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*Green and Smart: Perspectives of City and Water Agency Officials in Pennsylvania toward Adopting New Infrastructure Technologies for Stormwater Management*

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1 **Green and/or Smart? Perspectives of City and Water Agency Officials in Pennsylvania**  
2 **Towards Adopting New Infrastructure Technologies for Stormwater Management**

3

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12

13 **Abstract**

14 Stormwater runoff associated with urbanization is one of the main factors hindering continued  
15 progress towards cleaner water. The state of Pennsylvania has older cities and towns, ample  
16 water resources, and water quality problems that are all connected by aging, existing  
17 infrastructure for stormwater management. As older cities and towns begin to invest in new  
18 infrastructure, they have two, relatively new technology options: first, green infrastructure, and  
19 second, “smart” infrastructure, which adds sensors, controls, and communications. This paper  
20 examines how officials from cities and water agencies perceive these two solutions for their  
21 current stormwater management problems. Semi-structured interviews were conducted with  
22 officials from the five cities and towns throughout Pennsylvania that have enacted stormwater  
23 fees to fund further infrastructure investment. Responses indicate that the officials perceive green  
24 infrastructure as performing inconsistently across its life cycle and requiring labor-intensive  
25 maintenance. These officials hold positive views about smart infrastructure but want more  
26 information on performance and costs to reduce runoff. This study suggests research and tools  
27 that would help these officials address their stormwater management problems.

28

29 **Key words:** green infrastructure, smart infrastructure, sustainable water management,  
30 stormwater, technology adoption

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33

34 **Introduction**

35 Stormwater runoff associated with urbanization and increased impervious areas has well-  
36 documented negative impacts on water quality, watersheds, and aquatic systems (NRC 2008;  
37 EPA 2011; Brabec 2002; Arnold and Gibbons 1996; Lee 2003; Thurston 2006). The U.S.  
38 Environmental Protection Agency (EPA) has issued progressively stricter criteria on stormwater  
39 runoff management through federal regulations for municipal separate storm sewer systems  
40 (MS4), combined sewer overflows (CSOs), and Total Maximum Daily Loads (TMDLs). In  
41 addition to more traditional infrastructure approaches such as upgrading existing sewers and  
42 adding storage and treatment capacity – the so-called “gray” approach – cities and water  
43 agencies have two relatively new technology options for stormwater management.

44 The first approach to meeting these regulations, strongly supported by the U.S. EPA and  
45 environmental organizations over the past ten years, has been to encourage the use of green  
46 infrastructure (GI) that uses decentralized systems to detain or infiltrate water, either by building  
47 new systems or retrofitting existing ones. Numerous researchers have also argued that GI can  
48 provide substantial benefits to watershed restoration and the community (Wise 2008; Gaffin,  
49 Rosenzweig, and Kong 2012). As a result, several cities have initiated GI efforts to meet their  
50 environmental and regulatory goals. However, GI remains in many places a new or emerging  
51 approach, and at present, adoption of GI is still heavily concentrated in certain regions of the  
52 United States (Washburn 2015).

53 A second, complementary approach that is emerging are “smart” technologies for stormwater  
54 management, which add digital technologies such as sensors, controls, and communication  
55 networks to existing or new physical infrastructure. Smart GI services are defined in this study as  
56 active or self-reporting technology platforms that add sensors, controls, communications, and/or

57 intelligence to green infrastructure approaches. These platforms can potentially increase GI  
58 performance by first capturing and integrating data from real-time weather forecasts, system  
59 conditions, and even social media; then analyzing this data; and enabling real-time interaction  
60 with the water environment through monitoring, control, and management.

61 This study is therefore structured to understand how officials in five cities, towns, and their  
62 associated water agencies in Pennsylvania view green and/or smart infrastructure as possible  
63 solutions for stormwater management. Pennsylvania provides an interesting case study for a  
64 number of reasons. First, the state has many older cities and towns, ample water resources, and  
65 water quality problems that are all connected by aging, existing infrastructure for stormwater  
66 management. Pennsylvania has the largest number of CSOs of any state in the U.S.: 20% of all  
67 CSOs in the U.S.; and they range from big to small and are similar to other combined sewer  
68 systems in the U.S. (EPA 2004). Frequent wet weather events combined with aging sewer  
69 infrastructures place a heavy burden on stormwater management practices to comply with water  
70 quality standards and protect the region's water resources. As a result, state regulations are being  
71 revised to incorporate greener approaches to stormwater management (Washburn 2015). Second,  
72 this study focuses on the all five cities and towns in Pennsylvania that have enacted specific  
73 stormwater fees to fund future investments in stormwater infrastructure. These are the  
74 communities that are and will continue to be making choices about their strategy and approach to  
75 new technologies. Additional funds have also been available to support GI from other sources.  
76 For example, in 2009, \$44.6 million in federal stimulus funds in turn was leveraged into more  
77 than \$66 million for Pennsylvania on green water infrastructure (American Rivers 2010), and the  
78 William Penn Foundation and others are actively funding study of this problem. Third, this study  
79 focuses on officials within local governments and water management agencies, because they are

