Small and van Dender, 2007; Goodwin et al., 2004). These values are
 274 summarized in Table 6.

TABLE 6 Short-term trip elasticities used in validating demand model

Mode	Elasticity	Scope	Source
Car	-0.10	North America & Europe	Goodwin et al. (2004)
	-0.03	USA	Small and van Dender (2007)
	-0.11	Europe	TRACE (1999)
Mass Transit	0.13	Europe	TRACE (1999)
Active Mobility	0.18		

275 Time and cost are critical variables in the mode and mode-destination choice models in the
 276 demand framework. Given that the spatial resolution of the ABM is at the TAZ level, distances,
 277 travel times (private and public modes) and fares are estimated for each TAZ OD pair. TAZ-TAZ

278 distances are initially estimated based on the Manhattan separation of the centroids. They are later
 279 updated via day-to-day feedback until equilibrium. Travel times for *Walk* are then calculated using
 280 an average speed of 5 km/h, while those for *Bike* are computed using a speed of 20 km/h. Mass
 281 transit costs are given by fares at the zonal level. These are obtained from the transit agency of
 282 the archetype city where available. Otherwise, an average cost factored by the TAZ pair distance is
 283 used as an estimate. Mass Transit travel time accounts for both the waiting and travel times, along
 284 with access and egress time. For Car, the initial travel time is also obtained from the archetype city
 285 agency where available, or estimated based on a network-wide average speed if not. The cost for
 286 Car explicitly accounts for that of fuel (gasoline or electricity), a general distance-based operational
 287 cost and parking at the destination TAZ. Taxi fares are given by the following function⁶:

$$C_{taxi} = F_{taxi}^b + F_{taxi}^d d + F_{taxi}^w \cdot \max\{0, t - \frac{d}{40}\} \quad (1)$$

288 where F_{taxi}^b , F_{taxi}^d and F_{taxi}^w are the base fare, distance charge (per kilometer) and waiting time
 289 charge (per hour), respectively. The trip distance is d , while t is the travel time (same for Car
 290 mode). The expression $\max\{0, t - \frac{d}{40}\}$ represents the additional time spent in transporting the
 291 passenger given an expected speed of 40 km/h. In the case of ridesourcing, we assume the current
 292 cost structure for Uber XL (standard service)⁷, where

$$C_{RS} = \max\left\{F_{RS}^{min}, \left(F_{RS}^s + F_{RS}^b + F_{RS}^d d + F_{RS}^t t\right)\right\} \quad (2)$$

293 F_{RS}^{min} is the minimum fare, while F_{RS}^s , F_{RS}^b , F_{RS}^d and F_{RS}^t are the service fee, base fare, distance
 294 charge (per kilometer) and travel time charge (per hour), respectively. The fare values for both
 295 services are obtained from the corresponding archetype city, as shown in Table 7.

TABLE 7 Mobility-on-demand fare parameter values for *Auto Sprawl* and *Auto Innovative*

Mode	Parameter	<i>Auto Sprawl</i> (USD)	<i>Auto Innovative</i> (USD)	Description
Taxi	F_{taxi}^b	1.80	2.60	Base fare
	F_{taxi}^d	1.38	1.75	Per-km charge
	F_{taxi}^w	0.40	0.47	Per-min charge
Ridesourcing	F_{RS}^{min}	6.85	6.85	Minimum fare
	F_{RS}^s	2.35	1.85	Service charge
	F_{RS}^b	1.10	2.10	Base fare
	F_{RS}^d	1.38	1.35	Per-km charge
	F_{RS}^w	0.12	0.21	Per-min charge

296 2.2.3 Supply

297 The transport supply network model development procedure entails the following steps:

- 298 (a) obtaining and processing the road network of the archetype city from Open Street Maps and
- 299 generating inputs suitable for mesoscopic simulation
- 300 (b) generating a public transit graph from General Transit Feed Specification (GTFS) sources
- 301 (c) calibrating supply parameters to achieve realistic baseline network performance

302 We developed tools to perform these steps to facilitate simulation in SimMobility-MT.

⁶This represents the existing taxi tariff structure in the two archetype cities of Baltimore and Boston. Fare coefficients were obtained from <https://www.taxi-calculator.com/>.

⁷Fare coefficients available at <http://uberestimate.com/prices/>.

303 The first step in creating the transport supply network model is obtaining the road network
 304 contained within the boundary of the archetype city. This boundary specifies the metropolitan
 305 region associated with the city. Within this boundary, the drivable road network, consisting of
 306 nodes, links, lanes, segments (and their capacities), lanes, turning connections, and their respective
 307 geometries, are extracted from the Open Street Maps database. First, the extracted network is
 308 cleaned and simplified in order to remove extraneous nodes at intersections. Following this, we
 309 construct segments by subdividing links to account for changes in geometry and number of lanes.
 310 We then use connectors to specify lane associations between consecutive segments. Using a greedy
 311 assignment, we finally create turning paths to indicate lane connectivity at intersections. In cases
 312 where the number of lanes and capacities were unavailable for a certain, we used the link category to
 313 infer these values. The road networks for *Auto Sprawl* and *Auto Innovative* are shown in Figure 7.
 314 These are the actual networks of the respective archetype cities of Baltimore and Boston.

315 The second step is the generation of the mass transit portion of the supply network model.
 316 This constitutes the rail network system (where available) and the bus network system. Open data
 317 based on the GTFS open data on the schedules, shapes and locations of transit trips and routes.
 318 For the rail system, we specify the access segments from the road network. In the case of the bus
 319 system, we map the stops to segments in the road network, with access and egress nodes specified.
 320 From these, we construct a transit route-choice graph which represents the connectivity within the
 321 mass transit system (bus and rail), and between the transit and road network systems. In the
 322 transit graph, there are three edge types: (i) bus, (ii) rail and (iii) walk. The simulation of the
 323 bus and rail systems also requires inventory and control data. Thus, we obtain from the archetype
 324 city, where available, fleet and capacity information for the bus and rail routes. We also assume
 325 movement parameters including speed and acceleration limits.

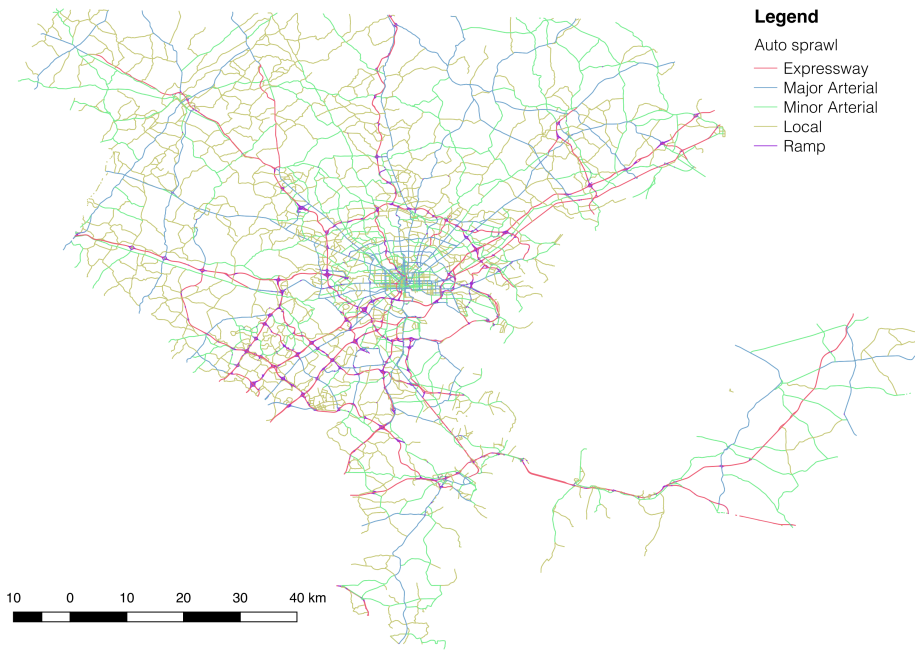
TABLE 8 Selected transport network supply model parameter specifications for *Auto Sprawl* and *Auto Innovative*

Network components		<i>Auto Sprawl</i>	<i>Auto Innovative</i>
Road	Nodes	11 410	18 016
	Links	24 133	46 763
	Segments	252 006	164 980
Bus	Routes	139	844
	Stops	797	4 170
Rail	Routes	10	25
	Stations	76	121

326 Once the transport supply network model has been specified, the final step is to generate
 327 pathsets for both the road network and the mass transit network. Pathsets for the transit network
 328 are computed based on the transit graph. Separate tables are used to store the road network paths
 329 and those for the transit network. For each possible OD pair of nodes, we generate pathsets under
 330 various scenarios. Each pathset is characterized by its length, travel time, among others. In the
 331 *WithinDay* module, travelers choose their trip paths based on the outcome of the route choice
 332 model. We generate the pathsets prior to simulation in order to save computation time. Given
 333 that paths cannot feasibly be computed for every possible OD pair, we limit the set of OD's to the
 334 initial daily activity schedule that has been generated. Further OD's are generated as needed upon
 335 subsequent day-to-day simulations. Beyond this, we generate new pathsets for the ODs that cannot
 336 be mapped for the demand case at hand. In time, sufficient pathsets are generated to handle new
 337 demand cases.

338 From the trajectory output of *Supply*, we feedback link-based travel times for *WithinDay* it-
 339 erations. This allows for route-choice modifications in order to reach an equilibrium in traffic

(A) *Auto Sprawl*



(B) *Auto Innovative*

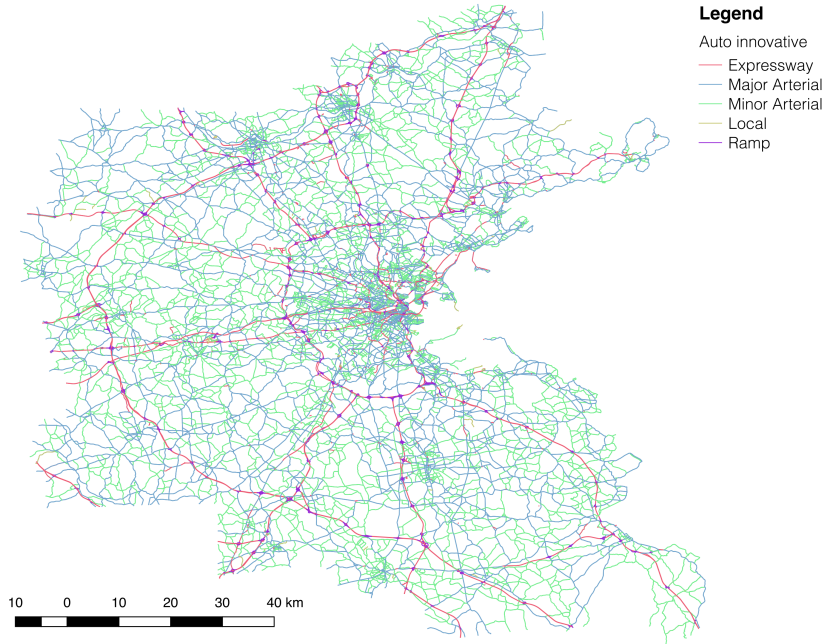


FIGURE 7 The road networks of *Auto Sprawl* and *Auto Innovative* prototype cities

340 assignment. Once this equilibrium is reached, link-based travel times are aggregated at the zonal
341 level in order to update the costs for day-to-day learning.