80 responsible for the envisioning, planning, and management of GI initiatives and are in the best  
81 position to promote sustainable stormwater management on a larger scale (Young and  
82 McPherson 2013; EPA 2016b). There has been little study, however, of how officials in cities  
83 and water agencies, who often must make decisions about future infrastructure investments, view  
84 these new and evolving technologies. To the best knowledge of the authors, no other similar  
85 studies explore the attitudes and perspectives of officials toward smart infrastructure or services  
86 in the area of stormwater management.

87 The subsequent sections of the paper address the literature related to implementation of green  
88 and/or smart infrastructure for stormwater management; stormwater management and GI  
89 initiatives in Pennsylvania and in the five cities and towns; the methodology of the semi-  
90 structured interview approach; and the results, conclusions, and implications of this study.

91

## 92 **Background of urban stormwater management**

93 The water pollution impacts of stormwater are closely related to urbanization, impervious  
94 surfaces, and how it is managed within stormwater infrastructure. In cities with combined sewer  
95 systems, where sewer pipes collect both stormwater runoff and domestic/industrial wastewater,  
96 heavy rain events often cause sewer overflows. Discharges of untreated wastewater from CSOs  
97 directly to nearby streams, rivers, and other water bodies have major adverse effects for both  
98 human and aquatic systems. These aging combined sewer systems exist in as many as 772 cities  
99 and towns, and serve 40 million people nationwide (EPA 2015).

100 Stormwater management strategies that address stormwater runoff and related water  
101 pollution fall into two categories: gray and green infrastructure. The gray approach replaces and  
102 upgrades existing sewer mains or makes other improvements to traditional infrastructure to

103 increase the storage, conveyance and treatment capacity of the system, such as tanks, pipes and  
104 tunnels, and wastewater treatment plants, respectively (EPA 2008; City of Lancaster 2011).  
105 Green infrastructure for stormwater management involves a range of soil-water-plant systems  
106 that protect, restore, or mimic the natural water cycle (PWD 2015c; American Rivers 2015). This  
107 green approach includes rain gardens, green roofs, pervious pavement, and constructed wetlands.  
108 Traditional centralized gray infrastructure is designed to move stormwater away from the built  
109 environment quickly, while the aim of green infrastructure is to reduce and treat stormwater at its  
110 source while delivering many other environmental, social, and economic benefits (EPA 2008).

#### 111 *Implementation of Green infrastructure*

112 Recent policy memos from the Office of Water at the EPA strongly encourage the use of GI to  
113 address water quality issues, which were integrated into National Pollutant Discharge  
114 Elimination System (NPDES) permits and CSO long-term control plans (EPA 2016a). Following  
115 such guidance, several major cities including Philadelphia, Seattle, Washington, D.C., and  
116 Chicago have integrated GI in their water management plan to meet their environmental goals  
117 and regulatory standards. For example, according to the Green City, Clean Waters Program in  
118 Philadelphia, over the next 25 years, the city plans to spend \$1.2 billion on green infrastructure  
119 and transform approximately 4,050 hectare (10,000 acres) of impervious area to “greened acres”,  
120 or about one-third of the combined sewer system treatment area (PWD 2011).

121 Current adoption of GI is still concentrated in limited regions of the United States (Washburn  
122 2015). A few existing studies have explored barriers and challenges of integrating GI. For  
123 example, Keeley et al. (2013) and Rowe et al. (2016) explore the financial, administrative,  
124 political, and technical dimensions of stormwater management and identify funding as one of the  
125 primary barriers to GI installation. Washburn (2015) examines the status of GI initiatives in

126 southwestern Pennsylvania and argues that encouraging GI expansion requires more up-front  
127 investment in research, planning, and community engagement. Kabisch (2015) argues that  
128 development pressure, financial constraints, loss of expertise, and low awareness of green  
129 benefits are major challenges in urban green governance. However, while there have been  
130 several studies on the hydrological and water quality impacts of GI after construction (Davis  
131 2008; Czemieli Berndtsson 2010; Emerson and Traver 2008), there have been few studies on  
132 post-construction management practices such as operations and maintenance, in spite of their  
133 crucial role to the long-term success of GI (EPA 2013).