342 2.3 Scenario design

343 The scenarios in this paper have been designed to investigate the future impacts of automated
 344 mobility-on-demand (AMoD) across auto-dependent cities (largely to be found in North America).
 345 AMoD services are expected to influence behavior and energy consumption on the demand side,
 346 while the supply side might register impacts on congestion, emissions and parking. Underlying
 347 these shifts will be changes in on-demand service costs, which have been estimated to reduce by
 348 up to 50% (from \$1.72 to \$0.92 per km) as a result of automation in Singapore (Pavone, 2015;
 349 Spieser et al., 2014). Similar gains are also projected in the US of up to 50%, from \$0.65 per mile
 350 to under \$0.30 per mile (Stephens et al., 2016). In Switzerland (Europe), even greater cost savings
 351 have been estimated (Bösch et al., 2018). As a moderate estimate, therefore, we implement AMoD
 352 service provision based on a 50% reduction in user fare from the existing traditional taxi mode,
 353 using the cost structure as given by Equation 1. The ridesourcing services represented by the likes
 354 of Uber are already cheaper than traditional taxi.

TABLE 9 Mode availabilities across scenarios

Mode		Base Case	AMoD Intro	AMoD No Transit	AMoD Transit Integration
Car	Drive Alone	✓	✓	✓	✓
	Pool (2)	✓	✓	✓	✓
	Pool (3)	✓	✓	✓	✓
Mass Transit	Bus	✓	✓	✗	✓
	Train	✓	✓	✗	✓
On-demand	MoD	✓	✗	✗	✗
	AMoD Single	✗	✓	✓	✓*
	AMoD Shared	✗	✓	✓	✓*
Active Mobility	Bicycle	✓	✓	✓	✓
	Walk	✓	✓	✓	✓
Other	Private Bus	✓	✓	✓	✓
	Motorcycle	✓	✓	✓	✓

*Restricted to short trips and first/last mile trips to rail stops.

TABLE 10 Powertrain assumptions and specifications

Vehicle	Powertrain
Private car	Gasoline-powered (conventional and hybrid), battery-electric
Ridesourcing	Gasoline-powered hybrid
AMoD	Battery-electric
Public Bus	Diesel
Train	Electric

355 2.3.1 Base Case

356 The *Base Case* represents the current state of activity and mobility patterns in the prototype
 357 cities for the year 2016. The car modes include “Drive Alone” and “Car Pool”. The latter is
 358 modeled explicitly for 2 or 3 vehicle occupants. Mass transit (bus and rail) is available based
 359 on the public transport supply model, as described in Subsubsection 2.2.3. Mobility-on-demand

360 (MoD) refers to both taxi and ridesourcing services, which represent smartphone-based ridesourcing
361 services. The cost of ridesourcing is modeled according to the current fare structure of Uber⁸.

362 The Private Bus mode captures the services provided by employers and private companies for
363 transport to workplaces and schools, respectively. Its availability is assumed to be the same as that
364 of mass transit, considering that these private transit services predominantly share the same bus
365 stop infrastructure with their public counterpart.

366 2.3.2 *AMoD Intro*

367 In this scenario, automation is introduced for on-demand services. Thus, MoD is replaced by
368 AMoD, while other modes in the *Base Case* remain unchanged. The choice of single (“AMoD
369 Single”) or shared (“AMoD Shared”) rides is explicitly modeled. Shared vehicles have a capacity of
370 4 passengers, while depots are distributed across each TAZ. For every demand case, optimal fleet
371 sizes are computed and vehicles are routed and rebalanced to minimize costs. We refer the reader to
372 Marczuk et al. (2015); Basu et al. (2018) for further background on AMoD supply implementation
373 in SimMobility.

374 2.3.3 *AMoD No Transit*

375 Here, AMoD replaces MoD (as in *AMoD Intro*) but mass transit is also removed. This scenario
376 is an attempt to answer the question of whether AMoD can fully substitute mass transit and under
377 what conditions it can successfully do so in the prototype cities of interest. It simulates a future in
378 which transit is abandoned while AMoD proliferates.

379 2.3.4 *AMoD Transit Integration*

380 We consider the impacts of a government intervention in the operation of AMoD services in
381 the scenario *AMoD Transit Integration*. Rather than have AMoD compete directly with mass
382 transit, it is integrated as an access or egress mode to rail stops. These AMoD rides are necessarily
383 shared and further subsidized by 20% of their original rates.⁹ The integration radius is set at 7.5
384 miles from all rail stops based on the typical commute distances in these cities. Non-integrated
385 AMoD remains available but restricted to local trips within the same 7.5-mile distance threshold.
386 This integration also necessitates the enhancement of the transit route-choice graph, such that the
387 AMoD access and egress links are now included in the transit pathsets. Integrated transit thus has
388 greater availability in each of the prototype cities. At the *PreDay* level, the traditional mass transit
389 mode (with walk-only access/egress) is nested with the AMOD-access/egress transit option.

390 Since we are simulating a prototype city, we do not delve into operational details here, as
391 these can vary widely from one real city to another. Instead, we focus on simulating what might be
392 regarded as the upper bound (best-case scenario) of transit integration performance. No restrictions
393 are made to the number of passengers that can be picked up or dropped off at a given station.¹⁰
394 However, travel times are fed back into the demand model, which is iterated in order to satisfactorily
395 incorporate the effects of congestion on demand.

396 2.4 *Calibration and validation*

397 We validate each prototype city for population, demand and supply. The synthetic population
398 is validated using the control variables at the individual (Figure 8) and household (Figure 9) levels.

⁸<http://uberestimate.com/prices/>

⁹Reports on mobility-on-demand operators (Li et al., 2019) and taxicab operators (Controller, 2005) indicate that 20% is the expected gross earning rate from total revenue. Subsidies at this level thus hypothetically ensure that service provision remains reasonably profitable for the AMoD operator(s).

¹⁰Furthermore, we assume no curbside restrictions on pickups and dropoffs

399 Further, we validate these totals at the Second Administrative Level (Figure 10). The spatial
 400 distributions across the SALs are also matched.

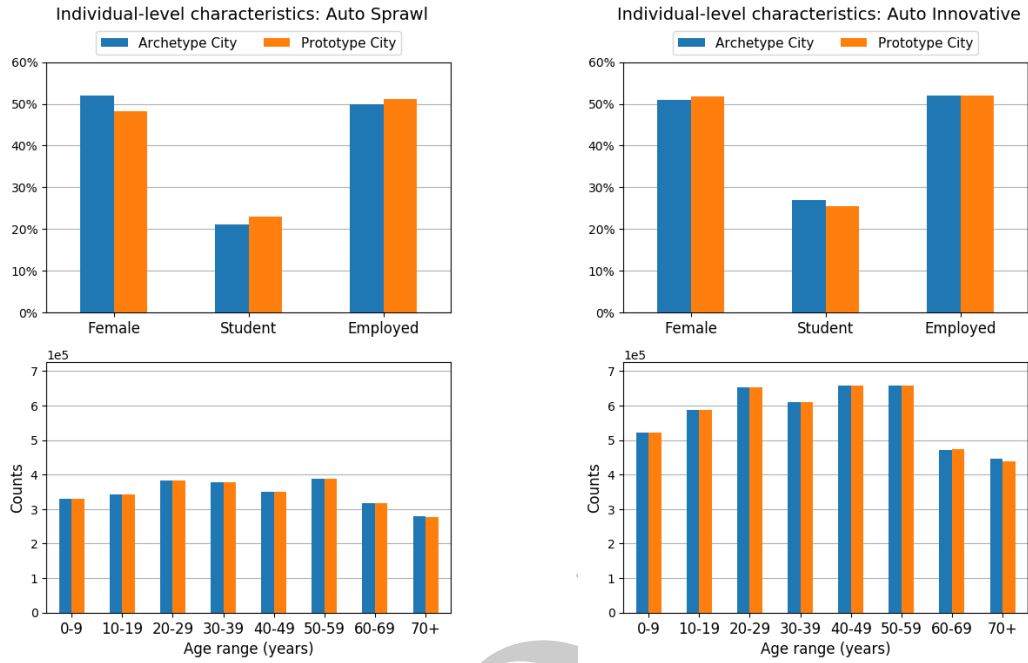


FIGURE 8 Individual-level validation for *Auto Sprawl* and *Auto Innovative*

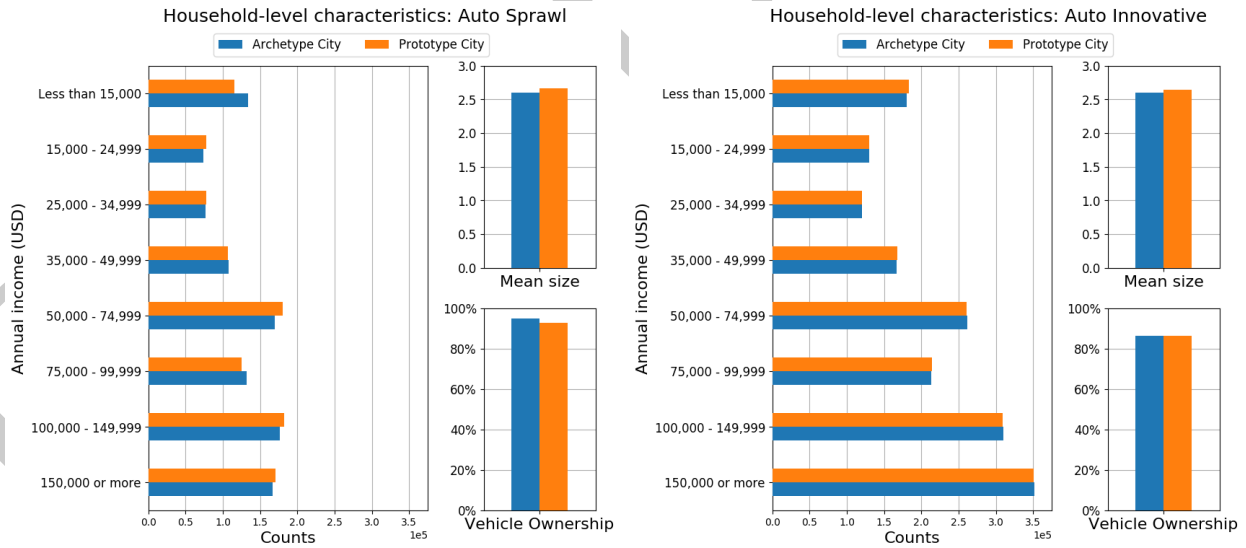
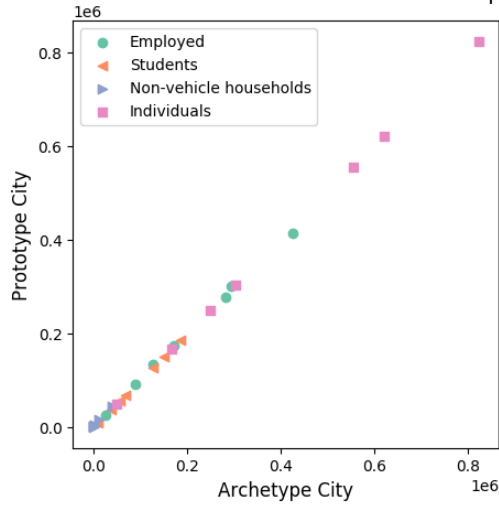


FIGURE 9 Household-level validation for *Auto Sprawl* and *Auto Innovative*

401 The demand model is calibrated and validated for activity and mode shares. The results are
 402 shown in Figure 11 and Figure 12 for both prototype cities. Aggregate activity and mode shares
 403 are similar for both cities, except when comparing the shares for Car and Mass Transit. In *Auto*
 404 *Innovative* the Mass Transit share is higher at the expense of that of Car, when compared to *Auto*
 405 *Sprawl*.

406 Further, we show the fuel cost elasticities of trip demand for both prototype cities in Table 11.

Second Administrative Level Totals: Auto Sprawl



Second Administrative Level Totals: Auto Innovative

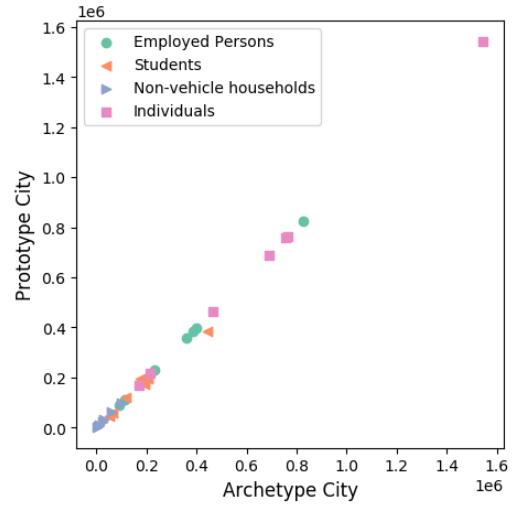
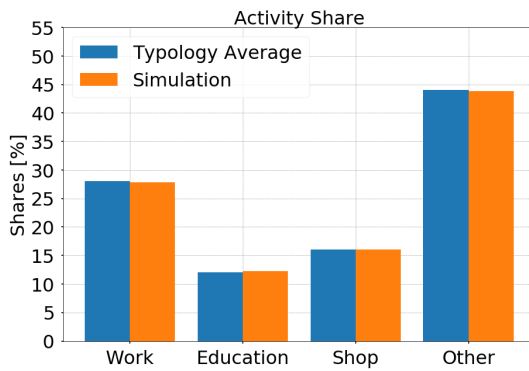


FIGURE 10 Second Administrative Level (SAL) validation for *Auto Sprawl* and *Auto Innovative*

(A) *Auto Sprawl*



(B) *Auto Innovative*

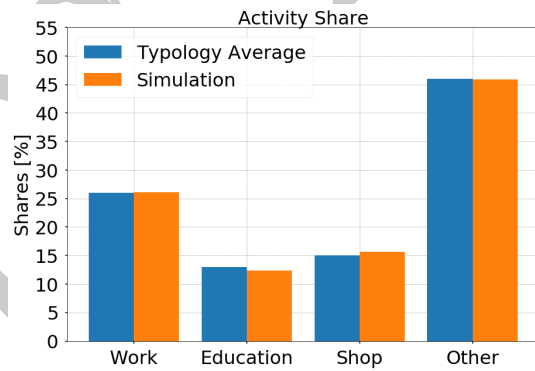
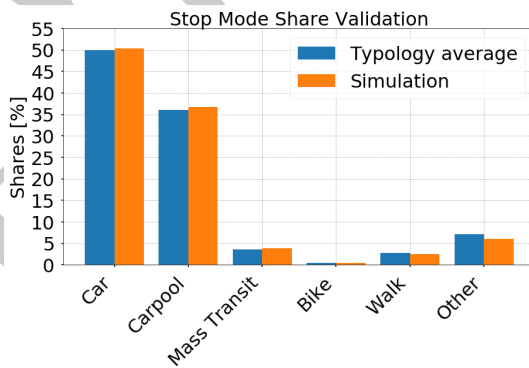


FIGURE 11 Activity share validation of the prototype city simulations

(A) *Auto Sprawl*



(B) *Auto Innovative*

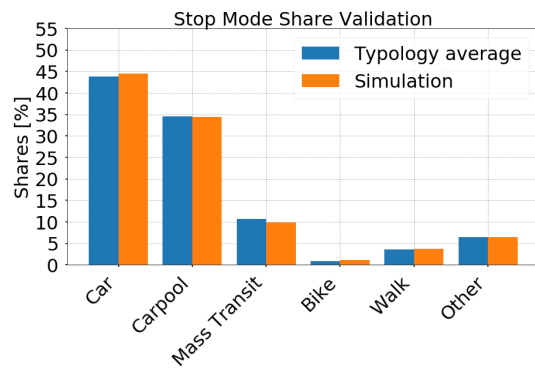


FIGURE 12 Mode share validation of the prototype city simulations

407 These values are matched as closely as possible to reference values in Small and van Dender (2007)
 408 and TRACE (1999).

TABLE 11 Base case fuel cost elasticities of trip demand for *Auto Sprawl* and *Auto Innovative*

Mode	<i>Auto Sprawl</i>	<i>Auto Innovative</i>
Personal Car	-0.03	-0.04
Mass Transit	+0.06	+0.01
Walk	+0.01	+0.04
Bike	+0.08	+0.07
All	-0.005	-0.006

409 *2.4.1 AMoD fare elasticity*

410 We then investigate the AMoD cost elasticities in both cities (Figure 13). In *Auto Innovative*,
 411 demand for AMoD is more elastic than in *Auto Sprawl*. This result indicates that AMoD meets a
 412 greater need in *Auto Sprawl*, and the demand for AMoD is less sensitive to fare increases than in
 413 *Auto Innovative*.

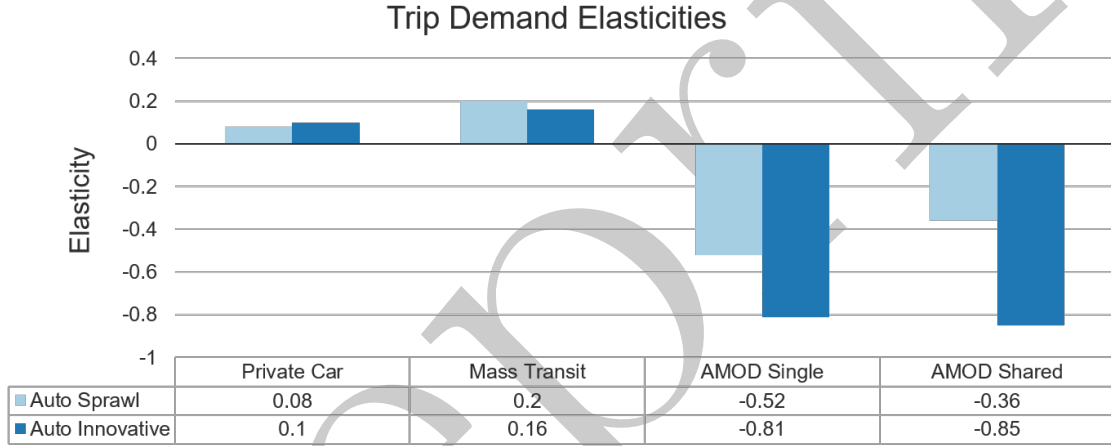


FIGURE 13 AMoD fare elasticities of trip demand

414 **3 Results and discussion**

415 We present the results of our simulations of the AMoD scenarios earlier described. These results
 416 belong to the convergent states after the day-to-day learning procedure. We focus on the demand,
 417 network level of service (vehicle kilometers traveled and congestion), energy and emissions impacts.
 418 The policy implications in each case are discussed in the respective subsections.

419 *3.1 Demand impacts*

420 The introduction of AMoD results in an increased number of trips in both prototype cities.
 421 Under the *AMoD Intro* regime, the percentage of induced trips with respect to *Base Case* is 4% in
 422 *Auto Sprawl*. This indicates that *AMoD* potentially satisfies an existing latent demand for mobility
 423 that the existing base levels do not meet. However, in *Auto Innovative*, induced demand is only
 424 2% of base demand. In terms of absolute numbers, however, more trips are still generated in *Auto*
 425 *Innovative*, compared to *Auto Sprawl*, given its larger population and density.

426 When mass transit is removed under *AMoD No Transit*, the number of induced trips remains
 427 around the same level in *Auto Sprawl*. In *Auto Innovative*, the induced share becomes negative,
 428 indicating that fewer trips are made. This is a reflection of the importance of the mass transit

429 system in this typology and the congestion effects of servicing previously transit-based trips by
 430 AMoD.

431 The mode shares are shown for *Auto Sprawl* and *Auto Innovative* in Figure 14. Trip modal
 432 shifts in both cities are shown for *Base Case* → *AMoD Intro* → *AMoD No Transit* in Figure 15,
 433 and for *Base Case* → *AMoD Intro* → *AMoD Transit Integration* in Figure 16. A summary of the
 434 demand impacts of the AMoD scenarios is given in Table 12.