#### 134 *Smart technologies for stormwater management*

135 Rapidly evolving digital technologies are an opportunity to add sensing, instrumentation,  
136 communication, and intelligent control systems to water infrastructure, allowing the capture of  
137 information, analysis of data, and potentially, real-time interaction between managers,  
138 infrastructure, and the environment. Ocampo-Martinez et al. (2013) argue that optimal control in  
139 water systems could enable improved energy efficiency, cost minimization, and environmental  
140 protection. For example, a combined sewer overflow network with embedded sensors was  
141 deployed to monitor, control, and reduce CSO events (Ruggaber et al. 2007). Collaborative  
142 wireless sensor networks have been demonstrated for use in water quality monitoring, control,  
143 and management (Zia, Harris, and Merrett 2014). These smart technologies aim to improve  
144 system performance and resilience by enhancing situational awareness, real-time monitoring,  
145 predictive control, crisis response and recovery, and self-healing operations (Rasehk et al. 2016).  
146 However, a key argument is that smart technologies must be shaped to respond to the needs of  
147 water management officials and agencies (Wang et al. 2015).

148



149 **Stormwater management and GI initiatives in Pennsylvania**

150 Due to more stringent federal regulations and increasing stormwater management costs, many  
151 cities and municipalities nationwide have instituted stormwater management fees to generate a  
152 stable funding source for the construction and maintenance of sewer systems (PWD 2015d). This  
153 study focuses on all five cities and towns in Pennsylvania – Philadelphia, Mt. Lebanon,  
154 Meadville, Lancaster, and Radnor – who have established stormwater ordinances with fees to  
155 fund future stormwater infrastructure (Campbell 2014). Since funding is usually considered to be  
156 a major challenge in building GI, investigating the municipalities with dedicated and stable  
157 revenue sources enables this study to concentrate on further challenges, particularly post-  
158 construction management practices, which were found above to be under-studied. Basic  
159 community characteristics such as population, area, and sewer types, as well as the dates that  
160 stormwater fees were enacted, are shown in Table 1. The location of these communities and  
161 relevant watersheds are indicated in Figure 1. Stormwater management and GI implementation  
162 in the selected communities will be discussed in the following subsections.

163 *Philadelphia*

164 Philadelphia is one of America’s oldest cities with an approximate population of 1.5 million and  
165 seven major sub-watersheds. The combined sewer system covers almost two-thirds of the city’s  
166 total sewer service area, serving more than three-quarters of the city’s residents (PWD 2015a).  
167 Philadelphia’s sewer system has about 4,830 kilometers of sewers (3,000 miles), 175 CSO  
168 regulating chambers, and 164 CSO outfalls (PWD 2015e). The Philadelphia Water Department  
169 (PWD) has established a number of new policies to promote GI: a parcel-based billing system  
170 based on impervious surface in 2010 (Featherstone et al. 2011), and Green City, Clean Waters, a  
171 25-year program to manage the city’s CSOs, that was adopted in June 2011. This plan commits

172 to using GI to reduce stormwater pollution and achieve the required water quality standards.  
173 PWD has estimated that employing green infrastructure instead of just relying on traditional  
174 infrastructure will reduce stormwater pollution by 85% and save the city \$5.6 billion (PWD  
175 2015b). So far, there have been over 1,100 green stormwater projects in Philadelphia, including a  
176 variety of practices such as tree trenches, rain gardens, porous paving, and swales (PWD 2015b).  
177 PWD offers several funding mechanisms to support the implementation of GI. In addition to the  
178 Green City and Clean Waters program, PWD has created two additional grant programs for non-  
179 residential private organizations to reduce their design and installation cost of stormwater  
180 management practices.