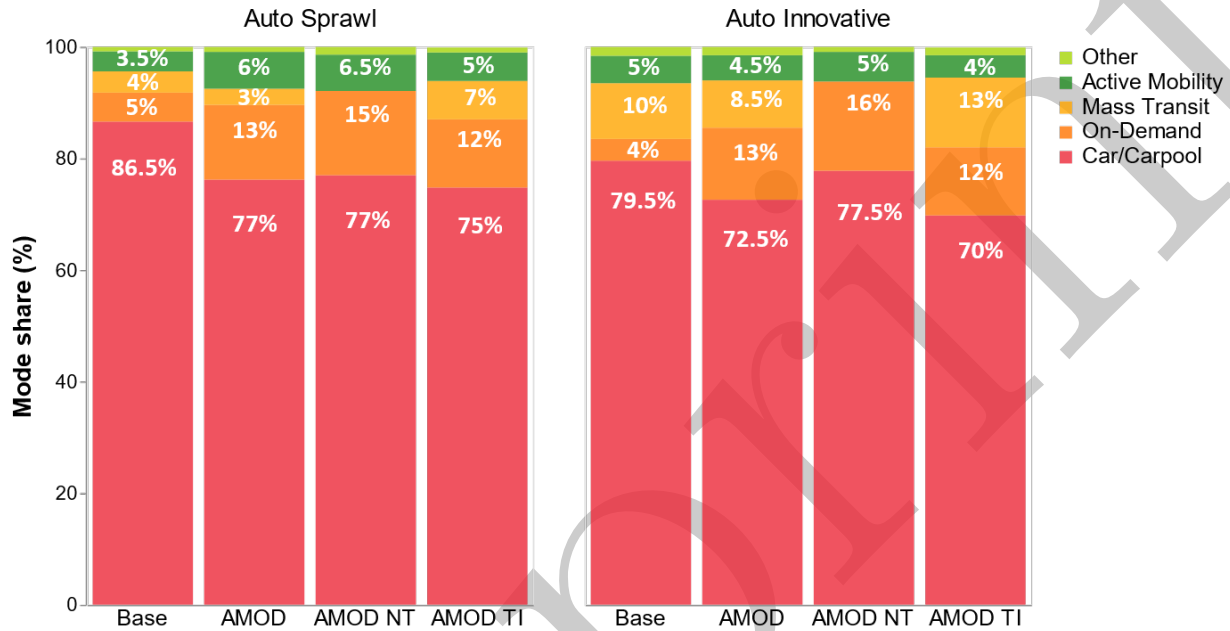


FIGURE 14 Mode shares across the four scenarios in *Auto Sprawl* and *Auto Innovative*

435 Under *AMoD Intro* in *Auto Sprawl*, on-demand trips increase by 170%, private car trips decrease
 436 by 7%, while transit ridership decreases by 21%. In *Auto Innovative*, however, on-demand trips
 437 increase by 240%, while private car trips and transit ridership decrease by 7% and 13%, respectively.
 438 Were transit to be abandoned (*AMoD No Transit* scenario), on-demand trips would only increase
 439 by 200% while private car trips would decrease by 5% in *Auto Sprawl*. On-demand trips would
 440 increase by 310% in *Auto Innovative* however, while private car trips would reduce by 1.3%. The
 441 lower impact on private car usage in *Auto Innovative* compared to *Auto Sprawl* under *AMoD No*
 442 *Transit* is due to the greater shift to Carpool from Mass Transit in *Auto Innovative*.

443 With the integration of AMoD and transit, on-demand trip request increases are moderated
 444 while transit cannibalization is reversed. In *Auto Sprawl*, on-demand trips increase by 140% and
 445 private car trips decrease by 13% but transit ridership increases by 88%. In *Auto Innovative*, on-
 446 demand trips increase by 220%, private car trips decrease by 11%, while transit ridership increases
 447 by 28% under *AMoD Transit Integration*.

448 Thus, we observe that a low-cost AMoD service results in a significant initiation of trips that
 449 would otherwise not exist due to the increased accessibility of the automated service. This effect
 450 is more pronounced in *Auto Sprawl* (less dense, minimal mass transit availability) than in *Auto*
 451 *Innovative* (dense, congested, moderate mass transit). Given that *Auto Sprawl* cities already have
 452 a low mass transit modeshare, they could potentially dis-invest in mass transit with a manageable
 453 demand for AMoD. In *Auto Innovative*, however, the removal of transit does not appear sustainable,
 454 as we find that AMoD cannot efficiently service all the erstwhile transit trips. Adopting a strategy
 455 whereby AMoD complements mass transit moderates the demand for AMoD while boosting transit

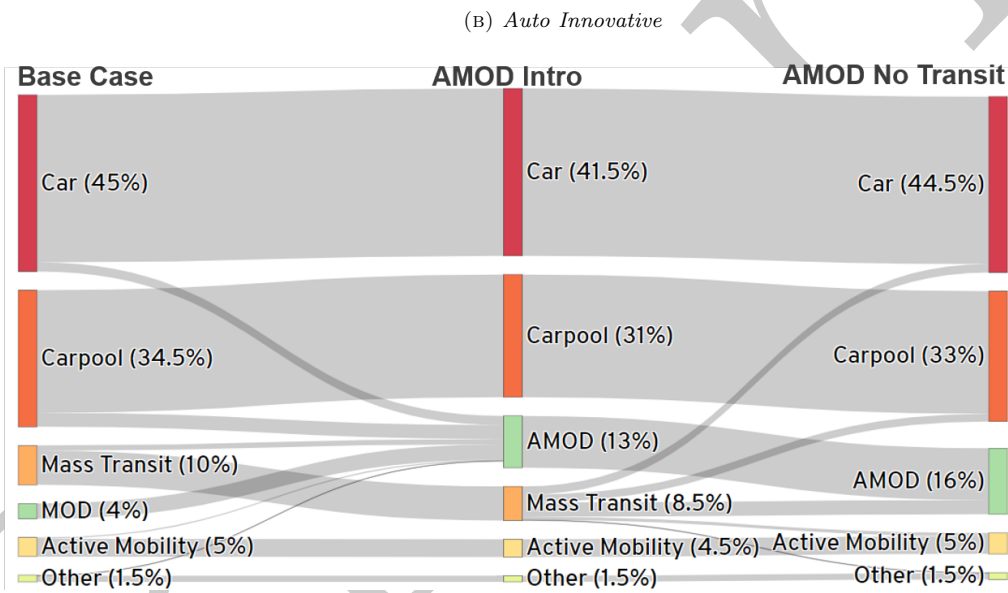
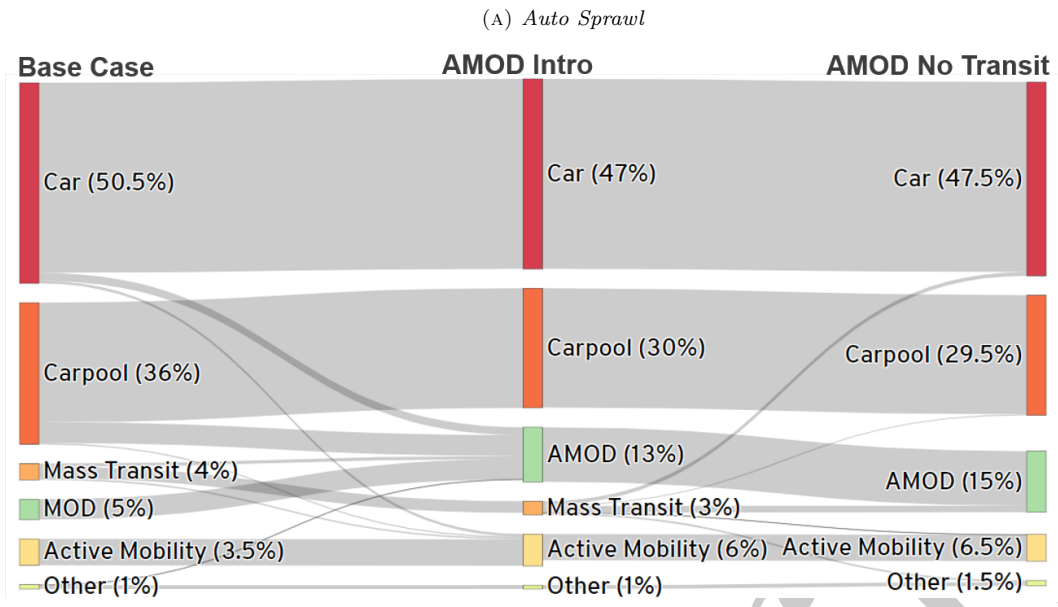


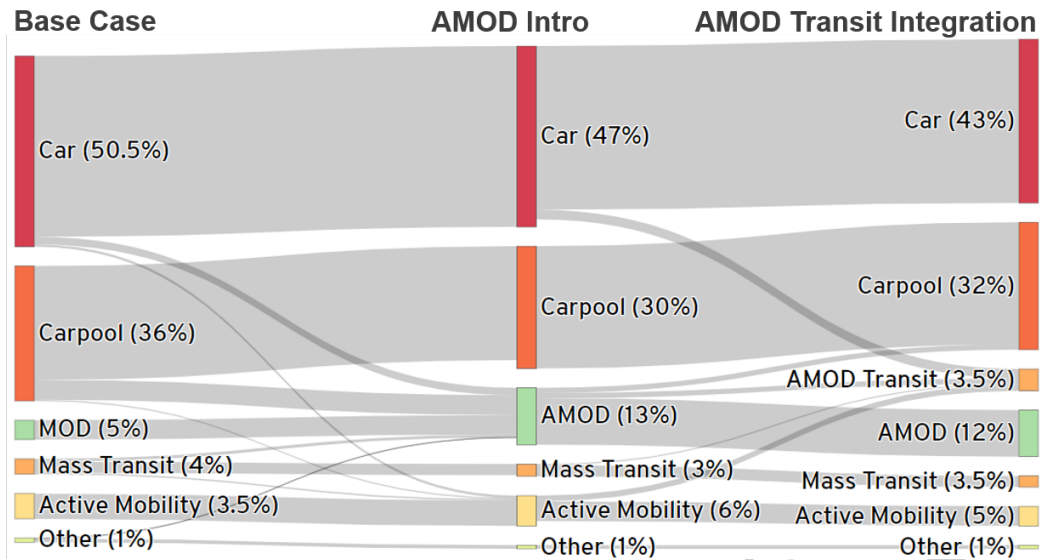
FIGURE 15 Modal shifts from *Base Case* → *AMoD Intro* → *AMoD No Transit* in (A) *Auto Sprawl* AND (B) *Auto Innovative*

456 ridership. Thus, transit integration promises to be a more viable path than pure competition
 457 between transit and AMoD, especially for *Auto Innovative* cities such as Boston, Chicago and
 458 Toronto. Overall, AMoD results in a reduction of private car usage. Another benefit we find
 459 from the presence of AMoD is that it increases active mobility usage in *Auto Sprawl*. People who
 460 formerly drove alone, for instance, on switching to AMoD, would now walk for subtours (such as
 461 going to lunch at work) as their cars would no longer be available.

462 *3.2 Network level of service*

463 From the high-fidelity supply simulations, we compute the following systemic metrics to assess
 464 the impact of AMoD under various scenarios on vehicle kilometers traveled (VKT) and congestion,

(A) *Auto Sprawl*



(B) *Auto Innovative*

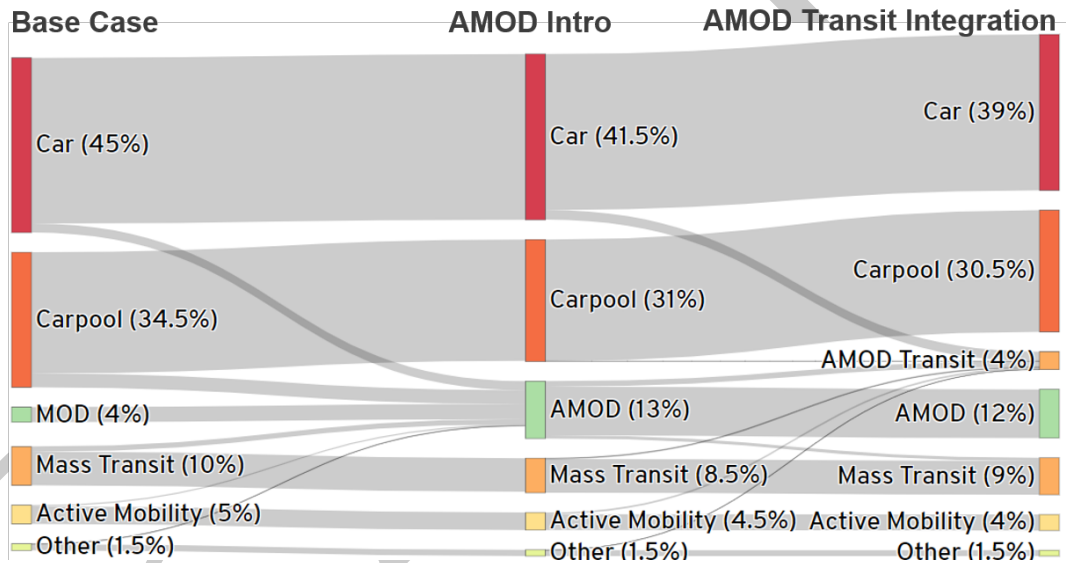


FIGURE 16 Modal shifts from *Base Case* → *AMoD Intro* → *AMoD Transit Integration* in (A) *Auto Sprawl* AND (B) *Auto Innovative*

465 as measured by the travel time index (TTI).

466 3.2.1 Vehicle kilometers traveled

467 The VKT is calculated as the distance traveled by all passenger vehicles (private cars and on-
468 demand fleet) over the course of an entire day (Figure 17). In general, the impacts of AMoD are
469 greater in *Auto Innovative* than in *Auto Sprawl*, due to the differences in density and, consequently,
470 demand. Private car usage decreases more significantly in *Auto Sprawl*, however.

471 Under *AMoD Intro*, VKT increases by 9% in *Auto Sprawl* and by 26% in *Auto Innovative*. With
472 the removal of transit under *AMoD No Transit*, VKT increases by 14% in *Auto Sprawl*. Under the

TABLE 12 Trip impacts of the *AMoD Intro*, *AMoD No Transit* and *AMoD Transit Integration* scenarios with respect to *Base Case* in *Auto Sprawl* and *Auto Innovative*.

Scenario	Trip type	<i>Auto Sprawl</i>		<i>Auto Innovative</i>	
		% Change	No. trips ($\times 10^3$)	% Change	No. trips ($\times 10^3$)
<i>AMoD Intro</i>	Person	2.9	375	1.7	262
	Passenger-vehicle	4	246	4	433
	Mass transit	-21	-77	-13	-211
<i>AMoD No Transit</i>	Person	3.6	345	-0.1	-21
	Passenger-vehicle	5	353	12	1270
	Mass transit	-100	-366	-100	-1581
<i>AMoD Transit Integration</i>	Person	2.5	248	2.3	366
	Passenger-vehicle	0.2	13	2.8	290
	Mass transit	88	322	28	450

473 same scenario in *Auto Innovative*, the VKT increases by 39%, as on-demand VKT triples. This
474 result further highlights the deleterious impacts under this scenario in a dense auto-dependent city
475 with a mild reliance on mass transit. In the *AMoD Transit Integration* case, VKT increases by
476 13% in *Auto Sprawl*, which is not significantly different than under *AMoD No Transit*. Similarly, in
477 *Auto Innovative*, VKT increases by 29% under *AMoD Transit Integration* (compared to an increase
478 of 26% under *AMoD Intro*). Thus, integration does not reduce VKT impacts in auto-dependent
479 cities.

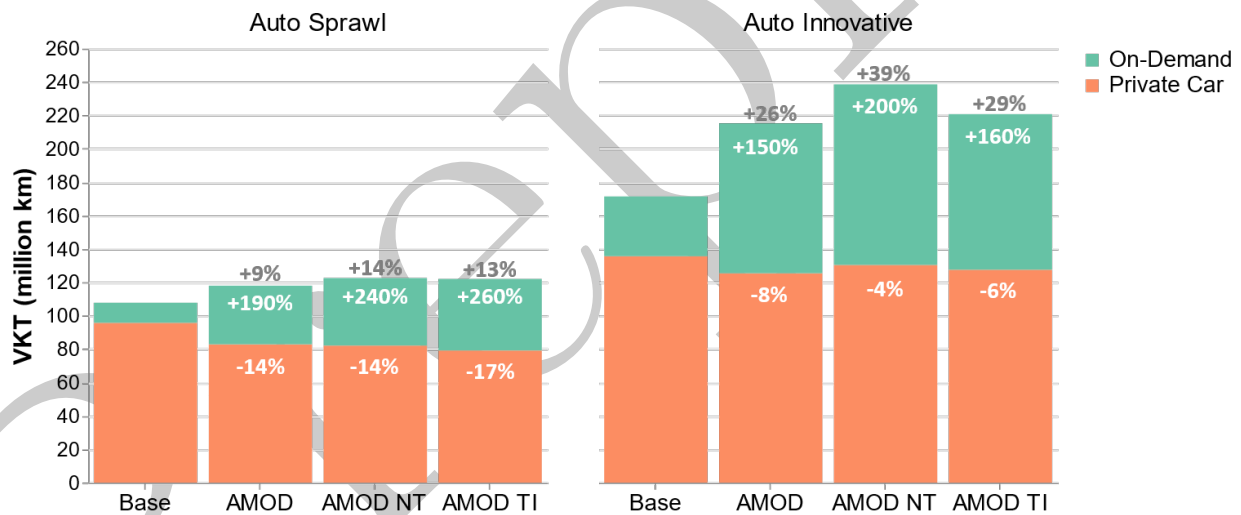


FIGURE 17 Vehicle kilometers traveled

480 3.2.2 Travel Time Index

481 In these simulations, the TTI is computed as the distance-weighted average of the ratio of
482 in-simulation trip time to free-flow trip time for all passenger-vehicle trips:

$$483 \quad TTI = \frac{\sum_{i \in T} d_i \frac{tt_i^{\text{sim}}}{tt_i^{\text{ff}}}}{\sum_{i \in T} d_i}, \quad (3)$$

484 where d_i , tt_i^{sim} and tt_i^{ff} are the distance, in-simulation time and free-flow time, respectively, for each
passenger vehicle trip i within time period T . Thus, in the hypothetical case where the TTI is 1,

485 average daily traffic is similar to free-flow and there is consequently no congestion. A TTI of 1.55
 486 over the entire day would imply that on average, trips would require 55% more time than under
 487 free-flow conditions. The TTI realized by time-of-day for both cities is shown in Figure 18. In
 488 Figure 19, we depict the TTI trends (averaged over the entire day).

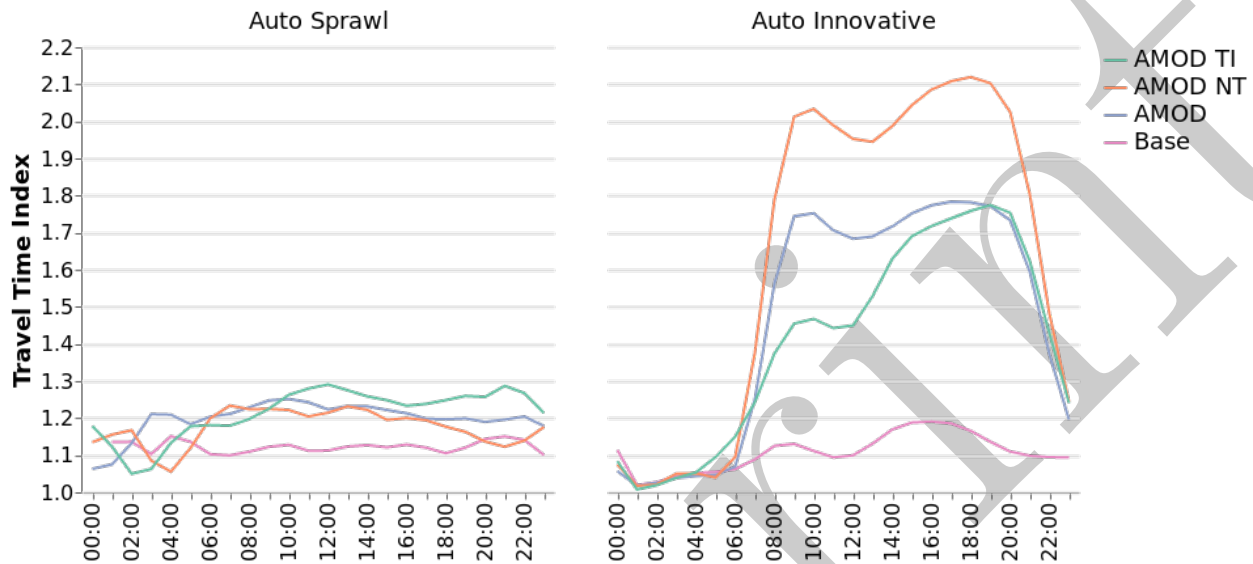


FIGURE 18 Travel time index (distance-weighted average by the hour).