#### 181 *Mt. Lebanon*

182 Mt. Lebanon is a town of approximately 33,000 people in Allegheny County within the Ohio  
183 River Watershed and has two sub-watersheds. In Mt. Lebanon, the majority of existing  
184 stormwater infrastructure was developed in the early 1900s, including about 121 kilometers (75  
185 miles) of storm sewers, and over 2,500 catch basins along with drains, curbs, and gutters  
186 throughout the municipality (Mt. Lebanon 2015). Such aging infrastructure can no longer  
187 accommodate the volume of stormwater runoff from heavy wet weather events, causing street  
188 flooding and water pollution. In 2011, the Mt. Lebanon Commission approved an ordinance  
189 establishing a fee for the construction and maintenance of the municipal storm drain system,  
190 including sewers and drains to collect and manage stormwater (Mt. Lebanon 2011). Instead of  
191 solely focusing on gray infrastructure such as pipes and treatment plants, Mt. Lebanon started to  
192 implement GI as complementary solutions, including a rain barrel rebate program, community  
193 environmental education, permeable pavements, and wetland maintenance (Mt. Lebanon 2012).

194 In addition, stormwater management fee credits were offered to incentivize property owners to  
195 install additional GI (Washburn 2015).

### 196 *Meadville*

197 Meadville is a town of approximately 13,000 people in northwest Pennsylvania (French Creek  
198 Valley Conservancy 2015). The city's current stormwater management system includes over 48  
199 kilometers (30 miles) of stormwater pipes, and more than 1,200 catch basins or inlets along with  
200 swales and culverts. It is over 100 years old and has reached the end of its design life. As the  
201 only MS4 municipality in Crawford County, the city is required to comply with the pollution  
202 limits set by their MS4 permit. Recently, reduced staffing and limited funding has impacted the  
203 city's maintenance of the sewer system. In October 2012, Meadville passed a new ordinance that  
204 established the Stormwater Management Program and User Fee to provide a dedicated funding  
205 source to the operation, administration, maintenance, repair, and improvement of the city's  
206 stormwater management system (Meadville 2012). The city also offers fee reduction to selected  
207 property owners if they convert impervious surfaces into pervious ones; and provides credits to  
208 encourage various green practices onsite.

### 209 *Lancaster*

210 The Conestoga River flows through the city of Lancaster (population 59,325) and eventually into  
211 the Chesapeake Bay. Like Philadelphia, Lancaster relies on a combined sewer system that covers  
212 45% of the city, and other parts of the city have separated sewer systems to convey stormwater  
213 and sewage (City of Lancaster 2011). While the sewer system is generally able to manage and  
214 clean the water flowing through this system, during large wet weather events the combined  
215 sewer system exceeds capacity, and an untreated stormwater and sewage mix is released directly  
216 into the Conestoga River. Each year, Lancaster is responsible for about 3.8 billion liters (1 billion

217 gallons) of polluted water entering the nearby water bodies (City of Lancaster 2011). Moreover,  
218 the EPA established stringent pollution limits for all communities located within approximately  
219 166,000 square kilometers (64,000 square miles) of the Chesapeake Bay Watershed (American  
220 Rivers 2010). Given such infrastructure challenges and water quality goals, in May 2011,  
221 Lancaster laid out a 25-year plan to eliminate the combined sewer overflows from the watershed  
222 through green infrastructure. In 2014, Lancaster established a stormwater management fee in  
223 order to provide a stable funding source for stormwater collection and management activities  
224 (City of Lancaster 2014). Lancaster’s Green Infrastructure Plan has been estimated to save over  
225 \$160 million for the city as compared to solely traditional gray infrastructure solutions, such as  
226 expanding the treatment plant and holding tanks (City of Lancaster 2015). To date, Lancaster has  
227 implemented a number of new green infrastructure projects, including green roofs, pervious  
228 paving, rain gardens, planter boxes, and trees. In addition, the city is employing a range of tools  
229 to encourage green solutions, including a project to green impervious surfaces in public schools,  
230 incentives for residential and commercial property owners to retrofit existing surfaces with green  
231 infrastructure, and a standard to address the first flush of stormwater runoff, which requires  
232 property owners to manage the first 25.4 millimeters (1-inch) of rainfall on new impervious  
233 surfaces (City of Lancaster 2011).

#### 234 *Radnor*

235 Radnor Township is a town of approximately 31,000 residents, and is part of the Darby and Cobbs  
236 Creek watershed, with some portions of town located near Gulph and Mill Creeks. It has a separate  
237 sanitary and stormwater system with 203 kilometers (126 miles) of sewer pipes and 379 million  
238 liters (100 million gallons) of monthly flow of waste water (Radnor Township 2015). Radnor is  
239 challenged with maintenance of aging infrastructure that is in places 100 years old, flood safety,

240 water quality protection, as well as MS4 permit requirements. Since the late 1970s, the township  
241 has required stormwater management, typically in the form of gray infrastructure solutions. In  
242 2005, the Board of Commissioners passed a comprehensive stormwater management ordinance,  
243 which required all areas in the township to comply with the ordinance developed by Delaware  
244 County in an effort to protect the Darby & Cobbs Creek watersheds (Radnor Township 2005). In  
245 October 2013, a stormwater fee instituted by the Radnor Board went into effect to fund the  
246 operation, administration, maintenance, repair, and improvement of the stormwater management  
247 system, and to meet regulatory requirements (Radnor Township 2013). The stormwater fee has  
248 also been used to support green infrastructure development through incentive programs. Several  
249 green infrastructure projects have been installed throughout the township, including porous paving  
250 under two existing parking lots, a green roof at a local middle school, as well as a rain garden at a  
251 municipal building. The Stakeholder Advisory Committee established by the Board assists in the  
252 selection and prioritization of projects, and also implements the township educational programs.  
253 In addition, Radnor Middle School established a “Watershed Program” for seventh-graders that  
254 integrates traditional subjects such as social studies and science with watershed education and  
255 associated water management practices.

256

## 257 **Methodology**

258 Semi-structured interviews were conducted with stormwater officials and professionals in each  
259 community, focusing on the relationship of stormwater management and the perceptions of GI  
260 and related smart GI services. The interviews asked about three main areas: first, the current  
261 status of stormwater management in each community, including stormwater runoff, major issues  
262 caused by runoff, management strategies, funding sources, and major procedural challenges;

263 second, the role of GI in the community’s long-term plans and post-construction concerns; and  
264 third, stormwater agencies’ perception of smart services with a focus on new technology  
265 adoption and design requirements.

266 A total of ten officials from the five cities, towns, and their water agencies were selected,  
267 as these agencies are primarily responsible for managing stormwater infrastructure. One  
268 interviewee was a professional acting on behalf of one of the smaller towns. Interviews were  
269 conducted in May and June of 2015 and focused on the barriers, challenges, and attitudes of  
270 these officials towards GI and related smart infrastructure services. Interviews were guided by a  
271 pre-determined outline of questions, but the semi-structured format allowed the conversation to  
272 stray from the outline in order to capture additional information when it was needed or offered.  
273 The outline of questions is shown in the supplemental appendixes as Table S1. Interviews were  
274 conducted by phone or in-person, and lasted approximately 30 to 40 minutes. The interviewees  
275 were officials from the Public Works Department, Water Department, Engineering Department,  
276 and stormwater programs, and they were directly responsible for developing and implementing  
277 infrastructure for stormwater management.

278 Besides interviews conducted in the summer of 2015, follow-up web-based surveys were  
279 distributed to all five selected communities in Pennsylvania. The main goal of the follow-up  
280 surveys is to explore more about the stormwater agencies’ perceptions toward smart GI services.  
281 The questionnaire was designed and revised between September 2015 and February 2016, and  
282 launched online through Qualtrics between March and June 2016. Four out of five communities  
283 (except Radnor Township) participated in this follow-up survey and shared their perceptions on  
284 several major issues regarding the adoption of smart GI services. These issues include benefits of  
285 GI that may be enhanced through implementation of smart devices, additional functions that

286 smart GI may provide, and concerns that may hinder the potential adoption of smart devices. A  
287 three-level Likert scale was employed to measure the degree of city and water agency officials'  
288 perceptions. The choice options were "not important," "somewhat important," and "very  
289 important." The particular survey questions are shown in the supplemental appendixes as  
290 Questionnaire S1 .

291

## 292 **Results**

293 As stated above, results from interviews conducted with stormwater agencies are organized into  
294 three major aspects: major issues surrounding stormwater management; the role of GI in the  
295 community's long-term plans and post-construction concerns; and their perceptions of smart GI  
296 services. In addition to the interviews, results from the on-line surveys are also summarized in  
297 Table 2 and discussed below. However, Radnor Township did not reply to the follow-up survey.