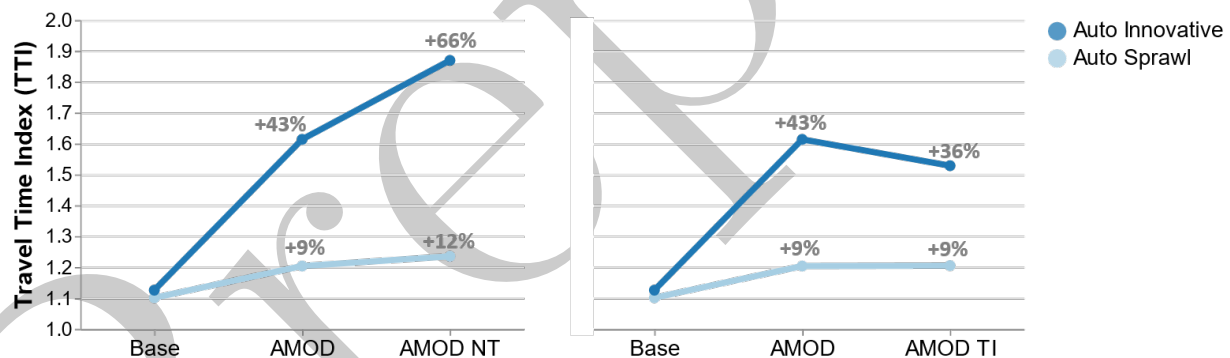


FIGURE 19 Travel time index (distance-weighted average over the entire day).

489 Under *AMoD Intro*, congestion (as measured by TTI) increases in both cities—by 9% in *Auto*
 490 *Sprawl* and by 43% in *Auto Innovative*. The impact is thus nearly five times greater in *Auto In-*
 491 *novative* due to its density. With the abandonment of transit in the *AMoD No Transit* scenario,
 492 congestion increases by 12% in *Auto Sprawl*, which is a mild change compared to the 9% increase
 493 under *AMoD No Transit*. In *Auto Innovative*, however, the abandonment of transit leads to un-
 494 sustainable gridlock, as congestion increases by 66% and travel times on average are nearly double
 495 those under free-flow conditions.

496 Given the limited availability of transit in *Auto Sprawl*, integration does not modify the effects
 497 of AMoD on congestion. However, transit integration mitigates the congestion impacts of AMoD
 498 in the denser *Auto Innovative*, as the TTI increases by 36% under *AMoD Transit Integration*
 499 (compared to 43% under *AMoD Intro*).

500 In summary, we find that AMoD under any strategy will increase VKT and congestion in either

city type. However, *Auto Sprawl* experiences lower impacts. In all three AMoD scenarios in this prototype city, VKT does not increase beyond 14% and congestion does not increase beyond 12%. In *Auto Innovative*, the removal of transit is not viable, as it potentially results in gridlock. Across the AMoD scenarios studied, *Auto Innovative* fares best under *AMoD Transit Integration*. This further suggests that dense metropolitan areas with significant mass transit infrastructure are better off implementing policies that encourage AMoD to complement mass transit in order to mitigate the deterioration of network performance. However, sprawling cities with low transit penetration can afford to not integrate these services while still maintaining a reasonable network performance.

3.3 Energy and emissions

As earlier discussed, the energy is computed for each vehicle during simulation, using the speeds and accelerations in each successive timeframe. For the AMoD scenarios, we have assumed the on-demand fleet is fully electrified. This represents an optimistic environmentally-friendly future where such a regulation is imposed.

Following each simulation, we calculate the well-to-wheels (primary) energy by factoring the production, transmission and distribution losses. In both cities, we use the U.S. average energy-to-fuel ratio of 1.17 and 1.05 for gasoline and diesel, respectively. For electricity, the primary-to-generated energy ratio is 2.99. These ratios were obtained from the analyses conducted by Gençer and O’Sullivan (2019). The simulation output (secondary) energy consumption results are then multiplied by the respective ratios for each fuel to obtain the primary energy consumption:

$$E_j^{\text{pri}} = \sum_{j \in F} \sum_{i \in T} \alpha_j E_{ij}^{\text{sec}} \quad (4)$$

where F is the set of energy sources {gasoline, diesel, electric}, α_j the respective primary energy factors, E_j^{pri} the primary energy in GWh and E_{ij}^{sec} the secondary energy in GWh for each energy source j , each passenger vehicle, bus or train trip i , and over time period T .

For reporting purposes, we consider the primary energy for both gasoline- and diesel-powered vehicles as “Fuel” and that for electric vehicles as “Electricity”. The primary energy across all four scenarios in both cities is shown in Figure 20.

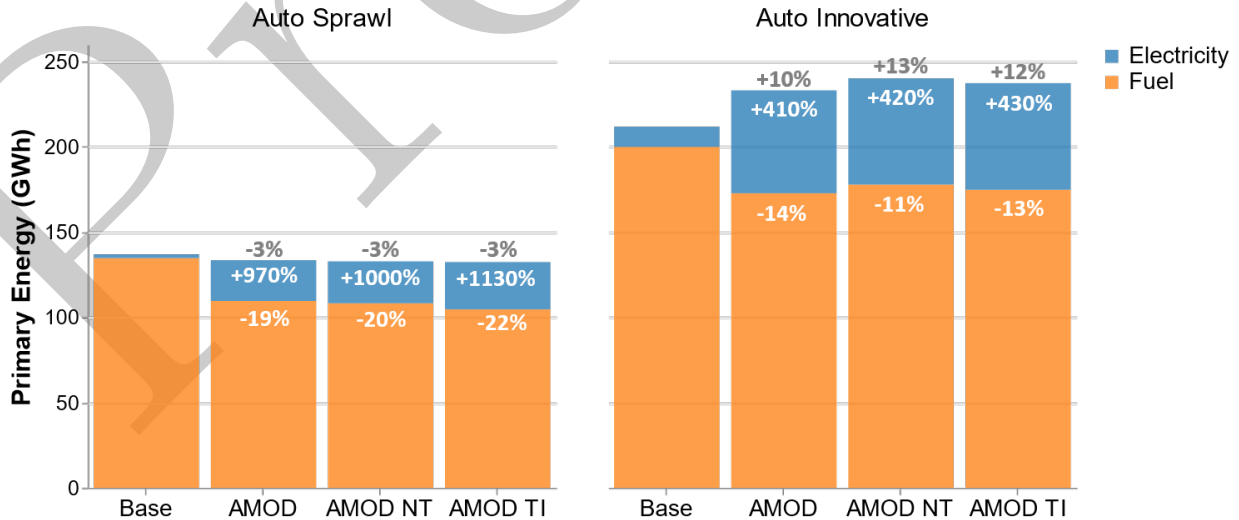


FIGURE 20 Primary (well-to-wheels) energy consumption across the scenarios. In both cities, we assume the AMoD fleet are fully electrified.

526 Similarly, to obtain the greenhouse gas (GHG) emissions, we multiply primary energy values
 527 by U.S. nationwide average energy source-specific GHG emissions intensities (accounting for both
 528 generation and usage). The values are 331 gCO₂e/kWh (gasoline intensity) and 438 gCO₂e/kWh
 529 (electricity intensity) (Gençer and O’Sullivan, 2019). We make the assumption that the gasoline
 530 intensity is valid for diesel. Thus, we obtain:

$$GHG_j = \sum_{j \in F} \beta_j E_j^{\text{pri}} \quad (5)$$

531 where β_j are the emissions intensities {331, 331, 438} gCO₂e/kWh for gasoline, diesel and electricity,
 532 respectively, while GHG_j are the emissions in MTCO₂e for each energy source j . Again, we report
 533 gasoline and diesel emissions in the “Fuel” category and electric vehicle-based emissions in the
 534 “Electricity” category (Figure 21).

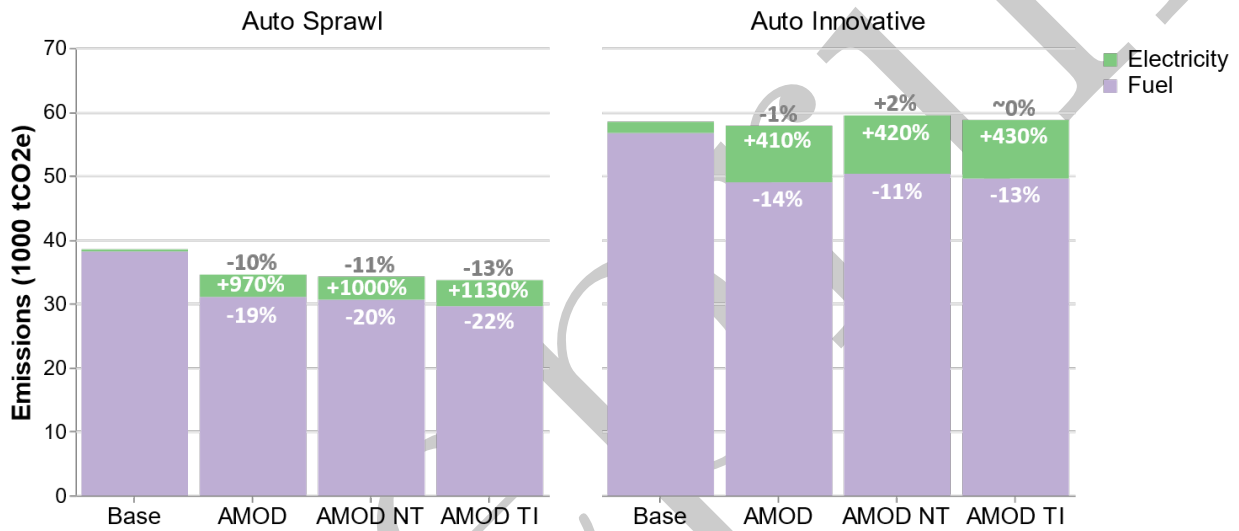


FIGURE 21 Total (well-to-wheels) emissions impacts across the scenarios. In both cities, we assume the AMoD fleet are fully electrified.