### 298 *Major issues in stormwater management*

299 Major issues related to general stormwater management identified by the selected communities  
300 in Pennsylvania include aging sewer infrastructure, street flooding, water pollution, and  
301 regulatory compliance. They are summarized below:

- 302 1. Aging sewer infrastructure: four out of five communities had concerns about this. To  
303 keep and enhance the capacity of current sewer systems, municipalities have to spend a  
304 substantial amount of money each year on the existing pipes and facilities underground,  
305 which are decades old. It is estimated that upgrading and rebuilding the existing  
306 infrastructure would cost Lancaster \$300 million to build and another \$750,000 each year  
307 for treatment (City of Lancaster 2015).

- 308 2. Street flooding: three out of five communities had concerns about this point. Heavy storm  
309 events lead to stormwater runoff, which leads to flooding, which eventually leads to  
310 property damage, traffic disruptions, and generally impedes the normal life of  
311 communities. For example, an official in Radnor stated that street flooding and property  
312 damage resulting from stormwater runoff hinders public services by blocking police cars  
313 and fire trucks.
- 314 3. Water resources and pollution: four out of five communities were concerned about the  
315 need to protect surrounding water resources and reduce water pollution from excessive  
316 water runoff. Due to stormwater runoff or CSOs, decreased water quality has become a  
317 major concern in many regions, and thus communities need to take actions to reduce their  
318 untreated water and protect the watershed system. Stricter federal or state policies set a  
319 high standard for stormwater management (EPA 2016a) and also placed pressure on these  
320 communities. During the interview, an official in Philadelphia remarked, “Our biggest  
321 problem is the combined sewer overflows... and the focus of our green infrastructure  
322 program is reducing the amount of pollution that comes through the sewer overflows”.
- 323 4. Regulatory requirements: four out of five communities discussed their need to comply  
324 with environmental regulations, with some facing huge penalties for non-compliance.  
325 Complying with the stormwater regulations is a major issue confronting both combined  
326 sewer and MS4 municipalities. As an official in Meadville admitted, “We are having a  
327 difficult time living up to requirement compliance, which is pretty big.”

328 *Major issues in the role of GI*

329 The focus of the interviews on GI revealed the following:



- 330 1. Role of GI in long-term planning: four out of five communities stated slightly different  
331 beliefs on the degree and extent of their willingness to use GI. For Radnor, incorporating  
332 GI aligns with their long-term goals, but to have a measurable impact, their plan requires  
333 a broad and continuous effort on an incremental basis. As an official in Radnor explained,  
334 “One little rain garden does not stop flooding, but a large number of these little green  
335 tools together would definitely make a difference in terms of enhancing water quality and  
336 reducing stormwater runoff.” Meadville also aligns GI with their goals of stormwater  
337 management in the long run; however, in the short term they treat GI as a complement to,  
338 but not replacement for, traditional gray infrastructure.
- 339 2. Long-term effectiveness of GI: four out of five communities had concerns about this.  
340 Officials interviewed for this study felt that the performance of GI is not consistent over  
341 time and under various scenarios. As professionals in Philadelphia pointed out, in the  
342 beginning period when the vegetation surface of GI has not been fully developed (e.g.,  
343 newly planted trees), GI has relatively low capacity but requires more maintenance work  
344 such as irrigation. However, given the large upfront cost needed for the design and  
345 installation of GI, it needs to work efficiently for a long period of time. An official in  
346 Radnor stated, “You want to get benefits for 10, 20, or 30 years”. This concern about the  
347 long-time effectiveness of GI is consistent with findings in the literature, where, it has  
348 been found that performance can change significantly over time (Berndtsson 2010). To  
349 some extent, GI is perceived as more uncertain compared with conventional gray  
350 infrastructure (Dunn 2010).
- 351 3. Operation and maintenance: during the interviews, respondents pointed out that attention  
352 should be paid not only to the design and installation of green stormwater tools, but also

353 to the ongoing operation and maintenance to ensure that GI works efficiently over time.  
354 The interviewees cited the heavy maintenance burden of GI as a major challenge, which  
355 is consistent with previous research (Zhang et al. 2012). Many green infrastructures,  
356 especially those that include vegetation, require regular maintenance to ensure such green  
357 tools are functioning properly. An official in Meadville stated, “I worry about the  
358 maintenance of those things [GI]... a lot of things are manpower driven.” Maintenance  
359 tasks include on-site inspection, trash collection, weeding, and irrigation, which are  
360 usually labor-intensive. “Maintaining it [GI] year after year can be very costly,” one  
361 Radnor official said, pointing out that “it has to be maintained and it is labor intensive to  
362 let the plants you want to be here alive and keeps the ones you don’t want out.” Also, GI  
363 requires more frequent maintenance. As one official in Philadelphia remarked, gray  
364 stormwater infrastructure usually requires only annual maintenance, while most green  
365 infrastructure requires monthly maintenance for plant care and trash removal, especially  
366 those involving plants and other vegetated surfaces.

### 367 *Perspectives towards smart services*

368 Interviewees expressed the following perceptions of smart services added to GI:

- 369 1. Definitions of “smart” services: three out of the five interviewed communities had  
370 previously heard of smart GI services, while others had not heard about them or were  
371 unsure of the definition. Many stormwater officials thought that smart infrastructure was  
372 either related to smart growth paths (choosing the smartest way to grow or change the  
373 city or township through planning or zoning code) or advanced intelligent technologies.  
374 After defining smart GI services in the context of the interviews as the latter, and  
375 explaining how they work, more than half of the communities expressed positive

376 attitudes towards such smart services. A professional from Mt. Lebanon said that their  
377 community wants technologies and devices that can monitor topography, save cost, or  
378 improve efficiency.

379 2. Lack of concrete information about performance and costs/benefits: despite positive  
380 reactions to smart GI, water management officials asked for more information and  
381 analysis on the performance, costs, and benefits of smart services. Three agencies asked  
382 for more evidence regarding how much smart services could improve the operational  
383 efficiency and the runoff reduction capacity of GI. As officials in Philadelphia and  
384 Radnor highlighted, they need to know how smart GI is going to make their water  
385 management more efficient. Similarly, a professional from Mt. Lebanon asked whether  
386 smart GI would significantly improve the efficiency of their hydrological modelling. This  
387 emphasis on understanding smart GI's performance was consistently confirmed in the  
388 survey results. Four surveyed communities all agreed that the performance of smart GI  
389 functions, such as stormwater volume reduction, nutrient removal, and suspended  
390 sediment removal, are all important to them. The first two aspects are very important to  
391 three agencies and somewhat important to one agency, while they evenly split on "very  
392 important" versus "somewhat important" for the third aspect.

393 Furthermore, three out of five interviewed stormwater agencies required  
394 additional cost-benefit information to consider adding related smart services. The  
395 interviewed officials from Lancaster stated, "I think understanding the cost-benefits  
396 would be the first thing we need." Similarly, an official in Meadville pointed out that they  
397 would worry about increased costs resulting from added smart devices. The follow-up  
398 surveys also confirmed the crucial influence of costs and benefits on potential adoption.

399 Results indicate that one agency is very concerned about increased cost when adding  
400 smart devices, and the other three agencies feel somewhat concerned. Similar to the  
401 findings about the cost, three out of four agencies claim that they are somewhat  
402 concerned (one agency) or very concerned (two agencies) about the benefits that smart  
403 GI might bring.

404 3. Specific needs for smart infrastructure: several respondents also pointed out certain  
405 specific requirements for smart GI services. As an official in Lancaster said, “If it  
406 benefits, we will certainly be interested with the instrument with a [smart] format  
407 especially if it helps with some of the maintenance requirements.” Officials in  
408 Philadelphia confirm the need to utilize smart systems to address certain maintenance  
409 issues, either by saving labor directly or changing the skill level of the needed labor.  
410 Results from on-line surveys indicate that relevant functions such as providing alerts for  
411 maintenance and providing on-site monitoring are very important (one agency) or  
412 somewhat important (three agencies). Moreover, low-cost and easy-to-operate smart GI  
413 services with robust performance across various environmental scenarios are important to  
414 Philadelphia. In the on-line survey, all four communities reported that providing more  
415 consistent performance across seasons are either very important (two agencies) or  
416 somewhat important (two agencies) to them. Officials from Meadville confirmed the  
417 need for user-friendly smart services, and requested personnel training from service  
418 providers, due to a current lack of staff and technology resources.

419

## 420 **Conclusions and implications**

421 Fixing Pennsylvania's aging, existing infrastructure for stormwater management is necessary to  
422 address its water quality problems. Both GI and smart GI services are relatively new technology  
423 options for city and water agency officials in Pennsylvania and elsewhere. While issues of  
424 operation and maintenance are receiving increased attention in order to improve the performance  
425 and capacity of GI in the long run, integrating smart technologies will require a comprehensive  
426 understanding of the needs of city and water agency officials who are leading the development  
427 and implementation of stormwater infrastructure.