535 Under *AMoD Intro*, primary energy consumption reduces by 3% in *Auto Sprawl*. Conversely,
 536 in *Auto Innovative*, energy consumption increases by 10%. In *Auto Sprawl*, energy consumption is
 537 similarly impacted under all the AMoD Scenarios—a 3% reduction compared to *Base Case*. How-
 538 ever, under *AMoD No Transit*, energy consumption increases by 13% in *Auto Innovative*. Transit
 539 integration only slightly increases the energy expenditure in *Auto Innovative* (12%), compared to
 540 under *AMoD Intro* (10%).

541 The trends in GHG emissions are similar to those for energy. In *Auto Sprawl*, emissions are
 542 reduced by 10%, 11% and 13% under *AMoD Intro*, *AMoD No Transit* and *AMoD Transit Integra-*
 543 *tion*, respectively. In *Auto Innovative*, the emissions impacts are less significant at -1%, 2% and
 544 0%.

545 Thus, we see that in the optimistic case of total AMoD electrification, overall energy consump-
 546 tion increases significantly in dense auto-dependent cities. Meanwhile, electrification does lead
 547 to energy savings and even greater reductions in emissions in low-density auto-dependent cities.
 548 Further reductions in the emissions intensities of electricity generation would improve outcomes in
 549 *Auto Innovative* cities.

4 Conclusion

We have developed an approach for generating prototype cities that are representative of urban typologies discovered from recent data mining effort. The prototype city generation methodology consists of the synthesis, modeling and calibration of: (i) population and land-use, (ii) demand, and (iii) road and transit network supply. Using this method, we generated two prototype cities, *Auto Sprawl* and *Auto Innovative*, which represent most cities in the United States: auto-dependent and wealthy. While *Auto Sprawl* is less dense (in terms of population and network) with a higher car mode share, *Auto Innovative* is denser, more populated and has greater mass transit availability and mode share.

Our novel integrated framework allows for high-fidelity large-scale agent-based simulation which incorporates demand-supply integration via “day-to-day learning”. Using SimMobility MidTerm, we simulated three automated mobility-on-demand (AMoD) strategies in both of these cities. The *AMoD Intro* scenario addressed a future where AMoD replaces existing MoD services. In *AMoD No Transit*, abandonment of transit was explored in contrast to the *AMoD Transit Integration* scenario, where AMoD was restricted to local circulation and access/egress to mass transit.

The simulation results we obtained indicate that merely introducing AMoD in place of existing ridehailing and ridesourcing will be detrimental to mass transit, as it cannibalizes transit ridership by up to 21%. It also increases VKT by 9% in *Auto Sprawl* but by 26% in *Auto Innovative*. While AMoD reduces the energy consumption and emissions in *Auto Sprawl* under the assumption of full electrification, it increases the energy consumption in *Auto Innovative*. We also found that while AMoD can substitute mass transit in *Auto Sprawl*, it cannot sustainably do so in denser cities, as it would result in gridlock. Ultimately, the impact of AMoD can be mitigated through policy interventions, such as transit integration. Under such a scenario, transit cannibalization is reversed in *Auto Innovative*, while transit ridership is even further boosted in *Auto Sprawl*. Further mitigating effects on congestion are clearly observed in *Auto Innovative*.

Even with significant AMoD-transit integration, AMoD still increases VKT and congestion. From these initial results, it appears that transit removal could be a viable strategy for *Auto Sprawl* cities, as it would have the greatest environmental impact. For *Auto Innovative* cities, integrating transit with AMoD provides the best outcome. With further cost-benefit analyses, however, informed policy recommendations that would be useful to cities in the respective typologies could be obtained.

As earlier noted, several studies have attempted to quantify the demand, congestion, energy and emissions impacts of AMoD under various strategies with mixed results. Our results here indicate that urban form, population and behavior are critical to AMoD outcomes. The simulation of possible scenarios in a representative prototype city can potentially save costs for a metropolitan planning agency considering viable approaches in coming to terms with an imminent AMoD future. While outcomes in a specific city are not guaranteed to be exactly the same as those obtained from a corresponding prototype city, they can be expected to follow a similar pattern.

The strength of our prototype city simulation approach lies in the fact that we can analyze the impacts of various urban mobility scenarios by typology, rather than providing results from a single city that might be irrelevant to other cities. Thus, even further simulations of interest can be examined in a given prototype in order to gain further insight into the impacts of AMoD on cities in the corresponding typology. In future work, we plan to simulate other typologies and also explore other AMoD-related interventions. In order to further validate the archetype city approach of selecting a network from a real city near the typology centroid, we will explore a quantification of the uncertainty of impact of the network structure. We are currently investigating the effects of possible changes in future private vehicle ownership as AMoD becomes more prevalent. Reductions

597 in car ownership can potentially mitigate the VKT impacts of AMoD. However, further concurrent
598 policies might be required to manage network performance for best outcomes. Thus, congestion
599 pricing interventions are also of interest for future research, as these hold promise for effectively
600 tackling congestion and consequent GHG emissions not only in tomorrow's cities but also in those
601 of the present day.

602 **Acknowledgments**

603 This work was supported through the MIT Energy Initiative's Mobility of the Future study.
604 The authors would also like to thank collaborators at the Singapore MIT Alliance for Research and
605 Technology for their technical support in adapting the SimMobility platform for our needs. We are
606 grateful to the anonymous reviewers whose comments and suggestions increased the quality of this
607 manuscript.

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776 Appendix A Population and Land-use synthesis

777 The tools we have created for generating a prototype city are available upon request at <https://github.com/jimioke/virtual-city-generator>. The outputs are formatted to SimMobility
778 specifications.
779

780 Appendix A.1 Assignment of household and work/education locations

781 We aggregate land use categories into these: low residential (L), high residential (H), commer-
782 cial (C), industrial (I), education (E), open land (O). More categories can be used if available,
783 but the above is the highest level specification in our generalized approach.

784 Households w are then assigned as follows:

- 785 1. Allocate weights:¹¹

$$HH(w_L, w_H, w_C, w_I, w_E, w_O) = (8, 10, 4, 1, 0, 0) \quad (\text{A.1})$$

- 786 2. Grid the map and assign weights to each cell w_c^{HH} given its prevailing land use category

- 787 3. Normalize cell weights $p_{c(SAL)}^{HH}$ in each second administrative level (SAL)

$$p_{c(SAL)}^{HH} = \frac{w_c^{HH}}{\sum_{c \in SAL} w_c^{HH}} \quad (\text{A.2})$$

¹¹We note that if totals for work, education and households by TAZ or other level are available, the weights do not have to be arbitrarily assigned. For the numbers shown (*Auto Sprawl*), we used a linear program to obtain the household and employment weights, given that the unit totals were available.

788 4. Number of households in each cell given by:

$$N_{HH}^{c(SAL)} = p_c^{SAL} \cdot N_{HH}^{SAL} \quad (A.3)$$

789 where N_{HH}^{SAL} is the number of households in each SAL

790 5. Randomly sample to locate households in cell centroids for all SAL , while controlling for SAL
791 totals.

792 Work and education allocation to grid cells in network:

1. We obtain the numbers of firms and schools in each SAL then assign weights as follows:

$$WORK(w_L, w_H, w_C, w_I, w_E, w_O) = (1, 2, 10, 5, 3, 1) \quad (A.4)$$

$$EDU(w_L, w_H, w_C, w_I, w_E, w_O) = (0, 0, 0, 0, 1, 0) \quad (A.5)$$

793 2. Assign weights to cells for work and education: w_c^{WORK}, w_c^{EDU}

794 3. Find $p_{c(SAL)}^{WORK}$ and $p_{c(SAL)}^{EDU}$ as before

795 4. Find $N_{WORK}^{c(SAL)}$ and $N_{EDU}^{c(SAL)}$ as before while controlling for total student and worker totals.

796 The partitioning of *Auto Sprawl* is shown in Figure A.22 and Figure C.25. The education
797 locations are shown in Figure A.24.

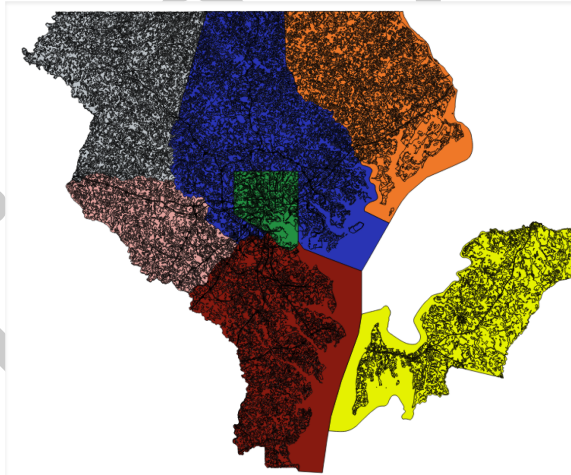


FIGURE A.22 Second Administrative Levels in *Auto Sprawl*

798 Appendix A.1.1 Cost estimates

799 There are three key inputs at the zonal level required for running *SimMobility PreDay*: zonal
800 attributes (size, location, points of interest, etc.) and zone-zone travel time and cost data (skim
801 matrices). Estimates of these were obtained for each archetype city. The operating cost and travel
802 time variables in the skim matrices are described below in Table B.17. In cases where these data are
803 not publicly available, we can readily estimate values from the network attributes.



FIGURE A.23 Gridding *Auto Sprawl* for household, employment and education allocation

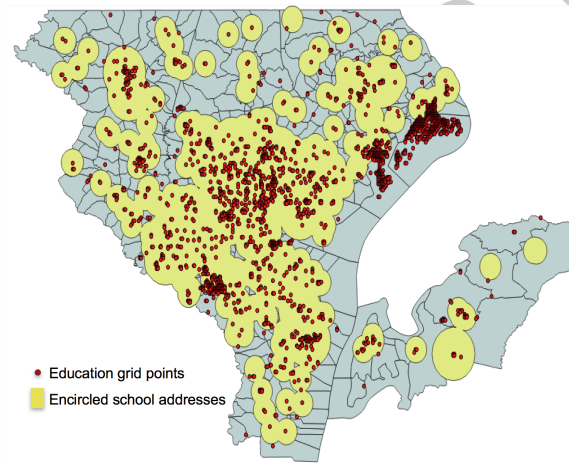


FIGURE A.24 Education allocation for *Auto Sprawl*

Parameters	Description	Notes
distance	Zone-zone distance (km)	
car_cost_erp	Road pricing cost (monetary units)	
car_ivt	Car in-vehicle time (hours)	
pub_ivt	Public transit in-vehicle time (hours)	
pub_walkt	Public transit access-egress walking time (hours)	
pub_wtt	Public transit waiting time (hours)	
pub_cost	Public transit cost between zones (monetary units)	
avg_transfer	Average number of public transit transfers between zones	
pub_out	Public transit out-of-vehicle travel time (hours)	Sum pub_walkt and pub_wtt

TABLE A.13 Operating cost parameters (Skim matrix elements) in SimMobility

804 **Appendix B PreDay Models**

805 *Appendix B.0.1 Example: Day Pattern Binary Choice Model (dpb)*

806 The Day Pattern Binary model determines if the individual makes any tours in a given day.
 807 The model takes the form of a binary logit, where the choices are either to travel and to stay at
 808 home. It takes personal characteristics of the individual and the inclusive value of the Day Pattern
 809 Tours model as inputs. A decision to travel will lead to Day Pattern Tours model. The utility
 810 specification of the *dpb* model is specified as Equation B.1.

$$\begin{aligned}
 V_{not\ travel} &= 0 \\
 V_{travel} &= \beta_{ASC} + \beta_{g,m}S_g + \sum_{i=1}^6 \beta_{emp,i,m}S_{emp,i} + \sum_{i=1}^5 \beta_{edu,i,m}S_{edu,i} + \sum_{i=1}^6 \beta_{age,i,m}S_{age,i} + \beta_I I_{dpt}
 \end{aligned}
 \tag{B.1}$$

Variable Name	representation	Domain
Travel time of mode m	T_m	continuous
Cost of mode m	C_m	continuous
Gender	S_g	binary
Employment		
full-time worker	$S_{emp,1}$	binary
part-time worker	$S_{emp,2}$	binary
retired	$S_{emp,3}$	binary
disabled	$S_{emp,4}$	binary
homemaker	$S_{emp,5}$	binary
unemployed	$S_{emp,6}$	binary
Type of student		
preschool	$S_{edu,1}$	binary
Kindergarten - 8 th grade	$S_{edu,2}$	binary
9 th grade - 12 th grade	$S_{edu,3}$	binary
undergraduate	$S_{edu,4}$	binary
graduate	$S_{edu,5}$	binary
Age category		
under 20	$S_{age,1}$	binary
20 - 25	$S_{age,2}$	binary
25 - 35	$S_{age,3}$	binary
36 - 50	$S_{age,4}$	binary
51 - 65	$S_{age,5}$	binary
65 +	$S_{age,6}$	binary
Logsum from the day pattern tour model	I_{dpt}	continuous

TABLE B.14 Day Pattern Binary Choice Model Parameters

811

812 *Appendix B.0.2 Example: Work Tour Mode Choice Model (tmw)*

813 The utility specification of the *tmw* model is specified as Equation B.2. The subscript m stands
 814 for the available modes in the choice set. The general availability are set according to scenarios
 815 as introduced in Table 9. Private bus is only available to students and workers to their usual
 816 workplaces (if any) in Central Business District. Individual specific availability of driving alone

817 and motorcycle are set according to vehicle ownership and license.

$$V_m = \beta_{ASC,m} + \beta_{T,m}T_m + \beta_{C,m}C_m + \beta_{g,m}S_g + \beta_{inc,m}S_{inc} + \beta_{tran,m}S_{tran} + \sum_{i=1}^6 \beta_{emp,i,m}S_{emp,i} + \sum_{i=1}^5 \beta_{edu,i,m}S_{edu,i} + \sum_{i=1}^4 \beta_{veh,i,m}S_{veh,i} \quad (B.2)$$

Variable Name	Symbol	Domain
Travel time of mode m	T_m	continuous
Cost of mode m	C_m	continuous
Gender	S_g	binary
Household income	S_{inc}	continuous
Transit card	S_{tran}	binary
Employment		
full-time worker	$S_{emp,1}$	binary
part-time worker	$S_{emp,2}$	binary
retired	$S_{emp,3}$	binary
disabled	$S_{emp,4}$	binary
homemaker	$S_{emp,5}$	binary
unemployed	$S_{emp,6}$	binary
Type of student		
preschool	$S_{edu,1}$	binary
Kindergarten - 8 th grade	$S_{edu,2}$	binary
9 th grade - 12 th grade	$S_{edu,3}$	binary
undergraduate	$S_{edu,4}$	binary
graduate	$S_{edu,5}$	binary
Number of household vehicles		
No vehicle	$S_{veh,1}$	binary
1 vehicle	$S_{veh,2}$	binary
2 vehicle	$S_{veh,3}$	binary
3 and 3+ vehicle	$S_{veh,4}$	binary

TABLE B.15 Work Tour Mode Choice Model Parameters

818

819 Appendix B.0.3 Example: Shopping Tour Mode Destination Choice Model (*tmds*)

820 The utility specification of the *tmds* model is specified as Equation B.3. The subscript m stands
821 for the available modes in the choice set and d stands for the available destination in the choice set.
822 At most there would be (*number of modes* \times *number of TAZ*) alternatives in the choice set. The
823 general availability of modes are set according to scenarios as introduced in Table 9. Private bus is
824 not available. Individual specific availability of driving alone and motorcycle are set according to
825 vehicle ownership and license. Mass transit is set according to the network.

$$V_{m,z} = \beta_{ASC,m} + \beta_{T,m}T_m + \beta_{C,m}C_m + \log(Z_{area,d} + \beta_{emp}Z_{emp,d} + \beta_{pop}Z_{pop,d}) \quad (B.3)$$

826

827 Appendix B.0.4 Example: Intermediate Stop Generation (*isg*)

828 The utility specification of the *isg* model is specified as Equation B.4. The Intermediate Stop
829 Generation model is a nested logit quit/no-quit model, whereby a no-quit choice results in a new

Variable Name	Symbol	Domain
Travel time of mode m	T_m	continuous
Cost of mode m	C_m	continuous
Area of zone d	$Z_{area,d}$	continuous
Employment of zone d	$Z_{emp,d}$	integer
Population of zone d	$Z_{pop,d}$	integer

TABLE B.16 Shop Tour Mode Destination Choice Model Parameters

830 intermediate stop. While one of the nests includes only the quit option, the other includes the
831 other available activity purposes. Individual characteristics, tour purpose, and remaining time
832 window—determined based on the start or end time of the primary activity, and preceding or
833 successive stops—are included as variables in the model. Availability of stop purposes is determined
834 based on the outputs of the Day Pattern Stops model. Stops are scheduled sequentially. V_{work} is
835 an example of the structural utility of performing a work activity. On the other hand, V_{quit} is the
836 structural utility of stopping intermediate stops and is set to zero for reference.

$$\begin{aligned}
V_{quit} &= 0 \\
V_{work} &= \beta_{ASC,work} + \beta_{g,work} S_g + \beta_{in,work} Z_{in} Z_{window} + \beta_{out,work} Z_{out} Z_{window} + \\
&\quad \sum_{i=1}^3 \beta_{in,stop,i} Z_{in} Z_{stop,i} + \sum_{i=1}^3 \beta_{out,stop,i} Z_{out} Z_{stop,i} + \sum_{i=1}^4 \beta_{prim,i,work} Z_{prim,i}
\end{aligned} \tag{B.4}$$

Variable Name	Symbol	Domain
Gender	S_g	binary
Whether the half tour is inbound	Z_{in}	binary
Whether the half tour is outbound	Z_{out}	binary
Available time window	Z_{window}	continuous
The stop to be decided would be		
the first stop	$Z_{stop,1}$	binary
the second stop	$Z_{stop,2}$	binary
the third stop	$Z_{stop,3}$	binary
Primary activity of the tour		
work	$Z_{prim,1}$	binary
education	$Z_{prim,2}$	binary
shop	$Z_{prim,3}$	binary
other	$Z_{prim,4}$	binary

TABLE B.17 Work Tour Mode Choice Model Parameters

837

838 Appendix C Day-to-day learning

839 In this section, we explain how we calibrate link travel time information in detail. Our simulator,
840 SimMobility, uses two travel time tables during simulation: default link travel time and historical
841 link travel time. The default link travel time provides link travel time calculated by free flow speed
842 and historical link travel time, including travel time at a 5-min interval, is from previous simulation
843 result. SimMobility will try to look for travel time information at a certain interval from historical

844 link travel time first. If it cannot find historical link travel time, it will use free flow travel time
845 from default link travel time. The problem with historical link travel time is that its information
846 is not necessarily accurate for current demand. For some high congestion links, their travel time
847 in historical link travel time table may be very low, which will cause more people choosing routes
848 containing those links and thus resulting in gridlock.

849 The purpose of the day-to-day learning process is to update historical link travel times. The
850 historical link travel times are an input to the supply simulation and are used in the private traffic
851 and public transit route-choice models. Having accurate and representative historical link travel
852 times is important for the simulation results to match the actual observations.

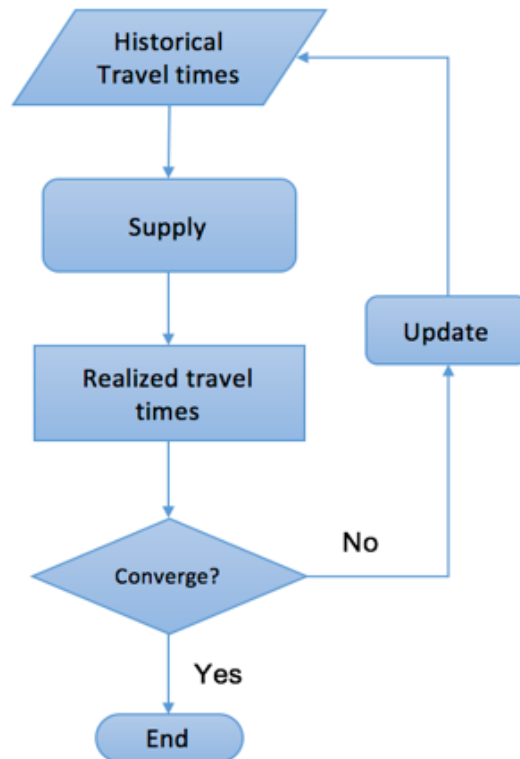


FIGURE C.25 The workflow of day-to-day learning. We first do a simulation with current historical link travel time table and get realized link travel time table as result. The new estimate of historical link travel times are obtained via a weighted combination of the initial historical link travel times and realized link travel times. Specifically, we compare two tables, weight the realized link travel time as 0.25 and initial historical link travel time as 0.75 to calculate a new estimate of the historical link travel time. Finally, we upload this new estimate of the historical link travel time table to the database to replace the older historical link travel time table and then start the next iteration. This process is repeated until the link travel times from the simulation are consistent with the historical link travel times.

853