428         The semi-structured interviews and surveys found that the major concerns of city and  
429 water officials related to stormwater management are aging infrastructure, flooding, water  
430 pollution, and policy compliance. Major barriers in the post-construction process identified by  
431 city and water agency officials are the unstable performance of GI over its life cycle and the  
432 perceived heavy maintenance burden especially for vegetated GI. Promoting broader integration  
433 of GI requires three further kinds of research and development to address the needs of city and  
434 water agency officials and professionals. First, they need advanced research and case studies on  
435 the effectiveness and robustness of GI performance, especially those using actual field data. Data  
436 on the efficiency of GI for runoff reduction and sediment removal would encourage the adoption  
437 of GI among stormwater agencies. Second, the design of GI needs to be further improved to  
438 ensure that GI can perform consistently and efficiently throughout its life cycle and across  
439 various conditions. Third, city and water agency officials ask for further research efforts to  
440 reduce the maintenance burden and associated costs of GI. The attitudes expressed by the city  
441 and water agency officials about GI are broadly consistent with previous engineering studies.

442 Most officials hold positive attitudes towards smart GI services. Smart GI provides  
443 opportunities to address the substantial concerns related to long-term operation and maintenance  
444 of GI. Actual adoption of smart solutions, however, requires further studies on how smart  
445 services can improve the performance and capacity of GI in a cost-effective way. Several aspects  
446 of the performance of GI such as stormwater volume reduction, nutrient removal, and suspended  
447 sediment removal are expected to be enhanced by smart infrastructure. Furthermore, officials and  
448 professionals asked for smart GI to be proven to be lower-cost, user-friendly, robust, and  
449 consistent in its performance in various scenarios. Moreover, smart infrastructure should help  
450 particularly to reduce the maintenance burden of GI such as providing additional functions such  
451 as problem-alerting and on-site monitoring. Overall, our findings are consistent with previous  
452 studies but offer additional insights into the perspectives of city and water professionals on GI  
453 and related smart infrastructure.

454 The findings on post-construction barriers for GI, and the perceptions of city and water  
455 agency professionals with regards to associated smart technologies, should be relevant to  
456 communities with similar water resources, pollution, and existing infrastructure, as well as more  
457 broadly to scholars and practitioners interested in adoption of new technologies such as green  
458 and smart stormwater infrastructure. Since managing stormwater through adoption of green  
459 and/or smart infrastructure involves many stakeholders in a dynamic process, future studies may  
460 examine other aspects of efforts to promote sustainable water management, such as policies,  
461 stakeholder engagement, and the improvement of public awareness of stormwater management.

462

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474

475 **Supplemental Data**

476 Table S1 and Questionnaire S1 are available online in the ASCE Library (ascelibrary.org).

477

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616

**Table 1. Summary of Characteristics of All Five Cities and Towns**

Community	Stormwater fee enacted	Population (2013)	Area (sq. km)	Sewer system
Philadelphia	July 2010	1,553,165	369	60% combined, 40% separated
Mt. Lebanon	August 2011	33,067	11	Separated
Meadville	October 2012	13,265	11	Separated
Lancaster	March 2014	59,325	19	45% combined, 55% separated
Radnor	October 2014	31,502	36	Separated

Population source: U.S. Census Bureau (2013)

**Table 2.** Survey Results of Perception on Smart GI

Issues/Response	PHL	Meadville	Lancaster	Mt. Lebanon
<b>Performance</b>				
• Stormwater volume reduction	**	**	**	*
• Nutrient removal	**	*	**	**
• Suspended sediment removal	*	*	**	**
<b>Additional function</b>				
• Providing on-site monitoring	*	*	**	*
• Providing alerts for maintenance	*	*	**	*
• Providing more consistent performance across season	*	*	**	**
<b>Concern</b>				
• Increased cost when adding smart devices	*	**	*	*
• Uncertainty about benefits that smart GI brings	**	**	-	**

Note: PHL=Philadelphia; \*\* denotes “very important”; \* denotes “somewhat important”; - denotes “not important”. Also, Radnor Township did not respond to this survey.



**Fig 1.** Map showing the five cities and towns charging a stormwater fee in Pennsylvania (Watershed drainage areas in *Italic*)



Fig 1. Map showing the five cities and towns charging a stormwater fee in Pennsylvania (Watershed drainage areas in *italics*)